

# SEC NEWS DIGEST

Issue 2000-162

August 23, 2000

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## ENFORCEMENT PROCEEDINGS

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### SETTLEMENT OFFERS OF RICHARD ACOSTA AND MARK GOLDMAN ACCEPTED

On August 22, the Commission, by consent, entered separate Orders Making Findings and Imposing Remedial Sanctions and Cease and Desist Orders as to Richard Acosta and Mark Goldman, both former registered representatives of the defunct broker-dealer A.R. Baron & Co., Inc. These Orders settle as to these respondents the administrative proceedings instituted on October 17, 1996 (Adm. Proc. 3-9168) against A.R. Baron & Co. Inc. (Baron), Andrew Bressman, Roman Okin, Richard Acosta, Richard Simone, Burton Blank, Mark Goldman and Jack Wolynez pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act). On October 17, 1996, Baron was dismissed from this proceeding and an earlier related proceeding (Adm. Proc. 3-9010) pursuant to an offer of settlement accepted by the Commission. On December 6, 1996, the Commission postponed these proceedings at the request of the District Attorney for New York County. Since that time, respondents Andrew Bressman, Roman Okin, Richard Acosta, Richard Simone, Mark Goldman and Jack Wolynez have been indicted and criminally convicted in connection with their activities at A.R. Baron & Co., Inc., and respondents Andrew Bressman, Roman Okin and Richard Simone have since settled proceedings against them pursuant to offers of settlement accepted by the Commission on November 4, 1999.

The Order as to Richard Acosta states that Acosta engaged in market manipulation and repeated abusive sales practices, and that he willfully violated Section 17(a) of the Securities Act, Sections 9(a)(2), 9(a)(4) and 10(b) of the Exchange Act and Rule 10b-5 thereunder, and that he willfully aided, abetted and caused violations of Section 7(c) and 15(c)(1) of the Exchange Act and Rule 15c1-2 thereunder and Regulation T promulgated by the Federal Reserve Board. The Order barred Acosta from association with any broker or dealer and ordered him to cease and desist from committing or causing any violation or future violation of Section 17(a) of the Securities Act and Sections 7(c), 9(a)(2), 9(a)(4), 10(b) and 15(c)(1) of the Exchange Act and Rules 10b-5 and 15c1-2

thereunder and Regulation T promulgated by the Federal Reserve Board. Acosta was found unable to pay a disgorgement or civil penalty based of his present financial condition.

The Order as to Mark Goldman states that Goldman participated in the market manipulation of a security and, in furtherance of the manipulation, willfully violated Sections 9(a)(2) and 10(b) of the Exchange Act and Rule 10b-5 thereunder, and willfully aided, abetted and caused violations of Section 15(c)(1) of the Exchange Act and Rule 15c1-2 thereunder. In addition, the Orders states that Goldman failed reasonably to supervise registered representatives under his supervision with a view to preventing their violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and their aiding, abetting and causing violations of Section 7(c) of the Exchange Act and Regulation T promulgated by the Federal Reserve Board. The Order barred Goldman from association with any broker or dealer and ordered that he cease and desist from committing or causing any violation and any future violation of Section 9(a)(2), 10(b) and 15(c)(1) of the Exchange Act and Rules 10b-5 and 15c-2 thereunder. Goldman was found unable to pay a civil penalty, based on his present financial condition and commitment to pay \$120,000 in satisfaction of claims against him by the Trustee in the Goldman bankruptcy proceeding and the Trustee in the liquidation of the Estate of A.R. Baron & Co., Inc., arising, inter alia, from Goldman's conduct while employed at Baron. (In the matter of Mark Goldman – Rel. 34-43189; File No. 3-9168; In the matter of Richard Acosta – Rels. 33-7882; 34-43188; File No. 3-9168)

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## INVESTMENT COMPANY ACT RELEASES

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### FIRST AMERICAN INSURANCE PORTFOLIOS, INC., ET AL.

