ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT:				REPORTING PER	RIOD: FY	
	PA	RTI - PRE-C	OMPLAINT ACTIVITIES			
	1		E. NON-ADR SETTLEMENTS WITH I	-		
EEO COUNSELOR	COUNSELINGS	INDIVIDUALS	TOTAL	COUNSELINGS	INDIVIDUALS	AMOUNT
	COUNSELINGS	INDIVIDUALS	COMPENSATORY DAMAGES			\$
A. TOTAL COMPLETED/ENDED COUNSELINGS			BACKPAY/FRONTPAY			\$
			3. LUMP SUM PAYMENT			\$
1. COUNSELED WITHIN 30 DAYS			4. ATTORNEY FEES AND COSTS			\$
			5.			\$
COUNSELED WITHIN 31 TO 90 DAYS a. COUNSELED WITHIN WRITTEN EXTENSION			6.			\$ e
PERIOD NO LONGER THAN 60 DAYS			1.			φ
b. COUNSELED WITHIN 90 DAYS WHERE			F. NON-ADR SETTLEMENTS WITH I	NON-MONETARY	BENEFITS	
INDIVIDUAL PARTICIPATED IN ADR				COUNSELINGS	INDIVIDUALS	
C. COUNSELED WITHIN 31-90 DAYS THAT WERE UNTIMELY			TOTAL			
3. COUNSELED BEYOND 90 DAYS			1. HIRES			
4. COUNSELED DUE TO REMANDS			a. RETROACTIVE			
ADR INTAKE OFFICER	1		b. NON-RETROACTIVE 2. PROMOTIONS		 	
ABRINIARE OFFICER	COUNSELINGS	INDIVIDUALS	a. RETROACTIVE		1	
B. TOTAL COMPLETED/ENDED COUNSELINGS			b. NON-RETROACTIVE			
			3. EXPUNGEMENTS			
1. COUNSELED WITHIN 30 DAYS			4. REASSIGNMENTS			
2. COLINICELED MITHIN 24 TO 00 DAVO			5. REMOVALS RESCINDED			
COUNSELED WITHIN 31 TO 90 DAYS a. COUNSELED WITHIN WRITTEN EXTENSION			a. REINSTATEMENT b. VOLUNTARY RESIGNATION		1	
PERIOD NO LONGER THAN 60 DAYS			6. ACCOMMODATIONS		†	
b. COUNSELED WITHIN 90 DAYS WHERE			7. TRAINING			
INDIVIDUAL PARTICIPATED IN ADR			8. APOLOGY			
c. COUNSELED WITHIN 31-90 DAYS THAT WERE UNTIMELY			9. DISCIPLINARY ACTIONS			
3. COUNSELED BEYOND 90 DAYS			a. RESCINDED			
4. COUNSELED DUE TO REMANDS			b. MODIFIED 10. PERFORMANCE EVALUATION MODIFIED		 	
COMBINED TOTAL	1		11. LEAVE RESTORED		†	
	COUNSELINGS	INDIVIDUALS	12.			
			13.			
C. TOTAL COMPLETED/ENDED COUNSELINGS			O ADD OF THE MENT ON WITH MONEY			
1. COUNSELED WITHIN 30 DAYS			G. ADR SETTLEMENTS WITH MONE	COUNSELINGS	INDIVIDUALS	AMOUNT
1. COUNSELED WITHIN 30 DATS			TOTAL		INDIVIDUALS	\$
2. COUNSELED WITHIN 31 TO 90 DAYS			1. COMPENSATORY DAMAGES			\$
a. COUNSELED WITHIN WRITTEN EXTENSION			2. BACKPAY/FRONTPAY			\$
PERIOD NO LONGER THAN 60 DAYS			3. LUMP SUM PAYMENT			\$
b. COUNSELED WITHIN 90 DAYS WHERE			ATTORNEY FEES AND COSTS 5.			\$
INDIVIDUAL PARTICIPATED IN ADR c. COUNSELED WITHIN 31-90 DAYS THAT WERE UNTIMELY			5. 6.			\$ \$
3. COUNSELED BEYOND 90 DAYS			7.		1	\$
4. COUNSELED DUE TO REMANDS						
			H. ADR SETTLEMENTS WITH NON-N	MONETARY BENE	FITS	
				COUNSELINGS	INDIVIDUALS	
D. PRE-COMPLAINT ACTIVITIES	COUNSELINGS	INDIVIDUALS	TOTAL 1. HIRES	-		
ON HAND AT THE BEGINNING OF THE			a. RETROACTIVE			
REPORTING PERIOD			b. NON-RETROACTIVE			
2. INITIATED DURING THE REPORTING PERIOD			2. PROMOTIONS			
3. COMPLETED/ENDED COUNSELINGS			a. RETROACTIVE			
a. SETTLEMENTS (MONETARY AND			b. NON-RETROACTIVE		<u> </u>	
NON-MONETARY) b. WITHDRAWALS/NO COMPLAINT FILED			EXPUNGEMENTS REASSIGNMENTS			
c. COUNSELINGS COMPLETED/ENDED IN			REMOVALS RESCINDED			
REPORTING PERIOD THAT RESULTED			a. REINSTATEMENT			
IN COMPLAINT FILINGS IN REPORTING			b. VOLUNTARY RESIGNATION			
PERIOD			6. ACCOMMODATIONS			
d. DECISION TO FILE COMPLAINT PENDING			7. TRAINING			
AT THE END OF THE REPORTING PERIOD			APOLOGY DISCIPLINARY ACTIONS			
COUNSELINGS PENDING AT THE END OF THE REPORTING PERIOD			DISCIPLINARY ACTIONS a. RESCINDED			
NEI ONTINOT ENIOD	 		b. MODIFIED		 	
			10. PERFORMANCE EVALUATION MODIFIED			
			11. LEAVE RESTORED			
			12.			
			13.			
			I. NON-ADR SETTLEMENTS			
			HOW ABROLI ILLIVILINIO	COUNSELINGS	INDIVIDUALS	
			TOTAL		1 5	

