

7. Appendix 7: Wave 2 Paper Screener Questionnaire

Screening Questions for the Survey of 1996 and 1997 Research and Development Funding and Performance by Nonprofit Organizations



Nonprofit organizations play a key role in conducting and funding important research in the medical and health-related sciences, natural and social sciences, and engineering. The National Science Foundation is seeking your help in understanding the work of the nonprofit sector by asking you to complete this questionnaire on research and development activities undertaken by your organization.

This information is solicited under the authority of the National Science Foundation Act of 1950, as amended. Your response is entirely voluntary and your failure to provide some or all of the information will not adversely affect your organization.

Please write any address corrections on or alongside the label.

<p><i>EIN: Organization EIN</i> <i>Name of Organization</i> <i>Address of Organization</i></p>
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Name of person completing this questionnaire _____

Title _____

Telephone Number _____ E-mail Address _____@_____

Organization (if different from above) _____

Address (of new organization) _____

If your organization is different from the organization listed on the label, what is the relationship between the two organizations? _____

Completing this survey requires an average of 12 minutes. If you wish to comment on this burden, please contact Suzanne H. Plimpton, Reports Clearance Officer, NSF, at 703-306-1125, or email splimpto@nsf.gov. Please return the completed survey by March 31, 1999 to:

NSF Study of Nonprofits

The Gallup Organization Survey Processing Center
PO Box 5700
Lincoln, NE 68505-9926

If you have any questions or comments about the survey, please contact Dr. Barbara Wells of The Gallup Organization at 1-888-558-5776 (NSF@gallup.com).

General Instructions

Please refer to the following definitions when responding to survey questions, even if your organization may use a different definition.

- ***Research*** is systematic study directed toward fuller knowledge or understanding of the subject studied. Research is classified as either basic or applied, according to the objectives of the investigator.
- ***Development*** is systematic use of the knowledge or understanding gained from research, directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.
- ***Research and development*** includes the development and use of scientific knowledge through fundamental research in the laboratory, in the field, or through experiments; clinical investigations; clinical trials; epidemiological, engineering, and demographic studies; and controlled pilot projects. Included in this definition is the preparation for publication of books and papers describing the results of the specific research and development, if carried out as an integral part of that research and development. Also included is the administration of research and development. Traineeships, if they are mainly directed to R&D, are also included.

Science and Engineering (S&E) includes:

- ***Medical or Health-Related Sciences*** including Biochemistry, Genetics, Physiology, Cell Biology/Molecular Biology, Pharmacology/Toxicology, Epidemiology, Health Care Sciences and Services, Reproduction, Growth and Development, Oncology/Pathology/Hematology, Immunology, Microbiology/Virology, Biomedical Engineering and Instrumentation, Neuroscience, Clinical Medicine, and other medical or health sciences.
- ***Natural and Social Sciences*** including Agricultural Sciences, Biological Sciences (non-medical), Computer Sciences, Environmental Sciences, Mathematical Sciences, Physical Sciences, Psychology, and Social Sciences.
- ***Engineering*** including Aeronautical and Astronautical, Chemical, Civil, Electrical, Mechanical, Metallurgical and Materials, and other engineering fields.

Science and engineering **do not** include law, business administration/management science, humanities, history (except research in history and philosophy of science and technology), the arts, or education (except educational psychology).

Scannable Form—This questionnaire is a scannable form. Please follow the steps below carefully when completing this questionnaire.

- Use a blue or black ink pen only.
- Do not use ink that soaks through the paper.
- Make solid marks that fall inside the response boxes.
- For each question, please choose only ONE answer.

1. Your Organization

Is your organization a nonprofit organization? (By nonprofit, we mean an organization classified as 501(c) by the Internal Revenue Service, filing a 990 or a 990-PF tax return form.)

Yes No

2. Research Performance

In fiscal year 1996, did your organization **conduct** any research or development in the science, engineering, or technology fields?

Science, engineering and technology fields include: Social Sciences, Psychology, Medical and Health Sciences, Biological Sciences, Engineering, Physical Sciences, Environmental Sciences, Mathematical Sciences, Computer Sciences, and Agricultural Sciences.

Yes (*Continue with Question 2a*) No (*Skip to Question 3*)

2a. Approximately how much research or development in the science, engineering or technology fields did your organization **perform** in fiscal year 1996? (*Consider total costs, including both direct and indirect costs in both internally and externally funded research or development.*)

<input type="checkbox"/> Less than \$50,000	<input type="checkbox"/> \$1,000,000 - \$4,999,999
<input type="checkbox"/> \$50,000 - \$249,999	<input type="checkbox"/> \$5,000,000 - \$24,999,999
<input type="checkbox"/> \$250,000 - \$999,999	<input type="checkbox"/> \$25 million or more

3. Research and Development Funding

In fiscal year 1996, did your organization **fund** other organizations to conduct research or development in the science, engineering, or technology fields?

Science, engineering, and technology fields include: Social Sciences, Psychology, Medical and Health Sciences, Biological Sciences, Engineering, Physical Sciences, Environmental Sciences, Mathematical Sciences, Computer Sciences, and Agricultural Sciences.

Yes (*Continue with Question 3a*) No (*Skip to NOTE below Question 3a*)

3a. Approximately how much research or development in the science, engineering or technology fields did your organization **fund** to other organizations in fiscal year 1996?

<input type="checkbox"/> Less than \$50,000	<input type="checkbox"/> \$1,000,000 - \$4,999,999
<input type="checkbox"/> \$50,000 - \$249,999	<input type="checkbox"/> \$5,000,000 - \$24,999,999
<input type="checkbox"/> \$250,000 - \$999,999	<input type="checkbox"/> \$25 million or more

NOTE: If you answered "No" to Question 2 **and** Question 3, you need not answer any more questions. Please return this questionnaire in the enclosed postage paid envelope. Thank you.

Others, please continue.

4. University Affiliation

Did your organization have an agreement of affiliation with any college or university in fiscal year 1996?

Yes (*Continue with Question 4a*) No (*Skip to Question 5*)

4a. Did the college or university include the activities of your organization as part of its budget in fiscal year 1996?

Yes No Don't Know

6. Government Affiliation

Is your organization a unit of the Federal, regional, state, county, city, or local government?

Yes No

5a. Is your organization part of a public college or public university?

Yes No

7. Survey Coordinator

The NSF has contracted with The Gallup Organization to develop an estimate of the amount of research and development in science and engineering funded or performed by nonprofit organizations. We would like to send your organization a survey which will ask for more detailed data on the funds your organization spends on science and engineering research or development.

Please fill in the information requested below identifying the best-qualified person in your organization to provide us with this information. *If this person is located at a different organization or address from what is listed on the front of this survey, please provide that organization's name and correct address.*

Name _____

Title _____

Name of Organization _____

Address _____

Telephone Number _____

Fax Number _____

E-mail Address _____ @ _____

Thank you for providing us with preliminary information and a contact for your organization. Please return this form within the next four weeks in the enclosed postage paid envelope to NSF Survey of Nonprofit Organizations, The Gallup Organization Survey Processing Center, PO Box 5700, Lincoln NE 68505-9926.