CHANGES MADE IN THE NEW WRIS DATA SHARING AGREEMENT COMPARED TO THE FORMER WRIS CONSOLIDATED DATA SHARING AGREEMENT

Page	Type of Change	New Text	Former Text	Rationale for Change
1	Addition	Under Section I. Purpose More specifically, the WRIS: 1) assists states 3) supports research and evaluation efforts authorized under the terms of this Agreement.	No former text—new language	Additional clarification of previously existing language/moving text from Legal Authority Section
1-2	Addition	Under Section II. Legal Authority The legal authority to establish this agreementcompleting their annual report. The authorityfrom Section 136(d) and Section 185 of the WIA.	The WRIS is a tool designed to facilitate the interstate exchange of wage data under the terms of this Agreement.	A more accurate description of the legal authority for the Agreement
		As required by the WIA, all data exchange activity conducted through the WRIS will be conducted in a manner consistent with both the Family Educational Rights and Privacy Act (FERPA) and with applicable State law. Further, the WRIS will be administered in accordance with Section 504(b) of the WIA, whichsuch programs.	"Pursuant to the Workforce Investment Act, all data exchanges associated with the Workforce Investment Act are to be conducted in a manner consistent with both the Family Educational Rights and Privacy Act and with applicable state law. The Workforce Investment Act also contains language that prohibits of such programs."	
2	Replacement	Under Section III Parties to the		Reordering of the parties is the result

Page	Type of Change	New Text	Former Text	Rationale for Change
		Agreement "ETA" is the Employment and Training Administration, United States Department of Laborto allow the exchange of wage data through the WRIS.	"NASWA" is the National Association of State Workforce Agencies include all its employees and/or agents.	of making the order consistent throughout the document/changes to reflect the change in the WRIS administrative entity
2	Switch	Definitions Section is now Section IV	<u>Definitions</u> Section was Section V	Allows for the definition of terms before they are used in the Agreement
4	Rewording	Under Section IV. Definitions C. "Agreement" is this WRIS Data Sharing Agreement, including any amendments. D. "DDBI" is the Distributed Database Index, an index of all SSNs for which wages have been reported to participating states over a period of up to eight quarters. The DDBI contains three information items for each entry: SSN, quarter for which wages were reported, and the state that holds the wage record. Participating states continuously update the DDBI, in accordance with a schedule maintained by the Operations	C. "Agreement" includes the WRIS Data Sharing Agreement and any amendments amending its terms. D. "DDBI" is the Distributed Database Index, a listing maintained by the Operations Contractor containing social security numbers for which associated wages have been reported by employer(s) for a covered period. Such SSNs are reported by participating SUIAs on a quarterly basis, are grouped by reporting state, and are used to identify a state(s) that has wage data on file for an individual who is the subject of a data request submitted to the WRIS by a	Provides clearer and more consistent definitions for the subject terms
		Contractor. F. "Family Educational Rights	participating PACIA. F. "Family Educational Rights and	

Page	Type of Change	New Text	Former Text	Rationale for Change
		and Privacy Act (FERPA)," 20 USC 1232g, is a federal statute his/her educational records.	Privacy Act (FERPA)" is a federal statuteeducational records. The Act is codified at 20 USCA 1232g.	
		G. "ICON" is the Interstate Communications Networkrelated program purposes. ICON is the infrastructure used by WRIS to allow for the exchange of WRIS data.	G. "ICON" is the Interstate Connectionsrelated program purposes.	
		Q. "WRIS" is the Wage Record Interchange System for the purpose of assessing the performance of individual training providers and state employment and training programs; preparing and submitting reports to the USDOL regarding the performance of workforce investment programs and activities authorized under the WIA, or under other statutory provisions that are referenced in the WIA as authorizing programs identified as One-Stop partners; supporting research and evaluation efforts allowed under law.	Q. "WRIS" is the Wage Record Interchange System reporting on state and local employment and training program performance, evaluating training provider performance allowed under law.	

