

Futures Industry Registrants by Location as of September 30, 1999

Location	Floor Brokers	Floor Traders*	Associated Persons**	FCMs***	Guarantee d IBs***	Non-Guar. IBs***	CTAs***	CPOs***	Principals**	Branches
Alabama	3	4	335	0	12	0	5	3	33	48
Alaska	1	0	51	0	2	0	3	4	9	10
Arizona	9	5	529	0	12	2	31	10	49	70
Arkansas	3	2	281	1	15	1	11	2	60	36
California	27	6	5,617	7	100	46	276	119	887	529
Colorado	8	2	640	0	28	8	46	24	136	100
Connecticut	102	4	1,216	8	4	26	129	121	390	83
D.C.	0	0	80	0	0	1	4	2	10	3
Delaware	2	0	97	0	1	0	1	5	5	11
Florida	63	11	3,067	7	102	59	158	63	473	369
Georgia	5	3	793	1	25	7	35	11	120	93
Guam	0	0	2	0	0	0	0	0	1	0
Hawaii	2	0	170	0	0	1	7	3	12	24
Idaho	3	0	100	0	8	2	4	1	14	22
Illinois	6,060	1,081	4,501	70	172	63	476	199	1,359	368
Indiana	91	19	570	1	34	4	19	11	108	83
Iowa	8	1	579	3	84	11	43	21	261	117
Kansas	74	3	413	0	44	1	11	2	153	79
Kentucky	0	2	197	0	5	2	7	3	16	35
Louisiana	0	1	344	1	6	2	11	4	46	55
Maine	0	0	42	0	0	0	3	0	7	9
Maryland	3	1	437	1	2	3	31	13	85	41
Massachusetts	8	4	983	2	5	4	57	41	258	86
Michigan	14	3	673	0	12	2	29	5	73	102
Minnesota	120	3	754	5	45	4	29	17	164	104
Mississippi	1	1	126	0	3	0	4	1	8	22
Missouri	77	5	679	4	41	6	32	11	158	86
Montana	2	0	74	0	13	0	1	1	18	16
Nebraska	2	0	329	0	57	3	20	4	122	58
Nevada	10	2	231	0	7	3	38	14	47	28
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New Hampshire	4	1	103	0	0	1	4	3	13	18
New Jersey	892	59	3,102	3	15	21	148	86	656	201
New Mexico	3	0	153	0	5	0	5	2	21	17
New York	1,621	135	7,233	87	39	89	460	439	1,507	323
North Carolina	4	1	608	3	15	5	31	12	97	94
North Dakota	2	0	104	0	14	1	3	0	34	30
Ohio	4	1	866	0	28	2	27	10	123	137
Oklahoma	1	2	361	0	23	2	20	3	59	53
Oregon	3	0	350	0	16	1	24	0	47	38
Pennsylvania	56	7	1,082	1	8	2	33	21	156	138
Puerto Rico	0	0	30	0	1	0	1	0	5	5
Rhode Island	2	0	72	0	1	1	2	0	9	14
South Carolina	3	0	267	0	7	2	10	4	22	51
South Dakota	0	0	116	0	23	0	4	2	44	35
Tennessee	6	3	672	3	20	7	43	25	152	65
Texas	18	5	2,460	1	74	19	118	56	354	295
Utah	1	1	175	0	3	0	10	6	23	27
Vermont	4	0	77	0	1	0	4	1	3	10
Virgin Islands	0	0	0	0	0	0	0	0	1	0
Virginia	4	2	681	0	13	9	50	26	192	89
Washington	1	2	574	0	10	2	27	14	77	85
West Virginia	0	0	61	0	0	0	1	0	6	17
Wisconsin	54	10	483	1	12	7	24	7	94	85
Wyoming	2	1	29	0	3	0	3	2	7	8
Total U.S.	9,383	1,393	43,569	210	1,170	432	2,573	1,434	8,784	4,522
Total Foreign	99	16	2,024	1	4	3	233	100	1,756	69
Total Registered	9,482	1,409	45,593	211	1,174	435	2,806	1,534	10,540	4,591

* An additional five associated persons are authorized to continue to act as floor traders pending review of their applications.

** Although associated persons and principals may be affiliated with more than one firm, they are counted once at a single location.

*** A Firm registered in more than one category is counted in each category.