

OTHER AGENCY OVERSIGHT

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SECTION

6

INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations have met quarterly to exchange details about current and planned audits, to identify opportunities for collaboration, and to minimize redundancies.

In light of the continuing scale-down of efforts in Iraq, the IIGC is transitioning its work under the umbrella of the Department of Defense Office of Inspector General (DoD OIG) Southwest Asia Joint Planning Group. As such, the quarterly IIGC meetings will no longer take place. SIGIR plans to continue close cooperation with the entire oversight community engaged in Iraq oversight and anticipates the creation of a sub-group within the Joint Planning Group that will ensure continued focus on the Iraq mission. In February 2011, the Joint Planning Group held its sixteenth meeting.

The Southwest Asia Joint Planning Group issued the FY 2011 update to the Comprehensive Oversight Plan for Southwest Asia and Surrounding Areas on March 31, 2011. The FY 2011 plan is organized by subcategories under functional areas to articulate the commonalities of the oversight community efforts. This oversight includes projects that directly impact efforts in Southwest Asia and surrounding areas. The oversight projects may be conducted exclusively in theater, require travel into theater, or be conducted outside the theater, such as solely in the continental United States.

In addition to the audits of contracts, subcontracts, and task and delivery orders for logistical support being conducted, this Comprehensive Plan addresses other oversight areas, including asset accountability, financial management, security, human rights, rule of law, and economic and social

development. Participating oversight agencies will continue to coordinate audit plans through working groups and councils.

Each quarter, SIGIR requests updates from member organizations on their completed, ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by the following organizations:

- DoD OIG
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- U.S. Army Audit Agency (USAAA)
- U.S. Agency for International Development Office of Inspector General (USAID OIG)

For Defense Contract Audit Agency (DCAA) updates, see Appendix G.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2011. SIGIR no longer reports on these agencies in this section.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (P.L. 110-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts, subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Southwest Asia Joint Planning Group, which has facilitated the production of the Comprehensive Oversight Plan for Southwest Asia. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region. ♦

OTHER AGENCY OVERSIGHT REPORTS

This section updates the audits that IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 6.1.
- For ongoing oversight activities of other U.S. agencies, see Table 6.2.
- For more information on other agency oversight activities, including report summaries, see Appendix F.
- For a complete historical list of oversight reports and reviews on Iraq reconstruction by all entities, see Appendix G. ♦

TABLE 6.1

RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 3/31/2011

AGENCY	REPORT NUMBER	REPORT DATE	REPORT TITLE
DoD	SPO-2011-005	3/31/2011	Assessment of Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq
DoD	SPO-2011-004	3/17/2011	Assessment of DoD Wounded Warrior Matters – Fort Sam Houston
DoD	D-2011-049	3/15/2011	Competition Issues and Inherently Governmental Functions Performed by Contractor Employees on Contracts to Supply Fuel to U.S. Troops in Iraq
DoD	D-2011-043	2/22/2011	Improvements Needed on the Fleet and Industrial Supply Center, Sigonella, Ship Maintenance Contracts in Southwest Asia
DoD	D-2011-037	2/9/2011	Marine Corps Response to Nonlethal Laser Dazzler Urgent Request
DoD	D-2011-036	2/3/2011	Competition Should Be Used for Instructor Services for the Mine Resistant Ambush Protected Vehicles
DoD	SPO-2011-002	1/18/2011	Evaluation of DoD Contracts Regarding Combating Trafficking in Persons: U.S. Central Command
DoD	D-2011-033	1/12/2011	DoD Needs to Improve the Management and Oversight of Operations at the Defense Reutilization and Marketing Office-Camp Arifjan, Kuwait
DoD	D-2011-032	1/7/2011	Logistics Civil Augmentation Program Support Contract Needs to Comply With Acquisition Rules
DoD	D-2011-030	1/3/2011	Ballistic Testing and Product Quality Surveillance for the Interceptor Body Armor – Vest Components Need Improvement
GAO	GAO-11-419T	3/3/2011	Foreign Operations: Key Issues for Congressional Oversight
GAO	GAO-11-417T	3/1/2011	Warfighter Support: DoD Should Have a More Comprehensive Approach for Addressing Urgent Warfighter Needs
GAO	GAO-11-273	3/1/2011	Warfighter Support: DoD's Urgent Needs Processes Need a More Comprehensive Approach and Evaluation for Potential Consolidation
GAO	GAO-11-163	2/10/2011	Military Personnel: DoD Addressed Challenges in Iraq and Afghanistan But Opportunities Exist to Enhance the Planning Process for Army Medical Personnel Requirements
USAAA	A-2011-0067-ALL	2/22/2011	Controls Over Vendor Payment Phase II–Afghanistan
USAAA	A-2011-0058-ALM	2/16/2011	Follow-up Audit of M88A1 Recovery Vehicle FY 09 Reset Maintenance Requirements
USAAA	A-2011-0063-ALL	2/14/2011	Redistribution Property Assistance Teams, United States Forces-Iraq
USAAA	A-2011-0056-ALL	2/1/2011	Forward Operating Base Closures—Property Transfers to Government of Iraq
USAAA	A-2011-0054-ALM	2/1/2011	Contracts for Maintenance Support TACOM Life Cycle Management

