

**REQUEST FOR AUTHORITY
 TO DISPOSE OF RECORDS**

(See Instructions on Reverse)

47

TO: GENERAL SERVICES ADMINISTRATION,
 NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON 25, D. C.

LEAVE BLANK	
DATE RECEIVED DEC 11 1975	JOB NO.
DATE APPROVED	NC1-47-76-49

1. FROM (AGENCY OR ESTABLISHMENT)
 Department of Health, Education, and Welfare

2. MAJOR SUBDIVISION
 Social Security Administration

3. MINOR SUBDIVISION
 Bureau of Disability Insurance

4. NAME OF PERSON WITH WHOM TO CONFER
 George S. Yamamura

5. TEL. EXT.
 45750

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.

12-23-75 *James E. O'Neill*
 Date Archivist of the United States
 ACTING

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

I hereby certify that I am authorized to act for the head of this agency in matters pertaining to the disposal of records, and that the records described in this list or schedule of _____ pages are proposed for disposal for the reason indicated: ("X" only one)

- A The records have ceased to have sufficient value to warrant further retention.
- B The records will cease to have sufficient value to warrant further retention on the expiration of the period of time indicated or on the occurrence of the event specified.

11/25/75 (Date) *[Signature]* (Signature of Agency Representative) *Dept. of Health, Education & Welfare* (Title)

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
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RECORDS RETENTION AND DISPOSAL SCHEDULE
BUREAU OF DISABILITY INSURANCE

I. STATE AGENCY BUDGET AND FINANCIAL REPORT FILES

These files consist of records which are used to estimate, justify, and approve State agency Disability Insurance budgets, and to account for funds received and expended by State agencies. Included are Forms SSA-870, State Agency Budget Request for Disability Program; SSA-870A, State Agency Budget List of Disability Program Positions (Full Time); SSA-870B, State Agency Budget List of Disability Program Positions (Part Time and Temporary); SSA-870C, State Agency Budget Schedule for Administration; SSA-871, State Agency Schedule for Equipment Purchases; SSA-872, State Agency Budget Approval for Disability Program; SSA-874, Financial Accountability Statement for Disability Program; SSA-874A, Report of Obligations for Disability Program; SSA-874B, Time Report of Personal Services for Disability Program; or their equivalents. Also included are monthly summary reports of obligations (telegrams), related supplemental material, and similar records.

A. BDI Central Office

Destroy after DHEW audit and final settlement.

12/15/75
 Changes in I-B and II-B with SSA approval in accordance with GAO instructions submitted by SSA for GAO approval.

Copy to Agency & All FRC's
 12-30-75

INSTRUCTIONS

General Instructions: Use Standard Forms 115 and 115a, obtainable from Supply Centers of the Federal Supply Service, General Services Administration, to obtain authority to dispose of records. Submit four copies, all of which should be signed and dated, to the National Archives and Records Service. Indicate the number of pages involved in the disposal request under entry 6. Copy 4 of the standard form will be returned to the agency as notification that Congress has authorized disposal of the items marked "approved."

Specific Instructions:

Entries 1, 2, and 3 should show *what* agency has custody of the records that are identified on the form, and should contain the name of the department or independent agency, and its major and minor subdivisions.

Entries 4 and 5 should help identify and locate the person to *whom* inquiries regarding the records should be directed.

Entry 6 should show *what kind of authorization* is requested. Only one of two kinds of authorizations may be requested on a particular form.

Box A should be marked if immediate disposal is to be made of past accumulations of records, and the completed form thus marked is a list.

Box B should be marked if records that have accumulated or will continue to accumulate are to be disposed of at some definite future time or periodically at stated intervals, or if disposal is to be made of microphotographed records after it has been ascertained that the microfilm copies were made in accordance with the standards prescribed in GSA Regulations 3-IV-105; and the completed form thus marked is a schedule.

Entry 7 should contain the numbers of the items of records identified on the form in sequence, i. e., 1, 2, 3, 4, etc.

Entry 8 should show what records are proposed for disposal.

Center headings should indicate *what office's records* are involved *if* all records described on the form are not those of the same office or if they are records created by another office or agency.

An identification should be provided of the *types of records* involved *if* they are other than textual records, for example, if they are photographic records, sound recordings, or cartographic records.

An itemization and accurate identification should be provided of the *series of records* that are proposed for disposal. Each series should comprise the largest practical grouping of separately organized and logically related materials that can be treated as a single unit for purposes of disposal. Component parts of a series may be listed separately if numbered consecutively as 1a, 1b, etc., under the general series entry.

A statement should be provided showing *when* the records were produced or *when* disposal is to be made of the records, thus:

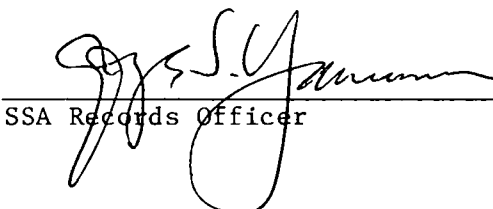
If Box A under entry 6 was marked, the inclusive dates during which the records were produced should be stated.

If Box B under entry 6 was marked, the period of retention should be stated. The retention period may be expressed in terms of years, months, etc., or in terms of future actions or events. A future action or event that is to determine the retention period must be objective and definite. If disposal of the records is contingent upon their being microfilmed, the retention period should read: "Until ascertained that microphotographic copies have been made in accordance with GSA Regulations 3-IV-105 and are adequate substitutes for the paper records."

Entry 9 should show *what samples* of records were submitted for each item, or with *what job number* such samples were previously submitted. Samples of types of records other than textual and cartographic records should not be submitted.

Entry 10 should be left blank.


BDI Records Liaison Officer


SSA Records Officer

REQUEST FOR AUTHORITY TO DISPOSE OF RECORDS—Continuation Sheet

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>B. <u>BDI Regional Offices</u></p> <p>Close out at the end of the fiscal year covered by the budget. Hold 4 additional years and then transfer to an FRC using Record Group 217. Records predating July 2, 1975: Destroy 10 years and 3 months after the period of the account. Records created on or after July 2, 1975: Destroy 6 years and 3 months after the period of the account.</p> <p>C. <u>State Agencies</u></p> <p>Destroy after DHEW audit and final settlement.</p> <p>II. <u>STATE AGENCY COST ALLOCATION FILES</u></p> <p>These files consist of copies of agreements entered into with State governments and certain State agencies by the Secretary of Health, Education, and Welfare which specify the percentage of charges allowable for indirect costs. (Original cost allocation agreements are retained by DHEW.) The records are used to determine the amounts allowable for indirect costs in State agency budget requests.</p> <p>A. <u>BDI Central Office</u></p> <p>Destroy after DHEW audit and final settlement.</p> <p>B. <u>BDI Regional Offices</u></p> <p>Close out at the end of the fiscal year covered by the budget. Hold 4 additional years and then transfer to an FRC using Record Group 217. Records predating July 2, 1975: Destroy 10 years and 3 months after the period of the account. Records created on or after July 2, 1975: Destroy 6 years and 3 months after the period of the account.</p> <p>C. <u>State Agencies</u></p> <p>Destroy after DHEW audit and final settlement.</p>		