Obstructive Sleep Apnea (OSA) and Diabetes

Presented by: Indian Health Service (IHS) Division of Diabetes November 2010

Objectives

- 1. Discuss the pathophysiology, prevalence, and clinical implications of obstructive sleep apnea.
- 2. Incorporate new data linking obstructive sleep apnea to diabetes.
- 3. Refer appropriate primary care patients for home sleep study.
- 4. Give one example of how you plan to change your practice as a result of this training.

Overview

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Sleep related breathing disorder characterized by <u>recurrent collapse</u> <u>of the upper airway</u>, resulting in drops in oxygen saturations and transient arousals from sleep



OSA : risk factors

- Obesity (particularly central), Age, Male gender
- Race: African-American, Mexican American, Pacific Islander, Asian
- Craniofacial features including congenital anomalies, retrognathia, enlarged soft palate and tonsils, macroglossia
- Increased neck circumference (> 40cm)
- Positive family history (2-4 fold increase)
- Endocrine abnormalities: hypothyroidism, acromegaly, PCOS, type 2 diabetes

Aggravated by alcohol, sedatives, sleep deprivation, supine position, respiratory allergies, nasal congestion



Decreased insulin sensitivity in OSA patients



Apnea-hypopnea index (events/hr)

....compared to normal subjects, those with mild, moderate and severe OSA showed 27%, 37% and 48% reduction in insulin sensitivity, independent of age, sex, percent body fat

Punjabi et al, AJRCCM, 2009

Higher prevalence of prediabetes and incident diabetes in patients with OSA



Sleep-Disordered Breathing and Impaired Glucose Metabolism in Normal-Weight and Overweight/Obese Individuals The Sleep Heart Health Study

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....cross-sectional analysis of over 2500 non-diabetic individuals, the presence of OSA was associated with a significantly higher prevalence of prediabetes and incident diabetes, independently of the degree of obesity

Seican et al, Diabetes Care, 2008

Prevalence of OSA in type 2 diabetics: PSG studies



....suggesting that nearly 17 million of the estimated 24 million diabetic people in the US will have OSA !

OSA severity is associated with poorer glucose control in type 2diabetics



** Data adjusted for age, gender, race, BMI, number of diabetes medications, level of exercise, years of diabetes and total sleep time

Aronsohn et al., Am J Respir Crit Care Med. 2009

....suggesting that

- untreated OSA may worsen glucose control and increase the need for more intensive pharmacotherapy in patients with type 2 diabetes
- treatment of OSA may improve glucose control as much as widely used pharmacologic agents



Postprandial HbA1c levels decrease after CPAP therapy in type 2 diabetics





SUMMARY

- OSA is associated with insulin resistance, glucose intolerance and risk of diabetes, independently of adiposity
- OSA is a highly prevalent, unrecognized comorbidity in patients with type 2 diabetes
- Untreated OSA may worsen glucose control and increase the need for more intensive pharmacotherapy
- Robust clinical trials are needed to assess the effects of OSA treatment on glucose control
- Sleep duration and quality are potentially modifiable risk factors, therefore might have important clinical implications for prevention and treatment of diabetes and obesity

Recommendations for IHS

- Consider OSA in our patient population; prevalence?
- Ask patients with T2DM about sleep quality and duration.
- Consider OSA in patients with unexplained worsening glucose control.
- Assess local resources for diagnosis and treatment?

Clinical Definitions and Pathophysiology

Teresa Green, MD Board Certified in Sleep Medicine American Board Internal Medicine Western Carolina Pulmonary and Sleep Consultants Update in OSA: New Links to Diabetes and the Role of Home Sleep Testing

Teresa Green, MD Board Certified in Sleep Medicine American Board Internal Medicine Western Carolina Pulmonary and Sleep Consultants

OSA Definitions

- Apnea = Cessation of airflow for ≥ 10 seconds
 - 1. Obstructive Apnea
 - Absence of airflow with respiratory effort



Definitions

2. Central Apnea

• Absence of airflow with absent respiratory effort



Definitions

Hypopnea

- ≥ 30% reduction in airflow associated with 4% oxygen desaturation
- ≥ 50% reduction in airflow associated with 3% oxygen desaturation or arousal (alternative criteria)



Definitions

- Apnea Hypopnea Index (AHI)
 - Number of apneas and hypopneas per hour of sleep
 - Total Number of Events divided by the Total Sleep Time
- OSA
 - AHI ≥ 5
- Obstructive sleep apnea syndrome (OSAS)
 - AHI 5–15 associated with symptoms and/or significant comorbidities
 - AHI ≥ 15 regardless of associated symptoms and/or comorbidities



Moderate OSAS



Severe OSAS





Central Apnea/CSR





Complex Sleep Apnea



Prevalence and Clinical Implications

Prevalence of OSA(S)

• OSA (AHI ≥ 5)

• 24% men, 9% women

• OSAS (AHI \geq 5 with symptoms)

• 4% men, 2% females

However...

