

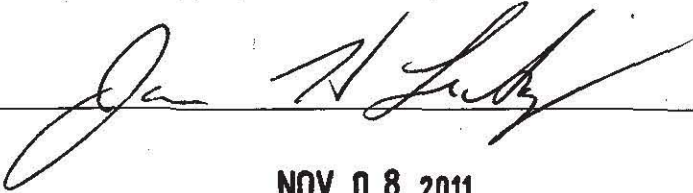
National Marine Fisheries Service
Endangered Species Act Section 7 Consultation
Biological Opinion

Agency: Permits, Conservation and Education Division of the Office of Protected Resources, National Marine Fisheries Service

Proposed Action: Proposal to issue permit No. 16174 to Michael Salmon, which would characterize the population structure, movements and spatial distribution of juvenile green sea turtles in different coastal reef habitats of southeastern Florida pursuant to Section 10(a)(1)(A) of the Endangered Species Act of 1973

Prepared by: Endangered Species Division of the Office of Protected Resources, National Marine Fisheries Service

Approved by:



Date:

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Section 7(a)(2) of the Endangered Species Act (ESA; 16 U.S.C. 1531 et seq.) requires each federal agency to ensure that any action authorized, funded, or carried out by such agency is not likely to jeopardize the continued existence of any endangered or threatened species or result in the destruction or adverse modification of critical habitat of such species. When an action of a federal agency "may affect" endangered or threatened species or critical habitat, that agency is required to consult with the National Marine Fisheries Service (NMFS) or U.S. Fish and Wildlife Service, depending on the species that may be affected. This biological opinion is the result of an intra-agency consultation between the Permits, Conservation and Education Division and the Endangered Species Division of the NMFS Office of Protected Resources. This opinion describes whether Permits, Conservation and Education Division's issuance of scientific research permit 16174 (Principal Investigator – Michael Salmon) would likely jeopardize the existence of the endangered green turtle.

This biological opinion has been prepared in accordance with section 7 of the ESA and regulations promulgated to implement that section of the ESA. This biological opinion is based on information provided in the research permit application, *Draft Environmental Assessment on the Effects of the Issuance of a Permit No. 16174 to Michael Salmon to conduct sea turtle research*, published and unpublished scientific information on the biology and ecology of endangered and threatened turtle, and other sources of information.

A brief account of the consultation history precedes the biological opinion. The biological opinion first describes the proposed permit and research activities, including activities that may affect listed species, and the action areas. Accounts of the various sea turtles, their life histories, population status and trends, and major threats follow. The *Environmental Baseline* section contains a discussion of the past and present activities that have affected these species in the action areas. The *Status of the Species* and the *Environmental Baseline* serve as the context for the analysis of the effects of the proposed action on these species. The *Effects of the Action* section describes the evidence and rationale behind our conclusion that these species are not likely to be jeopardized by issuance of the proposed research permit.

Consultation History

The Permits, Conservation and Education Division requested a consultation under the ESA in a memorandum dated June 13, 2011, on its proposal to issue scientific research permit 16174 for a two-year period. The applicant would be conducting research on listed green sea turtles (*Chelonia mydas*) in nearshore reef habitats off the southeastern Atlantic coast of Florida. Consultation was initiated on June 13, 2011.

Biological Opinion

Description of the Proposed Action

The Permits, Conservation and Education Division of the NMFS Office of Protected Resources proposes to issue a scientific research permit pursuant to section 10(a)(1)(A) of the ESA.

Permit 16174 would authorize Dr. Michael Salmon, of Florida Atlantic University, to annually capture 30 juvenile green sea turtles (*Chelonia mydas*) within nearshore reefs adjacent to Broward and Palm Beach counties off the southeastern coast of Florida. This work would determine the movements, abundance, and distribution of separate age classes of green sea turtles in different nearshore developmental habitats off the East coast of southern Florida.

The annual take is summarized in as follows:

Table 1: Maximum Annual Takes Under Permit No. 16174					
No. of Individuals	Life Stage	Species	Takes/animal*	In-water Take Activity(ies)**	Depth
10	Juvenile/subadult	green (Florida Pop)	2	Capture, measure, weigh, photograph, lavage, flipper tag, PIT tag, Sonic tag attachment, temporary carapace mark, release	Takes would occur at the shallow reef (2-6m deep)

20	Juvenile/subadult	green (Florida Pop)	2	Capture, measure, weigh, photograph, lavage, flipper tag, PIT tag, Sonic tag attachment, temporary carapace mark, release	Takes would occur at the deeper reef (9- 12m deep)
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* = Two takes per animal; initial tagging and gear removal.

** = Captured by hand and/or Dip nets in the waters of Broward and Palm Beach counties, Florida.

The new permit, if issued, would authorize the proposed research over a two-year period starting from the date of approval. The following provides additional detail on the methodologies that would be used under the proposed action:

Turtle Capture, Experimental Procedures and Minimization of Impacts

The following sections will describe how turtles will be captured and handled as well as the experimental procedures that will be carried out under the proposed action. This section will also note actions that will be taken to minimize the impact of these activities.

Turtles would be captured by a SCUBA diver, who would hand capture the juvenile turtles at night as they rest on the bottom. Turtles would be handled carefully to prevent injury during and after capture. Divers would immediately ascend to the surface (no decompression required) where they would hand the turtle to personnel on the boat. Each captured turtle would be carefully brought on board and placed inside a covered turtle transport box, taking precautions to minimize stress. During transport in the boat (approximately one hour), the turtles would be covered with a moist towel (to cover the head and eyes, not covering the nares) and supported on soft moist padding. Turtles would be taken ashore and transported to the FAU Marine Laboratory at Boca Raton using a climate controlled private vehicle. While in the laboratory, the turtles would be held in a circular, seawater-filled holding tank. Turtles will not be fed during captivity. No more than 3 turtles would be captured and transported to the Laboratory during a single night. The Laboratory would be equipped to house a maximum of 6 turtles at any particular time. A total of 30 turtles would be captured annually. Release would take place within 12 hours of capture. Turtles would be transported (as previously described) by boat to their capture site and released during daylight hours.

Morphometric data would be collected for each turtle captured using Vernier calipers and a flexible fiberglass tape. Measurements would include total straight-line carapace length (SCL), standard straight-line carapace length, minimum straight-line carapace length, minimum curved carapace length, and straight-line carapace width at the widest point. Turtles would be weighed to the nearest 0.1 kg using a spring scale. Turtles would also be photographed and carefully examined. During external examinations, notes on the condition of the turtle would be recorded such as the size and location of any tumors characteristic of fibropapillomatosis (FP), tag scars, carapace and flipper wounds, etc. Epibionts would be removed. Turtles with FP will be kept separate from other turtles and separate sets of measuring, weighing and tagging gear will be used. Each set of equipment would be used to measure and weigh turtles would be cleaned and disinfected with a mild disinfectant solution before each turtle is measured.

Researchers would extract dietary samples from 30 green turtles annually to provide insight into feeding habits, consumption levels, and diet selection (Legler 1977). Dietary samples would be carefully extracted from the captured green turtles using gastric lavage or stomach flushing as described in several previous studies, including Forbes and Limpus (1993) and Makowski et al. (2006). The lavage process flushes food items that are in the esophagus and mouth areas (Legler, 1977; Balazs, 1980; Forbes and Limpus, 1993). Turtles would be held on their back with their posterior end slightly elevated. After the turtle's mouth was opened, a standard veterinary canine oral speculum or similar mouth gag (small or medium, depending on the size of the turtle) would be inserted just posterior to the anterior tip of the rhamphotheca to keep the jaws from closing. A soft plastic veterinarian's stomach tube would be lubricated with vegetable oil and cautiously inserted into the mouth and down the length of the esophagus. Tube sizes would vary with the size of the individual turtle to avoid esophageal damage. Two sizes of surgical tubes would be available, as well as a set for FP turtles and a set for non-FP turtles. Seawater would be pumped through the tube, and the tube would then be gently moved back and forth along the length of the esophagus. The returning flow or the injected water out of the mouth carrying food particles would be collected in a sampling container held below. Samples would be preserved in a 5% formalin mixed with seawater.

Generally, the lavage process itself lasts under 30 seconds. The lavage process would be restricted to no more than 45 seconds. After completion of lavage, the water flow would be stopped and the posterior of the turtle would be slightly elevated to allow the tube to drain. Once drained, the tube would be removed first, followed by the mouth gag or PVC pipe. The anterior part of the turtle's body would then be slightly elevated relative to the posterior to allow any remaining water to drain into the esophagus, away from the glottis, so that the turtle could take a breath. Only one sample would be obtained per individual. All lavage equipment would be disinfected between animals.

All turtles would be checked for existing flipper tags and scanned for existing internal Passive Integrated Transponders (PIT) tags. If any turtle larger than 26 cm Notch-to-Tip (total length) has not been previously tagged, an oxidation and corrosion resistant metal tag (Inconel) would be applied to the proximal trailing edge of the left front flipper typically in either the first (closest to the body) or second scale. These tags are expected to last up to several years. If the recommended tagging site is damaged or is unsuitable for tag application, then an alternative site would be used. Prior to flipper tagging, tags would be cleaned and soaked to remove any residue. The tagging site would be swabbed thoroughly with disinfectant prior to tagging. Antibiotic ointment would be applied to the cutting tip of each tag just prior to attachment. A separate set of applicators will be used with turtles afflicted with FP. The applicant will make certain that the locking mechanisms are correctly aligned and that the tag locks in place. However, care should be taken to ensure tags are not cinched too tight against the flipper without room to move freely, and that the tag is not applied too far into the edge of the flipper. Ideally, 25-33% of the tag should extend beyond the edge of the flipper after application. This is especially important when applying tags to immature turtles that are still growing. Tag applicators (pliers) would be cleaned and disinfected with alcohol swabs between turtles to avoid cross contamination. Tag applicators would be washed in fresh water after use, the spring and pivot surface sprayed with WD40, and stored in a sealed plastic bag.

Should the turtle not have a PIT tag, a tag would be inserted into the left front flipper per established protocols (Wyneken et al. 2010) using a pre-packaged sterile 12-gauge hypodermic

needle. PIT needles are disposed of after application. These tags are expected to last indefinitely. Prior to the insertion of any tag, the skin in the target area would be scrubbed with an antiseptic. If a previously tagged turtle is missing any of its original tags, replacement tags would be applied.

Each of the turtles would be fitted with a sonic transmitter (weight in water 19 g, frequency 32-83 kHz) once transported to the laboratory. The weight of the transmitters would not exceed five percent of the turtle's body mass. Tags would be adhered to the animals using standard application procedures. The site of tag attachment would be swabbed with betadine solution. Two holes, each 3mm in diameter, would be drilled using a drill bit sterilized in chlorohexadine solution (Renaud et al., 1995; Seminoff et al., 2000). One sonic tag would be attached to the carapace and secured with plastic cable ties. Ties would be fitted snugly around the transmitter, which would be stabilized in a shallow bed of epoxy, minimizing the epoxy's contact with sutures. The transmitter would be attached to the carapace using an epoxy that cures releasing little heat that would not be injurious to animals. Each attachment would be made as hydrodynamic as possible, so that there is no risk of entanglement. Tag attachment would be conducted in a well-ventilated area and extreme care would be taken to ensure that no epoxy drips onto the skin of the turtle.

Each turtle will have a unique ID number painted on the dorsal carapace to enable observers to quickly identify individuals after release, using non-toxic epoxy paint that will be applied without crossing suture lines. Also, the applicant will not use paints with exothermic set-up reactions in order to ensure that there is no excessive heat that could affect the turtle as the paint cures. The expected life span of this number is 3-4 months.

At the conclusion of the study, the all tagged turtles will be recaptured using the same methods as outlined above, and the sonic transmitters removed. Removal of the transmitters at the end of the experiment is a non-invasive procedure. Tag removal would take place on the boat, wounds treated with antibiotics, and upon completion released immediately at capture site. The expected duration of tag attachment is 4 to 6 months (due to saltwater and sunlight). Thus, if for some reason a turtle is not recaptured, the sonic tag will eventually fall off of the turtle.

Permit Conditions

The following information outlines the main mitigation measures researchers would employ to minimize the potential for any adverse impacts to the target species (green sea turtles) as well as any additional ESA-listed species in the action area. The research project is designed to minimize the potential of any stress, pain or suffering. All the investigators and personnel involved are experienced in capturing sea turtles and will undertake the following precautions. Turtles will be handled carefully so they do not incur additional injury during or after research procedures. Antiseptic methods such as sterilizing equipment with bleach solution and the use of 10% povidone-iodine at tag sites will be standard protocol to prevent the transmittal of disease and prevent infection. Turtles found to have serious injuries will be evaluated for possible transport to a rehabilitation facility. The following specific research conditions will be placed on the research should permit (No. 16174) be issued to ensure compliance with appropriate research protocols:

1. The Permit Holder would ultimately be responsible for all activities of any individual who is operating under the authority of the proposed permit. The Principal Investigator (PI) would share this responsibility. Individuals operating under the specified Permit and conducting the activities authorized herein, must be approved by NMFS. Alternatively, there must be a NMFS approved individual present to supervise these activities until such time that the other individuals have been approved by NMFS.
2. Accidental Mortality of Authorized Sea Turtles: If a turtle is seriously injured or dies during sampling, the Permit Holder must cease research immediately and notify the Chiefs, Permits, Conservation and Education Division by phone (301-713-2289) as soon as possible, but no later than two days following the event. The Permit Holder must re-evaluate the techniques that were used and those techniques must be revised accordingly to prevent further injury or death. The Permit Holder must submit a written report describing the circumstances surrounding the event. The Permit Holder must send this report to the Chiefs, Permits, Conservation and Education Division, F/PR1, 1315 East-West Highway, Silver Spring, MD 20910. Pending review of these circumstances, NMFS may suspend authorization of research activities or amend the Permit in order to allow research activities to continue.
3. An annual report would be submitted and reviewed by NMFS for each year the permit is valid. In addition to an account of actual 'take' that occurred, the reports would include detailed descriptions of the animals' reactions, measures taken to minimize disturbance, research plans for the forthcoming year, and an indication as to when or if any results have been published or otherwise disseminated during the year. At the end of the proposed permit, the Permit Holder would submit a final report that includes: (1) a reiteration of the objectives and summary of results of the research and how they pertain to or further the research goals stated in the Permit application and NMFS conservation plan; and (2) an indication of where and when the research results would be published.
4. Instruments and equipment that are used for invasive procedures must be sterilized or disinfected with an appropriate disinfectant (e.g. mild bleach solution or 10% povidone-iodine) between animals, and shall be the appropriate weight/size ratio to the receiving animal.
5. When handling and/or tagging turtles displaying fibropapilloma tumors and/or lesions, researchers will use the following procedures:
 - Clean all equipment that comes into contact with the turtle (tagging equipment, tape measures, etc.) with a mild bleach solution, between the processing of each turtle, and
 - Maintain a separate set of sampling equipment for handling animals displaying fibropapilloma tumors and/or lesions.
 - Limit procedures conducted on compromised turtles.
6. All turtles shall be examined for existing tags, including PIT tags, before attaching or inserting new ones.

7. Flipper Tagging with Metal Tags – All tags shall be cleaned (e.g. oil residue) and disinfected before being used.
8. Lavage: The actual lavaging of an individual turtle must not exceed 45 seconds. Once the samples have been collected, water must be turned off and water and food allowed to drain until all flow has stopped. The posterior of the turtles will be elevated slightly to assist in drainage.
 - Equipment (e.g., lavage tubes) that will come in contact with sea turtles must be disinfected between animals. Additionally, a separate set of sampling equipment for handling animals displaying fibropapilloma tumors and/or lesions. Disinfection can be compromised (incomplete) if items are contaminated with debris and/or have rough or porous surfaces. Researchers shall clean items prior to disinfection and increase the exposure time for rough and/or porous items.
 - Disinfectants shall be used according to directions, however researchers shall ensure that contact time with disinfectant is sufficient (according to label directions; a dip and rinse is not sufficient) and lavage tubes shall be thoroughly physically cleaned prior to disinfection (viruses can remain protected in organic matter, the disinfectant can't get to them if they're protected in this matter).
 - Care shall be taken that disinfecting solutions are clean and active and that proper rinsing occurs after disinfection.
9. Sonic Tagging: Great care shall be taken to ensure that no resin, silicone, or epoxy drips onto the skin of the turtle. Tag attachment would be conducted in a well-ventilated area and that the epoxy that is chosen, cures releasing little heat that would not be injurious to animals. The weight of the transmitters would not exceed 5 percent of the turtle's body mass.
10. General Handling and Releasing of Turtles: The Principal Investigator, Co-investigator(s), or Research Assistant(s) acting on the Permit Holder's behalf must use care when handling live animals to minimize any possible injury, and appropriate resuscitation techniques must be used on any comatose turtle prior to returning it to the water. Whenever possible, stressed or injured animals should be transferred to rehabilitation facilities and allowed an appropriate period of recovery before return to the wild. An experienced veterinarian, veterinary technician, or rehabilitation facility must be named for emergencies. All turtles must be handled according to procedures specified in 50 CFR 223.206(d)(1)(i).
11. Turtles are to be protected from temperature extremes of heat and cold, and kept moist during sampling. The turtle will be placed on pads for cushioning and this surface will be disinfected between turtles. The area surrounding the turtle may not contain any materials that could be accidentally ingested.
12. During release, turtles shall be lowered as close to the water's surface as possible, to prevent potential injuries.

13. Transport and Holding:

- Turtles are to be transported via a climate-controlled environment, protected from temperature extremes of heat and cold, and kept moist. The turtle will be placed on pads for cushioning. The area surrounding the turtle may not contain any material that could be accidentally ingested.
- Turtles transported to a facility and held (e.g. for rehabilitation) must be maintained and cared for under the “Care and Maintenance Guidelines for Sea Turtles Held in Captivity” issued by the U.S. Fish and Wildlife Service or the State of Florida.

14. In waters where manatee are present: The following conditions to the Permit are to prevent interactions with endangered Florida manatees (*Trichechus manatus*):

- Vessel personnel must be informed that it is illegal to intentionally or unintentionally harm, harass, or otherwise “take” manatees.
- Crew involved in research activities shall wear polarized sunglasses to reduce glare while on the water and keep a look out for manatee. Look for swirls on the water and other signs of manatee.
- Contact the Florida Fish and Wildlife Conservation Commission, Division of Law Enforcement, 1-888-404-FWCC [3922]. Immediately contact Nicole Adimey of the U.S. Fish and Wildlife Service at 904-232-2580 x 123 (weekdays), fax 904-232-2404, and 904-669-9257 (weekends) to report any gear or vessel interactions with manatees. Also contact NMFS (Chief, Permits, Conservation and Education Division at 301-713-2289) as soon as possible.

