# sec news digest

Issue 93-149

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August 4, 1993

AUG 0 5 1993

NOTICE OF COMMISSION MEETINGS

U.S. SECURITIES EXCHANGE COMMISSION

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesdays, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

# OPEN MEETING - WEDNESDAY, AUGUST 11, 1993 - 10:00 A.M.

The subject matter of the August 11 open meeting will be:

Consideration of whether to issue a release that will approve amendments to the net capital rule, Rule 15c-3-1 under the Securities Exchange Act of 1934, to make the rule applicable to certain specialists that are currently exempt from the rule and generally exempt such specialists from the rule's haircut and undue concentration deductions. FOR FURTHER INFORMATION CONTACT: Michael A. Macchiaroli at (202) 272-2904.

# CLOSED MEETING - THURSDAY, AUGUST 12, 1993 - 10:00 A.M.

The subject matter of the August 12 closed meeting will be: Institution of administrative proceedings of an enforcement nature; Institution of injunctive actions; Settlement of injunctive actions; Settlement of administrative proceedings of an enforcement nature; and Opinions.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Brian Lane at (202) 272-2400.

#### COMMISSION ANNOUNCEMENTS

#### ADDITIONAL INFORMATION CONCERNING TESTIMONY OF CHAIRMAN LEVITT

As announced in the August 3rd issue of the Digest, Chairman Levitt is scheduled to testify on August 5, at 10:30 a.m. The hearing will be held in room 2123 in the Rayburn House Office Building.

#### STATEMENT OF CHAIRMAN LEVITT REGARDING TODAY'S MSRB PROPOSAL

In response to the announcement by the Municipal Securities Rulemaking Board that it would propose new rules concerning political contributions and disclosure of ongoing issuer information in the market for municipal securities, Chairman Levitt, while declining to comment on the specific proposals, said that the Commission supports efforts to improve the municipal securities market. The details of the MSRB proposals are subject to Commission review prior to approval. (Press Rel. 93-26)

#### ADMINISTRATIVE PROCEEDINGS

NASD ACTION AGAINST INVESTMENT PLANNING, INC., ERWIN HAFEMAN, JOHN FINN, JOHN FINN AND H. SPENCER SMITH AFFIRMED

The Commission has affirmed NASD disciplinary action against Investment Planning, Inc., a Dubuque, Iowa brokerage firm, Erwin J. Hafeman, the firm's president, John L. Finn, the firm's executive vice-president, John K. Finn, one of the firm's vice-presidents, and H. Spencer Smith, another of the firm's vice-presidents. The NASD, among other sanctions, fined the firm \$10,000 and suspended it from membership for 10 days, fined Hafeman \$30,000 and barred him from acting in any principal capacity, fined the Finns \$10,000 each and suspended them in all capacities for 10 days, fined Smith \$5,500 and imposed various requalification requirements on all applicants.

The Commission found that applicants were responsible for charging retail customers unfair prices in 132 transactions in 1988 and 1989. The markups over the wholesale market price ranged from 4% to 7.26% in corporate bond transactions, and from 4% to 5.99% in municipal bond transactions. The Commission concluded that the improper markups represented extraordinary charges for ordinary transactions. It stated that the markups were well above what professionals would generally charge for ordinary investments of a type obtainable from almost any full-service dealer.

The Commission noted that previously it had seldom had the opportunity to address markups below 5%. The Commission also made clear that it was not suggesting that markups under 4% may not be unfair. (Rel. 34-32687)

#### CIVIL PROCEEDINGS

# JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF ENTERED AGAINST SHARON VAN DEN BERG BAKER

The Commission announced that on June 19, 1993 the Honorable Norman Roettger, U.S. District Judge for the Southern District of Florida, entered a judgment of permanent injunction and other relief against Sharon Van Den Berg Baker, individually, and doing business as "Sharon V. Baker SEC Registered Investment Advisor" and "Sharon V. Baker Special Account" (collectively, Baker). Baker is a South Florida-based investment adviser, registered with the Commission.

The permanent injunction enjoins Baker from further violating the antifraud provisions of the Investment Advisers Act of 1940 (Advisers Act), the Securities Act of 1933 and the Securities Exchange Act of 1934, and the recordkeeping and disclosure provisions of the Advisers Act. The issues regarding the amount and payment of disgorgement remain pending. Baker consented to the permanent injunction without admitting or denying the allegations in the Commission's complaint.

