

# sec news digest

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May 25, 1976

## DECISIONS IN ADMINISTRATIVE PROCEEDINGS

## U.S. SECURITIES AND EXCHANGE COMMISSION

### ROBERT E. ANTON SUSPENDED

The Commission has suspended Robert E. Anton, formerly a portfolio manager with Financial Programs, Inc., an investment adviser with offices at Denver, Colorado, from association with a broker, dealer, investment adviser and investment company for sixty calendar days, except that during the initial thirty days of said suspension he may consult, on an offsite basis, with Hamilton Management Corporation, his current employer, with respect to certain limited matters. During the latter thirty days of said suspension, Anton may be associated in his present capacity with Hamilton; however, he is to remit all compensation from Hamilton during that period to Financial Dynamics Fund, Inc. The sanctions are based on findings that Anton violated certain provisions of the securities laws in transactions in securities named in the order for proceedings. The Commission's action was taken pursuant to an offer of settlement of Anton in which Anton, without admitting or denying the charges against him, consented to the above findings and sanctions. (Rel. 34-12424)

### GENERAL DRILLING & MINING INTERESTS, INC. APPLICATION DENIED

The Commission has denied General Drilling & Mining Interests Inc.'s application for registration as a broker-dealer. The denial was entered pursuant to the firm's offer of settlement which neither admitted nor denied the allegations of the order for proceedings. On the basis of the order and the offer of settlement, the Commission found that the applicant made false and misleading statements in its application and that persons associated with applicant have wilfully violated securities laws and that a person associated with applicant has been convicted of a crime involving embezzlement and fraudulent concealment and the Commission further found that if the firm were registered as a broker-dealer its registration would be subject to suspension or revocation. (Rel. 34-12446)

### WILLIAM DIX SUSPENDED AND BARRED

Pursuant to an offer of settlement submitted by William Dix, New York, New York, the Commission has suspended Dix from association with any broker-dealer for 10 days and as an officer for a period of 6 months and barred Dix from association in a supervisory or proprietary capacity with the provision that he may apply to become associated in a supervisory or proprietary capacity after one year. The offer of settlement was made without admitting or denying the allegations in the Commission's order for proceedings. The sanctions were based on findings pursuant to said offer of settlement that Dix wilfully violated and wilfully aided and abetted violations of the antifraud provisions of the securities laws. (Rel. 34-12448)

### SPINDLETOP SECURITIES, INC. APPLICATION DENIED

The Commission has denied Spindletop Securities, Inc.'s application for registration as a broker-dealer. The denial was entered pursuant to the firm's offer of settlement which neither admitted nor denied the allegations of the order for proceedings. On the basis of the order and the offer of settlement the Commission found that if the firm were registered as a broker-dealer its registration would be subject to suspension or revocation. (Rel. 34-12449)

## COMMISSION ANNOUNCEMENTS

### M. J. COEN BARRED

Administrative Law Judge Jerome K. Soffer has issued an initial decision barring M. J. Coen, of Kansas City, Missouri, from association with any broker or dealer. Coen was formerly controlling stockholder and president of First California Company, of

San Francisco, California, a registered broker-dealer whose registration had previously been revoked in the same proceeding on consent to a finding that it had wilfully violated the securities laws, without either admitting or denying the charges.

The sanction imposed upon Coen in the public interest was based, among other things, upon findings that he had been permanently enjoined from violations of the securities laws, that he wilfully violated the registration requirements of the Securities Act of 1933 in the offer and sale of common stock of Westgate-California Corporation, and that he aided and abetted violations of the financial reporting requirements of the Securities Exchange Act of 1934 in the filing of false 10-K reports by Westgate-California Corporation. Coen was also found to have violated the antifraud provisions of the Securities Acts in connection with the offer and sale of \$4.2 million of the subordinated capital notes of United States National Bank, of San Diego, California, for his failure to disclose the full circumstances relating to the public practices of United States National Bank, particularly a scheme to inflate its earnings and to make loans of questionable value involving millions of dollars, and that C. Arnhold Smith, president of the bank, was the actual seller of the securities. The decision is subject to review by the Commission on its own motion or on petition of a party.

