

# sec news digest

LIBRARY

Issue 92-197

OCT 14 1992

October 9, 1992

U.S. SECURITIES  
EXCHANGE COMMISSION

## ADMINISTRATIVE PROCEEDINGS

### COMMISSION SUSTAINS NASD FINDING OF VIOLATION BUT REDUCES SANCTION AGAINST LAKE SECURITIES, INC. AND HUEY HICKS

The Commission has sustained the NASD's finding of violation against Lake Securities, Inc. of Lewisville, Texas, and Huey Hicks, its president and owner. The Commission found that on May 18, 1988, Lake, with Hicks' approval, charged a customer a markdown of 7.4% in the purchase of a Fannie Mae interest-only security. Lake and Hicks were found liable for fraud under Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder, and were censured and fined \$50,000 jointly and severally by the NASD. The NASD also required Hicks to requalify by examination as a general securities principal prior to acting in that capacity with any NASD member firm. Because \$15,000 was the maximum fine that the NASD could impose for a single violation at the time of Lake and Hicks' conduct and because at the outset of this proceeding the NASD served Hicks with a notice stating that that would be the maximum fine, the Commission reduced the fine to \$15,000. The Commission affirmed the other sanctions. (Rel. 34-31283)

## CIVIL PROCEEDINGS

### LAWSUIT AGAINST PENNY STOCK PROMOTERS

The Commission announced that on September 30, 1992, a complaint was filed in the U.S. District Court for the Western District of Texas, Austin Division, against Chaparral Mining Corporation (Chaparral), an Austin, Texas based mining company, and Chaparral's three control persons, Otis C. Johnson, James A. Turman and Wulf A. Gose. The complaint charges each defendant with violations of the antifraud and reporting provisions of the federal securities laws and, additionally, charges Johnson with violations of the securities registration provisions and with insider trading.

The complaint alleges that Johnson, a recidivist securities law violator, acquired control of Chaparral in 1988 and then appointed Turman and Gose as officers of Chaparral to conceal his involvement with the company. These three defendants fraudulently promoted Chaparral to the public in press releases and Commission filings which failed to disclose Johnson's involvement and misrepresented, among other things, Chaparral's sources of financing, financial condition and business prospects.

According to the complaint, Johnson also engaged in the distribution of Chaparral stock while in possession of material non-public information about the company. Johnson sold Chaparral stock in the public market and in purported private placements, and also "rented" the stock to a private insurance company. Johnson received approximately \$515,000 through these activities.

The Commission is seeking permanent injunctions and civil penalties against each defendant and disgorgement against Johnson. [SEC v. Chaparral Mining Corp. et al., USDC/WD TX, Austin Division, Civil Action No. 92-CA-565-SS] (LR-13400)

#### COMPLAINT AGAINST ANTHONY ANELLO

On September 30, the Commission filed a complaint in U.S. District Court for the Eastern District of Pennsylvania against Anthony J. Anello (Anello) seeking a permanent injunction. The complaint alleges that Anello violated Sections 5(a), 5(c) and 17(a) of the Securities Act, Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder.

The Commission alleges that from approximately November 1984 through November 1988 Anello, principal shareholder, president and chairman of the board of BioSynergy, Inc. (BioSynergy), sold approximately \$1.5 million of unregistered convertible debentures and promissory notes to approximately 60 investors. According to the complaint, Anello induced the investors to purchase the securities by making materially false and misleading representations concerning, among other things, BioSynergy's undertaking of a publication called BioSynergy Business Opportunities (BSBO), the financial condition of BioSynergy, the degree of risk inherent in the investments, the prospects for a BioSynergy public offering and merger, and the existence of BioSynergy International Corporation common stock. Anello consented to entry of a Final Judgment and Order, without admitting or denying the allegations contained in the complaint. [SEC v. Anthony J. Anello, Civil Action No. 92-CV-5685, E.D.Pa.] (LR-13402)

#### ERIC WALLOGA PERMANENTLY ENJOINED

The Commission announced that on June 22, 1992 the Honorable Ralph W. Nimmons, Jr., U.S. District Judge for the Middle District of Florida, entered a Final Judgment of Permanent Injunction and Other Relief (Final Judgment) against Eric J. Walloga (Walloga) of Tampa, Florida. Walloga, without admitting or denying the allegations of the Commission's Complaint, consented to the entry of this Final Judgment. Walloga was a principal and owner of American Wallstreet Securities, Inc. (AWS), a registered broker-dealer which ceased operations in August 1990. The Final Judgment permanently enjoins Walloga from violating the registration, antifraud and broker-dealer net capital and books and records provisions of the federal securities laws. Walloga was ordered to disgorge all ill-gotten gains.

