



2010 DTIC CONFERENCE
March 22-24, 2010

Celebrating 65 Years of Providing Access to Defense Information

Scientific and Technical Information Policy for Stakeholders

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Scientific and Technical Information Policy for Stakeholders

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DoD Scientific and Technical Information Program

Purpose and Goal - Same today as yesterday

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

**Secretary of Defense Memorandum and Directive
May 14, 1951
Signed by George C. Marshall, Secretary of
Defense:**

"The end product of all Department of Defense sponsored research and development -- i.e., the recorded conclusions -- costing vast sums of money and irreplaceable scientific effort, must be assembled, organized, preserved, and made available for future reference by those concerned with exploring and guarding the scientific frontiers of the Nation."



DoD STIP Policies

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

- **DoD Directive 3200.12 DoD Scientific and Technical Information Program (STIP)**
(February 11, 1998 = 12 years)
- **DoD Instruction 3200.14 Principles and Operational Parameters of the DoD Scientific and Technical Information Program**
(May 13, 1997; Reissue June 28, 2001 = 9 years)
- **DoD Directive 5230.24 Distribution Statements on Technical Documents**
(March 18 ,1987 = 23 years)
- **DoD Directive 5230.25 Withholding of Unclassified Technical Data from Public Disclosure**
(November 6, 1984; Reissue August 18, 1995 = 15 years)
- **DoD Instruction 5230.27 Presentation of DoD-Related Scientific and Technical Papers at Meetings**
(October 6, 1987 = 23 years)



DoD Policy Review

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

- **OSD 00824-10 Memorandum**
 - **Subject: DoD Issuances – Improving Departmental Guidance**
 - **Dated: January 19, 2010**
 - **From: Acting Director, Administration and Management**
- **20+ years or older = 259 issuances**
- **Revise or cancel by December 31, 2011**



DoD STIP Policies

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

- **DoD Directives reissued as Instructions**
 - Instructions carry the same weight as Directives
 - Signed at the Undersecretary level with delegated authority from the Secretary of Defense
 - 50 pages or less
- **DoD Instructions reissued as Manuals**
 - No page limit, but more than 100 pages split into volumes



Issuances Process Time Line

24 weeks = 6 months

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- **Internal DTIC review (3 weeks)**
- **WHS format/pre-edit (2 weeks)**
- **AT&L internal coordination (4 weeks)**
- **WHS review for pre-coordination external (2 weeks)**
- **SD106 signed; posted to WHS coordination portal (2 weeks)**
- **Receive & adjudicate comments (45 business days -- 7 weeks)**
- **Presignature and OSR Public Release Clearance (4 weeks)**



DoD Issuances Coordination

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

- **Three (3) Mandatory Coordinators pre-filled on the SD106 form**
 - DoD Inspector General
 - DoD General Counsel
 - OSD-Dir of Administration & Management

- **Primary Coordinators**

Have equity or interest in or have a responsibility for or must comply with the issuance.

Response required; however, if no response is received by the suspense date, the approval process will continue forward

- USD-Policy; Comptroller OUSD(I); Public Affairs, Army, Navy, AF, Joint Chiefs, CIO, Asst Intell Oversight; OUSD Personnel & Readiness.

- **Collateral Coordinators**

Provided the issuance for information only.

No response required, but comments received will be considered.



DoDD 3200.12

The Scientific & Technical Information Program (STIP)

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

- **Will issue as an Instruction and incorporate policy now found in DoDI 3200.14 and in DDR&E Memoranda.**
- **Technical Report Collection**
 - Will require DoD components to ensure that their most current data is available to the Department through DTIC
 - Will specifically include “datasets” as STI
 - Will allow organizations to submit any combination of technical reports, papers, journal articles or other STI that document an effort well enough for others to comprehend the purpose, scope, approach, results or outcomes, and conclusions and recommendations.
 - Will require that the results of DoD funded research published in peer-reviewed journals also be submitted to DTIC. Providing citation to that information does not suffice to meet the requirement.
 - Will require organizations to mark all STI per DODI 5230.24 for secondary distribution
 - Will require adherence to scientific ethics to assure the integrity of and highest standards for DoD sponsored research.