15. *Johnson’s sea grass and critical habitat.* No research activities will be conducted over, on, or adjacent to Johnson’s sea grass or in Johnson’s sea grass critical habitat. (This habitat is described in the Johnson’s sea grass critical habitat final rule of April 5, 2000)

16. *Other sea grass species.* Researchers will avoid conducting research over or immediately adjacent to any non-listed sea grass species. If these non-listed species cannot be avoided, then the following avoidance/minimization measures shall be implemented:

- In order to reduce the potential for sea grass damage, anchors will be set by hand when water visibility is acceptable.
- Researchers will take great care to avoid damaging any sea grass species and if the potential for anchor or net drag is evident researchers will suspend research activities immediately.

Approach to the Assessment

NMFS approaches its section 7 analyses of research permits through a series of steps. The first step identifies those aspects of proposed actions that are likely to have direct and indirect physical, chemical, and biotic effects on listed species or on the physical, chemical, and biotic

environment of an action area. As part of this step, we identify the spatial extent of these direct and indirect effects, including changes in that spatial extent over time. The results of this step define the action area for the consultation. The second step of our analyses identifies the listed resources that are likely to co-occur with these effects in space and time and the nature of that co-occurrence (these represent our exposure analyses). In this step of our analyses, we try to identify the number, age (or life stage), and gender of the individuals that are likely to be exposed to an action's effects and the populations or subpopulations those individuals represent. Once we identify which listed resources are likely to be exposed to an action's effects and the nature of that exposure, we examine the scientific and commercial data available to determine whether and how those listed resources are likely to respond given their exposure (these represent our response analyses).

The final steps of our analyses – establishing the risks those responses pose to listed resources – are different for listed species and designated critical habitat (these represent our risk analyses). Our jeopardy determinations must be based on an action's effects on the continued existence of threatened or endangered species as those "species" have been listed, which can include true biological species, subspecies, or distinct populations of vertebrate species. Because the continued existence of species depends on the fate of the populations that comprise them, the continued existence of these "species" depends on the fate of the populations that comprise them.

Similarly, the continued existence of populations are determined by the fate of the individuals that comprise them; populations grow or decline as the individuals that comprise the population live, die, grow, mature, migrate, and reproduce (or fail to do so).

Our risk analyses reflect these relationships between listed species, the populations that comprise that species, and the individuals that comprise those populations. Our risk analyses begin by identifying the probable risks actions pose to listed individuals that are likely to be exposed to an action's effects. Our analyses then integrate those individual risks to identify consequences to the populations those individuals represent. Our analyses conclude by determining the consequences of those population level risks to the species those populations comprise.

We measure risks to listed individuals using the individuals' "fitness," or the individual's growth, survival, annual reproductive success, and lifetime reproductive success. In particular, we examine the scientific and commercial data available to determine if an individual's probable lethal, sub-lethal, or behavioral responses to an action's effect on the environment (which we identify during our response analyses) are likely to have consequences for the individual's fitness.

When individual, listed plants or animals are expected to experience reductions in fitness in response to an action, those fitness reductions are likely to reduce the abundance, reproduction, or growth rates (or increase the variance in these measures) of the populations those individuals represent (Stearns, 1992). Reductions in at least one of these variables (or one of the variables we derive from them) is a necessary condition for reductions in a population's viability, which is itself a necessary condition for reductions in a species' viability. As a result, when listed plants or animals exposed to an action's effects are not expected to experience reductions in fitness, we would not expect the action to have adverse consequences on the viability of the populations

those individuals represent or the species those populations comprise (e.g. Mills and Beatty 1979; Anderson 2000; Brandon 1978; Stearns 1992). As a result, if we conclude that listed plants or animals are not likely to experience reductions in their fitness, we would conclude our assessment.

Although reductions in fitness of individuals are a necessary condition for reductions in a population's viability, reducing the fitness of individuals in a population is not always sufficient to reduce the viability of the population(s) those individuals represent. Therefore, if we conclude that listed plants or animals are likely to experience reductions in their fitness, we determine whether those fitness reductions are likely to reduce the viability of the populations the individuals represent (measured using changes in the populations' abundance, reproduction, spatial structure and connectivity, growth rates, variance in these measures, or measures of extinction risk). In this step of our analyses, we use the population's base condition (established in the *Environmental Baseline* and *Status of the Species* sections of this Opinion) as our point of reference. If we conclude that reductions in individual fitness are not likely to reduce the viability of the populations those individuals represent, we would conclude our assessment.

Reducing the viability of a population is not always sufficient to reduce the viability of the species those populations comprise. Therefore, in the final step of our analyses, we determine if reductions in a population's viability are likely to reduce the viability of the species those populations comprise using changes in a species' reproduction, numbers, distribution, estimates of extinction risk, or probability of being conserved. In this step of our analyses, we use the species' status (established in the *Status of the Species* section of this Opinion) as our point of reference. Our final determinations are based on whether threatened or endangered species are likely to experience reductions in their viability and whether such reductions are likely to be appreciable.

To conduct these analyses, we rely on all of the evidence available to us. This evidence might consist of monitoring reports submitted by past and present permit holders; reports from NMFS Science Centers; reports prepared by natural resource agencies in states, and other countries; reports from domestic and foreign non-governmental organizations involved in marine conservation issues, the information provided by the Permits, Conservation and Education Division when it initiates formal consultation, and the general scientific literature.

During each consultation, we conduct electronic searches of the general scientific literature using *American Fisheries Society*, *Google Scholar*, *ScienceDirect*, *BioOne*, *Conference Papers Index*, *JSTOR*, and *Aquatic Sciences and Fisheries Abstracts* search engines. We supplement these searches with electronic searches of doctoral dissertations and master's theses. These searches specifically try to identify data or other information that supports a particular conclusion (for example, a study that suggests sea turtles will exhibit a particular response to tagging) as well as data that does not support that conclusion. When data are equivocal, or in the face of substantial uncertainty, our decisions are designed to avoid the risks of incorrectly concluding that an action would not have an adverse effect on listed species when, in fact, such adverse effects are likely.

We rank the results of these searches based on the quality of their study design, sample sizes, level of scrutiny prior to and during publication, and study results. Carefully designed field

experiments (for example, experiments that control potentially confounding variables) are rated higher than field experiments that are not designed to control those variables. Carefully designed field experiments are generally ranked higher than computer simulations. Studies that produce large sample sizes with small variances are generally ranked higher than studies with small sample sizes or large variances.

Action Area

The action area is defined in 50 CFR 402.2 as “all areas to be affected directly or indirectly by the Federal Action and not merely the immediate area involved in the action.” The action area under these proposed activities would be as follows for the next two years:

File No. 16174: The study would be conducted on two nearshore reef sites have been selected on the basis of water depth: the “shallow” reef site (2-6 m deep) and the “deeper” reef site (9-12 m deep) adjacent to Broward and Palm Beach counties (26°46N – 26°14N) off the southeastern coast of Florida (Appendix 1). The turtles will then be transported to the Florida Atlantic University (FAU) Marine Laboratory in Boca Raton, Florida.

Status of the Species

The following listed species under the jurisdiction of NMFS may occur in the action areas that would be covered under the proposed issuance of Section 10 research permit (16174) to the applicant and may be affected:

<u>Common Name</u>	<u>Scientific Name</u>	<u>Listing Status</u>
<i>Sea Turtles</i>		
Green sea turtle*	<i>Chelonia mydas</i>	Endangered/Threatened
Hawksbill sea turtle	<i>Eretmochelys imbricata</i>	Endangered
Kemp’s ridley sea turtle	<i>Lepidochelys kempii</i>	Endangered
Leatherback sea turtle	<i>Dermochelys coriacea</i>	Endangered
Loggerhead sea turtle	<i>Caretta caretta</i>	Threatened

* Green sea turtles in U.S. waters are listed as threatened except for the Florida breeding population, which is listed as endangered. Because we are unable to distinguish between the populations away from the nesting beaches, green sea turtles are considered endangered wherever they occur in U.S. waters.

Marine Invertebrates & Plants

Staghorn Coral	<i>Acropora cervicornis</i>	Threatened
Elkhorn Coral	<i>Acropora palmate</i>	Threatened
Johnson’s seagrass	<i>Halophilia johnsonii</i>	Threatened

Marine Mammals

N. Atlantic right whale	<i>Eubalaena glacialis</i>	Endangered
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Critical Habitat

North Atlantic Right Whale

Staghorn Coral
Elkhorn Coral
Johnson's Seagrass

Species Not Affected or Not Likely to be Adversely Affected

Loggerhead, Kemp's ridley, leatherback, and hawksbill sea turtles occur within the action areas and could be subject to disturbance from divers and the research vessel. However, researchers will be capturing turtles by hand while SCUBA diving. This is a directed capture method which allows them to be very selective as to what species they interact with and capture. Only green turtles authorized under the research permit will be affected. No other species will be affected by the activities authorized under the proposed action. Therefore, NMFS has determined that the Kemp's ridley, leatherback, hawksbill, and loggerhead sea turtles are not likely to be affected by the proposed action and will not be considered further in this Opinion. Background information on the range-wide status of these species can be found in a number of published documents including status reviews and recovery plans; Kemp's ridley (NMFS and USFWS 2010), loggerhead (NMFS and USFWS 2009), hawksbill (NMFS and USFWS 2007a), and leatherback (NMFS and USFWS 2007b).

Critical habitat has been designated for three sea turtles: green (50 CFR Section 226.208), hawksbill (50 CFR Section 226.209) and leatherback (50 CFR Section 226.207). No critical habitat for any of these sea turtles is located within the action area.

Critical habitat for the North Atlantic right whale (50 CFR Section 226.203) exists within the Brevard County, FL survey area. However, sampling methods as proposed by the applicant are not expected to affect habitat conditions suitable for calving success. Therefore, the proposed actions is not likely to adversely affect critical habitat for the North Atlantic right whale and this resource will not be considered further in this Opinion.

Staghorn coral, Elkhorn coral (50 CFR Section 226.216) and Johnson's seagrass (50 CFR Section 226.213), and each of their respective critical habitats may occur within the action area and could be therefore be subject to physical disturbance or from unexpected contaminant or fuel spill pollution from the research vessel. However, because the research is directed offshore and because the vessel used would transit to and from designated port areas, the researchers are not expected to impact the sediment or bottom habitat for coral or Johnson's seagrass. Also, the research team has experience performing similar types of surveys and would be expected to take all proper precautions to avoid a contaminant spill and to take the necessary steps to address a spill that occurs to minimize or avoid disturbance to listed species and critical habitat occurring in nearshore waters. Listed corals and Johnson's seagrass as well as their respective critical habitats are highly unlikely to be exposed to effects from the proposed action and any potential threats are discountable. Therefore, the proposed action is not likely to adversely affect Staghorn coral, Johnson's seagrass, or their respective critical habitat and these listed resources will not be considered further in this Opinion.

Species Likely to be Adversely Affected

The green sea turtles are likely to be adversely affected.

Green turtle (*Chelonia mydas*)

Listing Status, Description of Species and Critical Habitat

The green sea turtle was listed in 1978 as threatened, except for the Florida and Pacific coast of Mexico breeding populations which were listed as endangered. Critical habitat for the green sea turtle has been designated for the waters surrounding Isla Culebra, Puerto Rico and its associated keys.

Adult green turtles commonly reach a meter in carapace length and 150 kg in mass. The mean size of female green turtles nesting in Florida is 101.5 cm (n = 90, SD = 5.8) standard straight carapace length and 136.1 kg (n = 15, SD = 17.7) body mass. Green turtles have a smooth carapace with four pairs of lateral (or costal) scutes and a single pair of elongated prefrontal scales between the eyes. Hatchling green turtles weigh approximately 25 g, and the carapace is about 50 mm long. The dorsal surface is black, and the ventral surface is white. The plastron of Atlantic green turtles remains a yellowish white throughout life, but the carapace changes in color from solid black to a variety of shades of grey, green, brown and black in starburst or irregular patterns (Lagueux 2001).

Life History

Scientists estimate green turtles reach sexual maturity anywhere between 20 and 50 years, at which time females begin returning to their natal beaches (i.e., the same beaches where they were born) every 2-4 years to lay eggs (Balazs 1982; Frazer and Ehrhart 1985), while males may mate every year (Balazs 1983). Adult females migrate from foraging areas to mainland or island nesting beaches and may travel hundreds or thousands of kilometers each way.

Green sea turtle mating occurs in the waters off the nesting beaches. The nesting season varies depending on location. In the southeastern U.S., females generally nest between June and September, while peak nesting occurs in June and July (Witherington and Ehrhart 1989). During the nesting season, females nest at approximately two week intervals, laying an average of three-four clutches (Johnson and Ehrhart 1996). Mean clutch size is highly variable among populations, but averages 110-115. In Florida, green turtle nests contain an average of 136 eggs (Witherington and Ehrhart, 1989), which will incubate for approximately 2 months before hatching.

After emerging from the nest, hatchlings swim to offshore areas and go through a post-hatchling pelagic stage where they are believed to live for several years, feeding close to the surface on a variety of pelagic plants and animals associated with drift lines of algae and other debris. Once the juveniles reach a certain age/size range, they leave the pelagic habitat and travel to nearshore foraging grounds. Once they move to these nearshore benthic habitats, adult green turtles are almost exclusively herbivores, feeding on sea grasses and algae in shallow bays, lagoons and reefs (Rebel, 1974). However, they also occasionally consume jellyfish and sponges (Bjorndal 1997).

Green turtle foraging areas in the southeast United States include any neritic waters having macroalgae or sea grasses near mainland coastlines, islands, reefs, or shelves, and any open-ocean surface waters, especially where advection from wind and currents concentrates pelagic organisms (Hirth, 1997; NMFS and USFWS 1991b).

In U.S. Atlantic and Gulf of Mexico waters, green turtles are found in inshore and nearshore waters from Texas to Massachusetts. Important feeding areas in Florida include the Indian River Lagoon System, the Florida Keys, Florida Bay, Homosassa, Crystal River, Cedar Key, and St. Joseph Bay, and the Atlantic Ocean off Florida from Brevard through Broward counties (Wershoven and Wershoven 1992; Guseman and Ehrhart 1992). Additional important foraging areas in the western Atlantic include the Culebra archipelago and other Puerto Rico coastal waters, the south coast of Cuba, the Mosquito Coast of Nicaragua, the Caribbean coast of Panama, and scattered areas along Colombia and Brazil (Hirth 1971), and the northwestern coast of the Yucatan Peninsula. Adults of both sexes are presumed to migrate between nesting and foraging habitats along corridors adjacent to coastlines and reefs (Hays *et al.* 2001).

Range, Distribution, Population Dynamics, Status and Trend of Green Sea Turtles

Green turtles are distributed circumglobally, mainly in waters between the northern and southern 20° C isotherms (Hirth 1971). The two largest nesting populations are found at Tortuguero, on the Caribbean coast of Costa Rica, and Raine Island, on the Great Barrier Reef in Australia. The complete nesting range of the green turtle within the southeastern U.S. includes sandy beaches of mainland shores, barrier islands, coral islands, and volcanic islands between Texas and North Carolina and at the U.S. Virgin Islands (U.S.V.I.) and Puerto Rico (NMFS and USFWS 1991b). Principal U.S. nesting areas for green turtles are in eastern Florida, predominantly Brevard through Broward counties. Regular green turtle nesting also occurs on St Croix, U.S.V.I., and on Vieques, Culebra, Mona, and the main island of Puerto Rico (Dow *et al.* 2007).

In the western Atlantic, several major nesting assemblages have been identified and studied (Bass *et al.* 2006; Bowen *et al.* 1992). The largest, at Tortuguero, Costa Rica, has shown a long-term increasing trend since monitoring began in 1971, with an annual average of 17,402–37,290 nesting females year (Troëng and Rankin 2005). The estimated number of emergences was under 20,000 in 1971 and over 40,000 in 1996 with a high estimate of over 100,000 emergences in 1995 (Bjorndal *et al.* 1999). Trends in nesting at Yucatan beaches cannot be assessed because of irregularity in beach survey methods over time. In the continental United States, green turtle nesting occurs along the Atlantic coast, primarily along the central and southeast coast of Florida; present estimates range from 200-1,100 females nesting annually. Occasional nesting has been documented along the Gulf coast of Florida, at southwest Florida beaches, as well as the beaches on the Florida Panhandle (Meylan *et al.* 1994; Weishampel *et al.* 2003).

There are no reliable estimates of the overall number of green turtles inhabiting foraging areas within the southeast United States, and it is likely that green turtles foraging in the region come from multiple genetic stocks. However, information from some sites is available. A long-term in-water monitoring study in the Indian River Lagoon of Florida has tracked the populations of juvenile green turtles in a foraging environment and noted significant increases in catch-per-unit effort (more than doubling) between the years 1983-85 and 1988-90. An extreme, short-term

increase in catch per unit effort of ~300% was seen between 1995 and 1996 (Ehrhart *et al.* 1996). Catches of benthic immature turtles at the St. Lucie Nuclear Power Plant intake canal, which acts as a passive turtle collector on Florida's east coast, have also been increasing since 1992 (Martin and Ernst 2000). During the period of 1977-1999, 2,578 green turtles were documented to be captured at the power plant (Florida Power and Light 2000, Bresette and Gorham 2001). The annual number of immature green turtle (minimum straight-line carapace length < 85 cm) captures has increased significantly during the 23 year period (Florida Power and Light 2005).

Green turtles were once abundant enough in the shallow bays and lagoons of the Gulf to support a commercial fishery, which landed over one million pounds of green turtles in 1890 (Doughty 1984). Doughty reported the decline in the turtle fishery throughout the Gulf of Mexico by 1902. Shaver (1994) live-captured a number of green turtles in channels entering into Laguna Madre in South Texas. She noted the abundance of green turtle strandings in Laguna Madre inshore waters and opined that the turtles may establish residency in the inshore foraging habitats as juveniles. Algae along the jetties at entrances to the inshore waters of South Texas was thought to be important to green turtles associated with a radio-telemetry project (Renaud *et al.* 1995). Transmitter-equipped turtles remained near jetties for most of the tracking period. This project was restricted to late summer months, and therefore may reflect seasonal influences. Coyne (1994) observed increased movements of green turtles during warm water months.

As is the case for loggerhead, green turtles use mid-Atlantic and northern areas of the western Atlantic coast as important summer developmental habitat. Green turtles are found in estuarine and coastal waters as far north as Long Island Sound, Chesapeake Bay, and North Carolina sounds (Musick and Limpus 1997). Like loggerheads, green turtles that use northern waters during the summer must return to warmer waters when water temperatures drop, or face the risk of cold stunning. Cold stunning of green turtles may occur in southern areas as well (i.e., Indian River, Florida), as these natural mortality events are dependent on water temperatures and not solely geographical location.

