# sec news digest

Tssue 93-217

November 10, 1993

### COMMISSION ANNOUNCEMENTS

### CHAIRMAN LEVITT ANNOUNCES RESULTS OF SEC'S MUTUAL FUND SURVEY

Chairman Levitt today released the results of a survey commissioned by the SEC's Office of Economic Analysis in order to discern the degree to which investors understand the risks associated with mutual funds. A key result of the survey indicates that 66 percent of bank fund holders believe that money market mutual funds sold through banks are federally insured. (Press Rel. 93-55)

### ADMINISTRATIVE PROCEEDINGS

### SALVATORE LANZA BARRED

The Commission has instituted public administrative proceedings against Salvatore A. Lanza (Lanza), formerly a principal of Bachus & Stratton Securities, Inc., a now defunct broker-dealer, and simultaneously accepted Lanza's Offer of Settlement in which he consented to the issuance of an order barring him from association with any broker, dealer, investment adviser, investment company or municipal securities dealer. The bar is based on an injunction entered against Lanza in United States District Court for the Southern District of Texas (SEC v. Glenn A. Schuster, et al., H-92-3598) that permanently enjoins him from future violations of the antifraud provisions of the federal securities laws. The Commission's complaint alleged that Lanza participated in a fraudulent distribution of the securities of Bancus Richmond, Inc. (Bancus), by establishing a securities account that, although maintained in his name, allowed the distribution of Bancus securities by undisclosed control persons. Lanza consented to the entry of the injunction without admitting or denying the allegations contained in the Commission's complaint. (Rel. 34-33136)

## CIVIL PROCEEDINGS

# COMPLAINT FILED AGAINST JAMES ALLBRANDT

The Central Regional Office announced that on November 3 the Commission filed a complaint in the U.S. District Court for the District of Colorado seeking a permanent

injunction against, and an accounting, civil penalties and disgorgement from James L. Alibrandt, formerly of Englewood, Colorado, based on violations of the antifraud provisions of the federal securities laws.

According to the complaint, Allbrandt, a lawyer, made untrue statements of, and omitted to disclose, material facts in connection with the offer and sale securities relating to his Freedom and Discovery Aftermarket Trading Programs which he operated out of his Englewood, Colorado law office in 1990 and 1991. The complaint further alleges that Allbrandt diverted approximately \$445,000 of aftermarket trading program investor money for his personal use. [SEC v. James L. Allbrandt, USDC, D. Colo., Civil Action No. 93-F-2323] (LR-13862)

## NATIONAL LIQUIDATORS, INC. AND VANCE WOLFE RESTRAINED

The Commission announced that on October 29, 1993 the Honorable J.D. Holschuh, Chief Judge, U.S. District Court for the Southern District of Ohio, issued a temporary restraining order against National Liquidators, Inc., (NLI) a debtor in bankruptcy, and Vance K. Wolfe (Wolfe) of Galena, Ohio, restraining them from further violations of Section 5(a), 5(c), 17(a)(1), 17(a)(2) and 17(a)(3) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. The Court also restrained both Wolfe and NLI from destroying documents and Wolfe from selling, assigning or pledging any major asset without prior order of the Court.

In its complaint, the Commission alleged that from January 1991 through September 1993 Wolfe, the president and sole shareholder of NLI until his resignation on October 18, 1993, and NLI raised approximately \$43 million from the offer and sale of unregistered securities to approximately one thousand investors. Wolfe and NLI purportedly used investor funds to purchase merchandise to be resold to liquidation outlets and discount retail stores, however, most of the money solicited after early 1992, approximately \$35 million, was misappropriated or diverted by Wolfe and NLI for improper purposes. [SEC v. Vance K. Wolfe, et al., Civil Action No. C2-93-1014, USDC, SD Ohio] (LR-13863)

### PERMANENT INJUNCTION OBTAINED AGAINST LOUIS FOTI

The Commission announced that on October 18, 1993 a Final Judgment of Permanent Injunction was entered in the U.S. District Court for the District of Utah enjoining Louis S. Foti from violating Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 an Rule 10b-5 promulgated thereunder.

