SEC NEWS DIGEST

Issue 2000-10

January 14, 2000

ENFORCEMENT PROCEEDINGS

SEC SETTLES FRAUD CASE AGAINST FORMER FERROFLUIDICS' CONSULTANT

On January 13, the Commission entered a settled administrative and cease-and-desist order (Order) against David J. Chester in a pending proceeding, In the Matter of Paul Y. Okuda, Stephen A. Thorpe and David J. Chester, File No. 3-9345 (instituted July 1, 1997) for violations of the antifraud and broker-dealer registration provisions. Pursuant to the Order, Chester was suspended from association with any broker-dealer for six months and ordered to disgorge \$50,000.

The Order finds that in early 1992, Ronald Moskowitz, the former chairman and chief executive officer of Ferrofluidics Corporation, and Jan. R. Kirk, Ferrofluidics' former chief financial officer. parked a total of 620,000 Ferrofluidics shares with Chester Financial, Inc. -- a public relations firm owned by Chester -- and three other individuals (the Purchasers) until the shares could be registered and sold to bona fide investors. Moskowitz told Chester, in substance, that Ferrofluidics would lend Chester Financial, Inc. the money to purchase 200,000 Ferrofluidics shares and that Chester Financial, Inc. would receive any profits from the stock if the stock price moved higher. Chester understood that Chester Financial, Inc. would have no risk of loss if the price dropped. The Purchasers, including Chester, each signed a subscription agreement, a promissory note, and a pledge agreement. However, none of the Purchasers, including Chester, paid for the subscribed Ferrofluidics stock, nor did they have the financial ability to pay for the shares.

The Order finds that Ferrofluidics publicly announced, in a press release dated April 23, 1992, that it had "\$12,000,000 of added capital" as a result of the private placement. In fact, the transaction added only \$1.6 million in equity, from a single legitimate purchaser. The Purchasers, including Chester, never made any payments on their notes, nor did the company expect them to. Ferrofluidics nevertheless booked interest on the notes as a receivable and thereby increased Ferrofluidics' net income by approximately \$580,000, or 17%, during fiscal 1992 and \$212,000, or 22%, during the first quarter of fiscal 1993. In addition, Ferrofluidics' auditors were provided with a false confirmation during the 1992 audit, reflecting that Chester Financial, Inc. owed

Ferrofluidics the amount payable under its note.

The Order also finds that Chester should have been registered with the Commission as a broker or dealer from September to December 1992, when he assisted in selling 365,000 shares of Ferrofluidics stock for commissions of \$0.375 to \$0.75 per share. In consenting to the Order, Chester neither admitted nor denied the Commission's findings.

previously instituted three The Commission other administrative proceedings: In the Matter of Helen Chalut and Saleem Noorani, File No. 3-9344 (July 1, 1997); In the Matter of Sheldon S. Traube and George F. Sweeney, File No. 3-9283 (March 27, 1997); In the Matter of Dickinson & Co. and T. Marshall Swartwood, File No. 3-9321 (May 28, 1997); and one related civil action, SEC v. Ferrofluidics Corp., Ronald Moskowitz, Jan R. Kirk, Stephen P. Morin, Jerome Allen, Bruce S. Moody, and the 1991 RPM Irrevocable Trust, 97 Civ. 7174, S.D.N.Y. In related criminal proceedings, Moskowitz was sentenced to eight years in prison (U.S. v. Ronald Moskowitz , 97 Cr. 1122, MBM), and Kirk was sentenced to five years in prison (U.S. v. Jan R. Kirk, 97 Cr. 1032, MBM). (Rels. 33-7792; 34-42339; File No. 3-9345)

INVESTMENT COMPANY ACT RELEASES

HARTFORD LIFE AND ANNUITY INSURANCE COMPANY, ET AL.

An order has been issued on an application filed by Hartford Life and Annuity Insurance Company, Hartford Life and Annuity Insurance Company Separate Account One (Hartford Life and Annuity Account), Hartford Life Insurance Company, Hartford Life Insurance Company Separate Account Two (Hartford Life Account), PFL Life Insurance Company and PFL Retirement Builder Variable Annuity Account (PFL Account, and together with Hartford Life and Annuity Account and Hartford Life Account, the Accounts), Mentor Variable Investment Portfolios (Mentor Trust) and Evergreen Variable Annuity Trust (Evergreen Trust). The order approves the proposed substitution of shares of the Evergreen Trust's Evergreen VA Capital Growth Fund for shares of Mentor Trust's Mentor VIP Capital Growth Portfolio, shares of Evergreen Trust's Evergreen VA Growth Fund for shares of Mentor Trust's Mentor VIP Growth Portfolio, shares of Evergreen Trust's Evergreen VA High Income Fund for shares of Mentor Trust's Mentor VIP High Income Portfolio and shares of Evergreen Trust's Evergreen VA Perpetual International Fund for shares of Mentor Trust's Mentor VIP Perpetual International Portfolio currently held by the Accounts to support certain deferred premium variable annuity contracts. The order also grants exemptions from Section 17(a) to permit certain in-kind redemption and purchase transactions in connection with the substitutions. (Rel. IC-24250 - January 12)

THIRD AVENUE VARIABLE SERIES TRUST AND ESOF ADVISERS, INC.