The complaint alleges that from at least August 1991 through at least December 1992, Baker misrepresented to clients that she was investing their money in low-risk money market funds and notes, when she was actually using the money for, among other things, personal expenses. Baker also failed to disclose that in December 1990, the New York Stock Exchange barred her from association with any member organization. [SEC v. Sharon Van Den Berg Baker, et al., Civil Action No. 93-8034-CIV-ROETTGER, SD Fla.] (LR-13740)

#### HOLDING COMPANY ACT RELEASES

#### EASTERN EDISON COMPANY, ET AL.

A supplemental order has been issued authorizing a proposal by Eastern Edison Company (Eastern Edison) and Montaup Electric Company (Montaup), direct and indirect, respectively, electric public-utility subsidiary companies of Eastern Utilities Associates, a registered holding company. Eastern Utilities proposes to acquire and retire up to an aggregate amount of \$100 million of any combination of classes or series of its outstanding long-term debt or preferred stock. Montaup proposes to acquire and retire up to an aggregate amount of \$50 million of its outstanding common stock from Eastern Edison. Such acquisitions would take place from time to time through December 31, 1995. (Rel. 35-25862)

#### LISTING, DELISTING AND UNLISTED TRADING ACTIONS

#### UNLISTED TRADING PRIVILEGES AND WITHDRAWALS SOUGHT

Notices have been issued giving interested persons until August 23 to comment on two applications of the <u>Chicago Stock Exchange</u>. Both applications are for unlisted trading privileges in one over-the-counter issue and an application to withdraw unlisted trading privileges in one over-the-counter issue. (Rels. 34-32704; 34-32705, respectively)

#### DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration New Valley Corporation, Common Shares, \$.01 Par Value, \$15.00 Class A Increasing Rate Cumulative Senior Preferred Shares, \$3.00 Class B Cumulative Convertible Preferred Shares; Western Union Corporation, 5 1/4% Convertible Subordinated Debentures, due August 1, 1997, 10 3/4% Subordinated Debentures, due August 1, 1997, 10 3/4% Subordinated Debentures, due August 1, 1997; and Western Union Telegraph Company, 16% Notes, due June 15, 1991, 5% Sinking Fund Debentures, due March 1, 1992, 13 5/8% Notes, due March 15, 1994, 8.45% Sinking Fund Debentures, due March 15, 1996, 7.90% Sinking Fund Debentures, due May 15, 1997, 9 1.4% Sinking Fund Debentures, due December 1, 1997, 8.10% Sinking Fund Debentures, due August 15, 1998, and 13 1/4% Sinking Fund Debentures, due October 1, 2008 (collectively, New Valley Securities). (Rel. 34-32706)

#### SELF-REGULATORY ORGANIZATIONS

# APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the <u>Pacific Stock Exchange</u> (SR-PSE-92-24) to amend Rule 12, <u>Arbitration</u>, of the Rules of the PSE. (Rel. 34-32702)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 ECHLIN INC, 100 DOUBLE BEACH RD, BRANFORD, CT 06405 (203) 481-5751 768,138 (\$21,747,907.13) COMMON STOCK. (FILE 33-66418 JUL. 16) (BR. 4)
- S-8 ECHLIN INC, 100 DOUBLE BEACH RD, BRANFORD, CT 06405 (203) 481-5751 2,758,665 (\$78,104,702) COMMON STOCK. (FILE 33-66422 JUL. 16) (BR. 4)
- S-8 UNIVERSAL ELECTRONICS INC, 1864 ENTERPRISE PKWY W, TWINSBURG, OH 44087 (216) 487-1110 392,760 (\$3,805,844) COMMON STOCK. (FILE 33-66426 JUL. 23) (BR.11)
- S-3 CENTURA BANKS INC, 134 N CHURCH ST, ROCKY MOUNT, NC 27804 (919) 977-4400 749,033 (\$15,729,693) COMMON STOCK. (FILE 33-66478 JUL. 26) (BR. 1)
- S-4 RCL ACQUISITION CORP, 800 SECOND AVE 6TH FLR, STE 603, NEW YORK, MY 10017 (212) 476-4200 4,000,000 (\$20,760,000) COMMON STOCK. (FILE 33-66486 JUL. 23) (BR. 11)

