Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:	8/17/2007
2. Agency:	Department of Justice
3. Bureau:	Bureau Of Prisons
4. Name of this Capital Asset:	BOP Inmate Telephone System (TRUFONE)
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)	011-20-01-03-01-2709-00
6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.)	Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?	FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

TRUFONE is a centralized inmate calling system that provides inmates with a secure, efficient and cost effective means of maintaining contact with family, friends, and the community while at the same time prevents crime, fraud and abuse by inmates. This centralized enterprise system can be reviewed on a national level. It provides the BOP with; enhanced call monitoring, call recording, and reporting capabilities.

TRUFONE is solely funded and maintained using non-appropriated funds generated from the Commissary Trust Fund. The Commissary Trust Fund is a revolving fund established by Congress in 1932 (31 U.S.C. Section 1321(a)(22)) . All costs for the system are funded from the revenue generated by reselling the calling service to inmates. Annual funding is based on the projected sales for that year which exceeds the outlays for the project. These funds must be expended in accordance with the terms of the Trust for the benefit of inmates.

The legacy system (ITS-II) was upgraded via a partial refresh. The TRUFONE task order provided a technical refresh of call processing equipment and telephones, while taking advantage of Enterprise Architecture by utilizing the inmate commissary systems (TRUFACS) infrastructure for; inmate account management, database design, data storage, LAN/WAN access, storage servers, and user access. A web application module provides staff access to the information from BOPNet (BOP administrative system) and TRUFACS (commissary System) workstations, eliminating the use of dedicated workstations and user access controls.

9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	8/23/2004
10. Did the Project Manager review this Exhibit?	Yes
11. Contact information of Program/Project Manager?	
Name	Cahalane, Dawn
Phone Number	202-514-2555 #3
Email	dcahalane@bop.gov
a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?	New Program Manager
b. When was the Program/Project Manager Assigned?	4/13/2008
c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification?	3/2/2009
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	No
a. Will this investment include electronic assets (including computers)?	Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No

    1. If "yes," is an ESPC or UESC being used to help fund this investment?

    2. If "yes," will this investment meet sustainable design principles?

    3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives?	Yes

    If "yes," check all that apply:	Competitive Sourcing
Expanded E-Government
Financial Performance
Budget Performance Integration
Human Capital

    a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	TRUFONE supports the EPMA initiative by; E-Gov -providing a centralized source for staff and other federal law enforcement entities to access call data; Competetive sourcing -using both in-house and competitive contract support; Human Capital -lowering costs through efficiencies gained from automating manual processes and creating knowledge based IT systems; Budget and Financial Performance - utilizing reporting systems to monitor IT investments. Reducing costs through EA and streamlining.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)	No

    a. If "yes," does this investment address a weakness found during a PART review?	No

    b. If "yes," what is the name of the PARTed program?

    c. If "yes," what rating did the PART receive?

15. Is this investment for information technology?	Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)	Level 3

17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23)	No

19. Is this a financial management system?	No

    a. If "yes," does this investment address a FFMIA compliance area?	No

    1. If "yes," which compliance area:

    2. If "no," what does it address?

    b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Hardware	1
Software	1
Services	98
Other	0

21. If this project produces information dissemination	N/A

products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

22. Contact information of individual responsible for privacy related questions:

Name	Hunt, Wanda
Phone Number	202-514-4807
Title	FOIA/Privacy Officer
E-mail	whunt@bop.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?   Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?   No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Planning:	54.511	0	0	0					
Acquisition:	7.942	0	0	0					
Subtotal Planning & Acquisition:	62.453	0	0	0					
Operations & Maintenance:	191.158	17.642	17.727	18.603					
TOTAL:	253.611	17.642	17.727	18.603					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	105.29	22.761	23.535	24.476					
Number of FTE represented by Costs:	489	238	238	238					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?   No

    a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:																* Costs in millions
Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)
JADPc-026	Single Firm Fixed Price Contract	Yes	2/20/1998	2/20/1998	2/20/2008	336.147	No	Yes	Yes	NA	Yes	Yes	Donahue-Ormandy, Pat	(202) 616-6150/ pdonahueormandy@bop.gov	Level 3	
Task Order 47	Firm Fixed Price	Yes	8/4/2005	8/4/2005	9/30/2011		Yes	Yes		NA	Yes	Yes	Simmons, Connie	202-307-1995 / Connie.H.Simmons@usdoj.gov	Level 3	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The contract is for telephones and a private branch exchanges (PBX's) ONLY. It is just hardware infrastructure such as a router. The contract nor the task order include any FTE costs. All FTE costs are part of the agency project, and included in the Summary of Spending table. The total contract and task order amount in addition to the FTE costs are what make up the total project costs.

3. Do the contracts ensure Section 508 compliance?	Yes

a. Explain why not or how this is being done?

