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NUCLEAR REGULATORY COMMISSION

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MEETING WITH THE

ADVISORY COMMITTEE ON MEDICAL USES OF ISOTOPES

(ACMUI)

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WEDNESDAY

APRIL 20, 2005

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The Commission met in open session, pursuant to notice, Commissioner Nils Diaz, Chairman of the Commission, presiding.

COMMISSIONERS PRESENT:

NILS J. DIAZ	Chairman of the Commission
EDWARD McGAFFIGAN, JR.	Member of the Commission
JEFFREY S. MERRIFIELD	Member of the Commission
GREGORY B. JACZKO	Member of the Commission
PETER B. LYONS	Member of the Commission

(This transcript produced from electronic caption media and audio and video media provided by the Nuclear Regulatory Commission.)

1 STAFF AND PRESENTERS:

2 DR. DOUGLAS EGGLI

3 DR. LEON MALMUD

4 DR. JEFFERY WILLIAMSON

5 DR. RICHARD VETTER

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P-R-O-C-E-E-D-I-N-G-S

CHAIRMAN DIAZ: Well, good afternoon, the Commission is very pleased to meet with ACMUI today. We do this I think once a year. So we're always looking forward to interacting with the Committee and being presented with your views about how our regulation of the medical isotope use by the community is ongoing.

We look forward to discussing the issues of the agenda. I'm sure that you realize that we have some schedule and that we're going to have to allow me and my fellow Commissioners time to question. So with that, I would ask my fellow Commissioners if there are any comments and if not, Dr. Malmud, proceed.

DR. MALMUD: Good afternoon, Chairman Diaz and Commissioners. I'm Dr. Leon Malmud, the current Chairperson of the NRC's Advisory Committee on the Medical Uses of Isotopes. We welcome this annual opportunity to meet with the Commission to inform you of some of the Committee's accomplishments.

Today we're going to highlight four areas where the Committee has provided or will provide training, will provide recommendations to the NRC staff. Three of these areas, the 10 CFR Part 35 Training and Experience Rule, the 20.05 ICRP recommendations and the dose reconstruction for the St. Joseph Mercy Hospital case represent efforts which were completed by the Committee during the last

1 year. The fourth area entails refining criteria for the definition of a medical
2 event.

3 Most of what you will be hearing today on this fourth
4 topic stems from the efforts of the Medical Events Subcommittee. We
5 believe that although the efforts are not yet complete that sufficient
6 progress has been made that such a briefing is in order.

7 Seated with me at the table today immediately to my left
8 is Dr. Jeffrey Williamson, a therapy physicist and chairperson of the
9 Medical Events Subcommittee and Dose Reconstruction Subcommittee.
10 Dr. Williamson will lead the discussion on two topics, the medical events
11 definition and the St. Joseph Mercy Hospital caregiver's exposure.

12 Dr. Douglas Eggli who is sitting immediately to my right is
13 a nuclear medicine physician, a nuclear radiologist and will lead the
14 discussion on Part 35 T&E Rule.

15 And two seats to my left is Dr. Richard Vetter, the
16 Radiation Safety Officer, who will lead the discussion which summarizes
17 the results of the review performed by ACMUI of the ICRP 20.05
18 recommendations. This review was completed at the request of the
19 Advisory Committee on Nuclear Waste in order to support the one day
20 topical meeting. If I may, therefore, I'll introduce our first speaker, Dr.
21 Eggli.

22 DR. EGGLI: Mr. Chairman, Commissioners, thank you.
23 Can I have the next slide please? As part of the revision of Part 35, the

1 ACMUI was asked to review the training and experience requirements for
2 all classes of authorized individuals.

3 Next slide please. The goal of ACMUI's
4 recommendations for training and experience requirements was to make
5 the requirements for training and education commensurate with the risk.
6 That is to develop a regulation --

7 COMMISSIONER MERRIFIELD: I think we need to go
8 one more slide. You're now on slide three and goals.

9 DR. EGGLI: I am now on slide three.

10 COMMISSIONER MERRIFIELD: One more. The folks
11 up in the booth, you need to go one more slide please.

12 DR. EGGLI: The regulation was to be risk-informed and
13 performance-based rather than prescriptive.

14 Next slide please. The ACMUI established a Training
15 and Education subcommittee. The initial discussions revolved around the
16 elements of training to be included, who should provide the training and
17 who could attest to the adequacy of that training.

18 Next slide please. The ACMUI felt that certifying boards
19 should remain actively involved in the process. Additionally, an
20 alternative pathway was recommended for individuals whose training
21 experience did not lead to board certification.

22 Next slide please. The ACMUI recommended that
23 training programs would be responsible for developing a curriculum that

1 would satisfy the broad educational and experience objectives required
2 by the regulation.

3 Next slide please. ACMUI did not recommend a specific
4 time allocation for individual curriculum components, instead
5 recommended a content to be mastered as part of the concept of a
6 performance-based regulation.

7 Next slide please. ACMUI felt that certifying boards
8 would not be able to certify competence but would be able to attest to
9 mastery of a requisite body of knowledge. Certification of confidence has
10 medical legal ramifications that were unacceptable to most certification
11 boards.

12 Next slide please. ACMUI recommended that the
13 attestation be performed by the training director who is responsible for
14 similar attestations of training experience to the certifying boards.

15 Next slide. However, NRC subsequently determined that
16 the public interest would be best served by requiring that an authorized
17 individual supply attestation from training experience.

18 Next slide. A proposed rule was published based on
19 ACMUI recommendations for the performance-based regulation.

20 Next slide. Subsequent to that the Organization of
21 Agreement States expressed concern over authorized user training and
22 experience requirements for Subparts 200 and Subpart 300 uses. The
23 concern hinged on specific didactic educational requirements, not the

1 total number of hours of training suggested by the rule and the rest of the
2 discussion will hinge around these Subparts 200 and 300 training and
3 education requirements.

4 ACMUI felt that it was appropriate that the total number
5 of hours of training be reduced from 1,000 hours to the recommended
6 700 hours. However, the distribution of training hours represented a
7 concern for ACMUI.

8 Next slide. The reason for that concern is the fact that
9 most clinical nuclear medicine in the United States covered under
10 Subparts 200 and 300 are performed by physicians trained and certified
11 by the American Board of Radiology. That represents approximately 70
12 percent of the clinical volume within the United States.

13 Next slide. Because of competing demands for training
14 time from new diagnostic modalities, radiology training programs are likely
15 to tailor their training time to NRC requirements. Within diagnostic
16 radiology, there are 11 content areas which must be mastered during the
17 training program. Diagnostic radiology training program is already a five-
18 year training program.

19 Next slide. American Board of Radiology has indicated
20 that it intends to require all diagnostic radiology residents to be trained to
21 Subpart 300 use certification. This means that Subpart 390, Training and
22 Education Requirements, have to be the basis for radiology training.
23 Radiology residencies will be required to train residents to the alternate

1 pathway requirements in large part because initially approximately 20
2 percent of radiology residents are not board certified in their first year of
3 practice and subsequently become board certified. If we do not train to
4 the alternate pathway requirements, these people will be unable to
5 become authorized users during that time prior to their board certification.

6 Next slide. ACMUI felt that the 200 hours of didactic
7 requirement was excessive and recommended 80 hours for Subpart 300
8 sub-uses. The recommendation was based on the input of ACMUI
9 members who actually designed and delivered these educational training
10 program.

11 Next slide. Since the total experience will be likely
12 limited to 700 hours, practical and clinical experience time would be
13 disproportionately reduced to accommodate for a 200 hour didactic
14 training requirement and in the final regulation now, the term didactic is
15 not used and it's substituted by classroom and laboratory training.

16 ACMUI was concerned about a potential adverse impact
17 on the time allotment for clinical and practical training. Nuclear medicine
18 training in diagnostic radiology is unique in that it emphasizes physiology
19 rather than anatomy. None of the other anatomically-oriented content
20 areas within diagnostic radiology reinforced this training. The other ten
21 areas are anatomically rather than physiologically-oriented.

22 Next slide. The components of the classroom and the
23 laboratory training are not well defined. This was in keeping with the

1 intent to make the rule performance-based rather than prescriptive.
2 However, with a specific requirement for hours of classroom and didactic
3 training, there is a relatively large requirement for training that training
4 directors are now uncertain about what will be accepted as qualifying
5 education.

