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U.S. SECURITIES  
EXCHANGING COMMISSION

# sec news digest

Issue 92-215

November 5, 1992

## NOTICE OF COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesdays, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration.

### CLOSED MEETING - TUESDAY, NOVEMBER 10, 1992 - 2:30 P.M.

The subject matter of the November 10 closed meeting will be: Institution of injunctive actions; Institution of administrative proceeding of an enforcement nature; Settlement of injunctive action; Opinions.

### CLOSED MEETING - THURSDAY, NOVEMBER 12, 1992 - 2:30 P.M.

The subject matter of the November 12 closed meeting will be: Institution of injunctive actions; Settlement of injunctive actions; Institution of administrative proceedings of an enforcement nature; Settlement of administrative proceeding of an enforcement nature; Opinions.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Walter Stahr at (202) 272-2000.

## CIVIL PROCEEDINGS

### BROKER IS PERMANENTLY ENJOINED

On November 2, the Honorable John E. Sprizzo permanently enjoined defendant Richard J. Puccio (Puccio), by consent, from further violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder (antifraud provisions). The Commission filed its complaint on March 20, 1992, seeking injunctive relief against Stratton Oakmont, Inc. (Stratton), a broker-dealer; Puccio and Cliff Sharfman, former Stratton registered representatives (RRs); and Jordan R. Belfort, Kenneth S. Greene, and Daniel M. Porush, all principals and owners of Stratton.

The Commission's complaint alleges that Puccio, while a Stratton RR, from in or about March 1989 to in or about June 1991, misrepresented and omitted to state material facts to customers and prospective customers about Stratton house stocks. Without admitting or denying the allegations in the Commission's complaint, Puccio consented to the entry of a permanent injunction enjoining him from violations of the antifraud provisions of the federal securities laws. Puccio also consented to cooperate with the Commission in this case. [SEC v. Stratton Oakmont, et al., 92 Civ. 1993, JES, USDC SDNY] (LR-13421)

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## INVESTMENT COMPANY ACT RELEASES

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### NEW YORK LIFE MFA SERIES FUND, INC., ET AL.

An order has been issued pursuant to Section 17(b) of the Investment Company Act exempting the New York Life MFA Series Fund, Inc. (MFA Fund) and New York Life VLI Fund, Inc. (VLI Fund) from the provisions of Section 17(a) of the Act to permit the MFA Fund to acquire all of the assets of the VLI Fund in exchange for shares of stock of the MFA Fund (Reorganization). The order also has been issued pursuant to Section 6(c) of the Act exempting the MFA Fund from the provisions of Sections 9(a), 13(a), 15(a), and 15(b) of the Act, and Rule 6e-2(b)(15) thereunder. The exemptions apply to the extent necessary to permit the New York Life Insurance and Annuity Corporation VLI Separate Account and certain other registered separate accounts to purchase and hold shares of the MFA Fund after the proposed Reorganization and in the event that the MFA Fund in the future offers and sells its shares to any flexible premium variable life insurance separate account that New York Life Insurance and Annuity Corporation or an affiliated insurer may establish. (Rel. IC-19069 - October 30)

### THE RESERVE FUND, ET AL.

A notice has been issued giving interested persons until November 30 to request a hearing on an application filed by The Reserve Fund, et al. for an order under Section 6(c) of the Investment Company Act exempting applicants from Sections 18(f), 18(g) and 18(i) of the Act. The order would permit the Funds to issue multiple classes of shares representing interests in the same investment portfolio, which classes would be identical in all respects, except for class designation, voting rights, exchange privileges and the allocation of certain expenses. (Rel. IC-19070 - November 2)

### MEXICO CAPITAL GROWTH FUND, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Mexico Capital Growth Fund, Inc. has ceased to be an investment company. (Rel. IC-19071 - November 2)

### TYLER CABOT MORTGAGE SECURITIES FUNDS, INC., ET AL.

A notice has been issued giving interested persons until November 30 to request a hearing on an application filed by Tyler Cabot Mortgage Securities Fund, Inc. (Tyler Cabot), et al., for an order pursuant to Section 17(b) of the Investment Company Act granting an exemption from Section 17(a) to permit Tyler Cabot to merge with and into

Capstead Mortgage Corporation (Capstead). Under the terms of the merger agreement, each share of Tyler Cabot Common Stock would be converted into the right to receive one share of Capstead's newly-issued \$1.26 Series B Cumulative Convertible Preferred Stock. (Rel. IC-19072 - November 2)

