

# SEC NEWS DIGEST

Issue 2000-67

April 10, 2000

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## COMMISSION ANNOUNCEMENTS

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### SEC CHAIRMAN ARTHUR LEVITT, CONGRESSIONAL, AND STATE OFFICIALS TO CONDUCT INVESTORS TOWN MEETING IN MILWAUKEE

#### Free program will offer area residents practical tips for saving and investing

Milwaukee area residents who want to know more about the stock market will get their chance when U.S. Securities and Exchange Commission Chairman Arthur Levitt conducts an Investors Town Meeting at Mount Mary College on Thursday, April 27, 2000.

Chairman Levitt will offer practical investing tips and answer audience questions during a general session that will begin at 7:00 p.m. He will be joined by U.S. Congressman Tom Barrett and the Secretary of the Wisconsin Department of Financial Institutions, John F. (Jack) Kundert. A series of one-hour educational seminars on stocks, bonds, mutual funds, online investing, and other topics will be offered at 4:30 and 5:30 p.m.

The free program will give everyday investors an opportunity to hear from government and industry experts about how to invest wisely and achieve financial security.

“We have more investors and ways to invest than ever before, creating new opportunities for American families. But investing in the stock market will always entail risk,” Levitt said. “The more you know about investments and how they’re sold, the more likely you will achieve financial security.”

“This forum is a rare opportunity to learn smart investment strategies from a man with a wealth of knowledge on the subject, SEC Chairman Arthur Levitt,” Barrett said. “I hope that all Milwaukeeans, especially first-time investors, will take advantage of this once-in-a-lifetime chance.”

The town meeting will be the 37th of a national series launched by the SEC in 1994 to promote public understanding of the securities markets and awareness of the risks and rewards of investing.

“Today, we are a nation of investors. A generation ago less than 20 percent of households invested in the stock market and today nearly half of all households own stock,” said Kundert, whose office oversees securities regulation in Wisconsin. “But even with the tremendous growth we have seen in investing, more tools need to be provided to investors so they can make the right decisions for themselves. The most important tool, of course, is knowledge.”

Admission is free, but reservations are recommended because seating is limited. To reserve a seat, call 1-877-399-4064, or send an e-mail to [rsvp@sec.gov](mailto:rsvp@sec.gov). Anyone who needs auxiliary aids to attend the town meeting, such as a sign language interpreter, should request them at the time of reservation. (Press Rel. 2000-46)

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## **ENFORCEMENT PROCEEDINGS**

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### **WILLIAM CLARK CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE CEASE AND DESIST ORDER FOR FAILING TO DISCLOSE THAT HE ACCEPTED MONEY FROM PUBLIC RELATIONS FIRM CORPORATE RELATIONS GROUP, INC. TO RECOMMEND STOCKS**

On April 7, the Commission settled previously instituted administrative and cease and desist proceedings, pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act), against William H. Clark for willfully violating, and committing or causing violations of, Sections 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

The Commission found that Clark, who was a registered representative during the relevant time, recommended and/or sold shares of common stock of The Tracker Corporation of America (Tracker) to his customers and failed to disclose the material information that he had been or would be compensated by Corporate Relations Group, Inc., a public relations firm located in Winter Park, Florida, which was promoting Tracker at the time, for inducing his clients to buy this stock.

Without admitting or denying the Commission’s findings, Clark consented to the entry of an order which requires him: to cease and desist from committing or causing any violation, and any future violations, of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder; to pay disgorgement and prejudgment interest in the total amount of \$37,215, and a civil money penalty in the amount of \$24,500; and which bars him from association with any broker or dealer with the right to reapply for association after five years to the appropriate self-regulatory organization, or if there is none, to the Commission. (Rels. 33-7847; 34-42650; File No. 3-10033)

## **AARON FINKELSTEIN CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE CEASE AND DESIST ORDER FOR FAILING TO DISCLOSE THAT HE ACCEPTED MONEY FROM PUBLIC RELATIONS FIRM CORPORATE RELATIONS GROUP, INC. TO RECOMMEND STOCKS**

On April 7, the Commission settled previously instituted administrative and cease and desist proceedings, pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act), against Aaron Finkelstein for willfully violating, and committing or causing violations of, Sections 17(a) of the Securities Act.