Threats

The principal cause of the historical, worldwide decline of the green turtle was long-term harvest of eggs and adults on nesting beaches and juveniles and adults on feeding grounds. Green turtles were traditionally prized for their flesh, fat, eggs, and shell, and fisheries in the United States and throughout the Caribbean contributed to the decline of the species. Although intentional take of green turtles and their eggs is not extensive within the southeast United States, green turtles that nest and forage in the region may spend large portions of their life history outside United States jurisdiction where exploitation is still a threat, which then compromises the efforts to recover this species. Currently, incidental anthropogenic impacts to the green sea turtle are similar to those facing other sea turtle species including interactions with fishery gear, marine pollution, foraging habitat destruction, and threats at nesting beaches, similar to those discussed above under the loggerhead sea turtle (please refer to the loggerhead Threats section above). A more thorough description of anthropogenic mortality sources facing sea turtles is provided in the green turtle 5-year status review (NMFS and USFWS 2007) as well as in previous TEWG reports (1998, 2000) and in NMFS SEFSC (2001). Some of these threats are also discussed in more detail below.

Green turtles depend on shallow foraging grounds with sufficient benthic vegetation. Direct destruction of foraging areas due to dredging, boat anchorage, deposition of spoil, and siltation (Coston-Clements and Hoss 1983; Williams 1988) may have considerable effects on the distribution of foraging green turtles. Eutrophication, heavy metals, radioactive elements, and hydrocarbons all may reduce the extent, quality, and productivity of foraging grounds (Frazier 1980; McKenzie *et al.* 1999; Storelli and Marcotrigiano, 2003).

Pollution also threatens the pelagic habitat of young green turtles. The pelagic drift lines that young green turtles inhabit tend to collect floating debris such as plastics, oil, and tar (Carr 1987; Moore *et al.* 2001). Contact with oil and the ingestion of plastics and tar are known to kill young sea turtles (Carr 1987; Lutcavage *et al.* 1995). Older juvenile green turtles have also been found dead after ingesting seaborne plastics (Balazs 1985; Bjorndal *et al.* 1994). A major threat from man-made debris is the entanglement of turtles in discarded monofilament fishing line and abandoned netting (Balazs 1985), and this entanglement can result in mortality.

Fibropapillomatosis, an epizootic disease producing lobe-shaped tumors on the soft portion of a turtle's body, has been found to infect green turtles, most commonly juveniles (Williams *et al.* 1994). The occurrence of fibropapilloma tumors, may result in impaired foraging, breathing, or swimming ability, leading potentially to death. This has become a serious concern for this species.

Environmental Baseline

The environmental baseline for this Opinion includes the effects of several activities that affect the survival and recovery of threatened and endangered species and its habitat (including designated critical habitat), and ecosystem, within the action area. As noted above, sea turtles found in the action areas may travel widely throughout the Atlantic, Gulf of Mexico, and Caribbean Sea. Therefore, individuals found in an action area can potentially be affected by activities anywhere within this wide range.

The environmental baseline includes the past and present impacts of all state, tribal, local, private, and other human activities in the action area, including impacts of these activities which will occur contemporaneously with this consultation. Unrelated Federal actions affecting the same species or critical habitat that have completed formal or informal consultation are also part of the environmental baseline, as are Federal and other actions within the action area that may benefit listed species or critical habitat. It clearly identifies how actions affect the status and trend of the listed species or critical habitat of the opinion. To provide the reader with a more comprehensive discussion of the all the activities affecting the species found in the action area, we have included activities occurring in areas to which these species could migrate during the course of their life cycle.

A number of human activities have contributed to the current status of listed sea turtle species in the action area. Some of those activities, (e.g. commercial harvesting of individuals as well as eggs) no longer occur in the U.S., yet are still a problem in other countries. Other human activities are ongoing and appear to be directly or indirectly affecting these species.

Additionally, unrelated factors may be acting together to affect listed species, such as global warming.

Taken together, the components of the environmental baseline for the action area include sources of natural mortality as well as influences from natural oceanographic and climatic features in the action areas. Circulation and productivity patterns influence food distribution and habitat quality for listed species. The effects of climatic variability on these species in the action areas and the availability of food remain largely undetermined; however, it is likely that any changes in weather and oceanographic conditions resulting in effects on population dynamics (i.e. sex-ratios) as well as food availability would have dire consequences for sea turtle species.

The most significant activities affecting sea turtles in the Atlantic are fisheries and conservation activities directed at fisheries. Other environmental impacts to turtles may result from vessel operations, discharges, dredging, military activities, oil and gas development activities, industrial cooling water intake, aquaculture, recreational fishing, coastal development, habitat degradation, directed take, marine debris, as well as scientific research and conservation efforts.

Federal Activities

Fisheries. Threatened and endangered sea turtles are adversely affected by several types of fishing gears used throughout the action area. Gillnet, longline, other types of hook-and-line gear, trawl gear, and pot fisheries have all been documented as interacting with sea turtles. Available information suggests sea turtles can be captured in any of these gear types when the operation of the gear overlaps with the distribution of sea turtles. For all fisheries for which there is an FMP or for which any federal action is taken to manage that fishery, impacts have been evaluated under section 7. In the Northeast Region (Maine through Virginia), ESA section 7 consultations have been conducted on the American lobster, Atlantic herring, Atlantic mackerel/squid/butterfish, Atlantic sea scallop, monkfish, northeast multispecies, red crab, skate, spiny dogfish, summer flounder/scup/black sea bass, and tilefish fisheries. The Incidental Take Statement (ITS) reflects the incidental take of sea turtles and other ESA-listed species anticipated from the date of the ITS and forward in time. In the Southeast Region (North Carolina through Texas), ESA section 7 consultations have been conducted on the coastal migratory pelagics, swordfish/tuna/shark/billfish, snapper/grouper, dolphin/wahoo, southern flounder gillnet, and the Southeast shrimp trawl fisheries. An Incidental Take Statement (ITS) has been issued for the take of sea turtles in each of the fisheries (Appendix 2).

The only fishery that has been determined by NMFS to reduce the reproduction, numbers, or distribution of ESA-listed sea turtles, and thereby reduce appreciably their likelihood of survival and recovery, is the pelagic longline component of the Atlantic highly migratory species fishery. On June 14, 2001, NMFS released an Opinion that found that the continued operation of the Atlantic pelagic longline fishery was likely to jeopardize the continued existence of both loggerhead and leatherback sea turtles. To avoid jeopardy to these species, a Reasonable and Prudent Alternative (RPA) was developed. The RPA required the closure of the Northeast Distant (NED) Statistical Area of the Atlantic Ocean to pelagic longlining and the enactment of a research program to develop or modify fishing gear and techniques to reduce sea turtle interactions and mortality associated with such interactions. On June 1, 2004, NMFS released

another Opinion on the Atlantic pelagic longline fishery which stated that the fishery was still likely to jeopardize the continued existence of leatherback sea turtles. Another RPA was then developed to attempt to remove jeopardy. The RPA required that NMFS (1) reduce post-release mortality of leatherbacks, (2) improve monitoring of the effects of the fishery, (3) confirm the effectiveness of the hook and bait combinations that are required as part of the proposed action, and (4) take management action to avoid long-term elevations in leatherback takes or mortality. NMFS stated in the Opinion that this RPA must be implemented in its entirety to avoid jeopardy. A brief summary of each consultation is provided below but more detailed information can be found in the respective biological opinions.

NMFS found the operation of the *Atlantic bluefish fishery* was likely to adversely affect Kemp's ridley and loggerhead sea turtles, but not likely to jeopardize their continued existence (NMFS 2010a). The majority of commercial fishing activity in the North and Mid-Atlantic occurs in the late spring to early fall, when bluefish (and sea turtles) are most abundant in these areas (NEFSC 2005).

NMFS' consultation on the *Atlantic Herring fishery* FMP concluded that the federal herring fishery may adversely affect loggerhead, leatherback, Kemp's ridley, and green sea turtles as a result of capture in gear used in the fishery (NMFS 1999b), but not jeopardize their continued existence. NMFS currently authorizes the use of trawl, purse seine, and gillnet gear in the commercial herring fishery (64 FR 4030). There is no direct evidence of takes of ESA-listed species in the herring fishery from the NMFS sea sampling program. However, observer coverage of this fishery has been minimal. Sea turtles have been captured in comparable gear used in other fisheries that occur in the same area as the herring fishery.

Consultation on the Atlantic herring fishery was reinitiated on March 23, 2005 due to new information on the effects of the fishery on the Gulf of Maine DPS of Atlantic salmon and sea turtles. That consultation was completed in February 2010 and determined that the herring fishery is not likely to adversely affect any ESA-listed species, including sea turtles. Based on analysis of VTR data, Murray (2008) estimated zero sea turtle takes in trawl gear by the Atlantic herring fishery. In addition, over the 5 year period from 2004-2008, higher than normal observer coverage occurred in the herring fishery, without any observed takes of sea turtles.

The *Atlantic mackerel/squid/butterfish fisheries* are managed under a single FMP that includes both the short-finned squid (*Illex illecebrosus*) and long-finned squid (*Loligo pealei*) fisheries. The most recent biological opinion concluded that the continued authorization of the FMP was likely to adversely affect sea turtles, but not jeopardize their continued existence (NMFS 2010b). Trawl gear is the primary fishing gear for these fisheries, but several other types of gear may also be used, including hook-and-line, pot/trap, dredge, pound net, and bandit gear. Entanglements or entrapments of sea turtles have been recorded in one or more of these gear types.

It was previously believed that the *Atlantic Sea Scallop fishery* was unlikely to take sea turtles given differences in depth and temperature preferences for sea turtles and the optimal areas where the fishery occurs. However, after the reopening of a closed area in the mid-Atlantic, and the accumulation of more extensive observer effort, NMFS conducted a formal section 7 consultation on the fishery (NFMS 2009). NMFS concluded that operation of the fishery may

adversely affect loggerhead, Kemp's ridley, green, and leatherback sea turtles as a result of capture in scallop dredge and/or trawl gear.

The *Atlantic HMS pelagic fisheries for swordfish, tuna, and billfish* are known to incidentally capture large numbers of sea turtles, particularly in the pelagic longline component (NMFS 2004). Pelagic longline, pelagic driftnet, bottom longline, and/or purse seine gear have all been documented taking sea turtles. A permanent prohibition on the use of driftnet gear in the swordfish fishery was published in 1999.

NMFS recently completed a consultation on the continued authorization of the *coastal migratory pelagic* fishery in the Gulf of Mexico and South Atlantic (NMFS 2007). In the Gulf of Mexico, hook-and-line, gillnet, and cast net gears are used. Gillnets are the primary gear type used by commercial fishermen in the South Atlantic regions as well, while the recreational sector uses hook-and-line gear. The hook-and-line effort is primarily trolling. The biological opinion concluded that green, hawksbill, Kemp's ridley, leatherback, and loggerhead sea turtles may be adversely affected by operation of the fishery. However, the proposed action was not expected to jeopardize the continued existence of any of these species.

The South Atlantic FMP for the *dolphin-wahoo fishery* was approved in December 2003. NMFS's consultation concluded that green, hawksbill, Kemp's ridley, leatherback, and loggerhead sea turtles may be adversely affected by the longline component of the fishery, but it was not expected to jeopardize their continued existence (NMFS 2003). In addition, pelagic longline vessels can no longer target dolphin-wahoo with smaller hooks because of hook size requirements in the pelagic longline fishery.

The incidental take for sea turtles specified in the February 2005 biological opinion on the *Gulf of Mexico reef fish fishery* was substantially exceeded in 2008 by the bottom longline component of the fishery. In May 2009, NMFS published an emergency rule, which was intended to reduce the number of sea turtle takes by the reef fish fishery in the short-term while the Gulf of Mexico Fishery Management Council develops long-term measures in Amendment 31 to the Reef Fish Fishery Management Plan (RFFMP). The new biological opinion, which considered the continued authorization of reef fish fishing under the RFFMP, including any measures proposed in Amendment 31, was completed October 2009.

The federal *monkfish fishery* (NMFS 2010) occurs from Maine to the North Carolina/South Carolina border and is jointly managed by the New England Fishery Management Council (NEFMC) and Mid-Atlantic Fishery Management Council (MAFMC), under the Monkfish FMP. The current commercial fishery operates primarily in the deeper waters of the Gulf of Maine, Georges Bank, and southern New England, and effort has recently increased dramatically in the mid-Atlantic. The monkfish fishery uses several gear types that may entangle sea turtles, including gillnet, trawl gear and scallop dredges, which are the principal gear types that have historically landed monkfish. Monkfish (also known as "goosefish" or "angler") are found in inshore and offshore waters from the northern Gulf of St. Lawrence to Florida, although primarily distributed north of Cape Hatteras. As fishing effort moves further south, there is a greater potential for interactions with sea turtles.

Following an event in which over 200 sea turtle carcasses washed ashore in an area where large-mesh gillnetting had been occurring, NMFS published new restrictions for the use of gillnets with larger than 8-inch stretched mesh, in the EEZ off of North Carolina and Virginia (67 FR 71895, December 3, 2002). This rule was in response to a direct need to reduce the impact of this fishery on sea turtles. The rule was subsequently modified on April 26, 2006, by modifying the restrictions to the use of gillnets with greater than or equal to 7-inch stretched mesh when fished in federal waters from the North Carolina/South Carolina border to Chincoteague, Virginia.

Multiple gear types are used in the *Northeast Multispecies fishery* FMP, which manages 15 different commercial fisheries. Data indicated that gear type of greatest concern is the sink gillnet gear, which has taken loggerhead and leatherback sea turtles (*i.e.*, in buoy lines and/or net panels). The Northeast multispecies sink gillnet fishery has historically occurred from the periphery of the Gulf of Maine to Rhode Island in water as deep as 360 feet. In recent years, more of the effort in the fishery has occurred in offshore waters and into the Mid-Atlantic. Participation in this fishery has declined because extensive groundfish conservation measures have been implemented; the latest of these occurring under Amendment 13 to the Multispecies FMP. Consultation on the Northeast Multispecies fishery was reinitiated on April 2, 2008, based on new information on the capture of loggerhead sea turtles in this fishery (NMFS 2010d).

The *South Atlantic snapper-grouper fishery* (NMFS 2006a) uses spear and powerhead, black sea bass pot, and hook-and-line gear. Hook-and-line gear used in the fishery includes commercial bottom longline gear and commercial and recreational vertical line gear (e.g., handline, bandit gear, and rod-and-reel). The consultation found only hook-and-line gear likely to adversely affect, green, hawksbill, Kemp's ridley, leatherback, and loggerhead sea turtles.

The *Southeast shrimp trawl fishery* affects more sea turtles than all other activities combined (NRC 1990). Revisions to the TED regulations (68 FR 8456, February 21, 2003), requiring larger openings in TEDs enhanced the TED effectiveness in reducing sea turtle mortality resulting from trawling. This determination was based, in part, on the opinion's analysis that shows the revised TED regulations are expected to reduce shrimp trawl related mortality by 94 percent for loggerheads and 97 percent for leatherbacks. Interactions between sea turtles and the shrimp fishery may also be declining because of reductions of fishing effort unrelated to fisheries management actions. In recent years, low shrimp prices, rising fuel costs, competition with imported products, and the impacts of recent hurricanes in the Gulf of Mexico have all impacting the shrimp fleets; in some cases reducing fishing effort by as much as 50 percent for offshore waters of the Gulf of Mexico (GMFMC 2007).

Indirect effects of shrimp trawling on sea turtles would include the disturbance of the benthic habitat by the trawl gear. The effect bottom trawls have on the seabed is mainly a function of bottom type. In areas where repeated trawling occurs, fundamental shifts in the structure of the benthic community have been documented (Auster *et al.* 1996) which may affect the availability of prey items for foraging turtles. The overall effects to benthic communities that may result from long-term and chronic disturbance from shrimp fishing needs further evaluation.

The primary gear types for the *Spiny dogfish fishery* are sink gillnets, otter trawls, bottom longline, and driftnet gear (NMFS 2010e). Spiny dogfish are landed in every state from Maine to North Carolina, throughout a broad area with the distribution of landings varying by area and season. During the fall and winter months, spiny dogfish are captured principally in Mid-Atlantic waters from New Jersey to North Carolina. During the spring and summer months, spiny dogfish are landed mainly in northern waters from NY to ME. Sea turtles can be incidentally captured in all gear sectors of this fishery. Although there have been delays in implementing the FMP (NMFS 2001b), quota allocations are expected to be substantially reduced over the 4.5-year rebuilding schedule; this should result in a substantial decrease in effort directed at spiny dogfish. The reduction in effort should be of benefit to protected turtle species by reducing the number of gear interactions that occur.

The *Red crab fishery* is a pot/trap fishery that occurs in deep waters along the continental slope. There have been no recorded takes of ESA-listed species in the red crab fishery. However, given the type of gear used in the fishery, takes of loggerhead and leatherback sea turtles may be possible where gear overlaps with the distribution of ESA-listed species. The red crab commercial fishery has traditionally been composed of less than six vessels fishing trap gear. The fishery appears to have remained small (approximately two vessels) through the mid-1990's. But between 1995 and 2000 there were as many as five vessels with the capacity to land an average of approximately 78,000 pounds of red crab per trip. Following concerns that red crab could be overfished, an FMP was developed and became effective on October 21, 2002.

Traditionally, the main gear types used in the *Skate fishery* (NMFS 2010f) include mobile otter trawls, gillnet gear, hook and line, and scallop dredges, although bottom trawling is by far the most common gear type with gillnet gear is the next most common gear type. The Northeast skate complex is comprised of seven different skate species. The seven species of skate are distributed along the coast of the northeast U.S. from the tide line to depths exceeding 700m (383 fathoms). There have been no recorded takes of ESA-listed species in the skate fishery. However, given that sea turtles interactions with trawl and gillnet gear have been observed in other fisheries, sea turtle takes in gear used in the skate fishery may be possible where the gear and sea turtle distribution overlap.

The commercial *HMS Atlantic shark fisheries* (NMFS 2008) uses bottom longline and gillnet gear. The recreational sector of the fishery uses only hook-and-line gear. To protect declining shark stocks the proposed action seeks to greatly reduce the fishing effort in the commercial component of the fishery. These reductions are likely to greatly reduce the interactions between the commercial component of the fishery and sea turtles.

