

# SEC NEWS DIGEST

Issue 2000-113

June 14, 2000

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## COMMISSION ANNOUNCEMENTS

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### **SEC ORDERS SECURITIES MARKETS TO PHASE IN DECIMAL PRICING ON SEPTEMBER 5, 2000**

On June 13, the Commission ordered the exchanges and Nasdaq to submit a plan to phase in decimal pricing for listed stocks and certain options starting no later than September 5, 2000, and to phase in decimal pricing for Nasdaq securities beginning no later than March 12, 2001. All securities must be priced in decimals no later than April 9, 2001.

The phase-in plan may fix the minimum quoting increment during the phase-in period, provided that the minimum increment is no greater than five cents and no less than one cent for any equity security. The implementation plan must be submitted to the Commission by July 24, 2000. Individual SRO rule changes necessary to implement the plan must be submitted to the Commission by August 7, 2000.

Chairman Arthur Levitt said, "Under the leadership of Chairman Bliley, Chairman Oxley, Congressman Markey, and other members of Congress, decimalization will become a reality this year and investors will soon reap the benefits of trading in decimal increments."

Chairman Levitt continued, "As the securities markets become more global, with many stocks traded in multiple jurisdictions, the U.S. securities markets must adopt the international convention of decimal pricing to remain competitive. The overall benefits of decimal pricing are likely to be significant. Investors may benefit from lower transaction costs due to narrower spreads and prices will be easier to understand. It is time for the U.S. securities markets to make this change." (Press Rel. 2000-79; Rel. 34-42914)

## **ORDER OF SUSPENSION OF TRADING ENTERED AGAINST WAMEX HOLDINGS, INC.**

The Commission announced the temporary suspension, pursuant to Section 12(k) of the Securities Exchange Act of 1934 (Exchange Act), of trading of the securities of WAMEX Holdings, Inc. (WAMEX) of New York, New York, at 9:30 a.m. EDT on June 14, 2000 and terminating at 11:59 p.m. EDT on June 27, 2000.

The Commission's Order of Suspension of Trading was issued because of questions that have been raised about the accuracy and adequacy of publicly disseminated information concerning, among other things, WAMEX's ability to comply with the Commission's regulations regarding the operation of its Alternative Trading System and funds purportedly raised by WAMEX from private investors. In addition, recent market activity in WAMEX securities may be the result of manipulative conduct or other illegal activity. (Rel 34-42937)

## **ORDER OF SUSPENSION OF TRADING ENTERED AGAINST E-PAWN.COM, INC.**

The Commission announced the temporary suspension, pursuant to Section 12(k) of the Securities Exchange Act of 1934 (Exchange Act), of trading of the securities of E-Pawn.com, Inc. (E-Pawn) of Coral Springs, Florida, at 9:30 a.m. EDT on June 14, 2000 and terminating at 11:59 p.m. EDT on June 27, 2000.

The Commission's Order of Suspension of Trading was issued because of questions that have been raised about the accuracy and adequacy of publicly disseminated information concerning, among other things, the identity of the persons in control of the operations and management of the company and because the recent market activity in E-Pawn securities may be the result of manipulative conduct or other illegal activity. (Rel. 34-42938)

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## **ENFORCEMENT PROCEEDINGS**

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### **ADMINISTRATIVE PROCEEDING INSTITUTED AGAINST LAMBERT VANDER TUIG**

The Commission announced that on June 13 it issued an Order Instituting Public Administrative Proceeding (Order) against Lambert D. Vander Tuig (Vander Tuig), a former registered representative. The Commission's Order alleges that Vander Tuig was enjoined by a United States District Court from future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act and Sections 10(b) of the Exchange Act and Rule 10b-5 thereunder, and that the court also ordered Vander Tuig to disgorge ill-gotten gains in the amount of \$61,305 and imposed \$61,305 in civil penalties against Vander Tuig.

In its complaint in the District Court action, the Commission alleged that between December 1995 and September 1996, Vander Tuig engaged in an unregistered offering of the stock of Fastlane Footwear, Inc. (Fastlane), a manufacturer of casual footwear based in Jackson, Michigan. The Commission's complaint also alleges that between June 26, 1996 and July 8, 1996, Vander Tuig manipulated Fastlane's stock, artificially raising the price of the security 56% from its initial sale price of \$3.12 per share to \$4.88 per share by controlling the supply for the security and creating artificial demand. According to the complaint, Vander Tuig accounted for over 96% of the shares of Fastlane stock sold during the relevant period. To create artificial demand, the complaint alleged that Vander Tuig falsely represented to investors that they were purchasing stock in the aftermarket of an initial public offering. Furthermore, the complaint alleges that to inflate the price of the stock and create artificial volume, Vander Tuig used his nominee accounts to engage in fraudulent wash sale transactions. Finally, after inflating the price of Fastlane stock, the complaint alleges that Vander Tuig dumped the stock, causing his nominees to sell the bulk of their shares to retail investors after which the price of the security plummeted, reaching its 52-week low of \$1.25 per share on October 30, 1996.

A hearing will be scheduled before an administrative law judge to take evidence on the staff's allegations and to afford the Respondent an opportunity to present any defense thereto. The purpose of the hearing is to determine whether the allegations are true and, if so, whether any remedial action should be ordered by the Commission. (Rel. 34-42923; File No. 3-10223)

### **CEASE AND DESIST PROCEEDINGS SETTLED AGAINST EDWARD WILLIAMSON**

On June 13, the Commission entered an Order against Edward Williamson ordering him to cease and desist from committing or causing any violations and any future violations of the antifraud provisions of the federal securities laws and barring Williamson from participation in penny stock offerings.