EEOC FORM 462 (REVISED SEPT 2010) page 1

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

REPORTING PERIOD: FY

AGENCY OR DEPARTMENT:	REPORTING P	ERIOD: F	Υ				
PART II - FORMAL COMPLAINT ACTIVITIES	PART III - AGENCY RESOU	RCES, TR	AINING,	REPORT	TING LINI	E	
A. COMPLAINTS ON HAND AT THE BEGINNING	A. AGENCY & CONTRACT F	RESOURC	ES				
OF THE REPORTING PERIOD			AGE	NCY	CON	TRACT	
			NUMBER	PERCENT	NUMBER	PERCENT	
B. COMPLAINTS FILED	1. WORK FORCE				-		•
	a. TOTAL WORK F	ORCE					
C. REMANDS (sum of lines C1+C2+C3)	b. PERMANENT E	MPLOYEES				_	
C.1. REMANDS (NOT INCLUDED IN A OR B)	2. COUNSELOR						_
C.2. REMANDS (INCLUDED IN A OR B)	a. FULL-TIME						
C.3. NUMBER OF ADDITIONAL REMANDS IN THIS REPORTING	b. PART-TIME						
PERIOD THAT ARE NOT CAPTURED IN C.1 OR C.2 ABOVE	c. COLLATERAL [DUTY					
C.4. ADDITIONAL CLOSURES IN THIS REPORTING PERIOD NOT							
REFLECTED IN F OR H THAT RESULTED FROM REMANDS	3. INVESTIGATOR						
	a. FULL-TIME						
D. TOTAL COMPLAINTS (sum of lines A+B+C1)	b. PART-TIME						
	c. COLLATERAL [OUTY					
E. COMPLAINTS IN LINE D THAT WERE NOT CONSOLIDATED							
	4. COUNSELOR/INVESTIGATOR						
F. COMPLAINTS IN LINE E CLOSED DURING REPORT PERIOD	a. FULL-TIME						
	b. PART-TIME						
G. COMPLAINTS IN LINE D THAT WERE CONSOLIDATED	c. COLLATERAL [DUTY					
	B. AGENCY & CONTRACT S	TAFF TR	AINING				
H. COMPLAINTS IN LINE G CLOSED DURING REPORT PERIOD							
		COUNS	ELORS	INVEST	IGATORS	COUNS/INV	ESTIG
I. COMPLAINTS ON HAND AT THE END OF THE		AGENCY	CONTRACT	AGENCY	CONTRACT	AGENCY	CONTRACT
REPORTING PERIOD (Line D - (F+H)) + [(C2 + C3) - C4]	1. NEW STAFF - TOTAL						
	a. STAFF RECEIVING REQUIRED						
J. INDIVIDUALS FILING COMPLAINTS	32 OR MORE HOURS						
	b. STAFF RECEIVING 8 OR MORE						
K. NUMBER OF JOINT PROCESSING UNITS FROM	HOURS, USUALLY GIVEN TO						
CONSOLIDATION OF COMPLAINTS	EXPERIENCED STAFF						
	c. STAFF RECEIVING NO						
	TRAINING AT ALL						
	2. EXPERIENCED STAFF - TOTAL						
	a. STAFF RECEIVING REQUIRED						
	8 OR MORE HOURS						
	b. STAFF RECEIVING 32 OR						
	MORE HOURS, GENERALLY						
	GIVEN TO NEW STAFF						
	c. STAFF RECEIVING NO						
	TRAINING AT ALL						
	C. REPORTING LINE	1	1	I.	1		
	1 EEO DIRECTOR'S	NAME:					
	1a. DOES THE EEO D		EPORT			YES	NO
	TO THE AGENCY						
	io menoeno.						
	2. IF NO, WHO DOES	S THE EEO [DIRECTOR	REPORT T	·O?		
	PERSON:			3 1			
	TITLE:						
