

SEC NEWS DIGEST

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COMMISSION ANNOUNCEMENTS

ANDREW D. BAILEY, JR. APPOINTED ACADEMIC ACCOUNTING FELLOW

The Securities and Exchange Commission's Office of the Chief Accountant recently selected Professor Andrew D. Bailey, Jr. as the Academic Accounting Fellow for a one-year term beginning August 2000. Currently, Professor Bailey is the Ernst & Young Professor of Accountancy at the University of Illinois at Urbana-Champaign and holds the CPA, CMA, CIA, and CFE certifications.

Prior to joining the University of Illinois faculty in 1994, Professor Bailey was Deloitte & Touche Professor and Head of the Department of Accounting at the University of Arizona. He has also been a faculty member at the Universities of Maine, Iowa, Purdue, Minnesota and Ohio State. He has held visiting faculty positions at the University of Queensland, Australia, the Norwegian Graduate School of Management, Oslo, the Ecole Superior de Commerce de Paris and the University of Otago, New Zealand. He is a past Chair of the Audit Section of the American Accounting Association and past President of the American Accounting Association. Professor Bailey holds a Ph.D. in Accounting from The Ohio State University, and B.S.B. and M.S. in Accounting degrees from the University of Minnesota.

Professor Bailey's research interests include various issues concerning auditing and auditing technology, but most consistently those matters involving the interrelationship of accounting information systems and auditing. His research findings have been published in *The Accounting Review*, *Auditing: A Journal of Practice & Theory*, the *Journal of Information Systems* and in a number of management information systems journals. Professor Bailey has served as the co-editor of *Auditing: A Journal of Practice & Theory*, associate editor of *The Accounting Review* and most recently editor of *The International Journal of Accounting*.

At the Commission, the Academic Accounting Fellow serves as a research resource for the staff by interpreting and communicating research materials as they relate to various areas of interest to the SEC. In addition, the Academic Fellow has been assigned to ongoing projects in the Chief Accountant's office, including rulemaking, liaison with the

professional accounting standards-setting bodies and consultation with registrants on accounting and reporting matters. Professor Bailey will replace the current academic accounting fellow, Professor J. Richard Dietrich, who will become Chair of the Department of Accounting & MIS at The Ohio State University. (Press Rel. 2000-108)

DANIEL THORNTON APPOINTED ACADEMIC ACCOUNTING FELLOW

The Securities and Exchange Commission's Division of Corporate Finance recently selected Professor Daniel B. Thornton as the Academic Accounting Fellow for a one-year term beginning August 2000. Currently, Professor Thornton is a professor at Queen's School of Business in Canada. He is also a voting member of Canada's Accounting Standards Board and Associate Editor of *The Accounting Review* and *Contemporary Accounting Research*.

Prior to joining Queen's University in 1993, Professor Thornton held the Alberta Chartered Accountants' professorship and was a Professor at the University of Toronto. He has held visiting appointments at University of British Columbia, Hong Kong Polytechnic University, Concordia University and Osgoode Hall Law School. He holds a Ph.D. from York University and B.Sc. and MBA degrees from the University of Western Ontario.

Before serving recently as President of the Canadian Academic Accounting Association, Professor Thornton received the Association's awards for both Distinguished Contribution to Accounting Thought and Outstanding Educator and was elected a Fellow of the Institutes of Chartered Accountants (FCA) in two Canadian provinces, Ontario and Alberta. Professor Thornton has appeared as an expert witness for Canada's Department of Justice in tax cases involving derivatives accounting, tax arbitrage and income determination.

Professor Thornton's current research interests include various issues concerning market risk disclosures and accounting for derivatives and contingent liabilities. His research findings have been published in *Contemporary Accounting Research*, *The Journal of Business*, *Accounting Organizations and Society*, *The Journal of Business Finance and Accounting*, *Accounting and Business Research*, *Accounting Horizons*, and a number of other international journals.