In his Offer, Williamson, without admitting or denying the findings, consents to the issuance of an Order by the Commission which finds that on or about October 1, 1996, Williamson transferred \$4,375 to a broker-dealer which was undisclosed compensation for the previous purchases of 36,000 shares of OMAP Holdings Inc. at approximately \$0.50 to \$0.625 per share by the broker-dealer. (Rel. 33-7863; 34-42924; File No. 3-9159)

### **FORMER REGISTERED REPRESENTATIVE SANCTIONED**

On June 13, the Commission instituted public administrative proceedings against Donald Gene Brooks. Simultaneously, the Commission accepted Brooks' offer of settlement in which he agreed, without admitting or denying the Commission's findings, to a bar from association with any broker, dealer or investment adviser. The payment of disgorgement and prejudgment interest was waived, and civil penalties were not assessed, based on

Brooks' demonstrated inability to pay. The proceedings against Brooks were based upon a permanent injunction from future violations of the antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934. In the injunctive suit, the Commission alleged that Brooks, a former registered representative associated with Commission-registered broker-dealers, raised and misappropriated at least \$1.7 million from victims, including elderly church members, through sales of fictitious church bonds and interests in a fictitious "Interim Church Loan Fund." The Commission alleged that none of the money raised was used to purchase church-issued debt instruments or any other form of investment. Instead, investors' monies were used for operating expenses, to make "interest" payments to other investors in an obvious "Ponzi" scheme, and to support Brooks' extravagant lifestyle, which included gambling trips, vacations, and lavish gifts to female acquaintances. (Rel. 34-42927; IA-1877; File No 3-10224)

## **SEC BRINGS ENFORCEMENT ACTIONS AGAINST FORMER TOP FINANCIAL OFFICERS AND MANAGERS AT CUC INTERNATIONAL FOR MASSIVE FINANCIAL FRAUD AT CUC AND CENDANT CORP.**

### **Financial Reporting Case Filed Against Cendant**

The Commission today brought civil and administrative fraud and other charges against seven former officials of CUC International Inc. (CUC) and Cendant Corporation for their involvement in a massive financial fraud that caused billions of dollars in losses for investors.

Cendant was created through the December 1997 merger of CUC and HFS Incorporated. These proceedings and litigation are a result of the Commission's continuing investigation of a long-running financial fraud that began at CUC in the 1980s and continued until its discovery and disclosure by Cendant in April 1998.

SEC Enforcement Director Richard H. Walker said, "Today's actions make crystal clear that the SEC and the U.S. Attorney have zero tolerance for fraudulent financial reporting. Financial fraud causes grave harm to the investing public and undermines the integrity of our capital markets. Investors need to know that when they invest their hard-earned dollars in a company's stock, they can depend on the reliability and accuracy of the financial information that the company reports about its operations. We will continue to do everything we can to give them that confidence."

### **Four Former CUC Officials Charged In District Court with Fraud**

In the first of three separate complaints filed by the Commission today with the U.S. District Court, the Commission alleged violations of the federal securities laws by Cosmo Corigliano, Anne M. Pember, Casper Sabatino, and Kevin Kearney, all former officers or managers of CUC. Corigliano and Pember are contesting the Commission's action.

**Corigliano** -- The Commission's complaint alleges that Corigliano, the Chief Financial Officer of CUC, was one of the CUC senior officers who helped to engineer the fraud. Among other things, the complaint alleges that during the last several years of the fraud, Corigliano was the CUC officer responsible for creating and maintaining a schedule that management used to track the progress of their fraud. Corigliano regularly directed CUC financial reporting managers to make unsupported alterations to the company's quarterly and annual financial results. The Commission alleges, moreover, that Corigliano profited from his own wrong-doing by selling CUC securities and a large number of Cendant securities at inflated prices while the fraud he helped engineer was underway and undisclosed. The complaint alleges that Corigliano, by his actions in furtherance of the fraud, violated, or aided and abetted violations of, the antifraud, periodic reporting, corporate record-keeping, internal controls, and lying to auditors provisions of the federal securities laws. The Commission's complaint seeks an injunction enjoining Corigliano from future violations of those provisions, disgorgement of his ill-gotten gains (plus prejudgment interest thereon), payment of a civil money penalty, and an order permanently barring him from acting as an officer or director of a public company.

**Pember** -- The Commission's complaint alleges that Pember, the former CUC Controller, was the CUC officer most responsible for implementing directives received from Corigliano in furtherance of the fraud. Among other things, the complaint alleges that, in January 1998 alone, Pember was responsible for implementing directives that inflated Cendant's annual income by more than \$100 million, primarily through improper use of the company's reserves. The Commission alleges that Pember too profited from her own wrong-doing by selling CUC and Cendant stock at inflated prices while the fraud she helped implement was underway and undisclosed. The complaint alleges that Pember, by her actions in furtherance of the fraud, violated, or aided and abetted violations of, the antifraud, periodic reporting, corporate record-keeping, internal controls, and lying to auditors provisions of the federal securities laws. The Commission's complaint seeks an injunction enjoining Pember from future violations of those provisions, disgorgement of her ill-gotten gains (plus prejudgment interest thereon), payment of a civil money penalty, and an order permanently barring her from acting as an officer or director of a public company.

**Sabatino and Kearney** -- Sabatino and Kearney, without admitting or denying the Commission's allegations, agree to settle the Commission's charges against them. The Commission's complaint alleges that Sabatino, a former CUC Vice President of Accounting and Financial Reporting, implemented directives from Corigliano in furtherance of the fraud. Among other things, the Commission's complaint alleges that Sabatino was the CUC officer most responsible for directing lower-level CUC financial reporting managers to make Corigliano's alterations to the company's quarterly financial results. The complaint alleges that Sabatino, by his actions in furtherance of the fraud, violated, or aided and abetted violations of, the antifraud, periodic reporting, corporate record-keeping, internal controls, and lying to auditors provisions of the federal securities laws. Sabatino has consented to entry of a Final Judgment that would enjoin

him from future violations of those provisions and permanently bar him from acting as an officer or director of a public company.

The Commission's complaint alleges that Kearney, a former CUC Director of Financial Reporting, also instructed lower-level CUC financial reporting managers to carry out directives received from Corigliano in furtherance of the fraud. Among other things, the Commission alleges that Kearney was regularly present when Corigliano would calculate unsupported alterations to CUC's quarterly results and that, while he was part of the CUC financial reporting operations, Kearney supervised the lower-level managers who actually entered Corigliano's quarterly alterations. The complaint alleges that Kearney also profited from his own wrong-doing, selling CUC and Cendant stock at prices inflated by the fraud he had knowingly assisted. The Commission's complaint alleges that Kearney, by his actions in furtherance of the fraud, violated, or aided and abetted violations of, the antifraud, periodic reporting, corporate record-keeping, and lying to auditors provisions of the federal securities laws. Kearney has consented to entry of a Final Judgment that would enjoin him from future violations of those provisions, order him to pay disgorgement of \$32,443 in ill-gotten gains (plus prejudgment interest of \$8,234), and order him to pay a civil money penalty of \$35,000.

