



Comptroller of the Currency
Administrator of National Banks

US Department of the Treasury

2012 OCC Director Workshops

Risk Assessment for Directors

Where Is the Risk in Your Institution?

2012 Workshop Locations

Denver, CO* March 6	Virginia Beach, VA* June 5
New Brunswick, NJ* March 27	Cincinnati, OH* July 17
Birmingham, AL* April 17	St. Louis, MO* September 5
Corpus Christi, TX* April 24	Tampa, FL* October 10
Minneapolis, MN* May 8	New Orleans, LA* November 28
Des Moines, IA* May 22	Pasadena, CA* December 4

**REGISTER
TODAY!**





OCC Director Workshops

Risk Assessment for Directors Where Is the Risk in Your Institution?

Fresh Challenges for Directors

Effectively measuring and managing risk is crucial to the success of financial institutions in these days of economic uncertainty. While managers deal with risk-related issues day-to-day, directors must maintain a level of knowledge that will best enable them to fulfill their oversight responsibilities. This one-day workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks and federal savings associations. Risk Assessment topics that will be addressed during the day are:

- Enhancing understanding of the OCC's approach to risk-based supervision
- Increasing familiarity with the major risks in the financial industry
- Recognizing common ways to identify, measure, monitor, and control risk
- Learning the risk-related questions to ask managers, auditors, and regulators

Communicate With the Regulators

You will interact with three instructors who are experienced examiners from the OCC. They are seasoned professionals who regularly assess risk management systems and meet with senior managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 directors per workshop to allow for open and targeted dialogue. Pre-course reading materials will be provided to enhance your workshop experience.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks and federal savings associations that have assets less than \$5 billion. Management directors could also benefit from attendance.

“In this economic environment, it’s especially important to understand regulatory expectations for risk management. This workshop equips directors with the skills and knowledge they need to help steer financial institutions through challenging times.”

Jennifer Kelly,

Senior Deputy Comptroller for
Midsize and Community Bank
Supervision

Meet the Instructors



Julie Blake

Assistant Deputy Comptroller,
Central District



Chris Dunn

Assistant Deputy Comptroller,
Southern District



Randy Fenimore

Assistant Deputy Comptroller,
Southern District



Debbie Garland

Assistant Deputy Comptroller,
Southern District



Brian James

Assistant Deputy Comptroller,
Central District



Tom Jorn

Assistant Deputy Comptroller,
Western District



Kris Kiefer

Associate Deputy Comptroller,
Northeastern District



Ben Lemanski

Assistant Deputy Comptroller,
Central District

Agenda

Attire is business casual.

Workshop

“I spent the most productive and useful time with regulators and had networking opportunities with my peers during this insightful board training.”

Reaction From Past Participant

- 7:00 a.m. Registration Opens
- 8:00 a.m. Morning Session
 - Introductions and Program Overview
 - Hot Topics
 - CAMELSI
 - Risk Assessment Overview
 - Credit Risk
- 12:00 p.m. Lunch
- 1:00 p.m. Afternoon Session
 - Risk Assessment Overview (continues)
 - Interest Rate Risk
 - Liquidity Risk
 - Compliance and Audit Risk
 - Operational/Reputational Risk
 - Strategic Risk
 - Wrap-Up
- 4:00 p.m. Adjourn



Trish Lindsey
*Assistant Deputy Comptroller,
Southern District*



Janice McQuary
*Associate Deputy Comptroller,
Southern District*



Tom Munz
*Assistant Deputy Comptroller,
Central District*



Terry Richter
*Assistant Deputy Comptroller,
Southern District*



Troy Thornton
*Assistant Deputy Comptroller,
Western District*



Sheila Van Ornum
*Assistant Deputy Comptroller,
Central District*



John Vivian
*Assistant Deputy Comptroller,
Central District*

Part 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge: _____
First Name Last Name

Title: _____ Director: Inside Outside Other

Home Address: _____
Street

City State Zip Code

Daytime Telephone: _____ Fax: _____

Attendee E-mail: _____

Assistant's E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number (Home or Cell): _____
(do not use the bank phone number)

Special Needs/Comments

If you have special needs that may affect your participation in this event, please check here.
OCC will contact you to discuss your needs.

If you have any comments or information concerning your registration that you wish to add, please do so below.

For workshop information, please contact (240) 485-1700. Registration Center Hours: 8:30 a.m. – 5:30 p.m. ET.