A notice has been issued giving interested persons until September 12, 2000, to request a hearing on an application filed by First American Insurance Portfolios, Inc. (Company) and U.S. Bank National Association ("U.S. Bank," together, Applicants). Applicants seek an order to permit shares of any current or future series of the Company and shares of any future fund that is designed to fund variable insurance products and for which U.S. Bank or any person controlling, controlled by or under common control with U.S. Bank may serve as investment adviser, investment sub-adviser, administrator, manager, principal underwriter or sponsor (Future Company) to be offered and sold to and held by: (1) separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and unaffiliated life insurance companies; (2) qualified pension and retirement plans outside of the separate account context; and (3) the Company's or Future Company's investment adviser or a person related to such investment adviser (representing seed money investments in the Company or Future Company). (Rel. IC-24601 – August 18)

## **THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES, ET AL.**

A notice has been issued giving interested persons until September 15, 2000, to request a hearing on an application filed by The Equitable Life Assurance Society of the United States (Equitable), Separate Account No. 301 of Equitable, Separate Account No. 45 of Equitable, Separate Account No. 49 of Equitable, and Separate Account FP of Equitable (collectively, Applicants). The Applicants seek an order pursuant to Section 26(b) of the Investment Company Act approving the substitution of securities issued by the EQ Advisors Trust's Alliance Equity Index Portfolio for securities issued by the EQ Advisors Trust's BT Equity 500 Index Portfolio and currently held by those unit investment trusts. The Applicants also request an order pursuant to 17(b) of the Act exempting them from Section 17(a) of the Act to the extent necessary to permit certain in-kind redemptions of portfolio securities in connection with the substitution and the consolidation of certain subaccounts by certain of those unit investment trusts following the substitution. (Rel. IC-24603 – August 21)

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## **HOLDING COMPANY ACT RELEASES**

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### **ENTERGY CORPORATION, ET AL.**

A supplemental order has been issued authorizing a proposal by Entergy Corporation (Entergy), a registered holding company, and its wholly owned subsidiary companies, Entergy Enterprises, Inc., Entergy Power, Inc., Entergy Nuclear, Inc., Entergy Operations Services, Inc., Entergy Power Marketing Corp., Entergy Global Power Operations Corporation and Entergy Power Operations U.S., Inc., for Entergy to issue guarantees to or for the benefit of the nonutility companies through December 31, 2005, in an aggregate principal amount not to exceed \$2 billion at any one time outstanding. (Rel. 35-27216)

### **AGL RESOURCES INC.**

A notice has been issued giving interested persons until September 14, 2000, to request a hearing on a proposal by AGL Resources Inc., an exempt Georgia public utility holding company, its gas utility subsidiary companies, Atlanta Gas Light Company, a Georgia corporation, and Chattanooga Gas Company, a Tennessee corporation. Applicants request authority to acquire Virginia Natural Gas, Inc. (VNG), a gas utility company operating in Virginia. VNG is owned indirectly by Dominion Resources, Inc., a registered holding company under the Act. (Rel. 35-27217)

### **DOMINION RESOURCES, INC., ET AL.**

A notice has been issued giving interested persons until September 14, 2000, to request a hearing on a proposal by Dominion Resources (DRI), a registered holding company, and Consolidated Natural Gas Company (CNG), a subsidiary registered holding company, to sell Virginia Natural Gas, a wholly owned indirect subsidiary of DRI and a wholly

owned direct subsidiary of CNG, for a purchase price of \$550 million to Atlanta Gas Light Company, subject to adjustment. (Rel. 35-27217)

#### **NEW CENTURY ENERGIES, INC., ET AL.**

An order has been issued authorizing a proposal by New Century Energies, Inc., formerly a holding company registered under the Public Utility Holding Company Act, Northern States Power Company, a public utility company and formerly a holding company exempt from registration under Section 3(a)(2) of the Act and their subsidiaries. NCE and NSP have combined their operations under a new registered holding company, Xcel Energy Inc. which, together with its subsidiaries, have been authorized to engage directly and indirectly in various external and intrasystem financing transactions. (Rel. 35-27218)

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#### **SELF-REGULATORY ORGANIZATIONS**

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##### **JOINT INDUSTRY PLAN**

The Commission approved Amendment No. 11 to the Reporting Plan for Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis, submitted by the National Association of Securities Dealers, Inc., and the Boston, Chicago, Philadelphia and Cincinnati Stock Exchanges and the Pacific Exchange, which, among other things, adds the Pacific Exchange to the list of Plan Participants. (Rel. 34-43165)