The complaint alleged that Foti engaged in a scheme to sell a large block of unrestricted common stock of New Minority Ventures, Inc. to an undercover agent of the Federal Bureau of Investigation who was posing as a purchaser interested in manipulating the price of the company's stock. Foti also failed to disclose in a prospectus made available to the public that he controlled virtually all of the free-trading and restricted stock in New Minority.

Foti consented to the entry of the injunction without admitting or denying the allegations contained in the Commission's complaint. [SEC v. Louis S. Foti, USDC, D. Utah, Civil Action No. C93-822A] (LR-13865)

## INVESTMENT COMPANY ACT RELEASES

### WHITE RIVER CORPORATION

A notice has been issued giving interested persons until December 3, 1993 to request a hearing on an application filed by White River Corporation for an order under Sections 6(c) and 6(e) of the Investment Company Act. Applicant was created as part of a reorganization of Fund American Enterprises Holdings, Inc. (FAEH) as a wholly-owned subsidiary of FAEH. As part of the reorganization, FAEH plans to distribute to its shareholders a majority of its holdings in applicant. Because of applicant's asset composition, applicant falls within the definition of an investment company under the Act, however, it plans to engage in a non-investment company business. Accordingly, applicant seeks a temporary exemption from all provisions of the Act except Sections 9, 17(a) (as modified in the application), 17(d) (as modified), 17(e) (as modified), 17(f), and 36 through 53, and the rules thereunder. (Rel. IC-19841 - November 8)

### SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

The following is a list of significant no-action and interpretative letters recently issued by the Division of Market Regulation. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

Listed below are significant interpretive, exemptive, or no-action letters issued by the Division of Market Regulation for the period July 1, 1993 to September 30, 1993.

Company	Act/Section/Rule	Date <u>Mailed</u>	Date <u>Available</u>
Distributions of Certain SEAQ and SEAQ Int'1	1934 Act, Section 10(b) Rules 10b-6, 10b-7	7/12/93	7/12/93
British Telecom- munications PLC	1934 Act, Section 10(b) Rules 10b-6, 10b-7	7/14/93	7/15/93
Merrill Lynch, Pierce, Fenner & Smith Incorporated	1934 Act, Section 14(e) Rule 14e-1	7/16/93	7/20/93

Company	Act/Section/Rule	Date <u>Mailed</u>	Date <u>Available</u>
Servicios Financieros Quadrum, S.A.	1934 Act, Section 10(b) Rule 10b-7	7/23/93	7/29/93
Reuters Holdings PLC	1934 Act, Sections 10(b) and 13(e), Rules 10b-13 and 13e-4	7/26/93	7/27/93
The Brazilian Investment Fund, Inc.	1934 Act, Section 10(b) Rule 10b-6	8/06/93	8/06/93
Home Oil Company Limited	1934 Act, Section 10(b) Rules 10b-6, 10b-13	8/03/93	8/19/93
Reuters Holdings PL	1934 Act, Section 10(b) Rule 10b-13	8/17/93	8/17/93
INVEST Financial Corp.	1934 Act, Section 15(a)	8/27/93	8/27/93
Central and South West Corporation	1934 Act, Section 10(b) Rule 10b-6	8/31/93	8/31/93
Florida Progress Corporation	1934 Act, Section 10(b) Rule 10b-6	9/03/93	9/07/93
The Lattice Network	1934 Act, Section 6	9/10/93	9/9/93
Central de Livraison de Mobilieres ("CEDEL")	1934 Act, Section 17A	9/15/93	9/15/93
Coca-Cola FEMSA, S.A. de C.V.	1934 Act, Section 10(b) Rule 10b-7	9/13/93	9/21/93
Grupo Tribasa S.A. de C.V.	1934 Act, Section 10(b) Rule 10b-7	9/17/93	9/21/93