At the Commission, the Academic Accounting Fellow serves as a research resource for the staff by interpreting and communicating research materials as they relate to various areas of interest to the SEC. In addition, the Academic Fellow has been assigned to ongoing projects in the Division of Corporate Finance, including rulemaking, liaison with the professional accounting standards-setting bodies and consultation with registrants on accounting and reporting matters. Professor Thornton will replace the current academic accounting fellow, Professor Patricia M. Fairfield, who will return to Georgetown University. (Press Rel. 2000-109)

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS SETTLED AGAINST STEVEN WOLIS

On August 4, the Commission entered an Order Instituting Public Proceedings And Opinion And Order Under Rule 102(e) Of The Commission's Rules Of Practice that bars Steven Wolis from appearing or practicing before the Commission. The Commission accepted Wolis' Offer of Settlement, in which he agreed to this sanction.

The Order finds that the Commission had sued Wolis in the United States District Court for the Southern District of Florida charging him with knowingly or recklessly preparing documents relating to certain fraudulent real estate "sales" and with signing the Form 10-K for the period ending August 31, 1991 reflecting these "sales" during the period of time that he served as General Counsel for the Keith Group of Companies, Inc. (Keith Group). The Order finds that on June 26, 2000, with his consent, the District Court entered a Final Judgment of Permanent Injunction that permanently enjoined Wolis from future violations of Sections 10(b) and 13(b)(5) of the Exchange Act, and Rules 10b-5, 13b2-1 and 13b2-2, promulgated thereunder.

The Commission's Order also finds that on July 16, 1998, Wolis pled guilty to a single count of violating 18 U.S.C. § 1505 in that he knowingly and willfully corruptly endeavored to influence, obstruct, and impede the due administration of justice by making materially false and misleading statements while testifying as a witness in a proceeding then pending before the Commission. (Rel. 34-43123, AAER-1290, File No. 3-10262)

CHEMICAL TRUST AND OTHERS TO DISGORGE UP TO \$17 MILLION AND PAY CIVIL PENALTIES

The Commission announced that on July 28, 2000, the United States District Court for the Southern District of Florida entered summary judgment against defendants Chemical Trust (Chemical), U.S. Guarantee Corp. (U.S. Guarantee) and United Marketing Trust (United), and against relief defendants Three Rivers Trust (Three Rivers) and Merrit Pierce trust (Merrit), alleged vehicles and conduits of ill-gotten proceeds in a Ponzi scheme. The Court permanently enjoined defendants Chemical, U.S. Guarantee, and United from further violations of the federal securities antifraud and registration provisions and ordered Chemical and United to jointly and severally disgorge \$17 million in ill-gotten gains. The Court ordered defendant U.S. Guarantee and relief defendants Three Rivers and Merrit to disgorge \$3,290,000, \$800,000, and \$60,000, respectively, in ill-gotten gains. The Court imposed a civil penalty against defendants Chemical, U.S. Guarantee, and United in the amount of \$100,000 and continued a

previous order requiring those defendants and relief defendants Three Rivers and Merrit to preserve records.

According to the Commission's complaint, the defendants made material misrepresentations and omissions in connection with the sale of at least \$17 million in investment contracts to the investing public. The contracts promised investors returns of between 9.25% to 5% annually, depending upon the amount invested. The complaint further alleges that Chemical represented to investors that their funds would be used to purchase U.S. Treasury notes and distressed properties and that the investment was 100% guaranteed through a security bond issued by U.S. Guarantee. According to the Commission's complaint, Chemical has not purchased any U.S. treasury notes or distressed properties, and investor funds are not secured as promised. Instead, the complaint alleges that the defendants misappropriated millions of dollars in investor monies and expatriated them to offshore bank accounts. The complaint alleges that in classic Ponzi scheme fashion, Chemical used new investor funds to pay interest to existing investors. The complaint further alleges that the defendants made material misrepresentations and omissions to investors concerning, among other things, the assets of U.S. Guarantee and the background of its principals. The Commission filed its complaint on January 7, 2000 and has now obtained judgments against all defendants. [SEC v. Chemical Trust, et al., 00-8015-C1V-RYSKAMP , S.D. Fla.] (LR-16644)

INVESTMENT COMPANY ACT

WELLS FARGO FUNDS TRUST, ET AL.

