

4. Pursuant to Section 8a(4) of the Commodity Exchange Act (“Act”), 7 U.S.C. § 12a(4), the Commission may revoke the registration of any person if cause exists under Section 8a(3) which would warrant a refusal of registration of that person.

5. Pursuant to Section 8a(3)(D) of the Act, 7 U.S.C. § 12a(3)(D), the Commission may refuse to register any person if it is found that such person pleaded guilty to a felony other than a felony of the type specified in Section 8a(2)(D). Mansell pleaded guilty to a felony other than the type specified in Section 8a(2)(D) and, as a part of his plea agreement, has agreed to the revocation of his commodities floor broker registration.

6. The facts set forth above constitute a valid basis for the Commission to revoke Mansell’s registration.

II.

7. Pursuant to Commission Regulation (“Regulation”) 3.60(a), 17 C.F.R. § 3.60(a) (2011), Mansell is hereby notified that a public proceeding shall be conducted in accordance with the provisions of Regulation 3.60, 17 C.F.R. § 3.60 (2011), and Part 10 of the Regulations, 17 C.F.R. § 10.1 *et seq.* on the following questions:

- i. Whether Mansell is subject to statutory disqualification from registration under Section 8a(4) of the Act as set forth in Section I above.
- ii. If the answer to the above question is affirmative, whether the registration of Mansell as a floor broker should be revoked.

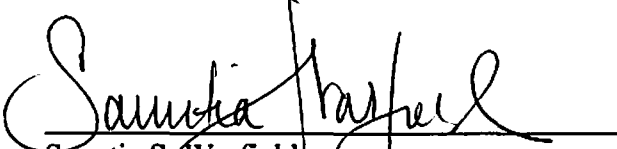
8. Such proceeding shall be held before an Administrative Law Judge or a Presiding Officer in accordance with Regulations 3.60, and 10.8, 17 C.F.R. § 3.60, 10.8 (2011), and all post-hearing procedures shall be conducted pursuant to Regulations 3.60(i)-(j), 17 C.F.R. § 3.60(i)-(j) (2011).

9. In accordance with the provisions of Regulation 3.60(a)(3), 17 C.F.R. § 3.60(a)(3) (2011), Mansell is entitled to file a response challenging the evidentiary bases of the statutory disqualification or show cause why, notwithstanding the accuracy of the allegations, his registration should not be revoked. Such response must be filed with the Proceedings Clerk, Office of Proceedings, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street, N.W., Washington, D.C. 20581, and served upon Carlin Metzger, Senior Trial Attorney, Division of Enforcement, Chicago Regional Office, 525 W. Monroe, Chicago, Illinois 60661, within thirty (30) days after the date of service of this Notice upon Mansell, in accordance with the provisions of Regulation 3.60(b), 17 C.F.R. § 3.60(b) (2011). If Mansell fails to file a timely response to this Notice, the allegations set forth herein shall be deemed to be true, and the Administrative Law Judge or the Presiding Officer may issue an Order of Default in accordance with the provisions of Regulation 3.60(g), 17 C.F.R. § 3.60(g) (2011).

III.

10. The Proceedings Clerk shall serve this Notice of Intent to Revoke the Registrations of Robert Mansell by registered or certified mail pursuant to Regulation 3.50, 17 C.F.R. § 3.50 (2011).

By the Commission.


Sauntia S. Warfield
Assistant Secretary of the Commission
Commodity Futures Trading Commission

Dated: April 13, 2012