The Commission found that Finkelstein, who was a registered representative during the relevant time, recommended shares of common stock of The Tracker Corporation of America (Tracker) to his customers and failed to disclose the material information that he had been compensated by Corporate Relations Group, Inc., a public relations firm located in Winter Park, Florida, which was promoting Tracker at the time.

Without admitting or denying the Commission's findings, Finkelstein consented to the entry of an order which requires him to cease and desist from committing or causing any violation, and any future violations, of Section 17(a) of the Securities Act, to pay disgorgement and prejudgment interest in the total amount of \$5,607,488, and a civil money penalty in the amount of \$5,000; which suspends him from association with any broker or dealer for a period of nine months, and which requires him, within 30 days after the end of the suspension, to provide an affidavit to the Commission that he has complied fully with the suspension. (Rels 33-7848; 34-42651, File No 3-10033)

## **COURT ENTERS JUDGMENT AGAINST LAST DEFENDANT IN AT&T INSIDER TRADING CASE**

On April 6, the United States District Court for the Southern District of New York entered a final judgment against defendant Sharon Seiden, which permanently enjoins her from future violations of Section 14(e) of the Exchange Act and Rule 14e-3. In November and December 1990, Seiden's boyfriend, Robert Flanagan, relayed to her material, nonpublic information concerning AT&T's planned tender offer for NCR Corp. Flanagan had been tipped by Thomas Alger, an AT&T employee, who had received the information from Charles Brumfield, a former vice-president in AT&T's labor relations department. Seiden bought approximately \$16,000 worth of NCR call option contracts, and sold them after a takeover was publicly announced on December 2, 1990. She made illegal profits of over \$350,000 in about two weeks.

On January 26, 1999, a jury found Seiden liable for insider trading in violation of Section 14(e) of the Securities Exchange Act of 1934 and Rule 14e-3, and not liable under Section 10(b) of the Exchange Act and Rule 10b-5. The Commission thereafter withdrew its claims for disgorgement and a civil penalty based on her demonstrated inability to pay. Seiden consented to the Court's entry of the permanent injunction and waived her right to appeal [SEC v Charles L. Brumfield, et al., USDC, SDNY, 95 Civ. 9283, JES] (LR-16507)

## **DEFENDANTS AGREE TO PRELIMINARY INJUNCTION IN FRAUDULENT INTERNET SCHEME**

The Commission today announced that the Honorable Terry R. Means, United States District Judge for the Northern District of Texas, entered an agreed preliminary injunction order against New World Web Vision.com, Inc. (World Web), Capital Corp Investments International Inc. (Capital), and Dwight D. Dubose (Dubose). Previously, the Court entered a temporary restraining order, froze the defendants' assets and appointed a temporary receiver to manage and preserve the defendants' assets for the benefit of investors. The defendants agreed to the entry of a preliminary injunction prohibiting them from further violations of the federal securities laws, extending the asset freeze and continuing the appointment of the temporary receiver.

The Commission's complaint alleges that from at least November 1998 through March 2000, the two companies publicly offered and sold unregistered shares of stock through two Internet web sites and thousands of unsolicited e-mail messages, commonly known as "spam." The complaint further alleges that Dubose, playing off the recent successes of Internet IPOs', made numerous misleading claims that World Web and/or Capital were preparing to conduct an IPO. The Commission alleges that Dubose offered and sold "pre-IPO shares" at \$ 60 per share, and fraudulently told investors that their shares would soon be worth \$16-\$17 per share when the companies went public.

As a result of their alleged misconduct, the complaint charges the defendants with violating Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. In addition to the preliminary injunction, the Commission seeks permanent injunctive relief, disgorgement of unjust profits, prejudgment interest, and civil monetary penalties. [SEC v. New World Web Vision.com, Inc., Capital Corp Investments International Inc., and Dwight D. Dubose, Civil Action No. 4:00-CV-0231-Y, N.D. Tex., Fort Worth Division] (LR-16508)

## **COMMISSION GRANTED ASSET FREEZE IN CONNECTION WITH ILLEGAL STOCK SCALPING SCHEME OVER THE INTERNET**

The Commission announced that on April 8 the Honorable Margaret M. Morrow, United States District Judge for the Central District of California, issued an asset freeze against Stephen C. Sayre (Sayre), Independent Financial Reports, Inc. (IFR) and Silver Screen Industries, Inc. The complaint and emergency application, which the Commission filed on April 7, seek to permanently restrain Sayre and IFR from violating the antifraud provisions of the federal securities laws as well as to freeze the assets derived from violations of the antifraud provisions of the securities laws. The SEC charged Silver Screen, which allegedly received ill-gotten gains, as a relief defendant.

