

Exhibit 300: Capital Asset Plan and Business Case Summary**Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

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|---|--|
| 1. Date of Submission: | 3/20/2009 |
| 2. Agency: | Department of Justice |
| 3. Bureau: | Federal Bureau Of Investigation |
| 4. Name of this Capital Asset: | FBI National Crime Information Center (NCIC) |
| 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) | 011-10-01-03-01-2502-00 |
| 6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.) | Mixed Life Cycle |
| 7. What was the first budget year this investment was submitted to OMB? | FY2001 or earlier |
| 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: | |

The National Crime Information Center is an automated database of criminal justice information as reported to the Federal Bureau of Investigation by law enforcement agencies throughout the United States and internationally. It contains information on stolen property, wanted persons, missing persons, violent gangs and terrorist, and other persons of interest to law enforcement. The primary purpose of National Crime Information Center is to electronically exchange criminal justice information with law enforcement and criminal justice agencies for use in the investigation of federal, state, local, tribal and and international crimes, and the protection of citizens from criminal activity. It is a valuable tool that aids law enforcement officers, investigators, judges, prosecutors, correction officers, court administrators and other law enforcement and criminal justice agency officials in the execution of their day-to-day operations. As of October 2008, the National Crime Information Center contained over 10 million active records and was processing an average of 6.2 million transactions a day. On January 9, 2009 the National Crime Information Center processed a record-breaking 7.6 million transactions.

The National Crime Information Center supports the Federal Bureau of Investigation mission to (1) prevent terrorism and promote the nation's security; (2) prevent crime, enforce federal laws, and represent the rights and interests of the American people; and (3) ensure the fair and efficient operation of the Federal Justice system. This is accomplished by strengthening partnerships with federal, state, local, tribal, and international law enforcement and criminal justice communities and enhancing the Nation's capacity to prevent, solve, and control crime.

The focus of the development, maintenance, and enhancement efforts is to (1) upgrade the hardware/software to ensure that the system continues to provide quality service and dependability; (2) enhance existing services and develop new services as requested by the law enforcement community; (3) integrate the National Crime Information Center with other Criminal Justice Information Service System of Systems

Privacy Threat Assessments, and if necessary, Privacy Impact Assessments are completed for the upgrades that impact personally identifiable information. There are no substantial or material changes to the system contemplated with this effort.

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|--|---------------|
| 9. Did the Agency's Executive/Investment Committee approve this request? | Yes |
| a. If "yes," what was the date of this approval? | 5/16/2008 |
| 10. Did the Project Manager review this Exhibit? | Yes |
| 11. Contact information of Program/Project Manager? | |
| Name | |
| Phone Number | |
| Email | |
| a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager? | Waiver Issued |
| b. When was the Program/Project Manager Assigned? | 8/1/2006 |
| c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification? | 12/31/2008 |

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12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	No
a. Will this investment include electronic assets (including computers)?	Yes
b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
1. If "yes," is an ESPC or UESC being used to help fund this investment?	
2. If "yes," will this investment meet sustainable design principles?	
3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all that apply:	Expanded E-Government
a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s) (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	FBI National Crime Information Center aligns to the expanded E-Gov initiative by: enabling criminal justice information to be shared efficiently within and across agencies; enhancing the nation's capacity to prevent, solve, and control crime; and improving the efficiency and effectiveness of the nation's criminal information systems.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part .)	Yes
a. If "yes," does this investment address a weakness found during a PART review?	No
b. If "yes," what is the name of the PARTed program?	10002204 - Criminal Justice Services
c. If "yes," what rating did the PART receive?	Moderately Effective
15. Is this investment for information technology?	Yes
If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.	
For information technology investments only:	
16. What is the level of the IT Project? (per CIO Council PM Guidance)	Level 2
17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23)	No
19. Is this a financial management system?	No
a. If "yes," does this investment address a FFMI compliance area?	
1. If "yes," which compliance area:	
2. If "no," what does it address?	
b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52	
20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)	
Hardware	18
Software	7
Services	14
Other	61
21. If this project produces information dissemination products for the public, are these products published to the	N/A

Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title

E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Planning:	0.248	2.107	0	0					
Acquisition:	199.786	0.509	30.321	5.739					
Subtotal Planning & Acquisition:	200.034	2.616	30.321	5.739					
Operations & Maintenance:	61.236	5.549	10.178	7.256					
TOTAL:	261.270	8.165	40.499	12.995					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	110.628	19.618	20.075	20.541					
Number of FTE represented by Costs:	1328	196	196	196					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

The CJIS Division has implemented a structured Resource Management Process (RMP) to ensure that resources are appropriately applied to both IT projects and to Non-IT activities to minimize risks while maximizing benefits as defined by the Department, Bureau, and Division strategic goals and objectives. The RMP ensures proper control of limited funding and personnel resource allocations within the CJIS Division and provides a continuous cycle of selection, control, and evaluation of all investments. This process provides a decision-making framework within the CJIS Division regarding the initial and ongoing application of resources to individual investments. As a result of this process, the CJIS Division is able to ensure that law enforcement and civil agencies receive timely and accurate criminal justice information. In addition, the CJIS Division continually assesses the extent to which existing capital assets support achievement of strategic goals and objectives. The FY 2010 Summary of Spending includes the estimated costs for the acquisition, integration and testing of the NCIC Name Search Algorithm, as well as the outyear costs for the hardware and software maintenance and license agreements. The NCIC Name Search Algorithm was previously called the Common Name Search Study and the estimated costs were not allocated to a particular system or program.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

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Contracts/Task Orders Table:																* Costs in millions
Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
W91QUZ-04-D-004	Cost-Plus-Incentive-Fee	Yes	10/1/2005	10/1/2006	9/30/2010	18.44	No	Yes	Yes	NA	No	Yes				
A5D501700-A6D605600	Firm-Fixed-Price	Yes	6/30/2005	10/1/2006	6/30/2011		No	Yes		NA	Yes	Yes				
		No	9/30/2011	10/1/2010												
		No	9/30/2011	10/1/2011												
							No	Yes		NA	Yes	Yes				

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Earned value is not required for W91QUZ-04-D-004 because the contract is for hardware and software maintenance licenses only.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why not or how this is being done? National Security Systems are exempt from compliance with Section 508 [FAR Part 39 subpart 39.204(b)]

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 10/1/2006

1. Is it Current? Yes

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time	Average Response Time	0.5 seconds	0.5 seconds or less	0.0543
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management	Annual System Transactions	1,639,554,366	Support an 8.9% increase	1,801,802,679
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency	TBD	NA	TBD	NA
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Availability	99.5%	99.5% or greater	99.69%
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time	Average Response Time	0.5 seconds	0.5 seconds or less	0.066 seconds
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the	Mission and Business Results	Information and Technology Management	Information Management	Annual System Transactions	1,801,802,679	Support an 8.9% increase	2,150,633,455

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	American People							
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency	TBD	NA	TBD	NA
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Availability	99.5%	99.5% or greater	99.76%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time	Average Response Time	0.5 seconds	0.5 seconds or less	0.064 seconds
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management	Annual System Transactions	2,150,633,455	Support an 8.9% increase	2,288,915,000
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency	TBD	NA	TBD	NA
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Availability	99.5%	99.5% or greater	99.76%
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management	Annual System Transactions	2,288,915,000	Support an 8.9% increase	NA
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency	TBD	NA	TBD	NA
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Availability	99.5%	99.5% or greater	NA
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management	Annual System Transactions	NA	Support an 8.9% increase	NA

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	American People							
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency	TBD	NA	TBD	NA
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Availability	99.5%	99.5% or greater	NA
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management				
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency				
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability				
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time				
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management				
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency				
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability				
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time				
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management				

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	American People							
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency				
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability				
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Timeliness and Responsiveness	Response Time				
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Mission and Business Results	Information and Technology Management	Information Management				
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Productivity	Efficiency				
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability				

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment?:
 - a. If "yes," provide the "Percentage IT Security" for the budget year:

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment?