6 Next slide. Training directors need to be certain that the
7 programs they design will meet the intent of the regulation particularly
8 because Agreement States although they have a high compliance
9 requirement for the regulation itself can have significantly different
10 implementations of the guidance and some of the explanation of what is
11 considered laboratory training will be defined in guidance space rather
12 than regulatory space.

13 Next slide. A discussion including NRC staff and
14 involved stakeholders to better define acceptable classroom and
15 laboratory components would be invaluable to program directors in their
16 efforts to design training programs that will satisfy the intent of the
17 regulation while yet providing adequate clinical experience. Thank you.

18 CHAIRMAN DIAZ: Thank you. We will continue and
19 then we'll ask questions all at the end.

20 DR. MALMUD: Thank you, Mr. Chairman. The next
21 presentation will be by Dr. Vetter. We changed the order. The medical
22 event definition by Dr. Williamson.

1 DR. WILLIAMSON: Okay. Well, thank you. May I have
2 slide 2 of my presentation. Let me describe the subcommittee charge. It
3 was charged with evaluating the appropriateness and justification for the
4 20 percent threshold in the current medical event rule; secondly, how to
5 best communicate risk associated with medical events; and thirdly,
6 development of basically recommendations to make the rule workable in
7 permanent interstitial brachytherapy with emphasis on prostate implants.

8 Why that is so important as you will see from our
9 presentation, the difficulties with the current rule are exaggerated or
10 appear with permanent implants and prostate brachytherapy with nearly
11 50,000 procedures a year is by far and away the most common form of
12 permanent seed implantation and now the most frequency practiced
13 indication for brachytherapy overall. So that is why so much of the talk
14 focuses on that.

15 Slide 3 please. I'd like to acknowledge my fellow
16 subcommittee members, Drs. Diamond and Nog, the radiation
17 oncologists on ACMUI, Mr. Lieto and Dr. Zelac who has served as the
18 staff liaison.

19 Slide 4. What I'd like to do is give you a little clinical
20 background on the procedure to give you a feel for the complexity and
21 difficulty of our task and why it is still in flux. I'll briefly sketch the main
22 areas where we have achieved consensus and point out that many
23 details yet are to be resolved, but I think we at least have the beginnings

1 of an approach we all agree on. I'll touch briefly on a few of the issues
2 that are still under discussion.

3 Next slide, slide No. 5. Slide No. 5 is not a publicly
4 available slide. What it is is showing you an artist's depiction and
5 photograph of what image-guided source insertion looks like for prostate
6 cancer. The basic idea is that a trans-rectal ultrasound probe is used to
7 image the patient, dynamically image the prostate. Fixed rigidly to that
8 probe is a large, thick template with a matrix, a rectangular matrix of holes
9 that served to guide the needles bearing the seeds in a direction parallel
10 to the probe.

11 The probe can be adjusted to control the depth, the
12 penetration into the patient. If you look at slide six, you can see an
13 ultrasound image that is illustrated there showing in the little white box
14 how you can actually see a needle. Then the white dots on the image
15 illustrate the different potential needle positions that exist.

16 Slide 7 please. This diagram illustrates the procedure
17 flow for the most commonly used method for achieving prostate implant.
18 So it consists of three parts. Two weeks before the procedure, the patient
19 comes and a volume study is done. Basically a set of preliminary images
20 with the ultrasound probe are taken. Then given the input from the
21 physician, the contoured target organ, critical anatomy, the absorbed
22 dose that the physician would like to give, preplanning is done and this is

1 used then to determine the source strength, the number of needles they
2 are loading and so on. The seeds are ordered.

3 Then the patient comes. The same apparatus is used
4 but this time for real and the arrows here indicate that it's an interactive
5 procedure with the physician re-imaging and watching as the needles are
6 inserted to make sure they can go into places as quickly as possible. So
7 these are all based on ultrasounds.

8 The third stage is then post implant evaluation. In this
9 setting which can be immediately after the procedure or as long as thirty
10 days after, x-ray CT imaging is used to define the location where the
11 seeds are and compute the final dose that the patient actually received.
12 You might anticipate what the difficulty is here which is that it's basically
13 doses from stage one have to be compared to post implant doses on
14 stage three with very little control over how this is achieved.

15 Slide eight shows a preplan that is done based on
16 volume imaging showing the very regular array of seeds in isodose
17 curves.

18 Slide 9 please. So one problem that can occur is that
19 during the procedure the patient anatomy can differ significantly from
20 what was seen on preplan. Depending on the treatment of the patient,
21 the prostate could have shrunk. The position may not be achieved
22 exactly. As the physician inserts the needles, the prostate responds by
23 becoming edimatiuous and swelling up, so it's of a different size. It also

1 moves when you put the needles in. So the bottom line is the authorized
2 user must be free to adapt the preplan to the anatomy as he or she sees
3 it at the time of the procedure.

4 Next slide please, number 10. This side is also not
5 publicly available, but it shows a CT image and you can see that the seed
6 positioning is much more irregular indicating that there is really somewhat
7 limited control over exactly where you place the seeds. Based on this
8 dose, post implant dose, this is considered to be the most definitive
9 estimate of delivered dose and is the one that would be used as an
10 endpoint in clinical trials. Published works by reputed practitioners in the
11 field demonstrate that on average this dose can be eight to ten percent
12 higher than the preplan dose with a standard deviation as high as 10
13 percent.

14 Slide 11 please. I won't go into the definition of current
15 medical event except to note that it is generally applied in prostate
16 brachytherapy to the preplan versus the post plan dose.

17 Slide 12 please. So is it justifiable? For temporary
18 implants, the subcommittee felt that it was a reasonable regulatory action
19 level so long as it is understood to be a surrogate for QA performance
20 and not an indicator for patient harm. For patient harm occurring at this
21 level would be highly dependent upon the dose, the proximity of critical
22 structures, the type of disease and so forth. No general statement could

1 be made that 20 percent will or will not cause injury. But it's nonetheless
2 a good endpoint for is the operation well run.

3 So generally, we felt for the reasons I have given that a
4 dose-based medical event definition is not workable for permanent
5 implants because of the limited control and the multi-stage nature of the
6 procedure.

7 Slide 13 gives some of the reasons which I have already
8 covered.

9 Another problem on Slide 14 is the wrong sight provision
10 of the medical event definition. It basically says if more than 50 percent
11 change in dose and 50 rem, that's a medical event. Because you cannot
12 control the position of the seeds or the geometry of the target organ, it's
13 probably almost in every prostate implant there is at least one voxel of
14 tissue that may exceed those criteria.

15 So what is the essence of our proposals? Number 15
16 please. It's basically to define medical event in terms of where the
17 sources are implanted rather than the dose delivered.

18 Essentially the idea would be, slide 16, to define a
19 medical event as being one in which the implanted activity in the target
20 volume differs by more than 20 percent. How exactly this would be
21 worded is still under discussion and hasn't achieved consensus.

22 Slide 17. Another problem that we attempt to address is
23 when the written directive is closed to revisions. As written now, basically

1 the authorized user can revise the written directive at any point up to and
2 following the final post implant dosimetry and this has caused some
3 abuse by certain authorized users who have used this as a loophole to
4 evade regulatory compliance with the medical event definition.

5 So I think that there is full consensus that medical event
6 written directive revisions should be allowed only for valid medical
7 indications and there are several proposals we are entertaining how to do
8 this, basically alternative definitions of written directive for prostate
9 implants.

10 Slide 18, I won't go into that since I'm running out of time.
11 I'll jump to Slide 19. We're still working on this as well, but our general
12 consensus is that medical events should be treated as a QA performance
13 surrogate and divorced as much as possible from patient harm.

14 Slide 20, the two implications that we have considered of
15 this premise is that the medical event reporting criteria to the patient and
16 relatives and so forth should be altered to make it less punitive.

17 And Slide 21, try to make the enforcement of medical
18 event more consistent with industry practice. I've listed some of the
19 principles here in order to make sure compliance with the reporting
20 requirement is followed and that the simple reporting of an event is not
21 seen as an invitation for punishment. Thank you, this concludes my
22 presentation.

23 CHAIRMAN DIAZ: Thank you.

1 DR. MALMUD: Thank you, Dr. Williamson. The next
2 presentation is by Dr. Vetter and it's on the review of the ICRP 2005 draft
3 recommendations in support of the ACNW. Dr. Vetter.