A notice has been issued giving interested persons until February 7, 2000, to request a hearing on an application filed by Third Avenue Variable Series Trust (Trust) and ESQF Advisers, Inc. (the Adviser) (together, Applicants). Applicants seek an order to permit shares of any current or future series of the Trust designed to fund insurance products and shares of any other investment company or series thereof now or in the future registered under the Investment Company Act that is designed to fund insurance products and for which the Adviser or any of its affiliates may serve in the future, as investment adviser, administrator, manager, principal underwriter, or sponsor to be sold to and held by: (1) variable annuity and variable life insurance separated accounts of both affiliated and unaffiliated life insurance companies; and (2) qualified pension and retirement plans outside of the separate account context. (Rel. IC-24251 - January 12)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the <u>Chicago Stock Exchange</u> restating and amending its membership dues and fees schedule (SR-CHX-99-29) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act. Publication of the proposal is expected in the <u>Federal Register</u> during the week of January 17. (Rel. 34-42329)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-3 ABBEY NATIONAL PLC/ENG, ABBEY HOUSE, BAKER ST, LONDON NW1 6XL ENGLAND, X0 (212) 664-1666 100,000,000 (\$100,000,000) FOREIGN PREFERRED STOCK (FILE 333-11320 JAN 06) (BR 7)
- S-1 I3 MOBILE INC, 181 HARBOR DRIVE, 203-969-0020, STAMFORD, CT 06902 (203) 969-0020 - \$65,000,000 COMMON STOCK (FILE 333-94191 - JAN 07) (BR 37)

- S-3 RURAL CELLULAR CORP, 3905 DAKOTA ST SW, P O BOX 2000, ALEXANDRIA, MN 56308 (320) 762-2000 2,748,500 (\$216,272,593 75) COMMON STOCK (FILE 333-94195 JAN 07) (BR 7)
- S-3 RURAL CELLULAR CORP, 3905 DAKOTA ST SW, P O BOX 2000, ALEXANDRIA, MN 56308 (320) 762-2000 - 135,000 (\$135,000,000) COMMON STOCK (FILE 333-94197 - JAN 07) (BR 7)
- S-3 CLARUS CORP, 3970 JOHNS CREEK CT, STE 100, SUWANEE, GA 30024 (770) 291-3900 - 1,719,250 (\$134,316,406) COMMON STOCK (FILE 333-94199 -JAN 07) (BR 3)
- S-1 INFOCAST CORP /NV, 1 RICHMOND STREET WEST,, SUITE 901, TORONTO, (212) 753-7200 - 12,452,336 (\$92,645,380) COMMON STOCK (FILE 333-94201 - JAN 07) (BR 8)
- S-8 V F CORP /PA/, 628 GREEN VALLEY RD , STE 500, GREENSBORC, NC 27408 ((33) 6)5-47-6 230,000,000 (\$230,000,000)