##### **APPROVAL OF PROPOSED RULE CHANGES**

The Commission granted approval to a proposed rule change (SR-BSE-99-07) submitted by the Boston Stock Exchange amending its minor rule violation plan. (Rel. 34-43168)

The Commission granted approval to a proposed rule change (SR-NASD-00-17) submitted by the National Association of Securities Dealers, through its subsidiary, the Nasdaq Stock Market, Inc., to modify the minimum quotation sizes for OTC equity securities quoted at a price exceeding \$200 per share. (Rel. 34-43176)

The Commission approved a proposed rule change filed by the Chicago Board Options Exchange (SR-CBOE-99-37) establishing a membership ownership requirement and a capitalization transfer fee for designated primary market makers. (Rel. 34-43186)

##### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES**

A proposed rule change (SR-Phlx-00-76) filed by the Philadelphia Stock Exchange extending the Pilot Program Regarding Exchange Rule 98, Emergency Committee, until November 17, 2000, has become effective under Section 19(b)(3)(A) of the Securities

Exchange Act of 1934. Publication of the notice in the Federal Register is expected during the week of August 21. (Rel. 34-43169)

A proposed rule change (SR-Phlx-00-77) filed by the Philadelphia Stock Exchange to adopt a payment for order flow fee on transactions of Phlx specialists and registered options traders, has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice in the Federal Register is expected during the week of August 21. (Rel. 34-43177)

A proposed rule change filed by the Chicago Board Options Exchange relating to the routing of cancel replace orders (SR-CBOE-00-30) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 21. (Rel. 34-43185)

### **ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The Commission approved, on an accelerated basis, a proposed rule change filed by the Chicago Stock Exchange (SR-CHX-00-24) relating to execution of Nasdaq/NM securities. Publication of the notice in the Federal Register is expected during the week of August 21. (Rel. 34-43175)

### **REQUEST FOR EXTENSION OF REGISTRATION AS A CLEARING AGENCY**

The Emerging Markets Clearing Corporation filed a request for extension of its registration as a clearing agency under Section 17A of the Securities Exchange Act of 1934. The Commission has extended EMCC's temporary registration as a clearing agency through August 31, 2001. Publication of the notice and order is expected in the Federal Register during the week of August 21. (Rel. 34-43182)

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### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-3 CRYSTALLEX INTERNATIONAL CORP, 700 W PENDER ST, STE 902,  
VANCOUVER BRITISH COLUMBIA, A1 (604) 683-0672 - 2,500,000 (\$3,437,500)  
FOREIGN COMMON STOCK. (FILE 333-12382 - AUG. 10) (BR. 4)

F-3 BARCLAYS PLC, 4 ROYAL MINT CT EET, JOHNSON SMIRKE BLVD,  
LONDON EC3N 4HJ ENGL, X0 10265 (212) 412-4000 - 1,950,000,000  
(\$1,950,000,000) STRAIGHT BONDS. (FILE 333-12384 - AUG. 11) (BR. 7)

S-3 ELANTEC SEMICONDUCTOR INC, 675 TRADE ZONE BOULEVARD, MILPITAS, CA  
95035  
(408) 945-1323 - 1,840,000 (\$127,493,600) COMMON STOCK. (FILE 333-  
43864 -  
AUG. 16) (BR. 5)

S-1 COMPUTER ACCESS TECHNOLOGY CORP, 2403 WALSH AVENUE, SANTA CLARA, CA  
95051 (408) 727-6600 - \$52,325,000 COMMON STOCK. (FILE 333-43866 -  
AUG. 16) (BR. 36 - NEW ISSUE)

SB-2 TRIWEST MANAGEMENT RESOURCES CORP, SUITE 1500, 885 WEST GEORGIA ST,  
VANCOUVER BRITISH COLUMBIA, V6C 3 (250) 868-8177 - 100,000 (\$100,000)  
COMMON STOCK. (FILE 333-43868 - AUG. 16) (BR. 9)