- S-8 PICTURETEL CORP, 222 ROSEWOOD DR, DANVERS, NA 01923 (508) 762-5000 600,000 (\$10,614,000) COMMON STOCK. (FILE 33-66502 JUL. 26) (BR. 7)
- S-3 MESTERN GAS RESOURCES INC, 12200 N PECOS ST STE 230, DENVER, CO 80234 (303) 452-5603 400,000 (\$74,884,362) PREFERRED STOCK. 6,390,000 (\$147,006,250) COMMON STOCK. UNDERWRITER: NORGAN STANLEY & CO. (FILE 33-66516 JUL. 26) (BR. 8)
- S-3 SOMATOGEN INC, 2545 CENTRAL AVE, BOULDER, CO 80301 (303) 440-9988 1,840,000 (\$46,000,000) PREFERRED STOCK. UNDERWRITER: LEHMAN BROTHERS, MONTGOMERY SECURITIES. (FILE 33-66518 JUL. 26) (BR. 4)
- S-3 NEXTEL COMMUNICATIONS INC/DE/, 201 ROUTE 17 NORTH, BUTHERFORD, NJ 07070 (201) 438-1400 334,000,000 (\$200,400,000) STRAIGHT BONDS. (FILE 33-66520 JUL. 26) (BR. 7)
- S-1 SAGA COMMUNICATIONS INC /DE/, 73 KERCHEVAL AVE, GROSSE POINTE FARMS, NI 48236 (313) 886-7070 787,750 (\$12,997,875) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP. (FILE 33-66530 JUL. 27) (BR. 7)
- SB-2 XL CORP, 6001 FOLSOM DR, LAJOLLA, CA 92037 (619) 551-9336 200,000 (\$1,000,000) COMMON STOCK. (FILE 33-66532 JUL. 26) (BR. 12)
- S-4 FIRST SECURITY CORP /DE/, 79 S MAIN ST, 2ND FL, SALT LAKE CITY, UT 84111 (801) 350-5706 7,346,240 (\$95,280,732) COMMON STOCK. (FILE 33-66534 JUL. 26) (BR. 2)
- S-8 CANADIAN OCCIDENTAL PETROLEUM LTD, 1500 635 8TH AVE SM, CALGARY ALBERTA CANADA T2P 3Z1, A0 (403) 234-6700 250,000 (\$5,312,500) FOREIGN COMMON STOCK. (FILE 33-66538 JUL. 27) (BR. 4)
- S-8 BUSH INDUSTRIES INC, ONE MASON DR, P O BOX 460, JAMESTOWN, NY 14702 (716) 665-2000 200,000 (\$1,027,650) COMMON STOCK. (FILE 33-66540 JUL. 27) (BR. 6)
- S-8 BUSH INDUSTRIES INC, ONE MASON DR, P O BOX 460, JAMESTOLM, NY 14702 (716) 665-2000 50,000 (\$195,000) COMMON STOCK. (FILE 33-66542 JUL. 27) (BR. 6)
- S-8 ILC TECHNOLOGY INC, 399 JAVA DR, SUNNYVALE, CA 94089 (408) 745-7900 226,027 (\$2,175,510) COMMON STOCK. (FILE 33-66544 JUL. 27) (BR. 3)
- S-8 LSI LOGIC CORP, 1551 NCCARTHY BLVD, NILPITAS, CA 95035 (408) 433-8000 1,000,000 (\$15,750,000) COMMON STOCK. (FILE 33-66546 JUL. 27) (BR. 3)
- S-8 LSI LOGIC CORP, 1551 NCCARTHY BLVD, MILPITAS, CA 95035 (408) 433-8000 1,000,000 (\$15,750,000) COMMON STOCK. (FILE 33-66548 JUL. 27) (BR. 3)
- S-3 POLYDEX PHARMACEUTICALS LTD/BAHAMAS, 415 COMSTOCK RD, SCARBOROUGH, ONTARIO CANADA M1L 2H4, A6 00000 (416) 755-2231 1,947,490 (\$4,381,852.50) COMMON STOCK. (FILE 33-66550 JUL. 27) (BR. 4)
- S-8 AMERICAN DENTAL TECHNOLOGIES INC, 2600 W BIG BEAVER RD STE 410, TROY, MI 48084 (313) 649-0000 - 1,000,000 (\$4,000,000) COMMON STOCK. (FILE 33-66552 - JUL. 27) (BR. 8)
- S-3 SHOPKO STORES INC, 700 PILGRIM MAY, GREEN BAY, WI 54304 (414) 497-2211 200,000,000 (\$200,000,000) STRAIGHT BONDS. UNDERWRITER: GOLDMAN SACHS & CO, LEHMAN BROTHERS. (FILE 33-66584 JUL. 27) (BR. 1)
- s-4 LIVING CENTERS OF AMERICA INC, 15415 KATY FREEWAY, HOUSTON, TX 77094 (713) 578-4700 - 1,200,000 (\$22,160,400) COMMON STOCK. (FILE 33-66588 - JUL. 27) (BR. 5)