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## HOLDING COMPANY ACT RELEASES

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### NATIONAL PROPANE CORPORATION

A notice has been issued giving interested persons until June 25 to request a hearing for approval of the acquisition of all the stock of Athol Gas Company. National Propane also applies for an exemption under Section 3(a)(3) of the Act. (Rel. 35-19542 - May 21)

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## MISCELLANEOUS

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### PEERLESS WEIGHING AND VENDING CORPORATION

An order has been issued granting the application of Peerless Weighing and Vending Corporation (the company) pursuant to Section 12(h) of the Securities Exchange Act of 1934 for a conditional exemption from the provisions of Sections 13 and 14 of the Act. The principal shareholders of the company own 86.7% of the outstanding common stock and 95% of the common shareholders own 20 shares or less. During the past eleven years, an annual average of 41% of the sets of documents mailed to shareholders by the company in compliance with the Act have been returned by the post office as undeliverable. Approximately 45% of the stockholders failed to receive materials sent in connection with the company's 1975 annual meeting because such shareholders were not traceable by the post office. No public market currently exists for the securities of the company. The order was granted subject to certain conditions including the annual preparation of an Annual Report to Security Holders (the Report) containing the substantive information required by Rule 14a-3(b) promulgated under the Act and any information which would be required by Items 13 and 15 of Form 10-K; the filing with the Commission of the Report within 90 days after the end of the company's fiscal year; the distribution of the Report by the company to all of its recordholders and beneficial owners except those whose material has been returned as undeliverable; and the reservation of jurisdiction by the Commission to reconsider its decision concerning the amended application depending on a material change in the facts and/or circumstances as set forth in the company's original application dated November 11, 1975. (Rel. 34-12477)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the New York Stock Exchange, Inc. The rule change (SR-NYSE-76-23) amends Rules 495B.10 and 499.20 to provide that the fixed listing and delisting criteria for market value of publicly held shares shall be subject to a semi-annual adjustment downward from existing levels by the percentage amount that the NYSE Composite Index fluctuates below a base figure of 55.06 (its level on July 15, 1971). In addition, the Commission released a letter from Chairman Hills to NYSE Chairman Batten commenting upon the approved rule change and upon plans to review the "New York City Rules" of the New York and American Stock Exchanges. (Rel. 34-12450)

## SECURITIES ACT REGISTRATIONS

### (S-7) GENERAL MOTORS ACCEPTANCE CORPORATION

767 Fifth Ave., New York, N.Y. 10022 - \$100 million of notes, due 1986, and \$200 million of debentures, due 2001, to be offered for sale through underwriters headed by Morgan Stanley & Co. Inc., 1251 Avenue of the Americas, New York, N.Y. 10020. The company provides wholesale and retail financing facilities for dealers in General Motors products. (File 2-56383 - May 18)

### (S-9) NEW YORK TELEPHONE COMPANY

1095 Avenue of the Americas, New York, N.Y. 10036 - \$250 million of forty year debentures, due 2016, to be offered for sale through underwriters headed by The First Boston Corp., E. F. Hutton & Company Inc., Lehman Brothers Inc., Merrill Lynch, Pierce, Fenner & Smith Inc., and Salomon Brothers. The company furnishes communications services, mainly local and toll telephone service. (File 2-56406 - May 20)