SB-2 INTERNATIONAL MERCANTILE CORP, 1625 KNECHT AVE, BALTIMORE, MD 21227  
(410) 242-5000 - 4,887,310 (\$5,050,732) COMMON STOCK. (FILE 333-43870  
-  
AUG. 16) (BR. 7)

S-8 ARMSTRONG HOLDINGS INC /PA/, 2500 COLUMBIA AVE, LANCASTER, PA 17603  
(717) 397-0611 - 750,000 (\$12,120,000) COMMON STOCK. (FILE 333-43872 -  
AUG. 16) (BR. 6)

S-1 PRINCETON REVIEW INC, 2315 BROADWAY, NEW YORK CITY, NY 10024  
(212) 874-8282 - \$65,000,000 COMMON STOCK. (FILE 333-43874 - AUG. 16)  
(BR. 8 - NEW ISSUE)

S-8 EATON CORP, EATON CTR, 1111 SUPERIOR AVE, CLEVELAND, OH 44114  
(216) 523-5000 - 500,000 (\$36,281,250) COMMON STOCK. (FILE 333-43876 -  
AUG. 16) (BR. 5)

S-8 NETCRUISE COM INC, P O BOX 2039, 2401 MORRIS AVE, UNION, NJ 07083  
(908) 810-8767 - 7,401,724 (\$7,929,724) COMMON STOCK. (FILE 333-43878  
-  
AUG. 16) (BR. 8)

S-8 STATE AUTO FINANCIAL CORP, 518 E BROAD ST, COLUMBUS, OH 43215  
(614) 464-5000 - 5,000,000 (\$58,250,000) COMMON STOCK. (FILE 333-43880  
-  
AUG. 16) (BR. 1)

S-8 STATE AUTO FINANCIAL CORP, 518 E BROAD ST, COLUMBUS, OH 43215  
(614) 464-5000 - 300,000 (\$3,495,000) COMMON STOCK. (FILE 333-43882 -  
AUG. 16) (BR. 1)

S-8 JVWEB INC, 5444 WESTHEIMER, SUITE 2080, HOUSTON, TX 77056 (713) 622-  
9287  
- 2,500,000 (\$625,000) COMMON STOCK. (FILE 333-43884 - AUG. 16) (BR.  
9)

S-3 ALLOY ONLINE INC, 151 WEST 26TH STREET 11TH FL, NEW YORK, NY 10001  
(212) 244-4307 - 2,864,891 (\$25,784,019) COMMON STOCK. (FILE 333-43888  
-  
AUG. 16) (BR. 2)

S-3 PHELPS DODGE CORP, 2600 NORTH CENTRAL AVE, PHOENIX, AZ 85004  
(602) 234-8100 (FILE 333-43890 - AUG. 16) (BR. 6)

S-3 UNIVERSAL BROADBAND NETWORKS INC, 2030 MAIN STREET 5TH FLOOR,  
IRVINE, CA  
92612 (949) 673-2691 - 9,139,000 (\$32,553,118) COMMON STOCK. (FILE  
333-43892 - AUG. 16) (BR. 9)

S-2 MHM SERVICES INC, 8605 WESTWOOD CENTER DR, STE 400, VIENNA, VA 22182  
(703) 749-4600 - 34,000 (\$2,448,000) COMMON STOCK. (FILE 333-43894 -  
AUG. 16) (BR. 1)

S-3 BOLDER TECHNOLOGIES CORP, 4403 TABLE MOUNTAIN PARKWAY, GOLDEN, CO  
80403  
(303) 215-7200 (FILE 333-43898 - AUG. 16) (BR. 5)

S-4 CNET NETWORKS INC, 150 CHESTNUT ST, SAN FRANCISCO, CA 94111  
(415) 364-8000 - 52,626,381 (\$723,656,081.04) COMMON STOCK. (FILE  
333-43900 - AUG. 16) (BR. 5)

S-3 FRONTLINE CAPITAL GROUP, 1350 AVENUE OF THE AMERICAS, NEW YORK, NY  
10019  
(212) 931-8000 - 1,075,000 (\$17,544,000) COMMON STOCK. 1,500,000  
(\$70,875,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-43902 - AUG. 16)  
(BR. 8)

