



# United States Patent and Trademark Office

## An e-Filer's Guide to Understanding the EFS-Web 1.4 Enhancements



### ENHANCEMENTS FOR REGISTERED e-FILERS

**Bureau of Industry and Security (BIS) statement on login screen:** Registered e-Filers must check the checkbox indicating that they have read and accept the terms of the BIS Statement on the Login screen prior to authentication. The BIS statement will be removed from My Workplace. See EAR (Export Administration Regulation) section of FAQ for more info: [http://www.uspto.gov/ebc/efs\\_faq.htm](http://www.uspto.gov/ebc/efs_faq.htm)

User Authentication

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I understand that technology and/or software included in patent applications may be subject to U.S. dual-use export controls, which are set out in the Export Administration Regulations (15 C.F.R. parts 730-774). Access to such technology and/or software by any person located outside the United States or by a foreign national inside the United States constitutes an export that may require a license from the U.S. Commerce Department's Bureau of Industry and Security (BIS). I affirm that I am not accessing or permitting access by others to technology or software in a manner that would violate or circumvent the Export Administration Regulations.

Information regarding U.S. dual-use export controls and their application to technology and software included in patent applications is available from BIS. Please see BIS's website, available at [www.bis.doc.gov](http://www.bis.doc.gov), or contact BIS's Office of Export Services at 202-482-4811.

**View saved submissions by Customer Number:** Registered e-Filers will be able to view information about saved submission packages created for new applications that are associated with their Customer Numbers.

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- [Saved Submission Package](#)
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**PAIR button:** Registered e-Filers will be able to save time by launching their e-filed applications in Private PAIR with a click of the PAIR button on the Last 40 Acknowledgement Receipts section of My Workplace.

View Last 40 eFiling Acknowledgment Receipts:

Receipt [PDF]	EFS-ID	Application Number	Receipt Date	Title of Invention	Docket Number	Inventor / Applicant Name	Access PAIR
<a href="#">View</a>	1104771	11609157	04-AUG-2008	Invention Title		John Doe	<input type="button" value="PAIR"/>

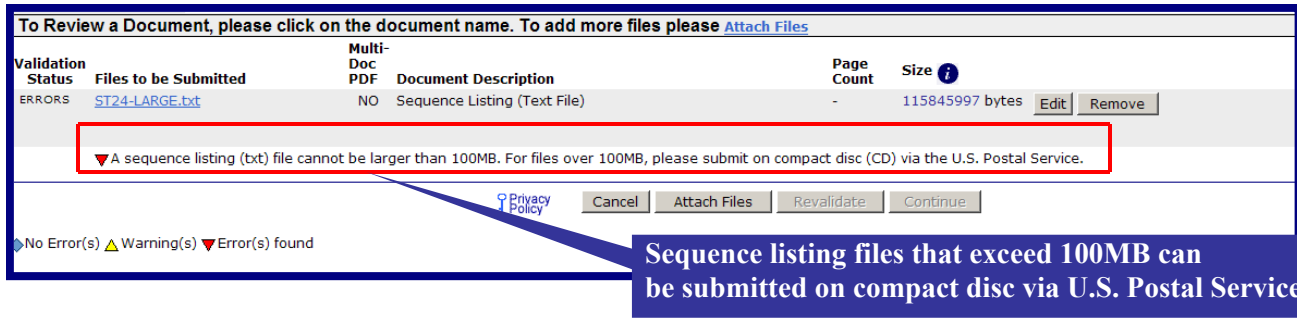
**Practitioner registration status warning:** Registered practitioners will receive a warning to contact the Office of Enrollment and Discipline (OED) if their registration status is not active to practice before the USPTO.

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WARNING: The patent attorney/agent associated with this digital certificate is currently in INACTIVE status with the USPTO. Please contact the Office of Enrollment and Discipline at (571) 202-3000 for more information. Click OK to continue with current submission or Cancel to return to current screen.

