

ADMINISTRATIVE PROCEEDING  
FILE NO. 3-10875

SECURITIES & EXCHANGE COMMISSION  
MAILED FOR SERVICE

SEP 23 2002

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
September 19, 2002

CTFD NO: 1st class only

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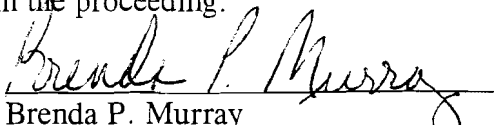
In the Matter of	:	
	:	
MONICA LYNN COLEMAN	:	ORDER DENYING MOTION TO STRIKE APPEARANCE

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The Securities and Exchange Commission initiated this proceeding on August 29, 2002, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. The Order Instituting Proceedings ("OIP") alleges that from 1987 to 1999, Monica Lynn Coleman was a registered representative associated with a broker-dealer, and that in 1998 Ms. Coleman owned fifty percent of a registered investment adviser and acted as the adviser's managing partner. The OIP alleges further that Ms. Coleman pled guilty to five felony counts of securities fraud and one misdemeanor count of fraudulent misappropriation by a fiduciary on April 16, 2002, and that Ms. Coleman's guilty plea was an admission that she had misappropriated more than \$2.6 million from at least five investors. State of Maryland v. Monica Lynn Coleman, No. 100362045 (Circuit Court for Baltimore City, April 16, 2002).

On September 12, 2002, I received a Motion to Strike Appearance ("Motion") from Robert H. Waldman, an attorney. Mr. Waldman states that: (1) he informally represented Ms. Coleman prior to the initiation of this formal proceeding, (2) Ms. Coleman has not retained him or his firm, and (3) he has not filed an appearance in this proceeding. The Commission's files show no notice of appearance by Mr. Waldman.

Rule 102(d) of the Commission's Rules of Practice allows certain persons to act in a representative capacity before the Commission by filing a written notice. The Rule specifies that someone who has filed to act in a representative capacity must move for leave to withdraw. 17 C.F.R. § 201.102(d)(4). I DENY the Motion inasmuch as Mr. Waldman is not on record as representing Ms. Coleman. Ms. Coleman is a pro se litigant and Mr. Waldman has no standing in the proceeding.

  
 Brenda P. Murray  
 Chief Administrative Law Judge