 OTHER SECURITIES INCLUDING VOTING TRUST 'FILE 333-94205 JAN 07:
 (BR 2)
- S-8 MACATAWA BANK CORP, 51 E MAIN ST, ZEELAND, MI 49464 25,000 (\$369,750) COMMON STOCK (FILE 333-94207 JAN 07) (BR 7)
- S-3 FFLC BANCORP INC, P O BOX 490420, LEESBURG, FL 34749 (352) -78-7-33 500,000 (\$7,265,000) COMMON STOCK (FILE 333-94209 JAN 07) (BR 7)
- S-8 NATIONAL BANCSHARES CORP OF TEXAS, 12400 HIGHWAY 281 NORTH, STE 875, SAN ANTONIO, TX 78216 (210) 724-2424 431,700 (\$6,394,556 25) COMMON STOCK (FILE 333-94213 JAN 07) (BR 7)
- S-8 QUIZNOS CORP, 1415 LARIMER STREET, STE 601, DENVER, CC 802C2 (303) 291-0999 410,000 (\$2,947,900) COMMON STOCK 'FILE 333-94215 JAN 07) (BR 4)
- S-3 JDS UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134 (408) 434-1600 - 95,458 (\$15,010,771) COMMON STOCK (FILE 333-94217 -JAN 07) (BR 5)
- S-3 CEPHALON INC, 145 BRANDYWINE PKWY, WEST CHESTER, PA 1938G (610, 344-0200 1,000,000 (\$33,410,000) COMMON STOCK (FILE 333-94219 JAN 07) (BR 1)
- S-8 CDW COMPUTER CENTERS INC, 200 N MILWAUKEE AVE, VERNON HILLS, IL 60061 (847) 465-6000 100,000 (\$2,000,000) COMMON STOCK (FILE 333-94223 JAN 07) (BR 2)
- S-3 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134 (408) 526-4000 1,395 492 (\$140,777,232 96' COMMON STOCK FILM 333-94225 JAN 07. (BR 3)
- S-8 STM WIRELESS INC, ONE MAUCHLY, IRVINE, CA 92718 (714) 753-7864 900,000 (\$4,721,160) COMMON STOCK (FILE 333-94227 JAN 07) (BR 7)
- S-8 SQUARE SHOOTER INTERNATIONAL LTD, 860 VIA DE LA PAZ, STE E-1, PACIFIC PALISADES, CA 90272 (310) 230-6100 5,062,000 (\$5,062) COMMON STOCK (FILE 333-94229 JAN 07) (BR 9)
- S-1 ONESOFT CORP, 1505 FARM CREDIT DR SUITE 100, MCLEAN, VA 22102 (703) 821-9190 - \$70,000,000 COMMON STOCK (FILE 333-94233 - JAN 07)
- S-8 GENESIS MICROCHIP INC, 200 TOWN CENTER BLVD, STE 400, MARKHAM ONTARIO CANA, A6 (905) 470-2742 - 83,802 (\$1,222,671 18) COMMON STOCK (FILE 333-94235 - JAN 07) (BR 5)
- S-8 IMATRON INC, 389 OYSTER POINT BLVD, S SAN FRANCISCO, CA 94080 (415) 583-9964 - 950,000 (\$2,546,000) COMMON STOCK (FILE 333-94237 - JAN 07) (BR 5)
- S-8 IMATRON INC, 389 OYSTER POINT BLVD, S SAN FRANCISCO, CA 94080 (415) 583-9964 - 500,000 (\$1,340,000) COMMON STOCK (FILE 333-94239 -JAN 07) (BR 5)

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- S-8 SIEBEL SYSTEMS INC, 1885 SOUTH GRANT STREET, SAN MATEO, CA 94402
 (650) 295-5000 311,598 (\$4,758,101 46) COMMON STOCK (FILE 333-94243 JAN 07) (BR 3)
- S-8 IMATRON INC, 389 OYSTER POINT BLVD, S SAN FRANCISCO, CA 94080 (415) 583-9964 - 6,000,000 (\$16,080,000) COMMON STOCK (FILE 333-94245 -JAN 07) (BR 5)
- S-8 LANDAIR CORP, 430 AIRPORT ROAD, GREENEVILLE, TN 37745 (423) 636-7000 500,000 (\$2,551,000) COMMON STOCK (FILE 333-94247 JAN 07: (BR 5)
- S-8 FORWARD AIR CORP, 430 AIRPORT RD, GREENEVILLE, TN 37745 (423) 636-7100 1,000,000 (\$44,312,500) COMMON STOCK (FILE 333-94249 JAN 07) (BR 5)
- S-8 TANDY BRANDS ACCESSORIES INC, 690 E LAMAR BLVD STE 200, ARLINGTON, TX
 76011 (817) 265-4113 326,000 (\$4,604,750) COMMON STOCK (FILE 333-94251
 JAN 07) (BR 2)
- S-8 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFO, DULLES, VA 20166 (703) 265-1000 - 742,478 (\$3,794,062 58' COMMON STOCK (FILE 333-94253 - JAN 07) (BR 3)
- S-8 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFC, DULLES, VA 20166 (703) 265-1000 - 7,395 (\$36,901 05) COMMON STOCK (FILE 333-94255 -JAN 07) (BR 3)
- S-3 IMMUNE RESPONSE CORP, 5935 DARWIN COURT, CARLSBAD, CA 92008 (619) 431-7080 - 600,000 (\$2,796,000) COMMON STOCK (FILE 333-94257 - JAN 07) (BR 1)
- S-6 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFC, DULLES, VA 20166 (703) 265-1000 - 133,332 (\$9,799,902) COMMON STOCK (FILE 333-94259 - JAN 07) (BR 3)
- S-3 SIEBEL SYSTEMS INC, 1885 SOUTH GRANT STREET, SAN MATEO, CA 94402 (650) 295-5000 0,319,561 (\$264,436,229 26) COMMON STOCK (FILE 333-94261 JAN 07) (BR 3)
- SB-2 3045 CORP, 3045 N FEDERAL HIGHWAY SUITE 60, FORT LAUDERDALE, FL 33306 (954) 565-1400 \$51,850 COMMON STOCK (FILE 333-94265 JAN 07)
- S-3 ALLTEL CORP, ONE ALLIED DR, LITTLE ROCK, AR 72202 (501) 905-8000 729,997 (\$55,593,652) COMMON STOCK (FILE 333-94267 JAN 07) (BR 7)
- S-8 NPS PHARMACEUTICALS INC, 420 CHIPETA WAY SUITE 240, SALT LAKE CITY, UT 84108 (801' 583-4939 1,000,000 (\$10,687,500) COMMON STOCK (FILE 333-94269 JAN 07) (BR 1)
- S-1 TELOCITY INC, 10355 N DE ANZA BLVD , CUPERTINO, CA 95014 (408) 863-6600 \$150,000,000 COMMON STOCK (FILE 333-94271 JAN 07' (NEW ISSUE
- S-3 HYPERFEED TECHNOLOGIES INC, 300 S WACKER DR, STE 300, CHICAGO, IL 60606 (312) 913-2848 495,000 (\$2,335,781) COMMON STCCK FILE 333-94273 JAN 07) (BR 7)
- S-1 JUNO ONLINE SERVICES INC, 120 WEST 45TH STREET, NEW YORK, NY 10036 (212) 597-9000 - 5,750,000 (\$199,993,223) COMMON STOCK (FILE 333-94275 - JAN 07) (BR 3)
- S-3 ARIZONA PUBLIC SERVICE CO, 400 N FIFTH ST, P O BOX 53999, PHOENIX, AZ 85004 (602) 250-1000 - 500,000,000 (\$500,000,000) STRAIGHT BONDS (FILE 333-94277 - JAN 07) (BR 2)
- S-8 CINERGY CORP, 139 E FOURTH ST, CINCINNATI, OH 45202 (513) 287-2644 2,110,817 (\$49,999,978) COMMON STOCK (FILE 333-94281 JAN 07) (BR 2)
- S-1 ZEFER CORP, 711 ATLANTIC AVE , 6TH FL , BOSTON, MA 02111 (617) 451-8000 \$69,000,000 COMMON STOCK (FILE 333-94283 JAN 07) (NEW ISSUE)
- S-3 INTELLIGROUP INC, 499 THORNALL STREET, EDISON, NJ 08837 (732) 590-1600 - 440,281 (\$9,712,599) COMMON STOCK (FILE 333-94285 - JAN 07) (BR 9)