Kearney has also agreed to the issuance of a Commission administrative Order that would be issued subsequent to entry of the Final Judgment and that would bar Kearney from practicing before the Commission as an accountant, with the right to reapply after five years.

### **Three Former CUC Officials and Cendant Settle Administrative Charges**

**Speaks** -- The Commission today also issued administrative Orders instituting settled administrative proceedings against three lower-level financial reporting or accounting managers at CUC. In the first of the three separate administrative Orders, the Commission found that Steven Speaks, the former Controller of CUC's largest division, violated the corporate record-keeping provisions of the federal securities laws, and aided and abetted violations of the antifraud, periodic reporting, and corporate record-keeping provisions, in connection with actions that he took at the direction of Pember and in furtherance of the fraud. Among other things, the Commission's complaint alleges that Speaks made, or instructed others to make, journal entries that effectuated much of the January 1998 income inflation directed by Pember. Simultaneous with the institution of the administrative proceeding, and without admitting or denying the findings contained therein, Speaks consented to the issuance of the Commission Order, which orders Speaks to cease and desist from future violations of the provisions and bars Speaks from practicing before the Commission as an accountant, with the right to reapply after three years. He also agreed to pay a civil money penalty of \$25,000.

**Polverari** -- In a second separate administrative Order, the Commission found that Mary Sattler Polverari, a former CUC Supervisor of Financial Reporting, violated the corporate record-keeping provisions of the federal securities laws, and aided and abetted violations of the antifraud, periodic reporting, and corporate record-keeping provisions,

in connection with actions that she took in furtherance of the fraud. Among other things, the Commission alleges that Polverari, at the direction of Sabatino and Kearney, regularly and knowingly made unsupported alterations to CUC's quarterly financial results. Simultaneous with the institution of the administrative proceeding, and without admitting or denying the findings contained therein, Polverari consented to the issuance of the Commission Order, which orders Polverari to cease and desist from future violations of the provisions and bars her from practicing before the Commission as an accountant, with the right to reapply after three years. She also agreed to pay a civil money penalty of \$25,000.

**Hiznay** -- In a third administrative Order, the Commission found that Paul Hiznay, a former Accounting Manager at CUC's largest division, aided and abetted violations of the periodic reporting provisions of the federal securities laws, in connection with actions that he took at the direction of his superiors at CUC. Among other things, the Commission alleges that Hiznay made unsupported journal entries that Pember had directed. Simultaneous with the institution of the administrative proceeding, and without admitting or denying the findings contained therein, Hiznay consented to the issuance of the Commission Order, which orders him to cease and desist from future violations of the provisions

**Cendant** -- In a fourth and separate administrative Order issued today, the Commission found that Cendant violated the periodic reporting, corporate record-keeping, and internal controls provisions of the federal securities laws, in connection with the CUC fraud. Among other things, the Commission's Order finds that the company's books, records, and accounts had been falsely altered, and materially false periodic reports had been filed with the Commission, as a result of the long-running fraud at CUC. Simultaneous with the institution of the administrative proceeding, and without admitting or denying the findings contained therein, Cendant consented to the issuance of the Commission Order, which orders Cendant to cease and desist from future violations of the provisions. In fashioning its Order, the Commission recognized remedial acts promptly undertaken by Cendant and cooperation afforded the Commission and other authorities.

### **U.S. Attorney for District of New Jersey Brings Criminal Charges**

The U.S. Attorney's Office ("USAO") for the District of New Jersey today announced that Corigliano, Pember, and Sabatino had each pleaded guilty to Informations in the U.S. District Court for the District of New Jersey, pursuant to plea agreements between those three individuals and the USAO. Pursuant to his agreement, Corigliano pleaded guilty to a two-count Information charging him with wire fraud and with conspiracy to commit mail fraud, wire fraud, and causing false statements to be made in documents required to be filed with the Commission. Similarly, pursuant to her agreement, Pember pleaded guilty to a one-count Information charging her with conspiracy to commit mail fraud and wire fraud. Sabatino, pursuant to his agreement, pleaded guilty to a one-count Information charging him with aiding and abetting wire fraud.

The Commission's investigation into these matters continues. (Press Rel. 2000-80; LR-16587; In the Matter of Cendant Corporation – Rel. 34-42933, AAE Rel. 1272, File No. 3-10225, In the Matter of Paul Hiznay – Rel. 34-42934, AAE Rel. 1273, File No. 3-10226; In the Matter of Steven Speaks, C.P.A. – Rel. 34-42935, AAE Rel. 1274, File No. 3-10227, In the Matter of Mary Sattler Polverari, C.P.A. – Rel. 34-42936; AAE Rel. 1275; File No. 3-10228); [SEC v. Cosmo Corigliano, Anne M. Pember, Casper Sabatino, and Kevin Kearney, Civil Action No. 00-2873, AJL, D.N.J.; SEC v. Steven Speaks, Civil Action No. 00-2874, WHW, D.N.J.; SEC v. Mary Sattler Polverari, Civil Action No. 00-2874, WHW, D.N.J.]