### (S-6) THE CORPORATE INCOME FUND, THIRTY SECOND MONTHLY PAYMENT SERIES

\$20 million of units of beneficial interest, to be offered for sale through underwriters headed by Merrill Lynch, Pierce, Fenner & Smith Inc., 125 High St., Boston, Mass. 02110. The Fund, a unit investment trust, was created by a trust agreement among Merrill Lynch, Bache Halsey Stuart Inc. and Reynolds Securities Inc., as sponsors, The Bank of New York and Shawmut Bank of Boston, N.A., as trustees, and Interactive Data Services, Inc., as evaluator. The Fund's primary objective is providing a high level of current income through investment in a fixed portfolio of long-term debt obligations, issued primarily by corporations. (File 2-56412 - May 20)

### (S-B) PROVINCE OF QUEBEC

Canada - \$100 million of debentures, due 2001, to be offered for sale through several underwriters represented by The First Boston Corp., 20 Exchange Pl., New York, N.Y.; Salomon Brothers, One New York Plaza, New York, N.Y.; A. E. Ames & Co. Inc., Two Wall St., New York, N.Y.; Bache Halsey Stuart Inc., 100 Gold St., New York, N.Y.; and Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, New York, N.Y. (File 2-56420 - May 21)

### (S-7) THE BROOKLYN UNION GAS COMPANY

195 Montague St., Brooklyn, New York 11201 - 650,000 shares of common stock, to be offered for sale through underwriters managed by Merrill Lynch, Pierce, Fenner & Smith Inc., 1 Liberty Plaza, 165 Broadway, New York, N.Y. 10006 and White, Weld & Co. Inc., 1 Liberty Plaza, 91 Liberty St., New York, N.Y. 10006. The principal business of the company is the distribution of natural gas at retail. (File 2-56422 - May 21)

In a separate statement the company seeks registration of \$25 million of first mortgage bonds, due 1996, to be offered for sale through underwriters managed by the same underwriters named above. (File 2-56423 - May 21)

### (S-7) THE MOHAWK RUBBER COMPANY

50 Executive Pkwy., Hudson, Ohio 44236 - 300,000 shares of common stock, to be offered for sale by underwriters managed by Warburg Paribas Becker Inc., 55 Water St., New York, N.Y. 10041. The Mohawk Rubber Company and its subsidiaries manufacture and sell rubber products, principally tires, tread rubber, repair materials and industrial rubber products and rubber footwear. (File 2-56424 - May 21)

### (S-7) A-T-O INC.

4420 Sherwin Rd., Willoughby, Ohio 44094 - \$20 million of senior sinking fund debentures, to be offered for sale in \$1,000 denominations and integral multiples thereof through underwriters headed by E. F. Hutton & Company, Inc., One Battery Park Plaza, New York, N.Y. 10004. A-T-O Inc. is a diversified producer of fire protection and safety products and systems and a manufacturer of recreational and proprietary industrial products. (File 2-56425 - May 21)

### (S-7) GEORGIA POWER COMPANY

270 Peachtree St., N.W., Atlanta, Ga. 30303 - \$50 million of first mortgage bonds, to be offered for sale by the company at competitive bidding. The company is an electric utility company. (File 2-56429 - May 21)

In a separate statement the company seeks registration of 2,000,000 shares of Class A preferred stock, cumulative, to be offered for sale by the company at competitive bidding. (File 2-56431 - May 21)

(S-6) THE CORPORATE BOND TRUST, SERIES 9

c/o Paine, Webber, Jackson & Curtis Inc., 140 Broadway, New York, N.Y. 10005 and Hornblower & Weeks-Hemphill, Noyes Inc., 8 Hanover St., New York, N.Y. 10005 - \$5 million of units. The Trust will be created under a trust indenture among Paine, Webber, Jackson & Curtis Inc. and Hornblower & Weeks-Hemphill, Noyes Inc., as sponsors, United States Trust Company of New York, as trustee, and Interactive Data Services Inc., as evaluator. The Trust will consist of corporate debt securities rated at the time of deposit within the highest four grades assigned by Moody's Investors Service, Inc., Standard & Poor's Corp. or Fitch Investors Service. (File 2-56432 - May 21)