A notice has been issued giving interested persons until August 28 to request a hearing on an application filed by Wells Fargo Funds Trust, et al., for an order exempting applicants from Section 15(f)(1)(A) of the Investment Company Act. Without the requested exemption, certain investment companies advised by Wells Fargo Bank, N.A. (Wells Fargo) would have to reconstitute their respective boards of trustees to meet the 75 percent noninterested director requirement of Section 15(f)(1)(A) of the Act in order for Wells Fargo to rely upon the safe harbor provisions of Section 15(f). (Rel IC-24591 - August 3)

CIGNA FUNDS GROUP AND TIMESQUARE CAPITAL MANAGEMENT, INC.

A notice has been issued giving interested persons until August 28 to request a hearing on application filed by CIGNA Funds Group and TimesSquare Capital Management, Inc. for an order under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act and Rule 18f-2 under the Act. The order would permit applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-24592 - August 3)

MPAM FUNDS TRUST

A notice has been issued giving interested persons until August 28 to request a hearing on an application filed by MPAM Funds Trust for an order under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Section 12(d)(1)(G)(i)(II) of the Act. The order would permit a fund of funds relying on Section 12(d)(1)(G) of the Act to invest in securities and other financial instruments. (Rel. IC-24593 - August 3)

HOLDING COMPANY ACT RELEASES

UNITIL CORPORATION

A supplemental order has been issued authorizing Unitil Corporation, a registered holding company, to issue and sell up to 200,000 shares of its common stock under its dividend reinvestment and stock purchase plan and up to 150,000 shares under its tax-deferred savings and investment plan. (Rel. 35-27207)

CP&L ENERGY, INC., ET AL.

A notice has been issued giving interested persons until August 25 to request a hearing on a proposal by CP&L Energy, Inc. (CP&L Energy), a public utility holding company claiming an exemption under Section 3(a)(1) of the Act, and Florida Progress Corporation (Florida Progress), a public utility holding company claiming exemption under section 3(a)(1) of the Act (Applicants). Applicants request authority for CP&L to acquire all of the issued and outstanding voting shares of Florida Progress and for CP&L and Florida Progress to engage in various intrasystem transactions. In addition, Applicants request that the Commission grant Florida Progress an exemption from all provisions of the Act under Section 3(a)(1), except Section 9(a)(2). (Rel. 35-27208)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-CBOE-00-26) filed by Chicago Board Options Exchange amending the CBOE's flexible options rules has become immediately effective under Section 19(b)(3)(a) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43108)

The Options Price Reporting Authority filed with the Securities and Exchange Commission a notice of filing and immediate effectiveness of a proposed Plan amendment pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934 (SR-

OPRA-00-06) establishing a pilot program to permit fee-exempt access to market data. (Rel. 34-43109)

A proposed rule change (SR-CBOE-00-28) filed by the Chicago Board Options Exchange on July 10, 2000, to adopt a new marketing fee to be imposed on transactions of market makers, has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication is expected in the Federal Register during the week of August 7. (Rel. 34-43112)

A proposed rule change (SR-CBOE-00-32) filed by the Chicago Board Options Exchange on August 2 to adopt the CBOE Best Execution Assurance Program has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication is expected in the Federal Register during the week of August 7. (Rel. 34-43113)

A proposed rule change (SR-CBOE-00-31) filed by the Chicago Board Options Exchange relating to trading options on securities that represent interests in registered investment companies based on narrow-based indices or portfolios has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43114)