#### **ORDER INSTITUTING PUBLIC PROCEEDINGS PURSUANT TO SECTION 8A OF THE SECURITIES ACT OF 1933 AND SECTIONS 15(b) AND 21C OF THE SECURITIES EXCHANGE ACT OF 1934**

On June 14, the Commission instituted public administrative proceedings pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b) and 21C of the Securities Exchange Act of 1934 (Exchange Act) against Salvatore Piazza (Piazza), James S. Labate (Labate), William F. Palla (Palla), Emmanuel Gennusso (Gennusso), Cary F. Cimino (Cimino), Todd M. Nejaime (Nejaime), Kevin P. Radigan (Radigan), Robert Gallo (Gallo), Robert J. Dacunto (Robert Dacunto), Michael P. Dacunto (Michael Dacunto), Joseph P. Meduri (Meduri), Vincent A. Padulo, Jr. (Vincent Padulo), Vito G. Padulo (Vito Padulo), John Bruzzese (Bruzzese), Salvatore F. Ruggiero (Ruggiero), Patrick Giglio (Giglio), Irving Stitsky (Stitsky), Paul L. Burton (Paul Burton), Marc I. Burton (Marc Burton), Kenneth J. Fuina (Fuina), Marc L. Weissman (Weissman), Anthony P. Dinota, Jr. (Dinota), Facundo Ponce (Ponce), Chester L. Chicosky (Chicosky), Lawrence M. Choiniere (Choiniere), William P. Burke (Burke), Michael Caso (Caso), John Catalano, Jr. (Catalano), George Bisnoff (Bisnoff), Damien Douglas (Douglas), Craig P. McGuinn, II (McGuinn), and Mark Danieli (Danieli).

The Order Instituting Proceedings (Order) alleges that from early 1995 through September 1996, the Respondents engaged in fraudulent market manipulations involving securities issued by: Spaceplex Amusement Centers International Ltd., Inc. (Spaceplex), Reclaim, Inc. (Reclaim), Beachport Entertainment Group, Inc. (Beachport), and International Nursing Services, Inc. (International Nursing). In each scheme, Piazza and Labate acquired control over a substantial amount of free-trading securities issued by the public company and, thereafter, engaged in transactions to manipulate the public market price for those securities, including paying bribes to brokers, both directly and through promoters such as Cimino, in exchange for the brokers causing their retail customers to purchase the securities.

From approximately September 1995 through September 1996, Piazza, Labate and their business partners controlled several branch offices of Monitor Investment Group, Inc. (Monitor). Palla, the disclosed principal of Monitor, and Gennusso, the compliance officer of Monitor, participated in the manipulation schemes.



Cimino, Nejaime, Radigan, Gallo, Robert Dacunto, Michael Dacunto, Meduri, Vincent Padulo, Vito Padulo, Bruzzese, Ruggiero, Giglio, Stitsky, Paul Burton, Marc Burton, Fuina, Weissman, Dinota, Ponce, Chicosky, Choiniere, Burke, Caso, Catalano, Bisnoff, Douglas, McGuinn, and Danieli received bribes in exchange for causing their retail customers to purchase the securities that were the subject of the manipulations. None of these persons disclosed to the retail customers the existence of these bribe payments.

Piazza, Labate, and their business partners received at least \$5 million in illicit profits from the manipulation schemes. Cimino and the other participants in the schemes received at least \$3 million in illicit profits.

The Order alleges that the Respondents willfully violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

A hearing will be scheduled to determine what, if any, remedial action is appropriate in the public interest against Respondents (Rels. 33-7864; 34-42939; File No. 3-10229; Press Rel 2000-81)

#### **ORDER INSTITUTING PUBLIC PROCEEDINGS PURSUANT TO SECTION 8A OF THE SECURITIES ACT OF 1933 AND SECTIONS 15(b) AND 21C OF THE SECURITIES EXCHANGE ACT OF 1934**

On June 14, the Commission instituted public administrative proceedings pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b) and 21C of the Securities Exchange Act of 1934 (Exchange Act) against Allen Z Wolfson (Wolfson), Michael T. Grecco (Grecco), John M. Black, Jr (Black), Spiro Lazaretos (Lazaretos), Robert Balsamo (Balsamo), Vladimir Carvallo (Carvallo), and Konstantinos Dino Sonitis (Sonitis).

The Order Instituting Proceedings (Order) alleges that from early 1999 through at least March 2000, Respondents engaged in fraudulent market manipulations involving securities issued by: Beautymerchant.com (formerly known as ATR Industries, Inc (ATR); Learner's World, Inc. (Learners); Rollerball International, Inc (Rollerball); Healthwatch, Inc. (Healthwatch); and HYTK Industries, Inc (Hytk). In each scheme, Wolfson acquired control over a substantial amount of free-trading securities issued by the public company and, thereafter, engaged in transactions to manipulate the public market price for those securities, including paying bribes to brokers, both directly and through promoters such as Grecco, in exchange for the brokers causing their retail customers to purchase the securities.

Grecco, Black, Lazaretos, Balsamo, Carvallo, and Sonitis received bribes in exchange for causing, directly and indirectly, retail customers to purchase the securities that were the subject of the manipulations. None of these persons disclosed to the retail customers the existence of these bribe payments.

Respondents received at least \$7 million in illicit profits from the manipulation schemes.

The Order alleges that the Respondents willfully violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

A hearing will be scheduled to determine what, if any, remedial action is appropriate in the public interest against Respondents. (Rels. 33-7865; 34-42940; 3-10230; Press Rel. 2000-81)

**ORDER INSTITUTING PUBLIC PROCEEDINGS PURSUANT TO SECTION 8A OF THE SECURITIES ACT OF 1933, SECTION 21C OF THE SECURITIES EXCHANGE ACT OF 1934, SECTIONS 203(f) AND 203(k) OF THE INVESTMENT ADVISERS ACT, AND SECTION 9(b) OF THE INVESTMENT COMPANY ACT**

The Commission today instituted public administrative proceedings against William M. Stephens, the Chief Investment Strategist of Husic Capital Management, a registered investment adviser located in San Francisco, California. The Order instituting proceedings alleges that, from March 2000 to the present, Stephens engaged in the following unlawful conduct.

Stephens entered into a kickback and bribery scheme concerning the investment of assets of certain labor union pension funds (Union Funds). In order to attract the Union Funds as advisory clients, Stephens agreed to channel a portion of the Union Fund assets into rigged investment vehicles that would generate bribe payments to certain members of the boards of trustees of the Union Funds.

Stephens had initial discussions with an intermediary who was able to influence the Union Funds' selection of investment advisers. Stephens agreed, before entering into any advisory relationship, to direct a portion of the Union Funds to investment vehicles (Fraudulent Investments) that would be presented to him by the intermediary. Stephens understood that a portion of the client assets that he would place in the Fraudulent Investments would then be siphoned out and paid to certain Union Fund trustees as bribes or kickbacks.