(S-6) THE MUNICIPAL BOND FUND SERIES 31

c/o Paine, Webber, Jackson & Curtis Inc., 140 Broadway, New York, N.Y. 10005 and Hornblower & Weeks-Hemphill, Noyes Inc., 8 Hanover St., New York, N.Y. 10005 - 5,000 units. The Trust will be created under a trust indenture among Paine, Webber Jackson & Curtis Inc. and Hornblower & Weeks-Hemphill, Noyes, Inc., as sponsors, United States Trust Company of New York, as trustee, and Standard & Poor's Corporation, as evaluator. The Trust will consist of interest bearing obligations issued by or on behalf of states, counties, municipalities and territorial possessions of the United States and authorities, agencies and other political subdivisions thereof, the interest on which is, in the opinion of counsel to the issuers of such obligations, exempt from Federal income tax. (File 2-56433 - May 21)

(S-1) NORTHWESTERN PUBLIC SERVICE COMPANY

Northwestern National Bank Bldg., Huron, South Dakota, 57350 - 250,000 shares of common stock, to be offered for sale through underwriters headed by Merrill Lynch, Pierce Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10006, after such time as the Federal Power Commission has issued its orders permitting the sale of such shares without compliance with the competitive bidding requirements of the Federal Power Act and authorizing the issuance of such shares under the Federal Power Act. The company is an electric and gas utility. (File 2-56436 - May 21)

STOCK PLANS FILED

The following have filed Form S-8 registration statements with the SEC seeking registration of securities to be offered pursuant to employee stock and related plans:

Gabriel Industries, Inc., New York, N.Y. (File 2-56414 - May 20) - 114,660 shares  
Storage Technology Corp., Louisville, Colo. (File 2-56418 - May 20) - \$80,000 of common stock  
Colonial Stores Inc., East Point, Ga. (File 2-56419 - May 20) - 100,000 shares  
Funding Systems Corp., Pittsburgh, Pa. (File 2-56421 - May 21) - 30,000 shares  
Leigh Products, Inc., Grand Rapids, Mich. (File 2-56426 - May 21) - 125,000 shares  
Florida Gas Company, Winter Park, Fla. (File 2-56427 - May 21) - 28,125 shares  
The Washington Group, Inc., Winston-Salem, N.C. (File 2-56428 - May 21) - 63,474 shares  
Arvida Corp., Miami, Fla. (File 2-56430 - May 21) - 250,000 shares  
Augat Inc., Attleboro, Mass. (File 2-56435 - May 21) - 125,000 shares

REGISTRATIONS EFFECTIVE

May 6: Farinon Electric, 2-55781.  
May 7: The Continental Group Inc., 2-55961; Vulcan Inc., 2-56051.  
May 10: Federal Life Variable Account A, 2-53626; Florida Power Corp., 2-55703; Foodways National Inc., 2-56125; Genrad Inc., 2-55751; Harman International Industries Inc., 2-55788 & 2-55779; Memorex Corp., 2-55982; Survival Technology Inc., 2-52543; Wisconsin Power & Light Co., 2-56036.  
May 11: Champion International Corp., 2-56049; The Cleveland Electric Illuminating Co., 2-56039; KDI Corp., 2-55989; Mayflower Corp., 2-55880; Mobil Corp., 2-56065; National Ann Arbor Corp., 2-55253; Southern Union Gas Co., 2-55922.  
May 12: Ametek Inc., 2-56099; Bausch & Lomb Inc., 2-56066; Best Products Co. Inc., 2-56288; The Corporate Income Fund, Seventh Intermediate Term Series, 2-55930; Entenmann's Inc., 2-55970; Federative Republic of Brazil, 2-54891; Ingersoll-Rand Co., 2-56131; Mark Controls Corp., 2-56215; Ocean Drilling & Exploration Co., 2-56101; Utah Bancorp., 2-55811.  
May 13: City Investing Co., 2-56192; The Corporate Bond Trust, Intermediate Term Series 1, 2-55343; F. W. Woolworth Co., 2-56224; Fotomat Corp., 2-55916; Koracorp Industries Inc., 2-56181; Life Investors Inc., 2-55839; Longs Drug Stores Inc., 2-56127; Northwest Ohio Bancshares Inc., 2-56129.