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 NERIT SOFTWARE INC, 13707 GANNA RD, DALLAS, TX 75244 (214) 385-2353 1,150,000 (\$5,175,000) PREFERRED STOCK. (FILE 33-70738-FW OCT. 22) (BR. 9 NEW ISSUE)
- N-1A VARIABLE INSURANCE PRODUCT TRUST, 111 CENTER STREET, LITTLE ROCK, AK 00000 INDEFINITE SHARES. (FILE 33-70988 OCT. 28) (BR. 20 NEW ISSUE)
- S-1 AMERICAN RESOURCE CORP INC, STE 250A, 100 DRAKE'S LANDING RD, GREENBRAE, CA 94904 (415) 461-6868 42,992,823 (\$35,135,088) COMMON STOCK. (FILE 33-71092 NOV. 02) (BR. 1)
- F-6 PHARMA PATCH PLC/ADR/, 48 WALL ST, NEW YORK, NY 10286 (212) 495-1727 25,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71098 NOV. 02) (NEW ISSUE)
- S-8 GOLDEN INTERSTATE MEDICAL MANAGEMENT INC, 7205 GREENFEAF AVE, WITTIER, CA 90602 (310) 907-4000 750,000 (\$1,875,000) COMMON STOCK. (FILE 33-71100 NOV. 02) (BR. 6)
- S-8 KASLER HOLDING CO, 27400 EAST FIFTH ST, HIGHLAND, CA 92346 (909) 884-4811 - 769,630 (\$6,012,734.37) COMMON STOCK. (FILE 33-71102 -NOV. 02) (BR. 10)
- SB-2 INDEPENDENT TELEMEDIA GROUP INC, 15303 VENTURA BLVD, PENTHOUSE STE, SHERMAN DAKS, CA 91403 (818) 501-4633 1,752,717 (\$2,401,222.29) COMMON STOCK. (FILE 33-71104 NOV. 02) (BR. 7)
- S-8 NCI BUILDING SYSTEMS INC, 7301 FAIRVIEW, HOUSTON, TX 77041 (713) 466-7788 375,000 (\$5,462,690.25) COMMON STOCK. (FILE 33-71106 NOV. 02) (BR. 9)
- s-8 KEY TECHNOLOGY INC, 150 AVERY ST, WALLA WALLA, WA 99362 (509) 529-2161 572,100 (\$4,433,775) COMMON STOCK. (FILE 33-71108 MOV. 02) (BR. 9)
- S-8 FRANKLIN ELECTRONIC PUBLISHERS INC, 122 BURRS RD, NT HOLLY, NJ 08060 (609) 261-4800 150,000 (\$2,062,500) COMMON STOCK. (FILE 33-71112 NOV. 03) (BR. 10)
- F-6 SHANGHAI ERFANGJI CO LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71140 NOV. 02) (BR. 99 NEW ISSUE)
- N-1A STEWART W P & CO GROWTH FUND INC, 527 MADISON AVE, NEW YORK, NY 10022 (212) 750-8585 - INDEFINITE SHARES. (FILE 33-71142 - NOV. 02) (BR. 17 - NEW ISSUE)