Page	Type of Change	New Text	Former Text	Rationale for Change
4	Switch	Responsibilities of the Parties Section is now Section V.	Responsibilities of the Parties Section was Section IV.	The result of moving the <u>Definitions</u> Section
4-5	Addition (see bolded text)	Under Section V. Responsibilities of the Parties A. SUIAs shall be responsible for:		The reordering of the responsibilities is the result of organizing them to be in the same order for each party (to the extent they are applicable to a party)
		1. Providing ETAfor the handling of such data as discussed in Section VII of this Agreement, and their obligation to comply with such standards and guidelines in carrying out their WRIS duties.	3. Providing NASWAfor the handling of such data developed by NASWA, and their obligation to comply with such standards and guidelines in carrying out their WRIS duties	Reflects the change in the WRIS administrative entity
		3. Providing appropriate data to all other states participating in the WRIS by responding to Queries for Wage Data received through the WRIS Clearinghouse in a complete, timely, and accurate manner.	1. Exchanging data with all other states participating in the WRIS by responding to Queries for UI wage data received through the WRIS Clearinghouse in a complete, timely, and accurate manner.	Reflects the use of the term as defined in the <u>Definitions</u> Section
		4. Ensuring that internal security measures currently in place comply with the confidentiality provisions set forth in the Agreement, and 20 CFR Part 603, that are	2 Ensuring that internal security measures currently in place comply forth in the Agreement that are intended to prevent the unauthorized numbers obtained from PACIAs participating in the WRIS.	Reflects the issuance of new UI confidentiality regulations

Page	Type of Change	New Text	Former Text	Rationale for Change
		intended to prevent the unauthorized disclosure of SSNs obtained from PACIAs participating in the WRIS. 5. Ensuring that their employees working with the WRIS comply with the standards and guidelines for the handling of such data as	4. Ensuring that their employees working with the WRIS comply with the Standards and Guidelines for the Handling of WRIS Data developed by NASWA as well as	Reflects changes in where the standards and guidelines for handling WRIS data are located and the issuance of new UI confidentiality regulations
		discussed in Section VII of this Agreement, as well as any additional rules established by the SUIA, that are consistent with 20 CFR Part 603, to protect the confidentiality of WRIS data.	any additional rules established by the SUIA to protect the confidentiality of WRIS data.	
		6. Cooperating with periodic program and confidentiality compliance reviews related to WRIS, as part of the regular program reviews conducted by ETA. This includes permitting site and record inspections related to WRIS during regular business hours by ETA	5. Cooperating with periodic financial, program, and confidentiality compliance audits by NASWA and permitting site and record inspections during regular business hours by NASWA and/or by representatives of other states participating in the WRIS.	Reflects the change in the WRIS administrative entity/how confidentiality compliance reviews will be conducted
		and/or by representatives of other states participating in the WRIS.		

Page	Type of Change	New Text	Former Text	Rationale for Change
5	Renumbering, addition	Under Section V. Responsibilities of the Parties for PACIA B. PACIAs shall be responsible for:		The reordering of the responsibilities is the result of organizing them to be in the same order for each party (to the extent they are applicable to a party)
		1. Providing ETA with forms properly executed by all PACIA for the handling of such data as discussed in Section VII of this Agreement their WRIS duties.	5. Providing NASWA with forms properly executed by all PACIA e handling of such data developed by NASWA their WRIS duties.	Reflects the change in the WRIS administrative entity/change in where the standards and guidelines for handling WRIS data are located
		3. Ensuring that their employees working with the WRIS comply with the standards and guidelines for the handling of Wage Data as discussed in Section VII of this Agreement, as well as any additional rules established by the PACIA, that are consistent with 20 CFR Part 603, to protect the confidentiality of WRIS data.	2. Ensuring that their employees working with the WRIS comply with the Standards and Guidelines for the Handling of WRIS Data developed by NASWA as well as any additional rules established by the PACIA to protect the confidentiality of WRIS data.	Reflects changes in where the standards and guidelines for handling WRIS data are located and the issuance of new UI confidentiality regulations
		4. Preparing Aggregate Statistical Data from Wage Databy SSNs or names that would identify the individuals. 5. Providing individuals with	3. Preparing aggregate statistical reportsand for other purposes allowed under law.	Reflects the use of the term as defined in the <u>Definitions</u> Section