Prevalence of OSAS in **High-Risk Populations**



Gami et al., J Cardiovasc Electrophysiol, Vol 19, 997-1 West et al., Thorax, 61:945-250,2006 Sim et al. Chest epub, Nov 24 2008 Foster,et al., Diabetes Care, 32: 1017-1019, June 2009 J Cardiovasc Electrophysiol, Vol 19, 997-1003, 2008

Screening?

- Cervical Cancer: 1/1000–Pap smear
- Colon Cancer: 1–4/1000–Colonoscopy
- Breast Cancer: 6/1000–Mammograms
- OSAS: 1/4 Hypertensives
 - 1/3 CAD patients
 - 1/2 CVA patients
 - 4/5 Obese diabetics

Prevalence in Obese Diabetics

- "Obstructive Sleep Apnea Among Obese Patients with Type II Diabetes"
 - Foster, et al Diabetes Care June 2009
 - 306 patients
 - 86% had AHI > 5 and mean AHI was 20.5
 - 33%-mild
 - 31%-moderate
 - 22%-severe
 - Larger waist circumference and higher body mass index (BMI) were associated with more severe OSAS

OSAS and Insulin Resistance

OSAS



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OSAS and Metabolic Syndrome/Diabetes



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The Different "Faces" of OSAS


Symptoms of OSAS

- Excessive daytime sleepiness
- Fatigue
- Fragmented sleep/insomnia
- Morning headaches
- Irritability/mood disorders
- Difficulty concentrating
- Memory problems
- Nocturia

Morbidity from OSAS

Neurocognitive

- Impaired vigilance
- Impaired psychomotor performance
- Impaired attention/concentration
- Impaired executive function
- Impaired memory

Leading to:

- Increased risk of accidents (longer reaction times, divided attention deficits, 2x the number of collisions on driving simulator tests)
- Psychosocial stress (mood problems, marital discord, impaired job performance)

Morbidity from OSAS

Cardiovascular

- HTN
- Myocardial infarction
- CHF
- Cardiac arrhythmias and sudden death
- Stroke
- Pulmonary HTN (?) and Cor Pulmonale
- Metabolic
 - Insulin resistance/diabetes mellitus
 - Obesity

Diagnosis and Sleep Testing

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OSAS Diagnosis

Level I Testing

- In-lab, complete polysomnogram (EEG, cardiorespiratory monitoring, limb movement, and continuous video recording for parasomnias)
- Gold standard for diagnosis of OSAS
- Costly with limited accessibility
- Still an "imperfect" gold standard due to false negative studies related to first night effect and/or lack of supine sleep





OSAS Diagnosis

Level II Test

- Ambulatory unattended complete PSG
- Technically difficult and still expensive
- Level III Test
 - Ambulatory multichannel cardiorespiratory monitoring devices (minimum 4 channels)
 - Greater technical feasibility and more cost effective



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OSAS Diagnosis

Level IV Test

- Ambulatory devices with less than three channels that allow calculation of an AHI
- Not considered adequate by the AASM





Guidelines for Use of Portable Monitoring

- Should be used in conjunction with a clinical sleep evaluation
- Device should include monitoring of airflow, effort, and oxygenation (minimum of four channels)
- Interpretation should include review of the raw data
- Study must be interpreted by a board-certified sleep medicine specialist

Indications for Use of Portable Monitoring

- Diagnosis of OSAS in patients:
 - With high pretest probability of moderate to severe OSAS and
 - Without significant comorbid cardiopulmonary or neuromuscular disease

- Unable to travel to the laboratory due to immobility, safety, or critical illness
- Monitoring response to non-CPAP treatments for OSAS (oral appliances, upper airway surgery, and weight loss)

Contraindications for Use of Portable Monitoring

- Screening of asymptomatic populations
- Evaluation of OSAS in patients with significant comorbid medical conditions (CHF, severe COPD, and neuromuscular disease), or chronic use of narcotic pain medications.
- Evaluation of OSAS in patients other sleep disorders (central sleep apnea, periodic limb movement disorder, insomnia, parasomnias, circadian rhythm disorders, or narcolepsy).

Limitations of Portable Monitoring

- False negative rate of up to 17%
- False positive rate variable depending on likelihood ratios (higher likelihood ratios—lower false positive rates)
- Data loss rate of 3–18%
- Overall equipment failure rate 12–24%
- Underestimation of AHI due to the inability to quantify sleep time
- Many devices do not adequately distinguish between obstructive and central apneas



Treatment Options

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Treating Patients After a Positive Home Study

• CPAP

- In-laboratory attended titration
- In-home titration using AutoPAP
- Alternative treatment options
 - Oral appliances
 - ENT surgery
 - Conservative treatment
 - Weight loss
 - Supine avoidance
 - Treatment of nasal congestion/GERD

In-Home CPAP Titration

Mask fitting ***KEY***

- Assess nasal vs mouth breathing
- Ensure absence of leak at various pressures and in the supine position
- Attention to comfort

Instruction on CPAP use

- What to expect
- Desensitization
- Problems/side-effects

In-Home CPAP Titration

Use an autoadjusting PAP device permanently
OR

Use an autoadjusting PAP device for 2–3 days and extrapolate optimal pressure based on 90– 95% pressure