- S-8 C COR ELECTRONICS INC, 60 DECIREL RD, STATE COLLEGE, PA 16801 (814) 238-2461 200,000 (\$2,362,600) COMMON STOCK. (FILE 33-66590 JUL. 27) (BR. 7)
- S-8 OPTION CARE INC/DE, 100 CORPORATE N STE 212, BANNOCKBURN, IL 60015 (708) 615-1690 700,000 (\$2,625,000) COMMON STOCK. (FILE 33-66592 JUL. 27) (BR. 5)
- S-8 ARROW ELECTRONICS INC, 25 HUB DR, MELVILLE, NY 11747 (516) 391-1300 1,500,000 (\$53,535,000) COMMON STOCK. (FILE 33-66594 JUL. 27) (BR. 3)
- S-3 GRAND CASINOS INC, 13705 FIRST AVE N STE 100, PLYNOLNTH, NN 55441 (612) 449-9092 4,945,000 (\$144,641,250) COMMON STOCK. UNDERWRITER: DONALDSON LUFKIN & JENRETTE SECURITIES C, LADENBURG THALMANN & CO INC, MERRILL LYNCH & CO. (FILE 33-66596 JUL. 27) (BR. 12)
- S-1 NORTH AMERICAN MATCH CORP, 650 FIFTH AVE, NEW YORK, NY 10019 (212) 397-7800 3,066,667 (\$49,066,672) CONNON STOCK. UNDERWRITER: FIRST BOSTON CORP, PRUDENTIAL SECURITIES INC. (FILE 33-66600 JUL. 27) (BR. 7)
- S-8 GRAND NETROPOLITAN PUBLIC LINITED CO, 20 ST JAMES SQ, LONDON SWIY 4RR ENGLAND, X0 80,000 (\$498,400) FOREIGN COMMON STOCK. (FILE 33-66604 JUL. 27) (BR. 3)
- SB-2 3MET SYSTEMS INC /DE/, 11000 WHITE ROCK RD STE 200, RANCHO CORDOVA, CA 95670 (916) 851-3900 350,000 (\$525,000) COMMON STOCK. (FILE 33-66610 JUL. 27) (BR. 9)

# ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ XOUNED	CUSIP/ FILING PRIOR% STATUS
ACTAVA GROUP INC TRITON GROUP LTD	COM	130	<b>8/ 2/93</b>		00493510 26.2 UPDATE
ALLIED CAP ADVISERS INC GLADSTONE DAVID	COM	130	7/11/93	719 7.8	01902510 9.0 UPDATE

NAME AND CLASS OF STOCK/OWNER	FORM			CUSIP/ FILING PRIORX STATUS
ALLIED CAP ADVISERS INC CO	DM 130	7/11/93		01902510 9.0 RVSION
B & H BULK CARRIERS LTD CO HUDHER MICHAEL S ET AL	ON 130	6/11/93		05508910 66.1 UPDATE
B & H BULK CARRIERS LTD CO HUDNER MICHAEL S ET AL	OM 130	6/11/93	2,556 64.5	05508910 66.1 RVSION
BIH BANCSHARES INC CO DRAKES LANDING ASSOC ET AL	130	7/21/ <b>93</b>		05591810 0.0 MEV
BNH BANCSHARES INC CO DRAKES LANDING ASSOC ET AL	DM 130	7/21/93		05591810 0.0 RVSION
CAPITAL GAMING INTL INC CO		7/23/93		14018010 0.0 NEW
CAPITAL GAMING INTL INC CO	DM 130	7/23/93	1,455 12.0	14018010 0.0 RVSION
ELECTRO-SENSORS INC CO	DM 130	7/19/93		28523310 50.3 UPDATE
FRANKLIN CONS MING INC CO	ON 130	7/14/93	-	35255910 0.0 RVSION
FRANKLIN CONS NING INC CO	ON 130	7/14/93	•	35255910 0.0 RVSION
GIBRALTAR PACKAGING GROUP CO CHEMICAL VENTURE CAP ASSOC	ON 130	8/ 1/93	275 6.2	37475810 6.2 UPDATE
GIBRALTAR PACKAGING GROUP CO CHEMICAL VENTURE CAP ASSOC	ON 130	8/ 1/93		37475810 6.2 RVSION
HANGER ORTHOPEDIC GROUP INC CO CHEMICAL VENTURE CAP ET AL	ON NEW 130	8/ 1/93	•	41099220 28.0 UPDATE
HANGER ORTHOPEDIC GROUP INC CO	OM NEW 130	8/ 1/93	2, <b>2</b> 96 27.9	41099220 28.0 RVSION
HEALTH-CHEM CORP CX SPEISER MARVIN N	DM 130	7/22/93	•	42217410 41.8 UPDATE
HEALTH-CHEM CORP CO SPEISER MARVIN N	OM 130	7/22/93	•	42217410 41.8 RVSION
LIVE ENTITY INC CO	ЭM 130	6/ 1/93	-	53803210 52.9 UPDATE
LIVE ENTINT INC CO	3M 13D	6/ 1/93		53803210 52.9 RVSION