REGISTRATIONS EFFECTIVE CONT.

May 14: Augat Inc., 2-56283; Brown Co., 2-56136; Capital Cities Communications Inc., 2-55881; Eastern Utilities Associates, 2-55990; First Kentucky National Corp., 2-56067; Mobil Corp., 2-56352; Roblin Industries Inc., 2-56180; The Singer Co., 2-56322; Sybron Corp., 2-56081; The Trane Co., 2-56167; Union Electric Co., 2-56062; United Gas Pipe Line Co., 2-56132; Warner Communications Inc., 2-56170.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
MARATHON MANUFACTURING CO	8	04/76
MARINE PROTEIN CORP	2,13	08/74
MARK CONTROLS CORP	11,14	04/76
MARLEY CO	7,8	04/76
MASSACHUSETTS GENERAL LIFE INSURANCE CO	13,14	04/76
MASSMUTUAL MORTGAGE & REALTY INVESTORS	8,14	04/76
MAULE INDUSTRIES INC	6,13	04/76
MCCARTHY CO	13	04/76
MCDERMOTT J RAY & CO INC	7,14	04/76
MCFARLAND ENERGY INC	12,14	04/76
MCKEE INCOME REALTY TRUST	2,7,14	04/76
MECHANICS BUILDING MATERIALS CO INC	3	04/76
MEDFIELD CORP	8	04/76
MEDICAL INVESTMENT CORP	13	04/76
MEMOREX CORP	8,11,14	04/76
MERIDIAN INDUSTRIES INC	6	04/76
MESA PETROLEUM CO	13	04/76
METROPOLITAN INDUSTRIES INC	2,14	04/76
MGIC INVESTMENT CORP	8,11,14	04/76
MIDLAND ENTERPRISES INC	7,8,11,14	04/76
MIDLAND GLASS CO INC	7	04/76
MIDWEST BANCORPORATION OF OHIO INC	12	04/76
MILLIPORE CORP	7,11,14	04/76
MINNESOTA GAS CO	2,3,14	04/76
MINNESOTA POWER & LIGHT CO	7,13,14	04/76
MISSISSIPPI POWER CO	11	04/76
MISSOURI UTILITIES CO	11	04/76
MOBIL OIL CORP	3	04/76
MOHAWK DATA SCIENCES CORP	7,8,13,14	04/76
MONOGRAM INDUSTRIES INC	5,10	04/76
MONSANTO CO	13,14	04/76
MOSTEK CORP	11,14	04/76
MOTION INDUSTRIES INC	11,14	04/76
MOUNTAIN STATES RESOURCES CORP	2,3,6,7	04/76
MOXIE INDUSTRIES INC	4,7,8,13,14	03/76
MOYCO INDUSTRIES INC	12,14	04/76
MUIRFIELD LTD	13	04/76
MULTIVEST REAL ESTATE FUND LTD SERIES 3	3	04/76
MULTIVEST REAL ESTATE FUND LTD SERIES 5	2	04/76

RECENT 8K FILINGS CONT.