4 DR. VETTER: Thank you. The International
5 Commission on Radiological Protection makes recommendations on the
6 safe use of radiation. These recommendations are considered in
7 promulgating regulations in this country. Therefore, it's very important for
8 us to keep up to data on what those recommendations are. We will just
9 touch on a few of the issues that we have reviewed.

10 Slide 2 please. We will limit our comments to the items
11 of greatest interest to the ACMUI and will not comment on others that
12 have no bearing on our mission.

13 Next slide please. One of the elements of ICRP
14 recommendations continues to be the use of the concept of justification.
15 That is justification for radiation exposure. In the draft recommendations
16 for 2005, ICRP indicates a justification of a practice lies more often with a
17 profession than with government and justification for the application of
18 procedures falls on practitioners. So for example, justification of a new
19 modality falls primarily on the profession of medicine and justification of
20 the application or use of the modality in the care of a patient would fall on
21 the practitioner. The committee agrees with that discussion on
22 justification.

1 Slide 4 please. ICRP has been using the concept of
2 constraints for some time and in the 2005 recommendations, they go into
3 some more detail on their use of constraints. Frankly, many of us find
4 their discussion to be rather confusing. They apply constraints on both
5 sides of the limit. That is below the limit and above the limit.

6 Basically, a constraint is a restriction on dose. ICRP
7 considers that achieving constraints is obligatory for a -- it's an obligation
8 of a radiation safety program and if constraints are exceeded, that the
9 program has failed. Our committee considers the use of the word failure
10 in this context to be a very negative message, in fact, could be
11 counterproductive and think that we should be reserving the discussion of
12 program failure to radiation limits not to constraints.

13 Slide 5 please. An example of a constraint is the use of
14 a constraint or sublimit for a pregnant worker. ICRP recommends a
15 constraint of one millisievert. In this country, we have a current limit of
16 five millisieverts or 500 millirem for pregnant workers. That is to the
17 abdomen of a pregnant worker and we consider that to be a safe level. In
18 fact, that is a very small fraction of the threshold where we would see
19 developmental effects and the risk of childhood cancer as a result of
20 exposure to those levels during pregnancy would be negligible.

21 So we think the one millisievert constraint that ICRP uses
22 is more appropriate for an ALARA program and may be a good goal for

1 some programs but we do not feel that it's appropriate to use it as a
2 constraint.

3 Slide 6 please. If we look at some typical doses to
4 medical personnel, they typically are tens of millisieverts in the cardiac lab
5 and PET lab. And in the cardiac lab, constraining the dose, if you would,
6 they want to use the word constraint, constraining the dose to less than
7 five millisieverts is rather easy because the average energy of x-rays in
8 the cardiac lab are low enough that wearing a lead apron will attenuate 97
9 percent of the scattered radiation from use of the x-ray in the cardiac lab.

10 When you move to PET however, we have much, much
11 higher energies. It's 511 KEV annihilation radiation and personal
12 protective equipment basically has no effect on attenuation of that
13 radiation. So if we have tens of millisievert exposure to personnel in a
14 PET lab, the abdominal exposure is also going to be approaching that
15 and it would be very easy for exposures to the abdomen to exceed the
16 five millisievert, that's the 500 millirem in this country. Steps have to be
17 very deliberate in reducing those doses.

18 In general, nuclear medicine, it's not so much a problem
19 because those exposures tend to be less than five millisieverts anyway.
20 But we would emphasize that if the regulations were promulgated to
21 reduce the limit to the abdomen in the PET lab, that would be very
22 problematic.

1 Next slide please. Another use of constraint is in the
2 public dose arena. ICRP does say that it is appropriate to allow
3 exposures of a few millisievert to certain individual members of the public.
4 In this case, the constraint is above the limit of one millisievert. But
5 they're saying a constraint of few millisievert is appropriate in certain
6 cases. However, we should not be rigid in the application of that
7 constraint and should even allow it to go higher in certain circumstances.
8 An example they use is a constraint of as much as 20 millisieverts for a
9 parent of a child who has received radio iodine and receives considerable
10 care.

11 The NRC limit of five millisievert to a member of the
12 public from a radioactive patient has been working well. That is patients
13 who have been released from hospitals has been working well and the
14 NCRP in fact recommends five millisieverts for members of the public
15 who are exposed to those patients and even recommends in some rare
16 circumstances the limit should be as high as 50 millisievert if the caregiver
17 has received appropriate training and is monitored.

18 Next slide please. In a general sense, ICRP applies
19 public dose constraints to or constrains them to less than one millisievert
20 and suggests that an appropriate level is 0.3 millisievert. The committee
21 consider that application of that constraint to be problematic and
22 extremely costly in particular in designing and constructing medical
23 facilities. The NCRP uses a general, they don't call it constraint, a

1 general sublimit of 0.25 millisievert. However, they indicate that it's
2 appropriate to design medical facilities so that the limit to a member of the
3 public would be one millisievert, if it's designed per NCRP
4 recommendations. Their methodology contains considerable
5 conservatism.

6 The point the committee would like to make is that
7 ALARA is working. The concept of ALARA is working in medical radiation
8 safety programs and we think we should stick with that.

9 Next slide please. Just to underscore some more
10 recommendations from the NCRP or these recommendations from the
11 NCRP, they have recently published a physician statement in which they
12 reiterate a limit of one millisievert to members of the public, indicate that
13 that limit could be raised to five millisievert for caregivers of radiation
14 therapy patients and they don't limit it to those released, it could be
15 applied to those in the hospital as well. And in certain cases for care of a
16 child or a very sick elderly parent or something that the limit should be
17 raised to 50 millisieverts, once again, indicating that it would be
18 appropriate to provide appropriate training for those individuals and to
19 monitor those individuals.

20 Next slide please. Relative to worker doses, ICRP, as I
21 mentioned earlier, has recommended that the pregnant worker, the
22 effective limit for that worker is one millisievert because the limit to the
23 abdomen or the fetus would be one millisievert. That risk is very low as I

1 mentioned earlier and that would be problematic for certain areas of
2 medicine, in particular for the PET lab.

3 For workers, ICRP has reiterated a previous
4 recommendation of 20 millisieverts for radiation workers. Again, we
5 consider that problematic for some areas of the hospital, again, the PET
6 lab being perhaps the most problematic. Even though average
7 exposures to medical workers is less than 5 millisievert or 500 millirem,
8 even though the average is less than that, there are individuals for
9 instance in certain cardiac labs, certain PET labs, etc. whose exposures
10 do push the limit and to drop that limit would be particularly problematic
11 for those individuals. So we support the NRC's recommendations and
12 the current NRC annual limit of 50 millisieverts.

13 Final slide please. In conclusion, the ICRP has proposed
14 use of constraints. We find those constraints to be very confusing and
15 problematic and would lobby against the application of those in
16 promulgating NRC regulations and we also find that the ICRP proposed
17 occupational limits would be problematic for some modalities. Thank you
18 very much.

19 DR. MALMUD: Thank you. Our next presentation is by
20 Dr. Williamson again and this relates to the St. Joseph Mercy Hospital
21 case as presented for historical purposes. Dr. Williamson.

22 DR. WILLIAMSON: Thank you. The second slide
23 please. Now in contrast to the first presentation this is essentially a

1 completed work and has been responded to by the NRC staff. The
2 charge was to review Region III's dose reconstruction in this incident;
3 secondly, to review an alternate dose reconstruction methodology
4 proposed by Drs. Siegel and Marcus on behalf of the Society of Nuclear
5 Medicine; and thirdly, to make general recommendations to NRC
6 regarding dose reconstruction.

7 Slide 3 please. I'd like to acknowledge the subcommittee
8 members on this. This was again a technically complicated project.

9 Slide 4. I'll briefly review the chronology of the incident.
10 Nearly 300 millicurie oral administration was given to a patient, I-131, who
11 subsequently developed impaired kidney function. Despite the
12 admonitions of the radiation safety licensee and warnings to use shielding
13 and minimize time and so forth, the patient's daughter, a family member,
14 allegedly spent six to 21 hours per day in close proximity to the patient for
15 the course of the treatment. Region III's dose estimate was 15 rem. The
16 Marcus-Siegel critique argued this was too conservative by factors
17 ranging by anywhere from 1.6 to 17 depending on assumptions one
18 made.

