

CHANGE

**U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL AVIATION ADMINISTRATION**

ORDER 8130.21E
CHG 1

National Policy

Effective Date:
April 17, 2007

SUBJ: Procedures for Completion and Use of the Authorized Release Certificate,
FAA Form 8130-3, Airworthiness Approval Tag

1. Purpose. This change incorporates the following revised guidance for the issuance of Federal Aviation Administration (FAA) Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag:

a. Paragraph 205 was changed to allow manufacturing designated airworthiness representatives (DAR) and maintenance DARs, when authorized, to issue Form 8130-3 for domestic airworthiness approval purposes at certificated air agencies and air carriers.

b. Paragraph 305k was revised allowing serial/batch number information in Block 11 of Form 8130-3 for return-to-service items.

c. Paragraph 305m(1) was deleted, which required the term “approval for return to service” to be entered in Block 13 when the right side of the form is completed. We renumbered subsequent paragraphs and revised sample forms and references as necessary.

2. Who This Change Affects. This order is distributed to the Washington Headquarters division levels of the Aircraft Certification Service and Flight Standards Service; to the branch levels of the Aircraft Certification Service; to the branch levels in the regional Flight Standards Divisions and Aircraft Certification Directorates; to all Flight Standards District Offices and International Field Offices; to all Aircraft Certification Offices (ACO); to all Certificate Management Offices and all Manufacturing Inspection District and Satellite Offices; to the Aircraft Certification and Airworthiness Branches at the FAA Academy; to the Suspected Unapproved Parts Program Office; to the Flight Standards International Field Offices; and to the Brussels Aircraft Certification Division.

3. Disposition of Transmittal Paragraph. Retain this transmittal sheet until the directive is cancelled by a new directive.

CHANGE CONTROL CHART

Remove Sections	Dated	Insert Sections	Dated
Table of Contents	9/29/06	Table of Contents	4/17/07
Chapter 2, paragraph 205	9/29/06	Chapter 2, paragraph 205	4/17/07
Chapter 2, figure 2-6a	9/29/06	Chapter 2, figure 2-6a	4/17/07
Chapter 3, figure 3-1	9/29/06	Chapter 3, figure 3-1	4/17/07
Chapter 3, paragraph 302a(2)	9/29/06	Chapter 3, paragraph 302a(2)	4/17/07
Chapter 3, figure 3-2	9/29/06	Chapter 3, figure 3-2	4/17/07
Chapter 3, paragraph 305k through m(1) through m(4)	9/29/06	Chapter 3, paragraph 305k through m(1) through m(3)	4/17/07

/S/ 4/17/07

**Frank P. Paskiewicz
 Manager, Production and
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**Figure 2-2. Sample Form 8130-3
for Domestic Airworthiness Approval for an Engine**

1. Approving National Aviation Authority/Country: FAA/United States		2. AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: 5648944	
4. Organization Name and Address: Big Engine Manufacturing Co. 5 Aviation Way, Small Town, KS 67021 PC 099					5. Work Order/Contract/Invoice Number: BR549		
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
1	Engine	TSIO-550B1D1	N/A	1	N/A	NEW	
13. Remarks: "AIRWORTHINESS APPROVAL - FOR DOMESTIC SHIPMENTS ONLY"							
14. Certifies the items identified above were manufactured in conformity to: <input checked="" type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature: <i>A. Inspector</i>		16. Approval/Authorization No.: DAR54123SW		20. Authorized Signature:		21. Approval/Certificate No.:	
17. Name (Typed or Printed): A. Inspector		18. Date (m/d/y): Aug/28/2006		22. Name (Typed or Printed):		23. Date (m/d/y):	
User/Installer Responsibilities							
<p>It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.</p> <p>Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1.</p> <p>Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.</p>							
FAA Form 8130-3 (6-01)		*Installer must cross-check eligibility with applicable technical data.				NSN: 0052-00-012-9005	

**Figure 2-3. Sample Form 8130-3 for Domestic Airworthiness Approval
for a New Product, Part, or Appliance (Packing List)**