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):			
Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
National Crime Information Center	Government Only		

4. Operational Systems - Security Table:							
Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact Level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)	Date Completed: Security Control Testing	Date the contingency plan tested
National Crime Information Center	Government Only		Yes	4/2/2008	FIPS 200 / NIST 800-53	5/6/2008	3/2/2008

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?
Not Applicable - NCIC is government agency owned and operated.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
National Crime Information Center	No	Yes	There is a PIA for the Identity Theft File (http://foia.fbi.gov/ncic_identity_theft.htm) A PTA regarding the addition of the Ethnicity Field in the Wanted Person File has been completed and is under review by the FBI's OGC.	Yes	Justice FBI-001 http://irwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=1999_register&docid=99-24989-filed

Details for Text Options:
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. FBI National Crime Information Center

b. If "no," please explain why?

3. Is this investment identified in a completed and approved segment architecture? Yes

a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>. 202-000

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Information Exchange	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Back Office Services	Data Management	Data Exchange			No Reuse	5
Extraction and Transformation	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Back Office Services	Data Management	Extraction and Transformation			No Reuse	10
Data Integration	Defines the set of capabilities that support the organization of data from separate data sources into a single source.	Back Office Services	Development and Integration	Data Integration			No Reuse	10
Information/Mapping Taxonomy	Defines the set of capabilities that support the creation and maintenance of relationships between data entities, naming standards and categorization.	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	30
Document Sharing	Defines a set of capabilities that support simultaneous document viewing and editing.	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	5
Knowledge Capture	Defines the set of capabilities that support simultaneous document viewing and editing.	Digital Asset Services	Knowledge Management	Knowledge Capture			No Reuse	10
Classification	Defines the set of capabilities that supports the probing and lookup of specific data from a data source.	Support Services	Search	Classification			No Reuse	10
Query	Defines the set of capabilities that supports the probing and lookup of	Support Services	Search	Query			No Reuse	10

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4. Service Component Reference Model (SRM) Table:
 Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	specific data from a data source.							
Access Provisioning and Authorization	Defines the set of capabilities that support the administration and management of the access rights/privileges.	Support Services	Security Management	Access Control			No Reuse	5
Access Authentication	Defines the set of capabilities that support the validation of a user's credential facilities or systems.	Support Services	Security Management	Identification and Authentication			No Reuse	5

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:
 To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Identification and Authentication	Component Framework	Security	Supporting Security Services	
Access Control	Component Framework	Security	Supporting Security Services	
Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	
Knowledge Capture	Service Access and Delivery	Access Channels	Other Electronic Channels	
Information Retrieval	Service Access and Delivery	Delivery Channels	Extranet	
Data Exchange	Service Access and Delivery	Delivery Channels	Extranet	
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	
Data Integration	Service Interface and Integration	Integration	Middleware	
Data Exchange	Service Interface and Integration	Integration	Middleware	
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	
Extraction and Transformation	Service Platform and Infrastructure	Database / Storage	Database	
Information Sharing	Service Platform and Infrastructure	Database / Storage	Database	
Query	Service Platform and Infrastructure	Database / Storage	Database	
Classification	Service Platform and Infrastructure	Database / Storage	Database	
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Storage	
Information Sharing	Service Platform and Infrastructure	Database / Storage	Storage	
Information Retrieval	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	

Exhibit 300: FBI National Crime Information Center (NCIC) (Revision 6)

5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Infrastructure			
Knowledge Capture	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Independent Platform	
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Independent Platform	
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Independent Platform	
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Independent Platform	
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Independent Platform	

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? No

a. If "yes," please describe.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes
 - a. If "yes," provide the date the analysis was completed? 10/1/2007
 - b. If "no," what is the anticipated date this analysis will be completed?
 - c. If no analysis is planned, please briefly explain why:

2. Alternative Analysis Results: * Costs in millions			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
2	Make modular and incremental changes to the system annually; use government and contractor resources		

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

The Federal Bureau of Investigation, Criminal Justice Information Services Division selected alternative two (modular and incremental changes). For the operations and maintenance, as well as the development and enhancement efforts, it was determined that an incremental and government and contractor integrated solution was determined to have the best chance of technical and schedule success. For the DR relocation effort, it was determined that a one year effort is necessary to ensure continuity of operations.

- a. What year will the investment breakeven? (Specifically, when the budgeted costs savings exceed the cumulative costs.) Beyond 2021
4. What specific qualitative benefits will be realized?