	3. WHO IS RESPONS	SIBLE FOR T	THE DAY-TO	O-DAY OPE	RATION O	F THE EEO	
	PROGRAM IN YOU						
	PERSON:						
	TITLE:						
	4 WHO DOES THAT	PERSON R	EPORT TO	?			
	PERSON:						
	TITLE:						

EEOC FORM 462 (REVISED MARCH 2009) page 2

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: REPORTING PERIOD: FY

PART IV - BASES AND ISSUES ALLEGED IN COMPLAINTS FILED

									1	RASESO	F ALLEGED	DISCRIMIN	IATION									
			RAG	CE			COLOR	RELIGION	REPRISAL		SEX SEX		AL ORIGIN		UAL	AGE	DISA	BILITY	GINA		TOTAL	TOTAL
ISSUES OF ALLEGED DISCRIMINATION	AMER. INDIAN/ ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN /OTHER PACIFIC ISLANDER	BLACK/ AFRICAN	WHITE	TWO OR MORE RACES				MALE	FEMALE	HISPANIC/ LATINO	OTHER	MALE	FEMALE		MENTAL	PHYSICAL		BASES BY ISSUE	COMPLAINTS BY ISSUE	COMPLAINANTS BY ISSUE
	NATIVE		ISLANDER	AMERICAN																		
A. APPOINTMENT/HIRE B. ASSIGNMENT OF DUTIES														-								-
C. AWARDS														1								
D. CONVERSION TO FULL TIME																						
E. DISCIPLINARY ACTION																						
DEMOTION 1. DEMOTION																						
2. REPRIMAND																						
SUSPENSION																						
4. REMOVAL																						
4. REMOVAL																						
5.																						
7																						
F. DUTY HOURS																						
G. EVALUATION/APPRAISAL																						
H. EXAMINATION/TEST																						
I. HARASSMENT																						
1. NON-SEXUAL																						
2. SEXUAL														_								
J. MEDICAL EXAMINATION														1								
K. PAY INCLUDING OVERTIME																						
L. PROMOTION/NON-SELECTION																						
M. REASSIGNMENT																						
1. DENIED																						
2. DIRECTED																						
N. REASONABLE ACCOMMODATION														-								
O. REINSTATEMENT																						
P. RETIREMENT																						
Q. TERMINATION																						
R. TERMS/CONDITIONS OF EMPLOYMENT																						
S. TIME AND ATTENDANCE																						
T. TRAINING																						
U. OTHER (Please specify below)																						
1.																						
2.																						
3.																						
4.																						
5.																						
TOTAL ISSUES BY BASES																						
TOTAL COMPLAINTS FILED BY BASES																						
TOTAL COMPLAINANTS BY BASES																						