NAME AND CLASS OF STOCK/OUNER		FORM	EVENT DATE	SHRS(000)/ ZOLNED	CUSIP/ PRIORX	
M-MAVE INC	COM			875	55403410	
FIRST CAPITAL CO/CHICAGO	ET AL.	1 <b>3</b> D	7/21/93	30.6	0.0	NEV
N-WAVE INC	COM			875	55403410	
FIRST CAPITAL CO/CHICAGO	ET AL	130	7/21/93	30.6	0.0	RVSION
NINERAL DEVELOPMENT	COM			0	60283010	
GIFFORD N WAYNE		130	7/20/93	0.0	0.0	NEV
MINERAL DEVELOPMENT	COM			0	60253010	
GIFFORD N WAYNE		130	7/20/93	0.0	0.0	RVSION
NOSTALGIA NETWORK INC	CON NEV	,		7,432	66975230	
CROWN COMMUNICATIONS CRP	ET AL	130	7/22/93	50.8	50.9	UPDATE
REMAL TREATMENT CENTERS INC	COM			1,214	75967110	
HIGHLAND CAPITAL PTRNS ET	AL	130	7/23/93	22.0	0.0	MEV
TRICONEX CORP	COM			349	89611610	
DAHL JAMES H		13D	7/21/93	7.3	0.0	NEV
TRICONEX CORP	COM			349	89611610	
DAHL JAMES H		13D	7/21/93	7.3	0.0	RVSION

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	BK ITEM NO.	
NAME OF ISSUER	CODE	12345678	DATE COPPENT
***************************************			
ADVACARE INC	DE	X X	07/27/93
AIR METHODS CORP	DE	X	06/15/93
AIRSHIP INTERNATIONAL LTD	NY	x	07/23/93

	STATE	&K ITEM NO	•		
NAME OF ISSUER	CODE	12345	678	DATE	COMMENT
		~			
AMERIBANC INVESTORS GROUP	HD	X	X	07/20/9	73
AMERICAN ASSET MANAGEMENT CORP	MJ	X		07/21/9	3
AGLIA BUOY CORP	$\infty$	X	X	07/26/9	3
ARIZONA PUBLIC SERVICE CO	AZ	X		07/18/9	3
AST RESEARCH INC /DE/	DE	X X	X	07/28/9	3
ATRIX INTERNATIONAL INC	100	X		07/23/9	13
AZUSA VALLEY WATER CO	CA	X		07/27/9	73
BALLY MANUFACTURING CORP	DE	X	X	07/30/9	3
BAROID CORPORATION /DE	DE	X	X	07/26/9	13
BELLSOUTH CORP	GA	X		07/22/9	3
BOATHERS BANCSHARES INC /NO	MO	X	X	07/23/9	3
SOONTOLING INC	DE	X	X	06/30/9	3
BRADLEY REAL ESTATE TRUST	MA	X	X	07/22/9	3
BUSINESS RECORDS CORPORATION HOLDING CO	DE	X	X	05/14/9	SAIEID
CELTRIX PHARMACEUTICALS INC	DE	X	X	07/23/9	73
CENTURA BANKS INC	MC	X	X	07/27/9	13
CENTURY PROPERTIES FUND XIV	CA		X	05/21/9	3
CENTURY PROPERTIES FUND XIX	CA		X	05/13/9	SWEID
CENTURY PROPERTIES FUND XIX	CA	X	X	07/21/9	3
CENTURY PROPERTIES FUND XVIII	CA		X	05/19/9	SWEID
CHOCK FULL O MUTS CORP	WY	X	X	07/08/9	3
CONDISCO RECEIVABLES INC	DE	x	X	07/14/9	3
COMDISCO RECEIVABLES TRUST 1991-A	DE	x	X	07/14/9	3
COMDISCO RECEIVABLES TRUST 1992 A	DE	x	X	07/14/9	3
COMDISCO RECEIVABLES TRUST 1993-A		x	X	07/14/9	3
CYBERNETICS PRODUCTS INC	WY	x	x	07/27/9	3
DEERBANK CORP	DE	x	X	07/26/9	
DENTSPLY INTERNATIONAL INC /DE/	DE		X	07/19/9	
DIRECT INVESTMENTS INC	DE	x x	X	05/06/9	_
EASTEX ENERGY INC	DE		X	04/23/9	
FIRST AMARILLO BANCORPORATION INC	TX	x	X	07/23/9	
FIRST BANK SYSTEM INC	DE	X	X	07/29/9	
FIRST CHICAGO CORP	DE	X		07/30/9	
FIRST REPUBLIC BANCORP INC	DE	X		07/27/9	
FLENING COMPANIES INC /OK/	OK	X		07/28/9	
HOLLYWOOD TRENZ INC	DE	X		07/27/9	
ILLINOIS POWER CO	IL	x		07/30/9	
INAGEANERICA INC	TN	X	X	07/27/9	
INTERNATIONAL MUREX TECHNOLOGIES CORP	•	~ x	X	07/12/9	
JEAN PHILIPPE FRAGRANCES INC	DE	x	X	07/15/9	
KRUPP REALTY LTD PARTNERSHIP VII	MA	x	X	07/13/9	
LICON INTERNATIONAL INC	DE	^ x	X	07/21/9	
NATTHEWS STUDIO EQUIPMENT GROUP	CA	_	x	05/25/9	
NENA CORP	HD	x	X	07/27/9	
NEDEX INC	OH	x	x	07/22/9	
HERIDIAN POINT REALTY TRUST VI CO	MO	Ŷ	x	07/01/9	
		NO ITENS	^	07/01/9	
MERIDIAN POINT REALTY TRUST VII CO	NO NE		v	07/22/9	
MID IONA FINANCIAL CORP	DE	X	X		
MONT ROUGE RESOURCES INC	NY	X	X	07/14/9 07/17/9	
NETWORK LONG DISTANCE INC	DE	X	X		
NL INDUSTRIES INC	NJ.	X X	X	07/27/9	
NUMED HOME HEALTH CARE INC	W		X	05/28/9	
OUTLET BROADCASTING INC	RI	X	X	06/28/9	
PROTECTIVE LIFE CORP	DE	X		07/27/9	_
RAMTRON INTERNATIONAL CORP	DE	X		07/12/9	'5