The complaint, filed on September 25, 1991, alleges that in connection with AWS's initial public offering of an issuer's securities and secondary market trading in such securities, Walloga violated the registration and antifraud provisions of the federal securities laws. The complaint alleges that Walloga failed to disclose, among other things, AWS's dominance and control of the market for such securities, the use of a nominee account to hold and trade such securities, as well as the charging by AWS of excessive mark-ups with respect to such securities. The complaint further alleges that Walloga aided and abetted violations of the broker-dealer net capital, books and

records and periodic reporting provisions of the federal securities laws. [SEC v. Eric J. Walloga, et al., USDC, M.D. Fla., Civil Action No. 91-1297-CIV-T-21A] (LR-13404)

#### CIVIL CONTEMPT PROCEEDINGS AGAINST OIL AND GAS PROMOTER

On September 30, the Fort Worth Regional Office announced the commencement of civil contempt proceedings against Walter H. Cushman, III based on alleged violations of orders of permanent injunction entered on April 1, 1992. The orders enjoined Cushman from future violations of the securities registration and antifraud provisions of the federal securities laws.

The Commission alleges that Mr. Cushman has been offering and selling joint venture interests in an oil and gas drilling program, to re-enter, drill and complete a horizontal well in Frio County, Texas. The application states that Mr. Cushman has raised at least \$375,000 in this offering during the period since May 1992. The Commission contends that Mr. Cushman, individually and through commissioned telephone salespersons, misled investors as to the progress and potential of drilling activities, as well as the anticipated costs of drilling operations.

The Commission has requested that Mr. Cushman be held in contempt for these activities and that he be ordered to repay investors for their contributions to the drilling project. The Commission furthermore requests that Mr. Cushman be jailed until such time as he makes such repayment. [SEC v. Great Southwest Energy, Inc., et al., U.S.D.C., N.D./Tex., Ft. Worth Division, CA No. 3-91-0862-A] (LR-13405)

---

#### INVESTMENT COMPANY ACT RELEASES

---

##### UNITED STATES TRUST COMPANY OF NEW YORK

A conditional order has been issued on an application filed by United States Trust Company of New York for a conditional order under Section 6(c) of the Investment Company Act exempting applicant from the provisions of Section 26(a)(2)(D) of the Act. The order permits applicant to deposit foreign securities, held by unit investment trusts for which it serves as trustee, with the securities clearance and depository facilities operated by Morgan Guaranty Trust Company of New York in Brussels, Belgium in its capacity as operator of the Euroclear System, or with Central de Livraison de Valeurs Mobilieres, S.A. (Rel. IC-19006; International Series Rel. 470)

---

#### SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

---

The following is a list of significant no-action and interpretative letters recently issued by the Division Market Regulation. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating

the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>Company</u>	<u>Act/Section/ Rule/Release</u>	<u>Date mailed</u>	<u>Public Avail. date</u>
Telefonos de Mexico, S.A. de C.V.	1934 Act, Section 10(b), Rule 10b-6	5/7/92	5/8/92
Alcatel Alsthom Compagnie Generale d'Electricite	1934 Act, Section 10(b) Rules 10b-6 and 10b-7	5/15/92	5/18/92
China Steel Corporation	1934 Act, Section 10(b), Rule 10b-6	5/15/92	5/18/92
Excel Realty Trust, Inc.	1934 Act, Section 10(b), Rule 10b-6	5/21/92	5/22/92
Phoenix Leasing Cash Distribution Fund IV	1934 Act, Section 10(b), Rule 10b-6	5/26/92	5/26/92
PaineWebber Incorporated	1934 Act, Rule 15c2-12	5/29/92	5/29/92
Technology Capital Network, Inc.	1934 Act, Section 15(b)	6/5/92	6/5/92
Transportacion Maritima Mexicana, S.A. de C.V.	1934 Act, Section 10(b), Rules 10b-6 and 10b-7	6/8/92	6/8/92
Transportacion Maritima Mexicana, S.A. de C.V.	1934 Act, Sections 10(b) and 13(e), Rules 10b-6 and 13e-4	6/8/92	6/8/92
Banco Comercial Portugues, S.A.	1934 Act, Section 10(b), Rule 10b-6	6/11/92	6/12/92