1. Approving National Aviation Authority/Country: FAA/United States		2. AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: 991004327	
4. Organization Name and Address: Parts Manufacturing Corporation, 6210 Wing Avenue, Anyplace, TX (PQ02469SW)						5. Work Order/Contract/Invoice Number: V234ZY 6 pages attached dated 10/12/2005	
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
List Attached							
13. Remarks: Airworthiness approval This is the certification statement for the products, parts, and appliances listed on the attached document dated Oct/12/2005, containing pages 1 through 6 with the Form Tracking Number 991004327 on each of the pages.							
14. Certifies the items identified above were manufactured in conformity to: <input checked="" type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature: <i>A. Inspector</i>		16. Approval/Authorization No.: DARF-761104-NM		20. Authorized Signature:		21. Approval/Certificate No.:	
17. Name (Typed or Printed): A. Inspector		18. Date (m/d/y): Oct/12/2005		22. Name (Typed or Printed):		23. Date (m/d/y):	
User/Installer Responsibilities							
It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1. Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.							
FAA Form 8130-3 (6-01)		*Installer must cross-check eligibility with applicable technical data.				NSN: 0052-00-012-9005	

205. DOMESTIC AIRWORTHINESS APPROVAL OF NEW PRODUCTS, PARTS, AND APPLIANCES AT U.S.-CERTIFICATED REPAIR STATIONS, 14 CFR PART 121 AND PART 135 CERTIFICATE HOLDERS, AND ACCREDITED DISTRIBUTOR FACILITIES.

a. New products, parts, and appliances at repair stations certificated under 14 CFR part 145, the holder of a U.S. air carrier certificate operating under part 121 or part 135 with an approved continued airworthiness maintenance program, or accredited distributor facilities as described in AC 00-56, Voluntary Industry Distributor Accreditation Program, may be eligible to have a Form 8130-3 issued as a domestic airworthiness approval. All other airworthiness approvals must be issued in accordance with the appropriate chapter of this order.

b. Manufacturing DARs with function code 08 privileges and maintenance DARs with function code 23 privileges in accordance with Order 8100.8 may issue Form 8130-3 for domestic airworthiness approval purposes at part 145 repair stations, part 121 or part 135 certificate holders, and accredited distributor facilities. The DAR may issue Form 8130-3 only when all four of the following criteria are met:

*

- * (1) The applicant who possesses the product, part, or appliance is—
- (a) Certificated in accordance with part 121, 135, or 145; or
 - (b) Accredited in accordance with AC 00-56. The Aviation Suppliers Association maintains a list of distributors accredited in accordance with AC 00-56 at <http://www.aviationsuppliers.org>.
- (2) The product, part, or appliance was received in accordance with the requirements of the part 121 or part 135 continued airworthiness maintenance program, part 145 established quality system, or the AC 00-56-accredited quality system.
- (3) The product, part, or appliance was manufactured under an FAA PAH quality system and conforms to approved design data. Source of manufacturing generally can be established by means of acceptable documentation (for example, shipping documents, manufacturer certificates of conformance, or material certification (refer to AC 20-62, Eligibility, Quality, and Identification of Aeronautical Replacement Parts), along with the part markings (for example, part number, serial number, or trademark, or a combination of these sufficient to uniquely identify the manufacturer)). *
- (4) The airworthiness of the product, part, or appliance is established. One method for doing this is to establish positive traceability to a PAH and then to make a finding that the airworthiness of the product, part, or appliance has not been compromised or placed in service (for example, suffered damage or degradation affecting airworthiness) since release by the PAH. *
- * c. Once positive traceability to a PAH is confirmed, and the DAR finds that the airworthiness of the product, part, or appliance has not been compromised since release by the PAH, the DAR may issue the tag.
- d. When completing Form 8130-3, the name and address of the organization where the Form 8130-3 was issued must be documented in Block 4, along with the PAH's name in Block 13. (Refer to paragraph 208 of this order for further instructions.) (See figure 2-4 of this order.) *

Figure 2-4. Sample Form 8130-3 for Airworthiness Approval When Issued at an Accredited Distributor Facility

