

sec news digest

August 9, 1988

ADMINISTRATIVE PROCEEDINGS

EDWIN FISHBAINE BARRED

The Commission issued Findings and Order Imposing Remedial Sanctions against Edwin Fishbaine, a registered investment adviser and publisher of The Terex Report, an investment advisory newsletter specializing primarily in Canadian mining stocks. By its Order, which concluded public administrative proceedings instituted on March 9, the Commission revoked Fishbaine's registration as an investment adviser and barred him from associating with any investment adviser, investment company, broker, dealer, or municipal securities dealer.

Among other things, the Commission found that Fishbaine recommended in the Terex Reports securities of certain companies without disclosing that he was being paid by those very companies to publish and distribute the recommendations. Without admitting or denying the alleged violations, Fishbaine consented to findings that he violated Sections 206(1) and (2) of the Investment Advisers Act of 1940 and Section 17(b) of the Securities Act of 1933, as well as provisions of the Advisers Act requiring the maintenance of books and records and restricting the assignability of advisory contacts. (Rel. IA-1134)

CRIMINAL PROCEEDINGS

FORMER BROKER SENTENCED

On July 22, Brian J. Lareau, a former registered representative of E.F. Hutton & Co. Inc., was sentenced to three months imprisonment, two years probation, 200 hours of community service, and fined \$3,000. In June 1988, Lareau pleaded guilty to two criminal counts involving falsification of social security numbers and causing other financial institutions to fail to file Currency Transaction Reports in conjunction with the Bank Secrecy Act.

On October 22, 1987, the Commission censured Hutton and suspended the former manager of its Providence branch office as a result of this and other misconduct. (U.S. v. Brian J. Lareau, CR. No. 88-037-B, DRI). (LR-11831)

INVESTMENT COMPANY ACT RELEASES

THE RBB FUND, INC.

A notice has been issued giving interested persons until August 26 to request a hearing on an application filed by The RBB Fund, Inc. and Planco Financial Services, Inc. for an order granting an exemption from Sections 18(f)(1), 18(g), and 18(i) of the Investment Company Act to permit the Fund to issue and sell separate classes of shares representing interests in the same investment portfolio that would be identical in all respects except for class designation and the allocation of certain expenses and voting rights. (Rel. IC-16516 - Aug. 5)

HOLDING COMPANY ACT RELEASES

NORTHEAST UTILITIES

Notices have been issued giving interested persons until August 29 to request a hearing on a proposal by the following company filed under Release 35-24688, dated August 4: NORTHEAST UTILITIES - a registered holding company, and its subsidiaries, The Connecticut Light and Power Company, Northeast Utilities Service Company (NUSCO), The Rocky River Realty Company, Northeast Nuclear Energy Company (NNECO), Western Massachusetts Electric Company, The Quinnehtuk Company, and Holyoke Water Power Company, for Northeast to borrow funds from external sources, including commercial banks and other lending institutions, through December 31, 1988 in an amount of up to \$60 million, as previously authorized by order dated December 24, 1986 [Rel. 35-24282], for the additional purpose of providing these funds through the Northeast system money pool to certain Money Pool participants. The only recipients of such external borrowings by Northeast will be NUSCO, NNECO, Rocky River, and Quinnehtuk.

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING GRANTED

Orders have been issued granting the application of the following stock exchanges for unlisted trading privileges in the common stock of the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Philadelphia Stock Exchange - six issues. (Rel. 34-25970); and the Midwest Stock Exchange - five issues. (Rel. 34-25973)