The complaint alleges that Sayre, a tree trimmer masquerading as a financial analyst under the name IFR, twice publicly issued recommendations to buy shares in a publicly traded company, eConnect. In the recommendations, Sayre touted eConnect as an undervalued company and projected a short term "target" price of \$12 to \$25 a share and

a one year "target" price of \$100 - \$135 a share. The complaint further alleges that, prior to issuing the recommendations, Sayre bought several thousand shares of eConnect stock in accounts held by Silver Screen. After the IFR reports were widely disseminated on the Internet, Sayre allegedly took advantage of the market interest he had created by selling his eConnect stock into the inflated market. The complaint alleges that, while each recommendation stated IFR held no stock in eConnect, Sayre failed to disclose that he owned large amounts of eConnect stock through Silver Screen, which stock he intended to sell in contravention of his buy recommendations - a fraudulent practice known as "scalping." According to the complaint, Sayre realized profits of \$1,435,997.66 from sales of eConnect stock.

The Commission seeks permanently to enjoin Defendants from further violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. [SEC v. Stephen C. Sayre, Independent Financial Reports, Inc. and Silver Screen Industries, Inc., Civil Action No. CV 00-03800WJR, ex, C.D. Cal.] (LR-16509)

### **SEC FILES INSIDER TRADING ACTION AGAINST MINNEAPOLIS EXECUTIVE**

The Commission today filed an insider trading action against Robert R. Hibbs of Minneapolis, Minnesota. The complaint alleges that, during 1998 and 1999, Hibbs obtained no less than \$365,000 in illicit profits by trading in advance of the announcement of corporate acquisitions by Dura Automotive Systems, Inc. and a positive earnings announcement by Tower Automotive, Inc., while he was an officer of both companies

In settlement of this matter, Hibbs has agreed to the entry of an order enjoining him from future violations of the antifraud provisions of the federal securities laws. Hibbs will also be permanently barred from acting as an officer or director of any public company. In a separate criminal action filed today by the Office of the U.S. Attorney for the District of Minnesota, Hibbs has agreed to disgorge his insider trading profits, with prejudgment interest, and to pay a \$500,000 penalty in connection with his insider trading. [SEC v. Robert R. Hibbs, Civil Action No. 00-89, JMR, D. Minn.] (LR-16510)

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### **INVESTMENT COMPANY ACT RELEASES**

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#### **BOSTON 1784 FUNDS, ET AL**

A notice has been issued giving interested persons until May 1, 2000, to request a hearing on an application filed by Boston 1784 Funds, et al for an order under Section 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act. The order would permit certain series of The Galaxy Fund to acquire all of the assets and liabilities of all of the series of Boston 1784 Funds. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24379 - April 6)

## **ING VARIABLE INSURANCE TRUST, ET AL.**

A notice has been issued giving interested persons until May 1, 2000, to request a hearing on an application filed by ING Variable Insurance Trust and ING Mutual Funds Management Co., LLC (collectively, Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act, and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the extent necessary to permit shares of any current or future series of the fund and shares of any other investment company that is offered as a funding medium for insurance products and for which ING Mutual Funds Management Co LLC (Adviser), or any of its affiliates, may serve as investment adviser, administrator, manager, principal underwriter or sponsor, to be sold to and held by (1) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies, (2) qualified pension and retirement plans, (3) any investment adviser to a fund and affiliates thereof, and (4) general accounts of any insurance company whose separate account holds, or will hold, shares of a Fund (Rel IC-24380 – April 6)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **ACCELERATED APPROVAL OF PROPOSED RULE CHANGES**

The Commission granted accelerated approval to a proposed rule change (SR-DTC-00-6) filed by The Depository Trust Company revising DTC's By-Laws. Publication of the proposal is expected in the Federal Register during the week of April 10. (Rel 34-42621)

The Commission granted accelerated approval to a proposed rule change (SR-NSCC-00-2) filed by the National Securities Clearing Corporation revising NSCC's By Laws. Publication of the proposal is expected in the Federal Register during the week of April 10 (Rel 34-42622)