- S-8 BLACKROCK INC /NY, 345 PARK AVENUE 29TH FLOOR, 212-754-5534, NEW YORK, NY 10154 (212) 754-5560 - 45,000 (\$691,875) COMMON STOCK (FILE 333-94287 - JAN 07) (BR 6)
- S-1 EFFICIENT NETWORKS INC, 4201 SPRING VALLEY ROAD, SUITE 1200, DALLAS, TX 75244 (972) 991-3884 5,750,000 (\$338,531,250) COMMON STOCK (FILE 333-94289 JAN 10) (BR 7)
- SB-2 INTELISPAN INC /WA/, 2151 EAST BROADWAY SUITE 211, SUITE 300, TEMPE, AZ 85282 (480) 446-3200 1,420,000 (\$4,304,375) COMMON STOCK (FILE 333-94291 JAN 10)

RECENT BK FILINGS

Form 8-K is used by companies to file current reports or the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

NAME OF ISSUER	CODE		4 5 €	7 8	9 DATE COMMENT
					10/29/99
ABN AMRO MORTGAGE CORP SERIES 1999-	- DE	λ			12'27/99 AMEND
ACCEPTANCE INSURANCE COMPANIES INC	DE		Х		12/30/99
ACKERLEY GROUP INC	DE	х		X	01/06/00
ADAC LABORATORIES	CA		Х	Х	01/13/00
AIRPLANES LTD	DE		x		01/13/00
AIRPLANES US TRUST	DE		х		01/13/00
ALIGN RITE INTERNATIONAL INC	CA		x	X	01/10/00
ALLSTATE CORP	DE		x		01/13/00
AMC FINANCIAL INC	DE		х		01/13/00
AMERICA FIRST APARTMENT INVESTORS I	L DE	Х			01/11/00
AMERICAN HOME MORTGAGE HOLDINGS INC	2	х		Х	12/29/99
AMERICAN INFLATABLES INC		хх	х х	X	12/29/99
AMERICAN PAD & PAPER CO	DE		x		01/11/00
AMERICAN RESIDENTIAL EAGLE BOND TRU ST 1999-2 COLLATERALIZED	J DE		X	х	12/25/99
AMERICAEDIT FINANCIAL SERVICES INC	DE		х	х	01/14/00
AMERICREDIT FINANCIAL SERVICES INC	DE		х	Х	01/14/00
AMERICREDIT FINANCIAL SERVICES INC	DE		Х	X	
AMERICREDIT FINANCIAL SERVICES INC	DE		X	Х	
AMERICREDIT FINANCIAL SERVICES INC	DE		Х	Х	
AMERUS LIFE HOLDINGS INC	IA		Х		01/12/00