S-8 RCN CORP /DE/, 105 CARNEGIE CENTER, PRINCETON, NJ 08540 (609) 734-  
3700  
- 725,000 (\$19,415,500) COMMON STOCK. (FILE 333-43904 - AUG. 16) (BR.  
7)

S-3 NEW WORLD COFFEE MANHATTAN BAGEL INC, 246 INDUSTRIAL WAY WEST,  
EATONTOWN, NJ 07724 (732) 544-0155 - 2,000,058 (\$4,000,116) COMMON  
STOCK.  
(FILE 333-43906 - AUG. 16) (BR. 5)

S-8 PURCHASEPRO COM INC, 3291 N BUFFALO DR, LAS VEGAS, NV 89129  
(702) 316-7000 - 4,500,000 (\$177,496,365) COMMON STOCK. (FILE 333-  
43914 -  
AUG. 16) (BR. 8)

S-8 LYCOS INC, 400 2 TOTTEN POND ROAD, WALTHAM, MA 02451 (781) 370-2700  
-  
29,601 (\$177,014) COMMON STOCK. (FILE 333-43928 - AUG. 16) (BR. 3)

S-8 STORAGE COMPUTER CORP, 11 RIVERSIDE STREET, NASHUA, NH 03062  
(603) 880-3005 - 2,000,000 (\$19,062,500) COMMON STOCK. (FILE 333-43932  
-  
AUG. 16) (BR. 3)

S-8 PERICOM SEMICONDUCTOR CORP, 2380 BERING DR, SAN JOSE, CA 95131  
(408) 435-0800 - 300,000 (\$15,234,375) COMMON STOCK. (FILE 333-43934 -  
AUG. 16) (BR. 5)

S-4 US BANCORP \DE\, FIRST BANK PL, 601 SECOND AVE S, MINNEAPOLIS, MN  
55402  
(612) 973-1111 - 7,740,335 (\$150,526,662.75) COMMON STOCK. (FILE  
333-43936 - AUG. 16) (BR. 7)

S-8 FIND SVP INC, 625 AVE OF THE AMERICAS, NEW YORK, NY 10011 (212) 645-  
4500  
- 500,000 (\$562,500) COMMON STOCK. (FILE 333-43940 - AUG. 16) (BR. 6)

S-3 TETRA TECH INC, 670 N ROSEMEAD BOULEVARD, PASEDNA, CA 91107  
(626) 351-4664 - 407,877 (\$9,598,000) COMMON STOCK. (FILE 333-43942 -  
AUG. 16) (BR. 6)

S-8 AVISTAR COMMUNICATIONS CORP, 555 TWIN DOLPHINS, STE 360, REDWOOD  
SHORES,  
CA 94065 (650) 610-2900 - 11,004,050 (\$147,321,000) COMMON STOCK.  
(FILE  
333-43944 - AUG. 16) (BR. 3)

S-8 US CHINA INDUSTRIAL EXCHANGE INC, 7201 WISCONSIN AVE, STE 703,  
BETHESDA,  
MD 20814 (301) 215-7777 - 62,500 (\$783,978) COMMON STOCK. (FILE 333-  
43946  
- AUG. 16) (BR. 1)

SB-2 ONE DENTIST RESOURCES, 5300 MCKITRICK BLVD, COLUMBUS, OH 43235  
(614) 538-2710 - 2,316,250 (\$4,632,500) COMMON STOCK. (FILE 333-43948  
-  
AUG. 16) (BR. 9 - NEW ISSUE)

S-3 STAMPS COM INC, 3420 OCEAN PARK BOULEVARD, SUITE 1040, SANTA MONICA,  
CA  
90405 (310) 581-7200 - 30,000 (\$141,564) COMMON STOCK. (FILE 333-43950  
-  
AUG. 17) (BR. 2)

S-8 ULTRATECH STEPPER INC, 3050 ZANKER RD, SAN JOSE, CA 95134 (408) 321-  
8835  
- 400,000 (\$6,100,000) COMMON STOCK. (FILE 333-43952 - AUG. 17) (BR.  
5)