	STATE			
NAME OF ISSUER	CODE	123456	7 B	DATE COMMENT
RELIFE INC /AL	AL	2	K	06/09/93ANEND
REPUBLIC PICTURES CORP/DE	DE	X		07/27/93
RESOLUTION TRUST CORP COMM MORT PASS THR		NO ITEMS		06/25/93
RESOLUTION TRUST CORP COMM NORT PASS THR		NO ITEMS		06/26/93
SEARS ROEBUCK & CO	MY	X )	K	06/30/93
SIERRA CAPITAL REALTY TRUST VIII CO/MD	MO	X 7	(	07/01/93
STONE CONTAINER CORP	DE	X		07/26/93
SUPPLIT TECHNOLOGY INC	KA	X		07/27/93
SUPERIOR BANK FSB			(	07/20/93
TOPS APPLIANCE CITY INC	MT	NO ITEMS		06/01/93AMEND
VALHI INC /DE/	DE		(	07/29/93
VIDEO PUBLISHING VENTURES INC	00		(	07/23/93
WEAM INC	PA		(	07/26/93
WOLVERINE EXPLORATION CO	DE		(	07/14/93
YES CLOTHING CO	CA	X		07/20/93
ZIONS AUTO TRUST 1993-1	DE	X X	[	07/15/93
ADVACARE INC	DE	NO ITEMS		07/27/93AMEND
ADVANCED VIRAL RESEARCH CORP	DE	X		07/26/93
AMMANSON N F & CO /DE/	DE	X X	-	07/29/93
ALLHERICA PROPERTY & CASUALTY COMPANIES	DE	X X	[	07/30/93
ALLOY COMPUTER PRODUCTS INC	MA	X X	[	05/19/93
ALPHA 1 BIOMEDICALS INC /DE/	DE	X X		07/30/93
AMERICAN BODY ARMOR & EQUIPMENT INC	FL	X		07/16/93
AMERICAN CASCADE ENERGY INC	DE	X X X		06/30/93
AMERICAN EXPRESS CO	NY	NO ITEMS		07/ <del>29/9</del> 3
AMERICAN NATURAL ENERGY CORP	OK	x x	Į.	07/15/93
ARTISOFT INC	DE	x x		07/23/93
ARVIDA JMB PARTNERS L P II	DE	x x	i	06/25/93
ASPEN MARINE GROUP INC	œ	NO ITEMS		07/14/93
ASSOCIATES CORPORATION OF NORTH AMERICA	DE	X	•	07/29/93
AST RESEARCH INC /DE/	DE	X X	•	07/27/93
AURTEX INC	WV	x x		05/05/93ANEND
BAILEY CORP	DE	X		07/01/93AMEND
BARTON INDUSTRIES INC	OK	NO ITEMS		07/29/93AMEND
BARTON INDUSTRIES INC	OK	X		07/29/93
BEAR STEARNS COMPANIES INC	DE	X X		06/30/93
BERKSHIRE GAS CO /MA/	MA	X		07/16/93
BLACK HILLS CORP	SD	X		07/27/93
BLANCH E W HOLDINGS INC	DE	x x	1	07/26/93
BNH BANCSHARES INC	CT	x x	1	07/28/93
BOWLES FLUIDICS CORP	MD	X		07/27/93
BUCKEYE CONGUNICATIONS INC	DE	x x	1	07/20/93
BURLINGTON RESOURCES COAL SEAM GAS ROYAL	DE	X	1	07/23/93
BWIP HOLDING INC	DE	x x	1	07/26/93
CAMELOT CORP	œ	x	1	07/01 <b>/93AMEND</b>
CAMPO ELECTRONICS APPLIANCES & COMPUTERS	LA	x x	1	07/16/93
CCR INC	UT	NO ITEMS	(	07/26/93
CHANTAL PHARMACEUTICAL CORP	DE	` x x	- 1	07/22/93
CHASE MANHATTAN CORP	DE	x x	- 1	07/29/93
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE	x x	- (	07/15/93
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE	x x	1	07/15/93
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE	x x	(	07/15/93
CHASE MANHATTAN CREDIT CARD TRUST 1990-A	DE	x x	- (	07/15/93
CHASE MANHATTAN CREDIT CARD TRUST 1991-A	DE	x x		07/15/93