NAME OF ISSUER		8K 1 2 3			3 9 DATE COMMENT
ANGELES INCOME PROPERTIES LTD III	CA	Х		Х	12/30/99
ANNEX BUSINESS RESOURCES INC	NV	Х	Х	Х	01/13/00
ASCHE TRANSPORTATION SERVICES INC	DE		Х	Х	01/11/00
ATMI INC	DE	Х		X	11/29/99 AMEND
ATS MEDICAL INC	MN	Х		X	12/29/99
AUDIOVOX CORP	DE		Х	Х	01/10/00
BANC ONE HELOC TRUST 1998-1	OH		Х	Х	12/31/99
BANK OF AMERICA 1999-10			X	Х	11/25/99
BANK OF AMERICA 1999-10			X	Х	12/25/99
BANK OF AMERICA 1999-11			Х	X	12/25/99
BANKFIRST CORP BARTON DISTILLERS IMPORT CORP	TN		X	Х	01/11/00
BB&T CORP	DE NC		X		01/04/00
BEAR STEARNS ASSET BAC SE INC MOR B			X X	х	01/12/00 12/25/99
A LIB NOT CL A SE 1999-1 BEAR STEARNS ASSET BACK SEC INC GMA			Λ.	Λ Á	07/19/99
CM REV HO EQ LO TR 1999-1				n	01713733
BEAR STEARNS ASSET BACKED SEC INC L	DE			Х	10/18/99
O BAC NOT SER 1999 HLTV-1	2.5			**	10/10/00
BEAR STEARNS ASSET BCKD SEC INC HM EO LN BK TM NT SER 1999-2	DE			}	12/27/99
BELL & HOWELL CO //	DE		у	х	01/12/00
BELL MICROPRODUCTS INC	CA		X	^	01/13/00
BEST SOFTWARE INC	VA		Х	у	01/11/00
BESTWAY INC	DE		х	X	01/13/00
BONNEVILLE PACIFIC CORP	DE		1	7.	01/13/00
BSMS 1998-3	22		λ	×	12 /25 /99
BSMS 1999-1			<i>.</i> .	λ	12 '25 '99
BTI TELECOM CORP	NC		λ	У	12/28/99
CARDIOTECH INTERNATIONAL INC	MA		χ.	•	12/29/99
CC VII HOLDINGS LLC	CA		λ		12/31/99
CENTENNIAL TECHNOLOGIES INC	DE	х	Х		12/29/99
CHAMPION HOME EQUITY LOAN ASSET BAC			Х	λ	12/31/99
KED NOTES SERIES 1999-2 CHAMPION HOME EQUITY LOAN TRUST 199			x	х	12/31,99
9-1	22		**	•	12/31/33
CITIBANK SOUTH DAKOTA N A	DE	х			12/31/99
CITICORP MORTGAGE SECURITIES INC	DE	-	Х		01/13/00
CITIZENS & NORTHERN CORP	PA		Х		01/10/00
CLASSIC COMMUNICATIONS INC	DE		Х	Х	12/17/99
CLEMENTS GOLDEN PHOENIX ENTERPRISES INC	FL		х	У	12/31/99
COASTAL BANCORP INC	TX		Х	Х	03/31/00
COMMONWEALTH EDISON CO	IL		Х	Х	01'07/00
COMPUTRON SOFTWARE INC	DE	х		λ	01/13/00
CONCENTRIC NETWORK CORP	DE		Х		01/09/00
CONVERGENCE COMMUNICATIONS INC	NV	Х		Х	01/13/00
CORRECTIONAL SERVICES CORP	DE	х			01/11/00
COUNTRYWIDE HOME EQUITY LOAN TRUST 1999-A	CA		λ	λ	12/31/99
CRIIMI MAE FINANCIAL CORP	MD		х		01/12/00
CROWN CRAFTS INC	GA		х	Х	08/04/99
CURATIVE HEALTH SERVICES INC	MN	x			01/12/00
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999 4	DE		Х	X	11/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-T22	DE		х	Х	12/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-2	DE		Х	Х	12/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-3	DE		х	Х	12/25/99