1. Approving National Aviation Authority/Country: FAA/United States		2. AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: ACE235	
4. Organization Name and Address: Ace Aircraft Parts Distribution Co., 100 Lake Drive, San Antonio, TX 78007						5. Work Order/Contract/Invoice Number: PO #451960	
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
1	Exhaust Valve	GE637781	N/A	5 ea.	N/A	NEW	
13. Remarks: Airworthiness approval The part(s) shipped under this approval were produced by Sample Engines, Incorporated.							
14. Certifies the items identified above were manufactured in conformity to: <input checked="" type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature: <i>A. Inspector</i>		16. Approval/Authorization No.: DARF-000243-SW		20. Authorized Signature:		21. Approval/Certificate No.:	
17. Name (Typed or Printed): A. Inspector		18. Date (m/d/y): May/30/2005		22. Name (Typed or Printed):		23. Date (m/d/y):	
User/Installer Responsibilities							
It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1. Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.							
FAA Form 8130-3 (6-01)		*Installer must cross-check eligibility with applicable technical data.				NSN: 0052-00-012-9005	

206. PREPOSITIONED PRODUCTS, PARTS, AND APPLIANCES.

a. General. Form 8130-3 may be used to identify airworthiness approval status of prepositioned products, parts, or appliances before TC/STC approval. Use of the form for this purpose is allowed.

b. Applicability. Eligible products, parts, and appliances are production products, parts, and appliances conformed as part of an FAA certification project, but are produced under an APIS/PC holder's FAA-approved quality system in accordance with part 21, subpart F, Production Under Type Certification Only, and subpart G, Production Certificates.

c. System Requirements. The PC holder must have a procedure that tracks the configuration of the product, part, or appliance from the manufacturer through shipment until the TC/STC is issued. The procedures must be adequate to ensure the requirements of § 21.165(a) and (b) are met.

- (b) Apply a stamp form of the statement to the copied Form 8130-3 and complete it.
- (3) Maintain the original Form 8130-3 and a copy of the written “true copy” statement on file.

Figure 2-6a. Sample Form 8130-3 for Splitting Bulk Shipments

AUTHORIZED RELEASE CERTIFICATE
FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG

1. Approving National Aviation Authority/Country: FAA/United States		2. Form Tracking Number: OEM-549				
4. Organization Name and Address: OEM Airplane Company, 110 Stunt Flyer Road, Memphis, TN 76005 (PC023)					5. Work Order/Contract/Invoice Number: WO 5678	
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:
1	Flap Track Roller	65B9999-1	N/A	1000	N/A	NEW
13. Remarks: AIRWORTHINESS APPROVAL						
14. Certifies the items identified above were manufactured in conformity to: <input checked="" type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.			19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature: <i>A. Inspector</i>		16. Approval/Authorization No.: DMIR-650987-NM		20. Authorized Signature:		21. Approval/Certificate No.:
17. Name (Typed or Printed): A. Inspector		18. Date (m/d/y): May/25/2005		22. Name (Typed or Printed):		23. Date (m/d/y):
User/Installer Responsibilities						
<p>It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.</p> <p>Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1.</p> <p>Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.</p>						

FAA Form 8130-3 (6-01) *Installer must cross-check eligibility with applicable technical data. NSN: 0052-00-012-9005

Figure 2-6b. Sample Form 8130-3 for Splitting Bulk Shipments (Separate Sheet of Paper)

OEM AIRPLANE COMPANY TRUE COPY STATEMENT

I OEM Airplane Company certifies that the attached document is a true copy of the authorized release certificate. The original authorized release certificate received by our facility is maintained on file pursuant to our document retention standards. The original Form Tracking Number is **OEM-549**. The new tracking number for this split bulk shipment is **S1-054321**. The number of parts being shipped under this certification is **500**.

A. Inspector

A. Inspector, DMIR-650987-NM

Oct/24/2005

Date

208. BLOCK-BY-BLOCK INSTRUCTIONS FOR COMPLETING FORM 8130-3 FOR DOMESTIC AIRWORTHINESS APPROVALS.

a. Block 1. Approving National Aviation Authority/Country. FAA/United States. (Preprinted.)

b. Block 2. Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag. (Preprinted.)

c. Block 3. Form Tracking Number.

(1) Enter the unique number established by the numbering system. (Refer to paragraph 201g of this order.)

(2) The organization that splits bulk shipments of previously shipped products, parts, or appliances received from a PAH must establish a unique new tracking number and enter that number on the certifying statement or on the supplement Form 8130-3. (Refer to paragraph 207c of this order.)

d. Block 4. Organization Name and Address.