19 Slide 5 please. So what we did is we reviewed these
20 calculations along with the Marcus-Siegel critique and performed our own
21 reconstruction using Monte Carlo simulations to a limited extent. In
22 addition, we interviewed the former RSO of the institution and interviewed

1 the Region III inspectors as well as reviewed documentation supplied to
2 us by the licensee.

3 Slide 6. So our findings were that the 15 rem estimate
4 was the most conservative estimate that seemed to us to be possible
5 without being totally implausible. We did find that the general ideas and
6 suggestions of the Marcus-Siegel critique had merit. For example, the
7 idea of distance reconstruction when data is lacking regarding exactly
8 where the patient was, using more sophisticated assumptions such as the
9 patient is a volume source instead of a point source and trying to
10 reconstruct overall decay times and distances seemed responsible. As a
11 result, our reconstruction of the dose was somewhat smaller, 9 rem
12 versus 15, under the most conservative scenario, than NRC's. We
13 thought that idea had merit.

14 Slide 7. A major issue however turned out to be that
15 actually the licensee disputed Region III's dwell time scenario basically
16 claiming based on what seemed to us to be a fairly thorough and more
17 contemporaneous investigation that actually 50 percent of the time the
18 daughter was behind the shield. This would reduce the DDE further to,
19 we estimate, four to six rem. One of our recommendations was that in
20 future incidences the inspection report should acknowledge and reflect
21 the alternative reconstruction of the licensee and give justification for
22 dismissing it, which the report didn't do.

1 Slide 8. Siegel-Marcus critique, we agreed with many of
2 their general suggestions about using more sophisticated tools in settings
3 that I have mentioned. We also agreed with the concept of using the
4 EDE rather than DDE, essentially average dose over the body core rather
5 than maximum dose as a regulatory endpoint in such cases which in fact
6 seems to be the NRC position as codified in its Regulatory Issue
7 Summary 03-4. However, we found that Drs. Marcus's and Siegel's
8 specific estimates were way off base numerically and that they used
9 numerical approximations that were too simplistic such as inverse square
10 law.

11 On Slide 9, there is a summary of the specific differences
12 regarding distance reconstruction, EDE versus DDE and so forth. You
13 can see there that despite the fact we have sympathy with their general
14 position, the numbers we think were very different and within a factor of
15 two of what the regions were.

16 Slide 10. So our general recommendations were that
17 more sophisticated tools are indicated, first of all when doses are near the
18 regulatory limit and some significant consequence hinges upon accuracy,
19 which it didn't actually in this case, when the licensee disputes the dose
20 reconstruction scenario by NRC or when the plausibility of the dose
21 reconstruction assumptions are suspect and/or data is not available, both
22 of which were the case here. Also when usual approximations are
23 suspect.

1 Slide 11. So our recommendations were to the NRC
2 staff, yes, encourage licensees in similar incidence to use the EDE which
3 the ACMUI felt was much more likely to be correlated with both any
4 injurious, non-stochastic injuries and epidemiological consequences of
5 exposure than would DDE. For disputed dose reconstruction, use ranges
6 and/or justify rejection of licensee scenario.

7 The third bullet is very important. The NRC should figure
8 out some method of exempting caregivers from the 500 mR limit when
9 warranted by humanistic and medical considerations and has great
10 sympathy for the point of view expressed in Dr. Vetter's talk and also as I
11 understand this has been acted upon. Our understanding from having
12 read the response of the staff was is that they felt our position regarding
13 dose reconstruction technically was not warranted and that there was so
14 much uncertainty in this case that common sense reconstruction should
15 be ignored in favor of the maximally conservation one that is barely
16 plausible.

17 So we found that essentially we were in disagreement
18 with the final staff determination on that point. Thank you. This
19 concludes my presentation.

20 DR. MALMUD: Thank you. We're available for
21 questions.

22 CHAIRMAN DIAZ: Thank you so very much, Drs.
23 Malmud, Williamson, Vetter and Egli. We appreciate your presentations

1 and the speed with which we just went all of those things. As you realize,
2 the Commission always get these ahead of time. So we are prepared to
3 the multi-speed and adapt as we can.

4 Let me just begin the questioning very quickly. On the
5 area of Part 35, of course, we've been working on this for a long time.
6 We just issued the rule. You made some additional comments on the
7 potential for adjusting some of the training. Is this an issue that still needs
8 to come back to the Commission or are your interactions with the staff
9 clearing the issue? I just didn't know exactly where we were.

10 DR. MALMUD: The issue remains one of concern
11 particularly today when most nuclear practitioners are trained as part of
12 radiology training programs and the radiology residency now includes
13 technologies that didn't exist 10 or 15 years ago, particularly MRI and CT.
14 So that the board requirements for nuclear medicine training within a
15 radiology residency are three months of the residency. Three months of
16 the residency obviously is about 600 hours, all totaled. That's inclusive of
17 all the clinical experience in reading the films.

18 The term didactic had been used to describe the 200
19 hour requirement of the 600 hours for the radiology residency and
20 number 1, 200 hours of didactic classroom work is excessive and there
21 isn't that much information to transmit of a classroom type. So the term
22 didactic has been replaced with classroom and laboratory which does
23 meet the feelings of most of the members of the committee but not all

1 because there remains concern that the definition of laboratory is not
2 specific enough.

3 In our institution, I'm speaking now personally not as a
4 member of the committee, laboratory means the clinical laboratory as
5 well. When I say I'm in the clinical laboratory, I'm seeing patients either
6 doing I-131 therapy or seeing patients we plan to treat with I-131 or doing
7 scans including cardiac, nuclear medicine and general nuclear medicine.

8 If that is the definition that we will be held to, we have no
9 problem. If the definition is a wet lab where we're doing experiments that
10 are not directly related to patient care, then we feel, some of us feel, that
11 we may be committing something intellectually dishonest if we affirm in a
12 statement for residency training that the trainee has had 200 hours of
13 classroom and laboratory work.

14 If the Commission feels that our definition of classroom
15 and laboratory is acceptable, we would like that to be, we would be very
16 happy with that ruling provided if that's the understanding because the
17 program directors do not want to have to be mealy-mouthed in their
18 definitions of words. They would rather be very straightforward and
19 honest and say this is what our residents have all received.

20 Now why is this an important issue? Because as the
21 presenter pointed out to you, most of our residents do achieve board
22 certification but in the first year after finishing training, they are not yet

1 board certified. Therefore, they must meet the standards for those who
2 are not board certified.

3 If that is the understanding and there's an agreement,
4 everyone I think is reassured, minimum standards are met and we believe
5 that the necessary information can be imparted, remembering also that all
6 of our residency candidates in radiology have received many other hours
7 of physics training which is all relevant to nuclear medicine because the
8 physics of imaging is the physics of imaging.

9 CHAIRMAN DIAZ: Thank you. Let me go to the issue of
10 events and the exemptions and so forth. I do happen to agree that ICRP
11 sometimes gets a little bit confusing when they use the word "constraints"
12 versus other type of more precise, I'll call it, either dose related or actually
13 related to the effects that radiation has. Without getting into that because
14 we could spend probably a day on that issue in here, let me just go to this
15 issue of exempting caregivers which is an issue that we grappled with
16 many years ago and Commissioner McGaffigan and I were at the
17 forefront of changing the 100 millirem to 500 millirem.

18 You're saying that that really should be a major
19 consideration. Up to what level? Up to the level of 50 millisieverts?
20 Should there be a limit into how much an exemption is an exception? I
21 think the Commission will have a serious time -- I will have a serious
22 problem with just a blanket exemption. There has to be some limits,
23 some assurance that a reasonable limit will not exceeded. Anybody?

1 DR. MALMUD: We agree with you and I would ask Dr.
2 Vetter if he would apply to that. Do you feel comfortable with it?

3 DR. VETTER: Sure. We don't have a consensus. We
4 haven't tried to receive a consensus on that, on a limit. But there is, I
5 think it would be safe to say, a general feeling that among the committee
6 members that we do need to do something beyond what we currently
7 have. The current regulations do allow us to release patients based on
8 the assumption and based on some calculations that a member of the
9 public could receive up to five millisieverts.

10 For in certain cases and in particular a very medically ill
11 patient who is hospitalized such as this case that Dr. Williamson just
12 reviewed where, and in this case the patient died, family members want
13 to spend time with that patient and in that particular case, the limit was
14 one millisievert. We simply feel, the committee feels something has to be
15 done about that. Now we have not tried to reach a consensus whether
16 that should be 50 millisievert or exactly what that should be or how we
17 should implement that.

