

Department of the Interior U.S. Fish and Wildlife Service

OMB No. 1018-0092 Expires: 11/30/2013

Federal Fish and Wildlife Permit Application Form

Type of Activity: Import/Export License Return to: Click here for addresses

Office of Law Enforcement U.S. Fish and Wildlife Service

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A.	Co	omplete if applying	g as an individu	al		
1.a. Last name		1.b. First na	me	1.c. Middle name or in	nitial 1.d. Suffix	
2. Date of birth (mm/dd/yyyy)	3. Social Security No.	4. Occupation	4. Occupation		5. Affiliation/ Doing business as (see instructions)	
6.a. Telephone number	mber 6.b. Alternate telephone number		6.c. Fax number		6.d. E-mail address	
B. Com	plete if applying on beha	lf of a business, co	rporation, publ	ic agency, tribe or instit	 tution	
1.a. Name of business, agency, tribe			usiness as (dba)			
2. Tax identification no.	3. Desc	cription of business, ager	ncy, tribe, or institution	on		
4.a. Principal officer Last name	4.b. Pri	incipal officer First name	•	4.c. Principal officer Middle r	name/ initial 4.d. Suffix	
5. Principal officer title	<u> </u>		6. Primary contact	•		
7.a. Business telephone number	7.b. Alternate telephone number	er 7.c. Busines	s fax number	7.d. Business e-mail a	nddress	
С.	All an	plicants complete	addrass informs	ation		
1.a. Physical address (Street address			addi ess morne	uion		
1.b. City	1.c. State	1.d. Zip code/Posta	l Code 1.e. Co	unty/Province	1.f. Country (If not US)*	
2.a. Mailing Address (include if diff	former than physical address in all	ada nama af aantaat nara	on if annlicable)		*See Page 2; Question 1	
2.a. Maning Address (include if diff	terent man physical address, men	ide name of contact pers	on ii applicable)			
2.b. City	2.c. State	2.d. Zip code/Posta	l Code 2.e. Cou	-	1.f. Country (If not US)* *See Page 2; Question 1	
D.		All applicants M	UST complete			
Attach check or money ord \$50.00 if you are requesting acting on behalf of such age	er payable to the U.S. FISH AND g a substantive amendment of you encies, are exempt from the proces	WILDLIFE SERVICE ur existing valid Import/I essing fee – attach docum	in the amount of \$100 Export license. Feder	al, tribal, State, and local gover	rnment agencies, and those	
, ,	ave you ever had any Federal Fish mber of the most current permit y	1	are applying to renev	w/re-issue:	No 🗆	
applicable parts in subcha	fy that I have read and am familia pter B of Chapter I of Title 50, a belief. I understand that any false	and I certify that the infor	mation submitted in	this application for a permit is c	complete and accurate to the	
Signature (in blue ink) of a	applicant/person responsible for p	ermit (No photocopied o	1 0	Date of	f signature (mm/dd/yyyy)	

Please continue to next page

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ALL APPLICANTS COMPLETE SECTION E:

IMPORT/EXPORT LICENSE APPLICATION CONTINUATION SHEET

1.	U.S. address (not a P.O. Box) for foreign applicant. (50 CFR 13.12 requires this information for issuance).
2.	Name, street address (not a P.O. Box), telephone, fax number, and email address of additional partners and principal officers of the entity applying for this license. If the applicant is the sole owner, or the application is for an individual, indicate "same as Page 1."
3.	Street address (not a P.O. Box), telephone , and fax number of the location(s) where business records concerning imports or exports of wildlife will be kept. If the location(s) is the same as on the first page, indicate "same as Page 1".
4.	Street address (not a P.O. Box), telephone , and fax number of the location(s) wildlife inventories will be kept. If the location(s) is the same as on the first page, indicate "same as Page 1".
5.	General description of the wildlife or wildlife products to be imported/exported. (Choose all that apply)
	a. Live Dead Products Other (Explain)
	b. □ Fish □ Coral □ Reptiles □ Amphibians □ Mammals □ Birds □ Insects/Arachnids □ Other
	c. Venomous
6.	Applicants residing or located outside the United States conducting commercial activities. The name, physical address and telephone number of your agent that is located in the United States who will maintain your records for five (5) years from the expiration of the permit. If the location is the same as Question 1, then indicate "same as Question 1". (50 CFR 13.12"cpf "35068 requires this information for issuance).

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PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard permit form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service or CITES permit. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates will be referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all blocks/lines/questions in Sections A or B, and C through E.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in <u>blue</u> ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the application or if applicable on the attached address list.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed. Some applications may take longer than 90 days to process. (50 CFR 13.11)
- Applications are processed in the order they are received.
- Additional forms and instructions are available from http://www.fws.gov/permits.

COMPLETE EITHER SECTION A OR SECTION B:

Section A. Complete if applying as an individual:

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. *Fax and e-mail are not required if not available.*
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing power of attorney must be included with the application.
- **Affiliation/ Doing business as (dba)**: business, agency, tribe, organizational, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity).

Section B. Complete if applying as a business, corporation, public agency, tribe, or institution:

- Enter the complete name of the business, agency, tribe or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, tribe or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, tribe or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION D:

Application processing fee:

- An application processing fee is required at the time of application; unless exempted under 50 CFR13.11(d)(3). **The fee does not guarantee the issuance of a permit. Fees will not be refunded for applications that are approved, abandoned, or denied**. We may return fees for withdrawn applications if no significant processing has occurred.
- Documentation of fee exempt status is not required for Federal, tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Those applicants acting on behalf of such agencies must submit a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Federal Fish and Wildlife permits:

• List the number(s) of your most current FWS or CITES permit or the number of the most recent permit if none are currently valid. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

CERTIFICATION:

• The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink. This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

ALL APPLICANTS COMPLETE SECTION E:

- Question 1. Enter the complete U.S. address. This can be a temporary address (such as a hotel, relative, etc.). 50 CFR 13.12 requires this information for issuance.
- Question 6. Enter the complete name and physical address where your records (as defined in 50 CFR 13.46) will be maintained for five (5) years from the date of expiration of the license. This can be a customshouse broker, relative, etc. It cannot be a retail postal or mail service center. 50 CFR 13.12 requires this information for issuance.

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APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act, Privacy Act, and Freedom of Information Act - Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

- 1. The gathering of information on fish and wildlife is authorized by:
 - (Authorizing statutes can be found at: http://www.gpoaccess.gov/cfr/index.html and http://www.fws.gov/permits/ltr/ltr.shtml.)
 - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
 - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
 - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
 - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, et. seq.), 50 CFR 18;
 - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
 - f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
 - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), http://www.cites.org/, 50 CFR 23;
 - h. General Provisions, 50 CFR 10;
 - i. General Permit Procedures, 50 CFR 13; and
 - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
- Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for
 permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service
 to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed on form.
- 3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
- 1. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - a. Routine disclosure to subject matter experts, and Federal, tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. Routine disclosure to Federal, tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. Routine disclosure to Federal, tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds
 - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - g. Routine disclosure to the appropriate Federal, tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - i. Routine disclosure to the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
 - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- 6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for an Import/Export license application is 1.25 hours. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act - Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].