The Fraudulent Investments were designed to appear legitimate, so as to withstand scrutiny by Union Fund officials who were not complicit in the bribery scheme. The Fraudulent Investments included: a Series J Preferred Stock to be issued by American Realty Trust, Inc., a company whose securities trade on the New York Stock Exchange; and an interest in TradeVenture Fund, a hedge fund that would trade in futures, commodities and stock.

Stephens did not disclose the bribery scheme, or the true nature of the Fraudulent Investments, to the Union Fund officials who were unaware of the scheme.

The Order alleges that Stephens willfully violated Sections 206(1) and 206(2) of the Investment Advisers Act, Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

A hearing will be held to determine whether the allegations against Stephens are true and, if so, what remedial action, if any, is appropriate. (Rels. 33-7866, 34-42941, IA-1878; IC-24497, File No. 3-10231, Press Rel. 2000-81)

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## INVESTMENT COMPANY ACT RELEASES

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### YAHOO! INC.

An order has been issued on an application filed by Yahoo! Inc under Section 3(b)(2) of the Investment Company Act declaring applicant to be primarily engaged in a business other than that of investing, reinvesting, owning, holding or trading in securities (Rel IC-24494 – June 13)

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## HOLDING COMPANY ACT RELEASES

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### ENTERGY CORPORATION

An order has been issued authorizing a proposal by Entergy Corporation (Entergy), a registered holding company to expand its authority to use the proceeds from the sale of securities to invest in exempt wholesale generators and foreign utility companies to allow for the use of those proceeds in amounts up to 100% of Entergy's consolidated retained earnings (Rel. 35-27184)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the American Stock Exchange (SR-Amex-00-28) relating to an increase in fees for registered options trader and specialist transactions in exchange traded fund shares has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of June 12. (Rel. 34-42915)

A proposed rule change filed by the Chicago Stock Exchange (SR-CHX-00-17) relating to entry and execution of “immediate or cancel” limit orders during the E-Session has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of June 12. (Rel. 34-42916)

## WITHDRAWAL GRANTED

An order has been issued granting the application of Westcoast Energy Inc. to withdraw its Common Stock, no par value, and associated Common Stock Purchase Rights from listing and registration on the Pacific Exchange (Rel. 34-42921)

## DELISTINGS GRANTED

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration call and put option contracts issued by The Options Clearing Corporation with respect to certain underlying securities. (Rel 34-42922)

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Fruit of the Loom, Inc., 7% Debentures, due March 15, 2011. (Rel. 34-42926)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-3 POHANG IRON & STEEL CO LTD, 892 TAECHI 4 DONG, POSCO CENTER,  
KANGNAM GU SEOUL KOR, M5 (202) 785-5643 - 6,599,287 (\$513,952,471.56)  
FOREIGN COMMON STOCK. (FILE 333-12084 - JUN. 05) (BR. 6)

F-10 TESMA INTERNATIONAL INC, 300 EDGELEY BLVD, CONCORD ONTARIO CANA, A6  
5,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-12086 - JUN. 06)  
(BR. 5)

S-8 PARTHUS TECHNOLOGIES PUBLIC LTD CO, 32-34 HARCOURT ST,  
011-353-1-402-5700, DUBLIN 2 REPUBLIC OF IRELAND, L2 00000 - 93,744,777  
(\$104,839,103.71) FOREIGN COMMON STOCK. (FILE 333-12090 - JUN. 06)  
(BR. 5)

F-3 ASM LITHOGRAPHY HOLDING NV, DE RUN 1110, 5503 LA VELDHOVEN  
NETHERLANDS,  
P7 (314) 058-0800 - 30,000,000 (\$1,085,700,000) FOREIGN COMMON STOCK.  
(FILE 333-12094 - JUN. 06) (BR. 5)

S-8 TASTY FRIES INC, 650 SENTRY PKWY STE ONE, BLUE BELL, PA 19422  
(610) 941-2109 - 350,000 (\$157,500) COMMON STOCK. (FILE 333-38728 -  
JUN. 07) (BR. 4)

S-8 VION PHARMACEUTICALS INC, 4 SCIENCE PARK, NEW HAVEN, CT 06511  
(203) 498-4210 - 1,500,000 (\$10,185,000) COMMON STOCK. (FILE 333-38730  
-  
JUN. 07) (BR. 1)

S-8 FIRST ENTERTAINMENT HOLDING CORP, 7887 E BELLVIEW 11TH FLOOR SUITE  
14,  
DENVER, CO 80111 (303) 228-1650 - 3,500,000 (\$1,085,000) COMMON STOCK.  
(FILE 333-38732 - JUN. 07) (BR. 5)

S-8 CB RICHARD ELLIS SERVICES INC, 200 NORTH SEPULVEDA BLVD, EL SEGUNDO,  
CA  
90245 (310) 563-8600 - 450,000 (\$4,585,500) COMMON STOCK. (FILE 333-  
38734  
- JUN. 07) (BR. 8)

S-3 FRIEDMANS INC, 4 WEST STATE ST, SAVANNAH, GA 31401 (912) 233-9333 -  
300,000 (\$1,837,500) COMMON STOCK. (FILE 333-38736 - JUN. 07) (BR. 2)

S-3 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134  
(408) 526-4000 - 879,264 (\$56,053,080) COMMON STOCK. (FILE 333-38738 -  
JUN. 07) (BR. 3)

S-8 INTERNET COM CORP, 20 KETCHUM STREET, WESTPORT, CT 06880 (203) 226-  
6967  
- 4,000,000 (\$65,750,000) COMMON STOCK. (FILE 333-38740 - JUN. 07)  
(BR. 8)

S-8 QUINTUS CORP, 47212 MISSION FALLS COURT, FREMONT, CA 94539  
(510) 624-2800 - 2,980,317 (\$21,818,208.06) COMMON STOCK. (FILE 333-  
38742  
- JUN. 07) (BR. 3)