18 CHAIRMAN DIAZ: Well, it certainly is an issue that we
19 need to grapple with because of course, the occupational dose of 50
20 millisievert is very well established. The dose that we established of five
21 millisievert was really almost a compromise saying there has to be
22 something done so that caregivers can be close to their families.

1 But there is also a responsibility that the Commission has
2 to make to ensure that licensees prevent, let's call it, almost unauthorized
3 or not well supervised exposures that could result in significant health
4 hazards. So I believe this is an issue that fundamentally we do care
5 about and that we're very concerned with. With that, Commissioner
6 McGaffigan.

7 COMMISSIONER McGAFFIGAN: Thank you, Mr.
8 Chairman. I have commented over the years on that one subject that it
9 God forbid, one of my children ever were in this circumstance you
10 wouldn't keep me out of it. I would be like that lady that's in Mercy
11 Hospital, but I hope I'm never in that circumstance.

12 I will tell folks, for any members of the public here that
13 this is a place where we're trying to help people and I have received a lot
14 of CT scans and a lot of PET scans and I even had 50 gray of radiation in
15 my left axial last year to help prevent melanoma from coming back. So
16 50 gray is 5,000 rads. You guys can do the calculations, it was right here,
17 as to what that is in rem but it's a lot and it's what we do to try to help
18 people.

19 One thing that Dr. Eggli said was that he was concerned
20 about different guidance on T&E and Dr. Malmud said the same thing.
21 This is a Category B degree of compatibility. We have said that from the
22 get-go, but you have said States might in the guidance level change that.
23 I hope that doesn't occur.

1 I hope we can make a decision with regard to the issue
2 that you raised as to what the words classroom and laboratory mean in a
3 way that's really going to be binding because I don't want a doctor who's
4 in Virginia or the District unable to practice in Maryland or visa versa or
5 somebody who's in New York City not being able to practice in
6 Connecticut and New Jersey or visa versa. This is an area where we
7 need to have national standards. So if you have indications that in
8 guidance space this could unravel, I urge you to call it to the staff and the
9 staff could call it to our attention.

10 DR. EGGLI: If I might respond just briefly. I think the
11 issue is that the Agreement States aren't required to adopt all of the
12 guidance and that the definition of what's laboratory will be in guidance
13 space rather than regulatory space. So there's a potential, sir. It's not to
14 say that will it occur, but I worry about the same issue as you've just
15 described.

16 COMMISSIONER McGAFFIGAN: Well, I call that to my
17 fellow Commissioners' attention. I wish we had known enough to handle
18 it. We did this massive rulemaking. We can't anticipate it. We can't
19 anticipate everything. We really intended that there be, despite concerns
20 from the Agreement States, that this be hard and fast and we didn't
21 subject the doctor as I said earlier to those differences. How am I doing?
22 Three minutes.

1 I would urge you. I think you're fairly unique in the world
2 in your existence. I'm not sure that the French regulator or the British
3 regulator has any thing like the Advisory Committee on the Medical Use
4 of Isotopes and this may be something you do individually, but I would
5 urge that you be very aggressive in conveying the medical community's
6 points of view to the ICRP. I know there are doctors on ICRP but in that
7 you make sure that people in other nations who practice medication as
8 you do also are paying attention to ICRP because it will come up and
9 potentially affect them.

10 That isn't really your job, but my fear is that although it's
11 just a few doctors in the U.S. and actually it would be doctors everywhere
12 and if it isn't doctors everywhere today, it will doctors everywhere ten
13 years from now as some of these modalities get more broader use. So I
14 hope, I have not memorized our comments to ICRP, they were quite
15 voluminous, but I hope your perspective was reflected. I know the
16 justification point was reflected, but I hope some of your points were
17 reflected in the staff's comments to ICRP and it's an ongoing process. It
18 is by no means over and whether we're going to adopt any of that stuff is
19 an independent decision that we will make.

20 So I urge you to pay attention. I'm on for a full and open
21 debate here. I will also point out to you something that I know is going to
22 happen at some point this year, or I think it's going to happen at some
23 point this year, OSHA is going to put out a request for information with

1 regard to occupational dose. Their occupational dose rules go back to
2 ICRP 2 and the 1970s and have been amended to be consistent with
3 Presidential guidance issued during President Reagan's Administration, I
4 believe, in 1987. I think somewhere in the process this request for
5 information going out, and again I would urge the medical community,
6 I'm addressing the medical community through you, to pay attention to
7 that request for information and to provide your perspective because it will
8 be very important.

9 I will say that I'm sympathetic on the medical events,
10 brachytherapy. Again, I wish we were -- we need to find a way to do
11 some bite-sized rulemakings that aren't resource intensive because I
12 warn you in the rulemaking area at the moment, security and all we have
13 to do there is this tidal wave, a tsunami, and a magnitude 9 earthquake
14 and having just done the medical rule to do the tweaks, it can't get
15 complicated. It has to be bite-size and frankly, I'm not sure anything is
16 bite-sized in medical especially because if it's meant to be binding, it
17 involves consultation with the Agreement States in a process that typically
18 lasts a long time. So I don't know whether I asked a question during that
19 time period. I gave you some free advise.

20 CHAIRMAN DIAZ: No, but I did learn a lot.

21 DR. WILLIAMSON: If I could make a quick comment on
22 that, it is really a difficult undertaking. You're asking for a simple
23 decidable, well-defined rule that applies to a process or activity even

1 when done by the best expert in the country has a certain amount of
2 variability to it.

3 COMMISSIONER McGAFFIGAN: No, I understand.

4 DR. WILLIAMSON: That's the difficulty.

5 COMMISSIONER McGAFFIGAN: And I wish -- up on
6 Capitol Hill which four of us came from, if we heard this testimony, there
7 would be a bite-size provision tucked in a bill somewhere and we would
8 try to solve it. That doesn't seem to be our rulemaking process.

9 CHAIRMAN DIAZ: Commissioner Merrifield.

10 COMMISSIONER MERRIFIELD: Going through this
11 relatively quickly on the issue of the T&E requirements and the concern
12 about where the States are going, I think one always needs to be careful
13 about anticipating what might happen when it hasn't already happened
14 and I'd rather give the benefit of the doubt to the States. That having
15 been said, I agree with Commissioner McGaffigan. I think the intent was
16 to try to have a uniform set of requirements here which is why we went
17 with the Option B. We'll just have to see how it plays out and respond if
18 indeed it's necessary.

19 On the ICRP recommendations, I think I'd agree with
20 fellow Commissioner that I appreciate the work you put into taking a look
21 at that. I have some concerns about some of the wording and the
22 methodology and I think you've raised some important questions and
23 things for us to think about.

1 I reflect, last week, I was at the convention on Nuclear
2 Safety which deals with principally reactor issues and we received
3 questions from our counterparts internationally why we had not adopted
4 various ICRP recommendations and the answer was we use ALARA and
5 we get the same outcomes. We needn't change our regulations just for
6 the sake of changing our regulations if from an outcome perspective we're
7 where we ought to be.

8 Relative to the dose reconstruction at St. Joseph's, I
9 recognize this was a complicated and a diplomatic course that all of you
10 had to go through. Related to the specific issue of patient care and the
11 caregiver, I agree with my fellow Commissioners. I would be open if
12 there's some further thought on what the right number would be. I think
13 when we agreed to try and increase that, I think it was with a shared
14 concern about the need for the empathy of the individuals involved and I
15 think we gave it our best shot recognizing our health and safety mission.
16 But I for one certainly have a continuing open mind on that.

17 On the issue of brachytherapy, I will ask a couple of
18 questions. I'm wondering given the recommendations you've laid out if
19 we were to go down that road and I realize you're only part way down the
20 road, but if we start going down that road, what kind of reduction would
21 you anticipate in the number of medical events reported?

22 DR. WILLIAMSON: In some areas, they would increase
23 because there was a series of potential medical events where large

1 number of seeds were placed outside of the prostate and that was by
2 evaded basically backdating or updating the revisions some time after the
3 procedure. So in that area, I think it would be tightened up and might
4 create a few more.

5 I think in the area of wrong site medical events is kind of
6 an unknown area. If you want my personal opinion, I think that it's
7 perhaps because of the ambiguity and what's perceived to be the
8 unenforceability of the rule that everybody's afraid to report marginal
9 cases and the issue of how to, as I have been told by your staff, interpret
10 that clause is not known.