DELISTINGS GRANTED

Orders have been issued granting the applications of the American Stock Exchange to strike all put and call options contracts respecting the common stock of E.F. Hutton Group, Inc., and Chi-Chi's, Inc. from listing and registration thereon. (Rel. 34-25971; 34-25972)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 XENDA CORP, 111 MEADOWS BLDG, 5646 MILTON, DALLAS, TX 75206 (214) 692-0812 - 69,241,350 (\$7,356,893) COMMON STOCK. 3,613,703 (\$596,261) COMMON STOCK. (FILE 33-23305 - JUL. 27) (BR. 11)
- S-1 IEA INCOME FUND IX L P, 540 HOWARD ST, C/O INTERMODAL EQUIPMENT ASSOCIATE, SAN FRANCISCO, CA 94105 (415) 543-7363 - 60,000 (\$20,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: IEA SECURITIES CORP. (FILE 33-23321 - JUL. 28) (BR. 4 - NEW ISSUE)
- S-1 AMERCO /NV/, 3111 BEL AIR DR, REGENCY TOWERS STE 22A, LAS VEGAS, NV 89109 (602) 263-5645 - 1,000 (\$100,000,000) PREFERRED STOCK. (FILE 33-23372 - JUL. 29) (BR. 4 - NEW ISSUE)
- S-11 FRAMEWORK BALCOR FINANCIAL PLANNING L P, BALCOR PLAZA, 4649 GOLF RD, SKOKIE, IL 60077 (312) 677-2900 - 100,000 (\$100,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: BALCOR SECURITIES CO. (FILE 33-23373 - JUL. 29) (BR. 5 - NEW ISSUE)
- S-8 RC HOLDING INC, RTE 22 WEST & STATION RD, BRANCBURG, NJ 08876 (201) 685-4000 - 100,000 (\$1,000,000) COMMON STOCK. (FILE 33-23378 - JUL. 29) (BR. 8)
- S-8 MAI BASIC FOUR INC, 14101 MYFORD RD, TUSTIN, CA 92680 (714) 731-5100 - 500,000 (\$9,906,250) COMMON STOCK. (FILE 33-23392 - AUG. 01) (BR. 9)