S-8 MOBILE MINI INC, 1834 W 3RD ST, TEMPE, AZ 85281 (602) 894-6311 -  
500,000 (\$8,781,500) COMMON STOCK. (FILE 333-43954 - AUG. 17) (BR. 6)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:



- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCLAIM ENTERTAINMENT INC	DE					X		X			08/15/00	
ACTIVE APPAREL GROUP INC	DE					X		X			08/22/00	
ADVANCED MICRO DEVICES INC	DE		X					X			08/04/00	
ADVANCED WIRELESS SYSTEMS INC	AL	X	X		X	X					08/06/00	
ADVANTA MORTGAGE LOAN TRUST 2000 2	DE		X					X			08/22/00	
AFFYMETRIX INC	DE					X		X			08/21/00	
AKSYS LTD	DE					X		X			08/16/00	
ALTEX INDUSTRIES INC	DE					X					09/30/00	
AMM HOLDINGS INC	DE					X		X			08/11/00	
ANTIGUA FUNDING CORP	DE					X					08/09/00	
ANTIGUA FUNDING CORP	DE					X					08/09/00	
AQUILA BIOPHARMACEUTICALS INC	DE	X									08/22/00	
ARIES VENTURES INC	NV				X						08/15/00	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X		X			08/21/00	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X		X			08/21/00	
BANK OF NEW ENGLAND CORP	MA	NO ITEMS									06/30/00	
BANK ONE CORP	DE					X		X			08/22/00	
BANK ONE TEXAS NATIONAL ASSOCIATION						X		X			08/21/00	
BANKNORTH GROUP INC/ME	ME					X		X			08/18/00	
BELL SPORTS CORP	DE	X						X			08/11/00	
BIGMAR INC	DE					X					08/18/00	
CABLEVISION SYSTEMS CORP /NY	DE					X					08/17/00	
CACHEFLOW INC	DE							X			06/05/00	AMEND
CAPITAL ENVIRONMENTAL RESOURCE INC						X		X			08/21/00	
CAREERBUILDER INC	DE	X						X			08/21/00	
CELEXX CORP	NV		X								08/21/00	AMEND
CEREUS TECHNOLOGY PARTNERS INC	DE					X		X			08/18/00	
CERUS CORP	DE					X		X			08/22/00	
CHASE CREDIT CARD MASTER TRUST	NY					X					08/15/00	
CHASE MANHATTAN BANK /NY/	NY					X					08/15/00	
CNBT BANCSHARES INC	TX					X		X			08/18/00	
CODESTREAM HOLDINGS INC	CO							X			06/08/00	AMEND
COLORADO MEDTECH INC	CO					X		X			08/22/00	
COMPASS KNOWLEDGE HOLDINGS INC	NV		X					X			08/15/00	
CONSECO FINANCE CORP	DE					X		X			06/29/00	
CPI CORP	DE					X					08/22/00	
CSC HOLDINGS INC	DE					X					08/17/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CULP INC	NC	X									07/30/00	
CYBERFAST SYSTEMS INC	DE				X			X			09/30/99	AMEND
CYCO NET INC	NV				X	X					08/17/00	
DIALYSIS CORP OF AMERICA	FL				X						07/21/00	
DYNEGY INC /IL/	IL				X		X				08/02/00	
E FINANCIAL DEPOT COM	DE		X								06/08/00	AMEND
E TWOMEDIA COM INC	NV	X									08/21/00	
EAGLE GEOPHYSICAL INC	DE				X		X				06/09/00	
ECOM COM INC	CO	X									06/05/00	
EDUCATION LOANS INC /DE	DE				X		X				08/21/00	
EQUITABLE RESOURCES INC /PA/	PA				X		X				08/21/00	
FATBRAIN COM INC	DE				X		X				08/22/00	
FIRST ALBANY COMPANIES INC	NY	X									08/04/00	
FIRST BANK CORPORATE CARD MASTER TR UST	NY				X		X				08/21/00	
FIRST SIERRA RECEIVABLES II INC	DE	X					X				08/01/00	
FIRST SIERRA RECEIVABLES III INC	DE	X					X				08/01/00	
FLEETBOSTON FINANCIAL CORP	RI				X		X				08/16/00	
