

Department of Defense
Report on Privacy Activities
Section 803 of 9/11 Commission Act of 2007
1st Quarter FY10 – October 1 to December 31, 2009

Introduction

The policy of the Department of the Defense is to protect the privacy of individuals by ensuring that when executing its programs and policies, the Department gives due consideration and regard for information privacy. In recognition of global expansion of information technology posing new threats to individual privacy, the Department continues efforts to strengthen its privacy oversight responsibilities. In addition, actions continue to review a wide variety of activities and procedures within DoD to find opportunities to enhance protections of the privacy of individuals.

Department Actions

The Defense Privacy Office is responsible for all privacy functions delineated by authorities, including the Privacy Act of 1974, 5 USC 552a, as implemented by the Office of Management and Budget, OMB Circular A-130, Appendix 1; Federal Information Security Management Act of 2002 (FISMA); DoD regulatory authority DoD Directive 5400.11, Department of Defense Privacy Program and DoD 5400.11-R, DoD Privacy Program.

Section 803 of the Implementing Recommendations of the 9/11 Commission Act of 2007, P.L. 110-53, established additional privacy and civil liberties requirements for the Department of Defense.

Quarterly Report

The Department has developed a standard reporting framework and instructions to address Section 803 reporting requirements tailored to its mission and functions. The 1st quarter report for FY10 consolidates all privacy activities of the DoD and Component Privacy Offices responsible for privacy functions, including data on the related reviews conducted reference to the advisory guidance delivered, and information about written complaints received and processed. The report is as follows:

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A. Types of Privacy Reviews

Privacy Act Statements (PAS)	412
Privacy Act Systems of Records (SORNs) with applicable associated exemptions	109
Computer Matching Program(s)	6

B. Topics of Advice and Responses Given

Collection, Use, Disclosure, Protection of PII	23242
Privacy Act Program Requirements /Principles	1301
SSN and PII Reduction Actions	1023
Privacy Compliance/DITPR Reporting	16
Website Safeguards	152
Privacy Compliance in Agency Publication	363
Privacy Act Violations	76
Privacy Act Systems of Records Notices (SORNs)	1344
PII Breach Notification/Identity Theft	1984
Privacy Presentations	317
Privacy Impact Assessments (PIA)	2037
Training Requirements	286

C. Privacy Complaints and Dispositions

Type/Nature of Complaint or Alleged Violation	Number of Complaints	Disposition of Complaint		
		Responsive Action taken ¹	No Action Required ²	Pending ³
Process and Procedure <i>(Compliance Matters)</i>	3	2	0	1
Redress	0	0	0	0
Operational <i>(Collection, Use, Disclosure Issues)</i>	4	3	1	0
Referred to Other Agency(s)	0	0	0	0
Other	0	0	0	0
Total for Qty 1st FY10	7	5	1	1

Dispositions of complaints are reported in one of the following categories:

¹*Responsive Action Taken.* The complaint was reviewed and a responsive action was taken.

²*No Action Required.* The complaint did not ask for or require a DoD action or response.

³*Pending.* The complaint is being reviewed to determine the appropriate response.