Banco Comercial Portugues, S.A.	1934 Act, Section 10(b), Rules 10b-6 and 10b-7	6/11/92	6/12/92
Capital Committee of the Securities Industry Association (c/o Merrill Lynch & Co.)	1934 Act, Rule 15c3-1	6/12/92	6/12/92
Connecticut Energy Corporation	1934 Act, Section 10(b), Rule 10b-6	6/23/92	6/24/92
TOTAL	1934 Act, Section 10(b), Rules 10b-6 and 10b-7	6/23/92	6/23/92
Grupo Financiero Banamex Accival, S.A. de C.V.	1934 Act, Section 10(b), Rule 10b-6	6/23/92	6/23/92
The SuperTrust for Capital Market Fund, Inc. Shares	1934 Act, Section 10(b), Rule 10b-6	6/24/92	6/24/92
SuperShares	1934 Act, Section 10(a), Rule 10a-1	6/24/92	6/24/92
SuperUnits	1934 Act, Sections 10(a), 10(b), Rules 10a-1, 10b-6, and 10b-13	6/24/92	6/24/92
Instinet Corporation Crossing Network	1934 Act, Section 10(a), Rule 10a-1	7/1/92	7/1/92
Science Applications International Corporation	1934 Act, Sections 10(b), 13(e), and 14(d), Rules 10b-6, 10b-13, 13e-4, and 14d-10	7/2/92	7/7/92
Wellcome plc	1934 Act, Section 10(b), Rule 10b-6	7/21/92	7/21/92
General Motors Pension Fund	1934 Act, Section 10(b), Rule 10b-6	8/4/92	8/4/92

Fractional Share Repurchase Program	1934 Act, Section 10(b), Rules 10b-6 and 10b-13	8/24/92	8/24/92
Public Securities Association	1934 Act, Rule 15c2-12	8/24/92	8/24/92
Edward D. Jones & Co.	1934 Act, Rule 10b-10(f)	8/28/92	8/28/92
AZX, Inc.	1934 Act, Sections 5 and 6, Rule 6a-2	9/10/92	9/10/92
Application of Rules 10b-6 and 10b-13 to Specialists with NYSE Member Firms	1934 Act, Section 10(b), Rules 10b-6 and 10b-13	9/15/92	9/15/92
Roche Holding Ltd.	1934 Act, Section 10(b), Rules 10b-6 and 10b-7	9/24/92	9/28/92

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 PROCTER & GAMBLE CO, ONE PROCTER & GAMBLE PLZ, CINCINNATI, OH 45202 (513) 983-1100  
- 800,000 (\$38,952,000) COMMON STOCK. (FILE 33-49081 - OCT. 02) (BR. 13 - NEW ISSUE)
- S-11 ADVANTA MORTGAGE CORP USA, 10085 CARROLL CAYON RD, SAN DIEGO, CA 92131  
(619) 536-9080 - 2,000,000 (\$2,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-52428 -  
SEP. 25) (BR. 11)
- S-6 FIRST TRUST SPEC SIT TR SER 44 CHESA GRO & TREA SEC TR SER 1, 1001 WARRENVILLE ROAD,  
LISLE, IL 60532 - INDEFINITE SHARES. (FILE 33-52490 - SEP. 28) (BR. 18 - NEW ISSUE)
- S-6 FIRST TRUST SPEC SIT TR SER 45 DIVIDEND INCOME BLDER 2002 TR, 1001 WARRENVILLE ROAD,  
LISLE, IL 60532 - INDEFINITE SHARES. (FILE 33-52492 - SEP. 28) (BR. 18 - NEW ISSUE)
- S-8 ANALYSIS & TECHNOLOGY INC, TECHNOLOGY PARK RTE 2, PO BOX 220, NORTH STONINGTON, CT  
06359 (203) 599-3910 - 100,000 (\$1,050,000) COMMON STOCK. (FILE 33-52582 - OCT. 01)  
(BR. 9)