Page	Type of Change	New Text	Former Text	Rationale for Change
		Aggregate Statistical Reportsmake informed training choices.	4. Providing individuals with consumer information specific training programs.	Reflects the use of the term as defined in the <u>Definitions</u> Section
		6. Cooperating with periodic program and confidentiality compliance reviews related to WRIS, as part of the regular program reviews conducted by ETA. This includes permitting site and record inspections related to WRIS during regular business hours by ETA and/or by representatives of other states participating in the WRIS.	6. Cooperating with periodic financial, program, and confidentiality compliance audits by NASWA and/or representatives of other states participating in the WRIS. Such audits may include, but not be limited to, site and record inspections. Site and record inspections shall be conducted during regular business hours except as otherwise agreed to by the PACIA.	Reflects the change in the WRIS administrative entity/how confidentiality compliance reviews will be conducted
5-6	Addition	Under Section V. Responsibilities of the Parties for ETA C. ETA shall be responsible for the overall administration and management of the WRIS, which shall include the following: 1. Communicating with statesregarding the operation and value of the WRIS. 6. Providing grant resourcesbetween	Replaces NASWA's responsibilities; no former text—new language.	Reflects the change in the WRIS administrative entity. Most of these responsibilities are the same as those NASWA formerly had

Page	Type of Change	New Text	Former Text	Rationale for Change
		participating states.		
6-8	Addition	Under Section VI. Operation of		
	Deletion	the WRIS		
		The following describes how the	Requests for wage data submitted	Provides for a more accurate
		WRIS operates, including how	from a participating state through	description of the operation of the
		Requests for Wage Data are	the WRIS shall be processed in the	WRIS/reflects the change in the WRIS
		submitted and processed:	following manner:	administrative entity/moves
		A. The SUIAestablished by the	A. The PACIA transmits a request	"operational" components from other parts of the former Agreement to the
		Operations Contractor.	assigned an identifier.	appropriate place/allows ETA to
		Operations Contractor.	assigned an identifier.	originate request for Wage Data for
		B. The databy the Operations	D. The WRIS attached to the	research and evaluation purposes,
		Contractor.	Request.	subject to the same process as state-
				originated requests
		C. A PACIA transmitsfor the	E. Requests are periodically	
		following purposes:	consolidatedheld by the same	
			SUIA.	
		1. To obtain Wage Data(v)		
		Chapter 41 of Title 38 of the	F. The Clearinghouse transmits	
		United States Code;	each Query to the SUIA for	
		2.7. 11 4	processing received by the WRIS	
		2. To allow the PACIAunder the	Clearinghouse.	
		Workforce Investment Act;	G. SUIA(s) process Queries and	
		workforce investment Act,	create Replies containing wage	
		3. To allow the PACIA to	data.	
		such entity by the PACIA as		
		Aggregate Data.	H. A Reply with wage data is	
			received from a SUIA. The source	
		4. To allow the PACIA	of the Reply is verified and the	
		identified in subparagraphs	wage data is extracted from the	

Page	Type of Change	New Text	Former Text	Rationale for Change
		1 through 3 of this paragraph C; 5. To obtain data for research and evaluation, subject to the limitations set forth below: a) The research and evaluation paragraph C of this subsection; b) There are no conditions whose data are to be used for such purpose; c) A state that has elected to participate in research proposals shall share only its own data, and not any data obtained through the WRIS, for such purpose; d) All data for approved research purposes to the WRIS for this purpose.	Reply and temporarily stored. G. Unfilled Requests are compared with wage data received from the SUIA and if matches are found, wage data are sent to the Requesting PACIA in the form of a Result. H. Information in Results provided to the covered by the WRIS. I. The WRIS Clearinghouse keeps a copy of each removed from the WRIS Clearinghouse. J. The WRIS Clearinghouse provides NASWA with periodic reports detailing the volume of WRIS activity during the reporting period for NASWA's use in preparing reports for USDOL and participating WRIS states.	
<u> </u>		o. To anow me Themsby		