11 But it would be interesting if somebody reported a case
12 to you and said to you, "I overdosed one voxel of tissue by 51 percent
13 because the seed was two millimeters off from the intended location."
14 What would you do? The Office of General Counsel did come up with an
15 interpretation of written directive revisions that is perceived as having
16 created a loophole and the reason the loophole is there is because it's a
17 dose-based criterion where there may be like a six to eight week period
18 from beginning to end of the procedure before you have the final
19 dosimetry and at the time you start planning it with a lot of variability. I
20 know that's a hedging answer. I don't know how to quantify the level of
21 event reporting, but I would hope that it would encourage people to report
22 things more so you'd have a better profile of what's going on.

1 COMMISSIONER MERRIFIELD: Yes. I think that's a
2 fair response. It may well be and I've been concerned before about
3 whether we've got the game a little too high on some of these issues and
4 where the right place ought to be. We have a requirement that we submit
5 to Congress an annual report about abnormal occurrences. An element
6 of that report clearly is the significant medical events based on dose.

7 Now part of your recommendation is that we perhaps
8 move away from some of that and if we move away from using the dose
9 criterion, ultimately what we have to figure out, and I think this is part of
10 what you all are going to have to continue to focus on a little bit, is what
11 are the criterion we're going to use to report to Congress that we have
12 abnormal occurrences. I'd like to see a little bit more focus on that. I
13 don't know if you have any preliminary comments.

14 DR. WILLIAMSON: I hesitate to speak for the
15 subcommittee because we haven't actually considered what would be the
16 impact on the abnormal event reporting criteria. We haven't really come
17 to completely a final resolution on the concept of dose either or at least, I
18 personally within the subcommittee, feel that dose is an important way
19 physicians specify their clinical intent and there should be at least a
20 limited role for that even in prostate implants though I completely agree
21 with the subcommittee consensus that the way it's being interpreted now
22 really does create, I think, some problems and confusion about what is a
23 medical event and what is not.

1 CHAIRMAN DIAZ: The bottom line has to be some
2 balance between the medical effectiveness of the procedure and the
3 public health and safety considerations.

4 DR. MALMUD: You are, of course, absolutely correct.
5 Perhaps we could summarize the problem so that you could understand
6 what we're deliberating currently. One can define the dose as the amount
7 of activity administered in the seeds or the dose calculated to the target
8 organ. There are two different ways of doing that.

9 Secondly, the target organ, the prostate which is the
10 example that we're using here, consider it to be a lemon, a lemon-sized
11 organ, sitting within an orange around it in the pelvis measured by an
12 ultrasound device which does not always differentiate the border of the
13 lemon from the border of the orange. So what is the target? Is the target
14 the prostate or is it the prostate and the soft tissue around it, ill-defined in
15 some instances by the ultrasound. In some institutions the initial
16 measurement is made with an ultrasound. In others it's made with a CT
17 scan. In others it's made with a newer technology, MRI, which gives
18 much better resolution and therefore, can define the prostate better and
19 define the target organ to a degree that was not possible only a few years
20 ago but which is not yet the national standard. So to apply new criteria to
21 a technique not yet universally available would be a mistake.

22 So now we have a variation in the definition of the dose,
23 meaning a variation in the target organ is it the prostate or is it the

1 prostate and the soft tissue around it, and then we have three different
2 means of measuring it; ultrasound, CT, MRI and they are not identical
3 imaging modalities. Furthermore, the actual measurement may be taken
4 at three different times, certainly at the time of treatment, but also pre-
5 treatment. If it's pre-treatment it's probably ultrasound measurement. If
6 it's during treatment it may be the rectal ultrasound or the intra-rectal
7 ultrasound or it may also be a CT that's obtained at that time.

8 And if it's after treatment, remember, the treatment itself
9 alters the size of the organ, because there's swelling in response to the
10 seeds being implanted. So now, the lemon itself is going to change size
11 within the orange around it. Therefore, the delivery of the therapy
12 depends upon the skill and experience of the therapist to a very large
13 degree because this is a system of precise estimates. And therefore, to
14 apply a 20 percent rule to it can get us into trouble and discourage the
15 application of the therapy when it is absolutely clinically appropriate or to
16 frighten a patient who has to be notified of a problem that wasn't a
17 problem.

18 Now, are there problems and Commissioner --
19 Chairman, you point out very correctly that there are problems. What
20 happens if in instilling 100 seeds 50 of them happen to line up in the
21 bladder, cause a radiation burn to the bladder and a fistula to the rectum?
22 That's a problem and that's what we're trying to deal with without
23 constricting the physician's ability to treat the patient, to find a system of

1 reporting that's sensitive enough to catch the outliers and we're working
2 on that.

3 CHAIRMAN DIAZ: And the bottom line is that same
4 patient that you're trying to restrain the radiation to the prostate if the
5 tumor is already encapsulated, you actually want to irradiate the orange.

6 COMMISSIONER McGAFFIGAN: The only point I was
7 going to make that, you know, I'm always looking for bite-sized things and
8 in nine years I haven't found one yet, but if this problem of interpretation
9 which creates a loophole was propagated by OGC, then maybe it can be
10 solved by OGC. And you may think it's a one-way sword and we're fixing
11 the loophole and we're not fixing all this other stuff and I'd be happy if you
12 guys could tell me how to draft that, but I would respectfully suggest if the
13 staff is really in agreement that there's a problem with an OGC
14 interpretive decision then maybe OGC can fix it.

15 DR. WILLIAMSON: I would like to clarify if I may, please,
16 my point.

17 COMMISSIONER MERRIFIELD: I'm really glad that I
18 asked this question by the way.

19 DR. WILLIAMSON: I don't think this is --

20 CHAIRMAN DIAZ: I am charging all of this to
21 Commissioner Merrifield at the next Commission meeting.

22 DR. WILLIAMSON: I don't want this to be construed as
23 a criticism of OGC I think there are some problems with the words --

1 COMMISSIONER McGAFFIGAN: That's all right, blame
2 the lawyers.

3 DR. WILLIAMSON: -- that are there and one reason we
4 have gotten -- you know, we were attempting, I think, to reconcile the
5 decision criteria and what is a medical event with the written directive to
6 essentially try to close the loophole, try to respect patient's -- you know,
7 promote patient safety and detect those practitioners that are beyond the
8 -- you know, in the tails of the standard distribution of practice skills
9 without constraining or making it difficult --

10 CHAIRMAN DIAZ: Gentlemen, you have come to the
11 right place.

12 COMMISSIONER MERRIFIELD: Mr. Chairman, since
13 it's my question, I just want to finish up.

14 COMMISSIONER JACZKO: Commissioner Merrifield is
15 working on his medial degree.

16 COMMISSIONER MERRIFIELD: No, no, no, no. I could
17 respond to that but I won't.

18 CHAIRMAN DIAZ: It pay more, it really pays more.

19 COMMISSIONER MERRIFIELD: Well, I mean, at the
20 end of the day, I think the search is for finding out what is truly meaningful
21 in terms of reporting and I think the heart of that is clearly where I'm
22 coming from, I think you're on the right track. Two final small things; I was
23 going to tweak you a little bit as I always do various people on the slides

1 and the number of acronyms and some of the language and I do that
2 because our audience is beyond just the folks here at the table and in the
3 room. It's our general public as a whole. It's important to use plain
4 English in order for them to understand it.

5 That having been said, I have to give you a compliment,
6 Dr. Malmud. You provided the clearest plain English explanation that I
7 think you could have. It was excellent. As an attorney --

8 (Laughter)

9 COMMISSIONER MERRIFIELD: -- and not an inside
10 person, I would compliment you on that.

11 CHAIRMAN DIAZ: Thank you very much,
12 Commissioner Merrifield. Commissioner Jaczko.

13 COMMISSIONER JACZKO: I want to follow up a little bit
14 on some of the points raised earlier. On the issue of exemptions -- I'll turn
15 on my microphone. Is your recommendation that there should be, I
16 thought I was hearing almost two levels but there would be a higher level
17 for exposure or whatever we determine the level to be for caregivers and
18 then an even higher level if there's training and monitoring?

19 DR. VETTER: Yes, the lower level would be one that
20 would be generally applied and the higher level would be for very unique
21 cases where the caregiver, the parent, for instance, of a child who was
22 actually actively involved in the care of that patient, and in order to apply

1 the higher limit, we would have to provide that parent with some training
2 and with radiation monitoring.