## REGISTRATIONS CONTINUED

- S-3 ORION CAPITAL CORP, 30 ROCKEFELLER PLZ, NEW YORK, NY 10112 (212) 332-8080 - 1,637,842 (\$64,080,568) COMMON STOCK. (FILE 33-52604 - OCT. 01) (BR. 10)
- S-8 PRONET INC /DE/, 600 DATA DRIVE STE 100, PLANO, TX 75075 (214) 964-9500 - 600,000 (\$4,800,000) COMMON STOCK. (FILE 33-52606 - OCT. 01) (BR. 7)
- S-1 SYBRON CORP /DE/, 411 E WISCONSIN AVE 24TH FLR, MILWAUKEE, WI 53202 (414) 274-6600 - 2,614,920 (\$47,395,425) COMMON STOCK. (FILE 33-52614 - OCT. 01) (BR. 8)
- S-8 GENDEX CORP, 901 WEST OAKTON ST, DES PLAINES, IL 60018 (708) 640-4800 - 440,000 (\$15,345,000) COMMON STOCK. (FILE 33-52616 - OCT. 01) (BR. 8)
- S-3 APACHE CORP, 2000 POST OAK BLVD STE 100, 200 POST OAK BLVD, HOUSTON, TX 77056 (713) 296-6000 - 2,000,000 (\$42,125,000) COMMON STOCK. (FILE 33-52618 - OCT. 01) (BR. 11)
- F-6 CHINA STEEL CORP, 111 WALL STREET, C/O CITIBANK NA, NEW YORK, NY 10043 - 50,000,000 (\$500,000) FOREIGN COMMON STOCK. (FILE 33-52628 - SEP. 29) (NEW ISSUE)
- S-1 MORGAN FINANCIAL CORP, 55 YONGE ST STE 700, TORONTO, ONTARIO CANADA M5E 1S4, A1 (416) 366-8999 - 595,125 (\$5,951,250) COMMON STOCK. (FILE 33-52670 - SEP. 30) (BR. 1)
- S-8 UNITED STATES BANKNOTE CORP /NY/, 345 HUDSON ST, NEW YORK, NY 10014 (212) 741-8500 - 1,831,600 (\$9,158,000) COMMON STOCK. (FILE 33-52674 - SEP. 30) (BR. 11)
- S-1 LONE STAR STEAKHOUSE & SALOON INC, 224 EAST DOUGLAS SUITE 700, WICHITA, KS 67202 (316) 264-8899 - 2,875,000 (\$82,835,937) COMMON STOCK. (FILE 33-52678 - OCT. 01) (BR. 11)
- S-3 BURLINGTON NORTHERN INC/DE/, 3800 CONTINENTAL PLZ, 777 MAIN ST, FT WORTH, TX 76102 (817) 878-2000 - 6,900,000 (\$345,000,000) PREFERRED STOCK. UNDERWRITER: DILLON READ & CO INC, GOLDMAN SACHS & CO, MERRILL LYNCH & CO. (FILE 33-52680 - OCT. 01) (BR. 5)
- S-3 CYPRUS MINERALS CO, 9100 E MINERAL CIRCLE, ENGLEWOOD, CO 80112 (303) 643-5000 - 7,917,841 (\$248,422,261) COMMON STOCK. (FILE 33-52684 - OCT. 01) (BR. 1)
- S-3 PHILADELPHIA ELECTRIC CO, 2301 MARKET ST, P O BOX 8699, PHILADELPHIA, PA 19101 (215) 841-4000 - 1,400,000 (\$140,000,000) PREFERRED STOCK. (FILE 33-52690 - OCT. 01) (BR. 7)
- S-6 TAX EXEMPT SECURITIES TRUST SERIES 365, 1345 AVE OF THE AMERICAS, C/O SMITH BARNEY HARRIS UPHAM & CO INC, NEW YORK, NY 10105 - 1,600 (\$1,600,000) UNIT INVESTMENT TRUST. DEPOSITOR: KIDDER PEABODY & CO INC, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-52694 - OCT. 01) (BR. 16 - NEW ISSUE)
- F-6 KAWASAKI STEEL CORP ADR/, 2 RECTOR ST, C/O CORPORATE TRUST DIVISION, NEW YORK, NY 10006 (212) 978-5009 - 30,000,000 (\$1,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-52698 - OCT. 01) (BR. 0)
- S-8 METATEC CORP, 7001 DISCOVERY BLVD, DUBLIN, OH 43017 (614) 761-2000 - 130,000 (\$495,625) COMMON STOCK. (FILE 33-52700 - OCT. 01) (BR. 11)
- S-1 AMERICAN MEDICAL RESPONSE INC, 67 BATTERYMARCH ST STE 300, BOSTON, MA 02110 (617) 261-1600 - 2,000,000 (\$19,625,000) COMMON STOCK. (FILE 33-52702 - OCT. 01) (BR. 5)
- S-8 BISYS GROUP INC, 150 CLOVE ROAD, LITTLE FALLS, NJ 07424 (201) 812-8600 - 1,697,500 (\$25,038,125) COMMON STOCK. (FILE 33-52712 - OCT. 01) (BR. 9)
- S-3 HOUSEHOLD INTERNATIONAL INC, 2700 SANDERS RD, PROSPECT HEIGHTS, IL 60070 (708) 564-5000 - 50,000 (\$50,000,000) PREFERRED STOCK. (FILE 33-52714 - OCT. 01) (BR. 11)