#### BANK VAN HAFTEN LABOUCHERE N.V. ET AL.

A notice has been issued giving interested persons until November 30 to request a hearing on an application filed by Bank van Haften Labouchere N.V., et al. for a conditional order under Section 6(c) of the Investment Company Act exempting applicants and certain registered investment companies from the provisions of Section 17(f). The conditional order would permit Bank van Haften N.V., as custodian of the securities and other assets of such investment companies to accept deposits or to cause or permit the acceptance of deposits of such securities in The Netherlands. (Rel. IC-19073 - November 2)

#### THE FLEX-FUNDS

An order has been issued under Section 6(c) of the Investment Company Act exempting the Flex-funds from the provisions of Rule 24f-2 under the Act to permit the Flex-funds to recover excess filing fees paid in connection with a notice filed under Rule 24f-2 for the Flex-funds fiscal year 1987. (Rel. IC-19074 - November 3)

#### COMMUNITY INVESTMENT PARTNERS II, L.P., ET AL.

A conditional order has been issued on an application filed by Community Investment Partners II, L.P., et al. under Section 6(c) of the Investment Company Act granting an exemption from the provisions of Sections 2(a)(19) and (a)(3)(D). (Rel. IC-19075 - November 3)

#### VKM MUNICIPAL HIGH YIELD TRUST

A notice has been issued giving interested persons until November 30 to request a hearing on an application filed by VKM Municipal High Yield Trust for an order under Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-19076 - November 3)

#### VKM CURRENT INCOME TRUST

A notice has been issued giving interested persons until November 30 to request a hearing on an application filed by VKM Current Income Trust for an order under Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-19077 - November 3)

#### VKM NEW YORK MUNICIPAL INCOME TRUST

A notice has been issued giving interested persons until November 30 to request a hearing on an application filed by VKM New York Municipal Income Trust for an order under Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-19078 - November 3)

SMITH BARNEY EQUITY FUNDS, INC., ET AL.

An order has been issued on an application filed by Smith Barney Equity Funds, Inc., et al. under Section 6(c) of the Investment Company Act granting an exemption from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The exemptions permit certain investment companies to issue three classes of shares representing interests in the same portfolio of securities, and to assess a contingent deferred sales charge (CDSC) on certain redemptions of shares of two of the classes and to waive the CDSC in certain cases. (Rel. IC-19079 - November 3)

ANCHOR FUND, ET AL.

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting Anchor Fund, et al. from the provisions of Section 12(d)(3) of the Act and Rule 12d3-1 thereunder to the extent necessary to permit them to acquire securities of certain foreign issuers engaged in securities related activities in accordance with the conditions of the proposed amendments to Rule 12d3-1. (Rel. IC-19080; International Series Rel. 482 - November 3)

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**HOLDING COMPANY ACT RELEASES**

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AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.

A supplemental order has been issued authorizing a proposal by American Electric Power Company, Inc. (AEP), a registered holding company, and its nonutility subsidiary, AEP Investments, Inc. (AEP Investments). AEP proposes make capital contributions to AEP Investments in an aggregate amount of up to \$5 million through June 30, 1994. AEP Investments proposes to acquire through June 30, 1994 up to 50,000 additional shares of Intersource Technologies, Inc. preferred stock for an aggregate consideration of up to \$5 million. (Rel. 35-25667)

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**LISTING, DELISTING AND UNLISTED TRADING ACTIONS**

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UNLISTED TRADING PRIVILEGES GRANTED

Orders have been issued granting the applications of five exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: Midwest Stock Exchange - 1 issue (Rel. 34-31389); Pacific Stock Exchange - 1 issue (Rel. 34-31390); Philadelphia Stock Exchange - 6 issues (Rel. 34-31391); Cincinnati Stock Exchange - 37 issues (Rel. 34-31392); and Boston Stock Exchange - 7 issues (Rel. 34-31393).

