## IV. EXEMPTION 3 STATUTES

| Statute   | Type of<br>Information<br>Withheld  | Case Citation  | Number of<br>Times Relied<br>upon per<br>Component     | Total Number<br>of Times Relied<br>upon by<br>Agency |
|---|---|--|--|--|
| 18 U.S.C. § 2510 -<br>20  | Pen Register<br>Information   | Raulerson v.<br>Ashcroft, 271 F.<br>Supp.2d 17<br>(D.D.C. 2002)  | OIG – 1  | 1  |
| 41 U.S.C. §<br>253b(m)  | Technical<br>proposal not<br>incorporated into<br>a final contract  | Hornbostel v.<br>U.S. Dep't. of<br>Interior, 305<br>F.Supp.2d 21<br>(D.D.C. 2003)  | OS - 2<br>CDC - 5<br>PSC - 6<br>AHRQ - 1<br>SAMHSA - 3 | 17   |
| 42 U.S.C. § 262a  | Select Agent<br>Information   | None   | OIG – 2<br>CDC – 5                                     | 7  |
| 42 U.S.C. § 242m(d) (Public Health Service Act § 308(d))                            | Identifiable information for certain research and statistical activities  | None   | CDC - 2  | 2  |
| 42 U.S.C. §<br>300aa-12(d)(4)(A)  | Medical records presented to a Special Master in National Vaccine Injury Compensation Program court proceedings | Diane and Craig<br>Arpino on behalf<br>of Joseph Craig<br>Arpino, Somers<br>Point, New<br>Jersey, HRSA -<br>Fed. Cl. Number<br>040005V | HRSA - 1   | 1  |
| 42 U.S.C. §<br>11137 (b)  | Adverse reports<br>of a health<br>practitioner's<br>conduct   | None   | HRSA - 1   | 1  |
| 15 U.S.C.<br>1335a(b)(2)(A)<br>Comprehensive<br>Smoking<br>Education Act of<br>1984 | Cigarette additive information  | None   | CDC - 1  | 1  |

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|---------------------------|---|--|--|--|
| 35 U.S.C. § 122           | Information<br>contained in a<br>pending or<br>otherwise non-<br>issued patent<br>application | Irons and Sears v. Dann, 606 F. 2d 1215 (D.C. Cir. 1979); Leeds v. Quigg, 720 F. Supp. 193 (D.D.C. 1989) | NIH-1  | 1  |
| 5 U.S.C. § 574(j)         | Confidential communications with agency Ombudsman   | None   | NIH-1  | 1  |
| 21 U.S.C. § 331(j)        | Trade Secrets   | Anderson v. Department of Health & human Servs., 907 F.2d 936 (10 <sup>th</sup> Cir. 1990)               | FDA - 45   | 45   |
| 21 U.S.C. §<br>350d(a)(4) | Food<br>Registration<br>Documents   | None   | FDA - 3  | 3  |