GB HOLDINGS INC	DE		X								08/14/00	
GB PROPERTY FUNDING CORP	DE		X								08/14/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X		X				08/21/00	
GENERAL MOTORS CORP	DE				X						08/16/00	
GENETIC VECTORS INC	FL	X					X				08/18/00	AMEND
GEON CO	DE				X		X				08/15/00	
GRANT GEOPHYSICAL INC	DE				X		X				08/21/00	
GREATE BAY HOTEL & CASINO INC	NJ		X								08/14/00	
GTS DURATEK INC	DE	X					X				06/08/00	AMEND
HALLIBURTON CO	DE				X		X				08/16/00	
HARRIS FINANCIAL INC	PA				X						08/17/00	
HIGHLAND BANCORP INC	DE				X		X				08/14/00	
IMPERIAL INDUSTRIES INC	DE						X				06/13/00	AMEND
INDUSTRIAL RUBBER INNOVATIONS INC	FL	X	X								08/07/00	
ISHOPPER COM INC	UT						X				07/29/99	AMEND
ISHOPPER COM INC	UT						X				07/29/99	AMEND
ISLE OF CAPRI CASINOS INC	DE	X									07/30/00	
ISONICS CORP	CA				X		X				08/17/00	
ITEX CORPORATION	NV				X		X				08/21/00	
JABIL CIRCUIT INC	DE				X						08/14/00	
J2 COMMUNICATIONS /CA/	CA				X		X				08/18/00	
KING POWER INTERNATIONAL GROUP CO L TD	NV				X		X				08/22/00	
KITTY HAWK INC	DE				X		X				07/13/00	
KROGER CO	OH				X		X				08/21/00	
LAROCHE INDUSTRIES INC	DE				X		X				04/16/00	
LEADING EDGE PACKAGING INC	DE				X	X	X				08/22/00	AMEND
LERNOUT & HAUSPIE SPEECH PRODUCTS N V							X				06/07/00	AMEND
LIBERTY CORP	SC				X		X				08/22/00	
LIGHTTOUCH VEIN & LASER INC	NV	X					X				06/08/00	AMEND
LOG ON AMERICA INC	DE				X		X				08/21/00	
MAGELLAN TECHNOLOGY INC	UT				X		X				08/21/00	
MAXIM PHARMACEUTICALS INC	DE	X					X				06/16/00	AMEND
MCGRAW-HILL COMPANIES INC	NY				X		X				08/21/00	
MEASUREMENT SPECIALTIES INC	NJ	X			X		X				08/07/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MELLON RESIDENTIAL FUNDING CORP MOR T PAS THR SER 2000 TBC 3	DE				X	X					08/15/00	
MERCATOR SOFTWARE INC	DE				X	X					08/21/00	
MEREDITH CORP	IA				X	X					03/08/00	
MERITOR AUTOMOTIVE INC	DE				X	X					08/01/00	
MICROTEL INTERNATIONAL INC	DE				X						08/21/00	
MOLL INDUSTRIES INC	DE				X	X					08/11/00	
MORGAN STANLEY ABS CAPITAL I INC	DE				X	X					08/21/00	
MUZAK LLC	DE	X									08/22/00	
MYPOINTS COM INC	DE		X				X				08/07/00	
NABISCO HOLDINGS CORP	DE				X	X					08/21/00	
NATIONAL CITY CREDIT CARD MASTER TR UST								X			08/15/00	
NATURAL HEALTH TRENDS CORP	FL						X				08/21/00	
NATURAL MICROSYSTEMS CORP	DE	X					X				07/07/00	AMEND
NET2PHONE INC	DE				X	X					08/11/00	
NEWGEN RESULTS CORP	DE				X	X					08/21/00	
NEXTLINK COMMUNICATIONS INC/NEW	DE	X					X				06/16/00	AMEND
NORTEL NETWORKS CORP						X					08/07/00	
OFFICE DEPOT INC	DE				X	X					08/21/00	
PACIFIC CENTURY FINANCIAL CORP	HI	X									08/21/00	
PARTNERRE LTD			X				X				08/04/00	
PASSANT ACQUISITION CORP			X								08/17/00	
PATTERSON ENERGY INC	DE				X	X					06/02/00	
PATTERSON ENERGY INC	DE				X						07/27/00	
PETCO ANIMAL SUPPLIES INC	DE				X	X					08/17/00	