MURRAY MORTGAGE INVESTORS	3,11,14	04/76
MUSIC FAIR ENTERPRISES INC	2,14	04/76
NATIONAL ANN ARBOR CORP	8	04/76
NATIONAL APPAREL CORP	6,14	04/76
NATIONAL GYPSUM CO	11,14	04/76
NATIONAL HOMES CORP	8,13	04/76
NATIONAL MEDICAL ENTERPRISES INC NEV	3	04/76
NATIONAL SYSTEMS CORP	3,14	04/76
NELSON L B CORP	13	04/76
NEW ENGLAND ELECTRIC SYSTEM	11,14	04/76
NEW IDRIA INC	7,14	04/76
NEW YORK CONNECTING RAIL ROAD CO	2,3,5,14	04/76
NEW YORK SUSQUEHANNA & WESTERN RAILROAD	13,14	04/76
NEWELL COMPANIES INC	3	04/76
NICOR INC	2,4,7,11,14	04/76
NORFOLK & WESTERN RAILWAY CO	3	04/76
NORTH CENTRAL AIRLINES INC	2,11,14	04/76
NORTHERN CENTRAL RAILWAY CO	2,3,5,14	04/76
NORTHERN TELECOM LTD	11,13	04/76
NUMERAX INC	13,14	04/76
OCCIDENTAL PETROLEUM CORP	14	04/76
OCEAN DRILLING & EXPLORATION CO	4,11,13,14	04/76
OCEANEERING INTERNATIONAL INC	7,9,13,14	04/76
OGDEN CORP	3	04/76
OHIO ART CO	13,14	04/76
OHIO EDISON CO	4,7,11,14	04/76
OHIO SEALY MATTRESS MANUFACTURING CO	3	04/76
OIL SHALE CORP	2,4,7,8,13,14	04/76
OLYMPIA BREWING CO	3	04/76
OMARK INDUSTRIES INC	7,14	04/76
OMEGA EQUITIES CORP	3,14	04/76
ONEIDA LTD	13	04/76
OVERNITE TRANSPORTATION CO	11	04/76
OWENS CORNING FIBERGLAS CORP	3,11,14	04/76
OXFORD FIRST CORP	10,14	04/76
PACER PHENIX CORP	2,14	01/76
PACIFIC COMMODITIES EXCHANGE INC	13	05/76
PACIFIC GAMBLE ROBINSON CO	11	04/76
PACIFIC GAS & ELECTRIC CO	11,14	04/76
PACIFIC GAS TRANSMISSION CO	3,13,14	04/76
PACIFIC LIGHTING SERVICE CO	11	04/76
PACIFIC LUMBER CO	11	04/76
PAMEX FOODS INC	7,8,14	04/76
PAN OCEAN OIL CO	1	04/76
PANHANDLE EASTERN PIPE LINE CO	11,14	04/76
PARKER DRILLING CO	7,14	04/76
PATRICK OIL & GAS CORP 1976 DRILLING PRO	7,13	04/76
PENN CENTRAL CO	9	04/76
PENN CENTRAL TRANSPORTATION CO	2,3,5,14	04/76
PENN TRAFFIC CO	8,10	04/76
PENNSYLVANIA POWER & LIGHT CO	7,13	04/76
PEOPLES BANCSHARES INC	2,14	04/76
PEORIA & EASTERN RAILWAY CO	2,3,5,14	04/76
PERINI CORP	3,13	04/76
PET BAZAAR INC	2,7	03/76
PHILADELPHIA BALTIMORE & WASHINGTON RAIL	2,3,5,14	04/76
PHILADELPHIA NATIONAL CORP	12,14	04/76
PIEDMONT NATURAL GAS CO INC	3,11	04/76
PIONEER CORP	7,11	04/76
PIONEER TEXAS CORP	3,13	04/76
PITTSBURGH FORT WAYNE & CHICAGO RAILWAY	2,3,14	04/76
PNEUMO CORP	11	04/76
PORTEC INC	4,7,8,11,13,14	04/76
POTOMAC EDISON CO	7,11,13,14	04/76
PPG INDUSTRIES INC	3	04/76
PRELUDE CORP	1,7,9,14	04/76
PRIME MOTOR INNS INC	7,14	04/76
PRINCEVILLE CORP	7,11	04/76
PROVIDENT NATIONAL CORP	11,13	04/76
PRUDENTIAL BUILDING MAINTENANCE CORP	3,11	04/76
QUEBECOR INC	2,7,14	02/76
QUINCY MINING CO	13,14	04/76

RECENT 8K FILINGS CONT.