NAME OF ISSUER	CODE	E 8K ITEM NO. E 1 2 3 4 5 6 7 8 9 DATE COMMEN
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-2		
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-3	DE	X X 10/25/99
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-4	DE	X X 10/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-10	DE	X X 10/25/99
CWMBS INC MORT PASS THR CERT SER 19	DE	X X 10/25/99
CWMBS INC MORT PASS THE CERT SER 19 99-12	DE	X X 10/25,99
CWMBS INC MORT PASS THR CERT SER 19 99-13		X X 09/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-13		X X 10/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-13		X X 12/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-13		X X 12/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-6	DE	X X 10/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-7		X X 10/25/99
CWMBS INC MORT PASS THP CERT SER 19 99-7		X X 10/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-8	DE	X X 10/25/99
CWMBS INC MORT PASS THR CERT SEF 19 99-9		X X 10'25'99
CWMBS INC RASTA 1999-A1 1999-A	DE	X λ 10 25 '99
CWMBS INC RASTA 1999-A2 1999-B		λ X 10,25,99
CWMBS INC RASTA 1999-A3 1999-C CWMBS INC RASTA 1999-A4 1999-D		X X 10/25,99 X X 10/25/99
CWMBS INC RASTA 1999-A8 1999-H		X X 10/25/99
CWMBS INC RASTA 1999-A8 1999-H		X X 12/25 99
CWMBS INC RESIDENTIAL ASSET SECURIT	DE	X X 10/25/99
IZATION TRUST 1999-A5		
DELTA PETROLEUM CORP/CO	CO	X 11/01/99 AMEN
DEXTERITY SURGICAL INC	DE	x x 01/11/00
DIGEX INC/DE	DE	X 01/12/00
DIGITAL COMMERCE INTERNATIONAL INC	DE	X X 12/06/99
DLJ MORTGAGE ACCEP CORP FIR NAT TR SE 1999-5 MOR PAS TH CERT		X X 12/31/99
DOUBLECLICK INC	DE	X X 12/29/99
DRYPERS CORP	DE	X X 12/13/99
EARTHWEB INC	DE	X X 01/12/00
EDISON BROTHERS STORES INC	DE	X X 01/10/00
ENSTAR INCOME GROWTH PROGRAM SIX B	GA	λ 12/31/99
EUROWEB INTERNATIONAL CORP	DE	X 01/12/00
FALCON FUNDING CORP	CA	X 12/31/99
FAMOUS DAVE S OF AMERICA INC	MN	X X 01/01/00
FARMER MAC MORTGAGE SECURITIES CORP	DE	X 01/12/00
FGIC SECURITIES PURCHASE INC	DE	X X 01/07/00
FIRST DECATUR BANCSHARES INC	DE	X X 01/13/00
FIRSTLINK COMMUNICATIONS INC	OR	X X X X 01/12/00 AMENI
FLEET BOSTON CORP	RI	X X 01/12/00
FLOWSERVE CORP	NY	X X 01/06/00
FORMAN PETROLEUM CORP	LA	X X X 12/29/99