DoDD 3200.12

The Scientific & Technical Information Program (STIP)

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- **Research Summaries/E-Gov**
 - **Mandatory input requirement for all DoD-sponsored research in progress**
 - **Will reinstate requirement to search DTIC databases prior to new starts and at project milestones.**



DoDD 5230.24

Distribution Statements on Technical Documents Policy Changes

DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004 DoD Field Activity Since 2004

- **Training**

- 5.5.2. Establish and maintain an active education and training program in accordance with DoD Instruction 5200.1 (reference (i)) to provide a basic understanding of distribution statement markings and to inform personnel of their responsibilities for the proper handling of documents so marked.

- **Data Rights Markings**

- 6.3.3. All newly generated technical documents shall be marked with an authorized intellectual property notice, legend or statement denoting the Government rights in data that may be protectable under copyright, trademark, patent and trade secret laws. As described in enclosure 4, the type of Government rights affects the scope of permitted external release and must be considered in assigning an appropriate distribution statement.



DoDD 5230.24

Distribution Statements on Technical Documents Changes to Appendix 2 Definitions

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- **Controlled Unclassified Information (CUI)**
 - As defined in Presidential Memorandum “Designation and Sharing of Controlled Unclassified Information,” dated May 9, 2008, CUI is “unclassified information that does not meet the standards for National Security Classification under Executive Order 12958, as amended, but is pertinent to the national interests of the United States or to the important interests of entities outside the Federal Government, and under law or policy requires protection from disclosure, special handling safeguards, and prescribed limits on exchange or dissemination.”
- **Date of Determination**
 - The date on which the reason for assigning a specific distribution statement was determined. It is usually the date of the document, but may be earlier if specified by contract or other agreement. The date is important for document identification and for legal, contractual and regulatory evidentiary purposes.



DoDD 5230.24

Distribution Statements on Technical Documents Enclosure 3 Changes

- The wording of the distribution statements specified by this Instruction may not be modified to accommodate additional distribution, such as distribution to foreign governments. Such release is outside the scope of secondary DoD distribution. Other authorized markings or notices that appear on a document in accordance with statute, regulation or other policy (e.g., DFARS legends, NATO markings, REL TO), may be used to inform distribution decisions.
- Distribution Statements B, C, D and E are expressed in a standard format comprised of four components presented in the following order: (1) Authorized audience (2) Reason for control (3) Date of determination and (4) Controlling office.
- Multiple reasons for controlling the release of a document may apply; therefore, multiple reasons may be noted in the distribution statement. In these instances, the most restrictive reason governs the distribution determination.



DoDD 5230.24

Distribution Statements on Technical Documents Enclosure 3 Changes to Reasons

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- New reasons
 - Operations Security (OPSEC)
 - Vulnerability Information
 - Export Control
 - to use alone or in conjunction with other reasons
 - to establish the authority of the DoD controlling office for release decisions
 - to uncouple from “Critical technology”
 - to eliminate Distribution X.
- “Direct Military Support“ correction
 - “export-controlled technical data of such military significance that release for purposes other than direct support of DoD approved activities may jeopardize an important technological or operational military advantage of the United States, another country or a joint U.S.-foreign program. Designation of such data is made by competent authority in accordance with DoD Directive 5230.25.”
- Definitions will note basis in law, regulations & higher policy.



DoDD 5230.24

Distribution Statements on Technical Documents Appendix 4 Changes

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- Appendix 4: Third Party Imposed Distribution Statements
 - Total redo authored by Legal Counsel
 - "Proprietary" as a reason for limitation IAW the DFARS Data Rights clauses and legends.



Your Input & Discussion

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- Policy clarification?
 - What types of data are covered
 - What types of activities does it apply to
- Definitions redux?
 - Government contractor vs. U.S. DoD contractor
 - Basic/fundamental research
- What else?



Point of Contact

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(DTIC Online @ About Us:

DoD Scientific and Technical Information – Policy Memoranda)



Disclaimer of Endorsement

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