	STATE	&K ITEN NO.	
NAME OF ISSUER	CODE	12345678	DATE COMENT
CHEMICAL MORTGAGE SEC INC MULTI CL MOR P		x x	07/25/93
CHEMICAL MORTGAGE SECURITIES INC	MY	X X	07/25/93
CIGNA CORP	DE	X	08/02/93
CIRO INC	DE	X X X	07/26/93
CITICORP	DE	X	07/27/93
CIVIC BANCORP	CA	X	07/27/93
CLEAN AMERICA CORP /DE/	DE	NO ITEMS	05/01/93
CLINICORP INC	DE	X X	07/15/93
COMMONWEALTH EQUITY TRUST CON TECH SYSTEMS INC	CA DE	X X	07/21/93
CONCORDE HOLDINGS CORP	CO	NO ITEMS X X	07/26/93 06/02/93
CONVEX COMPUTER CORP	DE	x x	07/26/93
CRITICAL INDUSTRIES INC	DE	x x	05/05/93
DENTSPLY INTERNATIONAL INC /DE/	DE	n îx	06/11/93
DIQUES INC /DEL/	DE	x ^	07/23/93
DIXIE NATIONAL CORP	MS	хх	07/22/93
DOLE FOOD COMPANY INC	MI	- x	07/27/93
DYNASTY CLASSICS CORP	CA	NO ITEMS	07/26/93
EKCO GROUP INC /DE/	DE	NO ITEMS	05/18/93
EMERSON RADIO CORP	MI	X	07/29/93
ENDOSONICS CORP	DE	x x	07/14/93
EUROAMERICAN GROUP INC	DE	NO ITEMS	07/08/93AMEND
EXPORT FUNDING CORP	DE	x x	07/16/93
FIDELITY MEDICAL INC	DE	NO ITEMS	07/28/93
FIRST BANKS INC	MO	x x	07/30/93
FIRST DEPOSIT MASTER TRUST		X	07/15/93
FLEET FINANCE & NORTGAGE INC/FL/	RI	x x	07/20/93
FLEET FINANCE INC /RI/	RI	x x	07/20/93
FLEET FINANCE INC OF GEORGIA/GA/	RI	x x	07/20/93
FLEET FINANCE INC REMIC TRUST 1993-1		X X	07/20/93
FLEET FINANCE INC/DE/	RI	X X	07/20/93
FORT HOWARD CORP	DE	X	08/02/93
FRANKLIN CONSOLIDATED MINING CO INC	DE	NO ITEMS	07/19/93
FUND AMERICA INVESTORS CORP II	DE	NO ITEMS	07/25/93
GALLERY RODEO INTERNATIONAL	CA	X X	06/18/93
GEORGIA POWER CO	GA ST	X X	08/02/93
GREENSTONE ROBERTS ADVERTISING INC	NY DE	X NO ITEMS	07/21/93
NADSON CORP NAWTHORNE FINANCIAL CORP	DE CA		07/15/93 07/28/93
HEARTHORNE FINANCIAL CORP HEARTHORNE FINANCIAL CORPORATION OF AMERICA	DE	X X X	07/2 <b>8/93</b>
HEALTH PAK INC	DE	XX	07/26/93
MERITAGE BANKCORP INC	DE	x x	07/27/93
HER FINANCIAL CORP	TN	x x	07/23/93
HOWELL CORP /DE/	DE	NO ITERS	07/16/93
IDB COMMUNICATIONS GROUP INC	DE	X	03/31/93AMEND
IDB COMMUNICATIONS GROUP INC	DE	x	07/27/93
IDS MANAGED FUTURES II L P	DE	NO ITEMS	06/01/93
IMPERIAL INDUSTRIES INC	DE	X X	07/26/93
INSITUFORM TECHNOLOGIES INC	DE	x î	07/26/93
INTERNATIONAL NICROCOMPUTER SOFTWARE INC.	CA	^ x x̂	07/06/93AMEND
INTERNATIONAL VERONEX RESOURCES LTD		^x x̂	07/23/93
IV VENTURES LTD	DE	NO ITERS	07/20/93
IX SYSTEMS INC	MY	NO ITEMS	07/28/93
JAMES RIVER CORP OF VIRGINIA	VA	X X	07/30/93
JAMESHAY CORP	NY	x x x	07/19/93