REGISTRATIONS CONTINUED

- S-4 ROBERN APPAREL INC, 350 BEDFORD ST 3RD FLR, STAMFORD, CT 06901 (203) 973-0010 - 1,210,000 (\$3,305,125) COMMON STOCK. (FILE 33-52716 - OCT. 01) (BR. 4)
- S-8 SPECTRANETICS CORP, 96 TALAMINE COURT, COLORADO SPRING, CO 80907 (719) 633-8333 - 100,000 (\$700,000) COMMON STOCK. (FILE 33-52718 - OCT. 01) (BR. 8)
- S-8 TOMKINS PLC, 84 UPPER RICHMOND RD, EAST PUTNEY HOUSE, LONDON ENGLAND SW15 2ST, XO - 500,000 (\$8,625,000) FOREIGN COMMON STOCK. (FILE 33-52722 - OCT. 01) (BR. 3)
- N-1A CIGNA INSTITUTIONAL FUNDS GROUP, ONE FINANCIAL PLAZA, SPRINGFIELD, MA 01103 (413) 784-0100 - INDEFINITE SHARES. UNDERWRITER: CIGNA SECURITIES INC. (FILE 33-52724 - SEP. 30) (BR. 16 - NEW ISSUE)
- S-B PROVINCE OF NEWFOUNDLAND, 1251 AVE OF THE AMERICAS, C/O CANADIAN CONSULATE GENERAL, NEW YORK, NY 10020 (000) 000-0000 - 200,000,000 (\$200,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-52726 - OCT. 01) (BR. 9)
- S-8 FEDFIRST BANCSHARES INC, 230 NORTH CHERRY ST, WINSTON SALEM, NC 27101 (919) 723-3604 - 167,200 (\$1,672,000) COMMON STOCK. (FILE 33-52730 - OCT. 01) (BR. 2)
- S-3 ATLANTA GAS LIGHT CO, 235 PEACHTREE ST NE, ATLANTA, GA 30303 (404) 584-4000 - 1,780,000 (\$44,500,000) PREFERRED STOCK. UNDERWRITER: KIDDER PEABODY & CO INC, MERRILL LYNCH & CO, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-52752 - OCT. 02) (BR. 7)

REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
AMERICAN PACIFIC CORP	33-52898	10/05/92
AMFED FINANCIAL INC /NV/	33-50672	10/06/92
AMOSKEAG CO	33-50822	10/06/92
AMTECH CORP	33-53010	10/07/92
ANALYSIS & TECHNOLOGY INC	33-52582	10/01/92
ASTEC INDUSTRIES INC	33-52918	10/06/92
ASTROSYSTEMS INC	33-52816	10/02/92
AZTAR CORP	33-51008	10/02/92
BANDO MCGLOCKLIN CAPITAL CORP	33-51406	10/05/92
BANKERS FIRST CORP	33-53008	10/07/92
BANPONCE CORP	33-51186	10/06/92
BELL BANCORP INC /DE	33-52744	10/01/92
BELL BANCORP INC /DE	33-52746	10/01/92
BETH PAGE THEATRE CO INC	33-49598-02	10/05/92
BISYS GROUP INC	33-52712	10/01/92
BRIARWOOD THEATRES INC	33-49598-03	10/05/92
CABOT CORP	33-52940	10/05/92
CARRIAGE HOUSE MOTOR LODGE INC	33-49598-04	10/05/92
CENTENNIAL FINANCIAL CORP	33-52984	10/06/92
CINEMA EAST CORP	33-49598-05	10/05/92
CIRCADIAN INC	33-52828	10/02/92
CONNECTICUT NATURAL GAS CORP	33-49005	10/01/92
CONTINENTAL MEDICAL SYSTEMS INC /DE/	33-52884	10/05/92
CYPRUS MINERALS CO	33-52684	10/01/92
CYPRUS MINERALS CO	33-52812	10/02/92
DATA RACE INC	33-51170	10/07/92
DESIGNS INC	33-52892	10/05/92
DIBRELL BROTHERS INC	33-52708	10/01/92
DUFF & PHELPS UTILITIES INCOME INC	33-51208	10/02/92
EASTMAN KODAK CO	33-42919	10/07/92
EDWARDS A G INC	33-52786	10/02/92
ELECTRONICS FOR IMAGING INC	33-50966	10/01/92
ENRON CORP	33-52768	10/02/92