### **WITHDRAWAL SOUGHT**

A notice has been issued giving interested persons until April 27 to comment on the application of BriteSmile, Inc. to withdraw its Common Stock, par value \$.001 per share, from listing and registration on the American Stock Exchange. (Rel 34-42647)

### **WITHDRAWAL GRANTED**

An order has been issued granting the application of Ancor Communications, Incorporated to withdraw its Common Stock, par value \$ .01 per share, from listing and registration on the Pacific Exchange. (Rel 34-42648)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable), File number and date filed; Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www sec.gov>

- S-8 UNILEVER N V, WEENA 455, 3013 AL ROTTERDAM, THE NETHERLANDS, P7 - \$10,000,000 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-11770 - MAR. 31) (BR. 4)
- S-3 GLOBAL SPORTS INC, 1075 FIRST AVE, RTE 3 INDUSTRIAL PARK, KING OF PRUSSIA, PA 19406 (610) 265-3229 - 5,750,000 (\$103,500,000) COMMON STOCK. (FILE 333-33876 - APR. 03) (BR. 6)
- S-8 TELEDYNE TECHNOLOGIES INC, 2049 CENTURY PARK E, LOS ANGELES, CA 90067 (310) 277-3311 - 400,000 (\$6,952,000) COMMON STOCK. (FILE 333-33878 - APR. 03) (BR. 6)
- S-1 OBJECTSPACE INC, 14580 QUORUM DRIVE, SUITE 500, DALLAS, TX 75240 (972) 726-4100 - \$115,000,000 COMMON STOCK. (FILE 333-33884 - APR. 03) (BR. 3)
- S-8 RENEGADE VENTURE NEV CORP, 90 MADISON STREET, SUITE 707, DENVER, CO 80206 (303) 750-3000 - 2,000,000 (\$16,000,000) COMMON STOCK. (FILE 333-33886 - APR. 03) (BR. 9)
- S-8 SUNGARD DATA SYSTEMS INC, 1285 DRUMMERS LN, STE 300, WAYNE, PA 19087 (610) 341-8700 - 289,329 (\$1,672,322) COMMON STOCK. (FILE 333-33888 - APR. 03) (BR. 3)
- SB-2 POWER SAVE INTERNATIONAL INC, 5800 NW 64 AVENUE, BUILDING 26 #109, TAMARAC, FL 33319 (954) 722-1615 - 1,000,000 (\$5,000,000) COMMON STOCK. (FILE 333-33890 - APR. 03) (BR. 9 - NEW ISSUE)
- S-8 BARGO ENERGY CO, 700 LOUISIANA, SUITE 3700, HOUSTON, TX 77002 (713) 236-9792 - 660 (\$267,663) COMMON STOCK. (FILE 333-33892 - APR. 03) (BR. 4)
- S-8 PRI AUTOMATION INC, 805 MIDDLESEX TURNPIKE, BILLERICA, MA 01821 (978) 670-4270 - 820,000 (\$44,740,800) COMMON STOCK. (FILE 333-33894 - APR. 03) (BR. 5)
- S-8 INKINE PHARMACEUTICAL CO INC, 1720 WALTON RD, SUITE 200, BLUE BELL, PA 19422 (610) 260-9350 - 300,000 (\$283,000) COMMON STOCK. (FILE 333-33898 - APR. 03) (BR. 1)

S-8 JMAR TECHNOLOGIES INC, 3956 SORRENTO VALLEY BLVD STE D, SAN DIEGO, CA 92121 (619) 535-1706 - 450,000 (\$3,689,065.19) COMMON STOCK. (FILE 333-33902 - APR. 03) (BR. 5)

S-8 JMAR TECHNOLOGIES INC, 3956 SORRENTO VALLEY BLVD STE D, SAN DIEGO, CA 92121 (619) 535-1706 - 424,246 (\$1,272,738) COMMON STOCK. (FILE 333-33904 - APR. 03) (BR. 5)

S-8 INTERTRUST TECHNOLOGIES CORP, 4750 PATRICK HENRY BLVD., SANTA CLARA, CA 95054 (408) 855-0100 - 3,767,827 (\$188,994,202.32) COMMON STOCK. (FILE 333-33906 - APR. 03) (BR. 3)

S-8 GERALD STEVENS INC/, 301 E LAS OLAS BLVD, STE 300, FT LAUDERDALE, FL 33301 (561) 563-0263 - 3,002,500 (\$23,550,859) COMMON STOCK. (FILE 333-33908 - APR. 03) (BR. 8)