- S-1 FEI CO, 19500 NE GIBBS DR, STE 100, BEAVERTON, OR 97006 (503) 690-1500 2,875,000 (\$25,875,000) COMMON STOCK. UNDERWRITER:
  PACIFIC GROWTH EQUITIES. (FILE 33-71146 NOV. 02) (BR. 8 NEW ISSUE)
- F-1 ESPIRITO SANTO OVERSEAS LTD, ANSBACHER HOUSE JANETT ST, GEORGE TOWN T, GRAND CAYMAN CAYMAN ISLANDS, E9 (809) 949-8655 4,600,000 (\$115,000,000) FOREIGN PREFERRED STOCK. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-71148 NOV. 02) (BR. 1 NEW ISSUE)
- S-11 JAMESON CO, 1950 CENTURY BLVD NE, STE 25, ATLANTA, GA 30345 (404) 636-2973 - 2,885,825 (\$28,858,250) COMMON STOCK. 254,016 WARRANTS, OPTIONS OR RIGHTS. 254,016 (\$3,048,192) COMMON STOCK. (FILE 33-71160 - NOV. 02) (BR. 11 - NEW ISSUE)
- S-2 NEORX CORP, 410 W MARRISON ST, SEATTLE, WA 98119 (206) 281-7001 2,300,000 (\$21,997,200) COMMON STOCK. (FILE 33-71164 MOV. 02) (BR. 4)
- S-1 ARGUS PHARMACEUTICALS INC /DE, 3400 RESEARCH FOREST DRIVE, THE MODDLANDS, TX 77381 (713) 367-1666 - 2,875,000 (\$17,623,750) COMMON STOCK. (FILE 33-71166 - NOV. 02) (BR. 4)
- S-1 SOUTHWEST BANCORP INC, 608 SOUTH MAIN ST, STILLWATER, OK 74074 (405) 372-2230 1,259,768 (\$17,006,868) COMMON STOCK. (FILE 33-71168 MOV. 02) (BR. 2 NEW ISSUE)
- F-6 HAI SUN HUP GROUP LTD, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 10,000,000 (\$500,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71170 NOV. 02) (BR. 99 NEW ISSUE)
- S-3 MUSICLAND STORES CORP, 7500 EXCELSIOR BLVD, NINNEAPOLIS, NN 55426 (612) 932-7700 9,200,000 (\$200,100,000) COMMON STOCK. (FILE 33-71174 NOV. 03) (BR. 1)
- S-4 F&M BANCORPORATION INC, ONE BANK AVENUE, KAUKAUNA, WI 54130 (414) 766-1717 400,000 (\$3,354,000) COMMON STOCK. (FILE 33-71178 NOV. 03) (BR. 1)
- S-8 FIRST USA INC, 2001 BRYAN TOWER 38TH FL, DALLAS, TX 75201 (214) 746-8380 21,425 (\$1,521,175) COMMON STOCK. (FILE 33-71184 NOV. 03) (BR. 11)
- S-4 CENTURA BANKS INC, 134 N CHURCH ST, ROCKY MOUNT, NC 27804 (919) 977-4400 1,539,977 (\$28,859,168.98) COMMON STOCK. (FILE 33-71198 NOV. 03) (BR. 1)

# ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

MANE AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ XOLMED	CUSIP/ PRIOR%	
ACS ENTERPRISES INC					00087236	=
ELECTRA INVTS TR P L C ET	AL	130	10/18/93	6.2	0.0	NEA
ALLIED RESH CORP	CON				01948310	
GLAZER MALCOLM I ET AL		130	10/27/93	9.1	9.1	UPDATE
AMERICAN MOBILE SYS INC	COM	PAR \$0.01		2,669	02755956	0
UNITED ARTISTS INVEST		130	10/ 1/93	65.7	55.0	UPDATE
AUTOINMUNE INC	COM			475	05277610	)
DILLON REED & CO ET AL		130	10/ 8/93	4.7	6.5	UPDATE
BELMAC CORP	COM			851	08005510	0
LIGHT SECURITIES		130	10/ 1/93	4.5	9.2	UPDATE
CABLEVISION SYS CORP	COM			652	1269921	0
SANDLER ASSOC ET AL		130	10/26/93	2.9	4.0	UPDATE
ELECTROMAGNETIC OIL	COM			8.581	2853921	D
NEYERS EUGENE J		130	10/19/93		0.0	
GLENAYRE TECHNOLOGIES INC	COM			317	37789910	n
GLENAYRE ELECTRONICS	u	130	10/19/93		9.9	=
INPERIAL PETROLEUM	COM			4 075	45307810	n
WILSON JEFFREY T	CUR	130	9/23/93	•		UPDATE
H-175 MATI 146				/10	4820601	n
JUPITER NATL INC JOHNSTON INDS INC ET AL	CON	13D	8/25/93		100.0	
				2 252	E/22004	^
LONE STAR INDS INC SCOPE INDS	COM	130	10/26/93	-	54229010 13.2	UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE		CUSIP/ PRIOR%	
MAGELLAN PETROLEUM CORP SAGASCO HLDGS ET AL	COM	14D-1	10/28/93	•	55909110 14.2	UPDATE
MEDICAL MKTG GROUP INC BUELL JAMES R	COM	130	10/13/93		58494310 5.0	UPDATE
PARAMOUNT COMMUNICATIONS INC VIACOM INC ET AL	COM	140-1	11/ 3/93	•	69921610 20.0	RVSION
PARK OHIO INDS INC OHIO CRAWFORD EDWARD F ET AL	COM	130	10/15/93	•	70067710 13.5	UPDATE
PROVIDENCE HEALTH CARE INC ROBINSON JOHN ET AL	COM	130	10/25/93		74375710 8.8	RVSION
STYLEX HOMES INC CALDERA MANUEL R	COM	130	12/ 2/92	•	86422810 0.0	
TIDEWATER INC BESSEMER CAP PARTNERS LP	COM	130	11/ 3/93		88642310 14.7	UPDATE
TIDEWATER INC CORPORATE ADVISORS ET AL	COM	130	10/25/93	3,993 7.5	88642310 14.7	UPDATE
TIME WARNER INC SEAGRAM CO LTD	COM	13D	10/26/93		88731510 5.6	
UNICARE FINL CORP WELLPOINT HEALTH NETWORKS	COM INC	13 <b>0</b>	10/18/93		90459510 0.0	
WHOLESONE AND HEARTY FOODS DIKER CHARLES M	COM	130	10/26/93		96690010 4.3	
AIRTRAN CORP POHLAD CARL R	COM	130	10/19/93	1,379	00949910	
AMRE INC BEDOUITZ STEVEN D	COM	130	10/20/93	2,293	03215310	
BABBAGES INC MORGAN GRENFELL CAP MGMT	COM	130	10/12/93	662	05608810	UPDATE
CELLULAR TECHNICAL SVCS INC	COM			433	15116710	<u> </u>
CITADEL HLDG CORP	COM	130	10/26/93	10.0 593	17286210	UPDATE
CRAIG CORP  COMMANDER AIRCRAFT CO	COM	130	10/19/93	-	20199110	
KUHAM CORP ET AL		130	10/18/93	73.8	71.6	UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ ZOLNED	CUSIP/ PRIORX	
