# SEC NEWS DIGEST

Issue 97-226 November 24, 1997

#### ENFORCEMENT PROCEEDINGS

COMMISSION DISMISSES APPEAL OF JJFN SERVICES, INC. FROM DENIAL OF NASDAQ INCLUSION

On November 21, the Commission has dismissed the appeal of JJFN Services, Inc. (JJFN) from the decision of the National Association of Securities Dealers, Inc. (NASD) denying JJFN's application for inclusion of its securities in the Nasdaq SmallCap Market.

JJFN is a Delaware corporation organized in 1995 and engaged in the purchase and leaseback of model homes. David Miller, a control person of JJFN, pleaded guilty in 1992 to three felony tax fraud charges. The NASD denied JJFN's application for inclusion in Nasdaq on the grounds that JJFN is "dependent on" Miller, that he is "essential" to JJFN, and that Miller's conviction for tax fraud indicates a propensity to engage in conduct detrimental to public investors.

The Commission found that a factual basis exists to deny JJFN's application for inclusion of its securities in the Nasdaq SmallCap Market; that the NASD acted with respect to JJFN in accordance with its rules; that the NASD's rules are, and were applied in a manner, consistent with the purposes of the securities laws; and that the NASD's denial of JJFN's application for inclusion did not impose an unnecessary or inappropriate burden on competition. In doing so, the Commission found that Miller's tax fraud conviction legitimately may be considered as evidence of a propensity for future conduct violative of securities laws or regulations. The tax and the securities regulatory schemes both depend on the honor, candor, and integrity of regulated persons to report information truthfully. (Rel. 34-39343; File No. 3-9229)

TEMPORARY RESTRAINING ORDER AND EMERGENCY RELIEF GRANTED IN ACTION INVOLVING SALE OF FICTITIOUS CERTIFICATES OF DEPOSIT AND JAPANESE TREASURY BONDS

The Commission announced today that on November 21 it filed a complaint and obtained emergency relief in an action involving the offer to sell forged and fictitious certificates of deposits (CDs) purportedly issued by an Argentinean bank and treasury bonds purportedly issued by the government of Japan. Judge Charles

Brieant issued a temporary restraining order and other relief against Uniglobe Trading Company Inc. (Uniglobe), a Yonkers, New York entity which claims to assist clients in the transfer of financial instruments and commodities; two of its officers, Clint Holland (Holland) and Margaret Laughrin (Laughrin); Moorgreen Holdings Limited (Moorgreen), a Gibralter company with offices in and a Moorgreen representative, Raymond Nakachian The Commission alleged that since at least October (Nakachian). 1997, the defendants have been engaging in an apparent scheme to defraud U.S. investors through the offer of fraudulent securities in violation of the antifraud provisions of the federal securities laws.

The complaint alleged that the defendants have offered for sale at least two, and possibly as many as sixteen, fraudulent certificates of deposit (CDs) of Banco de la Nacion Argentina with stated principal amounts exceeding [U.S.] \$100 million each. The defendants offered the CDs, due to mature in approximately one year, at substantial discounts from face value, in cash only transactions. The Commission alleged that during the same time period, Uniglobe, Holland and Laughrin also offered for sale approximately 24 treasury bonds purportedly issued by the Japanese government each in dominations of \$500 million yen [approximately U.S. \$4.2 million]. The Commission, citing evidence from the Argentinean bank and Japanese government, alleged that the instruments are fraudulent.

To prevent Uniglobe, Laughrin, Holland, Moorgreen and Nakachian from continuing to offer these and other securities and potentially selling them to investors, the Commission requested the relief granted by Judge Brieant including a temporary restraining order, an order freezing the CDs and bonds, and an order that the defendants tender the instruments to the registry of the Court. Further, the Judge's order requires an accounting from the defendants of all assets, including certificates of deposit, financial instruments or government bonds, held by the defendants or offered by the defendants for sale or other transfer. [SEC v. Uniglobe Trading Company, Inc., et al., 97 Civ. 08659, USDC, SDNY] (LR-15568)