Section C.2.4.8.1.2.10 of the Statement of Work specifically deals with those requirements that are necessary to fully comply with the American Disabilities Act. This section of the SOW states, in part, the following:"All of the TRUFONE station sets shall be capable of being ADA compliant. Due to security concerns, the BOP shall be capable of requiring the contractor to modify certain features on station sets such as cord length and mounting height. TRUFONE TDD equipment will be protected.

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements?	Yes
a. If "yes," what is the date?	7/14/2004
1. Is it Current?	Yes

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2005		Mission and Business Results	Correctional Activities	Criminal Incarceration	Number of sites using ITS increases as new institutions/offices are activated	Number of sites operational on ITS	Increase number of sites using ITS by 9	100% of goal met.
2005		Mission and Business Results	Correctional Activities	Criminal Incarceration	Number of sites using the INTRUDR component increases for existing institutions and new institutions/offices are activated	90 sites operational on INTRUDR	Increase number of sites using INTRUDR by 14	100% of goal met.
2006		Mission and Business Results	Correctional Activities	Criminal Incarceration	Number of sites using ITS to be maintained	Maintain ITS through Phaseout	Maintain and operate sites using ITS.	100% of goal met.
2006		Mission and Business Results	Correctional Activities	Criminal Incarceration	Staff productivity.	System must automate processes and help staff work more efficiently.	System changes or updates will be condected at the request of program areas or in response to federal law. Such changes will include an analysis of business processes and	System is designed such that modules can be added to provide for efficient changes and additions to programs. Changes or updates are made at the request of, and

Performance Information Table								
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
							data requiremenets of affected persons.	with full input of affected program areas.
2006		Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery.
2006		Technology	Reliability and Availability	Availability	System uptime	System availability: System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately.	100% complete
2007		Mission and Business Results	Correctional Activities	Criminal Incarceration	Provide tech refresh at 80 sites	Maintain old hardware through tech refresh.	Maintain and operate sites using ITS and provide tech refresh.	100 sites provided tech refresh, 100% of goal completed.
2007		Mission and Business Results	Correctional Activities	Criminal Incarceration	Staff Productivity	System must automate processes and help staff work more efficiently.	System changes or updates will be conducted at the request of program areas or in response to federal law. Such changes will include an analysis of business processes and data requiremenets of affected persons.	Automated investigative data exchange and call controls (Blocking the numbers of victims and witnesses) goal 100% complete
2007		Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery. No data integrity issues discovered this year, 100% of goal met.
2007		Technology	Reliability and Availability	Availability	System Uptime	System availability: System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately	100% of goal met.
2008	Prevent Crime, Enforce Federal Laws, and Represent the	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	Provde best value telephone services	Take advantage of government wide volume discounts by	

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Rights and Interests of the American People						converting telephone circuits to new NetworX contract. Complete 50% of sites in FY-08	
2008	Prevent Terrorism and Promote the Nation s Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside Law enforcement agencies.	Continue to provide data through manual processes.	Automate the delivery of existing datasharing initiatives that now require manual process.	
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Uptime	Maintain old hardware through tech refresh.	Complete technology refresh of aged call processing equipment at all sites.	
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	Provde best value telephone services	Take advantage of government wide volume discounts by converting telephone circuits to new NetworX contract. Complete conversion of remaining sites in FY-09.	
2009	Prevent Terrorism and Promote the Nation s Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside Law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other intitiatives that present themselves.	
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Uptime	Current recording equipment is aged and requires updating.	Convert recording hardware from current INTRUDR hardware to existing PBX.	
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	provide best value telephone services	Take advantage of government wide volume discounts.	
2010	Prevent Terrorism and Promote the Nation s Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through	

Performance Information Table								
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
							other initiatives that present themselves.	
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment?:

    a. If "yes," provide the "Percentage IT Security" for the budget year:

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment?

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):			
Name of System	**Agency/ or Contractor Operated System?**	**Planned Operational Date**	**Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)**

4. Operational Systems - Security Table:							
Name of System	**Agency/ or Contractor Operated System?**	**NIST FIPS 199 Risk Impact level (High, Moderate, Low)**	**Has C&A been Completed, using NIST 800-37? (Y/N)**	**Date Completed: C&A**	**What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)**	**Date Completed: Security Control Testing**	**Date the contingency plan tested**
TRUFONE	Contractor and Government		yes	1/17/2008	FIPS 200 / NIST 800-53	3/21/2008	4/2/2008

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	**(b) Is this a new system? (Y/N)**	**(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)**	**(d) Internet Link or Explanation**	**(e) Is a System of Records Notice (SORN) required for this system? (Y/N)**	**(f) Internet Link or Explanation**

Details for Text Options:
Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.

Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.

Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?   Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?   Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

This initiative has been identified in the Departments Application architecture and has been linked to the Departments Business architecture. In addition, the Departments Business architecture has been linked to the Federal Lines of Business and Subfunction contained in the Federal Enterprise Architecture Business Reference Model. This particular initiative is included in the application layer of the BOPs enterprise architecture, which is linked to the business, data, and technology layers.

b. If "no," please explain why?

3. Is this investment identified in a completed and approved segment architecture?   No

a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to http://www.egov.gov.   102-000

4. Service Component Reference Model (SRM) Table:							
\multicolumn{8}{l}{Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.}							
Agency Component Name	**Agency Component Description**	**FEA SRM Service Domain**	**FEA SRM Service Type**	**FEA SRM Component (a)**	**Service Component Reused Name (b)**	**Service Component Reused UPI (b)**	**Internal or External Reuse? (c)**	**BY Funding Percentage (d)**
Federal Bureau of Prisons	Incarceration of Offenders	Back Office Services	Financial Management	Credit / Charge	Credit / Charge		Internal	0
Federal Bureau of Prison	Incarceration of Offenders	Support Services	Communication	Computer / Telephony	Billing and Accounting		Internal	0

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
				Integration				
Federal Bureau of Prisons	Incarceration of Offenders	Support Services	Security Management	Access Control	Access Control		Internal	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Computer / Telephony Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Application Connectivity
Access Control	Service Platform and Infrastructure	Database / Storage	Database	SQL Server
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Ethernet

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)?   Yes

    a. If "yes," please describe.

This application provides data to OneDOJ and other law enforcement information sharing inititiatives utilizing the National Information Exchange Module (NIEM) standard.

This application will take data feeds from the DOJ victim notification system, this data feed allows the blocking of telephone numbers of VNS particpants to prevent inmates from attempting to call victims and witnesses while incarcerated at a BOP facility.

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Risk Management (All Capital Assets)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes

    a. If "yes," what is the date of the plan? 11/20/2006

    b. Has the Risk Management Plan been significantly Yes
changed since last year's submission to OMB?

    c. If "yes," describe any significant changes:

The technical refresh of PBX's inserted a new contractor into the program structure, this contractors risk management processes have been documented in the latest plan.

2. If there currently is no plan, will a plan be developed?

    a. If "yes," what is the planned completion date?

    b. If "no," what is the strategy for managing the risks?

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was an operational analysis conducted? Yes

    a. If "yes," provide the date the analysis was completed. 8/22/2007

    b. If "yes," what were the results?

Population increases, wage increases, call volume, inflation and activation schedules reflected changes in projection costs. Project status, obligations and budget requirements are reviewed monthly, quarterly and annually by financial operations team. No appropriated funds are used to fund this project. Project is funded with non-appropriated funds generated from the sales of merchandise and services to inmates.

    c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

    a. What costs are included in the reported Cost/Schedule Contractor and Government
Performance information (Government Only/Contractor Only/Both)?

2.b Comparison of Plan vs. Actual Performance Table							
		Planned		Actual		Variance	
Milestone Number	Description of Milestone	Completion Date (mm/dd/yyyy)	Total Cost($M)	Completion Date (mm/dd/yyyy)	Total Cost($M)	Schedule (# days)	Cost($M)
1	Implement ITS BOP-wide	9/30/2003	$160.608000	9/30/2003	$150.503000	0	$10.105000
2	Maintain ITS at existing sites FY04	9/30/2004	$33.900000	9/30/2004	$31.158000	0	$2.742000
3	Activate ITS at new sites FY04	9/30/2004	$7.500000	9/30/2004	$7.500000	0	$0.000000
4	Maintain ITS at existing sites FY05	9/30/2005	$31.081000	9/30/2005	$25.407000	0	$5.674000
5	Activate ITS at new sites FY05	9/30/2005	$0.295000	9/30/2005	$0.112000	0	$0.183000
6	Maintain ITS at existing sites FY06	9/30/2006	$34.006000	9/30/2006	$28.499000	0	$5.507000
7	Maintain ITS at all sites	9/30/2007	$17.223000	9/30/2007	$15.777000	0	$1.446000
8	Perform tech refresh at 106 facilities	9/30/2007	$3.500000	9/30/2007	$1.250000	0	$2.250000
9	Maintain ITS at all sites	9/30/2008	$17.667000	9/30/2008	$16.692000	0	$0.975000
10	Complete tech refresh at remaining sites	2/28/2008	$1.750000	2/28/2008	$0.950000	0	$0.800000
11	Maintain TRUFONE at all sites	9/30/2010	$77.639000	9/30/2010	$77.639000	0	$0.000000
12	Activate TRUFONE at new sites FY09	9/30/2010	$4.086000	9/30/2010	$4.086000	0	$0.000000
13							
Project Totals							