- S-3 WYOMING NATIONAL BANCORPORATION, 152 N DURBIN ST, CASPER, WY 82601 (307) 235-7797 - 394,821 (\$5,132,673) COMMON STOCK. (FILE 33-23393 - AUG. 01) (BR. 1)
- S-8 MIDLANTIC CORP, 499 THORNALL ST METRO PARK PLZ, P O BOX 600, EDISON, NJ 08818 (201) 321-8000 - 1,500,000 (\$64,339,893.18) COMMON STOCK. (FILE 33-23396 - AUG. 01) (BR. 1)
- S-3 BSN CORP, 1901 DIPLOMAT, FARMERS BRANCH, TX 75234 (214) 484-9484 - 765,888 (\$5,552,688) COMMON STOCK. (FILE 33-23398 - AUG. 01) (BR. 11)
- S-8 BANK SOUTH CORP, 55 MARIETTA ST, ATLANTA, GA 30303 (404) 529-4520 - 515,625 (\$6,156,562.50) COMMON STOCK. (FILE 33-23399 - AUG. 01) (BR. 1)
- S-8 MARINE CORP /IL/, EAST OLD STATE CAPITOL PLZ, SPRINGFIELD, IL 62701 (217) 525-9600 - 500,000 (\$6,375,000) COMMON STOCK. (FILE 33-23400 - AUG. 01) (BR. 2)
- S-8 FIRST INTERSTATE BANCORP /DE/, 707 WILSHIRE BLVD, PO BOX 54068, LOS ANGELES, CA 90017 (213) 614-3001 - 1,500,000 (\$78,000,000) COMMON STOCK. (FILE 33-23404 - AUG. 02) (BR. 1)
- S-2 AMERICAN SHARED HOSPITAL SERVICES, 444 MARKET ST STE 2420, SAN FRANCISCO, CA 94111 (415) 788-5300 - 30,000,000 (\$30,000,000) STRAIGHT BONDS. 955,000 (\$9,550,000) COMMON STOCK. (FILE 33-23416 - JUL. 29) (BR. 6)
- S-8 MORGAN PRODUCTS LTD, 601 OREGON ST, P O BOX 2446, OSHKOSH, WI 54903 (414) 235-7170 - 150,000 (\$2,700,000) COMMON STOCK. (FILE 33-23418 - AUG. 01) (BR. 10)
- S-8 MORGAN PRODUCTS LTD, 601 OREGON ST, P O BOX 2446, OSHKOSH, WI 54903 (414) 235-7170 - 237,500 (\$4,275,000) COMMON STOCK. (FILE 33-23419 - AUG. 01) (BR. 10)
- N-2 BANCROFT CONVERTIBLE FUND INC, 56 PINE ST STE 1310, NEW YORK, NY 10005 (212) 269-9236 - 833,414 (\$14,784,764) COMMON STOCK. (FILE 33-23420 - AUG. 01) (BR. 17)
- S-8 LONE STAR INDUSTRIES INC, ONE GREENWICH PLZ, PO BOX 5050, GREENWICH, CT 06836 (203) 661-3100 - 750,000 (\$22,386,664) COMMON STOCK. (FILE 33-23425 - AUG. 01) (BR. 10)
- S-3 MELLON BANK CORP, ONE MELLON BANK CTR, 500 GRANT ST, PITTSBURGH, PA 15258 (412) 787-5534 - 10,000,000 (\$298,125,000) COMMON STOCK. (FILE 33-23426 - AUG. 01) (BR. 1)
- S-8 CITY RESOURCES CANADA LTD, STE 2000 PARK PL, 665 BUEPPARD ST, VANCOUVER BC CANADA V6C 2X8, A1 (604) 669-1524 - 1,800,000 (\$4,733,362) COMMON STOCK. (FILE 33-23428 - JUL. 25) (BR. 1)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 480 - INDEFINITE SHARES. (FILE 33-23435 - AUG. 02) (BR. 22 - NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST INSURED SERIES 162 - INDEFINITE SHARES. (FILE 33-23436 - AUG. 02) (BR. 22 - NEW ISSUE)
- S-8 AMERICAN WATER WORKS CO INC, 1025 LAUREL OAK RD, P O BOX 1770, VOORHEES, NJ 03343 (609) 346-8200 - 140,000 (\$1,137,500) COMMON STOCK. (FILE 33-23457 - AUG. 01) (BR. 8)
- S-6 WESTERN CAPITAL SPECIALTY MANAGERS SEPARATE ACCOUNT A, 909 THIRD AVE, NEW YORK, NY 10022 (212) 688-7070 - INDEFINITE SHARES. DEPOSITOR: GOLDEN AMERICAN LIFE INSURANCE CO. (FILE 33-23458 - AUG. 01) (BR. 20 - NEW ISSUE)
- S-3 FOREST LABORATORIES INC, 150 F 58TH ST, NEW YORK, NY 10155 (212) 421-7850 - 20,000 (\$415,000) COMMON STOCK. (FILE 33-23462 - AUG. 02) (BR. 4)
- S-3 KEITHLEY INSTRUMENTS INC, 28775 AURORA RD, SOLON, OH 44139 (216) 248-0400 - 229,170 (\$4,325,584) COMMON STOCK. (FILE 33-23464 - AUG. 02) (BR. 8)
- S-3 LIFECDRE BIOMEDICAL INC, 1055 TENTH AVE SE, MINNEAPOLIS, MN 55414 (612) 379-8080 - 1,135,108 (\$5,249,875) COMMON STOCK. (FILE 33-23465 - AUG. 02) (BR. 4)
- S-3 MARRIOTT CORP, 10400 FERNWOOD RD, BETHESDA, MD 20058 (301) 380-9000 - 700,000,000 (\$700,000,000) STRAIGHT BONDS. (FILE 33-23466 - AUG. 02) (BR. 12)
- S-8 NEW YORK BANCORP INC, 3333 NEW HYDE PARK RD, NORTH HILLS, NY 11042 (516) 365-6068 - 244,000 (\$1,464,000) COMMON STOCK. (FILE 33-23468 - AUG. 02) (BR. 2)