The *Summer Flounder, Scup and Black Sea Bass fisheries* (NMFS 2010g) are known to interact with sea turtles. Otter trawl gear is used in the commercial fisheries for all three species. Floating traps and pots/traps are used in the scup and black sea bass fisheries, respectively (MAFMC 2007). Significant measures have been developed to reduce the take of sea turtles in summer flounder trawls and trawls that meet the definition of a summer flounder trawl (which would include fisheries for other species like scup and black sea bass). TEDs are required throughout the year for trawl nets fished from the North Carolina/South Carolina border to

Oregon Inlet, North Carolina, and seasonally (March 16-January 14) for trawl vessels fishing between Oregon Inlet, North Carolina, and Cape Charles, Virginia.

The North Carolina inshore fall *southern flounder gillnet fishery* was identified as a source of large numbers of sea turtle mortalities in 1999 and 2000, especially loggerhead sea turtles. In 2001, NMFS issued an ESA section 10 permit to North Carolina with mitigative measures for the southern flounder fishery. Subsequently, the sea turtle mortalities in these fisheries were drastically reduced. The reduction of sea turtle mortalities in these fisheries reduces the negative effects these fisheries have on the environmental baseline.

The management unit for the *Tilefish* FMP is all golden tilefish under U.S. jurisdiction in the Atlantic Ocean north of the Virginia/North Carolina border. Tilefish have some unique habitat characteristics, and are found in a warm water band (8-18° C) approximately 250 to 1200 feet deep on the outer continental shelf and upper slope of the U.S. Atlantic coast. Because of their restricted habitat and low biomass, the tilefish fishery in recent years has occurred in a relatively small area in the Mid-Atlantic Bight, south of New England and west of New Jersey.

The *Atlantic Highly Migratory Species (HMS)* and Associated Fisheries are known to take sea turtles via pelagic longline, pelagic driftnet, bottom longline, hand line (including bait nets), and/or purse seine gear. The opinion analyzed the effects of proposed regulatory modifications to the HMS FMP that address the impacts of the HMS pelagic longline fishery on endangered green, hawksbill, Kemp's ridley, and leatherback sea turtles and on threatened loggerhead and olive ridley sea turtles. However, the proposed action was not expected to jeopardize the continued existence of any of these.

Based on limited observer data available, NMFS also anticipates that continued operation of the U.S. shark drift gillnet portion of the fishery would result in the capture of loggerhead sea turtles, leatherbacks, Kemp's ridley sea turtles, and hawksbill sea turtles. NMFS anticipates that continued operation of the bottom longline fishery component would result in the capture of loggerhead sea turtles, leatherback, Kemp's ridley, green, and hawksbill sea turtles. Since potential for take in other HMS fisheries is low, NMFS anticipated that the proposed action was not expected to jeopardize the continued existence of any of these.

The *American lobster trap fishery* has been identified as a source of gear causing injuries and mortality of loggerhead and leatherback sea turtles as a result of entanglement in buoy lines of the pot/trap gear (NMFS 2010h). Loggerhead or leatherback sea turtles caught/wrapped in the buoy lines of lobster pot/trap gear can die as a result of forced submergence or incur injuries leading to death as a result of severe constriction of a flipper from the entanglement. Given the seasonal distribution of loggerhead sea turtles in Mid-Atlantic and New England waters and the operation of the lobster fishery, loggerhead sea turtles are expected to overlap with the placement of lobster pot/trap gear in the fishery during the months of May through October in waters off of New Jersey through Massachusetts. Compared to loggerheads, leatherback sea turtles have a similar seasonal distribution in Mid-Atlantic and New England waters, but with a more extensive distribution in the Gulf of Maine (Shoop and Kenney 1992; James *et al.* 2005a). Therefore, leatherback sea turtles are expected to overlap with the placement of lobster pot/trap gear in the fishery during the months of May through October in waters off of New Jersey through Maine.

The commercial *Gulf of Mexico/South Atlantic spiny lobster fishery* (NMFS 2009a) consists of diving, bully net and trapping sectors; recreational fishers are authorized to use bully net and hand-harvest gears. The consultation determined that, although evidence that the commercial trap sector of the fishery adversely affects these species, the continued authorization of the fishery would not jeopardize the continued existence of green, hawksbill, Kemp's ridley leatherback, and loggerhead sea turtles.

The *Gulf of Mexico stone crab fishery* (NMFS 2009b) is unique in that only the claws of the crab are harvested (Muller *et al.* 2006). The fishery operates primarily nearshore and fishing techniques have changed little since the implementation of the federal Stone Crab Fishery Management Plan. The commercial and recreational fishery consists of trap/pot, and recreational hand harvest. Stone crab traps are known to adversely affect sea turtles via entanglement and forced submergence. The fishery is currently management through spatio-temporal closures, effort limitations, harvest limitations, permit requirements, trap construction requirements, and a passive trap limitation program managed by the State of Florida. Recreational fishers must follow the same guidelines as commercial fishers unless otherwise noted. The consultation determined the continued authorization of the fishery would not jeopardize the continued existence of green, hawksbill, Kemp's ridley leatherback, and loggerhead sea turtles.

Vessel Activities. Potential sources of adverse effects from federal vessel operations in the action area and throughout the range of sea turtles include operations of the U.S. Navy (USN) and Coast Guard (USCG), which maintain the largest Federal vessel fleets, the Environmental Protection Agency, the National Oceanic and Atmospheric Administration (NOAA), and the Army Corps of Engineer (COE). NMFS has conducted formal consultations with the USCG, the USN, and NOAA on their vessel operations. Through the section 7 process, where applicable, NMFS has and will continue to establish conservation measures for all these agency vessel operations to avoid or minimize adverse effects to listed species. At the present time, however, they present the potential for some level of interaction.

Since the USN consultation only covered operations out of Mayport, Florida, potential still remains for USN vessels to adversely affect sea turtles when they are operating in other areas within the range of these species. Similarly, operations of vessels by other Federal agencies within or near the action area (NOAA, EPA, COE) may adversely affect sea turtles. However, the in-water activities of those agencies are limited in scope, as they operate a limited number of vessels or are engaged in research/operational activities that are unlikely to contribute a large amount of risk.

Private and commercial vessel operations also have the potential to interact with sea turtles. For example, shipping traffic in Massachusetts Bay is estimated at 1,200 ship crossings per year with an average of three per day. Similar traffic may exist in many other areas where sea turtles occur. The invention and popularization of new technology resulting in high speed catamarans for ferry services and whale watch vessels operating in congested coastal areas contributes to the potential for impacts from privately-operated vessels. In addition to commercial traffic and recreational pursuits, private vessels participate in high speed marine events concentrated in the southeastern United States that are a particular threat to sea turtles. The magnitude of these

marine events is not currently known. The sea turtle stranding network (STSSN) also reports many records of vessel interaction (propeller injury) with sea turtles off coastal states such as New Jersey and Florida, where there are high levels of vessel traffic.

Other Military Activities. Potential sources of adverse effects to sea turtles from Federal vessel operations in the action area include operations of the U.S. Navy (USN), U.S. Coast Guard (USCG), Environmental Protection Agency (EPA), Army Corps of Engineers (ACOE), and NOAA to name a few. NMFS has previously conducted formal consultations with the USN, USCG, and NOAA on their vessel-based operations. NMFS has also conducted section 7 consultations with the Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE), Federal Energy Regulatory Commission (FERC), and Maritime Administration (MARAD) on vessel traffic related to energy projects in the Northeast Region and has implemented conservation measures. Through the section 7 process, where applicable, NMFS has and will continue to identify conservation measures for all these agency vessel operations to avoid or minimize adverse effects to listed species.

Several Opinions for the USN activities (NMFS 1996, 1997, 2006b, 2008c, 2009a,b) and USCG (NMFS 1995, 1998c) contain details on the scope of vessel operations for these agencies and the conservation measures that are being implemented as standard operating procedures. In the U.S. Atlantic, the operation of USCG boats and cutters is not expected to jeopardize the continued existence of the ESA-listed species while operating with an estimated take of no more than one individual sea turtle, of any species, per year (NMFS 1995, 1998c).

In June 2009, NMFS prepared an Opinion on USN activities in each of their four training range complexes along the U.S. Atlantic coast-Northeast, Virginia Capes, Cherry Point, and Jacksonville (NMFS 2009b). That Opinion found that the Virginia Capes Range Complex and Jacksonville Range Complex were attributed with potential harassment of leatherback sea turtles and hard shell turtles and the Virginia Capes Range Complex has been characterized as having the potential to harm loggerhead and Kemp's ridley turtles.

Military activities such as ordnance detonation also affect ESA-listed species. A section 7 consultation was conducted in 1997 for USN aerial bombing training in the ocean off the Southeast U.S. coast, involving drops of live ordnance (500 and 1,000-lb bombs). The resulting Opinion for this consultation determined that the activity was likely to adversely affect ESA listed sea turtles in the action area, but would likely not jeopardize their continued existence. In the ITS included within the Opinion, these training activities were estimated to have the potential to injure or kill, annually, loggerheads, leatherbacks, greens and Kemp's ridleys (NMFS 1997).

NMFS has also conducted more recent section 7 consultations on USN explosive ordnance disposal, mine warfare, sonar testing (e.g., AFAST, SURTASS LFA), and other major training exercises (e.g., bombing, Naval gunfire, combat search and rescue, anti-submarine warfare, and torpedo and missile exercises) in the Atlantic Ocean. These consultations have determined that the proposed USN activities may adversely affect but would not jeopardize the continued existence of ESA-listed sea turtles (NMFS 2008c, 2009a,b). NMFS estimated that five loggerhead and six Kemp's ridley sea turtles are likely to be harmed as a result of training

activities in the Virginia Capes Range Complex from June 2009 to June 2010, and that nearly 1,500 sea turtles, including 10 leatherbacks, are likely to experience harassment (NMFS 2009b).

Similarly, operations of vessels by other Federal agencies within the action area (NOAA, EPA, and ACOE) may adversely affect ESA-listed sea turtles. However, vessel activities of those agencies are often limited in scope, as they operate a limited number of vessels or are engaged in research/operational activities that are unlikely to contribute a large amount of risk.

Navigation Channel Dredging and Maintenance. The construction and maintenance of federal navigation channels has also been identified as a source of sea turtle mortality. Entrainment is the most imminent danger for sea turtles during hopper dredging operations. The National Research Council's Committee on Sea Turtle Conservation (1990) estimated that dredging mortalities, along with boat strikes, were second only to fishery interactions as a source of probable lethal takes of sea turtles. Experience has shown that injuries sustained by sea turtles entrained in hopper dredge dragheads are usually fatal. Mortality in hopper dredging operations most often occurs when turtles are sucked into the dredge draghead, pumped through the intake pipe and then killed as they cycle through the centrifugal pump and into the hopper.

Sea turtles have been killed in hopper dredge operations along the East and Gulf coasts of the US. Documented turtle mortalities during dredging operations in the ACOE South Atlantic Division (SAD; i.e., south of the Virginia/North Carolina border) are more common than in the ACOE North Atlantic Division (NAD; Virginia-Maine) probably due to the greater abundance of turtles in these waters and the greater frequency of hopper dredge operations. For example, in the ACOE SAD, over 400 sea turtles have been entrained in hopper dredges since 1980 and in the Gulf Region over 160 sea turtles have been killed since 1995. Records of sea turtle entrainment in the ACOE NAD began in 1994. Since this time, at least 66 sea turtles deaths related to hopper dredge activities have been recorded in waters north of the North Carolina/Virginia border (ACOE Sea Turtle Database¹).

Official records of sea turtle mortality in dredging activities in the ACOE NAD begin in the early 1990s. Before this time, endangered species observers were not required on board hopper dredges and dredge baskets were not inspected for sea turtles or sea turtle parts. The majority of sea turtle takes in the NAD have occurred in the Norfolk district. This is largely a function of the large number of loggerhead and Kemp's ridley sea turtles that occur in the Chesapeake Bay each summer and the intense dredging operations that are conducted to maintain the Chesapeake Bay entrance channels and for beach nourishment projects at Virginia Beach. However, since 1992, the take of 10 sea turtles (all loggerheads) has been recorded during hopper dredge operations in the Philadelphia, Baltimore and New York Districts. Hopper dredging is relatively rare in New England waters where sea turtles are known to occur, with most hopper dredge operations being completed by the specialized Government owned dredge Currituck which operates at low suction and has been demonstrated to have a very low likelihood of entraining or impinging sea turtles. To date, no hopper dredge operations (other than the Currituck) have occurred in the New England District in areas or at times when sea turtles are likely to be present.

¹ The USACE Sea Turtle Data Warehouse is maintained by the ACOE's Environmental Laboratory and contains information on ACOE dredging projects conducted since 1980 with a focus on information on interactions with sea turtles.

The number of interactions between dredge equipment and sea turtles seems to be best associated with the volume of material removed, which is closely correlated to the length of time dredging takes, with a greater number of interactions associated with a greater volume of material removed and a longer duration of dredging. The number of interactions is also heavily influenced by the time of year dredging occurs (with more interactions correlated to times of year when more sea turtles are present in the action area) and the type of dredge plant used (sea turtles are apparently capable of avoiding pipeline and mechanical dredges as no takes of sea turtles have been reported with these types of dredges). The number of interactions may also be influenced by the terrain in the area being dredged, with interactions more likely when the draghead is moving up and off the bottom frequently. Interactions are also more likely at times and in areas when sea turtle forage items are concentrated in the area being dredged, as sea turtles are more likely to be spending time on the bottom while foraging.

Oil and Gas Exploration. The COE and the Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE) authorize oil and gas exploration, well development, production, and abandonment/rig removal activities that may adversely affect sea turtles. Both of these agencies have consulted numerous times with the NMFS on these types of activities. These activities include the use of seismic arrays for oil and gas exploration in the Gulf of Mexico, the impacts of which have been analyzed in opinions for individual and multi-lease sales. NMFS anticipates incidental takes of sea turtles from vessel strikes, noise, marine debris, and the use of explosives to remove oil and gas structures. The impacts of oil contamination on the environment are further discussed under *Environmental Contamination to the Baseline*.

Electrical Generating Plants. Another action with federal oversight (the Federal Energy Regulatory Commission and the Nuclear Regulatory Agency) impacting sea turtles is the operation of electrical generating plants. Sea turtles entering coastal or inshore areas have been affected by entrainment in the cooling-water systems of electrical generating plants, though it is important to note that almost all of the turtles are caught and released alive; NMFS estimates the survival rate at 98.5% or greater (NMFS 1997).

State or Private Actions

State Fisheries. Various fishing methods used in state fisheries, including trawling, pot fisheries, fly nets, and gillnets are known to incidentally take listed species, but information on these fisheries is sparse (NMFS SEFSC 2001). Although few of these state regulated fisheries are currently authorized to incidentally take listed species, several state agencies have approached NMFS to discuss applications for a section 10(a)(1)(B) incidental take permit. Since NMFS' issuance of a section 10(a)(1)(B) permit requires formal consultation under section 7 of the ESA, the effects of these activities are considered in section 7 consultation. Any fisheries that come under a section 10(a)(1)(B) permit in the future will likewise be subject to section 7 consultation. Although the past and current effects of these fisheries on listed species is currently not determinable, NMFS believes that ongoing state fishing activities may be responsible for seasonally high levels of observed strandings of sea turtles on both the Atlantic and Gulf of Mexico coasts. Most of the state data are based on extremely low observer coverage or sea turtles were not part of data collection; thus, these data provide insight into gear interactions that could occur but are not indicative of the magnitude of the overall problem. In addition to the

lack of interaction data, there is another issue that complicates the analysis of impacts to sea turtles from these fisheries. Certain gear types may have high levels of sea turtle takes, but very low rates of serious injury or mortality. For example, the hook and line takes rarely result in death, but trawls and gillnets frequently do. Leatherbacks seem to be susceptible to a more restricted list of fisheries, while the hard shelled turtles, particularly loggerheads, seem to appear in data on almost all of the state fisheries.

Other state bottom trawl fisheries that are suspected of incidentally capturing sea turtles are the horseshoe crab fishery in Delaware (Spotila *et al.* 1998) and the whelk trawl fishery in South Carolina and Georgia. In South Carolina, the whelk trawling season opens in late winter and early spring when offshore bottom waters are > 55°F. One criterion for closure of this fishery is water temperature: whelk trawling closes for the season and does not reopen throughout the state until six days after water temperatures first reach 64°F in the Fort Johnson boat slip. Based on the South Carolina Department of Natural Resources Office of Fisheries Management data, approximately six days will usually lapse before water temperatures reach 68°F, the temperature at which sea turtles move into state waters. From 1996-1997, observers onboard whelk trawlers in Georgia reported a total of three Kemp's ridley, two green, and two loggerhead sea turtles captured in 28 tows for a CPUE of 0.3097 turtles/100 ft net hour. As of December 2000, TEDS are required in Georgia state waters when trawling for whelk. Trawls for cannonball jellyfish and Florida try nets may also be a source of interactions.

A detailed summary of the gillnet fisheries currently operating along the mid- and southeast U.S. Atlantic coastline, which are known to incidentally capture loggerheads, can be found in the TEWG reports (1998, 2000). Although all or most nearshore gillnetting is prohibited by state regulations in state waters of South Carolina, Georgia, Florida, Louisiana, and Texas, gillnetting in other states' waters and in federal waters does occur. Of particular concern are the nearshore and inshore gillnet fisheries of the mid-Atlantic operating in Rhode Island, Connecticut, New York, New Jersey, Delaware, Maryland, Virginia, and North Carolina state waters and/or federal waters. Incidental captures in these gillnet fisheries (both lethal and non-lethal) of loggerhead, leatherback, green and Kemp's ridley sea turtles have been reported. In addition, illegal gillnet incidental captures have been reported in South Carolina, Florida, Louisiana and Texas (NMFS SEFSC 2001).

Georgia and South Carolina prohibit gillnets for all but the shad fishery. This fishery was observed in South Carolina for one season by the NMFS SEFSC (McFee *et al.* 1996). No takes of protected species were observed. Florida banned all but very small nets in state waters, as has the state of Texas. Louisiana, Mississippi and Alabama have also placed restrictions on gillnet fisheries within state waters such that very little commercial gillnetting takes place in southeast waters, with the exception of North Carolina. Gillnetting activities in North Carolina associated with the southern flounder fishery had been implicated in large numbers of sea turtle mortalities. The Pamlico Sound portion of that fishery was closed and has subsequently been reopened under a section 10(a)(1)(B) permit.

Pound nets are a passive, stationary gear that are known to incidentally capture loggerhead sea turtles in Massachusetts, Rhode Island, New Jersey, Maryland, New York (Morreale and Standora 1998), Virginia (Bellmund *et al.* 1987) and North Carolina (Epperly *et al.* 2000).