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT:

REPORTING PERIOD: FY

			PART IVA -	BASES O	F DISCRIM	INATIO	IN FINE	DINGS AN	D ALLEGE	D IN SETT	LEMENTS								
	1					D. ODO	or progny	. m. i mrovi	n. en inn i	0 11 P 11 I	GED D LODG	D. CODERE D	(E) IDO						
						BASES					GED BASES					T			
			RACI	E			COLOR	RELIGION	REPRISAL	S	EX	NATIONA	L ORIGIN	EQUA	L PAY ACT	AGE	DISA	BILITY	GINA
	AMER. INDIAN/	ASIAN	NATIVE HAWAIIAN	BLACK/ AFRICAN AMERICAN	WHITE	TWO OR				MALE	FEMALE	HISPANIC/	OTHER	MALE	FEMALE		MENTAL	PHYSICAL	
	ALASKA		OTHER PACIFIC	AMERICAN		MORE						LATINO							
FINDINGS/ALLEGATIONS IN:	NATIVE		ISLANDER			RACES													
Counseling Settlement Allegations																			
1a. Number of Counselings Settled																			
1b. Number of Counselees Settled With																			
Complaint Settlement Allegations																			
2a. Number of Complaints Settled																			
2b. Number of Complainants Settled With																			
Final Agency Decision Findings																			
3a. Number FADs with Findings																			
3b. Number Complainants Issued FAD Findings																			
4 Al Designa Fig. Fig. 5																			
4. AJ Decision Findings	-		_																
4a. Number AJ Decisions With Findings																			
5. Final Agency Order Findings Implemented																			
5a. Number of Final Orders With Findings Implemented																			
5b. # of Complainants issued FOs with Findings Implemented																			
TOTAL SETTLEMENT ALLEGATIONS			1						-										
TOTAL FINAL ACTION FINDINGS						†													

		P	ART IVB -	ISSUES O	F DISCRIN	IINATIO	N IN FINI	DINGS AN	ID ALLEGI	ED IN SETT	LEMENTS															
									ISSU	ES OF DISCR	IMINATION	IN FINDING	S AND AL	LEGED I	SSUES IN S	SETTLEME	ENTS									
				CONVERSION												PROMOTION/							TERMS &			
	APPOINTMENT/	ASSIGNMENT		то		DISCIPLIN	ARY ACTION		DUTY	EVAL/	EXAM/	HARAS	SMENT	MEDICAL	PAY/	NON-	REASSI	GNMENT	REASONABLE	REIN-			CONDITIONS	TIME AND		İ
FINDINGS/ALLEGATIONS IN:	HIRE	OF DUTIES	AWARDS	FULL TIME	DEMOTION	REPRIMANE	D SUSPENSION	REMOVAL	HOURS	APPRAISAL	TEST	NON-SEXUAL	SEXUAL	EXAM	OVERTIME	SELECTION	DENIED	DIRECTED	ACCOMM	STATEMENT	RETIREMENT	TERMINATION	EMPLOYMENT	ATTENDANCE	TRAINING	ОТНЕ
Counseling Settlement Allegations							 																		 	┢
1a. Number of Counselings Settled																										
1b. Number of Counselees Settled With																										
Complaint Settlement Allegations							 	 																	 	<u> </u>
2a. Number of Complaints Settled							1	1																		
2b. Number of Complainants Settled With																										
Final Agency Decision Findings							\vdash																		\vdash	H
3a. Number FADs with Findings																										
3b. Number Complainants Issued FAD Findings																										
4. AJ Decision Findings							\vdash																		-	┢
4a. Number AJ Decisions With Findings																										
Final Agency Order Findings Implemented							\vdash	 																 	 	
5a. Number of Final Orders With Findings Implemented																										
5b. # of Complainants issued FOs with Findings Implemented																										
TOTAL SETTLEMENT ALLEGATIONS							$\vdash \vdash$	 																 	 	
TOTAL FINAL ACTION FINDINGS												1										1				

