



FAA Orders, Advisory Circulars, and Other Federal Directives Referring to Airport Planning Grants August 1, 2012

Documents listed below provide guidance on administering AIP planning grants from application through closeout. Not listed are documents that provide guidance on how to conduct airport planning or the components of airport planning. Guidance for these can be found in Advisory Circular (AC) 150/5070-6B (Airport Master Plans) and AC 150/5070-7 (The Airport System Planning Process). Both AC 150/5070-6B and AC 150/5070-7 contain an appendix (Appendix B for each AC) including an extensive list of additional useful reference material for airport planning.

References for noise compatibility planning are also not included.

List by topic:

The AIP Handbook (FAA Order 5100.38C) is the primary source for information on administering airport planning grants. Specific references to FAA Order 5100.38C and to other directives are provided for each topic.

A. AIP Eligibility

- Airport must be in the NPIAS
 - FAA Order 5090.3C, Paragraph 1-8
 - 49 USC 47105(b)(2), 49 CFR Part 18
 - Planning project must be in Airports Capital Improvement Plan to receive a grant. (FAA Order 5100.39A)
- General eligibility of airport planning
 - FAA Order 5100.38C, Paragraph 300
 - FAA Order 5100.38C, Paragraph 302
 - FAA Order 5100.38C, Paragraph 400
 - FAA Order 1050.1E, "Environmental Impacts: Policies and Procedures," Categorical Exclusion, Paragraph 307.o.
 - 49 USC 40117(a)(3)(A), 49 CFR Part 18
 - 49 USC 47114(d)(6), 49 CFR Part 18
- Sponsor eligibility
 - FAA Order 5100.38C, Chapter 2
 - 49 USC 47105(a), 49 CFR Part 18
- Eligibility of work elements
 - FAA Order 5100.38C, Paragraphs 401, 403-406
 - FAA Order 5100.38C, Appendix 1
 - FAA Order 5100.38C, Paragraph 306



B. Costs

- Allowable/Non-allowable Costs
 - FAA Order 5100.38C, Paragraphs 310-311
 - 49 USC 47110, 49 CFR Part 18
 - Office of Management and Budget (OMB) Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments"
- FAA Order 5100.38C, Use of Force Account, Paragraph 1004

C. Pre-award for grant

- FAA Order 5100.38C, Paragraph 411, Pre-Planning Conference
- FAA Order 5100.38C, Chapter 10, Section 1., Project Formulation
- Application form and format
 - FAA Order 5100.38C, Paragraphs 412, 1010, and 1011
 - OMB Circular A-102, "Grants and Cooperative Agreements with State and Local Governments, " Paragraph 1c
- FAA Order 5100.38C, Application review, Paragraph 413
- FAA Program Guidance Letter (PGL) 07-01, Project Evaluation Review and Development Analysis (PERADA)
- FAA Order 5100.38C, Paragraph 1006, Intergovernmental review
- FAA Order 5100.38C, Appendices 3-5, Grant Application Forms
- FAA Order 5100.38C, Paragraph 1090.c., State Channeling and Sponsorship of Airport Projects
- AC 150/5070-6B, Paragraph 305, Application for Federal Funding
 - Phasing

D. Procurement/Consultant selection/Contracts

- FAA Order 5100.38C, Paragraphs 420-424
- FAA Order 5100.38C, Chapter 9
- FAA Order 5100.38C, Paragraphs 1022-1027
- FAA Order 5100.38C, Appendix 25
- AC 150/5070-6B, Paragraphs 302 and 304
- AC 150/5100-14D, "Architectural, Engineering and Planning Consultant Services for Airport Grant Projects"

E. Planning grant administration

- FAA Order 5100.38C, Paragraphs 425-427
- Grant termination or suspension
 - FAA Order 5100.38C, Paragraphs 1150-1156



Airports Division
FAA Central Region

F. Grant payment, close out and audit

- Payment/Financial
 - FAA Order 5100.38C, Paragraphs 1300-1305
 - FAA PGL 05-02, "Letter of Credit Project Payments"
 - 49 USC 47111, 49 CFR Part 18
 - OMB Circular A-102, Paragraph 2.c.
 - FAA Order 5100.38C, Appendix 20
- Closeout
 - FAA Order 5100.38C, Paragraphs 1310-1318
 - OMB Circular A-102, Paragraph 3.a.
- Audit
 - FAA Order 5100.38C, Paragraphs 1320-1329
 - OMB Circular A-133 "Audits of States, Local Governments, and Non-Profit Organizations"
 - 49 USC 47121, 49 CFR Part 18

G. FAA approval actions (i.e. forecast, ALP, site selection, NPIAS, etc.)

- FAA Order 5100.38C, Paragraph 428

H. Grant assurances

- FAA Order 5190-6, Appendix A
- FAA PGL 05-03, "Updated Grant Assurances"
- FAA PGL 11-01, "Amendment to Standard AIP Sponsor Assurances to Implement Airport Improvement Program (AIP) Interim Policy Regarding Access to Airports from Residential Property"

I. Special conditions

- FAA Order 5100.38C, Paragraphs 1120-1122
- FAA Order 5100.38C, Appendix 7