Although pound nets are not a significant source of mortality for loggerheads in New York (Morreale and Standora 1998) and North Carolina (Epperly *et al.* 2000), they have been implicated in the stranding deaths of loggerheads in the Chesapeake Bay from mid-May through early June (Bellmund *et al.* 1987). Pound net leaders with greater than or equal to 12 inches (30.5 cm) stretched mesh and leaders with stringers have been documented to incidentally take sea turtles (Bellmund *et al.*, 1987, NMFS SEFSC 2001).

Incidental captures of loggerheads in fish traps set in Massachusetts, Rhode Island, New York, and Florida have been reported. Although no incidental captures have been documented from fish traps set in North Carolina and Delaware (Anon. 1995), they are another potential anthropogenic impact to loggerheads and other sea turtles. Lobster pot fisheries are prosecuted in Massachusetts (Prescott 1988), Rhode Island (Anon. 1995), Connecticut (Anon. 1995) and New York. Although they are more likely to entangle leatherback sea turtles, lobster pots set in New York are also known to entangle loggerhead sea turtles. No incidental capture data exist for the other states. Long haul seines and channel nets in North Carolina are known to incidentally capture loggerhead and other sea turtles in the sounds and other inshore waters. No lethal takes have been reported (NMFS SEFSC 2001).

Recreational fishermen have reported hooking turtles when fishing from boats, piers, and beach, banks, and jetties. Commercial fishermen fishing for reef fish and for sharks with both single rigs and bottom longlines have also reported hooked turtles (NMFS 2001). A detailed summary of the known impacts of hook and line incidental captures to loggerhead sea turtles can be found in the TEWG reports (1998, 2000).

Vessel Traffic. Commercial traffic and recreational pursuits can adversely effect sea turtles through propeller and boat strikes. Turtles swimming or feeding at or just beneath the surface of the water are particularly vulnerable to boat and vessel strikes, which can result in serious propeller injuries and death (Hazel *et al.* 2007). Private vessels participate in high speed marine events concentrated in the southeastern United States and are a particular threat to sea turtles. The magnitude of these marine events is not currently known. The Sea Turtle Stranding and Salvage Network (STSSN) also reports many records of vessel interaction (propeller injury) with sea turtles off coastal states such as New Jersey and Florida, where there are high levels of vessel traffic.

Other Potential Sources of Impacts in the Baseline

Significant anthropogenic impacts threaten nesting populations of all species in areas within as well as outside of the U.S. These impacts include poaching of eggs, immatures and adults as well as beach development problems. The impacts from these activities are difficult to measure.

Habitat Loss. Loss or degradation of nesting habitat resulting from erosion control through beach nourishment and armoring, beachfront development, artificial lighting, and non-native vegetation is a serious threat affecting nesting females and hatchlings. Although beach nourishment, or placing sand on beaches, may provide more sand, the quality of that sand, and hence the nesting beach, may be less suitable than pre-existing natural beaches. Sub-optimal nesting habitat may cause decreased nesting success, place an increased energy burden on

nesting females, result in abnormal nest construction, and reduce the survivorship of eggs and hatchlings (Mann 1977; Ackerman 1980; Mortimer 1990).

Beach armoring (e.g., bulkheads, seawalls, soil retaining walls, rock revetments, sandbags, and geotextile tubes) can impede a turtle's access to upper regions of the beach/dune system, thereby limiting the amount of available nesting habitat (Mazaris et al. 2009). Impacts also can occur if structures are installed during the nesting season. For example, unmarked nests can be crushed or uncovered by heavy equipment, nesting turtles and hatchlings can get caught in construction debris or excavations, and hatchlings can get trapped in holes or crevices of exposed riprap and geotextile tubes. In many areas of the world, sand mining (removal of beach sand for upland construction) seriously reduce or degrade/destroy sea turtle nesting habitats or interfere with hatchling movement to sea (NMFS 2003).

Artificial lighting on or near the beach adversely affects both nesting and hatchling sea turtles. Specifically, artificial lighting may deter adult female turtles from emerging from the ocean to nest and can disorient or misorient emerging hatchlings away from the ocean (Ehrhart 1983, Salmon and Witherington 1995). Hatchlings have a tendency to orient toward the brightest direction, which on natural, undeveloped beaches is commonly toward the broad open horizon of the sea. However, on developed beaches, the brightest direction is often away from the ocean and toward lighted structures. Hatchlings unable to find the ocean, or delayed in reaching it, are likely to incur high mortality from dehydration, exhaustion, or predation (Peters and Verhoeven 1994; Salmon et al. 1995). Hatchlings lured into lighted parking lots or toward streetlights can get crushed by passing vehicles. The extent to which these activities reduce sea turtle nesting and hatchling production is unknown. However, more and more coastal counties are adopting stringent protective measures to protect hatchling sea turtles from the disorienting effects of beach lighting.

Marine Debris. Ingestion of marine debris can be a serious threat to sea turtles. Sea turtles living in the pelagic (open ocean) environment commonly ingest or become entangled in marine debris (e.g., tar balls, plastic bags, plastic pellets, balloons, and ghost fishing gear) as they feed along oceanographic fronts, where debris and their natural food items converge (Bugoni *et al.* 2001; Pichel *et al.* 2007; Mrosovsky *et al.* 2009). This is especially problematic for turtles that spend all or significant portions of their life cycle in the pelagic environment (e.g., leatherbacks, juvenile loggerheads, and juvenile green turtles). Some types of marine debris may be directly or indirectly toxic to sea turtles on their migration to (and potentially within) the action area, such as oil. Turtles can become entangled in derelict gillnets, pound nets, and the lines associated with longline and trap/pot fishing gear. Turtles entangled in these types of fishing gear may drown and often suffer serious injuries to their flippers from constriction by the lines or ropes.

Environmental Contamination. Coastal runoff, marina and dock construction, dredging, aquaculture, oil and gas exploration and extraction, increased under water noise and boat traffic can degrade marine habitats used by sea turtles (Colburn *et al.* 1996). The development of marinas and docks in inshore waters can negatively impact nearshore habitats. An increase in the number of docks built increases boat and vessel traffic. Fueling facilities at marinas can sometimes discharge oil, gas, and sewage into sensitive estuarine and coastal habitats. Although

these contaminant concentrations do not likely affect the more pelagic waters, the species of turtles analyzed in this Opinion travel between near shore and offshore habitats and may be exposed to and accumulate these contaminants during their life cycles.

The Gulf of Mexico is an area of high-density offshore oil extraction with chronic, low-level spills and occasional massive spills (such as the April 20, 2010 Deep Water Horizon oil spill, Ixtoc I oil well blowout and fire in the Bay of Campeche in 1979, and the explosion and destruction of a loaded supertanker, the Mega Borg, near Galveston in 1990). As ESA listed species (e.g., loggerhead and Kemp's ridley sea turtles) are known to migrate through, forage, and/or nest along the coastal waters of the Gulf of Mexico, the Deep Water Horizon oil spill is likely to affect their populations; however, because all the information on sea turtle and other ESA-listed species' stranding, deaths, and recoveries has not yet been finalized, the long-term effects of the oil spill on their populations has not been determined at this time. As of February 15, 2011 the turtle species totals that have been documented as either strandings or collected via directed captures offshore due to the Deep Water Horizon oil spill were as follows:

<i>Turtle Species</i>	<i>Alive</i>	<i>Dead</i>	<i>Total</i>
Green turtle (<i>Chelonia mydas</i>)	172	29	201
Hawksbill turtle (<i>Eretmochelys imbricata</i>)	16	0	16
Kemp's ridley turtle (<i>Lepidochelys kempii</i>)	328	481	809
Loggerhead turtle (<i>Caretta caretta</i>)	21	67	88
Unknown turtle species	0	32	32
TOTAL	537	609	1146

NOTE: All data is preliminary. This data is continually being updated and will be considered preliminary until all necropsies have been completed.
<http://www.nmfs.noaa.gov/pr/health/oilspill/turtles.htm>

Oil spills can impact wildlife directly through three primary pathways: ingestion – when animals swallow oil particles directly or consume prey items that have been exposed to oil, absorption – when animals come into direct contact with oil, and inhalation – when animals breath volatile organics released from oil or from “dispersants” applied by response teams in an effort to increase the rate of degradation of the oil in seawater. Several aspects of sea turtle biology and behavior place them at particular risk, including the lack of avoidance behavior, indiscriminate feeding in convergence zones, and large prediving inhalations (Milton *et al.* 2003). When large quantities of oil enter a body of water, chronic effects such as cancer, and direct mortality of wildlife becomes more likely (Lutcavage *et al.* 1997). Oil spills in the vicinity of nesting beaches just prior to or during the nesting season could place nesting females, incubating egg clutches, and hatchlings at significant risk (Fritts and McGehee 1982; Lutcavage *et al.* 1997; Witherington 1999). Continuous low-level exposure to oil in the form of tarballs, slicks, or

elevated background concentrations also challenge animals facing other natural and anthropogenic stresses. Types of trauma can include skin irritation, altering of the immune system, reproductive or developmental damage, and liver disease (Keller *et al.* 2004, 2006). Chronic exposure may not be lethal by itself, but it may impair a turtle's overall fitness so that it is less able to withstand other stressors (Milton *et al.* 2003).

The earlier life stages of living marine resources are usually at greater risk from an oil spill than adults, especially true for hatchlings, since they spend a greater portion of their time at the sea surface than adults, their risk of exposure to floating oil slicks is increased (Lutcavage *et al.* 1995). One of the reasons might be the simple effects of scale: for example, a given amount of oil may overwhelm a smaller immature organism relative to the larger adult. The metabolic machinery an animal uses to detoxify or cleanse itself of a contaminant may not be fully developed in younger life stages. Also, in early life stages, animals may contain proportionally higher concentrations of lipids, to which many contaminants such as petroleum hydrocarbons bind. Most reports of oiled hatchlings originate from convergence zones, ocean areas where currents meet to form collection points for material at or near the surface of the water. Sixty-five of 103 post-hatchling loggerheads in convergence zones off Florida's east coast were found with tar in the mouth, esophagus or stomach (Loehfener *et al.* 1989). Thirty-four percent of post-hatchlings captured in Sargassum off the Florida coast had tar in the mouth or esophagus and more than 50% had tar caked in their jaws (Witherington 1994). These zones aggregate oil slicks, such as a Langmuir cell, where surface currents collide before pushing down and around, and represents a virtually closed system where a smaller weaker sea turtle can easily become trapped (Witherington 2002; Carr 1987). Lutz (1989) reported that hatchlings have been found apparently starved to death, their beaks and esophagi blocked with tarballs. Hatchlings sticky with oil residue may have a more difficult time crawling and swimming, rendering them more vulnerable to predation.

Frazier (1980) suggested that olfactory impairment from chemical contamination could represent a substantial indirect effect in sea turtles, since a keen sense of smell apparently plays an important role in navigation and orientation. A related problem is the possibility that an oil spill impacting nesting beaches may affect the locational imprinting of hatchlings, and thus impair their ability to return to their natal beaches to breed and nest (Milton *et al.* 2003). Whether hatchlings, juveniles, or adults, tarballs in a turtle's gut are likely to have a variety of effects – starvation from gut blockage, decreased absorption efficiency, absorption of toxins, effects of general intestinal blockage (such as local necrosis or ulceration), interference with fat metabolism, and buoyancy problems caused by the buildup of fermentation gases (floating prevents turtles from feeding and increases their vulnerability to predators and boats), among others. Also, trapped oil can kill the seagrass beds that turtles feed upon.

Unfortunately, little is known about the effects of dispersants on sea turtles, and such impacts are difficult to predict in the absence of direct testing. While inhaling petroleum vapors can irritate turtles' lungs, dispersants can interfere with lung function through their surfactant (detergent) effect. Dispersant components absorbed through the lungs or gut may affect multiple organ systems, interfering with digestion, respiration, excretion, and/or salt-gland function—similar to the empirically demonstrated effects of oil alone (Hoff and Shigenaka 2003). Oil cleanup activities can also be harmful. Earth-moving equipment can dissuade females from nesting and

destroy nests, containment booms can entrap hatchlings, and lighting from nighttime activities can misdirect turtles (Witherington 1999).

There are studies on organic contaminants and trace metal accumulation in green and leatherback sea turtles (Aguirre *et al.* 1994; Caurant *et al.* 1999; Corsolini *et al.* 2000). McKenzie *et al.* (1999) measured concentrations of chlorobiphenyls and organochlorine pesticides in sea turtle tissues collected from the Mediterranean (Cyprus, Greece) and European Atlantic waters (Scotland) between 1994 and 1996. Omnivorous loggerhead turtles had the highest organochlorine contaminant concentrations in all the tissues sampled, including those from green and leatherback turtles (Storelli *et al.* 2008). It is thought that dietary preferences were likely to be the main differentiating factor among species. Decreasing lipid contaminant burdens with turtle size were observed in green turtles, most likely attributable to a change in diet with age. Sakai *et al.* (1995) found the presence of metal residues occurring in loggerhead turtle organs and eggs. Storelli *et al.* (1998) analyzed tissues from twelve loggerhead sea turtles stranded along the Adriatic Sea (Italy) and found that characteristically, mercury accumulates in sea turtle livers while cadmium accumulates in their kidneys, as has been reported for other marine organisms like dolphins, seals and porpoises (Law *et al.* 1991). No information on detrimental threshold concentrations are available, and little is known about the consequences of exposure of organochlorine compounds to sea turtles. Research is needed on the short- and long-term health and fecundity effects of chlorobiphenyl, organochlorine, and heavy metal accumulation in sea turtles.

Nutrient loading from land-based sources, such as coastal communities and agricultural operations, are known to stimulate plankton blooms in closed or semi-closed estuarine systems. The effects on larger embayments are unknown. An example is the large area of the Louisiana continental shelf with seasonally-depleted oxygen levels (<2mg/l) is caused by eutrophication from both point and non-point sources. Most aquatic species cannot survive at such low oxygen levels and these areas are known as “dead zones.” The oxygen depletion, referred to as hypoxia, begins in late spring, reaches a maximum in midsummer, and disappears in the fall. Since 1993, the average extent of mid-summer bottom-water hypoxia in the northern GOM has been approximately 16,000 km², approximately twice the average size measured between 1985 and 1992. The hypoxic zone attained a maximum measured extent in 2002, when it was about 22,000 km² which is largest than the state of Massachusetts (U.S. Geological Service, 2005). The hypoxic zone has impacts on the animals found there, including sea turtles, and the ecosystem-level impacts continue to be investigated.

Disease. A disease known as fibropapilloma (FP), is a major threat to green turtles in some areas of the world. FP is characterized by tumorous growths, which can range in size from very small to extremely large, and are found both internally and externally. Large tumors can interfere with feeding and essential behaviors, and tumors on the eyes can cause permanent blindness (Foley *et al.* 2005). FP was first described in green turtles in the Florida Keys in the 1930s. Since then it has been recorded in many green turtle populations around the world, most notably present in green turtles of Hawaii, Florida, and the Caribbean. In Florida, up to 50% of the immature green turtles captured in the Indian River Lagoon are infected, and there are similar reports from other sites in Florida, including Florida Bay, as well as from Puerto Rico and the U.S. Virgin Islands. In addition, scientists have documented FP in populations of loggerhead, olive ridley, and

leatherback turtles (Huerta *et al.* 2002). The effects of FP at the population level are not well understood and could be a serious threat to their recovery. The cause of the disease remains unknown. Research to determine the cause of this disease is a high priority and is underway.

Impacts from non-native species introductions. An increased human presence at some nesting beaches or close to nesting beaches has led to secondary threats such as the introduction of exotic fire ants, feral hogs, dogs and an increased presence of native species (e.g. raccoons, armadillos, and opossums) which raid and feed on turtle eggs. Non-native vegetation has invaded many coastal areas and often outcompetes native species. Non-native vegetation is usually less-stabilizing and can lead to increased erosion and degradation of suitable nesting habitat. Non-native vegetation may also form impenetrable root mats that can prevent proper nest cavity excavation, invade and desiccate eggs, or trap hatchlings. In light of these issues, conservation and long-term protection of sea turtle nesting and foraging habitats is an urgent and high priority need.

Acoustic impacts. NMFS and the USN have been working cooperatively to establish a policy for monitoring and managing acoustic impacts from anthropogenic sound sources in the marine environment. Acoustic impacts to sea turtles can include temporary or permanent injury, habitat exclusion, habituation, and disruption of other normal behavior patterns. There are other more indirect factors; for a complete list refer to NMFS SEFSC (2001).

International. For sea turtle species in the Atlantic, international activities, particularly fisheries, are significant factors impacting populations. NMFS estimates that, each year, thousands of sea turtles of all species are incidentally caught and a proportion of them killed incidentally or intentionally by international activities. The impact of international fisheries is a significant factor in the baseline inhibiting sea turtle recovery. Additional information on the impacts of international fisheries is found in NMFS SEFSC (2001) and Lewison *et al.* (2004).

Global climate change. There is a large and growing body of literature on past, present, and future impacts of global climate change induced by human activities. Some of the likely effects commonly mentioned are sea level rise, increased frequency of severe weather events, and change in air and water temperatures. The EPA's climate change webpage provides basic background information on these and other measured or anticipated effects (see www.epa.gov/climatechange/index.html). Activities in the action area that may have contributed to global warming include the combustion of fossil fuels by vessels.

The effects of global climate change on sea turtles is typically viewed as being detrimental to the species (NMFS and USFWS 2007a; 2007b; 2007c; 2007d). It is believed that increases in sea level, approximately 4.2 mm per year until 2080, have the potential to remove available nesting beaches, particularly on narrow low lying coastal and inland beaches and on beaches where coastal development has occurred (Church *et al.* 2001; IPCC 2007; Nicholls 1998; Fish *et al.* 2005; Baker *et al.* 2006; Jones *et al.* 2007; Mazaris *et al.* 2009). Additionally, global climate change may affect the severity of extreme weather (e.g., hurricanes), with more intense storms expected, which may result in the loss/erosion of or damage to shorelines, and therefore, the loss of potential sea turtle nests and/or nesting sites (Goldenburg *et al.* 2001; Webster *et al.* 2005; IPCC 2007). The cyclical loss of nesting beaches resulting from extreme storm events may then

result in a decrease in hatching success and hatchling emergence (Martin 1996; Ross 2005; Pike and Stiner 2007; Prusty *et al.* 2007; Van Houton and Bass 2007). However, there is evidence that, depending on the species, sea turtles species with lower nest site fidelity (i.e., leatherbacks) would be less vulnerable to storm related threats than those with a higher site fidelity (i.e., loggerheads). In fact, it has been reported that sea turtles in Guiana are able to maintain successful nesting despite the fact that between nesting years some beaches they once nested on have disappeared, suggesting that sea turtle species may be able to behavioral adapt to such changes (Pike and Stiner 2007; Witt *et al.* 2008; Plaziat and Augustinius 2004; Girondot and Fretey 1996; Rivalan *et al.* 2005; Kelle *et al.* 2007).