## CIVIL INJUNCTIVE ACTION FILED AGAINST STEVEN STRAUSS

On November 21, the Commission filed a civil injunctive action for fraud against Steven Strauss, a former managing director of Sakura Global Capital, Inc. (Sakura), for his conduct in two 1992 municipal bond transactions underwritten by Stifel, Nicolaus & Company, Inc. (Stifel). In a transaction for the Oklahoma Turnpike Authority in which Sakura provided a forward purchase agreement (forward), the complaint alleges that Sakura, through Strauss, made a \$6.593 million payment to Stifel, and that the payment jeopardized the tax-exempt status of the bonds. However, the complaint alleges that the forward, which Strauss signed on behalf of Sakura, stated that Sakura did not intend to take any actions which would jeopardize the tax-exempt status of the bonds. In a transaction for the Sisters of St. Mary's Health Care Obligated Group, in which Sakura provided a forward, the complaint alleges that despite Strauss' certification

that Sakura would not make any payments, other than certain payments to the issuer, in connection with the forward, Strauss caused Sakura to make a \$100,000 payment to Stifel. The complaint alleges that this payment jeopardized the tax-exempt status of the bonds.

The complaint alleges that by his conduct, Strauss violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks relief including a permanent injunction barring Strauss from future violations of those provisions and the imposition of a civil penalty. [SEC v. Steven Strauss, Civ. Action No. 3:97CV2451, AWT, USDC, D. Ct.] (LR-15569)

## INVESTMENT COMPANY ACT RELEASES

#### BANK AUSTRIA AG AND BANK AUSTRIA MORTGAGE CORP.

A notice has been issued giving interested persons until December 16, 1997 to request a hearing on an application filed by Bank Austria AG (Bank Austria) and Bank Austria Mortgage Corp. (Mortgage Corp.) for an order under Section 6(c) of the Investment Company Act exempting Mortgage Corp. from all provisions of the Act. The order would permit Mortgage Corp., a real estate investment trust, to hold certain real estate related assets of Bank Austria. (Rel. IC-22899 - November 20)

## FIRST AMERICAN INVESTMENT FUNDS, INC., ET AL.

An order has been issued on an application filed by First American Investment Funds, Inc., et al. under Section 17(b) of the Investment Company Act granting relief from Section 17(a). The order permits certain series of three registered open-end investment companies to acquire all of the assets and liabilities of the series of another registered open-end investment company. (Rel. IC-22900 - November 21)

## SELF-REGULATORY ORGANIZATIONS

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-GSCC-97-05) filed by the <u>Government Securities Clearing Corporation</u>. The rule change modifies rules relating to the loss allocation process. (Rel. 34-39340)

#### PROPOSED RULE CHANGE

The <u>Chicago Stock Exchange</u> filed a proposed rule change (SR-CHX-97-28) amending the CHX's clearing the post policy for cabinet securities. Publication of the proposal is expected in the <u>Federal</u>