(1) Enter the full name and physical address (no post office box numbers) of the organization or facility for which the form is being issued, and the PAH certificate or project number (for example, certificate No. PC 700 or PQ0123CE), if applicable. If the organization or facility does not hold a certificate or project number, no particular entry is required (as in the case of an accredited distributor). If the PAH organization or facility is unsure of its project number, consult the FAA managing office for assistance. For accessibility purposes, it is optional to enter an e-mail address or telephone number in this block.

NOTE: In the case where Form 8130-3 is issued at a PAH's extension facility and that facility is issued its own project number by the geographic managing office, that project number will be used, along with the full name and address of the extension facility.

(2) When a supplier has direct shipment authorization from a PAH or conformity inspections are performed on behalf of a PAH/applicant when conformity is established at the supplier's facility, the following information must be entered:

(a) PAH name and address.

(b) Supplier name and address.

(c) PAH certificate or project number (for example, certificate No. PC 700 or PQ0123CE). If the supplier is unsure what number to use, consult the PAH for assistance.

m. Procedures should be developed for managing information systems consistent with AC 21-35. These procedures must include a secured electronic auditing system that reflects all system changes and a secured monitoring system that records all transactions by items such as part number, serial number (when applicable) or equivalent, and quantity.

n. Automation and use of electronic signature of Form 8130-3 is allowed by all persons who issue the form, however, using automation and electronic signature does not relieve the person authorized to issue Form 8130-3 from certifying that the work specified on the form was accomplished in accordance with part 43 and, in respect to that work, the items are approved for return to service.

o. The signature of the person authorized to issue Form 8130-3 may be applied electronically to Block 20 from domestic and international locations. At the time the signature is authorized to be placed on Form 8130-3, the person whose signature appears on the form must have direct access to the products, parts, appliances, forms, and other data to monitor the process, perform spot-checks, and ensure the work specified on the form was accomplished in accordance with part 43 and, in respect to that work, the items are approved for return to service.

Figure 3-1. Sample Form 8130-3 for a Rebuilt Product or Part

*

1. Approving National Aviation Authority/Country: FAA/United States		2. AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: ACME-12345	
4. Organization Name and Address: Acme Airplane Company, 110 Aviation Place, Somewhere, OK (PC62)					5. Work Order/Contract/Invoice Number: WO 98765		
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
1	Fuel Control	PW54667	N/A	1	N/A	See Block 13	
13. Remarks: "Rebuilt (altered) to original PAH's specifications."							
14. Certifies the items identified above were manufactured in conformity to: <input type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input checked="" type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature:		16. Approval/Authorization No.:		20. Authorized Signature: <i>A. Inspector</i>		21. Approval/Certificate No.: PC #42	
17. Name (Typed or Printed):		18. Date (m/d/y):		22. Name (Typed or Printed): A. Inspector		23. Date (m/d/y): Apr/30/2006	
User/Installer Responsibilities							
It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1. Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.							

*

302. APPROVAL FOR RETURN TO SERVICE AFTER MAINTENANCE, PREVENTIVE MAINTENANCE, REBUILDING, AND ALTERATION — PRODUCTS AND PARTS.

a. Only those persons authorized as stated in paragraph 301a and b, when authorized by § 43.7(c), (d), and (e), may issue a Form 8130-3 for approval for return to service of products and parts that have undergone maintenance, preventive maintenance, rebuilding, or alteration, provided the applicable recordkeeping requirements of § 43.9, § 91.417, § 121.380(c), § 135.439(c), or § 145.219 are met. The use of Form 8130-3 for this purpose is optional but the FAA recommends its use. This will help aviation authorities and the industry to ensure complete traceability and ease the movement of products and parts through the aviation system.

(1) All work must be performed under the control of part 121 or part 135 certificate holders having a continued airworthiness maintenance program or an air agency certificated under part 145. This applies to all FAA-certificated repair stations, both domestic and foreign.

(2) A PAH may use Form 8130-3 for approval for return to service of products and component parts as set forth in §§ 43.3(j) and 43.7(d). The completion of Blocks 19 through 23 will be used when the PAH rebuilds or alters any product manufactured by it under a TC or PC, TSO authorization, PMA, APIS, or product and process specification issued by the Administrator. The PAH completes Block 19 by checking the appropriate box “Other regulation specified in Block 13.” Refer to paragraphs 305l and 305m(2).