ELECTROMAGNETIC OIL	COM			4 374	28539210	
BLAIR CHARLES M	-	130	10/18/93	6.1	0.0	
GTECH HLDGS CORP	COM			10 401	40051810	
AXA ET AL	CON	130	10/13/93	24.3		UPDATE
NAUKINS ENERGY CORP	COM			2 875	42025810	
GREGORY ROBERT P JR ET AL		13D	10/26/93	23.1		UPDATE
HEICO CORP NEW	COM			778	42280610	
WERTHEIM HERBERT A		130	10/28/93	14.8		UPDATE
IMPERIAL PETROLEUM	COM			1.100	45307810	
BOREN JAMES G		130	9/23/93	5.2		UPDATE
INTL METAL ACQUISITION CORP	COM			647	45995010	
SCHARF MICHAEL J		130	10/22/93	18.5		UPDATE
				2 042	/7000000	
INTERACTIVE NETWORK INC TELE-COMMUNICATIONS INC	COM	13D	10/21/93	2,912 26.0	47999210 23.3	UPDATE
LA QUINTA NTR INNS LTD	DEPOST	TARY UN			50419610	
LA QUINTA INNS INC		14D-1	11/ 5/93	11.4	10.6	UPDATE
LATTICE SENICONDUCTOR CORP	COM			878	51841510	
FIDELITY INTL LTD		130	10/29/93	4.6	6.4	UPDATE
LATTICE SENICONDUCTOR CORP	COM			878	51841510	
FIR CORP		13D	10/29/93	4.6	6.4	UPDATE
LONE STAR INDS INC	CON			2.250	54229010	
SCOPE INDS		13D	10/26/93	13.5		UPDATE
M o bookiere	~~~			713	55799610	
N G PRODUCTS  KLIEN EDWARD C	COM	13D	10/ 5/93	* * -		RVSION
KEICH EDWOOD C		130	10, 3,73	.0.5	***	
MARIETTA CORP	CON				56763410	
FIDELITY INTL LTD		130	10/20/93	6.0	7.9	UPDATE
MARIETTA CORP	COM			216	56763410	
FMR CORP		130	10/20/93	6.0	7.9	UPDATE
N-VIRO REC INC	COM			5,209	63499510	
NICHOLSON J PATRICK ET AL		130	10/19/93	· · · · ·	0.0	
				20.4	40470040	
PACIFIC GATEMAY PPTYS INC GOLDBERG PERRY	COM	13D	10/22/93		69432910	
GALDELEG FERRI		1.50	101 23	3.4		
QUANTUM CORP	COM			•	74790610	
FMR CORP		1 <b>3</b> 0	10/26/93	12.2	10.9	UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ XOLNED	CUSIP/ PRIORX	
QUANTUM CORP	COM			5,306	74790610	)
FMR CORP		130	10/26/93	12.2	10.9	UPDATE
SENECA FOODS CORP NEV	COM			375	81707010	1
WOLCOTT ARTHUR S		1 <b>3</b> D	10/15/93	12.9	0.0	NEV
SOFTWARE DEVELOPERS INC	COM			1 775	83499210	1
MARTINSON JOHN H ET AL	CON	13D	10/19/93	21.6		UPDATE
SLIDBURY INC	CON NEV	,		581	86463520	)
MORGENS E & WATERFALL B ET	T AL	130	10/22/93	4.4	0.0	KEV
SUNPORT NED CORP	COM			1,809	86899510	)
GUTZMAN DENNIS R		130	10/ 5/93	•		NEV
TRANS INDS INC	COM			292	89323910	1
NEWBY STEVEN T	-	130	10/25/93			UPDATE
WARRANTECH CORP	COM PAI	en no	7	4,320	93464830	1
SAN ANTONIO JOEL	CUR PA	130	, 10/1 <mark>8/9</mark> 3	•	16.1	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEN NO. 1 2 3 4 5 6		DATE COMMENT
ABQ MORTGAGE SECURITIES CORP	DE	x	x	11/01/93
ADVANCED MEDICAL PRODUCTS INC	DE	NO ITEMS		11/02/93
ALPHA BETA TECHNOLOGY INC	MA	x	X	11/01/93
AMBANC CORP	IN	X	X	10/19/93
AMERICAN BUSINESS PRODUCTS INC	GA		X	09/01/93AMEND