## WITHDRAWALS GRANTED

An order has been issued granting the application of Pall Corporation to withdraw from listing and registration its Common Stock, \$0.25 Par Value, Common Share Purchase Rights, on the American Stock Exchange. (Rel. 34-31394)

An order has been issued granting the application of The Money Store, Inc. to withdraw from listing and registration its Common Stock, No Par Value, on the American Stock Exchange. (Rel. 34-31395)

## MISCELLANEOUS

### NATIONAL MARKET SYSTEM PLAN

The Intermarket Trading System (ITS) submitted pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934 an amendment to the restated ITS Plan. The purpose of the amendment is to clarify the use of a cancellation notification under the ITS pre-opening rule. The Commission is publishing notice to solicit comments on the amendment from interested persons. Publication of the notice is expected in the Federal Register during the week of November 9. (Rel. 34-31396)

## SELF-REGULATORY ORGANIZATIONS

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the New York Stock Exchange (SR-NYSE-92-16) relating to the implementation of a signature guarantee program. Publication of the order is expected in the Federal Register during the week of November 2. (Rel. 34-31388)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-1 TRIDENT MICROSYSTEMS INC, 205 RAVENDALE DR, MOUNTAIN VIEW, CA 94043 (415) 691-9211  
- 3,450,000 (\$50,025,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC,  
HAMBRECHT & QUIST INC. (FILE 33-53768 - OCT. 27) (BR. 10 - NEW ISSUE)

S-1 MICROCARB INC, 300 PROFESSIONAL DRIVE, GAITHERSBURG, MD 20879 (301) 590-0129 -  
2,600,000 (\$16,995,100) COMMON STOCK. UNDERWRITER: BLAIR D H INVESTMENT BANKING CORP.  
(FILE 33-53774 - OCT. 27) (BR. 4 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-1 HAYES WHEELS INTERNATIONAL INC, 38481 HURON RIVER DRIVE, ROMULUS, MI 48174  
(313) 941-2000 - 9,430,000 (\$169,740,000) COMMON STOCK. UNDERWRITER:  
GOLDMAN SACHS & CO, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-53780 - OCT. 27)  
(BR. 4 - NEW ISSUE)
- S-8 PLAINS RESOURCES INC, 1600 SMITH ST STE 1500, HOUSTON, TX 77002 (713) 654-1414 -  
17,000 (\$235,875) COMMON STOCK. (FILE 33-53802 - OCT. 28) (BR. 3)
- S-8 VISX INC, 3400 CENTRAL EXPWY, SANTA CLARA, CA 95051 (408) 732-9880 - 66,528  
(\$598,752) COMMON STOCK. (FILE 33-53806 - OCT. 28) (BR. 8)
- S-8 CARAUSTAR INDUSTRIES INC, 3100 WASHINGTON ST, AUSTELL, GA 30001 (404) 948-3101 -  
1,458,118 (\$6,921,038.40) COMMON STOCK. (FILE 33-53808 - OCT. 28) (BR. 8)
- S-8 ARRHYTHMIA RESEARCH TECHNOLOGY INC /DE/, 5910 COURTYARD DR #300, AUSTIN, TX 78731  
(512) 343-6912 - 553,750 (\$2,976,406) COMMON STOCK. (FILE 33-53810 - OCT. 29) (BR. 8)
- S-8 IGENE BIOTECHNOLOGY INC, 9110 RED BRANCH RD, COLUMBIA, MD 21045 (410) 997-2599 -  
978,850 (\$371,963) COMMON STOCK. (FILE 33-53812 - OCT. 28) (BR. 1)
- S-3 ASSOCIATES CORPORATION OF NORTH AMERICA, 250 E CARPENTER FWY, IRVING, TX 75062  
(214) 541-4000 - 3,000,000,000 (\$3,000,000,000) STRAIGHT BONDS. (FILE 33-53814 -  
OCT. 29) (BR. 11)
- S-8 EXTEN INDUSTRIES INC, 1660 HOTEL CIRCLE NO, STE 718, SAN DIEGO, CA 92108  
(619) 294-8001 - 500,000 (\$175,000) COMMON STOCK. (FILE 33-53816 - OCT. 29) (BR. 11)
- S-3 SOFTWARE DEVELOPERS CO INC/DE/, 90 INDUSTRIAL PARK RD, HINGHAM, MA 02043  
(617) 740-0101 - 120,000 (\$352,500) COMMON STOCK. (FILE 33-53818 - OCT. 29) (BR. 1)
- S-8 WEITEK CORP, 1060 E ARGUES AVE, SUNNYVALE, CA 94086 (408) 738-8400 - 500,000  
(\$2,375,000) COMMON STOCK. (FILE 33-53820 - OCT. 29) (BR. 9)
- S-8 AM COMMUNICATIONS INC, 1900 AM DR, QUAKERTOWN, PA 18951 (215) 536-1354 - 2,000,000  
(\$680,000) COMMON STOCK. (FILE 33-53822 - OCT. 29) (BR. 7)
- S-8 VALSPAR CORP, 1101 THIRD ST SOUTH, MINNEAPOLIS, MN 55415 (612) 332-7371 - 200,000  
(\$6,312,500) COMMON STOCK. (FILE 33-53824 - OCT. 29) (BR. 1)
- S-8 RYANS FAMILY STEAKHOUSES INC, 405 LANCASTER AVE, PO BOX 100, GREER, SC 29652  
(803) 879-1000 - 2,500,000 (\$23,726,908) COMMON STOCK. (FILE 33-53834 - OCT. 29)  
(BR. 11)
- S-1 HAYES WHEELS INTERNATIONAL INC, 38481 HURON RIVER DRIVE, ROMULUS, MI 48174  
(313) 941-2000 - 105,000,000 (\$105,000,000) STRAIGHT BONDS. UNDERWRITER:  
GOLDMAN SACHS & CO, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-53838 - OCT. 27)  
(BR. 4)
- S-1 PHILLIPS GAS CO, 1300 POST OAK BLVD, HOUSTON, TX 77056 (713) 297-6001 - 9,200,000  
(\$230,000,000) PREFERRED STOCK. UNDERWRITER: EDWARDS A G & SONS INC,  
GOLDMAN SACHS & CO, LEHMAN BROTHERS, MERRILL LYNCH & CO, MORGAN STANLEY & CO INC,  
PRUDENTIAL SECURITIES INC. (FILE 33-53842 - OCT. 28) (BR. 3 - NEW ISSUE)
- F-6 BOWATER PLC /ADR/, 60 WALL ST, C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY  
10260 (212) 648-3200 - 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK.  
(FILE 33-53846 - OCT. 28) (NEW ISSUE)