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

	,
AGENCY OR DE	PARTMENT: REPORTING PERIOD: FY
	PART V - SUMMARY OF CLOSURES BY STATUTE
A. STATUTE	(IF A SINGLE COMPLAINT HAS MULTIPLE STATUTES RECORD EACH ON THE APPROPRIATE LINE.)
1.	TITLE VII
2.	AGE DISRIMINATION IN EMPLOYMENT ACT (ADEA)
3.	REHABILITATION ACT
4.	EQUAL PAY ACT (EPA)
5.	GENETIC INFORMATION NONDISCRIMINATION ACT (GINA)
B. TOTAL BY S	TATUTES
	THIS NUMBER MAY BE LARGER THAN THE TOTAL NUMBER OF COMPLAINTS CLOSED.

PART VI - SUMMARY OF CLOSURES	BY CATEGO	RY		
		TOTAL	TOTAL	AVERAGE
		NUMBER	DAYS	DAYS
A. TOTAL NUMBER OF CLOSURES	(1+2+3)			
1. WITHDRAWALS				
a. NON-ADR WITHDRAWALS				
b. ADR WITHDRAWALS				
2. SETTLEMENTS				
a. NON-ADR SETTLEMENTS				
b. ADR SETTLEMENTS				
3. FINAL AGENCY ACTIONS	(B+C)			
B. FINAL AGENCY DECISIONS WITHOUT AN ADMINISTRATIVE JUDGE DECISION	(1+2+3)			
1. FINDING DISCRIMINATION				
2. FINDING NO DISCRIMINATION				
3. DISMISSAL OF COMPLAINTS				
C. FINAL AGENCY ORDERS WITH AN ADMINISTRATIVE JUDGE (AJ) DECISION	(1+2)			
1. AJ DECISION FULLY IMPLEMENTED	(a+b+c)			
(a) FINDING DISCRIMINATION				
(b) FINDING NO DISCRIMINATION				
(c) DISMISSAL OF COMPLAINTS				
2. AJ DECISION NOT FULLY IMPLEMENTED	(a+b+c)			
(a) FINDING DISCRIMINATION	(i+ii+iii)			
i. AGENCY APPEALED FINDING BUT NOT REMEDY				
ii. AGENCY APPEALED REMEDY BUT NOT FINDING				
iii. AGENCY APPEALED BOTH FINDING AND REMEDY				
(b) FINDING NO DISCRIMINATION				
(c) DISMISSAL OF COMPLAINTS				

(A1+A2+A3+A4+A5)