RELIANCE INDUSTRIES INC	3,6,13	04/76
REPUBLIC OF TEXAS CORP	12	04/76
RESEDL INDUSTRIES	13	04/76
REVERE COPPER & BRASS INC	13,14	04/76
REX PRECISION PRODUCTS INC	7	05/76
REXHAM CORP	3	04/76
RICHMOND CORP	13	04/76
RIVERSIDE METAL PRODUCTS CO	13,14	04/76
RT SYSTEMS INC	13,14	04/76
RYDER SYSTEM INC	11,14	04/76
SAFRAM PRINTING CO	1,2,8,11,14	04/76
SAINT LOUIS SOUTHWESTERN RAILWAY CO	3	04/76
SAINT REGIS PAPER CO	11,13,14	04/76
SARGENT INDUSTRIES INC	11,14	04/76
SCHAEFER F & M CORP	14	04/76
SCHERING PLOUGH CORP	3	04/76
SCOVILL MANUFACTURING CO	2	04/76
SEARS INDUSTRIES INC	11,14	04/76
SECURITY OF AMERICA LIFE INSURANCE CO	11	04/76
SECURITY PACIFIC CORP	11,14	04/76
SEEBURG INDUSTRIES INC	7,8,14	04/76
SELIGMAN & ASSOCIATES INC	2,7,14	04/76
SHEARSON HAYDEN STONE INC	7,8	04/76
SHENANDOAH OIL CORP	13	04/76
SHERWOOD MEDICAL INDUSTRIES INC	11,14	04/76
SHOP & GO INC	13,14	04/76
SHOP RITE FOODS INC	4,7,8,14	04/76
SIERRA RESEARCH CORP	11	04/76
SIGMA INSTRUMENTS INC	11	05/76
SIMMONS CO	11	04/76
SIMPLEX DEVELOPMENT CORP	13,14	04/76
SINCLAIR VENEZUELAN OIL CO	13	04/76
SKAGGS COMPANIES INC	7	04/76
SMITHFIELD FOODS INC	14	04/76
SMITHKLINE CORP	11,14	04/76
SONESTA INTERNATIONAL HOTELS CORP	13	04/76
SOUTHERN CALIFORNIA EDISON CO	3,4,11,13,14	04/76
SOUTHERN CALIFORNIA WATER CO	4,7,14	04/76
SOUTHERN CONNECTICUT GAS CO	4,11,14	04/76
SOUTHERN CONTAINER CORP	13	04/76
SOUTHERN INDUSTRIES CORP	11	04/76
SOUTHERN NEW ENGLAND TELEPHONE CO	11,14	04/76
SOUTHERN PACIFIC CO	3	04/76
SOUTHERN PACIFIC TRANSPORTATION CO	3	04/76
SOUTHWESTERN BELL TELEPHONE CO	3,13	04/76
SOUTHWESTERN INVESTMENT CO	7,13,14	04/76
SRC LABORATORIES INC	7,13	04/76
STA RITE INDUSTRIES INC	8,13,14	04/76
STANDARD OIL CO OF CALIFORNIA	3	04/76
STANDARD OIL CO OF OHIO	7,14	04/76
STANDARD SECURITY LIFE CO OF NEW YORK	13	04/76
STANLEY HOME PRODUCTS INC	2	04/76
STATE STREET BOSTON FINANCIAL CORP	13	04/76
SUNAMERICA CORP	11,12,14	04/76
SUNSTAR FOODS INC	13,14	04/76
SUPER VALU STORES INC	3	04/76
SUPERSCOPE INC	13	04/76
SUSQUEHANNA CORP	6	04/76
SYNERCON CORP	1,2,11,14	04/76
TACO BELL	13,14	04/76
TECHNICAL PUBLISHING CO	11	05/76
TEJON AGRICULTURAL PARTNERS	13,14	04/76
TELEFLEX INC	11,14	04/76
TELESCIENCES INC	11,13	04/76
TENNESSEE FORGING STEEL CORP	2,3,13	04/76
TEXACO CANADA LTD	11	04/76
TEXACO INC	3	04/76
TIERCO	8	04/76
TIGER INTERNATIONAL INC	13,14	04/76
TINSLEY LABORATORIES INC	11	04/76
TOPPS & TROWERS	2,3,7,13	04/76
TRAILER TRAIN CO	13	04/76
TRANSCANADA PIPELINES LTD	7,8,11	04/76