REGISTRATIONS CONTINUED

- S-1 VIMRX PHARMACEUTICALS INC, 1177 HIGH RIDGE RD, STAMFORD, CT 06905 (203) 321-2115 - 40,000 (\$57,500) COMMON STOCK. (FILE 33-53850 - OCT. 28) (BR. 4)
- S-2 TEJAS GAS CORP, 1301 MCKINNEY ST STE 700, HOUSTON, TX 77010 (713) 658-0509 - 2,000,000 (\$50,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. UNDERWRITER: LEHMAN BROTHERS, OPPENHEIMER & CO INC, PAINWEBBER INC. (FILE 33-53862 - OCT. 28) (BR. 8)
- S-1 CITIBANK MARYLAND NATIONAL ASSOCIATION, 7720 YORK RD, TOWSON, MD 21204 (410) 337-2600 - 4,000,000 (\$4,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-53864 - OCT. 28) (BR. 11 - NEW ISSUE)
- N-2 MUNIYIELD FLORIDA INSURED FUND /NJ/, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536 (609) 282-2800 - 20 (\$1,000,000) PREFERRED STOCK. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-53866 - OCT. 28) (BR. 17)
- N-2 MUNIYIELD NEW JERSEY INSURED FUND INC, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536 (609) 282-2800 - 20 (\$1,000,000) PREFERRED STOCK. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-53868 - OCT. 28) (BR. 17)
- S-3 UJB FINANCIAL CORP /NJ/, 301 CARNEGIE CENTER, P O BOX 2066, PRINCETON, NJ 08543 (609) 987-3200 - 350,000,000 (\$350,000,000) STRAIGHT BONDS. \$100,000,000 PREFERRED STOCK. UNDERWRITER: EDWARDS A G & SONS INC, MERRILL LYNCH & CO, WHEAT FIRST BUTCHER & SINGER CAPITAL MAR. (FILE 33-53870 - OCT. 28) (BR. 1)
- S-8 JUPITER NATIONAL INC, 5454 WISCONSIN AVE, STE 1315, CHEVY CHASE, MD 20815 (301) 656-0626 - 50,000 (\$940,625) COMMON STOCK. (FILE 33-53872 - OCT. 28) (BR. 16)
- S-8 KIRKSVILLE BANCSHARES INC, 202 E PHERSON, KIRKSVILLE, MO 63501 (816) 665-8374 - 63,250 (\$766,285) COMMON STOCK. (FILE 33-53874 - OCT. 28) (BR. 2)
- S-1 MILLFELD TRADING CO INC, 60 WEST 40TH STREET, NEW YORK, NY 10018 (212) 869-8725 - 7,680,000 (\$38,400,000) COMMON STOCK. 320,000 (\$320) WARRANTS, OPTIONS OR RIGHTS. 2,320,000 (\$11,600,000) COMMON STOCK. UNDERWRITER: WHALE SECURITIES CO L P. (FILE 33-53878 - OCT. 28) (BR. 7)
- S-8 PRECISION SYSTEMS INC, 11800 30TH COURT NORTH, ST PETERSBURG, FL 33716 (813) 572-9300 - 2,500,000 (\$2,677,500) COMMON STOCK. (FILE 33-53880 - OCT. 28) (BR. 7)
- S-8 HARRIS CORP /DE/, 1025 W NASA BLVD, MELBOURNE, FL 32919 (407) 727-9100 - 26,000 (\$867,750) COMMON STOCK. (FILE 33-53882 - OCT. 28) (BR. 8)
- S-3 CENTRAL FIDELITY BANKS INC, 1021 E CARY ST, RICHMOND, VA 23219 (804) 782-4000 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. UNDERWRITER: SALOMON BROTHERS INC. (FILE 33-53884 - OCT. 28) (BR. 2)
- S-1 DI GIORGIO CORP, ONE MARITIME PLZ STE 2300, SAN FRANCISCO, CA 94111 (415) 765-0100 - 85,000,000 (\$85,000,000) STRAIGHT BONDS. UNDERWRITER: BEAR STEARNS & CO INC, BT SECURITIES CORP. (FILE 33-53886 - OCT. 28) (BR. 3)

## ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ADDS CO	COM		119	00088710	
ATLANTIC DRY DOCK ET AL	13D	11/ 3/92	17.3	17.2	UPDATE
AIR & WTR TECHNOLOGIES CORP	CL A		2,362	00905810	
STATE OF WISCONSIN INVEST	BD	9/20/92	9.5	8.5	UPDATE
ALASKA AIR GROUP INC	COM		1,172	01165910	
STATE OF WISCONSIN INVEST	BD	10/12/92	8.8	7.8	UPDATE
ALASKA APOLLO RES INC	COM		1,133	01191599	
ALASKA INVMTS LTD	13D	10/26/92	58.7	0.0	NEW
ADVANCED TECHNOLOGY LABS INC	COM		530	01399610	
STATE OF WISCONSIN INVEST	BD	9/18/92	4.7	0.0	NEW
AMDURA CORP	COM NEW		1,317	02342670	
PATRICIA INVMTS INC ET AL	13D	10/28/92	10.6	10.1	UPDATE
APPLIED BIOSYSTEMS INC	COM		N/A	03790610	
STATE OF WISCONSIN INVEST	BD	10/ 7/92	N/A	6.7	UPDATE
ARTISOFT INC	COM		1,282	04399710	
STATE OF WISCONSIN INVEST	BD	10/ 1/92	7.4	5.6	UPDATE
ASPEN EXPL CORP	COM		1,100	04529510	
ASPEN SHAREHOLDERS ACTION	COMM	10/ 7/92	5.3	0.0	NEW
BROOKTREE CORP	COM		1,494	11457710	
STATE OF WISCONSIN INVEST	BD	10/13/92	9.5	8.5	UPDATE



## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
CABOT OIL & GAS CORP STATE OF WISCONSIN INVEST BD	CL A 13D	9/24/92	1,425 7.0	12709710 8.1	UPDATE
CAERE CORP STATE OF WISCONSIN INVEST BD	COM 13D	9/30/92	400 7.7	12764610 8.8	UPDATE
CENTEX TELEMANAGEMENT INC STATE OF WISCONSIN INVEST BD	COM 13D	9/28/92	1,795 9.7	15231510 8.7	UPDATE
CHIPPEWA RES CORP FERRARINI DONALD P ET AL	COM 13D	10/21/92	35 99.9	16985298 0.0	NEW
COASTAL CARIB OILS & MINS LYKES MINERALS CORP	COM 13D	10/19/92	6,000 15.2	19043220 0.0	NEW
CONSOLIDATED CAPITAL GROWTH LP 2 ACCEPTANCE ET AL	LTD PTNSHP UNITS 14D-1	11/ 3/92	0 0.0	20887099 0.0	NEW
D & N FINANCIAL CORP SELIGMAN IRVING R ET AL	COM 13D	10/21/92	142 3.8	23286410 5.9	UPDATE
D B A SYS INC HEBERT HOWARD N	COM 13D	10/13/92	162 6.0	23303110 6.6	UPDATE
DATAFLEX CORP STATE OF WISCONSIN INVEST BD	COM 13D	9/23/92	250 7.2	23790510 5.8	UPDATE
ENVOY CORP STATE OF WISCONSIN INVEST BD	COM 13D	10/ 2/92	668 6.3	29394410 0.0	NEW
EXABYTE CORP STATE OF WISCONSIN INVEST BD	COM 13D	9/22/92	1,500 7.2	30061510 0.0	NEW
FIRST FINL BANCSHARES POLK BULLARD HENRY F	COM 13D	10/23/92	32 5.4	32499810 0.0	NEW
FIRST FINL BANCSHARES POLK HOLMES WILLIAM E	COM 13D	10/23/92	37 6.1	32499810 0.0	NEW
IGENE BIOTECHNOLOGY INC DOW CHEMICAL	COM 13D	10/ 1/92	910 7.1	45169510 6.9	UPDATE
INTL CABLECASTING TECH SHAW CABLESYSTEMS LTD ET AL	COM 13D	10/27/92	2,110 6.8	46799310 5.9	UPDATE
MANITOWOC INC GAMCO INVESTORS INC ET AL	COM 13D	11/ 2/92	550 5.3	56357110 6.4	UPDATE
MID ST RACEWAY INC VERNON SALLY S	COM 13D	10/20/92	0 N/A	59549210 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
NEUROGEN CORP BLECH ISAAC	COM 13D	10/26/92	981 11.1	64199510 10.6	RVISION
NEWPORT CORP STATE OF WISCONSIN INVEST BD	COM 13D	10/ 6/92	N/A N/A	65182410 5.7	UPDATE
NORTH AMERN NATL CORP LAURENTIAN GROUP CORP ET AL	COM 13D	10/26/92	837 25.3	65704210 25.3	UPDATE
PINKERTONS INC NEW STATE OF WISCONSIN INVEST BD	COM 13D	9/25/92	505 6.1	72342910 5.0	UPDATE
QUARTZ MTN GOLD CORP PEGASUS GOLD INC	COM 13D	10/30/92	3,986 15.5	74799510 0.0	NEW
SANTA BARBARA BANCORP CALIF ANDERSON DONALD M ET AL	COM 13D	9/28/92	1,062 20.1	80123310 20.7	UPDATE
STEEL TECHNOLOGIES INC STATE OF WISCONSIN INVEST BD	COM 13D	10/ 6/92	608 7.6	85814710 6.6	UPDATE
SYNTECH INTL INC INTERNATIONAL GAME TECH ET AL	COM 13D	10/ 9/92	255 4.3	87161110 8.0	UPDATE
TECHNOLOGY SOLUTIONS CO STATE OF WISCONSIN INVEST BD	COM 13D	9/18/92	1,100 9.7	87899810 0.0	NEW
TEXAS MERIDIAN RES CORP KEYSTONE VENTURE II LP ET AL	COM 13D	2/ 5/92	395 8.5	88254510 0.0	NEW
TRANSPORTATION CAPITAL CORP GLAUBINGER LAWRENCE D ET AL	COM 13D	10/20/92	1,780 93.0	89387210 92.8	UPDATE
WINDMERE CORP CATALINA LIGHTING INC	COM 13D	10/23/92	0 0.0	97341110 5.3	UPDATE
WYLE LABS STATE OF WISCONSIN INVEST BD	COM 13D	10/14/92	854 8.4	98305110 9.1	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
XTRA CORP MEZZANINE LENDING ASSOC ET AL	COM 13D	11/ 2/92	1,416 24.1	98413810 21.9	UPDATE
XYVISION INC SALTZMAN PARTNERS	COM 13D	10/30/92	1,455 21.2	98418010 17.9	UPDATE

## SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

Consumer Affairs (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

Personnel Locator (202-272-2550): Requests for names and phone numbers of Commission personnel.

Public Affairs (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

Public Reference (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

SEC Information Line (202-272-3100/5624): General information about SEC operations and activities through a series of recorded messages.