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY

STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: REPORTING PERIOD: FY SUMMARY OF CLOSURES BY CATEGORY (Continued) PART VI -TOTAL TOTAL **AVFRAGE** NUMBER DAYS **DAYS** D. FINAL AGENCY MERIT DECISIONS (FAD) ISSUED (1+2+3+4)1. COMPLAINANT REQUESTED IMMEDIATE FAD (1a+1b) a. AGENCY ISSUED FAD WITHIN 60 DAYS OF RECEIPT OF FAD REQUEST b. AGENCY ISSUED FAD MORE THAN 60 DAYS BEYOND RECEIPT OF FAD REQUEST 2. COMPLAINANT DID NOT ELECT HEARING OR FAD (2a+2b) a. AGENCY ISSUED FAD WITHIN 60 DAYS OF END OF 30-DAY ELECTION PERIOD b. AGENCY ISSUED FAD MORE THAN 60 DAYS BEYOND END OF 30-DAY ELECTION PERIOD 3. HEARING REQUESTED; AJ RETURNED CASE TO AGENCY FOR FAD WITHOUT AJ DECISION (3a+3b) a. AGENCY ISSUED FAD WITHIN 60 DAYS OF RECEIPT OF AJ RETURNED CASE FOR FAD ISSUANCE b. AGENCY ISSUED FAD MORE THAN 60 DAYS AFTER RECEIPT OF AJ RETURNED CASE FOR FAD ISSUANCE 4. FINAL AGENCY DECISION ISSUED ON A MIXED CASE (4a+4b) a. AGENCY ISSUED FAD WITHIN 45 DAYS AFTER INVESTIGATION b. AGENCY ISSUED FAD MORE THAN 45 DAYS AFTER INVESTIGATION PART VII - SUMMARY OF FORMAL COMPLAINTS CLOSED BY TYPES OF BENEFITS **NUMBER AMOUNT** . TOTAL COMPLAINTS CLOSED WITH BENEFITS B. CLOSURES WITH MONETARY BENEFITS TO COMPLAINANT 1. BACK PAY/FRONT PAY 2. LUMP SUM PAYMENT 3. COMPENSATORY DAMAGES 4. ATTORNEY FEES AND COSTS INTENTIONALLY LEFT BLANK E. CLOSURES WITH NON-MONETARY BENEFITS NUMBER OF CLOSURES NUMBER OF CLOSURES WITH F. TYPES OF BENEFITS NONMONETARY BENEFITS HIRES a. RETROACTIVE b. NON-RETROACTIVE **PROMOTIONS** a. RETROACTIVE b. NON-RETROACTIVE **EXPUNGEMENTS** REASSIGNMENTS REMOVALS RESCINDED a. REINSTATEMENT b. VOLUNTARY RESIGNATION **ACCOMMODATIONS TRAINING APOLOGY DISCIPLINARY ACTIONS** a. RESCINDED b. MODIFIED PERFORMANCE EVALUATION MODIFIED 11. LEAVE RESTORED 13

14.

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT:			REPORTING	PERIOD: FY	
PART VIII - SUMMARY OF PEN		I AINTS BY	CATEGORY		
FART VIII - SUMMART OF FEN	NUMBER	NUMBER	AVERAGE		DAYS PENDING
A. TOTAL COMPLAINTS PENDING (SAME AS PART II Line I)	PENDING	OF DAYS	DAYS		EST CASE
(1+2+3+4)					
1. COMPLAINTS PENDING WRITTEN NOTIFICATION					
(Acknowledgement Letter)					
2. COMPLAINTS PENDING IN INVESTIGATION					
3. COMPLAINTS PENDING IN HEARINGS					
4. COMPLAINTS PENDING A FINAL AGENCY ACTION					
4. COMPLAINTS PENDING A FINAL AGENCY ACTION					
PART IX - SUMMARY OF I	NVESTIGAT	IONS COMF	PLETED		
			TOTAL	TOTAL DAYS	AVERAGE DAYS
A. INVESTIGATIONS COMPLETED DURING REPORTING PERIOD		(1+3)			
1. INVESTIGATIONS COMPLETED BY AGENCY PERSONNEL		(a+b+c)			
a. INVESTIGATIONS COMPLETED IN 180 DAYS OR LESS					
b. INVESTIGATIONS COMPLETED IN 181 - 360 DAYS					
TIMELY COMPLETED INVESTIGATIONS UNTIMELY COMPLETED INVESTIGATIONS					
c. INVESTIGATIONS COMPLETED IN 361 OR MORE DAYS					
2. AGENCY INVESTIGATION COSTS			\$		\$
INVESTIGATIONS COMPLETED BY CONTRACTORS		(a+b+c)	*		<u> </u>
a. INVESTIGATIONS COMPLETED IN 180 DAYS OR LESS		(4 2 0)			
b. INVESTIGATIONS COMPLETED IN 181 - 360 DAYS					
1. TIMELY COMPLETED INVESTIGATIONS					
UNTIMELY COMPLETED INVESTIGATIONS INVESTIGATIONS COMPLETED IN 361 OR MORE DAYS					
CONTRACTOR INVESTIGATION COSTS			\$		\$
7. CONTINACTOR INVESTIGATION COSTS					