## REGISTRATIONS EFFECTIVE:

NAME OF ISSUER	FILE NO.	DISPOSITION DATE
FARM FRESH INC	33-50458	10/02/92
FEDFIRST BANCSHARES INC	33-52728	10/01/92
FEDFIRST BANCSHARES INC	33-52730	10/01/92
FIRST CHARTER CORP /NC/	33-52004	10/04/92
FLIGHTSAFETY INTERNATIONAL INC	33-52998	10/07/92
FOAMEX CAPITAL CORP	33-49976-01	10/02/92
FOAMEX L P	33-49976	10/02/92
GENDEX CORP	33-52616	10/01/92
GEORGIA GULF CORP /DE/	33-52798	10/02/92
GROTON CINEMA INC	33-49598-06	10/05/92
HARRIET THEATRE CORP	33-49598-07	10/05/92
HELLO AGAIN PRODUCTIONS INC	33-49598-08	10/05/92
HEMACARE CORP /CA/	33-52622	10/02/92
HERITAGE MEDIA CORP	33-52062	10/01/92
HILFIGER TOMMY CORP	33-52810	10/02/92
HOME INTENSIVE CARE INC	33-52626	10/02/92
HORIZON FINANCIAL SERVICES INC	33-52808	10/02/92
HORIZON INDUSTRIES INC/GA/	33-52926	10/06/92
ICC TECHNOLOGIES INC	33-49070	10/05/92
ILLINOIS BELL TELEPHONE CO	33-51966	10/02/92
ILOVIR INC	33-49598-09	10/05/92
INTERNATIONAL BUSINESS SCHOOLS INC	33-52938	10/07/92
INTERNATIONAL TECHNOLOGY CORP	33-52974	10/06/92
JTC THEATRE CORP	33-49598-10	10/05/92
JUST TOYS INC	33-50878	10/01/92
KEANE INC	33-52756	10/02/92
KEANE INC	33-52758	10/02/92
KEANE INC	33-52760	10/02/92
KEANE INC	33-52762	10/02/92
KELLEY OIL CORP	33-51632	10/05/92
KEYCORP	33-51676	10/02/92
LASERMASTER TECHNOLOGIES INC	33-52916	10/06/92
LILLY INDUSTRIES INC	33-52954	10/05/92
LILLY INDUSTRIES INC	33-52956	10/05/92
LILLY INDUSTRIES INC	33-52958	10/05/92
LOMAS FINANCIAL CORP	33-52764	10/02/92
LONG ISLAND LIGHTING CO	33-52424	10/06/92
MAGAININ PHARMACEUTICALS INC	33-52882	10/05/92
MARINE DRILLING COMPANIES INC	33-52470	10/05/92
MARRIOTT CORP	33-52840	10/02/92
MARRIOTT CORP	33-52842	10/02/92
MBIA INC	33-52412	10/01/92
MCAFEE ASSOCIATES INC	33-51042	10/05/92
MEDCO CONTAINMENT SERVICES INC	33-50916	10/02/92
MEDRAD INC	33-52794	10/02/92
METATEC CORP	33-52700	10/01/92
MICROTEK MEDICAL INC	33-47461	10/05/92
MOHAWK INDUSTRIES INC	33-52542	10/01/92
MOSCOM CORP	33-52942	10/05/92
NATIONAL SEMICONDUCTOR CORP	33-48935	10/05/92
NORANDA FOREST INC	33-52214	10/01/92
NORTHEAST UTILITIES	33-40156	10/07/92
NOVA CORPORATION OF ALBERTA	33-51986	10/01/92
NU KOTE HOLDING INC /DE/	33-48102	10/01/92
NWNL COMPANIES INC	33-50310	10/05/92
OAK INDUSTRIES INC	33-53012	10/07/92
ORION CAPITAL CORP	33-52604	10/01/92
ORYX ENERGY CO	33-45611	10/02/92
PAT PLAZA AMUSEMENTS INC	33-49898-11	10/05/92
PAYCHEX INC	33-52772	10/02/92
PAYCHEX INC	33-52838	10/02/92
PENN TRAFFIC CO	33-51824	10/02/92
PEOPLES TELEPHONE COMPANY INC	33-52202	10/05/92

## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
PLAINS RESOURCES INC	33-50572	10/02/92
PLAZA HOME MORTGAGE CORPORATION	33-45981	10/01/92
PLAZA HOME MORTGAGE CORPORATION	33-45981	10/02/92
POTLATCH CORP	33-52732	10/01/92
PRIME MEDICAL SERVICES INC	33-52900	10/05/92
PRONET INC /DE/	33-52606	10/01/92
R & B INC	33-52946	10/01/92
RAYTHEON CO	33-49045	10/02/92
RHONE POULENC S A	33-52532	10/05/92
SCICLONE PHARMACEUTICALS INC	33-52820	10/02/92
SEARS ROEBUCK & CO	33-52836	10/02/92
SOCIETY CORP	33-51652	10/01/92
SOUTHERN CALIFORNIA GAS CO	33-51322	10/02/92
SPECTRANETICS CORP	33-52718	10/01/92
SPORTMART INC	33-50726	10/05/92
SUN TELEVISION & APPLIANCES INC	33-51970	10/07/92
TECOGEN INC	33-52814	10/02/92
TELETEK INC	33-52928	10/06/92
THERMO CARDIOSYSTEMS INC	33-52822	10/02/92
THERMO ELECTRON CORP	33-52800	10/02/92
THERMO ELECTRON CORP	33-52804	10/02/92
THERMO ELECTRON CORP	33-52806	10/02/92
THERMO ELECTRON CORP	33-52826	10/02/92
THERMO PROCESS SYSTEMS INC	33-52824	10/02/92
THERMOTREX CORP	33-52818	10/02/92
TOMKINS PLC	33-52722	10/01/92
TRI CONTINENTAL CORP	33-50456	10/02/92
TRIPLE A & GOVERNMENT SERIES 1995 INC	33-50994	10/02/92
TRIPLE A & GOVERNMENT SERIES 1997 INC	33-50996	10/02/92
TW SERVICES INC	33-48923	10/05/92
UNITED ARTISTS THEATRE CIRCUIT INC /MD/	33-49598	10/05/92
UNIVERSAL VOLTRONICS CORP	33-52802	10/02/92
URANIUM RESOURCES INC /DE/	33-52620	10/01/92
VARLEN CORP	33-51420	10/01/92
VLSI TECHNOLOGY INC	33-52908	10/05/92
W W CAPITAL CORP	33-52924	10/06/92
WESTPORT BANCORP INC	33-48280	10/01/92
WESTPORT BANCORP INC	33-48420	10/01/92
WYLE LABORATORIES	33-52922	10/06/92
ZIONS BANCORPORATION /UT/	33-52796	10/02/92
ZIONS BANCORPORATION /UT/	33-52878	10/02/92
4TH DIMENSION SOFTWARE LTD	33-50984	10/05/92