S-8 TELCOM SEMICONDUCTOR INC, 1300 TERRA BELLA AVE, MOUNTAIN VIEW, CA  
94039  
(412) 968-9252 - 700,000 (\$17,062,500) COMMON STOCK. (FILE 333-38744 -  
JUN. 07) (BR. 5)

S-8 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MS D 106, MILPITAS, CA 95035  
(408) 433-8000 - 154,709 (\$71,166.14) COMMON STOCK. (FILE 333-38746 -  
JUN. 07) (BR. 5)

S-3 UNIROYAL TECHNOLOGY CORP, TWO N TAMIAMI TRAIL, STE 900, SARASOTA, FL  
34236 (941) 366-2100 - 4,531,655 (\$73,779,875.06) COMMON STOCK. (FILE  
333-38754 - JUN. 07) (BR. 6)

S-3 BANK ONE CORP, ONE FIRST NATIONAL PLAZA, CHICAGO, IL 60670  
(312) 732-4000 (FILE 333-38756 - JUN. 07) (BR. 7)

S-3 ECLIPSE SURGICAL TECHNOLOGIES INC, 1049 KIEL COURT, SUNNYVALE, CA  
94089  
(408) 548-2100 - \$14,500,000 COMMON STOCK. (FILE 333-38758 - JUN. 07)  
(BR. 5)

S-3 CREATIVE HOST SERVICES INC, 6335 FERRIS SQUARE, STES G-H, SAN DIEGO,  
CA  
92126 (619) 587-7300 - 933,723 (\$11,178,923) COMMON STOCK. (FILE  
333-38760 - JUN. 07) (BR. 9)

S-3 VITESSE SEMICONDUCTOR CORP, 741 CALLE PLANO, CAMARILLO, CA 93012  
(805) 388-3700 - 4,546,869 (\$230,207,977.47) COMMON STOCK. (FILE  
333-38764 - JUN. 07) (BR. 5)

S-8 VA LINUX SYSTEMS INC, 1382 BORDEAUX DR, SUNNYVALE, CA 94089  
(408) 542-8000 - 185,400 (\$17,016,012) COMMON STOCK. (FILE 333-38766 -  
JUN. 07) (BR. 3)

S-8 VA LINUX SYSTEMS INC, 1382 BORDEAUX DR, SUNNYVALE, CA 94089  
(408) 542-8000 - 44,855 (\$24,670.25) COMMON STOCK. (FILE 333-38768 -  
JUN. 07) (BR. 3)

S-8 PHOENIX TECHNOLOGIES LTD, 411 E. PLUMERIA DRIVE, SAN JOSE, CA 95134  
(408) 570-1000 - 350,000 (\$6,212,500) COMMON STOCK. (FILE 333-38770 -  
JUN. 07) (BR. 3)

S-8 1ST STATE BANCORP INC, 445 S MAIN ST, BURLINGTON, NC 27215  
(336) 227-8861 - 442,837 (\$8,192,484.50) COMMON STOCK. (FILE 333-38772  
-  
JUN. 07) (BR. 7)

S-8 KESTREL ENERGY INC, 999 18TH ST #2490, DENVER, CO 80202 (303) 295-  
1939  
- 300,000 (\$787,500) COMMON STOCK. (FILE 333-38776 - JUN. 07) (BR. 4)

F-3 CNH GLOBAL N V, 700 STATE STREET, 1183 AT AMSTELVEEN, RACINE, WI  
53404  
(262) 636-6011 - \$568,999,562 COMMON STOCK. (FILE 333-38778 - JUN. 07)  
(BR. 5)

SB-2 SUITE 101 COM INC, 1122 MAINLAND STREET SUITE 390,  
VANCOUVER B C CANADA, A1 V6B 5 (604) 689-1428 - 1,626,508 (\$4,470,488)  
COMMON STOCK. (FILE 333-38780 - JUN. 07) (BR. 3)

S-3 VITESSE SEMICONDUCTOR CORP, 741 CALLE PLANO, CAMARILLO, CA 93012  
(805) 388-3700 - 720,000,000 (\$720,000,000) STRAIGHT BONDS. (FILE  
333-38782 - JUN. 07) (BR. 5)

S-3 NOSTOR TECHNOLOGIES INC, 100 CENTURY BLVD., WEST PALM BEACH, FL 33417  
(561) 640-3103 - 6,220,000 (\$17,291,600) COMMON STOCK. (FILE 333-38784  
-  
JUN. 07) (BR. 5)

S-8 HALF ROBERT INTERNATIONAL INC /DE/, 2884 SAND HILL RD, STE 200,  
MENLO PARK, CA 94025 (650) 234-6000 - 2,000,000 (\$123,250,000)  
COMMON STOCK. (FILE 333-38786 - JUN. 07) (BR. 8)

SB-2 INFORETECH INC, SUITE 1500, 885 WEST GEORGIA ST,  
VANCOUVER BRITISH COLUMBIA, A6 V6C 3 (604) 687-0717 - 100,000  
(\$100,000)  
COMMON STOCK. (FILE 333-38788 - JUN. 07) (BR. 9)

SB-2 TRIPACIFIC DEVELOPMENT CORP, SUITE 1500, 885 WEST GEORGIA STREET,  
VANCOUVER BRITISH COLUMBIA, A6 V6C 3 (604) 687-0717 - 100,000  
(\$100,000)  
COMMON STOCK. (FILE 333-38790 - JUN. 07) (BR. 9)

S-3 MERRILL LYNCH & CO INC, 250 VESEY ST, WORLD FINANCIAL CTR N TOWER,  
NEW YORK, NY 10281 (212) 449-1000 (FILE 333-38792 - JUN. 07) (BR. 7)

S-1 VICTORY ENTERTAINMENT CORP, 1000 UNIVERSAL STUDIOS PLAZA, BLDG 22A,  
ORLANDO, FL 32819 (407) 224-5360 - \$28,750,000 COMMON STOCK. (FILE  
333-38794 - JUN. 07)

S-8 COPPER MOUNTAIN NETWORKS INC, 2470 EMBARCADERO WAY, PALO ALTO, CA  
94303  
(650) 687-3300 - 5,577,243 (\$458,724,776.04) COMMON STOCK. (FILE  
333-38798 - JUN. 07) (BR. 7)