(a) A PAH need not apply for a limited rating repair station certificate to perform rebuilding and alterations of a product for which they have approval. However, under the requirements of part 21, subparts F, G, K, and O, Technical Standard Order Authorizations, a PAH is required to establish and maintain a quality control system and/or inspection system, and the FAA must be notified of and approve any changes to the previously approved system. Therefore, the FAA must review PAH-instituted rebuilding and alteration processes if these processes constitute change to the previously FAA-approved system, to include both initiation of these processes and subsequent changes to the processes. If a PAH is conducting rebuild and/or alteration work and its quality control and/or inspection system does not reference this respective work, the PAH needs to change the system to include the rebuild and/or alteration work.

(b) Documentation as outlined in § 43.9 ensures a PAH has in place a method for tracking the rebuild and/or alteration work performed and who performed it. This documentation method should become part of the FAA-approved quality control and/or inspection system.

(c) As a minimum, the PAH quality control and/or inspection system should address the PAH’s procedures for rebuild and alteration that—

1 Dictate the data used for rebuilding and alteration. Section 43.7(d) requires that, except for minor alterations, parts must be worked under technical data approved by the FAA. It is acceptable to rebuild using the same FAA-approved design data used for manufacturing. The PAH may alternatively develop data specifically for rebuilding, as long as that data is FAA approved.

2 Identify by name and job title all persons authorized to return rebuilt parts and alterations to service, to include signing of return-to-service documents.

3 Identify the records required for return to service and how to complete them in compliance with § 43.9(a). Concerning the name and signature of the person approving the part for return to service, the certificate type and number of the approving person must be documented as well. In the case of PAHs rebuilding their own parts, the certificate number is the assigned FAA project number (under part 21, subparts F, K, or O) or the production certificate number (under part 21, subpart G).

(d) There is no regulatory requirement for certified repairmen or mechanics to return to service rebuilt or altered items. Section 43.7(d) authorizes the PAH to return to service any item worked on under § 43.3(j). Any employee of the PAH may therefore issue return-to-service documents, but the PAH should deem them qualified and authorized in writing — the return-to-service documents are signed as part of their approval. Issuing return-to-service documents for rebuild and alteration activities is not a designee function. While the person issuing return-to-service documents may also be an FAA designee, that person must not perform return to service in a designee capacity or record a designee number on any return-to-service document.

(3) When Form 8130-3 is used as an approval for return to service to meet the terms and conditions of a BASA MIP, the air agency or air carrier must check the two boxes in Block 19 stating “14 CFR 43.9 Return to Service” and “Other regulations specified in Block 13” and provide the appropriate information in Block 13. This is considered to be a dual release Form 8130-3. (See figure 3-3 to this order.)

(4) If another authority’s approved maintenance data are used to maintain products and parts and those data are not addressed in the provisions of a BASA MIP, Form 8130-3 should not be used.

b. In all cases, an appropriately authorized representative of the air agency, air carrier, or PAH in accordance with § 43.7(c), (d), or (e) must make the approval for return to service of products and parts.

c. European CAAs may recognize an approval for return to service Form 8130-3 only from part 145 domestic repair stations or air carriers that also obtained a European Aviation Safety Agency (EASA) part 145 approval appropriately rated for the product or part at the time the product or part was approved for return to service. If a dual release is being applied to Form 8130-3 to satisfy a European CAA or EASA, the air agency, U.S. air carrier, or FAA approval/certification number must be entered in Block 21, along with the following statement in Block 13: “Certifies that the work specified in Blocks 12/13 was carried out in accordance with EASA part 145, and with respect to that work, the component is considered ready for release to service under EASA Part 145 Approval Number [insert number: EASA 145-XXX].”

Figure 3-2. Sample Form 8130-3 for Approval for Return To Service

*

1. Approving National Aviation Authority/Country: FAA/United States		2. AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: 2004-664	
4. Organization Name and Address: Anyone's Repair Station, 1104 Wing Avenue, Anyplace, TX 22212 (PW8RW813J)						5. Work Order/Contract/Invoice Number: W 8851	
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
010	Actuator	69A321	N/A	1	3384-L	REPAIRED	
13. Remarks: "The work specified has been accomplished in accordance with [insert type of manual or specification, number, revision, or date]."							
14. Certifies the items identified above were manufactured in conformity to: <input type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input checked="" type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature:		16. Approval/Authorization No.:		20. Authorized Signature: <i>A. Inspector</i>		21. Approval/Certificate No.: PW8RW813J	
17. Name (Typed or Printed):		18. Date (m/d/y):		22. Name (Typed or Printed): A. Inspector		23. Date (m/d/y): Oct/12/2005	
User/Installer Responsibilities							
<p>It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.</p> <p>Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1.</p> <p>Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.</p>							