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-1 ICOS VISION SYSTEMS CORP N V, RESEARCH PARK HAASRODE ZONE 1, ESPERANTOLAAN 9, 3001 HEVERLEE, C9 00000 2,645,000 (\$37,030,000) FOREIGN COMMON STOCK. (FILE 333-7906 NOV. 06) (BR 5 NEW ISSUE)
- F-3 RANK GROUP FINANCE PLC, 6 CONNAUGHT PL, LONDON, X0 250,000,000 (\$250,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-7938 NOV 12) (BR 5 NEW ISSUE)
- S-4 EDUCATION ALTERNATIVES INC/MN, 1300 NORWEST FINANCIAL CENTER, 7900 XERXES AVE S, MINNEAPOLIS, MN 55431 (612) 832-0092 - 3,169,937 (\$10,999,681.39) COMMON STOCK. (FILE 333-40349 - NOV. 17) (BR 7)
- S-4 AFFILIATED COMPUTER SERVICES INC, 2828 N HASKELL AVE, DALLAS, TX 75204 (214) 841-6111 12,250,000 (\$303,187,500) COMMON STOCK (FILE 333-40351 NOV 17) (BR 3)
- S-8 CRESTAR FINANCIAL CORP, 919 E MAIN ST. PO BOX 26665, RICHMOND, VA 23261 (804) 782-5000 150,000 (\$7,218,750) COMMON STOCK (FILE 333-40353 NOV 17) (BR 7)
- S-8 CRESTAR FINANCIAL CORP, 919 E MAIN ST, PO BOX 26665, RICHMOND, VA 23261 (804) 782-5000 25,000 (\$1,203,125) COMMON STOCK. (FILE 333-40355 NOV 17) (BR 7)
- S-4 EQUITY OFFICE PROPERTIES TRUST, TWO NORTH RIVERSIDE PLZ, SUITE 2200, CHICAGO, IL 60606 (312) 466-3300 8,000,000 (\$208,000,000) PREFERRED STOCK (FILE 333-40357 NOV. 17) (BR. 8)
- S-8 THERMO-MIZER ENVIRONMENTAL CORP, 528 ORITAN AVE, RIDGEFIELD, NJ 07657 (201) 941-5805 250,000 (\$221,875) COMMON STOCK. (FILE 333-40359 NOV 17) (BR. 1)
- S-3 NEW CENTURY ENERGIES INC, 1225 17TH ST, DENVER, CO 80202 (303) 571-7511
   9,000,000 (\$380,812,500) COMMON STOCK. (FILE 333-40361 NOV. 17)
  (BR 4)
- S-8 PEP BOYS MANNY MOE & JACK, 3111 W ALLEGHENY AVE, PHILADELPHIA, PA 19132 (215) 229-9000 200,000 (\$5,075,000) COMMON STOCK. (FILE 333-40363 NOV 17) (BR. 2)
- S-8 M & F WORLDWIDE CORP, 35 E 62ND ST, NEW YUORK, NY 10021 (212) 572-8600 - 1,000,000 (\$8,500,000) COMMON STOCK (FILE 333-40365 - NOV 17) (BR 5)
- S-8 GAMMA BIOLOGICALS INC, 3700 MANGUM RD, HOUSTON, TX 77092 (713) 681-8481 100,000 (\$457,500) COMMON STOCK (FILE 333-40367 NOV 17) (BR. 1)
- S-3 RESEARCH FRONTIERS INC, 240 CROSSWAYS PARK DR, WOODBURY, NY 11797 (516) 364-1902 5,000,000 (\$5,000,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-40369 NOV 17) (BR 1)
- 4 NEWS DIGEST, November 24, 1997

- S-8 NEOPATH INC, 8271 154TH AVE NE, REDMOND, WA 98052 (206) 869-7284 150,000 (\$2,653,125) COMMON STOCK (FILE 333-40371 NOV. 17) (BR. 1)
- S-8 CHYRON CORP, 5 HUB DR, MELVILLE, NY 11747 (516) 845-2000 1,333,334 (\$6,833,336 75) COMMON STOCK. (FILE 333-40373 NOV. 17) (BR. 5)
- S-1 FREEPORT MCMORAN SULPHUR INC, 1615 POYDRAS ST, NEW ORLEANS, LA 70112 (504) 582-4000 5,338,513 COMMON STOCK. (FILE 333-40375 NOV 17) (BR 4)
- S-4 IMC GLOBAL INC, 2100 SANDERS RD, NORTHBROOK, IL 60062 (847) 272-9200 22,629,427 (\$837,603,104) COMMON STOCK. (FILE 333-40377 NOV 17) (BR. 4)
- S-8 SECURFONE AMERICA INC, 11835 WEST OLYMPIC BLVD, EAST TOWER STE 705, LOS ANGELES, CA 90064 (310) 208-5589 - 2,000,900 (\$9,959,750) COMMON STOCK. (FILE 333-40379 - NOV. 17) (BR. 5)
- S-3 CC CREDIT CARD CORP, 388 GREENWICH ST, 20TH FL, NEW YORK, NY 10013 (212) 816-6000 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-40381 NOV. 17) (NEW ISSUE)
- S-1 MILLER EXPLORATION CO, 3104 LOGAN VALLEY RD, TRAVERSE CITY, MI 49685 \$51,750,000 COMMON STOCK (FILE 333-40383 NOV 17) (NEW ISSUE)
- S-8 HIGH COUNTRY BANCORP INC, 130 WEST 2ND ST, SALIDA, CO 81201 (719) 539-2516 - 90,055 (\$900,550) COMMON STOCK (FILE 333-40385 - NOV 17) (BR 7)
- S-4 EQUITY OFFICE PROPERTIES TRUST, TWO NORTH RIVERSIDE PLZ, SUITE 2200, CHICAGO, IL 60606 (312) 466-3300 83,968,648 (\$2,500,309,240 90) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-40387 NOV 17) (BR 8)
- S-3 AEROFLEX INC, 35 S SERVICE RD, PLAINVIEW, NY 11803 (516) -75-2-23 3,450,000 (\$32,982,000) COMMON STOCK. (FILE 333-40389 NOV. 17) (BR 6)
- S-3 KUSHNER LOCKE CO, 11601 WILSHIRE BLVD 21ST FLR, LOS ANGELES, CA 95202 (310) 445-1111 666,667 (\$2,833,334 80) COMMON STOCK (FILE 333-40391 NOV 17) (BR 5)
- S-8 DAOU SYSTEMS INC, 5120 SHOREHAM PL, SAN DIEGO, CA 92122 (619) 646-2996 140,300 (\$600,484) COMMON STOCK. (FILE 333-40393 NOV. 17) (BR. 9)
- S-4 TELEBANC FINANCIAL CORP, 1111 N HIGHLAND STREET, ARLINGTON, VA 22201 (703) 247-3700 10,000,000 (\$10,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-40399 NOV 17) (BR 7)
- S-4 EOP OPERATING LTD PARTNERSHIP, TWO NORTH RIVERSIDE PLAZA, CHICAGO, IL 60606 (312) 466-3300 9,758,175 (\$290,566,249.53) COMMON STOCK (FILE 333-40401 NOV. 18)
- S-4 USF&G CORP, 6225 CENTENNIAL WAY, BALTIMORE, MD 21209 (410) 547-3000 \$148,231,250 COMMON STOCK. (FILE 333-40403 NOV 18) (BR 1)
- S-3 AMGEN INC, 1840 DEHAVILLAND DR, THOUSAND OAKS, CA 91320 (085) 447-1000 - \$500,000,000 STRAIGHT BONDS. (FILE 333-40405 - NOV. 18) (BR 1)
- S-4 HERITAGE PROPANE PARTNERS L P, 8801 S YALE AVE, STE 31, TULSA, OK 74137 (918) 492-7272 \$48,750,000 COMMON STOCK (FILE 333-40407 NOV 18) (BR. 2)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.

- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
  Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

	STATE			8 K	ITEM	INO.				
NAME OF ISSUER	CODE		2				9	DATE	co	MENT
AERO SERVICES INTERNATIONAL INC	LA	X						11/14/	97	
APOGEE ENTERPRISES INC	MN				X	x		11/21/	97	
BIOCONTROL TECHNOLOGY INC	PA				X			09/22/	97	
BIOCONTROL TECHNOLOGY INC	PA				X			09/30/	97	
BIOCONTROL TECHNOLOGY INC	PA				X			10/31/	97	
BIOCONTROL TECHNOLOGY INC	PA				X			11/03/	97	
BIOCONTROL TECHNOLOGY INC	PA				X			11/04/	97	
BIOCONTROL TECHNOLOGY INC	PA				X			11/13/	97	
BIOCONTROL TECHNOLOGY INC	PA				Х			11/18/	97	
BLACK HAWK GAMING & DEVELOPMENT CO	CO				X			11/20/	97	
BORLAND INTERNATIONAL INC /DE/	DE				x	х		11/17/	97	
BRE PROPERTIES INC /MD/	MD		x			х		11/18/		
CALLON PETROLEUM CO	DE		х			x		10/31/		AMEND
CAMCO INTERNATIONAL INC	DE				X	х		11/21/	97	
CATALINA MARKETING CORP/DE	DE				х	x		11/20/	97	
CELL GENESYS INC	DE				Х	х		11/14/	97	
CFSB BANCORP INC	DE				Х	x		11/18/		
CHASE COMMERCIAL MORTGAGE SECURITIE	NY				X			11/20/		
S CORP	•••				-			,_,	-	
CLAYTON HOMES INC	TN				x	x		11/21/	97	
COFFEE PEOPLE INC	OR				x	x		11/13/		
COMMUNITY TRUST FINANCIAL SERVICES	GA		х					11/14/		
CORPORATION	On.		••					,,	•	
COMPAO COMPUTER CORP	DE				х	х		11/20/	97	
CONNECT INC	DE				x	x		11/19/		
CULLIGAN WATER TECHNOLOGIES INC	DE				X	x		11/21/		
				2		•		11/07/		
DEAN WITTER REALTY INCOME PARTNERSH IP III LP	DE			•	•			11/0//	,,	
DICTAPHONE CORP /DE	DE				х			11/20/	97	
ESSEX CORPORATION	VA		x		*-			11/21/		AMEND
FFW CORP	DE		-		х	х		09/04/		
FFW CORP	DE				x	x		10/28/		
FIDELITY NATIONAL FINANCIAL INC /DE	DE				x	x		11/20/		
/ NATIONAL FINANCIAL INC / DE	DE							11,20,		
FINANCIAL ASSET SECURITIZATION INC	VA				Х			09/01/	97	
FIRST PLACE FINANCIAL CORP	NM				X	X		11/19/	97	
FLAGSTAR COMPANIES INC	DE			X				11/12/	97	
FLAGSTAR CORP	DE			X				11/12/	97	
FOCUS SURGERY INC	CA				X			11/13/	97	
GENERAL MOTORS ACCEPTANCE CORP	NY				X			11/18/	97	
GENEVA STEEL CO	UT				X	х		05/19/	97	
GENTLE DENTAL SERVICE CORP	WA			X	:	x		11/14/	97	
GEOKINETICS INC	DE					X		07/18/	97 .	AMEND
HEADLANDS MORTGAGE SECURITIES INC	DE				x	x		11/15/	97	