SB-2 WOVOTOWN COM INC, SUITE 450 999 WEST HASTINGS ST,  
VANCOUVER BRITISH COLUMBIA, CANADA, A1 V6C 2 (604) 689-3355 - 5,140,747  
(\$4,602,450) COMMON STOCK. (FILE 333-38802 - JUN. 08) (BR. 9)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCLAIM ENTERTAINMENT INC	DE					X		X			06/05/00	
ACTIVE SOFTWARE INC	DE		X					X			02/11/00	AMEND
ACTIVE SOFTWARE INC	DE		X					X			04/07/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ACTIVE SOFTWARE INC	DE		X					X			04/07/00	AMEND
ACTIVE SOFTWARE INC	DE		X					X			04/25/00	AMEND
ACTIVE SOFTWARE INC	DE		X					X			04/25/00	AMEND
AFFYMETRIX INC	DE				X			X			06/08/00	
AIRPLANES LTD	DE				X						06/13/00	
AIRPLANES US TRUST	DE				X						06/13/00	
ALL AMERICAN SEMICONDUCTOR INC	DE				X		X				06/09/00	
ALLIED HOLDINGS INC	GA				X		X				06/14/00	
AMB PROPERTY LP	DE				X		X				05/24/00	
AMERICAN BINGO & GAMING CORP	DE				X		X				06/02/00	
AMERICAN TOWER CORP /MA/	DE		X					X			05/31/00	
ARTISTDIRECT INC	DE				X						05/15/00	
ASSISTED LIVING CONCEPTS INC	NV				X						06/01/00	
ATMOS ENERGY CORP	TX				X		X				05/31/00	
AVIATION SALES CO	DE				X		X				05/31/00	
AVIRON	DE				X		X				06/12/00	
BAKER HUGHES INC	DE				X		X				05/31/00	
BANC ONE CREDIT CARD MASTER TRUST	NY				X		X				06/12/00	
BLUE RHINO CORP	DE						X				04/03/00	AMEND
CAREMARK RX INC	DE				X		X				06/08/00	
CC MASTER CREDIT CARD TRUST	MD				X		X				06/12/00	
CC MASTER CREDIT CARD TRUST II	MD				X		X				06/12/00	
CENTIGRAM COMMUNICATIONS CORP	DE		X					X			06/09/00	
CHASE MANHATTAN CORP /DE/	DE				X		X				06/12/00	
CLARUS CORP	DE		X				X				05/31/00	
CLARUS CORP	DE			X			X				06/06/00	
CLUETT AMERICAN CORP	DE		X								06/12/00	
COASTAL CORP	DE						X				06/13/00	
COMDISCO INC	DE		X								06/10/00	
COMPTEK RESEARCH INC/NY	NY				X		X				06/12/00	
CONEXANT SYSTEMS INC	DE				X						05/30/00	
CONSECO FINANCE CORP	DE						X				06/09/00	
CONSECO FINANCE SECURITIZATIONS CORP	DE						X				06/09/00	
CONTINENTAL AIRLINES INC /DE/	DE				X		X				06/13/00	
COPLEY PENSION PROPERTIES VII	MA		X				X				05/31/00	
COREL CORP	A6				X		X				06/12/00	
CORPORATE ASSET BACKED CORP	DE						X				06/01/00	
CT HOLDINGS INC	DE				X		X				05/05/00	
CYBERGOLD INC	DE		X				X				04/14/00	AMEND
DELTA WOODSIDE INDUSTRIES INC /SC/	SC				X		X				06/08/00	
DIGITAL VIDEO DISPLAY TECHNOLOGY CO	NV		X	X		X	X				06/13/00	
DISCOVER CARD MASTER TRUST I	DE				X		X				06/08/00	
ECOM COM INC	CO		X								06/01/00	
ECOM ECOM COM INC	FL		X				X				05/31/00	
ENTREMED INC	DE				X		X				06/02/00	
EQUITABLE RESOURCES INC /PA/	PA				X		X				06/12/00	
ETOYS INC	DE				X		X				06/12/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE		X								06/12/00	
FECHTOR DETWILER MITCHELL & CO	DE		X								05/22/00	
FIRST AMERICAN FINANCIAL CORP	CA				X		X				06/12/00	
FIRST CHICAGO MASTER TRUST II	DE				X		X				06/12/00	
FIRST COMMUNITY BANCSHARES INC /NV/	NV						X				06/13/00	