	STATE	8K	ITE	M NO				
NAME OF ISSUER	CODE	1 2 3	4 5	6 7	8 9	DATE	CC	MMENT
DODE WYOULG DIVINGEN CORD								
FORT THOMAS FINANCIAL CORP FRANCHISE FINANCE CORP OF AMERICA	OH DE		X X			12/21/		
FRANKLIN SELECT REALTY TRUST	CA		X			01/01/		
FRIEDE GOLDMAN HALTER INC	MS		X			01/13/		
GENCOR INDUSTRIES INC	DE		x x			01/10/		
GLOBAL SPORTS INC	DE	х		х		12/29/		
GLOBE HOLDINGS INC	MA		х			01/01/		
GLOBE MANUFACTURING CORP	AL		х			01/01/	00	
GLOBIX CORP	DE		х	Х		01/12/	99	
GOLF ENTERTAINMENT INC	DE	Х		х		01/11/	00	
GREAT TRAIN STORE CO	DE		Х	Х		01/13/	00	
GREENWICH CAPITAL ACCEPTANCE INC	DE		Х	Х		01/14/	00	
HCW PENSION REAL ESTATE FUND LTD PA	MA	Х		Х		12/30/	99	
RTNERSHIP								
HELLER FUNDING CORP	DE		Х			12/27/		
HERSHEY FOODS CORP	DE		Х			12/28/		
HITCOM CORP	DE		х			01/13/		
HOMESERVICES COM INC	DE		Х			01/12/		
HYPERCOM CORP	DE	Х	٠,	X		01/07/		
IGEN INTERNATIONAL INC /DE IMMUCELL CORP /DE/	CA DE	х	Х	X		01/11/		
INSCI STATEMENTS COM CORP	DE	Λ		X		12/30/		AMEND
INSCI STATEMENTS COM CORP	DE		х			12/31/		711 11110
INSO CORP	DE		X			01/05/		
INTERMEDIA COMMUNICATIONS INC	DE		X			01/12/		
INTERNATIONAL FUEL TECHNOLOGY INC	NV		х			01/11/		
INTERNATIONAL FUEL TECHNOLOGY INC	NV		x			01/07/		
INTERNATIONAL PAPER CO /NEW/	NY		x			01/11/		
JACKSONVILLE BANCORP INC	TX		х	Х		01/11/		
KNIGHT TRIMARK GROUP INC	DE	Х		Х		01/06/	00	
KROGER CO	OH		X	Х		01/12/	0.0	
LADY LUCK GAMING CORP	DE	Х		Х		10/29/	99	AMEND
LANVISION SYSTEMS INC	DE		Х			01/12/	00	
LEGEND PROPERTIES INC	DE		X	λ		01/06/	00	
LEHMAN ABS CORP	DE		Х	Х		12/31/	99	
LEHMAN BROTHERS HOLDINGS INC	DE		Х	}		11/30/	99	
LG&E ENERGY CORP	KY	NO ITE	EMS			12/21/		
LIBERTY SELF STOR INC	MD	Х		Х		12/29/		
LJL BIOSYSTEMS INC	DE		Х			01/13/		
LOIS/USA INC	DE 	Х		Х		12/31/		
LSB INDUSTRIES INC	DE).			12 '30,"		
MARKETING SPECIALISTS CORP	DE	v	λ	Х		01/07/		MEND
MAS ACQUISITION IX CORP	NJ DE	X	Х	х		01/07/		AMEND
MASSACHUSETTS FINCORP INC	MD		X			01/04/		
MATEC CORP/DE/ MEDIO INC	DE		X			01/13/		
MEDIO PRN LIFE SUPPORT SERVICES INC			X			01/13/		
MEDIX RESOURCES INC	CO		x			01/07/		
MELLON BANK NA MELLON BANK HOME EQU			x			01/11/		
ITY LOAN TRUST 1996-1								
MERRILL LYNCH MUNICIPAL ABS INC	NY			Х		10/01/	99	
MORGAN STANLEY AIRCRAFT FINANCE	DE		х			01/13/	00	
MORTGAGE PASS THROUGH CERT SERIES 1	NY		Х	х		01/13/	00	AMEND
999-26								
MORTGAGE PASS THROUGH CERT SERIES 1	NY		Х	X		01/13/	00	AMEND
999-26								
NATIONAL AFFILIATED CORP	LA	X		X		12/01/9		
NATURAL MICROSYSTEMS CORP	DE	х		X		12/30/		
NBC INTERNET INC	DE			Х		11/29/		AMEND
NCT GROUP INC	DE		Х	Х		12/31/0		
NEIMAN MARCUS FUNDING CORP	DE	Х				12/31/	99	

NAME OF ISSUER	CODE	1 2		5 7 8	9 DATE COMMENT
NETCURRENTS INC/	DE	NO I			12/16/99
NETCURRENTS INC/	DE	Х			12/22/99
NETMANAGE INC	DE			х	12/10/99 AMEND
NETMANAGE INC	DE		Х	Х	12/29/99
NETWOLVES CORP	NY		Х	Х	01/12/00
NETWORK SYSTEMS INTERNATIONAL INC	NV	Х			09/30/99
NEWCOR INC	DE		λ	λ	01/12/00
NORTH FORK BANCORPORATION INC	DE		X	Х	01/11/00
NORTHERN STATES POWER CO /MN/	MN		Х	Х	01/12/00
NOVAMETRIX MEDICAL SYSTEMS INC	DE		Х	λ	12/29/99
NUTRAMAX PRODUCTS INC /DE/	DE		X	Х	12/31/99
NUWAVE TECHNOLOGIES INC	DE		λ	Х	12/36/99
OAK HILL FINANCIAL INC	ОН		Х	Х	01/07;00
PARADISE MUSIC & ENTERTAINMENT INC	DE	х		Х	12/16/99
PEASE OIL & GAS CO /CO/	NV		Y	λ	12/30/99
PECO ENERGY CO	PA		Х	X	01/13/00
PHARMACEUTICAL FORMULATIONS INC	DE		Х		01/11/00
PNC MORTGAGE SECURITIES CORP MORT F	DE	Х			01/06/00
POPULAR INC	PR		х	х	01/11/00
	MI		X	^	01/04/00
PRAB INC PRECISION RESPONSE CORP	FL		Х	х	01/04/00
		v	^	Λ.	11/12/99
PROGRESSIVE TELECOMMUNICATIONS CORE		Х		v	
QLOGIC CORP	DE	17	Х :	Λ.	01/10/00 01/12/00
RAMEX SYNFUELS INTERNATIONAL INC	NV	Х		v	
RAWLINGS SPORTING GOODS CC INC	DE		Х	У	11/13/00
RESIDENTIAL FUNDING MORTGAGE SECURI	DE			Х	(1/12/00
RESTAURANT TEAMS INTERNATIONAL INC	TX		Х		31 3€.0€ AMEND
ROBERDS INC	OH		Х	Х	12 '31 /99
SALESLOGIX CORP		X		7	12 31 '99
SBC COMMUNICATIONS INC	DE		X	λ	01 05/00
SFAC NEW HOLDINGS INC	DE		X		61 JE,60
SFC NEW HOLDINGS INC	DE		У		C1 J5/CC
SHELTER PROPERTIES IV LIMITED PARTNERSHIP	SC SC			>	01/03/00
SHELTER PROPERTIES V LIMITED PARTNE	SC			X	01,'03'00
SHELTER PROPERTIES VI LIMITED PARTN ERSHIP	sc sc			У	01/03/00
	DE	х			12/23/99
SIGNAL TECHNOLOGY CORP SLM FUNDING CORP	DE	41	х	х	12/28/99
	DE		х	Х	01/07/00
SOFTLOCK COM INC SOVEREIGN SPECIALTY CHEMICALS INC	DE	х	,,	X	11 '24 - 99 AMEND
	MA	X		X	12/29/99
SPIRE CORP		^		Х	01/12/00
SPORTS AUTHORITY INC /DE/	DE		v		
STARTEK INC	DE		Х	v	12/14/99 12/27/99
STRUCTURED ASSET SEC COFP MORT PAS THR CERT SER 1999-BC1	DE			Х	12/27/99
SUN HYDRAULICS CORP	FL		Х	Х	12/01/99
SYNOVUS FINANCIAL CORP	GA		Х	Х	01/12/00
TANNERS RESTAURANT GROUP INC	TX		Х	Х	01/13/00
TECHNOLOGY SOLUTIONS COMPANY	DE		х		01/13/00
TEKTRONIX INC	OR	Х		λ	01/01/00
TELE SPECIAL COM	NV		Х		01/12/99
TESORO PETROLEUM CORP /NEW/	DE	Х		Х	12/29/99
THERMATRIX INC	DE		хх	х	12/27/99
TOWNE SERVICES INC	GA		х	Х	01/11/00
TOYOTA MOTOR CREDIT CORP	CA			Х	01/12/00
TRANSOCEAN SEDCO FOREX INC	TX	Х	Х	Х	12/31/99