3 COMMISSIONER JACZKO: Okay, so that would be a
4 second level then, above the more general.

5 DR. VETTER: Yes, exactly but below a certain level as
6 well, as the Chairman points out , as necessary.

7 COMMISSIONER JACZKO: Right, so there would still
8 obviously be a limit there.

9 DR. VETTER: Yes. The example that we often use is
10 the recommendation of the NCRP in which the general limit for a member
11 of the public is one millisievert, that's you know, a wide application for
12 release of a patient who contains radio-iodine or other radioactive
13 materials for individual members who that person might come close to, 5
14 millisievert but then for a person who is actively involved in the care of
15 that individual, the 50 millisievert.

16 COMMISSIONER JACZKO: Thank you. One of the --
17 and this kind of follows up on some of the discussions we've had with the
18 concept of medical event and slide 19 of that presentation you talked
19 about a recommendation here and I mostly just want perhaps a better
20 understanding and this may have been subsumed by the discussion we
21 had but there you have recommendations to treat medical events strictly
22 as a QA performance surrogate divorced from patient harm. If you could

1 just explain to me a little bit more what you mean by that kind of a
2 concept.

3 DR. WILLIAMSON: All right, I think that it's based on the
4 widespread observation by the license community that the simple
5 reporting of a medical event triggers a punitive response. Even though
6 there may be no citation of a violation, a reactive inspection is triggered.
7 You know, from an institution's point of view, a big risk of liability and bad
8 publicity. From the physician's point of view sometimes there's an
9 intrusion into the patient/physician relationship occasioned by reporting
10 requirements, so one of the recommendations that has been made by the
11 subcommittee and not debated yet by the ACMUI, is that the reporting
12 requirements as written in the Part 35 should be triggered only in the
13 event where the medical event, in fact, has caused an injury or is of the
14 severity level that it could cause an injury and that would be a clinical
15 decision, perhaps made by a medical consultant.

16 It would not be able to be encoded in the rule. You
17 would not be able to say that 5 percent or 20 percent or even 50 percent
18 is necessarily going to be a patient injury.

19 COMMISSIONER JACZKO: So would that -- and this is
20 a very new issue for me, so would that be something other than a
21 medical event? Is that what you're suggesting that that would be?

22 DR. WILLIAMSON: No, that would be a medical event
23 but the reporting requirements and the responsibility to the patient as

1 codified in Part 35 would depend on a separate determination whether it
2 was material to the patient's future medical decision-making, whether it
3 necessarily would trigger all these requirements and you know, it would,
4 for example, not put the physician in the bind of having to trade off patient
5 confidentiality versus medical necessity, if in case, reporting a fairly trivial
6 kind of administrative medical patient might undermine the relationship
7 and actually hurt the treatment.

8 This has come up in my own experience as a practicing
9 medical physicist and others have related it too. And I think the more
10 vague and second point which we have yet to try to flesh out in more
11 detailed recommendations is how can the discovery of a medical event
12 and its reporting be made more sort of a constructive experience
13 structured along the methodology that we use within our clinics. We all
14 have active QA programs and risk management programs where events -
15 - we encourage the reporting and documentation of events. We actively
16 follow them up. We use them as tools for correcting and improving our
17 programs, and it's not something that occasions -- triggers a legal kind of
18 adversarial response and makes people hesitant to cooperate with the
19 system unless it's crystal clear that it's a medical event and we have to
20 accept all this punishment.

21 So how could enforcement policies be modified to, I
22 think, have the effect you clearly intended to have.

1 COMMISSIONER JACZKO: I just want to ask one final
2 question, changing directions a little bit and going back to the issue that
3 you talked about with the definition of didactic training and it seems that
4 the issue stems largely from the definition of laboratory. It's expected to
5 be the most -- before you answer that, that one was more rhetorical, I
6 think. The real question I have is, do you have any evidence right now
7 that there is going to be a disparate definition of laboratory from one state
8 to another or this is something that you see as a possibility or is there
9 evidence to indicate that?

10 DR. EGGLI: I think there is no evidence but the concern
11 comes from the issues of how the word laboratory is used. In some
12 academic practices it is used to mean the entire clinical operation but yet,
13 if you want to take a dictionary definition of laboratory, that's not the
14 definition. So, the question is, how will the definition be applied and
15 whose definition. Although yes, it's theoretical, it's a concept that in the
16 medical community means something different than it means in lay terms
17 and I think any time you have that kind of difference there's a significant
18 potential for interpretation bias.

19 COMMISSIONER JACZKO: Are there other existing de
20 -- I mean is that term use in other context where there would be some
21 kind of guidance?

22 DR. EGGLI: Well, I think there is guidance published in
23 the Federal Register and if the States would all adopt the guidance in the

1 Federal -- that was published in the Federal Register, then there is no
2 problem.

3 COMMISSIONER JACZKO: Okay, thank you.

4 CHAIRMAN DIAZ: Okay, Commissioner Lyons.

5 COMMISSIONER LYONS: This is also a very new area
6 for me, so forgive me if these questions are a bit naive but returning to the
7 point of the area that Commissioner Jaczko was just exploring maybe two
8 questions ago on the medical event definition and you focused on Slide
9 19 and I'm looking more at Slide 16. But also the point that
10 Commissioner Merrifield was making on what is meaningful to report, as
11 you Dr. Malmud went through your discussion of lemons and oranges, I
12 was finding myself wondering whether the 20 percent which is suggested
13 on that Slide 16 is at all meaningful to use Commissioner Merrifield's
14 words. It's not at all obvious to me that it's even reasonable that the
15 number should be anything approaching 20 percent, perhaps, much
16 larger.

17 I also found myself wondering whether there is sufficient
18 certainly in the dose that you wish to deliver to pretend that a 20 percent
19 variation is a magical number. Maybe I'm way off base on that question
20 and then my third question, again probably very naive, is -- can you
21 perhaps handle some of these questions by the way a patient consent is
22 worded? If a patient consent to a procedure is worded to forewarn the
23 patient of the vast range of uncertainties, and variables which you went

1 through for us, would that or could that fold into restricting the definitions
2 of medical events?

3 DR. MALMUD: The questions that you raise are not
4 naive. They're actually quite insightful and right on target. What we're
5 dealing with and I'm not a radiotherapist, I'm a nuclear physician by
6 training, so the radiotherapist could address this directly without me being
7 an intermediary but it's precisely the issues that I raised, the different
8 ways of measuring, the question about the anatomy, the change that
9 actually occurs in the anatomy during the course of therapy which alters
10 the dose, once the dose has been delivered because of the swelling
11 involved. And then the migration of some of the seeds, some of the
12 seeds do migrate.

13 COMMISSIONER LYONS: All of the above.

14 DR. MALMUD: They're all issues. The 20-percent rule
15 is a rule which can be applied retrospectively, which is what raised the
16 antennae on some individuals, suspecting that the calculations done
17 retrospectively were done to cover up a mistake rather than to give an
18 accurate measure of the dosimetry when, in fact, an accurate measure of
19 the dose can only be obtained after the therapy has been administered,
20 after the swelling is down and after we see the prostate retrospectively
21 and the seeds located in the prostate.

22 So the 20-percent rule is something that we're still
23 struggling with and we need a rule that puts some limits on how far away

1 from the intended dose the final dose should be. Perhaps, the members
2 of the committee who are most knowledgeable on this have approached it
3 by looking at how we calculate the dose to begin with, let's talk about the
4 dose in terms of the activity in the seeds that are being administered
5 rather than the ideal dose to the target organ which may be the prostate
6 or the prostate and soft tissue around it.

7 Then if we know we're giving 100 seeds that contain X
8 amount of activity, and we deliver the 100 seeds, we know we're okay. If
9 20 percent of those seeds wander for one reason or another, we're still
10 okay, but if 21 percent wanders, we've now crossed a threshold which
11 would require some kind of documentation.

12 The question then arises, should the patient be advised
13 that it's 21 percent rather than 20? Should we alert the patient
14 unnecessarily and create anxiety on the part of a patient who already is
15 being treated for cancer about a side effect which he may not
16 experience? And these are difficult questions which we are struggling
17 with right now. But I believe what the tone on the committee, excluding
18 myself, I'm not a radiotherapist, I think they can come to a resolution to
19 make recommendations.