S-8 NEXELL THERAPEUTICS INC, 9 PARKER, IRVINE, CA 92618 (949) 470-9011 - 7,297,937 (\$15,564,888) COMMON STOCK. (FILE 333-33910 - APR. 03) (BR. 1)

S-4 MILLENNIUM PHARMACEUTICALS INC, 75 SIDNEY STREET, CAMBRIDGE, MA 02139 (617) 679-7000 - 680,058 (\$4,301) COMMON STOCK. (FILE 333-33912 - APR. 03) (BR. 1)

S-3 ABOUT COM INC, 220 E 42ND ST, 24TH FL, NEW YORK, NY 10017 (212) 849-2000 - 501,585 (\$41,443,461) COMMON STOCK. (FILE 333-33916 - APR. 03) (BR. 3)

S-8 VITESSE SEMICONDUCTOR CORP, 741 CALLE PLANO, CAMARILLO, CA 93012 (805) 388-3700 - 6,196,182 (\$576,244,926) COMMON STOCK. (FILE 333-33918 - APR. 03) (BR. 5)

S-1 INTRINSIX CORP, 33 LYMAN STREET SUITE 205, WESTBORO, MA 01581 (508) 836-4100 - \$46,000,000 COMMON STOCK. (FILE 333-33920 - APR. 03) (NEW ISSUE)

S-1 ILLUMINA INC, 9390 TOWNE CENTRE DRIVE, SUITE 200, SAN DIEGO, CA 92121 (858) 587-4290 - \$100,000,000 COMMON STOCK. (FILE 333-33922 - APR. 03) (NEW ISSUE)

S-1 GOLDEN AMERICAN LIFE INSURANCE CO /NY/, 1475 DUNWOODY DRIVE, SUITE 400, WEST CHESTER, PA 19380 (610) -42-5-35 - \$378,787.88 VARIABLE ANNUITY ISSUES. (FILE 333-33924 - APR. 03) (BR. 20)

S-3 WEST TELESERVICES CORP, 11808 MIRACLE HILLS DR, OMAHA, NE 68134 (402) 571-7700 - 5,175,000 (\$134,084,250) COMMON STOCK. (FILE 333-33926 - APR. 03) (BR. 8)

S-8 GLOBAL CAPITAL PARTNERS INC, 15245 SHADY GROVE RD, STE 340, ROCKVILLE, MD 20850 (301) 527-1110 - 600,000 (\$4,837,500) COMMON STOCK. (FILE 333-33930 - APR. 03) (BR. 7)

S-8 FIREPOND INC, WALTHAM WOODS CORPORATE CENTER, 890 WINTER STREET SUITE 300, WALTHAM, MA 02451 (781) 487-8400 - 11,814,466 (\$171,251,387.22) COMMON STOCK. (FILE 333-33932 - APR. 03) (BR. 3)



S-8 TENNECO AUTOMOTIVE INC, 500 NORTH FIELD DRIVE, LAKE FOREST, IL 60045  
(847) -48-2-50 - 1,500,000 (\$11,580,000) COMMON STOCK. (FILE 333-33934 -  
APR. 03) (BR. 5)

S-3 SEACHANGE INTERNATIONAL INC, 124 ACTON ST, 2ND FLOOR, MAYNARD, MA 01754  
(978) 897-0100 - 330,000 (\$20,583,750) COMMON STOCK. (FILE 333-33936 -  
APR. 03) (BR. 7)

S-3 NATURAL MICROSYSTEMS CORP, 100 CROSSING BLVD, FRAMINGHAM, MA 01702  
(508) 650-1300 - 490,000 (\$35,831,250) COMMON STOCK. (FILE 333-33938 -  
APR. 03) (BR. 7)

S-1 CHEMCONNECT INC, 44 MONTGOMERY ST, STE 250, SAN FRANCISCO, CA 94104  
(415) 364-3300 - \$80,000,000 COMMON STOCK. (FILE 333-33942 - APR. 04)

S-8 INTEGRATED SILICON SOLUTION INC, 2231 LAWSON LANE, SANTA CLARA, CA  
95054  
(408) 588-0800 - 250,000 (\$6,348,438) COMMON STOCK. (FILE 333-33944 -  
APR. 04) (BR. 5)