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	STATE			8	K	ITE	M N	Ю.				
NAME OF ISSUER	CODE	1	2			5 (			9	DATE	c	NAMESINT
								~-				
HOME HOLDINGS INC	DE					x	X			11/18/	97	
HOUSTON INDUSTRIES INC	TX					X				09/30/9	97	
ILLINOIS POWER CO	IL	X								11/21/	97	
ILLINOVA CORP	IL	X								11/21/	97	
IMC HOME EQUITY LOAN OWNER TRUST 19						X	X			10/20/	97	
97-4												
IMC HOME EQUITY LOAN TRUST 1997-1						X	X			10/27/	97	
IMC HOME EQUITY LOAN TRUST 1997-2	NY					X	X			10/20/	97	
IMC HOME EQUITY LOAN TRUST 1997-3	NY					X	X			10/20/	97	
IMC HOME EQUITY LOAN TRUST 1997-5						X	Х			10/20/	97	
IMC SECURITIES INC	DE					X	X			11/19/9	97	
IMC SECURITIES INC	DE						X	•		11/21/9	97	
INGERSOLL RAND CO	NJ					X				11/21/9	97	
INKINE PHARMACEUTICAL CO INC	NY	X								11/06/9	97	
JACOR COMMUNICATIONS INC	DE					X	Х			11/21/9		
JITNEY JUNGLE STORES OF AMERICA INC	MI						X			09/12/9	97	AMEND
/MI/												
JMB INCOME PROPERTIES LTD IX	IL					X		X		11/07/9		
KILROY REALTY CORP	MD		X				Х			11/07/9		
LAIDLAW ENVIRONMENTAL SERVICES INC	DE					X				11/21/9		
LEE ENTERPRISES INC	DE						Х			06/30/9		
LEXINGTON CORPORATE PROPERTIES INC	MD						X			09/04/9		AMEND
LIGHTBRIDGE INC	DE		Х			X	X			11/07/9		
LOCKHEED MARTIN CORP	MD					X	Х			07/02/9		
LUNDGREN BROS CONSTRUCTION INC	MN				X					11/13/9		
LUNDGREN BROS CONSTRUCTION INC	MN				Х					11/13/9		AMEND
MCDONALD & CO INVESTMENTS INC	DE					X				11/18/9		
MCLEODUSA INC	DE	.,					Х			09/24/9		AMEND
MEDPLUS INC /OH/	OH	Х				••	٠,			11/21/9		
MERCANTILE CREDIT CARD MASTER TRUST	NY					X	X			11/12/9		
MERIDIAN POINT REALTY TRUST VIII CO	MO					Х	Х			11/14/9	9 /	
/MO	D.B.	v								22/14/5	. ~	
MICROLEAGUE MULTIMEDIA INC MILESTONE PROPERTIES INC	PA	X					х			11/14/9		
NEWCARE HEALTH CORP	DE NV	Λ	x				X			09/16/9		
NOODLE KIDOODLE INC	NY	х	^				^			11/21/9		
NORWEST ASSET SECURITIES CORP MORT	NY	-11				x	х			10/27/9		
PASS THRO CERT SER 1997-8	111					**				10/2//	,	
OAKWOOD MORTGAGE INVESTORS INC	NC					х				11/20/9	7	
OCWEN FINANCIAL CORP	FL		x							11/21/9		AMEND
OKLAHOMA GAS & ELECTRIC CO	OK		^			х				11/21/9		12.13(15
ONCORMED INC	DE					x				11/17/9		
P-COM INC	DE					•			x	11/10/9		
PACIFIC GULF PROPERTIES INC	MD					x	x		-	11/17/9		
PAN PACIFIC RETAIL PROPERTIES INC	MD						x			09/09/9		AMEND
PHOTO CONTROL CORP	MN		х				**			11/14/9		
PMI GROUP INC	DE					x				11/19/9		
PREMIER BANCORP INC /PA/	PA		x			X	х			11/17/9		
PRENTISS PROPERTIES TRUST/MD	MD		-			x	Х			11/18/9		
PROFIT RECOVERY GROUP INTERNATIONAL	GA						X			10/07/9		AMEND
INC												
QUADRAMED CORP	DE		x				х			11/09/9	7	
QUEENS COUNTY BANCORP INC	DE					x	Х			11/20/9		
READING & BATES CORP	DE						X			11/21/9		
REALTY INCOME CORP	DE			x						09/30/9		
REPUBLIC BANCORP INC /KY/	KY		x	**						12/31/9		
RESIDENTIAL ASSET SECURITIES CORP	DE		••			x	х			11/20/9		
RETIX	CA					x	x			11/07/9		
RYAN BECK & CO INC	NJ	х								11/21/9		
SAFETY KLEEN CORP	WI					х	х			11/20/9		
SAFETY 1ST INC	MA							x		11/11/9		
211 101 INC	F-M-3									,		