PROPOSED RULE CHANGES

The Philadelphia Stock Exchange filed a proposed rule change under Rule 19b-4 (SR-Ph1x-00-01) to amend its current enhanced specialist participation provisions and adopt two new programs in Ph1x Rule 1014(g). Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43100)

The New York Stock Exchange filed a proposed rule change under Rule 19b-4 (SR-NYSE-00-19) relating to Rule 1006 of NYSE Direct+, the Exchange's automatic execution facility for certain limit orders. Publication of the notice in the Federal Register is expected during the week of August 7. (Rel. 34-43110)

The New York Stock Exchange has filed a proposed rule change (SR-NYSE-00-32) under Section 19(b)(1) of the Securities Exchange Act of 1934 to extend the pilot relating to shareholder approval of stock options plans. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43111)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change under Rule 19b-4 of the Securities Exchange Act of 1934 by the New York Stock Exchange. (SR-NYSE-99-46) amending Exchange Rule 104 (Dealings by Specialists). (Rel. 34-43098)

The Commission approved a proposed rule change submitted under Rule 19b-4 by the New York Stock Exchange (SR-NYSE-00-24) relating to revising the Exchange's FORM AP-1

Application. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. No. 34-43105)

DELISTINGS

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Benguet Corporation, Class B common stock, without par value. (Rel. 34-43116)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Genesis Health Ventures, Inc., common stock, \$.02 par value. (Rel. 34-43117)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration The Hallwood Group Incorporated, common stock, \$.10 par value. (Rel. 34-43118)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Sunterra Corporation, common stock, \$.01 par value. (Rel. 34-43119)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Genesis Worldwide Inc., common shares, without par value. (Rel. 34-43120)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Flooring America Inc., common stock, \$.001 par value, and 9 ¼% Senior Subordinated Notes due October 15, 2007, Series B. (Rel. 34-43121)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 STORA ENSO CORP /ADR/, 111 WALL STREET, NEW YORK, NY 10043 - 340,000,000 (\$17,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12348 - JUL. 27) (BR. 99 - NEW ISSUE)
- F-3 IONA TECHNOLOGIES PLC, IONA BLDG, 8-10 LOWER PEMBROKE ST, DUBLIN 2 IRELAND, L2 (011) 662-5255 - 499,138 (\$35,477,730.76) FOREIGN COMMON STOCK. (FILE 333-12362 - JUL. 28) (BR. 3)
- S-8 NOKIA CORP, ETELAESPLANADI 12, HELSINKI FINLAND, H9 (035) 801-8071 - 14,900,000 (\$635,112,500) FOREIGN COMMON STOCK. (FILE 333-12364 - JUL. 28) (BR. 7)
- S-8 CLARUS CORP, 3970 JOHNS CREEK CT, STE 100, SUWANEE, GA 30024 (770) 291-3900 - 750,000 (\$27,095,952) COMMON STOCK. (FILE 333-42600 - JUL. 31) (BR. 3)
- S-8 CLARUS CORP, 3970 JOHNS CREEK CT, STE 100, SUWANEE, GA 30024 (770) 291-3900 - 1,000,000 (\$39,640,000) COMMON STOCK. (FILE 333-42602 - JUL. 31) (BR. 3)
- S-8 CLARUS CORP, 3970 JOHNS CREEK CT, STE 100, SUWANEE, GA 30024 (770) 291-3900 - 1,511,351 (\$59,909,954) COMMON STOCK. (FILE 333-42604 - JUL. 31) (BR. 3)
- S-8 CLARUS CORP, 3970 JOHNS CREEK CT, STE 100, SUWANEE, GA 30024 (770) 291-3900 - 336,687 (\$12,525,012) COMMON STOCK. (FILE 333-42606 - JUL. 31) (BR. 3)
- S-3 CAPITAL AUTOMOTIVE REIT, 1420 SPRING HILL ROAD, SUITE 525, MCLEAN, VA 22102 (703) 288-3075 - 596,421 (\$8,909,039) COMMON STOCK. (FILE 333-42610 - JUL. 31) (BR. 8)
- S-8 ARCHER DANIELS MIDLAND CO, 4666 FARIES PKWY, DECATUR, IL 62526 (217) 424-4798 - 2,000,000 (\$19,940,000) COMMON STOCK. (FILE 333-42612 - JUL. 31) (BR. 4)
- S-8 SATX INC, 4710 EISENHOWER BLVD, SUITE E-1, TAMPA, FL 33634 (813) 886-6452 - 800,000 (\$636,500) COMMON STOCK. (FILE 333-42614 - JUL. 31) (BR. 9)
- S-8 HEALTHEON WEBMD CORP, 400 LENOX BUILDING, 3399 PEACHTREE ROAD NE, ATLANTA, GA 30326 (404) 495-7600 - 10,486,741 (\$48,879,916) COMMON STOCK. (FILE 333-42616 - JUL. 31) (BR. 3)
- S-8 FNB FINANCIAL SERVICES CORP, 202 SOUTH MAIN ST, P.O. BOX 2037, REIDSVILLE, NC 27320 (336) 342-3346 - 350,000 (\$4,375,000) COMMON STOCK. (FILE 333-42618 - JUL. 31) (BR. 7)
- S-3 BROOKS AUTOMATION INC, 15 ELIZABETH DRIVE, CHELMSFORD, MA 01824