20 COMMISSIONER LYONS: But could some of this be
21 handled in the patient consent process?

22 DR. MALMUD: The patient consent process, in general,
23 includes every possible untoward event that could occur including death.

1 So when one has consent forms that list all of the possible negative
2 outcomes, then is the patient really informed any longer? And the answer
3 is, we could go from one extreme to another. I recently had a procedure
4 done myself and the surgeon said to me one of the complications is
5 death. And we both laughed, we both laughed. I signed the form, of
6 course. One of the complications is death. You know, one out of 10,000
7 patients or so may die of anesthesia in the course of a procedure.

8 But when we get to the point where the surgeon is so
9 defensive in the Philadelphia area where malpractice is a major issue,
10 negligence insurance is a major issue, then we see that we cross into
11 another area which creates a new set of problems. So we're human. We
12 walk this narrow road between too little and too much and we try and do
13 the best that we can. I think with the talent that we have on the
14 committee, excluding myself, and with the staff that has been
15 extraordinarily supportive this year and I've watched the staff evolve, the
16 NRC staff that we work with, it's been a wonderful year for us to work with
17 them.

18 We've argued much more amongst ourselves than with
19 the staff and the staff has been there and been supportive.

20 CHAIRMAN DIAZ: I'm sorry to hear that.

21 (Laughter)

22 CHAIRMAN DIAZ: He has one comment but we need to
23 be quick and precise.

1 COMMISSIONER McGAFFIGAN: I just wanted to tell
2 the Commissioners, you know, this is deja vu all over again in some
3 sense. We tried -- you know, this was a major focus for the Part 35
4 rulemaking that we completed a few years ago and the patient notification
5 issue was one that Commissioners thought about, and I thought because
6 it's a long time ago now, my memory is fading, that we gave you some
7 flexibility in the patient notification area. We can revisit but revisiting when
8 you -- the Part 35 rulemaking for the two of you is about four or five
9 inches thick and went through numerous changes.

10 Now, we're into bite sized stuff, but as I said, I haven't
11 found that bite sized thing yet.

12 DR. WILLIAMSON: Okay, one quick comment; I think
13 your questions make a lot of sense. And what we have tried -- but it's
14 very confusing. One thing that's helpful and we thought about in guiding
15 our work is the medical policy statements which combines a boundary
16 between medical practice issues which are not the concern of the Nuclear
17 Regulatory Commission, and the patient safety which is. And so the
18 medical policy statement defines the patient safety component as being
19 let the physician decide, it's the physician's decision, that's not regulated.
20 The execution however, is fair game for regulations.

21 So when we say QA significance, we're trying to define a
22 more workable set of criteria that will help the staff be able to determine
23 when there are QA significant deviations from the delivery intended by the

1 physician and not to make it depend on all these difficult issues which you
2 raise which are different for all the different sites and really can't be
3 resolved by a set of regulations.

4 CHAIRMAN DIAZ: If I may take this opportunity to try to
5 close this meeting up, let me just make a comment because Dr. Malmud
6 keeps referring to himself as just a nuclear medical physician, a few years
7 ago, I'm not even going to say how many years ago, I used to cross those
8 bands. I used to spend you know, two half days in the Veterans Hospital
9 doing nuclear medicine and two half days doing radiation therapy and two
10 half days doing other things in the medical -- luckily for you, I have
11 forgotten all about it. So you have nothing to worry about.

12 But I -- what we are seeing is really the fact that as
13 technology and medicine advance, there are more precise measures that
14 can be taken. A few years ago, there were no seeds and people used to
15 be irradiated with electro-magnetic radiation which we can control a lot
16 less and that used to go, you know, all over the place no matter how we
17 advanced, but the fact of the matter is that we could not control the
18 deposition of the energy, we could not control it geometrically as well as
19 you can by implanting seeds.

20 Therefore, we always come to the same point in the
21 medical application of radiations, that there are variations in the human
22 beings, there are variations of responses, how the tissue responds, there
23 are variations on the skills that I apply. What the Commission really is

1 looking for is for the assurance that the right skills are applied at the right
2 technique. That's really the bottom line. What we are looking for is for
3 avoiding the potential unique, you know, random, uncontrolled
4 misapplication of a technique that could result in patient harm.

5 Within those bounds we have really worked for years
6 trying to come up with a rule that will be more performance based, that
7 will actually will be of more benefit to the nation and at the same time,
8 insure that we're doing what our job is. And you heard it over and over,
9 we are open, I believe, you know, if I reflect my fellow Commissioners, to
10 revisit these things in a manner that this is better for the people in our
11 country and that we don't unnecessarily alarm them but at the same way,
12 we need to exercise our responsibilities under the law to provide
13 protection of public health and safety. I'm trying to make that a summary.

14 DR. MALMUD: And we respect that. We know that we
15 certify through the specialty boards in each of the specialties that treats
16 patients, in radiology, radiation oncology, nuclear medicine and so on.
17 We certify radiation physicists in medicine and then we -- once they're out
18 in practice, we do look at performance based activity. We do that through
19 the credentialing committees of our hospitals, through the quality
20 assurance committees of our hospitals, through the morbidity and
21 mortality conferences that are held in every community hospital
22 throughout the United States and through the tumor conferences that are

1 held in every hospital throughout the United States. So there are many
2 peer reviews of performance by each of the specialities.

3 The goal always is to first do no harm and secondly, to
4 do good in the process of not doing harm. And what we're trying to do is
5 walk that fine line and we appreciate the need to establish standards so
6 that the public maintains its confidence in the health care system and that
7 even more importantly than maintaining its confidence that we provide
8 good medical care with as few complications as possible.

9 We recognize that that's a responsibility that also falls
10 onto the NRC indirectly because of the fact that we're using radiation and
11 we try and bring all these things together and that's why we struggle
12 amongst ourselves to come up with the wording that will meet the need
13 that will provide the patients with the safest, best care possible, not deny
14 them care because of excessive rulemaking and yet, not allow them to be
15 injured because of inadequate rulemaking.

16 CHAIRMAN DIAZ: I think that in many ways describes
17 what we try to do in many other issues.

18 COMMISSIONER McGAFFIGAN: Mr. Chairman, this is
19 perhaps my last meeting with the Advisory Committee on the Medical
20 Uses of Isotopes. I do want to thank you. As I said earlier, I think your
21 unique in the world in having this access to the regulator and providing
22 enormous time commitments on your part to get into the details of all this
23 stuff and I think is the advisory system at its best.

1 I've said this before to ACRS. If I have a chance, I'll say
2 it to ACNW. I think that this is federal science advice at its best and we
3 appreciate it or I appreciate it. I'm sure my colleagues do, too, but this
4 may be one of my last times ever to have a chance to say that.

5 CHAIRMAN DIAZ: Thank you so very much,
6 Commissioner McGaffigan. Any final comments?

7 COMMISSIONER MERRIFIELD: Mr. Chairman, I join
8 Commissioner McGaffigan in appreciating the presentation and the
9 quality of the work that we receive from ACMUI. I would, and again,
10 maybe it's the lawyer in me, I think this has been a pretty good lovefest
11 today and I think as we go down the road to thinking about medical
12 events, I think you do need to keep one thing in mind and we do have
13 reporting requirements to Congress and while there are many ways in
14 which we may change the way that we report medical events, I think if
15 you look back at the history of this particular area in which we have a
16 relatively small window of regulation in the medical community, and you
17 look at the statistics statistically, and this is most -- the vast majority of
18 this is as a result of the particular modalities themselves, but the rates of
19 malpractice are exceedingly low and I would like to think to some small
20 degree that the rigor of our regulatory authority has some small impact. I
21 don't know how we can quite measure that, but it has an impact on that.
22 And I think it's important that while we may have a better way of doing this
23 and be less intrusive, the backstop of having those reports and having us

1 as a regulator who can go after those few individuals who have been bad
2 actors in this particular community is important to keep in mind, too.

3 Thank you, Mr. Chairman.

4 CHAIRMAN DIAZ: Thank you very much. Any final
5 comments? If not, we really appreciate. It's been -- you know, I don't
6 think it's been a lovefest. I think it has been a goodfest and we have
7 actually benefitted from it. I hope you also have seen from the
8 Commission the interest that we have and we continue to be looking
9 forward to interacting with you and to your work and you with the staff.
10 With that, we're adjourned.

11 (Whereupon, the above entitled matter concluded.)

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