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305. BLOCK-BY-BLOCK INSTRUCTIONS FOR COMPLETING FORM 8130-3 FOR APPROVAL FOR RETURN TO SERVICE.

a. Block 1. Approving National Aviation Authority/Country. FAA/United States. (Preprinted.)

b. Block 2. Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag. (Preprinted.)

c. Block 3. Form Tracking Number.

(1) Enter the unique number established by the numbering system. (Refer to paragraph 301k of this order.)

(2) The organization that splits bulk shipments of previously shipped products, parts, or appliances received from a PAH must establish a unique new tracking number and enter that number on the certifying statement.

d. Block 4. Organization Name and Address. Enter the full name and physical address (no post office box numbers) of the organization or facility for which the form is being issued, and the facility's certificate number (for example, certificate No. LIIR 123K or X9MA123H), as appropriate. If the organization or facility is unsure of which number to use, consult the FAA managing office for assistance. For accessibility purposes, it is optional to enter an e-mail address or telephone number in this block.

e. Block 5. Work Order/Contract/Invoice Number.

(1) Fill in the work order number, contract number, and/or invoice number related to the shipment list, or maintenance release authorization number, and state the number of pages attached to the form, including dates, if applicable. If the shipment list contains the information required in Blocks 6 through 12, the respective blocks may be left blank if an original or true copy of the list is attached to the form. In this case, the following statement must be entered in Block 13: "This is the certification statement for the products, parts, and appliances listed on the attached document dated _____, containing pages _____ through _____." In addition, the shipping list must cross-reference the form tracking number located in Block 3. (See figure 2-3 of this order.)

(2) If a work order/contract/invoice number is not available, enter "N/A."

f. Block 6. Item. When Form 8130-3 is issued, a single item number or multiple item numbers (for example, same item with different serial numbers) may be used for the same part number. Multiple items must be numbered in sequence, although not necessarily beginning with the number one (for example, 0040, 0050, 0062, 0063). If a separate listing is used, enter "List Attached" (refer to paragraph 305e of this order for further instructions).

g. Block 7. Description. Enter the name or description of the product, part, or appliance as referenced in a part catalog or overhaul manual. For PAHs that rebuild products, parts, or appliances in accordance with § 43.3(j), enter the description as shown on the design data.

h. Block 8. Part Number. Enter each part number of the product, part, or appliance. If the part being worked is a subassembly that does not have a part number of its own, enter the next higher assembly number followed by the words “subassembly.”

i. Block 9. Eligibility. Enter “N/A.”

j. Block 10. Quantity. Enter the quantity of each product, part, or appliance shipped.

* **k. Block 11. Serial/Batch Number.** If the item is identified with a serial number, batch number, or equivalent identifier, enter it here. If not, enter “N/A.” *

l. Block 12. Status/Work. The following table describes what to enter in a specific situation. Only one term may be entered in Block 12, which should reflect the majority of the work performed by the organization.

<i>Enter—</i>	<i>For—</i>
“OVERHAULED”	those products overhauled in accordance with § 43.2.
“SEE BLOCK 13”	products, parts, or appliances rebuilt, or altered by authorized PAHs in accordance with § 43.3(j). Refer to paragraph 305m(2).
“INSPECTED,” “REPAIRED,” or “MODIFIED”	other situations, as appropriate.

NOTE: The term “INSPECTED” includes testing of products, parts, and appliances.

m. Block 13. Remarks. Enter any information or references to the FAA-approved/accepted technical data used to accomplish the maintenance task to assist the user or installer with making a final determination of airworthiness of the items listed in Block 6. Each statement must specify which item identified in Block 6 is related (if applicable). Examples of information (all of which may not be required depending on the product) to be supplied are as follows: (If additional space is needed, a separate sheet may be used and attached.)