Changes in water temperature are also expected as a result of global climate change. Changes in water temperature are expected affect water circulation patterns perhaps even to the extent that the Gulf Stream is disrupted, which would have profound effects on every aspect of sea turtle life history from hatching success, oceanic migrations at all life stages, foraging, and nesting. (Gagosian 2003; NMFS and USFWS 2007a; 2007b; 2007c; 2007d; Rahmstorf 1997, 1999; Stocker and Schmittner 1997). Thermocline circulation patterns are expected to change in intensity and direction with changes in temperature and freshwater input at the poles (Rahmstorf 1997; Stocker and Schmittner 1997), which will potentially affect not only hatchlings, which rely on passive transport in surface currents for migration and dispersal but also pelagic adults (i.e., leatherbacks) and juveniles, which depend on current patterns and major frontal zones in obtaining suitable prey, such as jellyfish (Hamann *et al.* 2007; Hawkes *et al.* 2009).

Changes in water temperature may also affect prey availability for species of sea turtles. Herbivorous species, such as the green sea turtle, depend primarily on seagrasses as their forage base. Seagrasses could ultimately be negatively affected by increased temperatures, salinities, and acidification of coastal waters (Short and Neckles 1999; Bjork *et al.* 2008), as well as increased runoff due the expected increase in extreme storm events as a result of global climate change. These alterations of the marine environment due to global climate change could ultimately affect the distribution, physiology, and growth rates of seagrasses, potentially eliminating them from particular areas. However, the magnitude of these effects on seagrass beds, and therefore green sea turtles, are difficult to predict, although some populations of green sea turtles appear to specialize in the consumption of algae (Bjorndal 1997) and mangroves (Limpus and Limpus 2000) and as such, green sea turtles may be able to adapt their foraging behavior to the changing availability of seagrasses in the future. Omnivorous species, such as Kemp's ridley and loggerhead sea turtles, may face changes to benthic communities as a result of changes to water temperature; however, these species are probably less likely to suffer shortages of prey than species with more specific diets (i.e., green sea turtles) (Hawkes *et al.* 2009).

Several studies have also investigated the effects of changes in sea surface temperature and air temperatures on turtle reproductive behavior. For loggerhead sea turtles, warmer sea surface temperatures in the spring have been correlated to an earlier onset of nesting (Weishampel *et al.* 2004; Hawkes *et al.* 2007), shorter internesting intervals (Hays *et al.* 2002), and a decrease in the length of the nesting season (Pike *et al.* 2006). Green sea turtles also exhibited shorter internesting intervals in response to warming water temperatures (Hays *et al.* 2002). Air temperatures also play a role in sea turtle reproduction. In marine turtles, sex is determined by temperature in the middle third of incubation with female offspring produced at higher

temperatures and males at lower temperatures within a thermal tolerance range of 25-35° C (Ackerman 1997). Based on modeling done of loggerhead sea turtles, a 2° C increase in air temperature is expected to result in a sex ratio of over 80% female offspring for loggerhead nesting beaches in the vicinity of Southport, NC. Farther to the south at Cape Canaveral, Florida, a 2°C increase in air temperature would likely result in production of 100% females while a 3°C increase in air temperature would likely exceed the thermal threshold of turtle clutches (i.e., greater than 35° C) resulting in death (Hawkes *et al.* 2007). Glen *et al.* (2003) also reported that, for green sea turtles, incubation temperatures also appeared to affect hatchling size with smaller turtles produced at higher incubation temperatures; however, it is unknown whether this effect is species specific and what impact it has on the survival of the offspring. Thus changes in air temperature as a result of global climate change may alter sex ratios and may reduce hatchling production in the most southern nesting areas of the U.S. (Hawkes *et al.* 2007; Hamann *et al.* 2007). Given that the south Florida nesting group is the largest loggerhead nesting group in the Atlantic (in terms of nests laid), a decline in the success of nesting as a result of global climate change could have profound effects on the abundance and distribution of the loggerhead species in the Atlantic, however; variation of sex ratios to incubation temperature between individuals and populations is not fully understood and as such, it is unclear whether sea turtles will (or can) adapt behaviorally to alter incubation conditions to counter potential feminization or death of clutches associated with water temperatures (e.g., choosing nest sites that are located in cooler areas, such as shaded areas of vegetation or higher latitudes; nesting earlier or later during cooler periods of the year) (Hawkes *et al.* 2009).

Ocean acidification related to global warming would also reasonably be expected to negatively affect sea turtles. The term "ocean acidification" describes the process of ocean water becoming corrosive as a result of carbon dioxide (CO₂) having been absorbed from the atmosphere. The absorption of atmospheric CO₂ into the ocean lowers the pH of the waters. Evidence of corrosive water caused by the ocean's absorption of CO₂ was found less than 20 miles off the West coast of North America during a field study from Canada to Mexico in the summer of 2007 (Feely *et al.* 2008). This was the first time "acidified" ocean water was found on the continental shelf of western North America. While the ocean's absorption of CO₂ provides a great service to humans by significantly reducing the amount of greenhouse gases in the atmosphere and decreasing the effects of global warming, the resulting change in ocean chemistry could adversely affect marine life, particularly organisms with calcium carbonate shells such as corals, mussels, mollusks, and small creatures in the early stages of the food chain (e.g., plankton). A number of these organisms serve as important prey items for sea turtles.

Although potential effects of climate change on sea turtle species are currently being addressed, fully understanding the effects of climate change on listed species of sea turtles will require development of conceptual and predictive models of the effects of climate change on sea turtles, which to date are still being developed and will depend greatly on the continued acquisition and maintenance of long-term data sets on sea turtle life history and responses to environmental changes. Until such time, the type and extent of effects to sea turtles as a result of global climate change are will continue to be speculative and as such, the effects of these changes on sea turtles cannot, for the most part, be accurately predicted at this time.

Southeast Area Monitoring and Assessment Program-South Atlantic Shallow Water Trawl Survey (SEAMAP-SASWTS).

This research is on-going and has conducted over 4,123 otter trawling tows in or adjacent to the action area and taken over 270 turtles since 1987, with no reported mortalities. Indirect effects of this trawling in the action area on sea turtles are as those discussed under shrimp trawling above (disturbance of benthic habitat). Also, captured turtles are forcibly submerged in trawls and undergo respiratory and metabolic stress. While no mortalities have been reported since 1987, risk of mortality remains possible under this activity.

Other ESA Section 10 Sea Turtle Permits.

Regulations developed under the ESA allow for the issuance of permits allowing take of certain ESA-listed species for the purposes of scientific research under Section 10(a)(1)(a) of the ESA. In addition, the ESA allows for the NMFS to enter into cooperative agreements with states developed under Section 6 of the ESA, to assist in recovery actions of listed species. Prior to issuance of these authorizations, the proposal must be reviewed for compliance with Section 7 of the ESA.

Sea turtles are the focus of research activities authorized by a Section 10 permit under the ESA. As of July 2011, there were 48 active scientific research permits (12 of which are off the Florida coast, Appendix 3) directed toward sea turtles that are applicable to the action area of this Opinion. Authorized activities range from photographing, weighing, and tagging sea turtles incidentally taken in fisheries, blood sampling, tissue sampling (biopsy), lavage and performing laparoscopy on intentionally captured turtles. The number of authorized takes varies widely depending on the research and species involved but may involve the taking of hundreds of turtles annually. Most of takes authorized under these permits are expected to be non-lethal. Before any research permit is issued, the proposal must be reviewed under the permit regulations (i.e., must show a benefit to the species).

In addition, since issuance of the permit is a federal activity, issuance of the permit by the NMFS must also be reviewed for compliance with section 7(a)(2) of the ESA to ensure that issuance of the permit does not result in jeopardy to the species. However, despite these safeguards research activity may result in cumulative effects on sea turtle populations.

Conservation and Recovery Actions Shaping the Environmental Baseline

NMFS has implemented a series of regulations aimed at reducing potential for incidental mortality of sea turtles from commercial fisheries in the action area. These include sea turtle release gear requirements for Atlantic HMS, Gulf of Mexico reef fish, and South Atlantic snapper-grouper fishery, and TED requirements for Southeast shrimp trawl fishery. In addition to regulations, outreach programs have been established and data on sea turtle interactions with recreational fisheries has been collected through the Marine Recreational Fishing Statistical Survey (MRFSS). The summaries below discuss all of these measures in more detail.

Reducing Threats from Pelagic Longline and Other Hook-and-Line Fisheries

On May 1, 2009 NMFS published an emergency rule (74 FR 20229), effective from May 18, 2009 through October 28, 2009, prohibiting bottom longlining for Gulf reef fish east of 85°30'W

longitude (near Cape San Blas, Florida) and in the portion of the EEZ shoreward of the 50-fathom depth contour. The emergency rule was intended to reduce sea turtle takes in the short-term while the Gulf of Mexico Fishery Management Council developed long-term protective measures through Amendment 31 to the Fishery Management Plan for Reef Fish Resources in the Gulf of Mexico.

NMFS published the final rule to implement sea turtle release gear requirements and sea turtle careful release protocols in the Gulf of Mexico reef fish fishery on August 9, 2006 (71 FR 45428). These measures require owners and operators of vessels with federal commercial or charter vessel/headboat permits for Gulf reef fish to comply with sea turtle release protocols and have on board specific sea turtle release gear. NMFS is currently conducting rulemaking to implement similar release gear and handling requirements for the South Atlantic snapper-grouper fishery.

NMFS published a final rule on July 6, 2004, to implement management measures to reduce bycatch and bycatch mortality of Atlantic sea turtles in the Atlantic pelagic longline fishery (69 FR 40734). The management measures include mandatory circle hook and bait requirements, and mandatory possession and use of sea turtle release equipment to reduce bycatch mortality. The current reduction in turtle interactions, seems to corroborate the rulemaking. In the Hawaii-based longline swordfish fishery which required vessels to switch from using a J-shaped hook with squid bait to a wider circle-shaped hook with fish bait has reduced capture rates of leatherback and loggerhead turtles significantly by 83% and 90% respectively (Gilman *et al.* 2007). There was also a highly significant reduction in the proportion of turtles that swallowed hooks (versus being hooked in the mouth or body or entangled) and a highly significant increase in the proportion of caught turtles that were released after removal of all terminal tackle, which could lead to the likelihood of turtles surviving the interaction (Read 2006; Watson *et al.* 2005)

Revised Use of Turtle Excluder Devices in Trawl Fisheries

NMFS has also implemented a series of regulations aimed at reducing potential for incidental mortality of sea turtles in commercial shrimp trawl fisheries. In particular, NMFS has required the use of TEDs in southeast United States shrimp trawls since 1989 and in summer flounder trawls in the Mid-Atlantic area (south of Cape Charles, Virginia) since 1992. It has been estimated that TEDs exclude 97 percent of the sea turtles caught in such trawls (Cox *et al.* 2007). These regulations have been refined over the years to ensure that TEDs are properly installed and used where needed to minimize the impacts on sea turtles.

Significant measures have been developed to reduce the take of sea turtles in summer flounder trawls and trawls that meet the definition of a summer flounder trawl (which would include fisheries for other species like scup and black sea bass) by requiring TEDs in trawl nets fished from the North Carolina/South Carolina border to Cape Charles, Virginia. However, the TED requirements for the summer flounder trawl fishery do not require the use of larger TEDs that are used in the shrimp trawl fishery to exclude leatherbacks, as well as large, benthic, immature and sexually mature loggerheads and green sea turtles.

NMFS has also been working to develop a TED, which can be effectively used in a type of trawl known as a flynet, which is sometimes used in the Mid-Atlantic and Northeast fisheries to target sciaenids and bluefish. Limited observer data indicate that takes can be quite high in this fishery.

A top-opening flynet TED was certified this summer, but experiments are still ongoing to certify a bottom-opening TED.

Placement of Fisheries Observers to Monitor Sea Turtle Takes

On August 3, 2007, NMFS published a final rule required selected fishing vessels to carry observers on board to collect data on sea turtle interactions with fishing operations, to evaluate existing measures to reduce sea turtle takes, and to determine whether additional measures to address prohibited sea turtle takes may be necessary (72 FR 43176). This rule also extended the number of days NMFS observers placed in response to a determination by the Assistant Administrator that the unauthorized take of sea turtles may be likely to jeopardize their continued existence under existing regulations, from 30 to 180 days.

Final Rules for Large-Mesh Gillnets

In March 2002, NMFS published new restrictions for the use of gillnets with larger than 8-inch stretched mesh, in federal waters (3-200 nautical miles) off North Carolina and Virginia. These restrictions were published in an interim final rule under the authority of the ESA (67 FR 13098) and were implemented to reduce the impact of the monkfish and other large-mesh gillnet fisheries on ESA-listed sea turtles in areas where sea turtles are known to concentrate. Following review of public comments submitted on the interim final rule, NMFS published a final rule on December 3, 2002, that established the restrictions on an annual basis. As a result, gillnets with larger than 8-inch stretched mesh were not allowed in federal waters (3-200 nautical miles) in the areas described as follows: (1) north of the North Carolina/South Carolina border at the coast to Oregon Inlet at all times; (2) north of Oregon Inlet to Currituck Beach Light, North Carolina, from March 16-January 14; (3) north of Currituck Beach Light, North Carolina, to Wachapreague Inlet, Virginia, from April 1-January 14; and (4) north of Wachapreague Inlet, Virginia, to Chincoteague, Virginia, from April 16-January 14. On April 26, 2006, NMFS published a final rule (71 FR 24776) that included modifications to the large-mesh gillnet restrictions. The new final rule revised the gillnet restrictions to apply to stretched mesh that is greater than or equal to 7 inches. Federal waters north of Chincoteague, Virginia, remain unaffected by the large-mesh gillnet restrictions. These measures are in addition to Harbor Porpoise Take Reduction Plan measures that prohibit the use of largemesh gillnets in southern Mid-Atlantic waters (territorial and federal waters from Delaware through North Carolina out to 72° 30'W longitude) from February 15-March 15, annually.

Sea Turtle Handling and Resuscitation Techniques

NMFS published a final rule (66 FR 67495, December 31, 2001) detailing handling and resuscitation techniques for sea turtles that are incidentally caught during scientific research or fishing activities. Persons participating in fishing activities or scientific research are required to handle and resuscitate (as necessary) sea turtles as prescribed in the final rule. These measures help to prevent mortality of hard-shelled turtles caught in fishing or scientific research gear.

Outreach and Education, Sea Turtle Entanglements, and Rehabilitation

There is an extensive network of Sea Turtle Stranding and Salvage Network participants along the Atlantic and Gulf of Mexico coasts who not only collect data on dead sea turtles, but also rescue and rehabilitate any live stranded sea turtles.

A final rule (70 FR 42508) published on July 25, 2005, allows any agent or employee of NMFS, the USFWS, the U.S. Coast Guard, or any other federal land or water management agency, or any agent or employee of a state agency responsible for fish and wildlife, when acting in the course of his or her official duties, to take endangered sea turtles encountered in the marine environment if such taking is necessary to aid a sick, injured, or entangled endangered sea turtle, or dispose of a dead endangered sea turtle, or salvage a dead endangered sea turtle that may be useful for scientific or educational purposes. NMFS already affords the same protection to sea turtles listed as threatened under the ESA [50 CFR 223.206(b)].

Other Actions

A recovery plan for the loggerhead sea turtle was published December 2008 (74 FR 2995). A draft revised recovery plan for the Kemp's ridley sea turtle was published March 2010 (75 FR 12496). Recovery teams comprised of sea turtle experts have been convened and are currently working towards revising these plans based upon the latest and best available information. Five-year status reviews have been completed for green, hawksbill, Kemp's ridley, leatherback, and loggerhead sea turtles. These reviews were conducted to comply with the ESA mandate for periodic status evaluation of listed species to ensure that their threatened or endangered listing status remains accurate. Each review determined that no delisting or reclassification of a species status (i.e., threatened or endangered) was warranted at this time. However, further review of species data for the green, hawksbill, and leatherback was recommended, to evaluate whether distinct population segments (DPS) should be established for these species (NMFS and USFWS 2007a-e). The proposed rule to list nine distinct population segments (DPSs) of Loggerhead sea turtles under the ESA was published March 1020 (75 FR 12598).

Effects of the Proposed Action

Pursuant to Section 7(a)(2) of the ESA, federal agencies are directed to ensure that their activities are not likely to jeopardize the continued existence of any listed species or result in the destruction or adverse modification of critical habitat. Direct adverse effects of the permitted activities on listed species that are within the action area would include disruption of feeding, breeding, resting and other behaviors. Some displacement may result from these activities. The duration of the behavioral disruptions and displacements are expected to vary by species and type of disturbance.

In this section, we describe the potential physical, chemical, or biotic stressors associated with the proposed action, the probability of individuals of listed species being exposed to these stressors based on the best scientific and commercial evidence available, and the probable responses of those individuals (given probable exposures) based on the available evidence. As described in the *Approach to the Assessment* section, for any responses that would be expected to reduce an individual's fitness (i.e., growth, survival, annual reproductive success, and lifetime reproductive success), the assessment would consider the risk posed to the viability of the population(s) those individuals comprise and to the listed species those populations represent.

For this consultation, we are particularly concerned about behavioral disruptions that may result in listed sea turtles that fail to feed or breed successfully or fail to complete their life history because these responses are likely to have population-level consequences. The proposed permit

would authorize non-lethal “takes” by harassment of listed species during activities. The ESA does not define harassment nor has NMFS defined the term pursuant to the ESA through regulation. For this Opinion, harass is defined by USFWS as intentional or negligent actions that create the likelihood of injury to listed species to such an extent as to significantly disrupt normal behavior patterns which include, but are not limited to, breeding, feeding, or sheltering that are essential to sea turtles’ life history or its contribution to the population the animal represents.

The purpose of this assessment is, then, to determine if it is reasonable to expect that the research, as conducted under the permits, can be expected to have direct or indirect effects on threatened and endangered sea turtle species that appreciably reduce their likelihood of surviving and recovering in the wild or result in destruction or adverse modification of critical habitat. Including assessing the direct and indirect effect of the proposed action on threatened and endangered species or critical habitat, together with the effects of other activities that are interrelated or interdependent (50 CFR 402.02). Indirect effects are those that are caused later in time, but are still reasonably certain to occur. Interrelated actions are those that are part of a larger action and depend upon the larger action for their justification. Interdependent actions are those that have no independent utility apart from the action under consideration (50 CFR 402.02). Jeopardy analyses compare reductions in a species’ likelihood of surviving and recovering in the wild associated with a *specific* action with the species’ likelihood of surviving and recovering in the wild that was established in the *Status of the Species* section of an Opinion. Jeopardy analyses also consider the importance of the action area to a listed species and the effects of other human actions and natural phenomena (that were summarized in the *Environmental Baseline*) on a species’ likelihood of surviving and recovering in the wild. As a result, jeopardy analyses in biological opinions distinguish between the effects of a specific action on a species’ likelihood of surviving and recovering in the wild and a species’ background likelihood of surviving and recovering given the full set of human actions and natural phenomena that threaten a species.