---

**RECENT 8K FILINGS**


---

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.

- Item 7. Financial Statements and Exhibits.  
 Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ACTIVE CAPITAL INC	DE				X					09/29/92	
ADDSO INDUSTRIES INC	DE				X	X				09/30/92	
AES CORPORATION	DE				X					10/02/92	
AIRGAS INC	DE					X				07/27/92	AMEND
AKORN INC	LA	X				X				05/12/92	AMEND
ALDUS CORP /WA/	WA				X					10/06/92	
AMERICAN ADVENTURE INC /DE/	DE				X					08/01/92	
AMERICAN HOUSING TRUST XI					X					10/25/92	
ANGEION CORP/MN	MN	X				X				09/22/92	
APPLIED MAGNETICS CORP	DE				X	X				09/28/92	
APS HOLDING CORPORATION	DE				X					10/05/92	
ARCHIVE CORP /DE/	DE				X	X				10/01/92	
ARTESIAN RESOURCES CORP	DE				X					09/30/92	
ATLANTIC EXPRESS INC	DE			X						09/09/92	
BANPONCE CORP						X				10/06/92	
BIG O TIRES INC	NV				X					10/06/92	
BIOTECHNICA INTERNATIONAL INC	DE				X	X				10/05/92	
BRAINERD INTERNATIONAL INC	MN				X	X				10/09/92	
BURLINGTON INDUSTRIES EQUITY INC	DE				X					10/01/92	
CAPSTEAD SECURITIES CORPORATION IV	DE	X				X				09/30/92	
CARRIAGE INDUSTRIES INC	GA				X	X				10/02/92	
CENTERIOR ENERGY CORP	OH				X					08/24/92	
CHEMFIX TECHNOLOGIES INC	DE					X				09/21/92	
CITYFED FINANCIAL CORP	DE				X					09/23/92	
CLEVELAND ELECTRIC ILLUMINATING CO	OH				X					08/24/92	
CMS ENERGY CORP	MI				X	X				10/01/92	
COMMERCIAL CREDIT CO	DE				X	X				09/20/92	
CORRECTIONS SERVICES INC	FL				X					10/05/92	
CV REIT INC	DE					X				07/31/92	AMEND
DAIWA MORTGAGE ACCEPTANCE CORP ASSET BAC	DE	X				X				09/25/92	
DEFENSE SOFTWARE & SYSTEMS INC						X				07/13/92	AMEND
DICKENSON MINES LTD	FN				X					09/30/92	
DIXIE NATIONAL CORP	MS				X					10/01/92	
DURIRON CO INC	NY	X			X	X				09/23/92	
DWG CORP	OH	X				X				02/17/92	AMEND
ECI ENVIRONMENTAL INC	NV				X	X				09/30/92	
ELECTRONIC CLEARING HOUSE INC	NV				X					08/27/92	
ELLISON RAY MORTGAGE ACCEPTANCE CORP	TX	X			X					09/24/92	
FIBERCORP INTERNATIONAL INC	DE				X	X				09/25/92	
FIRST CONSTITUTION FINANCIAL CORP	DE				X	X				10/02/92	
FIRST EASTERN CORP /PA/	PA				X	X				10/01/92	
FIRST UNITED BANCORP INC	AL				X	X				10/05/92	
FIRST USA BANK	DE				X	X				09/24/92	
FLEET AEROSPACE INC	DE				NO ITEMS					09/30/92	
GENICOM CORP	DE				X	X				09/25/92	
GOLD RESERVE CORP	MT				X					09/30/92	
GOLDEN ISLES FINANCIAL HOLDINGS INC	GA				X					10/01/92	
HAMILTON DIGITAL CONTROLS INC	NY				X					10/01/92	
HOME CENTERS INC	OH				NO ITEMS					09/30/92	
HOUSING SECURITIES INC /NY/	NY	X								09/30/92	
HOUSTON LIGHTING & POWER CO	TX				X	X				10/07/92	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
INGLEBY COMMUNICATIONS CORPORATION	NV				X					09/15/92	AMEND
INSURANCE AUTO AUCTIONS INC /CA	CA					X				08/25/92	AMEND