	STATE		8K	111	<b>34</b> 1	NO.			
NAME OF ISSUER	CODE	1 2	3 4	5	6	7 8	9	DATE	COMMENT
SALOMON INC	DE	~		x		 K		09/24/	97
SANMINA CORP/DE	DE	x			:	ĸ		11/06/	97
SEARCH FINANCIAL SERVICES INC	DE			X				11/14/	97
SIMON DEBARTOLO GROUP INC	MD			X	:	X		11/20/	97
SOUTHERN PACIFIC SEC AS CORP MTG LN AS BK PA TH CE SR 1997-2	NY			X	:	X		10/27/	97
SOUTHERN PACIFIC SECURED ASSETS COR	CA			x	:	X		11/21/	97
SOUTHWESTERN BELL TELEPHONE CO	MO				:	X		11/21/	97
SPYGLASS INC	DE	х				X		11/14/	97
STAFFMARK INC	DE	X			:	X		11/10/	97
STORAGE USA INC	TN	X			:	K		11/21/	97
SUSA PARTNERSHIP LP	TN	X			:	K		11/21/	97
SYSTEMS COMMUNICATIONS INC	FL	x						11/14/	97
TEXAS UTILITIES CO /TX/	TX			X				11/21/	97
THERMO POWER CORP	MA	X						11/06/	97
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE				:	ĸ		11/17/	97
TRANSAMERICA CONSUMER MORTGAGE RECE IVABLES CORP	DE				:	K		11/19/	97
UNION PLANTERS CORP	TN			X	:	K.		11/17/	97
VINCAM GROUP INC	FL			х				11/21/	97
VISIGENIC SOFTWARE INC	DE			Х	3	K		11/17/	97
WASHINGTON REAL ESTATE INVESTMENT T	MD	X			7	K		11/12/	97
WHITE RIVER CORP	DE			х				11/07/	97
WHITEWING LABS INC	CA		х					11/04/	97
WHITEWING LABS INC	CA		х					11/04/	97 AMEND
WHITEWING LABS INC	CA		Х					11/11/	97 AMEND
WILLAMETTE INDUSTRIES INC	OR			X				11/17/	97
WISER OIL CO	DE			x	2	ζ.		11/12/	97
WORKFORCE SYSTEMS CORP /FL/	FL				2	ζ.		08/12/	97 AMEND
YOU BET INTERNATIONAL INC	DE		X		2	ζ.		11/17/	97 AMEND
ZILA INC	DE	X			2	ζ.		11/05/	97

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