This section will assess the types of effects that are expected from the proposed action, the extent of those effects, and the overall impact of those effects on sea turtle populations.

Standards Used in Effects Analysis

The analyses in this Opinion are based on an implicit understanding that the listed sea turtle species considered in this Opinion are threatened or endangered with local or global extinction by a wide array of human activities and natural phenomena. We have outlined many of those activities in the *Status of the Species* section of this Opinion. NMFS also recognizes that some of these other human activities and natural phenomena pose serious threats to the survival of these listed species (and other flora and fauna). Further, NMFS recognizes that such species will not recover without addressing the full range of human activities and natural phenomena such as patterns of beach erosion, predation on turtle eggs, and turtle captures, injuries, and deaths in other domestic and international fisheries and other State, federal, and private activities that could cause these animals to become extinct in the foreseeable future.

Nevertheless, this Opinion focuses solely on whether the direct and indirect effects of the proposed action can be expected to appreciably reduce the listed sea turtles’ likelihood of surviving and recovering in the wild by reducing their reproduction, numbers, or distribution or

would result in a direct or indirect alteration that appreciably diminishes the value of critical habitat for both the survival and recovery of a listed species. Jeopardy analyses in biological opinions distinguish between the effects of a specific action on a species' likelihood of surviving and recovering in the wild and a species' background likelihood of surviving and recovering given the full set of human actions and natural phenomena that threaten a species.

This Opinion treats sea turtle populations in the Atlantic Ocean as distinct from the Pacific Ocean populations for the purposes of this consultation. This approach is also consistent with traditional jeopardy analyses: the loss of sea turtle populations in the Atlantic basin would result in a significant gap in the distribution of each turtle species, which makes these populations biologically significant. Finally, the loss of these sea turtle populations in the Atlantic basin would dramatically reduce the distribution and abundance of these species and would, by itself, appreciably reduce the entire species' likelihood of surviving and recovering in the wild.

Conservative Decisions- Providing the Benefit of the Doubt to the Species

The analysis in this section is based upon the best available commercial and scientific data on sea turtle biology and the effects of the proposed action. However, there are instances where there is limited information upon which to make a determination. In those cases, in keeping with the direction from the U.S. Congress to provide the "benefit of the doubt" to threatened and endangered species [House of Representatives Conference Report No. 697, 96th Congress, Second Session, 12 (1979)], we will generally make determinations which provide the most conservative outcome for listed species.

Exposure Analyses

Exposure analyses identify the co-occurrence of ESA-listed species within the action's effects in space and time, and identify the nature of that co-occurrence. They identify as possible, the number, age or life stage, and gender of the individuals likely to be exposed to the action's effects and the population(s) or subpopulation(s) those individuals represent. Individuals exposed may be of either sex or of any age.

The proposed action will expose listed sea turtle species to disturbance from boat, capture, sampling and collection activities. The applicant has requested authorization to annually sample 30 green sea turtles within Broward and Palm Beach Counties on the east coast of south Florida. Animals will be measured, flipper and passive integrated transponder (PIT) tagged, sonic tagged, lavaged, weighed, carapace marked (temporarily) and released. Since these species are highly mobile, and because the proposed activities are to take place at multiple times of year, individual listed species may suffer repeated exposures.

Response Analyses

As discussed in the *Approach to the Assessment* section of this Opinion, response analyses determine how listed resources are likely to respond after being exposed to an action's effects on the environment or directly on listed animals themselves. For the purposes of consultation, our assessments try to detect potential lethal, sub-lethal (or physiological), or behavioral responses that might reduce the fitness of individuals. Ideally, response analyses would consider and

weigh evidence of adverse consequences as well as evidence suggesting the absence of such consequences. The proposed activities have the potential to produce disturbances that may affect listed sea turtles.

The responses by animals to human disturbance are similar to their responses to potential predators (Beale and Monaghan, 2004; Frid, 2003; Frid and Dill, 2002; Gill and Sutherland, 2001; Harrington and Veitch, 1992; Lima, 1998; Romero, 2004). These responses include interruptions of essential behavior and physiological processes such as feeding, mating, resting, digestion etc. This can result in stress, injury and increased susceptibility to disease and predation (Frid and Dill, 2002; Romero, 2004; Walker et al., 2006).

Capture

The capture could result in stresses due to being handled by the SCUBA divers. Sea turtles that are forcibly submerged undergo respiratory and metabolic stress that can lead to severe disturbance of their acid-base balance. While most voluntary dives by sea turtles appear to be aerobic, showing little if any increases in blood lactate and only minor changes in acid-base status (pH level of the blood)(Lutz and Bentley 1985), sea turtles that are stressed as a result of being forcibly submerged through entanglement consume oxygen stores, triggering an activation of anaerobic glycolysis, and subsequently disturbing their acid-base balance, sometimes to lethal levels. It is likely that the rapidity and extent of the physiological changes that occur during forced submergence are functions of the intensity of struggling as well as the length of submergence (Lutcavage and Lutz, 1997). Other factors to consider in the effects of forced submergence include the size of the turtle, ambient water temperature, and multiple submergences. Larger sea turtles are capable of longer voluntary dives than small turtles, so juveniles may be more vulnerable to the stress due to handling. During the warmer months, routine metabolic rates are higher, so the impacts of the stress may be magnified. With each forced submergence, lactate levels increase and require a long (even as much as 20 hours) time to recover to normal levels. Turtles are probably more susceptible to lethal metabolic acidosis if they experience multiple captures in a short period of time, because they would not have had time to process lactic acid loads (*in* Lutcavage and Lutz 1997). Capture and handling activities may markedly affect metabolic rate (St. Aubin and Geraci 1988), reproduction (Mahmoud and Licht 1997), and hormone levels (Gregory *et al.* 1996). Understanding the physiological effects of capture methodology is essential to conducting research on endangered sea turtles, since safe return to their natural habitat is required. However, literature pertaining to the physiological effects of capture on sea turtles is scarce. No mortalities or injuries are expected as a result of the capture.

Measuring, Photographing, Weighing, and Carapace Painting

These procedures are simple and not invasive. Measuring will be done using a calipers and tape measure. Turtles will be weighed by placing them in a net and weighing them with a spring scale. The applicant will use non-toxic paints that do not contain zylene or toulene, and will be applied without crossing suture lines. Also, the applicant will not use paints with exothermic set-up reactions to avoid any effects from heat that could affect the turtle as the paint cures.

Turtles will be tracked from a distance using hydrophones. Research activities are expected to be background noise in the turtle's environment and will be followed at a distance that will not evoke a "flight" response.

NOAA Fisheries does not expect that individual turtles will experience more than short-term stresses during the measuring, weighing, painting, photographing or tracking process. No injury is expected from these activities. As discussed above, turtles will be worked up as quickly as possible to minimize stresses resulting from their capture. The applicant will also be required to follow procedures designed to minimize the risk of either introducing a new pathogen into a population or amplifying the rate of transmission from animal to animal of an endemic pathogen when handling animals.

Handling, measuring, photographing, weighing and carapace painting can result in raised levels of stressor hormones in sea turtles. The additional on-board holding time imposes an additional stressor on these already acidotic turtles (Hoopes *et al.* 2000). It has been suggested that the muscles used by sea turtles for swimming might also be used during lung ventilation (Butler *et al.* 1984). Thus, an increase in breathing effort in negatively buoyant animals may have heightened lactate production. However, the handling, measuring, photographing, carapace painting and weighing procedures are simple, non-invasive, with a relatively short time period and NMFS does not expect that individual turtles would normally experience more than short-term stresses as a result of these activities. No injury is expected from these activities, and turtles will be worked up as quickly as possible to minimize stresses resulting from their capture.

Flipper Tagging, PIT Tagging, and Sonic Tagging

Tagging activities are minimally invasive and all tag types have negatives associated with them, especially concerning tag retention. Plastic tags can become brittle, break and fall off underwater, and titanium tags can bend during implantation and thus not close properly, leading to tag loss. Tag malfunction can result from rusted or clogged applicators or applicators that are worn from heavy use (Balazs 1999). Turtles that have lost external tags must be re-tagged if captured again at a later date, which subjects them to additional effects of tagging. Turtles can experience some discomfort during the tagging procedures and these procedures will produce some level of pain. The discomfort is usually short and highly variable between individuals (Balazs 1999). Most barely seem to notice, while a few others exhibit a marked response. However, NMFS expects the stresses to be minimal and short-term and that the small wound-site resulting from a tag applied to the flipper should heal completely in a short period of time. Similarly, turtles that must be re-tagged should also experience minimal short-term stress and heal completely in a short period of time. Re-tagging is not expected to appreciably affect these turtles.

PIT tags have been used with a wide variety of animal species that include fish (Clugston 1996; Skalski *et al.* 1998; Dare 2003), amphibians (Thompson 2004), reptiles (Cheatwood *et al.* 2003; Germano and Williams 2005), birds (Boisvert and Sherry 2000; Green *et al.* 2004), and mammals (Wright *et al.* 1998; Aguirre *et al.* 2002). PIT tags have the advantage of being encased in glass, which makes them inert, and are positioned inside the turtle where loss or damage due to abrasion, breakage, corrosion or age over time is virtually non-existent (Balazs 1999; Braun-McNeill *et al.* 2003). Also with PIT tagging, there is a lower rate of loss than with

conventional methods, possibly leading to less retagging, and hence reduced interference as well as data of increased reliability and scientific value (Broderick and Godley 1999). When PIT tags are inserted into animals that have large body sizes relative to the size of the tag, empirical studies have generally demonstrated that the tags have no adverse effect on the growth, survival, reproductive success, or behavior of individual animals (Skalski *et al.* 1998, Hockersmith *et al.* 2003). However, over time PIT tags can migrate within body tissue (van Dam and Diez 1999; Wyneken *et al.* 2010) making it necessary to scan the entire surface of the implantation area.

NMFS expects the stresses to be minimal and short-term, and that the small wound resulting from the insertion of the tag would heal completely in a short period of time. NMFS does not expect that individual turtles would experience more than short term stresses during the application of the PIT tags. The proposed tagging methods have been regularly employed in sea turtle research with little lasting impact on the individuals tagged and handled (Balazs 1999). No problems with tagging have been reported by any of the NMFS permit holders. In the many years that the NMFS Southeast Fisheries Science Center has been PIT-tagging turtles, turtle discomfort was observed to be temporary, as the turtles exhibit normal behavior shortly after tagging and swim normally after release. The applicant will also be required to follow procedures designed to minimize the risk of either introducing a new pathogen into a population or amplifying the rate of transmission from animal to animal of an endemic pathogen when handling animals.

In previous studies with these types of techniques, the actual attachment of the sonic tags has shown that turtles would likely experience some small additional stress from attaching the transmitters, but not significant increases in stress or discomfort to the turtle beyond what was experienced during other research activities. Recaptured turtles previously tagged show very minimal to no signs of injury from the attachments (Keinath *et al.* 1989). The energetic costs of swimming for an instrumented turtle may be increased, resulting in major effects on activity, behavior, metabolism, habitat selection, and other key aspects of the animals' life history. Transmitters, as well as biofouling of the tag, attached to the carapace of turtles increase hydrodynamic drag and affect lift and pitch. For example, Watson and Granger (1998) performed wind tunnel tests on a full-scale juvenile green turtle and found that, at small flow angles representative of straight-line swimming, a transmitter mounted on the carapace increased drag by 27 to 30 percent, reduced lift by less than 10 percent, and increased pitch moment by 11 to 42 percent. It is likely that this type of transmitter attachment would negatively affect the swimming energetics of the turtle. However, based on the results of hardshell sea turtles equipped with this tag setup, NMFS is unaware of transmitters resulting in any serious injury to these species. Attachment of satellite, sonic, or radio tags with epoxy is a commonly used and permitted technique by NMFS. These tags are unlikely to become entangled due to their streamlined profile and will typically be shed after about 1 year, posing no long-term risks to the turtle. The permit, if issued, would require the researchers to streamline the attachment materials so that neither buoyancy nor drag would affect the turtle's swimming ability, in addition to reducing the risk of entanglement. There would be no gap allowed between the transmitter and the turtle. All tags would be attached in the most hydrodynamic manner possible, minimizing the epoxy footprint. Removal of the transmitters at the end of the experiment is a non-invasive procedure and is not expected to result in any significant stress above that which has occurred during recapture. The transmitter attachment (ties) will break away and release the sonic tag

after its life is finished in case, for some unexpected reason, the researchers are unable to recapture an animal to remove it.

Sonic tags/transponders emit a moderate to high frequency sonic pulse detectable using an underwater directional hydrophone (Oden et al. 1983; Yano and Tanaka 1991). Triangulation of the acoustic signal allows researchers to determine turtle locations. The sonic transmitters would have a frequency of approximately 50 to 80 kHz. This frequency level is not expected to adversely affect turtles. Sea turtles have low-frequency hearing sensitivity and are potentially affected by sound energy in the band below 1,000 Hz (Lenhardt 2003). Bartol *et al.* (1999) found the effective bandpass of the loggerhead sea turtle to be between at least 250 and 1,000 Hz. Ridgeway *et al.* (1969) found the maximum sensitivity of green sea turtle hearing to fall within 300- 500 Hz with a sharp decline at 750 Hz. Since the sonic tags authorized for sea turtle tracking research would be well above this hearing threshold, these tags would not be heard by the turtles. NMFS would not expect the transmitters to interfere with turtles' normal activities after they are released.

Another important consideration is whether the sounds emitted by the sonic transmitters would attract potential predators, primarily sharks. Unfortunately, hearing data on sharks is limited. Casper and Mann (2004) examined the hearing abilities of the nurse shark (*Ginglymostoma cirratum*), and results showed that this species detects low-frequency sounds from 100 to 1,000 Hz, with best sensitivity from 100 to 400 Hz. Myrberg (2001) explained that audiograms have been published on elasmobranchs. Although we do not have hearing information for all the sharks that could potentially prey on sea turtles, estimates for hearing sensitivity in available studies provided ranges of 25 to 1,000 Hz. In general, these studies found that shark hearing is not as sensitive as in other tested fishes, and that sharks are most sensitive to low-frequency sounds (Nelson 1967; Casper *et al.* 2003). Thus, it appears that the sonic transmitters would not attract potential shark predators to the turtles, because the frequency of the sonic tags is well above the 1,000-Hz threshold.

Lavage

The feeding habits of wild turtles can be determined by a variety of methods, but the preferred technique is gastric lavage or stomach flushing. This comparatively simple and reliable technique has been used to successfully sample the gut contents of various vertebrate animals groups without harm to the animal (Forbes 1999). Lavage can provide information on diets and how they relate to seasonal foraging and habitat use (Witherington 2000; Mayor *et al.* 1998) and can provide useful information aiding to the designation of critical habitat. This technique has been successfully used on green, hawksbill, olive ridley and loggerhead turtles ranging in size from 25 to 115 curved carapace length (CCL). Forbes (1999) states that many individual turtles have been lavaged more than three times without any known detrimental effect. Individuals have been recaptured from the day after the procedure up to three years later and appear healthy and feeding normally. Laproscopic examination of the intestines following the procedure has not detected any swelling or damage to the intestines. While individual turtles are likely to experience discomfort during this procedure, NMFS does not expect individual turtles to experience more than short-term distress. Injuries are not anticipated. The applicant will also be required to follow procedures designed to minimize the risk of either introducing a new pathogen into a population or amplifying the rate of transmission from animal to animal of an

endemic pathogen when handling animals, including having separate lavage equipment for the sampling of turtles with and without FP, as well as on the size of the turtles.

Boat Strikes, Noise and Visual Disturbance

There is a potential for boat strikes, noise and visual disturbance to listed species resulting from the proposed activities. However, because of the trained research personnel, maneuverability and slow operating speeds of the research vessels, boat strikes are extremely unlikely and noise and visual disturbance would be discountable. As a result, any risk of boat related disturbances to listed species is highly unlikely and no reduction in the fitness of any individual listed sea turtle is expected.

Summary of Effects

The short-term stresses resulting from capture, handling, measuring, photographing, weighing, lavage, flipper tagging, and sonic tagging are expected to be minimal. The Permit would contain conditions to mitigate adverse impacts to turtles from these activities. As discussed above, turtles would be worked up as quickly as possible to minimize stresses resulting from the research and the applicant would also be required to follow procedures designed to minimize the risk of either introducing a new pathogen into a population or amplifying the rate of transmission from animal to animal of an endemic pathogen when handling animals. The applicant would be required to exercise care when handling animals to minimize any possible injury. An experienced veterinarian or veterinarian technician would be named by the applicant for emergencies. During release, turtles would be lowered as close to the water's surface as possible, to prevent potential injuries.

Species' Response to Effects of the Proposed Action

Actions that result in mortality affect listed species through the impact of the loss of individual turtles and also through the loss of the reproductive potential of each turtle to its respective population. Similarly, serious injuries to listed species due to an action that result in an animal's inability to reproduce affects a listed species due to the loss of that animal's reproductive potential. These effects have the potential to reduce the likelihood of survival and recovery of species.

Mortality and serious injury under the research as described under the proposed actions are not expected. The effects of the proposed handling, tagging, measuring, weighing, photographing, and lavage have been determined to have the potential to elicit short-term changes in sea turtle behavior, but are not likely to result in long-term effects on these individuals or populations. Therefore, NMFS does not expect the research procedures that would be authorized under the proposed action to result in more than short-term effects on individual animals due to the conditions concerning research procedures and placed on the applicant. In addition, NMFS does not expect any delayed mortality of turtles following their release as a direct result of the research based on past research efforts by other researchers and adherence to certain protocols identified in the proposed action. The data generated by the applicant over the duration of this study will provide beneficial information that will be important to the management and recovery of threatened and endangered species. The information collected as a direct result of permit issuance will be available to implement the goals identified in the Recovery Plans for sea turtles.

Based on the above, NMFS believes it is reasonable to assume that issuance of the proposed permit will have beneficial effects for green sea turtles. Issuance of this permit is not likely to appreciably reduce the numbers, distribution, or reproduction of green sea turtles in the wild that would appreciably reduce the likelihood of survival and recovery of these species.