OTHER AGENCY OVERSIGHT

TABLE 6.2

ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 3/31/2011

AGENCY	PROJECT NUMBER	DATE INITIATED	REPORT TITLE
DoD	D2011-D005PO-0203.000	3/25/2011	Assessment of the DoD Establishment of the Office of Security Cooperation-Iraq
DoD	D2011-D000AB-0156.000	3/11/2011	DoD Countermine and Improvised Explosive Device Defeat Systems Contracts-Vehicle Optics Sensor System
DoD	D2011-D000JB-0098.000	12/8/2010	Contracting for Force Protection for U.S. Installations in Iraq
DoD	D2011-D000LF-0041.000	11/2/2010	Follow-up of Health Care Provided by Military Treatment Facilities to Contractors in Southwest Asia
DoD	D2011-D000CH-0032.000	10/19/2010	Contractor Logistics Support Contract for Stryker Vehicles with General Dynamics Land Systems
DoD	D2010-D000LD-0264.000	8/30/2010	Controls and Processes Pertaining to the Defense Logistics Agency's Procurement Automated Contract Evaluation System
DoD	D2010-D000AS-0266.000	8/9/2010	Army Warfighter Field Operations Customer Support Contract
DoD	D2010-D000JA-0241.000	7/21/2010	Special Operations Forces Plans for the Drawdown and Reset of Property in Iraq
DoD	D2010-D000JB-0219.000	5/7/2010	Drawdown and Reset of Equipment in Iraq-Supply Support Activity and Central Receiving and Shipping Point Operations in Iraq
DoD	D2010-D000JB-0211.000	5/6/2010	Realignment of Contractor Support in Response to the Drawdown of U.S. Forces from Iraq
DoD	D2010-D005PO-0209.000	4/16/2010	Assessment of DoD Wounded Warrior Matters
DoD	D2010-D000AE-0139.000	1/29/2010	DoD Countermine and Improvised Explosive Device Defeat Systems Interrogation Arm
DoD	D2010-D000CH-0077.001	11/18/2009	Material Purchases Made Through the Partnership Agreement with Sikorsky Aircraft Corporation at Corpus Christi Army Depot
DoD	D2010-D000CH-0077.000	11/17/2009	Material Purchases Made Through the Partnership Agreement with the Boeing Company at Corpus Christi Army Depot
DoD	D2009-D000FH-0292.000	9/23/2009	Commercial Vendor Services Compliance With Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia
DoD	D2009-D000JB-0307.000	9/16/2009	Controls Over the Accountability and Disposition of Government Furnished Property in Iraq
DoD	D2009-DIPOE3-0306.000	9/11/2009	Review of Army Response to Sodium Dichromate Exposure at Qarmat Ali, Iraq—Part II
DoD	D2009-D005PO-0287.000	8/5/2009	U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority
DoD	D2009-D000AS-0266.000	7/31/2009	Contracts Supporting Base Operations in Kuwait
DoD	D2009-D000FG-0183.000	4/7/2009	FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting, and Reporting System
DoD	D2009-D000FB-0112.000	1/5/2009	Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan
DoD	D2009-D000CK-0100.000	12/9/2008	Maintenance and Support of the Mine Resistant Ambush Protected Vehicle
DoD	D2008-D000CD-0256.000	8/7/2008	DoD Body Armor Contracts
DoD	D2007-D000FL-0252.000	8/31/2007	Internal Controls and Data Reliability in the Deployable Disbursing System
DoS	11MERO3010	1/1/2011	Program Evaluation of the Department of State's Antiterrorism Assistance Program (ATA) in Iraq
DoS	11MERO3004	12/1/2010	Review of Embassy Baghdad's Operations and Maintenance Contract with PAE
DoS	10MERO3016	7/1/2010	Review of Embassy Baghdad Transition Planning-Phase II (DoD Downsizing)
GAO	120976	Not reported	State Contracting for Conflict Countries
GAO	351552	10/21/2010	Marine Corps Equipping Strategies to Reset Equipment Returning from Iraq and Afghanistan
GAO	351559	10/8/2010	Army's Strategies to Reset Non-Standard Equipment Returning from Iraq
GAO	120874	8/6/2010	Review of Joint Report on Contracting in Iraq and Afghanistan
GAO	351525	8/2/2010	DoD Task Force on Business