- S-4 GENERAL HOMES CORP /TX/, 7322 SOUTHWEST FWY STE 1709, HOUSTON, TX 77074
(713) 270-4177 - \$5,585,000 (\$51,212,031) CONVERTIBLE DEBENTURES AND NOTES.
38,037,777 COMMON STOCK. (FILE 33-23469 - AUG. 02) (BR. 5)
- S-3 CAMPBELL SOUP CO, CAMPRELL PL, CAMDEN, NJ 08101 (609) 342-4800 - 200,000,000
(\$200,000,000) STRAIGHT BONDS. (FILE 33-23470 - AUG. 02) (BR. 3)
- S-3 ROCHESTER TELEPHONE CORP, 100 MIDTOWN PLZ, ROCHESTER, NY 14646 (716) 323-7150 -
60,000 (\$2,718,750) COMMON STOCK. (FILE 33-23483 - AUG. 02) (BR. 7)
- S-8 EQUIMARK CORP, TWO OLIVER PLAZA, PITTSBURGH, PA 15222 (412) 288-5000 - 500,000
(\$5,531,250) COMMON STOCK. (FILE 33-23495 - AUG. 02) (BR. 2)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS (000) OWNED	CUSIP PRIORITY	FILING STATUS
AERO SVCS INTL INC PACIFIC BASIN CO ET AL	COM	13D	7/27/88 1,535 24.2	00791310 15.0	UPDATE
AERO SVCS INTL INC PACIFIC BASIN CO ET AL	PFD A CV 10.90	13D	7/27/88 219 72.5	00791320 16.9	UPDATE
AMEICO SAMWILL INC ET AL	COM	13D	7/25/88 47 52.1	02353110 50.1	UPDATE
AMVESTORS FINL CORP HEITZ MARK V	COM	13D	7/20/88 418 5.1	03234310 0.0	NEW
BAY FINL CORP PENNAANT HOLDINGS LTD	COM	13D	7/28/88 1,951 49.5	07237010 42.0	UPDATE
BAY FINL CORP PENNAANT PTYS PLC ET AL	COM	13D	7/28/88 1,951 49.5	07237010 42.0	UPDATE
BRADLEY REAL ESTATE TR FLATLEY THOMAS J ET AL	SH BEN INT	13D	7/21/88 508 15.1	10453310 13.3	UPDATE
CENTURY FED SVCS & LN ASSN N PETERS GERALD P III ET AL	COM	13D	7/7/88 29 3.9	15652410 6.3	UPDATE
CLIFFS DRILLING CO AMER SECURITIES CORP-CLIENTS	COM	13D	6/30/88 119 4.1	13699010 0.0	NEW
CULLUM COS INC MORGAN STANLEY GRP ET AL	COM	14D-1	3/4/88 3,351 23.5	23020310 23.5	RVSDION
DRESHER INC STATE OF WISCONSIN INVEST BD	COM	13D	7/22/88 306 6.7	26156610 5.1	UPDATE

ACQUISITIONS REPORTS CONT.

FINANCIAL BENEFIT GROUP INC CL A INDEPENDENT INVSTMNT CO PLC	13D	7/27/88	483 14.1	31733310 0.0	NEW
FISHER SCIENTIFIC GROUP INC HENLEY GRP	COM 13D	7/23/88	29,106 79.6	33803010 73.3	UPDATE
GILLETTE CO RB PARTNERS ET AL	COM 13D	3/ 1/88	7,442 6.4	37576610 5.3	UPDATE
HARVARD INDS INC HURLEY WILLIAM D	COM 13D	3/ 2/88	7,910 44.3	41743410 0.0	NEW
INDEPRD PROPERTY FUND I INDEPRD PROPERTY FUND I	LTD PRTNSTP UTS 14D-1	3/ 3/88	3 11.0	45390999 0.0	UPDATE
KENTUCKY HORSE CTR INC JOHNSON EMILIE F	COM 13D	7/14/88	1,107 60.3	49130610 0.0	NEW
LIFECORE BIOMEDICAL INC CILCO INC	COM 13D	3/ 2/88	1,135 22.1	53213710 12.1	UPDATE
MGM UA COMMUNICATIONS CO KERKORIAN KIRK ET AL	COM 13D	7/28/88	41,322 32.3	55291610 32.3	UPDATE
MAKUS ENERGY CORP TURNER TOM E ET AL	PFD CONV 34 13D	7/25/88	514 11.9	57773020 36.2	UPDATE
REPUBLIC AMERN CORP AMERICAN FINANCIAL CORP ET AL	COM 13D	3/ 1/88	7,120 33.6	76027910 33.6	UPDATE
SBT CORP CONN LANDMARK FINL ET AL	COM 13D	7/ 7/88	30 9.9	73337710 3.5	RVSION
SIMMONS AIRLINES INC AMP CORP ET AL	COM 14D-1	3/ 2/88	4,232 99.1	32868810 100.0	UPDATE
TORO CO STATE OF WISCONSIN INVEST BD	COM 13D	7/26/88	732 7.6	33103210 5.1	UPDATE
TOTH ALUM CORP URIBE ENRIQUE ET AL	COM 13D	12/ 4/85	956 5.0	39151410 7.1	NEW
TOTH ALUM CORP URIBE ENRIQUE ET AL	COM 13D	12/ 4/85	956 5.0	39151410 7.1	UPDATE
VERIT INDS MASON INVESTMENTS	COM PAR 32 13D	7/21/88	50 6.6	32343420 5.4	UPDATE
AERO SVCS INTL INC CESAMAR S A ET AL	COM 13D	7/26/88	0 0.0	00791310 N/A	UPDATE
AERO SVCS INTL INC STAPER ROBERT L	COM 13D	7/25/88	1,584 26.5	00791310 0.0	NEW
AMERCO SHOEN EDWARD J ET AL	COM 13D	7/24/88	42 42.1	02359110 0.0	NEW
AMERICAN HEALTH COS INC LEE THOMAS H CO ET AL	COM 14D-1	3/ 3/88	0 0.0	02643910 0.0	NEW
AMERICAN MAIZE PRODS CO GOLDMAN JANE H CO-EXEC ET AL	CL B 13D	4/12/88	221 12.6	02733930 12.7	UPDATE
CERTRON CORP LOUART CORP	COM 13D	3/ 2/88	331 23.3	15707310 26.5	UPDATE