- (978) 262-2566 - 599,903 (\$26,245,756.25) COMMON STOCK. (FILE 333-42620 - JUL. 31) (BR. 5)
- S-8 INTERNET MULTI-MEDIA CORP, 2533 NORTH CARSON STREET SUITE 3358, CARSON CITY, NV 89706 (702) 841-4779 - 850,000 (\$153,000) COMMON STOCK. (FILE 333-42622 - JUL. 31) (BR. 9)
- S-3 PERFICIENT INC, 7600-B NORTH CAPITAL OF TEXAS HWY,, SUITE 220, AUSTIN, TX 78731 (512) 531-6000 - 169,901 (\$1,699,010) COMMON STOCK. (FILE 333-42624 - JUL. 31) (BR. 9)
- S-8 PERFICIENT INC, 7600-B NORTH CAPITAL OF TEXAS HWY,, SUITE 220, AUSTIN, TX 78731 (512) 531-6000 - 2,226,042 (\$22,260,420) COMMON STOCK. (FILE 333-42626 - JUL. 31) (BR. 9)
- S-8 CAPITAL BANK CORP, 4400 FALLS OF NEUSE ROAD, RALEIGH, NC 27609 (919) 878-3100 - 50,000 (\$415,625) COMMON STOCK. (FILE 333-42628 - JUL. 31) (BR. 7)
- S-3 LIFEPOINT HOSPITALS INC, 103 POWELL COURT, SUITE 200, BRENTWOOD, TN 37027 (615) 372-8500 - 340,000 (\$8,228,000) COMMON STOCK. (FILE 333-42634 - JUL. 31) (BR. 1)
- S-8 VAIL BANKS INC, 108 SO FRONTAGE RD WEST, SUITE 101, VAIL, CO 81657 (970) 476-2002 - 962,865 (\$9,204,989) COMMON STOCK. (FILE 333-42636 - JUL. 31) (BR. 7)
- S-4 NRG NORTHEAST GENERATING LLC, 1221 NICOLLET MALL, SUITE 700, MINNEAPOLIS, MN 55403 (612) 373-5300 - 750,000,000 (\$750,000,000) STRAIGHT BONDS. (FILE 333-42638 - JUL. 31) (BR. 2 - NEW ISSUE)
- SB-1 DISCOUNT MORTGAGE SOURCE INC, 750 I 30 EAST, STE 170, ROCKWELL, TX 75087 (972) 771-3803 - 2,240,000 (\$560,000) COMMON STOCK. (FILE 333-42640 - JUL. 31) (BR. 7 - NEW ISSUE)
- S-8 ADC TELECOMMUNICATIONS INC, 12501 WHITEWATER DR, MINNETONKA, MN 55343 (952) 946-2324 - 1,400,000 (\$61,337,300) COMMON STOCK. (FILE 333-42642 - JUL. 31) (BR. 7)
- S-8 ANAREN MICROWAVE INC, 6635 KIRKVILLE RD, EAST SYRACUSE, NY 13057 (315) 432-8909 - 3,488 (\$400,000) COMMON STOCK. (FILE 333-42646 - JUL. 31) (BR. 5)
- S-8 COMMERCIAL METALS CO, 7800 STEMMONS FRWY, P O BOX 1046, DALLAS, TX 75221 (214) 689-4300 - 200,000 (\$5,638,000) COMMON STOCK. (FILE 333-42648 - JUL. 31) (BR. 4)
- S-1 NEXTPATH TECHNOLOGIES INC, 114 SOUTH CHURTON ST, SUITE 101, HILLSBOROUGH, NC 27278 (918) 295-8289 - 23,000,000 (\$40,940,000) COMMON STOCK. (FILE 333-42650 - JUL. 31) (BR. 9)
- S-4 JOHNS MANVILLE CORP /NEW/, 717 17TH ST, DENVER, CO 80202 (303) 978-2000 - 2,890,360 PREFERRED STOCK. (FILE 333-42652 - JUL. 31) (BR. 6)
- S-8 RHYTHMS NET CONNECTIONS INC, 7337 S REVERE PKWY SUITE 100, ENGLEWOOD, CO 80112 (303) 476-4200 - 41,125 (\$588,546.37) COMMON STOCK. (FILE 333-42654

