

**REQUEST FOR AUTHORITY  
 TO DISPOSE OF RECORDS**

(See Instructions on Reverse)

TO: **GENERAL SERVICES ADMINISTRATION,  
 NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, D.C. 20408**

1. FROM (AGENCY OR ESTABLISHMENT)

Department of Health, Education, and Welfare

2. MAJOR SUBDIVISION

Social Security Administration

3. MINOR SUBDIVISION

Office of Human Resources

4. NAME OF PERSON WITH WHOM TO CONFER

George S. Yamamura

5. TEL. EXT.

594-5770

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for the head of this agency in matters pertaining to the disposal of records, and that the records described in this list or schedule of 1 pages are proposed for disposal for the reason indicated: ("X" only one)

**A** The records have ceased to have sufficient value to warrant further retention.

**B** The records will cease to have sufficient value to warrant further retention on the expiration of the period of time indicated or on the occurrence of the event specified.

11/15/76  
(Date)

*Eugene J. Read, Jr.*  
 for *Russell O. Hess*  
 (Signature of Agency Representative)

Dept. Records Mgt. Officer  
 (Title)

|                                                                                                                                                                                                        |                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| LEAVE BLANK                                                                                                                                                                                            |                                                          |
| DATE RECEIVED<br>NOV 19 1976                                                                                                                                                                           | JOB NO.                                                  |
| DATE APPROVED<br>NC 1 - 47-77-5                                                                                                                                                                        |                                                          |
| NOTIFICATION TO AGENCY                                                                                                                                                                                 |                                                          |
| In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10. |                                                          |
| 11-30-76<br>Date                                                                                                                                                                                       | <i>James B. Rhoads</i><br>Archivist of the United States |

| 7. ITEM NO. | 8. DESCRIPTION OF ITEM<br>(WITH INCLUSIVE DATES OR RETENTION PERIODS)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 9. SAMPLE OR JOB NO. | 10. ACTION TAKEN |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|------------------|
|             | <p><u>RECORDS RETENTION AND DISPOSAL SCHEDULES</u></p> <p><u>Employee Counseling Case Files</u></p> <p>These files are accumulated in the course of providing counseling services to individual SSA employees. Included in an individual's file are reports of interviews and contacts, analyses, and similar records.</p> <p>Destroy 6 months after termination of counseling.</p> <p><u>Disability Retirement Files</u></p> <p>Documents relating to individual disability retirees. Included in an individual's file is a copy of the application for disability retirement, physician's statements and medical reports, statements by supervisors; and similar documents. <i>These are copies of documents returned to the official Personnel Folder.</i></p> <p>Destroy 1 month after disability retirement is approved or denied by Civil Service Commission. Note: In cases of reconsideration and/or appeal, retain documentation until final resolution of the case.</p> |                      |                  |

*Copy to Agency 122-7600*

## INSTRUCTIONS

**General Instructions:** Use Standard Forms 115 and 115a, obtainable from Supply Centers of the Federal Supply Service, General Services Administration, to dispose of records. Submit four copies of which should be signed and dated, to the Chief, Archives and Records Service. Indicate the number of pages involved in the disposal request under entry C. Copy 4 of the standard form will be returned to the agency as notification that Congress has authorized disposal of the items marked "approved."

### **Specific Instructions:**

**Entries 1, 2, and 3** should show *what* agency has custody of the records that are identified on the form, and should contain the name of the department or independent agency, and its major and minor subdivisions.

**Entries 4 and 5** should help identify and locate the person to *whom* inquiries regarding the records should be directed.

**Entry 6** should show *what kind of authorization* is requested. Only one of two kinds of authorizations may be requested on a particular form.

**Box A** should be marked if immediate disposal is to be made of past accumulations of records, and the completed form thus marked is a list.

**Box B** should be marked if records that have accumulated or will continue to accumulate are to be disposed of at some definite future time or periodically at stated intervals, or if disposal is to be made of microphotographed records after it has been ascertained that the microfilm copies were made in accordance with the standards prescribed in GSA Regulations 3-IV-105; and the completed form thus marked is a schedule.

**Entry 7** should contain the numbers of the items of records identified on the form, in sequence, i. e., 1, 2, 3, 4, etc.

**Entry 8** should show what records are proposed for disposal.

Center headings should indicate *what office's records* are involved if all records described on the form are not those of the same office or if they are records created by another office or agency.

An identification should be provided of the *types of records* involved if they are other than textual records, for example, if they are photographic records, sound recordings, or cartographic records.

An itemization and accurate identification should be provided of the *series of records* that are proposed for disposal. Each series should comprise the largest practical grouping of separately organized and logically related materials that can be treated as a single unit for purposes of disposal. Component parts of a series may be listed separately if numbered consecutively as 1a, 1b, etc., under the general series entry.

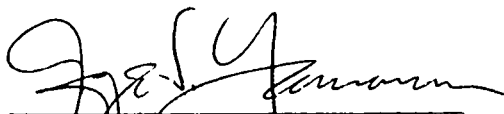
A statement should be provided showing *when* the records were produced or *when* disposal is to be made of the records, thus:

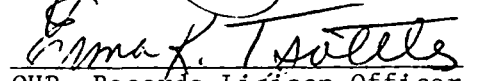
If box A under entry 6 was marked, the inclusive dates during which the records were produced should be stated.

If box B under entry 6 was marked, the period of retention should be stated. The retention period may be expressed in terms of years, months, etc., or in terms of future actions or events. A future action or event that is to determine the retention period must be objective and definite. If disposal of the records is contingent upon their being microfilmed, the retention period should read: "Until ascertained that microphotographic copies have been made in accordance with GSA Regulations 3-IV-105 and are adequate substitutes for the paper records."

**Entry 9** should show *what samples* of records were submitted for each item, or with *what job number* such samples were previously submitted. Samples of types of records other than textual and cartographic records should not be submitted.

**Entry 10** should be left blank.

  
SSA, Records Officer

  
OHR, Records Liaison Officer