- Make adjustments based on patient's clinical improvement and compliance data downloaded from PAP device (gives residual AHI, leak)
- If data is problematic or there is limited clinical improvement-proceed with in-laboratory titration

In-Home CPAP Titration

- Close clinical follow-up is CRITICAL
 - Address mask issues early
 - Address pressure intolerance
 - Optimize treatment efficacy by adjusting pressure range
 - Document clinical improvement and compliance for third-party payers

Requirements for Initial PAP Coverage (CMS)

- Clinical sleep evaluation (including BMI, neck circumference, and Epworth Sleepiness Score)
- OSAS diagnosed by proper devices (Type I, II, III, IV with three channels)
- PAP covered for 12-week trial period
- PAP coverage beyond 12 weeks:
 - Patients who are compliant
 - Show clinical benefit from CPAP during the 12-week trial period

Requirements for PAP Coverage after 12-Week Trial

- Face-to-face visit with practitioner to document clinical improvement (between 31–91 days)
- Objective documentation of compliance using data reports from PAP device:
 - Use of at least four hours per night
 - On 70% of nights
 - For 30 consecutive day period during the trial

What about CPAP "Failures"

- Face-to-face reevaluation by physician to determine etiology of the problems
 - Consider lower pressure settings or BiPAP for pressure tolerance problems
 - Mask change for mask problems
 - Treatment of nasal congestion
 - Consider in-laboratory study or specialty referral if problems persist or optimal treatment regimen remains unclear
- Discussion of alternative treatments:
 - Oral appliances
 - ENT surgery
 - Other

Keys to Success

- Proper patient selection—sleep history/evaluation
- Adequate equipment (minimum four channels)
- Scoring with review of raw data
- Patient education about OSAS and CPAP
- Close clinical follow-up
- Good RT/DME support for adjustments in therapy

Case Studies and Summary

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Case # 1 The Perils of Overnight Oximetry

- 50-year-old woman with c/o fragmented sleep, EDS, snoring, witnessed apneas, and PND
- PMH: HTN, CVA, COPD, chronic pain
- FHx: + for OSAS in father and 2 siblings
- PE:
 - BMI 31.6, neck circumference 15"
 - Mallampati 3-4, 2+ tonsils

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Case # 1 (Continued)

- Overnight oximetry
 - Nadir SpO2–84% and only 16 min (2.8%) <90%
 - Desat index–36.4
 - Tracing with phasic desats
- PSG
 - AHI 21.8 (but without REM sleep), moderate-severe sleep fragmentation

Case # 2 The Perils of Type IV Devices

- 31-year-old woman referred for increasing SOB, hypoxia, and abnl CXR
- ROS:
 - Fatigue, wt gain,
 - Orthopnea, PND, snoring, and witnessed apneas
 - Morning headaches, sleep maintenance insomnia, and excessive daytime sleepiness
- PMH: Type II DM, HTN, morbid obesity
- PE:
 - BMI 75, neck circumference 21.5"
 - Mallampati score-IV
 - Decreased breath sounds throughout



Southern Home Respiratory & Equipment

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Case # 2 (Continued)

- R U Sleeping Apnea Test
 - AHI-2.4
- Oximetry
 - Nadir SpO2 43%
 - Time <88% 99.4% of recording
 - Desat index 41.1
- PSG: AHI of 98
- Dx: Severe OSAS/obesity hypoventilation

Case # 3 Concerns About AutoPAP

- 67-year-old man with severe OSAS
 - AHI of 37.1, O2 desats to 83%
 - Optimal CPAP 13 cmH2O (but no supine sleep)
 - APAP 13–20 cmH2O



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MAKE 85

Case # 4–The Importance of Proper Patient Selection

- 50-year-old woman with OSAS diagnosed by home study, referred for "CPAP failure"
- HX: daytime sleepiness, witnessed apneas
- PMH: Chronic pain on multiple narcotic pain meds
- PE: BMI 20, Mallampati score-II
- HST: AHI 27, Desats to 84%; Dx; OSAS (Small Print: Possible Cheyne-Stokes pattern)
- Started on AutoPAP, but had persistent sx and residual events on compliance download
- In-laboratory PSG: No OSAS, but moderate Central sleep apnea.
- Switched to adaptive servo ventilation with improvement

Improving Sleep Care in a Primary Care Setting

- Screen patients with a basic sleep questionnaire
- Appropriate use of home sleep testing with careful clinical correlation
- Referral for in-laboratory studies in complex cases
- Consider referral to sleep clinic when the diagnosis is unclear, patient has difficulty adjusting to CPAP, or there is a concern about other sleep disorders

Quick OSAS Risk Quiz

- Do you regularly feel unrefreshed, even after a full night's sleep?
- Do you fall asleep easily during the day, while at work, or at home?
- Are you a loud, habitual snorer?
- Has your bed partner witnessed you choking, gasping, or holding your breath during sleep?
- Do you often suffer from poor concentration, memory loss, irritability, and/or bad mood?
- Do you have high blood pressure?

*** Yes answers to two or more questions suggests increased risk of OSAS ***

THINK ABOUT SLEEP! Your patients will thank you.

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