	STATE	SK ITEN NO	_	
NAME OF ISSUER	CODE	12345		DATE COMMENT
APERTUS TECHNOLOGIES INC	700	X	X	10/26/93
AST RESEARCH INC /DE/	DE	X	X	11/03/93
AUTO BOND RECEIVABLES CORP	DE	NO ITEMS		10/29/93
BALLY MANUFACTURING CORP	DE	×	X	11/10/93
BANC ONE CORP/OH/	OH		X	06/30/93
BIOJECT MEDICAL TECHNOLOGIES INC	OR	MO ITEMS		11/03/93
BRILUND LTD BSD BANCORP INC	DE	X X		11/03/95
BUILDERS TRANSPORT INC	DE	NO ITEMS		11/04/93 08/27/93AMEND
CALDOR CORP	DE	X X	X	10/21/93
CAPITOL AMERICAN FINANCIAL CORP	OH	- ŝ	x	11/03/93
CARSON PIRIE SCOTT & CO /IL/	IL	ŵ	x	11/02/93
CARTER HAWLEY HALE STORES INC /DE/	DE	x	_	11/08/93
CASINO AMERICA INC	DE	NO ITEMS		11/04/93
CELESTIAL VENTURES CORP	NV	NO ITEMS		08/12/93AMEND
CELUTEL INC	DE	X	X	10/08/93
CENTER INCOME PROPERTIES 1	MM	x	x	05/11/93
CEPHALON INC	DE	x	X	10/27/93
CF INCOME PARTNERS L P	DE	x	x	10/29/93
CHALCO INDUSTRIES INC	DE	NO ITEMS		10/29/93
CHAMPION INDUSTRIES INC	W	X	x	11/01/93
CHAMPION PARTS INC	IL	X	X	10/28/93
CHILDRENS DISCOVERY CENTERS OF AMERICA I	DE	NO ITEMS		10/01/93
CIT GROUP HOLDINGS INC /DE/	DE	X		11/08/93
CITIBANK SOUTH DAKOTA NA STAND CRED CARD			x	07/16/93
CNA FINANCIAL CORP	DE	x		11/08/93
COLLINS INDUSTRIES INC	MO	NO ITEMS		11/04/93
COLUMBIA HEALTHCARE CORP	MV	x	X	11/09/93
COMPASS BANCSHARES INC	AL	x		11/08/93
COMPUSONICS VIDEO CORP	CO	NO ITEMS		08/25/93AIEID
CONCORD ELECTRIC CO	MH	x	X	10/25/93
CONSOLIDATED RESOURCES HEALTH CARE FUND	GA	NO ITEMS		10/20/93AMEND
CORTEX PHARMACEUTICALS INC/DE/	DE	×		10/22/93
CROCKER REALTY INVESTORS INC	FL	×	X	08/20/93AMEND
CROWN CASINO CORP	TX		X	06/25/93AMEND
DELTA PETROLEUM CORP/CO	$\infty$	X		11/03/93
DIXIE NATIONAL CORP	MS	X	X	10/21/93
ELECTROMAGNETIC OIL RECOVERY INC	OK	NO ITEMS		10/28/93
ELECTRONIC TECHNOLOGY GROUP INC	DE	NO ITEMS		06/07/00
ENVIRONMENTAL TECHNOLOGIES USA INC	101	X	X	11/01/93
FIRST ABILENE BANKSHARES INC	TX	X		10/26/93
FIRST BOSTON NOR SEC CORP NULT NORT PASS		<b>X</b>	X	10/25/93
FIRST FINANCIAL CORP /VI/	۷I	X		10/12/93
FIRST FINANCIAL CORP /VI/	WI	X		10/13/93
FM PROPERTIES INC	DE	X	X	11/04/93
FORD CREDIT AUTO LOAN MASTER TRUST	MI	X	X	09/30/93
FPA CORP /DE/	DE	NO ITEMS		10/22/93
FUND AMERICA INVEST CORP II PASS THRO CE	4	NO ITEMS		10/25/93
GE CAPITAL HOR SERV INC RENIC MUL CL PAS	KJ	X		09/29/93
GE CAPITAL MORTGAGE SERVICES INC	MJ	X	X	11/08/93
GENERAL CELLULAR CORP	DE	X		10/26/93
GENERAL GROWTH PROPERTIES INC	DE	NO ITEMS		11/03/93
GREAT BEAR TECHNOLOGY INC	œ	ХХ	X	10/25/93
GULF POWER CO	ME	NO ITEMS	X	11/03/93 11/02/93
H&S TREAT & RELEASE INC	MY	MU TIENS		11/02/73