Page	Type of Change	New Text	Former Text	Rationale for Change
		the Secretary of Labor; 7. As may be necessary to assist ETA with administering and managing the WRIS; and, 8. As may be necessary to assist the Operations Contractor with operating and troubleshooting the		
		WRIS. D. ETA may also obtain Wage Data for research and evaluation section VI. E. Each Request is assigned an		
		identifier by the WRIS Clearinghouse/Operations Contractor. F. The WRIS Clearinghouse		
		makes an inquiry attached to the Request. J. The source of the Reply is		
		verifiedtemporarily stored. K. The WRIS Clearinghouse compares unfilled Requestsin		

Page	Type of Change	New Text	Former Text	Rationale for Change
		the form of a Result. M. The WRIS Clearinghouse only keeps a copybe removed from the WRIS Clearinghouse.		
11	Replacement Addition	Under Section VII. Confidentiality/Restrictions on Use of Information C. ETA Replaces NASWA with ETA (pg 11, 12)	B. NASWA	Reflects the change in the WRIS administrative entity
		2. ETA also shall not use any dataobtained from the WRIS.	No former text-new language	
9-11	Addition	Under Section VII. Confidentiality/Restrictions on Use of Information Beyond the use of the DDBI for the processing of Requests in governing their handling of confidential information:		The reordering of the parties is the result of making the order consistent throughout the document
		 A. SUIA 1. No employee of the SUIAto anyone outside the SUIA. 2. The SUIA that receives a Queryset forth in Section VI.C. of this Agreement. 	A. PACIA 1. WRIS data may be requested and used by the PACIA enacted by the NASWA Board of Directors on February 27, 2006.) 2. Notwithstanding the language	Number of items condensed as a result of moving language about which programs requests can be responded to by a SUIA to Section VI. Operation of the WRIS/item 10 added as result of the issuance of new UI confidentiality regulations

Page	Type of Change	New Text	Former Text	Rationale for Change
		10. The SUIA shall ensure the state's unemployment compensation grant.	of subparagraphaggregating the results. (NOTE: The paragraph above includes amendments to the Consolidated WRIS Data Sharing Agreement enacted by the NASWA Board of Directors on February 27, 2006.) 3. WRIS data may be requestedset forth in Section IX. 4. Notwithstanding the language of subparagraph fproviding data for this purpose. 5. No employee of the PACIAexcept in the form of aggregate statistical reports.	
		B. PACIA 1. No employee of the PACIA may duplicate or disseminate Wage Data received from a SUIA, subject to the	C. SUIA 1. The SUIA shall timely submit to the Operations Contractor established by NASWA.	Number of items condensed as a result of moving language about which programs PACIAs can request data for to Section VI. Operation of the WRIS
		following exceptions: a) To other employees of the	2. The SUIA shall transmit wage dataNASWA Board of Directors on February 27, 2006.)	

Page	Type of Change	New Text	Former Text	Rationale for Change
		PACIA specifically	3. Notwithstanding the language of subparagraph (d) of paragraph 2	
		authorized to receive such do	February 27, 2006.)	
		or	4. Notwithstanding the language of subparagraphs d and g of	
		b) To the	paragraph 2 of this subsection	
		Operations	with the Amendment Procedure set	
		Contractor for purpose of	the forth in Section IX.	
		performing du	ties 5. Notwithstanding the language of	
		imposed upon	subparagraph (f) of paragraph 1	
		them pursuant	· ·	
		the terms of thi		
		Agreement; or	6. No employee of the SUIA outside the SUIA.	
		c) To auditors	SOIAouiside the SOIA.	
		who are public	7. The SUIA that receives a WRIS	
		employees	Query of this Agreement.	
		seeking access	~	
		the information	-	
		the performance		
		of their official	WRIS duties.	
		duties; or		
		d) In the form of	of	
		reports to the		
		Secretary of		
		Labor containi	ing	
		individual	1.1	
		records, provid	ted	