- JUL. 31) (BR. 7)

S-8 CONCEPTUS INC, 1021 HOWARD AVE, SAN CARLOS, CA 94070 (415) 802-7240 - 500,000 (\$3,608,880) COMMON STOCK. (FILE 333-42656 - JUL. 31) (BR. 5)

S-8 ST JUDE MEDICAL INC, ONE LILLEHEI PLAZA, ST PAUL, MN 55117 (651) 483-2000 - 1,000,000 (\$40,125,000) COMMON STOCK. (FILE 333-42658 - JUL. 31) (BR. 5)

S-3 MINNESOTA MINING & MANUFACTURING CO, 3M CENTER, ST PAUL, MN 55144 (651) 733-1110 - 128,994 (\$11,472,403) COMMON STOCK. (FILE 333-42660 - JUL. 31) (BR. 6)

S-8 LASERSIGHT INC /DE/, 3300 UNIVERSITY BLVD, SUITE 140, WINTER PARK, FL 32792 (407) 678-9900 - 1,500,000 (\$5,812,500) COMMON STOCK. (FILE 333-42662 - JUL. 31) (BR. 5)

S-8 PLANTRONICS INC /CA/, 345 ENCINAL STREET, PO BOX 1802, SANTA CRUZ, CA 95061 (831) 426-5858 - 2,670,000 (\$124,377,500) COMMON STOCK. (FILE 333-42664 - JUL. 31) (BR. 7)

S-8 ECO SOIL SYSTEMS INC, 10740 THORN MINT ROAD, SAN DIEGO, CA 92127 (858) 675-1660 - 800,000 (\$1,776,000) COMMON STOCK. (FILE 333-42666 - JUL. 31) (BR. 4)

S-8 ST JUDE MEDICAL INC, ONE LILLEHEI PLAZA, ST PAUL, MN 55117 (651) 483-2000 - 5,000,000 (\$200,625,000) COMMON STOCK. (FILE 333-42668 - JUL. 31) (BR. 5)

S-8 BUSINESS OBJECTS SA, BUSINESS OBJECTS AMERICAS, 1870 ZANKER RD, SAN JOSE, CA 95134 (408) 953-6024 - 3,275,000 (\$307,626,500) COMMON STOCK. (FILE 333-42670 - JUL. 31) (BR. 3)