NAME OF ISSUER	STATE	8K ITEN NO. 1 2 3 4 5 6 7 8	DATE COMMENT
HADSON CORP	DE	x	07/15/93AMEND
HALLMARK HEALTHCARE CORP	DE	x x	12/03/92
HEARTLAND EXPRESS INC	WV	NO ITEMS	10/27/93
HELM RESOURCES INC/DE/	DE	NO ITEMS	08/31/93AHEND
HOME SHOPPING NETWORK INC	DE	x x	11/05/93
HOUSING SECURITIES INC MOR PASS THROUGH		X X	09/25/93
HOUSING SECURITIES INC MORT PASS THRO CE		X	09/30/93
KENDALL SQUARE RESEARCH CORP/DE	DE	X X	11/01/93
KIDDER PEABODY ACCEPTANCE CORP I	DE	X X	10/25/93
KRUPP REALTY LTD PARTNERSHIP VII	MA	NO ITEMS	07/13/93
LIBERTE INVESTORS/	MA	X	10/25/93
LONAS FINANCIAL CORP	DE	X	11/09/93
MAF BANCORP INC	DE	X	10/27/93
MAGNAVISION CORPORATION	DE	X	09/27/93
MANUFACTURED HOME CONMUNITIES INC		NO ITEMS	11/05/93
MARINE MIDLAND BANK N A		X X	10/25/93
MCDANIEL AUSTIN CORP	WA	X X	09/13/93
MCDANIEL AUSTIN CORP	WA	X X	10/27/93
MEDCO RESEARCH INC	CA	X X	11/02/93
MEGATEST CORP	CA	X	11/02/93
MLH PROPERTIES LTD PARTMERSHIP III	NY	X X X	10/21/93
MOUNTAINS MEST EXPLORATION INC	MM	X X	10/28/93
MATIONAL INTERGROUP INC	DE	X	11/04/93
NATIONAL REAL ESTATE LTD PARTNERSHIP IV	WI	X X	10/21/93
NETWORK FINANCIAL SERVICES INC	œ	NO ITEMS	08/27/93AMEND
OPPENHEIMER INDUSTRIES INC	DE	X	09/01/93
ORBIS INC	RI	X	10/21/93
PACIFIC ENTERPRISES INC	CA	X	11/03/93
PORTLAND GENERAL CORP /OR	OR	X	11/08/93
PORTLAND GENERAL ELECTRIC CO /OR/	OR	X	11/08/93
PROVIDENT LIFE & ACCIDENT INSURANCE CO O	TN	X	10/28/93
PROVIDENT LIFE CAPITAL CORP PS GROUP INC	DE	X X	10/28/93
RANDERS GROUP INC	DE DE		11/09/93 11/01/93
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	X X X X	10/25/93
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	^ x̂	10/26/93
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	â	10/27/93
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	â	10/27/93
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	x	10/28/93
RESOLUTION TRUST CORP	DE.	x x	10/25/93
RESOLUTION TRUST CORP MULTIF MORT PASS T		x x	10/25/93
RESPIRONICS INC	DE	x ^	11/01/93
RIVERSIDE GROUP INC/FL	FL	^ x	
RPS GROWTH & INCOME FUND LP	DE	NO ITEMS	08/12/93AMEND 11/02/93
RPS HOUSING FUND I L P	DE	X	11/02/93
SALOMON INC	DE	^ x	10/27/93
SANTA BARBARA FUNDING I INC	DE	хх	10/21/93
SANTA BARBARA FUNDING I INC	DE	x x	11/01/93
SANTA FE ENERGY RESOURCES INC	DE	x ^	10/27/93
SANTA FE ENERGY RESOURCES INC	DE	â	10/27/93
SARATOGA BANCORP	CA	NO ITENS	10/27/93
SECURITIZED ASSET SALES INC	DE	X X	10/25/93
SECURITY FEDERAL CORPORATION	DE	x î	10/21/93
SOCIETY STUDENT LOAN TRUST 1993-A		~ x x	10/25/93
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