## ENHANCEMENTS FOR UNREGISTERED e-FILERS & REGISTERED e-FILERS

**Sequence listing enhancements:** e-Filers will be able to upload one sequence listing (txt) file up to 100 MB per submission. The sequence listing file must be uploaded separately from other types of files. The USPTO increased the file size from 25 MB to 100 MB to allow users the ability to upload larger files and avoid the extra step of partitioning large sequence listings into multiple files. Sequence listing (txt) files that exceed 100 MB can be submitted on compact disc in accordance with 37 CFR 1.52(e) and 37 CFR 1.821 with the U.S. Postal Service using "Express Mail Post Office to Addressee" on the same day as the EFS-web filing.



To Review a Document, please click on the document name. To add more files please [Attach Files](#)

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size
ERRORS	<a href="#">ST24-LARGE.txt</a>	NO	Sequence Listing (Text File)	-	115845997 bytes

▼ A sequence listing (txt) file cannot be larger than 100MB. For files over 100MB, please submit on compact disc (CD) via the U.S. Postal Service.

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**Sequence listing files that exceed 100MB can be submitted on compact disc via U.S. Postal Service.**

**Delete button on the Attach Document screen:** Based on feedback from e-Filers, the USPTO added the ability to delete unwanted files prior to selecting upload and validate with the simple click of the Delete button.



Files to be Submitted Multi-Doc Category Document Description

1401dec.pdf	NO	Application Part	Oath or Declaration filed
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1

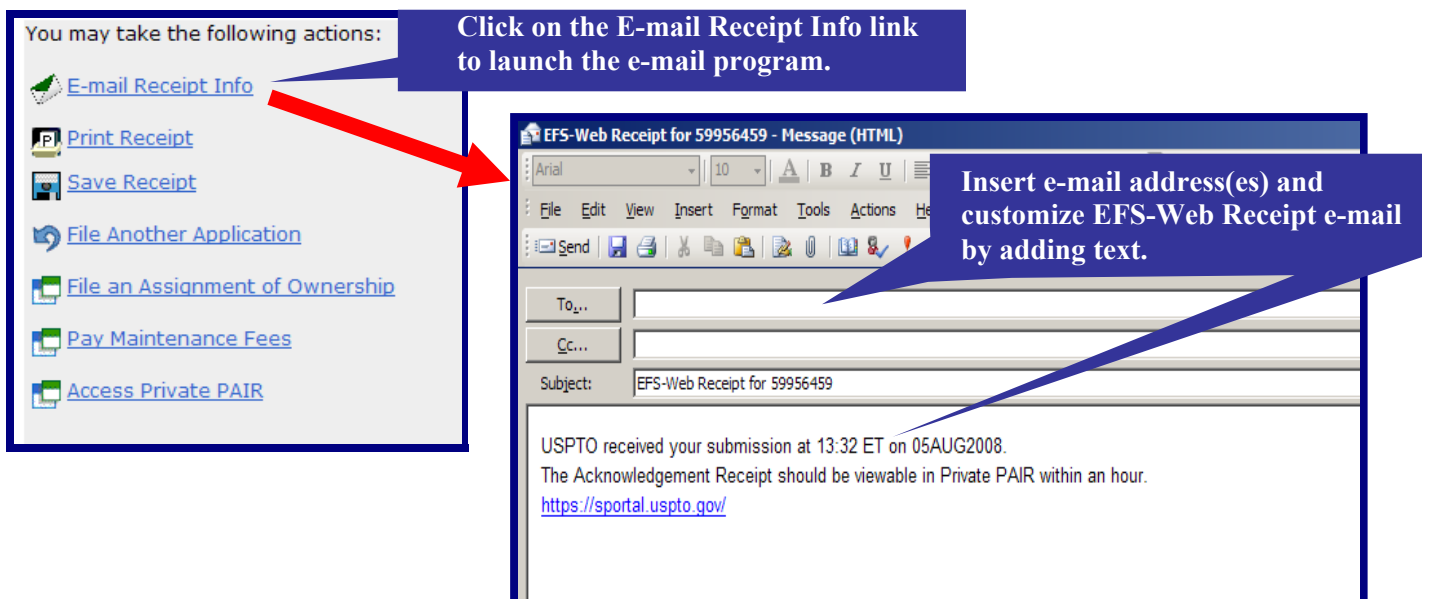
Does your PDF file contain multiple documents?  Yes  No

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**E-mail Acknowledgement Receipt information:** e-Filers will be able to launch their e-mail programs to send information about Acknowledgement Receipts and Pre-Acknowledgement Receipts. This added feature will allow customers to send their EFS-Web Receipt information to contacts after submitting an application via EFS-Web.