ACQUISITIONS REPORTS CONT.

DESIGNCRAFT INDS CATSIMATIDIS JOHN	COM PAR	10.00 13D	7/23/88	611 25.5	25056320 10.6	UPDATE
EMCON ASSOC STATE OF WISCONSIN INVEST	COM BD	13D	7/14/88	145 5.3	29084310 0.0	NEW
FIRST CITY BANCORPORATION TE FIRST CITY ACQUISITION	COM	14D-1	3/ 3/88	31,694 34.0	31959410 0.0	UPDATE
HRI GROUP INC DINTEX MEDIA B V	COM	13D	7/31/88	3,024 44.3	40426710 43.3	UPDATE
HYCOR BIOMEDICAL INC WERDESHEIM RICHARD	COM	13D	7/22/88	339 6.6	44362310 5.2	UPDATE
INFOTRON SYS CORP PITCAIRN LACHLAN	COM	13D	3/ 4/86	256 5.0	45630910 0.0	NEW
LEISURE TECHNOLOGY INC ELLIOTT ASSOCIATES	COM	13D	7/27/88	365 3.7	52591410 0.0	NEW
LEXINGTON AVE & 42ND ST CORP CL D GOLDMAN JANE H CO-EXEC ET AL		13D	4/12/88	27 7.4	52901210 0.0	NEW
MASSBANK CORP READ MASS KILKENNY PARTNERS ET AL	COM	13D	7/27/88	213 7.3	57615210 6.2	UPDATE
MAYS J W INC GOLDMAN JANE H CO-EXEC ET AL	COM	13D	4/12/88	502 23.1	57347310 23.0	UPDATE
NATIONAL GUARDIAN CORP GOLDMAN SACHS & CO	COM	13D	7/23/88	343 3.4	63631210 6.7	UPDATE
OPION PICTURES CORP METROMEDIA INC ET AL	COM	13D	3/ 1/88	15,203 37.7	63623510 36.5	UPDATE
PANNILL KNITTING INC SARA LEE CORP	COM	13D	3/ 3/88	7,500 50.0	63850010 0.0	UPDATE
POPE & TALBOT INC STATE OF WISCONSIN INVEST	COM BD	13D	7/20/88	643 5.4	73232710 0.0	NEW
PYRAMID GROWTH INC DATA GROWTH INC	COM	13D	7/23/88	6,198 13.0	74715039 0.0	NEW
PYRAMID GROWTH INC PETERSON GARY B	COM	13D	1/23/88	3,600 26.0	74715039 0.0	NEW
REALIST INC ROYAL BUSINESS SPA	COMMON STOCK	13D	7/26/88	31 5.0	75604410 0.0	NEW
STEEGO CORP INDUSTRIAL EQUITY LTD ET AL	COM	13D	3/ 1/88	5,447 52.6	35305010 51.5	UPDATE
TIERCO GROUP INC MURPHY JOSEPH M	COM	13D	6/24/88	3,453 74.7	38650610 21.6	UPDATE
TIERCO GROUP INC WAYSIDE ASSOCIATES	COM	13D	6/24/88	2,506 54.2	38650610 30.4	UPDATE
TOSCO CORP SOUTHMARK CORP ET AL	COM	13D	7/26/88	1,543 4.1	39149010 5.3	UPDATE
WOMENS HEALTH CTRS AMER PUNK WILLIAM J JR	COM	13D	7/21/88	103 7.0	37315310 0.0	NEW

ACQUISITIONS REPORTS CONT.