Page	Type of Change	New Text	Former Text	Rationale for Change
		that such individual records are not accompanied by SSNs or names that would identify the individuals. Unless covered by one of the aforementioned exceptionsexcept in the form of Aggregate Statistical Reports.		
		12. The PACIA shall respond to the Operations Contractorfor other operational purposes.		
		C. ETA	B. NASWA	
		1. Access by ETA to Wage Data shall be limited to the access necessary for ETA to carry out its responsibility for overall administration and management of the WRIS, unless	1. Access by NASWA to wage data shall be limited to that access necessary for NASWA to carry out its responsibility for overall administration	Reflects the change in the WRIS administrative entity/most items mirror those of NASWA in the former Agreement
		9. Wage Data obtained by ETA shall not be disclosed to third parties, except under court order	10. Wage data obtained by NASWA shall not be disclosed to third parties except in accordance with permissible disclosure parameters set forth in subparagraphs c and d	

Page	Type of Change	New Text	Former Text	Rationale for Change
		or where required by federal	of Section VII(A)(1) of this	
		law.	Agreement.	
12	Addition and	<u>Under Section VIII. Duration of</u>		Additional clarification of previously
	Deletion	Agreement		existing language/change from
		This Agreement remains in effect	This Agreement may be terminated	NASWA to ETA has a signatory/party
		in perpetuity, unless terminated.	upon mutual agreement of NASWA	to the Agreement
		This Agreement may be	and a participating state or	
		terminated in one of the	unilaterally by any state or NASWA	
		following ways:	provided that the terminating state	
			serves the other parties with a	
		A. Upon mutual agreement of	written notice executed by	
		ETA and a participating state at	representatives of both the PACIA(s)	
		any time;	and the SUIA of its intention to	
			terminate the Agreement at least	
		B. Unilaterally by any	sixty (60) days prior to the expected	
		stateany other state or ETA.	date of termination. Termination of	
			this Agreement by any participating	
		C. Unilaterally by	state shall not affect the continuing	
		ETAspecified date of	enforceability of this Agreement as	
		termination.	to any other state or NASWA.	
		D. Notwithstanding the above, if	Notwithstanding the above, if either	
		either a state PACIA or SUIA	a state PACIA or SUIA should fail to	
		should fail to properly perform	properly perform or fulfill its	
		or fulfill its obligations under	obligations under this Agreement in	
		this Agreement in a timely or	a timely or proper manner or should	
		proper manner, or should violate	violate any term of this Agreement,	
		any term of this Agreement, ETA	the participation of the state in this	
		reserves the right to	Agreement may be suspended or	
		immediately suspend or	terminated immediately by NASWA,	
		terminate the participation of	upon written notice.	

Page	Type of Change	New Text	Former Text	Rationale for Change
		the state in this Agreement,		
		upon written notice		
13	Addition	<u>Under Section IX. Amendment</u>	The Agreement may be amended in	Reflects the change in the WRIS
		of Agreement	accordance with the following	administrative entity/change in the
		A. An amendment may be	procedure:	process of amending the Agreement
		proposed in writingproposing		
		the amendment to ETA.	A. An amendment may be proposed	
			in writing by a participating state,	
		B. ETA will e-mail the proposed	NASWA, or the Operations	
		amendment for its	Contractorto the NASWA Board	
		consideration and review.	for its consideration and review.	
		C. The amendment will be	B. The amendment shall be	
		considered by ETAconflict	considered by the Board of NASWA	
		with a state's law.	or a committee designated by the	
			Board for such purpose. The parties	
		D. ETA will make a preliminary	shall be informed of the disposition	
		decisionfor another 30 days.	of any amendment that is considered by the Board Unless otherwise	
		E. Prior to the conclusion of the	specified in the memorandum of	
		30-day comment	determination, the amendment shall	
		periodsubmission of a revised	become effective as of its date of	
		proposal.	incorporation.	
		F. If no objections to the		
		amendment are raised during the		
		30-day comment period or the		
		conference cal via ETA's		
		website.		
		G. If objections are raised		