You may take the following actions:

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**Click on the E-mail Receipt Info link to launch the e-mail program.**

EFS-Web Receipt for 59956459 - Message (HTML)

To:

Cc:

Subject: EFS-Web Receipt for 59956459

USPTO received your submission at 13:32 ET on 05AUG2008.  
The Acknowledgement Receipt should be viewable in Private PAIR within an hour.  
<https://sportal.uspto.gov/>

**Insert e-mail address(es) and customize EFS-Web Receipt e-mail by adding text.**

**First Action Interview (FAI) warning on the Attach Document screen:** e-Filers requesting enrollment in the First Action Interview (FAI) pilot program must check a checkbox to confirm compliance with FAI eligibility requirements. In order to see the full list of requirements for the FAI pilot click [here](http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/faipp_v2.htm), [http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/faipp\\_v2.htm](http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/faipp_v2.htm)

To Review a Document, please click on the document name. To add more files please [Attach Files](#)

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size
PASS	<a href="#">700700-043-App.pdf</a>	NO	First Action Interview ? Enrollment Request		

This application has 3 or fewer independent claims and 20 or fewer total claims.

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**Check the box to confirm that the FAI application meets the requirements.**

**National Stage enhancements:** When filing a National Stage under 35 USC 371 application, e-Filers will be able to edit the PCT number and international filing date on the Application Data screen.

New application  
(This includes new filings of continuation, divisional, and continuation-in-part applications. A request for continued examination (RCE) and continued prosecution application (CPA) are considered to be filed as a continuation-in-part application.)

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 A U.S. national stage application has already been assigned for the entered PCT number. There can only be one national stage application of a given PCT application number. If applicant wishes to obtain multiple patents based on the PCT application, he can submit one national stage application under 35 USC 371 and the remaining applications as US applications under 35 USC 111(a) as a continuation of the PCT application. would you like to continue?

Nonprovisional Application under 35 USC 111(s)

Provisional

Reexam

Reissue

U.S. National Stage under 35 USC 371

The following information is required if you are filing a 371 application

International Application Number:

(EXAMPLE: PCT/US03/12345 or PCT/JP2003/012345)

International Filing Date:

**After receiving the warning message above, e-Filers will be able to edit the International Application Number and International Filing Date if there is an error.**

**Fee codes:** The 1814 Fee Code(Statutory disclaimer) will appear under the "Miscellaneous Patent Fees" and "Post Allowance & Post Issuance Fees" categories. Since the USPTO is electronically transmitting the priority documents for PCT International applications to the International Bureau at no fee, the 8007 fee code will be removed from the PCT calculate fee screen. The 1708 and 1463 Fee Codes have been added to the PCT calculate fee screen.

<input type="checkbox"/> 1.17(f) Petitions requiring the petition fee set forth in 37CFR1.17(f) (Group I)	1462	
<input checked="" type="checkbox"/> 1.17(g) Petitions requiring the petition fee set forth in 37CFR1.17(g) (Group II)	1463	\$200
<input type="checkbox"/> 1.17(t) Request for the Restoration of the Right of Priority under 1.452	1454	\$1410
<input type="checkbox"/> Sequence listing and/or sequence-related table on electronic medium only (PCT AI Section 801)	1708	\$5600
Sub-Total \$		<input type="text"/>

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**Click on the box to select the appropriate fee codes.**

Full technical support is available for EFS-Web by contacting the Patent Electronic Business Center (EBC) at 866-217-9197 from 6 a.m. to 12 Midnight Eastern Time, Monday to Friday, or sending an e-mail to [ebc@uspto.gov](mailto:ebc@uspto.gov).