EEOC FORM 462 (REVISED MARCH 2009) page 6

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: REPORTING PERIOD: FY

E. STATUS OF ADR CASES IN COMPLETED/ENDED COUNSELINGS

NO FORMAL COMPLAINT FILED

ii. NO ADR ATTEMPT (aka Part X.E.1.d)

COMPLAINT FILED

i. NO RESOLUTION

REPORTING PERIOD

SETTLEMENTS WITH BENEFITS (Monetary and Non-monetary)

DECISION TO FILE COMPLAINT PENDING AT THE END OF THE

TOTAL CLOSED

b.

PART X - SUMMARY OF ADR PROGRAM ACTIVITIES INFORMAL PHASE (PRE-COMPLAINT) INTENTIONALLY LEFT BLANK B. ADR ACTIONS IN COMPLETED/ENDED COUNSELINGS COUNSELINGS **INDIVIDUALS** ADR OFFERED BY AGENCY 1. 2. REJECTED BY COUNSELEE INTENTIONALLY LEFT BLANK 3. TOTAL ACCEPTED INTO ADR PROGRAM C. ADR RESOURCES USED IN COMPLETED/ENDED COUNSELINGS (TOTALS) INHOUSE 1. 2. ANOTHER FEDERAL AGENCY PRIVATE ORGANIZATIONS, (e.g., CONTRACTORS, BAR ASSOCIATIONS, INDIVIDUAL VOLUNTEERS OR COLLEGE/UNIVERSITY PERSONNEL) MULTIPLE RESOURCES USED (Please specify in a comment box) 4. FEDERAL EXECUTIVE BOARD 5. 6. 7 COUNSELINGS **INDIVIDUALS** DAYS AVERAGE DAYS D. ADR TECHNIQUES USED IN COMPLETED/ENDED COUNSELINGS (TOTALS) **MEDIATION** 1. 2. SETTLEMENT CONFERENCES EARLY NEUTRAL EVALUATIONS 3. **FACTFINDING** 4 **FACILITATION** 5. 6 OMBUDSMAN PEER REVIEW 7. 8. MULTIPLE TECHNIQUES USED (Please specify in a comment box) 9. 10.

2. INTENTIONALLY LEFT BLANK

EEOC FORM 462 (REVISED MARCH 2009)