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
FIRST MIDWEST FINANCIAL INC	DE				X		X				05/22/00	AMEND
FIRST NBC CREDIT CARD MASTER TRUST						X	X				06/12/00	
FIRST SCIENTIFIC INC	DE		X				X				06/02/00	AMEND
FIRST SOUTHERN BANCSHARES INC/DE	DE				X		X				06/12/00	
FIRST USA BANK NATIONAL ASSOCIATION					X		X				06/12/00	
FIRST USA BANK NATIONAL ASSOCIATION					X		X				06/12/00	
FIRST USA CREDIT CARD MASTER TRUST	DE				X		X				06/12/00	
FLEETBOSTON FINANCIAL CORP	RI				X		X				06/02/00	
FLEXTRONICS INTERNATIONAL LTD					X		X				06/12/00	
FORD CREDIT AUTO RECEIVABLES TWO L	DE				X		X				05/31/00	
P												
FVNB CORP	TX				X		X				06/12/00	
GENERAL DEVICES INC	NJ				X						06/09/00	
GENERAL MOTORS CORP	DE				X						06/06/00	
GENERAL MOTORS CORP	DE				X						06/12/00	
GLOBAL BOULEVARD INTERNATIONAL INC	NV						X	X			05/31/00	
GLOBAL ELECTION SYSTEMS INC						X	X				09/05/99	
GREAT TRAIN STORE CO	DE				X						05/26/00	
GREEN DANIEL CO	MA						X				05/30/00	AMEND
GS TELECOM LTD	CO		X								06/08/00	
HARRAHS ENTERTAINMENT INC	DE				X		X				06/13/00	
HOME PROPERTIES OF NEW YORK INC	MD				X		X				06/02/00	
HOMESEEKERS COM INC	NV		X				X				04/03/00	AMEND
IMAGE GUIDED TECHNOLOGIES INC	CO				X		X				06/01/00	
INACOM CORP	DE				X						06/09/00	
INNOVATIVE CLINICAL SOLUTIONS LTD	DE				X						06/12/00	
INNOVATIVE MEDICAL SERVICES	CA				X						06/12/00	
INTEVAC INC	CA				X		X				06/07/00	
JACO ELECTRONICS INC	NY		X				X				06/06/00	
JENKON INTERNATIONAL INC	DE						X				12/16/99	AMEND
JONES APPAREL GROUP INC	PA				X						06/12/00	
KEYCORP STUDENT LOAN TRUST 1999 B	DE				X		X				05/25/00	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X		X				06/08/00	
LEAPNET INC	DE							X			05/01/00	
LEAPNET INC	DE		X				X				05/01/00	
LIBERTY LIVEWIRE CORP	DE						X				06/09/00	
LIFEMINDERS COM INC	DE						X				03/29/00	AMEND
LIFEMINDERS COM INC	DE		X				X				03/29/00	AMEND
LORACA INTERNATIONAL INC	NV		X				X				03/31/00	AMEND
MAVERICK TUBE CORPORATION	DE				X		X				06/12/00	
MCLEODUSA INC	DE						X				03/30/00	AMEND
MELLON AUTO GRANTOR TRUST 2000-1	DE				X		X				04/15/00	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X		X				04/30/00	
MENLO ACQUISITION CORP	DE		X								06/01/00	
MICHAELS STORES INC	DE				X		X				06/09/00	
MITY LITE INC	UT		X				X				04/01/00	AMEND
MIZAR ENERGY CO	CO		X	X			X				05/31/00	
MOLECULAR DEVICES CORP	DE				X		X				06/08/00	
MORGAN STANLEY AIRCRAFT FINANCE	DE				X						06/13/00	
MULTI LINK TELECOMMUNICATIONS INC	CO						X				06/09/00	AMEND
N TANDEM TRUST	CA		X				X				05/31/00	
NEIMAN MARCUS FUNDING CORP	DE		X								05/31/00	
NEXLAND INC	AZ						X				11/17/99	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
NISOURCE INC	IN					X		X			06/01/00	
NPC INTERNATIONAL INC	KS		X								06/09/00	
ONE WORLD ONLINE COM INC	NV	X				X		X			05/19/00	AMEND
OSICOM TECHNOLOGIES INC	NJ	X									06/12/00	
PE CORP	NY					X		X			06/09/00	
PENTON MEDIA INC	DE					X		X			06/09/00	
PLAYERS INTERNATIONAL INC /NV/	NV					X		X			06/13/00	
PNC MORTGAGE ACCEPTANCE CORP	MO					X		X			06/09/00	
POTOMAC ELECTRIC POWER CO	VA					X		X			06/07/00	
PRIME CAPITAL CORP	DE					X		X			06/12/00	
PRISON REALTY TRUST INC	MD					X		X			06/09/00	
PUROFLOW INC	DE					X		X			05/22/00	
RESCON TECHNOLOGY CORP	WY					X					06/09/00	
RICH EARTH INC	NV	X						X			05/30/00	
RIVERSIDE GROUP INC/FL	FL					X					05/26/00	AMEND
RURAL CELLULAR CORP	MN							X			04/01/00	AMEND
SALESREPCENTRAL COM INC	NV					X		X			05/01/00	
SARATOGA BEVERAGE GROUP INC	DE					X		X			06/07/00	
SCHEID VINEYARDS INC	DE					X		X			06/06/00	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X					06/06/00	AMEND
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X		X			06/13/00	
SIMMONS FIRST NATIONAL CORP	AR					X					06/12/00	
SRFG INC	DE					X					06/06/00	AMEND
STEWART & STEVENSON SERVICES INC	TX					X		X			06/13/00	
SUNDERLAND CORP	DE							X			03/31/00	AMEND
SUNTERRA CORP	MD					X		X			06/06/00	
SUNVEST RESORTS INC	FL	X									06/12/00	AMEND
SYCAMORE NETWORKS INC	DE					X		X			06/05/00	
SYSTEM SOFTWARE ASSOCIATES INC	DE					X					06/09/00	
TALBOTS INC	DE					X		X			05/24/00	
TIMES MIRROR CO /NEW/	DE	X				X		X			03/13/00	
TOYOTA AUTO LEASE TRUST 1997-A	CA					X		X			04/30/00	AMEND
TRANSAMERICAN ENERGY CORP	DE					X		X			06/01/00	
TRANSAMERICAN REFINING CORP	TX					X		X			06/01/00	
TRANSKARYOTIC THERAPIES INC	DE					X					06/09/00	
TRIBUNE CO	DE					X					06/12/00	
TRITON ENERGY LTD	E9					X		X			06/13/00	
TUBOSCOPE INC /DE/.	DE		X					X			05/30/00	
TURBOCHEF TECHNOLOGIES INC	DE					X					06/05/00	
TUSCARORA INC	PA					X					05/23/00	
U S WIRELESS DATA INC	CO					X		X			06/01/00	
UNITED BANCSHARES INC/OH	OH					X					05/03/00	AMEND
UNITED COMPANIES FINANCIAL CORP	LA					X		X			06/08/00	
UNIVERSAL HEALTH SERVICES INC	DE					X		X			06/13/00	
URBANA CA INC	NV	X									03/26/00	
USTMAN TECHNOLOGIES INC	CA					X		X			06/06/00	
VANTAGEMED CORP	DE					X		X			06/06/00	
VITROSEAL INC	NV		X					X			05/26/00	
WADDELL & REED FINANCIAL INC	DE		X					X			03/31/00	AMEND
WASTE INDUSTRIES INC	NC		X					X			05/26/00	
WHITEHALL ENTERPRISES INC	DE		X					X			05/26/00	
WINDSOR PARK PROPERTIES 3	CA							X			05/31/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
WINDSOR PARK PROPERTIES 6	CA								X		05/31/00	
WORLDCOM INC/GA//	GA					X	X				05/31/00	
WSI INTERACTIVE CORP						X	X				06/12/00	