Page	Type of Change	New Text	Former Text	Rationale for Change
		during the 30-day comment period or the conference callwithdraw its proposal. H. The proposing party retains the right to withdraw its proposal at any time during the		
14	Rewording (see bolded text)	consideration process. Under Section X. Limitations on Liability To the extent permitted by applicable federal or state law, the parties to this Agreement shall be responsible for the acts and omissions of their own employees. A party shall not be held responsible for the misuse of Wage Data disclosed under this Agreement by another party or employee of another party to this Agreement.	To the extent permitted by applicable federal or state law, the parties shall be responsible for the acts and omissions of their own employees. The parties shall not be held responsible for the misuse of data disclosed under this Agreement by the other parties or employees of the other parties.	Clarification of previously existing language
14	Addition (see bolded text)	Under Section XI. Non- Discrimination The PACIA and SUIA agree, warrant, and assure that they will fully comply with the nondiscrimination and equal opportunity provisions set forth in Section 188 of the Workforce Investment Act and its implementing regulation at 29	The parties agree, warrant, and assure that they will fully comply with the opportunity provisions set forth in Section 188 of the Workforce Investment regulation at 29 CFR Part 37 in the performance of this Agreement.	Clarification of previously existing language

Page	Type of Change	New Text	Former Text	Rationale for Change
		CFR Part 37 in the performance		
		of this Agreement.		
15	Replacement	Under Section XV. Reciprocal Participation/Waiver of Separate Execution/Effect of Agreement B. The PACIA and SUIA further acknowledge that ETA shall notify is notice shall be provided in accordance with Section XVI of this Agreement.	B. Such representatives further acknowledge that NASWA shall in accordance with Section XVI of this Agreement.	Change to Section title/reflects the change in the WRIS administrative entity
16-17	Replacement	Under Section XVI. Communications and Contacts Replace NASWA with ETA		Reflects the change in the WRIS administrative entity
		For ETA: United States Department of Labor/ETA Office of Performance and Technology Attn: WRIS Administration 200 Constitution Avenue, NW S-5206 Washington, DC 20210 Phone: (202) 693-3420 Fax: (202) 693-3490 Email: wris@dol.gov	For NASWA: NASWA 444 North Capitol Street, NW Suite 142 Washington, DC 20001 Phone: 202/434-8020 Fax: 202/434-8033 Email: blangley@naswa.org	
		The PACIA and SUIA will inform ETA of any changes in their contact information in a timely manner by sending corrected information to ETA at	The PACIA and SUIA shall be obligated to inform NASWA of any changes in their contact information in a timely manner by sending corrected information to NASWA at	

Page	Type of Change	New Text	Former Text	Rationale for Change
	Change	the address set forth above. The PACIA and SUIA need not execute an amended WRIS Data Sharing Agreement to update or change the contact information contained herein. ETA will continue to send all WRIS information of the facsimile transmission.	the address set forth above. The PACIA and SUIA need not execute an amended Data Sharing Agreement to update or change the contact information contained herein. However, NASWA will continue to send all WRIS information and notices to the individuals and the addresses listed above unless they receive a notice of a change in this regard.	
18	Replacement	Under Section XVIII. Effective Date of Agreement Employment and Training Administration By: Title: Date:	National Association of State Workforce Agencies By: Title: Date:	Reflects the change in the WRIS administrative entity/change from NASWA to ETA has a signatory/party to the Agreement