INTERPRETER INC	NV			X	X					10/02/92	
JACOR COMMUNICATIONS INC	OH				X					09/08/92	
JACOR COMMUNICATIONS INC	OH	X				X				09/22/92	
JAMES RIVER CORP OF VIRGINIA	VA			X	X					10/06/92	
JWP INC/DE/	DE			X	X					10/02/92	
KMART CORP	MI			X	X					10/05/92	
KRANTOR CORP	DE			X	X					09/30/92	
LA Z BOY CHAIR CO	MI			X						10/02/92	
LAKELAND FIRST FINANCIAL GROUP INC	NJ			X	X					09/29/92	
LASERTECHNICS INC	DE			X	X					09/30/92	
LATEX RESOURCES INC	TX				X					12/31/91	AMEND
LATEX RESOURCES INC	TX				X					04/14/92	AMEND
LATEX RESOURCES INC	TX				X					04/21/92	AMEND
LATEX RESOURCES INC	TX				X					04/27/92	AMEND
LEHMAN PASS THROUGH SECURITIES INC	DE			X	X					09/15/92	
LEXINGTON PRECISION CORP	DE			X	X					10/01/92	
MANAGEMENT TECHNOLOGIES INC	NY			X						09/17/92	AMEND
MARCUS CORP	DE			X	X					10/02/92	
MARINE MIDLAND AUTO FIN CORP MARINE MIDL	NY			X	X					09/15/92	
MARINE MIDLAND AUTO FIN CORP MARINE MIDL	NY			X	X					09/15/92	
MARINE MIDLAND AUTO FIN CORP MARINE MIDL	NY			X	X					09/15/92	
MARINE MIDLAND AUTO FIN CORP MARINE MIDL	NY			X	X					09/15/92	
MARINE MIDLAND BANK N A				X	X					09/22/92	
MARINE MIDLAND BK N A MARINE MIDLAND CRE				X	X					09/15/92	
MARINE MIDLAND BK NA MARINE MIDLAND HOME				X	X					09/15/92	
MARKETING EDUCATIONAL CORP	FL			X						09/30/92	
MAY DEPARTMENT STORES CO	NY			X	X					10/01/92	
MBNA AMERICA BK NATIONAL ASSO MBNA CREDI				X						09/14/92	
MEDQUIST INC	NJ			X	X					09/29/92	
MEDQUIST INC	NJ			X	X					10/02/92	
MERCANTILE BANCORPORATION INC	MO				X					09/24/92	AMEND
MERIS LABORATORIES INC	CA			X						09/27/92	
MICEL CORP	NY			X	X					10/01/92	
MIDDLESEX WATER CO	NJ			X						09/28/92	
MORRISON KNUDSEN CORP	DE			X						09/30/92	
NAVISTAR INTERNATIONAL CORP /DE/NEW	DE			NO ITEMS						08/20/92	
NAVISTAR INTERNATIONAL CORP /DE/NEW	DE			NO ITEMS						08/27/92	
NAVISTAR INTERNATIONAL TRANSPORTATION CO	DE			NO ITEMS						08/20/92	
NAVISTAR INTERNATIONAL TRANSPORTATION CO	DE			NO ITEMS						08/27/92	
NEOGEN CORP	MI			X			X			10/05/92	
NETWORK IMAGING CORP	DE			X			X			09/21/92	
NEW ENGLAND POWER CO	MA			NO ITEMS						09/30/92	
NEW YORK BANCORP INC	DE				X					09/24/92	
NORTHWEST NATURAL GAS CO	OR			X						10/07/92	
NOVA GROUP INC	DE			NO ITEMS						04/30/92	AMEND
NYLIFE STRUCTURED ASSET MANAGEMENT COMPA	TX		X	X						09/16/92	AMEND
PALM SPRINGS GOLF CO INC	DE	X	X	X		X				09/30/92	
PANTHEON INDUSTRIES INC	CO	X	X		X	X	X			07/21/92	
PICO PRODUCTS INC	NY		X			X				09/22/92	
PRIMARK CORP	MI		X			X				10/07/92	
PRIMERICA CORP /NEW/	DE			X	X					09/20/92	
PRIMERICA HOLDINGS INC	DE			X	X					09/20/92	
PUGET SOUND POWER & LIGHT CO /WA/	WA			X						10/05/92	
RANCON REALTY FUND II	CA		X	X	X					09/15/92	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			NO ITEMS						09/25/92	