Alternative two enables the Criminal Justice Information Services Division to perform system maintenance and complete system enhancements while continuously sustaining system levels of performance, availability and functionality. Some of the specific benefits of this approach are that the Criminal Justice Information Services Division will be able to: (1) Improve the accuracy and reliability of name search results; (2) ensure continuity of operations; (3) expand and improve access and delivery channels; and (4) improve system integration with other CJIS systems (e.g. National Instant Criminal Background Check System). The benefits to the Federal Bureau of Investigation are system savings, cost avoidance, and improved system performance. System savings are achieved by applying just-in-time acquisitions which reduce maintenance costs. Cost avoidance is achieved by reducing the risk of equipment failure or obsolescence. Improved system performance is achieved through technical refreshment and the employment of new and enhanced services.

5. Federal Quantitative Benefits				
What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:				
	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
PY - 1 2007 & Prior	0	0	Not applicable. The information sharing enhancement won't be operational until FY08.	Not applicable. The information sharing enhancement won't be operational until FY08.
PY 2008	0	159.92	Not applicable. System enhancements and new services are designed to improve system performance and availability.	(1) O&M reduces risk of equipment failure that would effect system availability or performance. (2) System enhancements supports addition of new customers and increase in system transactions, (3) increase stolen property recovered, wanted persons apprehended, and missing persons located.
CY 2009	0	174.15	Not applicable. System enhancements and new services are designed to improve system performance and availability.	(1) O&M reduces risk of equipment failure that would effect system availability or performance. (2) System enhancements supports addition of new customers and increase in system

5. Federal Quantitative Benefits				
What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:				
	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
				transactions, (3) increase stolen property recovered, wanted persons apprehended, and missing persons located.
BY 2010	0	189.65	Not applicable. System enhancements and new services are designed to improve system performance and availability.	(1) O&M reduces risk of equipment failure that would effect system availability or performance. (2) System enhancements supports addition of new customers and increase in system transactions, (3) increase stolen property recovered, wanted persons apprehended, and missing persons located.

6. Will the selected alternative replace a legacy system in-part No or in-whole?

a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment?

b. If "yes," please provide the following information:

5b. List of Legacy Investment or Systems		
Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes
 - a. If "yes," what is the date of the plan? 10/1/2007
 - b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No
- c. If "yes," describe any significant changes:

- 2. If there currently is no plan, will a plan be developed?
 - a. If "yes," what is the planned completion date?
 - b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 Investment risks reflect the risk exposure, including cost and schedule risks, the probability of occurring, and the cost reserves planned to mitigate any occurrence. Samples of cost and schedule risks include: % chance that shipments will be delayed, % chance that some equipment will be less costly than originally estimated, % chance of product defects, etc. They can be positive or negative (positive risks are opportunities).

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748? Yes

2. Is the CV% or SV% greater than +/- 10%? (CV%= $CV/EV \times 100$; SV%= $SV/PV \times 100$) No

- a. If "yes," was it the CV or SV or both?
- b. If "yes," explain the causes of the variance:

- c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year? No

- a. If "yes," when was it approved by the agency head?

Exhibit 300: FBI National Crime Information Center (NCIC) (Revision 6)

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate 'O' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
1	Upgrade NCIC Hardware/Software Complete O & M	9/30/2005	\$183.02	9/30/2005	9/30/2005	\$0.00	\$315.40	0	(\$315.40)	100%
2	Upgrade NCIC Hardware/Software Complete O & M	9/30/2006	\$34.53	9/30/2006	9/30/2006	\$0.00	\$25.47	0	(\$25.47)	100%
3	Complete hardware/software O&M	9/30/2007	\$26.53	9/30/2007	9/30/2007	\$0.00	\$31.03	0	(\$31.03)	100%
4	Upgrade data standards; Support wireless access	9/30/2008	\$70.78	9/30/2008	9/30/2008	\$0.00	\$27.78	0	(\$27.78)	100%
5	Upgrade search capabilities	9/30/2009	\$28.86	6/30/2009		\$0.00	\$0.00		\$0.00	0%
6	Upgrade database/storage capacity	9/30/2010	\$28.84	8/31/2010		\$0.00	\$0.00		\$0.00	0%