S-4 VISUAL NETWORKS INC, 2092 GAITHER RD, SUITE 220-I, ROCKVILLE, MD 20850  
(301) 296-2300 - 8,532,554 (\$11,691,000) COMMON STOCK. (FILE 333-33946 -  
APR. 04) (BR. 3)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABRAXAS PETROLEUM CORP	NV		X						X		04/06/00	AMEND
ACACIA RESEARCH CORP	CA					X		X			04/07/00	
ACCOM INC	DE							X			12/10/98	AMEND
ACCREDITED HOME LENDERS INC	CA		X					X			02/29/00	
ACCREDITED HOME LENDERS INC	CA							X			03/27/00	
AFFYMETRIX INC	DE					X		X			04/07/00	
ALLIANT ENERGY CORP	WI					X		X			04/07/00	
ALLOY ONLINE INC	DE							X			04/07/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.								DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8			9
AMERICAN INFLATABLES INC	DE	X									04/07/00	
AMERIVEST PROPERTIES INC	DE				X		X				04/05/00	
AMPLIDYNE INC	DE				X		X				04/06/00	
ARTHUR TREACHERS INC /FL/	UT				X		X				01/27/00	
ASYST TECHNOLOGIES INC /CA/	CA		X				X				03/23/00	
AUTOBYTEL COM INC	DE					X	X				04/06/00	
AVESIS INC	DE		X								03/24/00	
BALDWIN TECHNOLOGY CO INC	DE					X					03/30/00	
BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1	NY							X			03/27/00	
BEAR STEARNS COMPANIES INC	DE					X	X				04/06/00	
BECK & CO						X	X				03/31/00	
BENCHMARK ELECTRONICS INC	TX						X				04/07/00	
BIKERS DREAM INC	CA					X	X				04/04/00	
BLOCK FINANCIAL CORP	DE					X	X				04/07/99	
CANTEL MEDICAL CORP	DE					X					04/07/00	
CARSON INC	DE	X									04/07/00	
CHASE COMMERCIAL MORT SEC CORP COM MOR PA TH CE SER 2000-1	NY					X	X				03/28/00	
CHASE FUNDING INC	NY					X	X				03/24/00	
CIRRUS LOGIC INC	CA					X	X				03/23/00	
CNH HOLDINGS CO	NV					X					04/05/00	
CNH HOLDINGS CO	NV					X					04/07/00	
COMMUNITY CAPITAL CORP /SC/	SC					X	X				04/05/00	
COMPLE TEL EUROPE NV						X					04/07/00	
CONSOLIDATED MEDICAL MANAGEMENT INC	MT	X									02/28/00	
CORNERSTONE PROPERTIES INC	NV					X	X				03/29/00	
DEMEGEN INC	CO					X	X				04/05/00	
DETOUR MAGAZINE INC	CO				X						04/03/00	
EGLOBE INC	DE	X					X				03/23/00	
EINSTEIN NOAH BAGEL CORP	DE					X					03/28/00	
ENGINEERING ANIMATION INC	DE	X									03/24/00	
FRANKLIN RECEIVABLES LLC	DE					X	X				03/28/00	
FRANKLIN RECEIVABLES LLC	DE					X	X				03/28/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X	X				03/27/00	
GEON CO	DE					X	X				04/06/00	
GLOBAL CAPITAL PARTNERS INC	DE					X	X				03/23/00	
HARRIS FINANCIAL INC	PA					X	X				03/27/00	
IMH ASSETS CORP COLLATERALIZED ASSE T BACKED BONDS SER 2000 1	DE							X			03/27/00	
INFORETECH INC	NV	X									04/03/00	
INNOVEDA INC	DE	X	X			X	X	X			03/23/00	
INSCI STATEMENTS COM CORP	DE					X					04/07/00	
INTERNATIONAL INTERNET INC	DE				X						02/14/00	
KENETECH CORP	DE					X					04/06/00	
KNOT INC	DE	X					X				03/29/00	
LEVITZ FURNITURE CORP /FL/	FL					X	X				04/07/00	
LEVITZ FURNITURE INC	DE					X	X				04/07/00	
MACE SECURITY INTERNATIONAL INC	DE	X					X				03/24/00	
MEDIX RESOURCES INC	CO					X					04/03/00	
MEDIX RESOURCES INC	CO					X					04/05/00	
MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 2000-TBC1	NY							X			03/27/00	