PHOENIX INTERNATIONAL LTD INC	FL				X	X					08/22/00	
PIEDMONT NATURAL GAS CO INC	NC				X	X					08/10/00	
PINNACLE ENTERTAINMENT INC	DE	X					X				08/08/00	
PMCC FINANCIAL CORP	DE	X									07/28/00	
PNC MORT ACCEPT CORP COMMERC MORT P ASS THR CERT SER 1999 CM1	MO				X	X					08/10/00	
POTOMAC ENERGY CORP	OK				X	X					08/15/00	
PRESTOLITE ELECTRIC HOLDING INC	DE	X					X				08/07/00	
PRICE T ROWE ASSOCIATES INC /MD/	MD	X									08/08/00	
	STATE				8K ITEM NO.							
PROVIDENT FINANCIAL GROUP INC	OH				X	X					08/22/00	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE	X					X				08/01/00	
PUBLIC SERVICE ENTERPRISE GROUP INC	NJ		X				X				08/21/00	
QLT INC/BC	A1				X	X					07/28/00	
R&B FALCON CORP	DE				X	X					08/19/00	
RAINWIRE PARTNERS INC /DE/	DE				X						08/22/00	
RATEXCHANGE CORP	DE				X						08/16/00	
REGENCY GROUP LTD INC	NV				X		X				08/11/00	AMEND
RELIANCE GROUP HOLDINGS INC	DE						X				08/18/00	
REPUBLIC GROUP INC	DE				X	X					08/11/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE						X				08/18/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X					08/22/00	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE	X									08/21/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ROBERDS INC	OH					X	X				07/30/00	
SAC TECHNOLOGIES INC	MN						X				08/15/00	
SAUER DANFOSS INC	DE					X					08/14/00	
SCOTTS LIQUID GOLD INC	CO	X									08/21/00	
SECOND BANCORP INC	OH					X					08/22/00	
SILK BOTANICALS COM INC	FL				X		X				06/30/00	AMEND
SMUCKER J M CO	OH					X	X				08/15/00	
SPENCERS RESTAURANTS INC	DE				X		X				08/17/00	
TAMPA ELECTRIC CO	FL					X	X				08/16/00	
TBC CORP	DE		X								06/05/00	AMEND
TCSI CORP	NV					X	X				08/21/00	
TECHNOLOGY FLAVORS & FRAGRANCES INC	DE					X	X				08/08/00	
TENGASCO INC	TN	X					X				08/16/00	
THERMOELASTIC TECHNOLOGIES INC /CO/	CO				X						09/01/99	AMEND
THOMAS & BETTS CORP	TN					X	X				08/21/00	
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE						X				08/15/00	
TITAN MOTORCYCLE CO OF AMERICA INC	NV					X	X				08/14/00	
TRANSOCEAN SEDCO FOREX INC	TX					X	X				08/19/00	
UNITED FINANCIAL MORTGAGE CORP	IL	X									08/21/00	
URANIUM RESOURCES INC /DE/	DE					X	X				08/22/00	
US BANCORP \DE\	DE					X	X				08/22/00	
VERISIGN INC/CA	DE						X				06/08/00	AMEND
VIROPHARMA INC	DE					X	X				08/21/00	
VIROPRO INC	NV	X									08/31/00	AMEND
VITRO DIAGNOSTICS INC	NV	X	X			X	X				08/07/00	
VLASIC FOODS INTERNATIONAL INC	NJ					X	X				06/28/00	
WALLSTREET RACING STABLES INC	CO				X						07/24/00	AMEND
WASHINGTON MUTUAL INC	WA						X				08/21/00	
WESTAMERICA BANCORPORATION	CA	X									03/17/00	
WINNERS EDGE COM INC	DE					X					08/21/00	
WORLD COMMERCE ONLINE INC	DE		X			X	X				08/04/00	
XCEL ENERGY INC	MN				X		X				08/18/00	
XCEL ENERGY INC	MN		X				X				08/18/00	
21ST CENTURY TECHNOLOGIES INC	NV				X		X				08/14/00	