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OTHER AGENCY OVERSIGHT REPORTS

AGENCY	PROJECT NUMBER	DATE INITIATED	REPORT TITLE
GAO	351514	6/14/2010	Army Advise and Assist Brigades
GAO	351476	4/1/2010	DoD Iraq Drawdown Planning
GAO	351431	1/7/2010	Army's Equipping Strategies to Reset Equipment Returning from Iraq
GAO	320734	11/1/2009	U.S. Strategy and Joint Campaign Plan for Iraq
GAO	120931	7/1/2009	Close-out of Iraq Contracts
USAAA	A-2011-ALL-0346.000	2Q/FY 2011	Property Accountability in CONUS
USAAA	A-2011-ALL-0342.000	2Q/FY 2011	Commander's Emergency Response Program-Afghanistan
USAAA	A-2011-ALL-0344.000	2Q/FY 2011	Property Accountability in Afghanistan
USAAA	A-2011-ALL-0092.000	2Q/FY 2011	Foreign Excess Personal Property (FEPP) Program—Phase II
USAAA	A-2011-ALL-0135.000	1Q/FY 2011	Micro-Purchases of Field Ordering Officers—Afghanistan
USAAA	A-2011-ALL-0107.000	1Q/FY 2011	The U.S. Equipment Transfer to Iraq (USETTI) Program—Phase II
USAAA	A-2011-ALL-0098.000	1Q/FY 2011	Bulk Fuel Operations in Afghanistan
USAAA	A-2011-ALL-0087.001	1Q/FY 2011	Management Controls Over Payments for Overseas Contingency Operations Transportation—ARCENT
USAAA	A-2011-ALL-0087.000	1Q/FY 2011	Management Controls over Department of Defense Activity Address Codes (DODAAC), U.S. Army Central Command
USAAA	A-2010-ALL-0541.000	4Q/FY 2010	Bulk Fuel Operations in Iraq
USAAA	A-2010-ALL-0480.000	3Q/FY 2010	Agreed-Upon Procedures Attestation for USFOR-A LOGCAP Course of Action – Afghanistan
USAAA	A-2010-ALL-0260.000	3Q/FY 2010	Followup Audit of Retrograde Operations in Southwest Asia – Multi Class Supplies
USAAA	A-2010-ALM-0394.000	3Q/FY 2010	Follow-up Audit of Automatic Reset Induction
USAAA	A-2010-ALL-0258.000	3Q/FY 2010	Followup Audit of Retrograde Operations – Class VII Equipment in Iraq
USAAA	A-2010-ALC-0125.003	3Q/FY 2010	Human Capital Issues—Current Plans (Reachback Capabilities)
USAAA	A-2010-ALL-0421.000	2Q/FY 2010	LOGCAP IV Contract Requirements Determination—Afghanistan
USAAA	A-2010-FFF-0372.000	2Q/FY 2010	Improvised Explosive Device Defeat (IED-D) Home Station Training
USAAA	A-2010-ALL-0312.000	2Q/FY 2010	U.S. Equipment Transferred to Iraq
USAAA	A-2010-ALL-0311.001	2Q/FY 2010	Disposal of Army Equipment and Materials Into Dump Sites in Iraq
USAAA	A-2009-ALL-0593.000	4Q/FY 2009	Controls over Shipping Container Accountability and Visibility—Iraq
USAAA	A-2009-ALL-0571.000	4Q/FY 2009	Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq
USAAA	A-2009-ALL-0118.000	2Q/FY 2009	Controls over Vendor Payments – Southwest Asia (Phase II)
USAAA	A-2009-ALC-0093.000	1Q/FY 2009	Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out
USAID	Not reported	2Q/FY 2011	Audit of USAID/Iraq's Elections Support Program
USAID	Not reported	4Q/FY 2010	Information Survey of USAID/Iraq's Active Awards and Subawards During Fiscal Year 2010
USAID	Not reported	3Q/FY 2010	Audit of Audit of USAID/Iraq's Microfinance Activities Under its Provincial Economic Growth Program
USAID	Not reported	2Q/FY 2010	Audit of USAID/Iraq's Community Action Program III Activities
USAID	Not reported	1Q/FY 2010	Audit of USAID/Iraq's Agribusiness Program

OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 6.3. ♦

TABLE 6.3
STATUS OF INVESTIGATIVE ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 3/31/2011

AGENCY	INVESTIGATORS IN IRAQ	INVESTIGATORS IN KUWAIT	OPEN/ONGOING CASES*
U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit	2	2	104
Defense Criminal Investigative Service	4	2	163
DoS OIG	2	0	18
FBI	4	1	71
NCIS	0	1	2
U.S. Air Force, Office of Special Investigations	2	0	6
USAID	1	0	13
Total	15	6	377

* Numbers include pending cases worked with other agencies within the Joint Operations Center.