MICROFINANCIAL INC	MA				X		X				04/07/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2	NY									X		03/27/00	
MOYCO TECHNOLOGIES INC	PA				X	X		X				03/31/00	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE	X										03/22/00	
NET TECH INTERNATIONAL INC	DE				X							03/30/00	
NETOPIA INC	DE		X					X				03/23/00	
NEW ERA OF NETWORKS INC	DE		X					X				03/24/00	
NEXMED INC	NV					X						04/03/00	
NORWEST ASSET SECURITIES CORP MORT PASS THR CERT SER 2000 1	DE							X				03/27/00	
NORWEST INTEGRATED STRUCTURED ASSET S INC SERIES 2000-1 TRUST	DE							X				03/27/00	
NOVOSTE CORP /FL/	FL					X		X				03/28/00	
NOVOSTE CORP /FL/	FL					X		X				03/28/00	
NRG ENERGY INC	DE					X		X				04/07/00	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2000-1	DE							X				03/27/00	
OVERSEAS PARTNERS LTD						X		X				03/30/00	
PEOPLES BANCORP INC	OH	X										04/07/00	
PRE CELL SOLUTIONS INC/	CO		X		X			X				12/01/98	AMEND
PROFESSIONAL BENEFITS INSURANCE CO	TX							X				02/29/00	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP SER 2000-KEY1								X	X			04/06/00	
QNB CORP	PA					X		X				03/30/00	
R TEC TECHNOLOGIES INC	NJ					X						04/04/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X				04/04/00	
SAFELITE GLASS CORP	DE					X		X				03/29/00	
SAFESCIENCE INC	NV					X		X				03/29/00	
SALOMON BROTHERS MOR SEC VII FL RT MR PA TH CER SER 2000 LB1	DE							X				03/27/00	
SBI COMMUNICATIONS INC	DE	X										03/31/00	
SCORE ONE INC	NV	X	X			X		X				03/24/00	
SECTOR COMMUNICATIONS INC	NV					X						02/25/00	
SI HANDLING SYSTEMS INC	PA					X		X				06/30/00	
SMARTIRE SYSTEMS INC						X		X				04/03/00	
SPARTAN STORES INC	MI					X		X				04/07/00	
STARBASE CORP	DE							X				03/08/00	AMEND
STRUCTURED PRODUCTS CORP	DE							X				04/01/00	
SUN LIFE ASSURANCE CO OF CANADA US	DE					X						03/22/00	
SUN LIFE INSURANCE & ANNUITY CO OF NEW YORK	NY					X						03/22/00	
TALK COM	DE					X		X				03/24/00	
TELIGENT INC	DE							X				04/07/00	
TERRA INDUSTRIES INC	MD					X						04/07/00	
THERMEDICS DETECTION INC	MA					X		X				04/06/00	
THERMEDICS INC	MA					X		X				04/06/00	
TOO INC	DE					X		X				02/17/00	
TRANSAMERICAN ENERGY CORP	DE					X		X				03/31/00	
TRANSAMERICAN REFINING CORP	TX					X		X				03/31/00	
TRIPACIFIC DEVELOPMENT CORP	NV		X									04/03/00	
TWISTEE TREAT CORP/NV	DE	X				X	X	X	X			04/06/00	AMEND
U S PLASTIC LUMBER CORP	NV							X				03/30/00	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
UNION PACIFIC RESOURCES GROUP INC	UT					X	X					04/02/00	
UNITED SYSTEMS TECHNOLOGY INC	IA					X	X					04/24/00	
UNIVERSAL COMPRESSION HOLDINGS INC	TX					X	X					04/05/00	
UNIVERSAL COMPRESSION INC	TX					X	X					04/05/00	
USARADIO COM INC	CO		X		X			X	X			12/21/99	
VALLEY NATIONAL BANCORP	NJ					X						04/06/00	
VIATEL INC	DE					X	X					04/07/00	
WASATCH INTERACTIVE LEARNING CORP	WA							X				01/20/00	AMEND
WESLEY JESSEN VISIONCARE INC	DE					X	X					03/19/00	AMEND
WHATSFORFREE TECHNOLOGIES INC	NV					X		X				04/07/00	
WSI INTERACTIVE CORP						X	X					04/06/00	
ZAXIS INTERNATIONAL INC	DE					X						04/03/00	
1ST MIRACLE GROUP INC	NV		X			X		X				03/14/00	AMEND