AERO SVCS INTL INC STARER ROBERT L	COM	13D	7/25/88	1,534 26.5	00791310 0.0	REVISION
ADVANCED PROD & TECH BEAN M LAMONT	COM CL B	13D	7/20/88	355 13.0	00399199 0.0	NEW
AMFAC INC OPPENHEIMER & CO	COM	13D	7/23/88	999 5.3	03114110 0.0	NEW
ARIZONA LD INCOME CORP SMITH CLYDE B	CL A	13D	6/13/88	255 10.6	04051310 0.0	NEW
ARMTEK CORP DEL MARK IV INDUSTRIES INC ET AL	COM AL	14D-1	3/ 4/88	1,457 14.9	04247810 0.0	NEW
CULLUM COS INC MORGAN STANLEY GRP ET AL	COM	14D-1	3/ 5/88	3,351 23.5	23020310 23.5	UPDATE
DIGICOM INC MORSENS WATERFALL & CO INC	COM	13D	4/23/88	5,619 5.9	25330410 0.0	NEW
DISTRIBUTED LOGIC CORP STANCHART EQUITIES INC	COM	13D	10/23/87	515 17.1	25490610 0.0	NEW
FINANCIAL BENEFIT GROUP INC ATLANTIC ASSETS TRUST PLC	CL A	13D	7/27/88	438 14.1	31733310 13.4	UPDATE
FORMICA CORP GLAZER MALCOLM I ET AL	COM	13D	7/25/88	1,093 3.6	34637710 0.0	NEW
FRANCE FD INC VBI CORP	COM	13D	7/12/88	1,949 26.0	35177610 23.0	UPDATE
GENERAL AUTOMATION INC CALIF GOUGH GRAHAM J	COM	13D	1/ 5/88	291 5.2	36903210 0.0	NEW
HEICO CORP MENDELSON LAURANS A ET AL	COM	13D	7/23/88	285 13.7	42330510 10.0	UPDATE
HYDRO-FLAME CORP WHITBY VON	COM	13D	7/31/88	201 19.9	44379810 19.9	UPDATE
INCOME PROP/EG TR GUTEKUNST BRENT LEE	COM	14D-1	3/ 4/88	1 0.2	45334799 0.2	UPDATE
INDIAN HEAD BKS INC FLEET/NORSTAR FINL GROUP	COM	13D	7/25/88	188 4.0	45419210 0.0	NEW
PORTSMOUTH BK SHS INC PIKE MILD L	COM	13D	7/27/88	205 5.2	73703110 0.0	NEW
SPECTRAMEX INC BASS ROBERT M. ET AL	COM	13D	3/ 3/88	1,553 13.3	34753510 16.3	UPDATE
SWEET VICTORY INC SV ACQUISITION CORP	COM	13D	7/22/88	1,531 47.6	37042410 0.0	NEW
TESORO PETE CORP DAKVILLE N V	COM	13D	7/28/88	1,278 9.1	33160910 7.5	UPDATE
UNITED COASTS CORP ACSTAR INS CO	COM	13D	7/14/88	10,020 30.5	90991010 0.0	NEW
VERONEX RES LTD VERONEX RESOURCES	COM	14D-1	3/ 4/88	0 0.0	92510010 0.0	NEW

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.							DATE	COMMENT
		1	2	3	4	5	6	7		
CHARTER CRELLIN INC	DE					X		X	07/11/88	
CINCINNATI BELL INC /CH/	OH					X		X	07/22/88	
EXECUTONE INFORMATION SYSTEMS INC	DE		X					X	07/08/88	
HRI GROUP INC	DE							X	07/25/88	
IROQUOIS BRANDS LTD	DE							X	06/28/88	AMENC
MERRILL LYNCH MORTGAGE INVESTORS INC /DE	DE					X			07/15/88	
RESOURCES ACCRUED MORTGAGE INVESTORS LP	DE					X		X	06/30/88	
SOUTHWESTERN ENERGY CO	AR							X	05/24/88	AMENC