NAME OF ISSUER		8K 1 2 3			8 9	DATE	COMMENT
TRITEAL CORP	DE	х				12/07/9	99
TSI INC /MN/	MIN		X	Х		01/10/0	0.0
U S WIRELESS DATA INC	CO		Х	X		12/23/9	99
UAL CORP /DE/	DE		X	Х		01/13/0	00
UNICOM CORP	IL		Х	Х		01/07/0	0.0
UNITED INVESTORS INCOME PROPERTIES	MO	Х	Х	X		12/30/	99
UNITED ROAD SERVICES INC	DE		Χ			01/05/0	υ
UNIVERSAL AMERICAN FINANCIAL CORP	NY	Х				01/11/0	0.0
UNOCAL CORP	DE		Х			11/30/9	99
US AIRWAYS GROUP INC	DE		χ	Х		01/13/0	0.6
US AIRWAYS INC	DE		X	Х		01/13/	0.0
US CAN CORP	DE	Х		Х		12/30/9	99
USA NETWORKS INC	DE		Х	Х		01/12/0	0.0
USAA FEDERAL SAVINGS BANK		Х				11/30/	99
USAA FEDERAL SAVINGS BANK		X				12/31/	99
USAA FEDERAL SAVINGS BANK		Х				12/31/5	99
VCAMPUS CORP	DE		Х	Λ		01/11,0	0.0
VEECO INSTRUMENTS INC	DE			Х		11/04/	99 AMEND
VERAMARK TECHNOLOGIES INC	DE	X		X		01/07/	00
VESTA INSURANCE GROUP INC	DE		Х	X		01/10/	00
VILLAGEWORLD COM INC	NY		Х	X		12/30/9	99
VISION TWENTY ONE INC	FL		У	Α		12/29/	99
VISTA ENERGY RESOURCES INC	DE		×	7		01,05	D C
WELLINGTON PROPERTIES TRUST	MD		λ	X		01/06	0.0
WENDYS INTERNATIONAL INC	ОН		Х			01/13/	0.0
WESTBANK CORP	MA			Х		10/29/	99
WILSHIRE FINANCIAL SERVICES GROUP : NC	I DE		У	Х		01/11/0	0.5
WMC SECURED ASSETS CORF	DE		λ	Х		12/31/9	99
WMC SECURED ASSETS CORP	DE		λ	Х		12/31/	99
YAHOO INC	DE	х		λ		07/20/	99 AMEND
YAHOO INC	DE		Х	Х		01/11/0	00
YONKERS FINANCIAL CORP	DE		λ	Х		01/13/	00
ZAMBA CORP	DE	Х	Х	х		01/12/	00
3TEC ENERGY CORP	DE	Х		>		12/07/	99 AMEND