Dates and Locations

Denver, CO*—March 6, 2012

Hyatt Regency Denver Tech Center
Hotel Reservations: (303) 779-1234
Room Rate: \$149.00
www.techcenter.hyatt.com

New Brunswick, NJ*—March 27, 2012

Hyatt Regency New Brunswick
Hotel Reservations: (732) 873-1234
Room Rate: \$149.00
www.newbrunswick.hyatt.com

Birmingham, AL*—April 17, 2012

The Wynfrey Hotel
Hotel Reservations: (800) 996-3739
Room Rate: \$119.00
www.wynfrey.com

Corpus Christi, TX*—April 24, 2012

Omni Corpus Christi Hotel Marina Tower
Hotel Reservations: (361) 887-1600
Room Rate: \$139.00
www.omnihotels.com

Minneapolis, MN*—May 8, 2012

Hyatt Regency Minneapolis
Hotel Reservations: (888) 421-1442
Room Rate: \$121.00
www.minneapolis.hyatt.com

Des Moines, IA*—May 22, 2012

Renaissance Des Moines Savery Hotel
Hotel Reservations: (800) 514-4706
Room Rate: \$109.00
www.renaissancesavery.com

Virginia Beach, VA*—June 5, 2012

Wyndham Virginia Beach
Hotel Reservations: (800) 365-3032
Room Rate: \$199.00
www.wyndhamvirginiabeach.com

Cincinnati, OH*—July 17, 2012

Hyatt Regency Cincinnati
Hotel Reservations: (888) 421-1442
Room Rate: \$118.00
www.cincinnati.hyatt.com

St. Louis, MO*—September 5, 2012

Hilton St. Louis at the Ballpark
Hotel Reservations: (877) 845-7354
Room Rate: \$104.00
www.hilton.com

Tampa, FL*—October 10, 2012

Wyndham Tampa Westshore
Hotel Reservations: (877) 478-6786
Room Rate: \$108.00
www.wyndhamhoteltampa.com

New Orleans, LA*—November 28, 2012

The Astor Crowne Plaza
Hotel Reservations: (888) 696-4086
Room Rate: \$155.00
www.astorneworleans.com

Pasadena, CA*—December 4, 2012

The Langham Huntington
Hotel Reservations: (626) 568-3900
Room Rate: \$165.00
www.pasadena.langhamhotels.com

Hotel room rates for each location are guaranteed until the following dates:

Hyatt Regency Denver Tech Center, Denver, CO	February 16, 2012
Hyatt Regency New Brunswick, New Brunswick, NJ	February 27, 2012
The Wynfrey Hotel, Birmingham, AL	March 16, 2012
Omni Corpus Christi Hotel Marina Tower, Corpus Christi, TX	April 9, 2012
Hyatt Regency Minneapolis, Minneapolis, MN	April 16, 2012
Renaissance Des Moines Savery Hotel, Des Moines, IA	April 29, 2012
Wyndham Virginia Beach, Virginia Beach, VA	May 11, 2012
Hyatt Regency Cincinnati, Cincinnati, OH	June 22, 2012
Hilton St. Louis at the Ballpark, St. Louis, MO	August 13, 2012
Wyndham Tampa Westshore, Tampa, FL	September 24, 2012
The Astor Crowne Plaza, New Orleans, LA	October 26, 2012
The Langham Huntington, Pasadena, CA	November 8, 2012

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Director Workshops. If you encounter difficulties in making your reservations, please call (240) 485-1700 for assistance.

Registration Fee

The registration fee is \$99, payable at the time of registration. The price includes:

- Pre-course reading package
- Course material
- CD of the OCC's popular Audit and Internal Controls telephone seminar
- *Director's Toolkit*, which includes *The Director's Book*, *Detecting Red Flags in Board Reports*, and "Internal Controls"
- "Community Bank Supervision" booklet of the *Comptroller's Handbook*
- Lunch

Special Needs

If you require special accommodations to participate in this workshop, please call (202) 874-6415.

Cancellation Policy

All cancellations must be in writing. Cancellations will be accepted by e-mail to occ@seiservices.com, or fax notification to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.

Questions

For additional workshop information, please call (240) 485-1700 or send an e-mail to occ@seiservices.com.

*Consecutive session with the compliance risk or credit risk workshops. Participants may attend one or more of these sessions.