RECENT 8K FILINGS CONT.

TRANSDATA CORP	1	04/76
TRANSPORT LIFE INSURANCE CO	11,13	04/76
TRIANGLE INDUSTRIES INC	3	04/76
TRION INC	13,14	04/76
TRM INC	3,8	04/76
TUCSON GAS & ELECTRIC CO	3	04/76
TUTAG S J & CO	3	04/76
UB FINANCIAL CORP	11	04/76
UCC LIQUIDATING CORP	2,14	04/76
UNICOA CORP	13,14	04/76
UNION BANCORP INC	3	04/76
UNION CARBIDE CORP	3,11,14	04/76
UNION PACIFIC CORP	3,13	04/76
UNION SERVICE INDUSTRIES INC	8,12,14	04/76
UNION TANK CAR CO	7	04/76
UNIROYAL INC	11	04/76
UNITED ASBESTOS INC	3,5,14	04/76
UNITED CITIES GAS CO	11	04/76
UNITED STATES MUTUAL REAL ESTATE INVESTM	7	04/76
UNITED STATES STEEL CORP	3,7,8,14	04/76
UNITED STATES TOBACCO CO	7,14	04/76
UNITED TELECOMMUNICATIONS INC	2,7	04/76
UNITED VIRGINIA BANKSHARES INC	11,13	04/76
UNIVERSAL CIGAR CORP	2,14	04/76
UNIVERSAL FINANCIAL SERVICES INC	7	04/76
UNIVERSAL FOODS CORP	13,14	04/76
UTAH POWER & LIGHT CO	7,13	04/76
VAGABOND HOTELS INC	7	04/76
VALLEYLAB INC	8,13	04/76
VARO INC	8	04/76
VETCO OFFSHORE INDUSTRIES INC	1,7,8,13,14	04/76
VIACOM INTERNATIONAL INC	7,8,11,14	04/76
VICTORIA BANKSHARES INC	3	04/76
VICTORIA STATION INC	8	04/76
VINDALE CORP	13	04/76
VIRANY CREATIONS LTD	3	04/76
VTN CORP	3,14	04/76
WALGREEN CO	13	04/76
WANG LABORATORIES INC	4,11	04/76
WARD FOODS INC	13	04/76
WARNACO INC	3,11	04/76
WARNER & SWASEY CO	11,14	04/76
WARNER COMMUNICATIONS INC	13	04/76
WEAN UNITED INC	11	04/76
WEATHERFORD INTERNATIONAL INC	11,14	04/76
WELSBACH CORP	13,14	04/76
WENDYS INTERNATIONAL INC	11,13	04/76
WESTBURY FASHIONS INC	2,13	04/76
WESTERN DIGITAL CORP	13,14	05/76
WESTERN ELECTRIC CO INC	11	04/76
WESTERN UNION CORP	11,14	04/76
WESTVACO CORP	7,14	04/76
WEYERHAEUSER CO	3	04/76
WHEELING PITTSBURGH STEEL CORP	3	04/76
WINCORP	8,9,13,14	04/76
WINN DIXIE STORES INC	3	04/76
WISCONSIN PUBLIC SERVICE CORP	3,7	04/76

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