page 7

COUNSELINGS

INDIVIDUALS

DAYS

AVERAGE DAYS

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: REPORTING PERIOD: FY PART XI - SUMMARY OF ADR PROGRAM ACTIVITIES **FORMAL PHASE** A. INTENTIONALLY LEFT BLANK B. ADR ACTIONS IN COMPLAINT CLOSURES COMPLAINTS COMPLAINANTS 1 ADR OFFERED BY AGENCY 2 REJECTED BY COMPLAINANT 3. INTENTIONALLY LEFT BLANK TOTAL ACCEPTED INTO ADR PROGRAM ADR RESOURCES USED IN COMPLAINT CLOSURES (TOTALS) 1 **INHOUSE** 2 ANOTHER FEDERAL AGENCY 3. PRIVATE ORGANIZATIONS, (e.g., CONTRACTORS, BAR ASSOCIATIONS, INDIVIDUAL VOLUNTEERS OR COLLEGE/UNIVERSITY PERSONNEL) 4. MULTIPLE RESOURCES USED (Please specify in a comment box) 5 FEDERAL EXECUTIVE BOARD 6. 7 COMPLAINANTS **COMPLAINTS** DAYS AVERAGE DAYS ADR TECHNIQUES USED IN COMPLAINT CLOSURES (TOTALS) 1. MEDIATION 2. SETTLEMENT CONFERENCES 3. **EARLY NEUTRAL EVALUATIONS** 4. FACTFINDING 5. **FACILITATION** 6. **OMBUDSMAN** 7. MINI-TRIALS 8. PEER REVIEW 9. MULTIPLE TECHNIQUES USED (Please specify in a comment box) 10 11. 12. E. STATUS OF CASES IN COMPLAINT CLOSURES COMPLAINTS COMPLAINANTS DAYS AVERAGE DAYS 1. TOTAL CLOSED a. SETTLEMENTS WITH BENEFITS (Monetary and Non-monetary) b. WITHDRAWAL FROM EEO PROCESS c. NO RESOLUTION d. NO ADR ATTEMPT 2 INTENTIONALLY LEFT BLANK F. BENEFITS RECEIVED AMOUNT COMPLAINTS COMPLAINANTS MONETARY (INSERT TOTALS) a. COMPENSATORY DAMAGES b. BACKPAY/FRONTPAY c. LUMP SUM d. ATTORNEY FEES AND COSTS e NON-MONETARY (INSERT TOTALS) 2. a. HIRES i. RETROACTIVE ii. NON-RETROACTIVE b. PROMOTIONS i. RETROACTIVE ii. NON-RETROACTIVE c. EXPUNGEMENTS d. REASSIGNMENTS e. REMOVALS RESCINDED i. REINSTATEMENT ii. VOLUNTARY RESIGNATION ACCOMMODATIONS TRAINING h. APOLOGY i. DISCIPLINARY ACTIONS i. RESCINDED ii. MODIFIED j. PERFORMANCE EVALUATION MODIFIED k. LEAVE RESTORED

EEOC FORM 462 (REVISED MARCH 2009)

(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH) AGENCY OR DEPARTMENT: REPORTING PERIOD: FY PART XII - SUMMARY OF EEO ADR PROGRAM ACTIVITIES **EEO ADR RESOURCES** A. NO LONGER COLLECTED B. EMPLOYEES THAT CAN PARTICIPATE IN EEO ADR C. RESOURCES THAT MANAGE ADR PROGRAM (DOES NOT INCLUDE NEUTRALS AS REPORTED IN PARTS X. & XI.) IN-HOUSE FULL TIME (40 HOURS EEO ADR ONLY) IN-HOUSE PART TIME (32 HOURS EEO ADR ONLY) 2 IN-HOUSE COLLATERAL DUTY (OTHERS/NON-CONTRACT) 3. CONTRACT (ANOTHER FEDERAL AGENCY/PRIVATE ORGANIZATIONS) **AMOUNT** D. ADR FUNDING SPENT E. ADR CONTACT INFORMATION 1. NAME OF ADR PROGRAM DIRECTOR / MANAGER 3. TELEPHONE NUMBER _____ 4. EMAIL ___ F. ADR PROGRAM INFORMATION NO 1. Does the agency require the alleged responsible management official to participate in ADR? 1a. If yes, is there a written policy requiring the participation? 2. Does the alleged responsible management official have a role in deciding if the case is appropriate for ADR? CERTIFICATION AND CONTACT INFORMATION I certify that the EEO complaint data contained in this report, EEOC Form 462, Annual Federal Equal Employment Opportunity Statistical Report of Discrimination Complaints, for the reporting period October 1, through September 30, 2010 are accurate and complete. NAME AND TITLE OF CERTIFYING OFFICIAL: SIGNATURE OF CERTIFYING OFFICIAL: (Enter PIN here to serve as your electronic signature) TELEPHONE NUMBER: E-MAIL: NAME AND TITLE OF PREPARER: TELEPHONE NUMBER: DATE: E-MAIL:

EEOC FORM 462 (REVISED MARCH 2010)

The FY 2010 report (with the PIN entered) is due on or before November 1, 2010.

Appendix A - Comments