S-8 EMBREX INC/NC, 1035 SWABIA COURT, DURHAM, NC 27703 (919) 941-5185 - 800,000 (\$11,550,000) COMMON STOCK. (FILE 333-42676 - JUL. 31) (BR. 1)

S-1 IXIA, 26601 W. AGOURA RD., CALABASAS, CA 91302 (818) 871-1800 - \$57,500,000 COMMON STOCK. (FILE 333-42678 - JUL. 31) (NEW ISSUE)

F-1 HYDROGENICS CORP, 100 CASTER AVE, WOODBRIDGE ONTARIO, CANADA L4L 5Y9, (905) 851-8866 - \$100,000,000 COMMON STOCK. (FILE 333-42682 - JUL. 31) (NEW ISSUE)

S-8 INSIGHT ENTERPRISES INC, 1305 WEST AUTO DRIVE, TEMPE, AZ 85284 (480) -90-2-10 - 237,500 (\$10,539,062) COMMON STOCK. (FILE 333-42684 - JUL. 31) (BR. 2)

S-8 INSIGHT ENTERPRISES INC, 1305 WEST AUTO DRIVE, TEMPE, AZ 85284 (480) -90-2-10 - 3,600,000 (\$159,750,000) COMMON STOCK. (FILE 333-42686 - JUL. 31) (BR. 2)

S-3 AMERICAN SUPERCONDUCTOR CORP /DE/, TWO TECHNOLOGY DR, WESTBOROUGH, MA 01581 (508) 836-4200 - 37,500 (\$1,378,125) COMMON STOCK. (FILE 333-42688 - JUL. 31) (BR. 1)

- S-8 INTERWOVEN INC, 1195 W FREMONT AVE, STE 2000, SUNNYVALE, CA 94087
(408) 774-2000 - 16,929 (\$35,483.18) COMMON STOCK. (FILE 333-42690 -
JUL. 31) (BR. 3)
- S-8 VASOMEDICAL INC, 180 LINDEN AVENUE, STE 408, WESTBURY, NY 11590
(516) 997-4600 - 1,000,000 (\$4,500,000) COMMON STOCK. (FILE 333-42692 -
AUG. 01) (BR. 5)
- S-1 THIRD WAVE TECHNOLOGIES INC /WI, 502 SOUTH ROSA RD., MADISON, WI 53719
(608) 273- - \$100,000,000 COMMON STOCK. (FILE 333-42694 - JUL. 31)
(NEW ISSUE)
- S-8 METRETEK TECHNOLOGIES INC, WORLD TRADE CTR, 1675 BROADWAY STE 2150,
DENVER, CO 80202 (303) 592-5555 - 250,000 (\$1,352,500) COMMON STOCK.
(FILE 333-42698 - AUG. 01) (BR. 8)
- S-3 CREATIVE HOST SERVICES INC, 6335 FERRIS SQUARE, STES G-H, SAN DIEGO, CA
92126 (619) 587-7300 - 211,000 (\$1,450,625) COMMON STOCK. (FILE 333-42700
- AUG. 01) (BR. 5)
- S-8 SILVERLINE TECHNOLOGIES LTD, SILVERLINE CORPORATE PLAZA,
53 KIGHTSBRIDGE ROA QD, PISCATAWAY, NJ 08854 (732) 457-0200 - 1,000,000
(\$17,150,000) COMMON STOCK. (FILE 333-42702 - AUG. 01) (BR. 3)
- S-4 DOLLAR GENERAL CORP, 100 MISSION RIDGE, GOODLETTSVILLE, TN 37072
(615) 855-4000 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE
333-42704 - AUG. 01) (BR. 2)
- S-8 ALTEON WEBSYSTEMS INC, 50 GREAT OAKS BOULEVARD, SAN JOSE, CA 95119
(408) 360-5500 (FILE 333-42706 - AUG. 01) (BR. 5)