Cumulative Effects

Cumulative effects include the effects of future State, tribal, local, or private actions that are reasonably certain to occur in the action area considered in this Opinion. Future Federal actions, including research authorized under ESA Section 10(a)1(A), that are unrelated to the proposed action are not considered in this section because they require separate consultation pursuant to section 7 of the ESA. After reviewing available information, NMFS is not aware of effects from any additional future non-federal activities in the action area that would not require federal authorization or funding and are reasonably certain to occur during the foreseeable future.

NMFS expects the natural phenomena in the action area (e.g., oceanographic features, storms, and natural mortality) will continue to influence listed sea turtles as described in the *Environmental Baseline*. We also expect current anthropogenic effects will also continue, including vessel traffic and scientific research. Potential future effects from climate change on sea turtles in the action area are not definitively known. However, climatic variability has the potential to affect these species in the future, including indirectly by affecting sex ratios.

As the size of human communities increase, there is an accompanying increase in habitat alterations resulting from an increase in housing, roads, commercial facilities and other infrastructure. This results in increased discharge of sediments and pollution into the marine environment. These activities are expected to continue to degrade the habitat of sea turtles as well as that of the food items on which they depend. However, it is the combination and extent to which these natural and human-induced phenomena will affect sea turtles that remains unknown.

Integration and Synthesis of Effects

As explained in the *Approach to the Assessment* section, risks to listed individuals are measured using changes to an individual's "fitness", i.e., the individual's growth, survival, annual reproductive success, and lifetime reproductive success. When listed plants or animals exposed to an action's effects are not expected to experience reductions in fitness, we would not expect the action to have adverse consequences on the viability of the population(s) those individuals represent or the species those populations comprise (Anderson, 2000; Brandon, 1978; Mills and Beatty, 1979; Stearns, 1992). As a result, if the assessment indicates that listed plants or animals are not likely to experience reductions in their fitness, we conclude our assessment.

The narrative that follows integrates and synthesizes the information contained in the Status of the Species, the Environmental Baseline, and the Effects of the Action sections of this Opinion to assess the risk the proposed activities pose to green sea turtles. There are known cumulative effects (i.e., from future state, local, tribal, or private actions) that fold into our risk assessment

for this species. This section provides an integration and synthesis of the information presented in the Status of the Species, Environmental Baseline, Cumulative Effects, and Effects of the Action sections of this Opinion. The intent of the following discussion is to provide a basis for determining the additive effects of the take authorized in the permit on green sea turtles, in light of their present and anticipated future status.

While the loss of any turtle, including eggs, has likely adversely affected the ability of the green sea turtle populations considered in this Opinion to maintain or increase their numbers by limiting the number of individuals in these populations, the loss of reproductive adults results in reductions in future reproductive output. Species with delayed maturity such as sea turtles are demographically vulnerable to increases in mortality, particularly of juveniles and subadults, those stages with higher reproductive value. The potential for an egg to develop into a hatchling, into a juvenile, and finally into a sexually mature adult sea turtle varies among species, populations, and the degree of threats faced during each life stage. Each juvenile that does not survive to reproduce will be unable to contribute to the maintenance or improvement of the species' status. Reproducing females that are prematurely killed due the threats mentioned in the above sections, while possibly having contributed something before being removed from the population, will not be allowed to realize their reproductive potential. Similarly, reproductive males prematurely removed from the population will be unable to make their reproductive contribution to the species' population.

As described in the Effects of the Action section of this Opinion, the research activities that would take place under Permit 16174 are not expected to result in mortality or injury to any of the juvenile green sea turtles. The capture, handling, tagging, measuring, photographing, lavage, weighing and carapace marking sampling activities will only result in temporary stress to the animal and are not expected to have more than short-term effects on individual green sea turtles. These non-lethal interactions will not affect the turtle's ability to reproduce and contribute to the maintenance or recovery of the species. These effects are expected to be short-term because the take is non-lethal and previous experience with the type of proposed research activities has demonstrated that it is reasonable to expect that effects will be minimal. This research will affect the turtles by harassing individual turtles during the research thus raising levels of stressor hormones, and the turtle may experience some discomfort during capture, tagging and lavage procedures. Based on past observations of similar research, these effects are expected to dissipate within approximately a day. Based on this prior information and experience, and conditions placed on the Permit Holder, NMFS does not expect the applicant's proposal to conduct the research as described above to result in more than short-term effects on the individual animals. NMFS also does not expect any delayed mortality of any turtles following their release as a direct result of the research based on past research efforts by other researchers and adherence to certain protocols identified in the proposed action.

Although some degree of stress or pain is likely for individual turtles captured, handled, lavaged, and tagging (which will result in tissue injuries), none of the research procedures are expected to result in mortality or reduced fitness of individuals. The proposed permit is not expected to affect the population's reproduction, distribution, or numbers. Because the proposed action is not likely to reduce the particular population's likelihood of surviving and recovering in the wild, it is not likely to reduce the species' likelihood of surviving and recovering in the wild.

NMFS does not expect the proposed research activities to appreciably reduce the green sea turtles likelihood of survival and recovery in the wild by adversely affecting their birth rates, death rates, or recruitment rates. In particular, NMFS does not expect the proposed research Permit to affect adult, female turtles in a way that appreciably reduces the number of animals born in a particular year; the reproductive success of adult female turtles; the survival of young turtles; or the number of young turtles that annually recruit into the adult, breeding populations of any population of green sea turtles.

The proposed actions are not expected to have more than short-term effects on green sea turtle populations. The data generated by the applicant regarding these populations over the duration of these studies will provide beneficial information that will be important to the management and recovery of threatened and endangered species. The information collected as a direct result of Permit issuance will be used to implement the goals identified in the Recovery Plans for the U.S. Atlantic Populations of sea turtles. As discussed above, NMFS believes it is reasonable to assume that issuance of the proposed Permit will have beneficial effects for the Gulf of Mexico, Caribbean and Atlantic Ocean populations of green sea turtles.

Conclusion

After reviewing the current status of the green sea turtles, the environmental baseline for the action area, the effects of the take authorized in this permit, and probable cumulative effects, it is NMFS' biological and conference opinion that issuance of the permit, as proposed, will not reduce the likelihood of the survival and recovery of their populations in the wild by reducing their numbers, distribution, or reproduction, and therefore is not likely to jeopardize the continued existence of these species and is not likely to destroy or adversely modify designated critical habitat.

INCIDENTAL TAKE STATEMENT

Section 9 of the ESA and Federal regulation pursuant to section 4(d) of the ESA prohibit the take of endangered and threatened species, respectively, without special exemption. Take is defined as to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect, or to attempt to engage in any such conduct. Harm is further defined by NMFS to include significant habitat modification or degradation that results in death or injury to listed species by significantly impairing essential behavioral patterns, including breeding, feeding, or sheltering. Harass is defined by USFWS as intentional or negligent actions that create the likelihood of injury to listed species to such an extent as to significantly disrupt normal behavior patterns which include, but are not limited to, breeding, feeding, or sheltering. Incidental take is defined as take that is incidental to, and not the purpose of, the carrying out of an otherwise lawful activity. Under the terms of section 7(b)(4) and section 7(o)(2), taking that is incidental to and not intended as part of the agency action is not considered to be prohibited taking under the ESA provided that such taking is in compliance with the terms and conditions of this Incidental Take Statement.

Amount or Extent of Take

The permit is for the directed take, for research purposes, of listed green sea turtles; no incidental take of other listed species is anticipated or authorized.

This Opinion does not authorize any take of other listed species or immunize any actions from the prohibitions of section 9(a) of the ESA. Take is authorized by section 10(a)(1)(a) as specified in the permit.

CONSERVATION RECOMMENDATIONS

Section 7(a)(1) of the Act directs Federal agencies to utilize their authorities to further the purposes of the Act by carrying out conservation programs for the benefit of endangered and threatened species. Conservation recommendations are discretionary agency activities to minimize or avoid adverse effects of a proposed action on listed species or critical habitat, to help implement recovery plans, or to develop information.

The following conservation recommendations would provide information that would improve the level of protections afforded in future consultations involving proposals to issue permits for research on the listed sea turtle species:

1. *Cumulative Impact Analysis.* F/PR1 should work with the sea turtle recovery team and the research community to develop protocols that would have sufficient power to determine the cumulative impacts (that is, includes the cumulative lethal, sub-lethal, and behavioral consequences) of existing levels of research on individuals populations of sea turtles.

REINITIATION NOTICE

This concludes formal consultation and conference on the proposal to issue scientific research permit 16174. As provided in 50 CFR §402.16, reinitiation of formal consultation is required where discretionary Federal agency involvement or control over the action has been retained (or is authorized by law) and if: (1) the amount or extent of proposed take is exceeded; (2) new information reveals effects of the agency action that may affect listed species or critical habitat in a manner or to an extent not considered in this Opinion; (3) the agency action is subsequently modified in a manner that causes an effect to the listed species or critical habitat not considered in this Opinion; or (4) a new species is listed or critical habitat designated that may be affected by the action. In instances where the amount or extent of authorized take is exceeded, NMFS Office of Protected Resources – Permits, Conservation, and Education Division must immediately request reinitiation of section 7 consultation.

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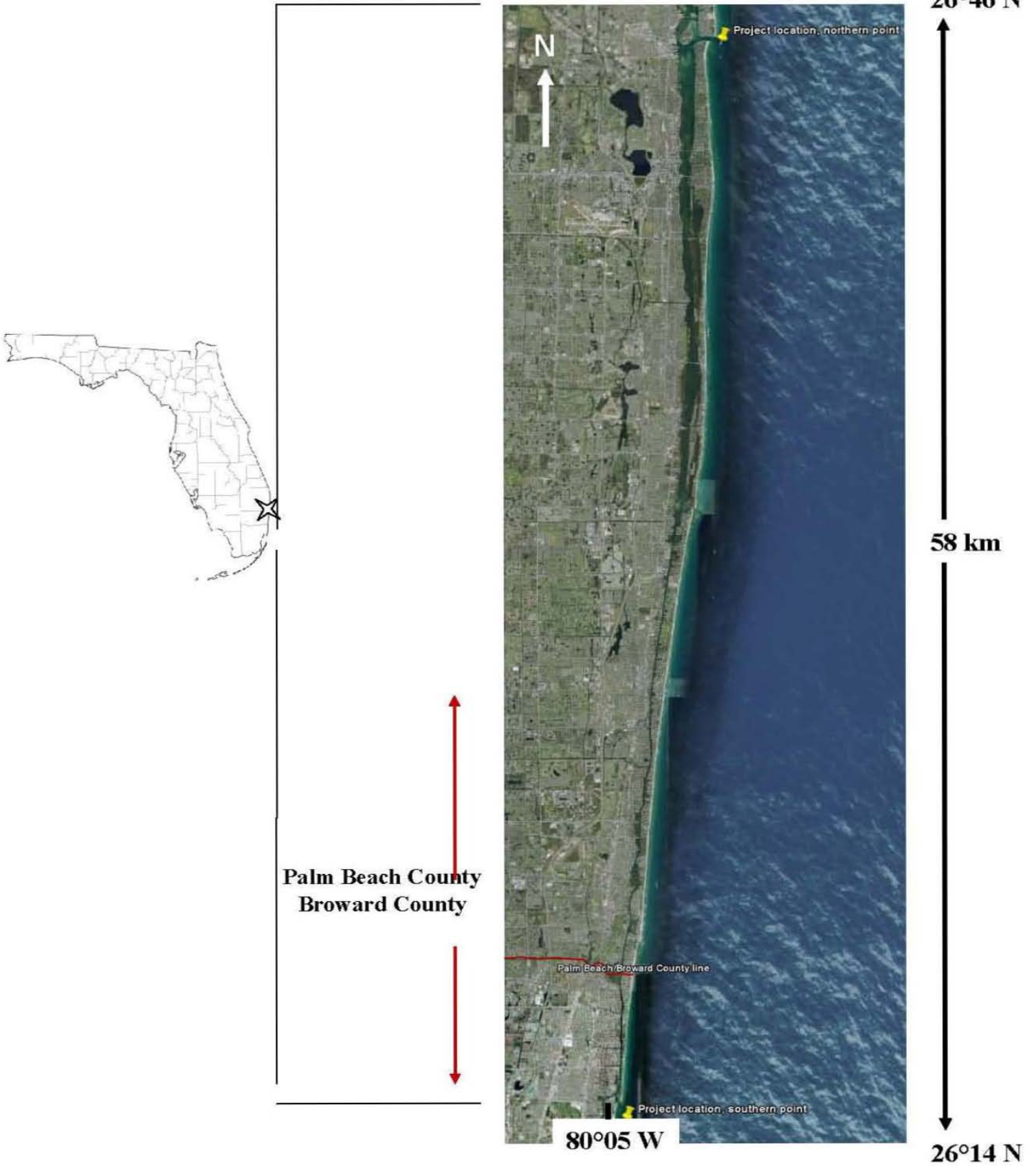
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APPENDIX 1: Map of Action Area



Appendix 2. The anticipated annual incidental take of loggerhead, leatherback, Kemp’s ridley, green, and hawksbill sea turtles as outlined in the most recent opinions on NMFS-authorized federal fisheries.

FISHERY	SEA TURTLE SPECIES					
	TAKE PERIOD	LOGGERHEAD	LEATHERBACK	KEMP’S RIDLEY	GREEN	HAWKSBILL
SOUTHEASTERN U.S. SHRIMP	ANNUAL*	GOM: 28,095 – No more than 778 lethal	GOM: 623 – No more than 18 lethal	155,503 – No more than 4,208 lethal	18,757 – No more than 514 lethal	640 – All lethal
		S. Atlantic: 33,204 – No more than 673 lethal	S. Atlantic: 378 – No more than 8 lethal			
ATLANTIC HMS-PELAGIC LONGLINE	3 – YEAR	1,905 – No more than 339 lethal	1,764 – No more than 252 lethal	105 – All species in combination; no more than 18 lethal		
ATLANTIC HMS-SHARK FISHERIES	3 – YEAR	679 – No more than 113 lethal	74 – No more than 47 lethal	2 – No more than 1 lethal	2 – No more than 1 lethal	2 – No more than 1 lethal
SOUTH ATLANTIC SNAPPER-GROUPER	3 – YEAR	202 – No more than 67 lethal	25 – No more than 15 lethal	19 – No more than 8 lethal	39 – No more than 14 lethal	4 – No more than 3 lethal
GULF OF MEXICO REEF FISH	3 – YEAR	68 – No more than 26 lethal	7 – No more than 3 lethal	1 – Lethal or non-lethal	17 – No more than 7 lethal	15 – No more than 5 lethal
SUMMER FLOUNDER/SCUP/BLACK SEA BASS	ANNUAL-ALL GEAR COMBINED	205 – No more than 85 lethal	6 – Lethal or non-lethal	4 – Lethal or non-lethal	5 – lethal or non-lethal	None
GOM STONE CRAB	3 – YEAR	16 – No more than 4 lethal	1 – Lethal or non-lethal	3 – No more than 1 lethal	4 – No more than 1 lethal	1 – Lethal or non-lethal
DOLPHIN-WAHOO		12 – No more than 2 lethal	12 – No more than 2 lethal	3 – All species in combination; no more than 1 lethal take		
COASTAL MIGRATORY PELAGICS	3 – YEAR	33 – Lethal takes	2 – Lethal takes	4 – Lethal takes	14 – Lethal takes	2 – Lethal takes
ATLANTIC BLUEFISH	ANNUAL	Trawl gear: 3 – no more than 2 lethal/yr over 5yr avg. Gillnet: 79 – no more than 32 lethal/yr over a 5yr avg	4 – Lethal or non-lethal	4 – Lethal or non-lethal	5 – Lethal or non-lethal	None
ATLANTIC MACKEREL/SQUID/BUTTERFISH	ANNUAL	62 – no more than 27 lethal/yr over 5yr avg.	2 – Lethal or non-lethal	2 – Lethal or non-lethal	2 – Lethal or non-lethal	None
MONKFISH	ANNUAL	Gillnet: 171 – no more than 69 lethal/yr over 5yr avg.	4 – Lethal or non-lethal	4 – Lethal or non-lethal	5 – Lethal or non-lethal	None

		Trawl gear: 2 – no more than 1 lethal/yr over a 5yr avg.				
SPINY DOGFISH	ANNUAL	Trawl gear: 1– Lethal or non-lethal over 5yr avg. Gillnet: 1– Lethal or non-lethal over 5yr avg	4 – Lethal or non-lethal	4 – Lethal or non-lethal	5 – Lethal or non-lethal	None
GOM/SOUTH ATLANTIC SPINY LOBSTER	3 – YEAR	3 – Lethal or non-lethal	1 – Leatherback, Kemp’s ridley or hawksbill		3 – lethal or non-lethal	See leatherback entry
NORTHEAST LOBSTER TRAP	ANNUAL	1 – Lethal or non-lethal	5 – Lethal or non-lethal (biennially)	None	None	None
NORTHEAST MULTISPECIES	ANNUAL	Trawl gear: 43 – no more than 19 lethal/yr over 5yr avg. Gillnet: 3 – no more than 2 lethal/yr over a 5yr avg.	4 – Lethal or non-lethal	4 – Lethal or non-lethal	5– Lethal or non-lethal	None

* Revised as of 12/22/2010 per the updated Shrimp by-catch Memo.

APPENDIX 3: Permits Authorizing Directed Takes for the Target Sea Turtle Species in the Action Area

Existing Permits Authorizing Takes for the Target Sea Turtle Species In the Action Area.

Permit Number	Permit Holder	Expiration Date
14856	Jeanette Wyneken	November 30, 2015
14506	Llewellyn Ehrhart	September 15, 2015
14726	Blair Witherington	September 15, 2015
13544	Jeffrey Schmid	April 30, 2014
13573	Michael Salmon	May 1, 2012
13306	Karen Holloway-Adkins	June 30, 2013
14655	Jane Provancha	June 1, 2015
14508	Inwater Research Group, Inc.	June 1, 2015
1551	NMFS SEFSC	July 1, 2013
1570	NMFS SEFSC	December 31, 2011
1571	NMFS SEFSC	December 31, 2011
1576	NMFS NEFSC	September 30, 2011

Authorized Mortality

Permit No. 1576 authorizes the lethal take of up to 23 loggerhead, 1 green, 1 leatherback, and 1 Kemp’s ridley sea turtles annually, and up to 1 loggerhead and 1 Kemp’s ridley over the course of the permit, through 2011. However, deaths are authorized as part of gear testing in the Northeast Atlantic, not in Florida waters.

Permit No. 1570 authorizes the lethal take of up to 3 loggerhead, 2 green, 1 leatherback, 2 Kemp’s ridley, 1 hawksbill, and 1 olive ridley sea turtle over the course of the permit through 2011.