* **(1)** Data required by § 43.9. If other documents such as work orders, shop travelers, or FAA Form 337, Major Repair and Alteration (Airframe, Powerplant, Propeller, or Appliance), are used by the certificate holder to comply with §§ 43.9 and 43.11, they must be specifically referenced in this block. The supporting documentation is necessary for the user or installer to make a final airworthiness determination of the item. *

(a) The information should be clear, complete, and provided in a form and manner adequate for the purpose of making such a determination of airworthiness.

(b) The information should be clearly identified as to which item it relates to. Examples of information to be supplied are as follows:

I The identity of maintenance documentation used as the approved standard, to include the revision status and date.

2 Compliance with ADs or SBs. If an AD or an SB is not complete, a statement to that fact must be documented in Block 13 or a record of such must be referenced in Block 13.

3 Replacement/modification parts installed, and repairs or modifications made.

4 Life-limited parts history.

5 Deviations from the customer's work order.

6 Identity of the national regulation, if not part 145.

7 Release statements to satisfy another CAA's maintenance requirement.

8 Release statements to satisfy the conditions of an international maintenance agreement, such as, but not limited to, the Canadian Technical Arrangement Maintenance and BASA MIP.

NOTE: Examples in paragraph 305m(1)(b)6 and 7 allow the possibility of dual release against both part 145 and another CAA's maintenance requirement or the single release by a part 145-approved maintenance facility against a CAA maintenance requirement. However, care should be taken to check the relevant box(es) in Block 19 to validate the release. A dual release requires the approved data to be approved/accepted by both the FAA and appropriate CAA. The single release requires approved data to be approved/accepted only by the appropriate CAA.

* (2) When an authorized person completes Blocks 19 through 23 for the purpose of rebuilding, *
or altering a product they hold the approval for in accordance with § 43.3(j), the term "SEE BLOCK 13"
will be entered in Block 12, and the following statement will be entered in Block 13: "REBUILT
(ALTERED) TO ORIGINAL PAH'S SPECIFICATIONS."

* (3) Part number list or attachment when multiple part numbers are used. *

n. Blocks 14 through 18. Shade, darken, or otherwise mark to preclude inadvertent or unauthorized use.

o. Block 19. Approval for Return to Service. Check, mark, blacken, or place an "X" in the appropriate box(es) indicating which regulations apply to the completed work. If the box "Other regulations specified in Block 13" is checked—

(1) The regulations of the other CAA must be specifically identified in Block 13. The completed work can be accomplished in accordance with the regulations of the FAA and/or of another CAA. The data used to complete the work must be clearly stated in Block 13 or attached to the form and the attachment identified in Block 13. In this case, both boxes must be checked. (Refer to paragraph 302a(4) of this order for dual release instructions.)

(2) The phrase “REBUILT (ALTERED OR INSPECTED) TO ORIGINAL PAH’S SPECIFICATIONS” will be entered in Block 13 when a PAH rebuilds, alters, or inspects their product in accordance with § 43.3(j) or § 47.7(d).

p. Block 20. Authorized Signature. The individual authorized by the PAH, air agency, or air carrier signs in this block. The approval signature must be applied at the time and place of issuance and manually applied, except as provided in paragraph 301o of this order.

q. Block 21. Approval/Certificate No. Enter the PAH, air agency, or air carrier certificate number (for example, OTWR165K).

r. Block 22. Name. Enter the typed or printed name of the authorized representative whose signature appears in Block 20.

s. Block 23. Date. Enter the date (month (three-letter designator)/day/year) the Form 8130-3 is signed and the product, part, or appliance is approved for return to service. This does not need to be the same as the shipping date, which may occur later.

306. LOST FORM 8130-3 ISSUED FOR AN APPROVAL FOR RETURN TO SERVICE.

a. Forms may be reissued by authorized persons.

b. If a copy of a Form 8130-3 is requested by the original recipient, a file copy of the original form should be provided, if available. Otherwise, a new form will be issued in accordance with chapter 3 of this order, and the words “THIS FORM 8130-3 REPLACES THE LOST FORM 8130-3 DATED [enter original issuance date]” entered in Block 13, and the current date entered in Block 23 for approval for return to service. The replacement form must have an original signature and the same data as the lost Form 8130-3.

c. If the reissuer is confident the product, part, or appliance status has changed since the original approval for return to service Form 8130-3 issuance, the product, part, or appliance must be returned to the original issuer for inspection/testing before a replacement Form 8130-3 may be issued in accordance with this order.