	STATE	SK ITEN NO.	
NAME OF ISSUER	CODE	12345678	DATE COMMENT
LA PETITE ACADEMY INC	DE	NO ITEMS	07/23/93
LAZ FINANCIAL CORP	Ω	X	07/29/93
LITTON INDUSTRIES INC	DE	X X	07/29/93
MANAGEMENT TECHNOLOGIES INC	NY	X X	07/14/93
MANAGEMENT TECHNOLOGIES INC	NY	X	07/21/93
MEDICO CONTAINMENT SERVICES INC	DE	XX	07/27/93
MEDICAL MARKETING GROUP INC	DE	X X	07/27/93
MERCK & CO INC MERRILL LYNCH MORTGAGE INVESTORS INC	NJ DE	X X X	07/28/93 06/25/93
MERRY GO ROUND ENTERPRISES INC	MD	MO ITEMS	05/16/93AVEND
NETALCIAD CORP	A2		07/26/93
NGN GRAID INC	DE	x x ^	07/29/93
NICROPROSE INC	MD	x x	07/14/93
HID AM INC	OH	x ^ ^	07/29/93
MONEY STORE INC THS HOME EQ LO AS BACKED	HJ CH	^ x	05/17/93
MONEY STORE INC THS HOME EQ LO AS BACKED	LM	x	05/17/93
MORGAN MEDICAL HOLDINGS INC	00	x x	07/23/93
MURRAY UNITED DEVELOPMENT CORP	DE	NO ITEMS	07/28/93
NATIONAL PIZZA CORP		NO ITEMS	06/22/93AMEND
NATIONSBANK CORP	NC	X X	08/02/93
NEW YORK TELEPHONE CO	MY	x -	08/03/93
NEWELL CO /DE/	DE	X X	07/20/93
NEXTEL CONNUNICATIONS INC/DE/	DE	X X	07/22/93
NOBLE AFFILIATES INC	DE	x x	07/15/93
NORRIS CONMUNICATIONS CORP		x x	07/29/93
NUTRITION MANAGEMENT SERVICES CO/PA	PA	NO ITEMS	07/14/93
OUTLET COMMUNICATIONS INC	DE	x x	07/28/93
PAINEWEBBER MORTGAGE ACCEPTANCE CORP IV		NO ITEMS	07/25/93
PEOPLES HOLDING CO	MS	X X	07/21/93
PERMIAN BASIN ROYALTY TRUST	TX	NO ITEMS	07/30/93
PROPERTY TRUST OF AMERICA	MD	X X X	02/03/93 <b>AMEND</b>
PRUDENTIAL SECURITIES SECURED FINANCING		NO ITEMS	07/25/93
RECEIVABLES PARTNERS LP EQUIPMENT FINANC		X X	07/26/93
RESOLUTION TRUST CORP		NO ITEMS	07/26/93
RESOLUTION TRUST CORP COMM NOR PAS THR C		NO ITEMS	07/26/93
RESOLUTION TRUST CORP COMM MOR PASS THRO		NO ITEMS	07/26/93
RESOLUTION TRUST CORP COMM MORT PASS THR		NO ITEMS	07/26/93
RESOLUTION TRUST CORP COMM MORT PASS THR		NO ITEMS	07/26/93
ROTHCHILD COMPANIES INC	FL	X X	07/16/93
ROTO ROOTER INC RYLAND MORTGAGE SECURITIES CORP /VA/	DE	X X	07/16/93
RYLAND MORTGAGE SECURITIES CORP /VA/ RYLAND MORTGAGE SECURITIES CORP SERIES 1	VA	X X	07/22/93
RYLAND MORTGAGE SECURITIES CORP TWO SERI		X X	07/15/93
RYLAND MORTGAGE SECURITIES CORPORATION T	WA	NO ITEMS	07/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	NO ITEMS	07/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19		NO ITEMS	07/25/93 07/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19		X X	07/25/93 07/25/93
SAZTEC INTERNATIONAL INC	CA	^ ^	07/23/93
SCICLONE PHARMACEUTICALS INC	CA	` x <sup>^</sup> x	07/30/93
SEA PINES ASSOCIATES INC	SC	x x	07/26/93
SHOP AT HOME INC /TN/	TN	x ^x ^	06/09/93AMEND
SOUTHWEST FOREST INDUSTRIES INC	NV	n x x	07/13/93
SPAN AMERICA NEDICAL SYSTEMS INC	SC	x x	07/27/93
STERLING FINANCIAL CORP /WA/	WA		07/30/93
• •		_	