

sec news digest

Issue 86-209

October 29, 1986

CIVIL PROCEEDINGS

COMPLAINT NAMES ALFRED E. KOPFMANN, II AND AMIE E. MOSHER

The Division of Enforcement filed a Complaint for Permanent Injunctive and Other Equitable Relief on October 28 in the U.S. District Court for the Northern District of California against Alfred E. Kopfmann, II of Atherton, California, and Amie E. Mosher of San Francisco, California, alleging that they violated the antifraud provisions of the Securities Exchange Act of 1934. Without admitting or denying the allegations in the Complaint, Kopfmann and Mosher consented to the Final Judgments of Permanent Injunction and Other Equitable Relief enjoining them from future violations of the antifraud provisions. Additionally, Kopfmann and Mosher agreed to disgorge of \$302,517.61 and \$340.00, respectively, representing profits from their transactions in Tymshare, Inc. options or common stock.

In its Complaint, the Commission alleged that on or about February 23, 1984, Kopfmann purchased option contracts for the securities of Tymshare and Mosher purchased Tymshare common stock while in possession of material nonpublic information relating to a proposed tender offer for all of the outstanding shares of Tymshare stock by McDonnell-Douglas Corporation. (SEC v. Alfred E. Kopfmann, II and Amie E. Mosher, USDC NDC, Civil Action NO. 86-6114). (LR-11276)

PERMANENT INJUNCTIONS ENTERED AGAINST E.S.M. DEFENDANTS

The Commission announced that on September 30 Judge Jose A. Gonzalez, U.S. District Court for the Southern District of Florida, entered Final Judgments of Permanent Injunction against Ronnie R. Ewton, George G. Mead, Nicholas B. Wallace, Charles W. Streicher, and Timothy R. Murphy, former officers of E.S.M. The defendants consented to the Final Judgments without admitting or denying the allegations in the Commission's complaint.

The Final Judgments enjoined Ewton, Mead, Wallace, and Streicher from violating the antifraud provisions of the securities laws by, among other things: transacting business on behalf of an insolvent broker-dealer; falsifying a company's books, records, or financial statements, or disseminating false financial statements; borrowing, receiving, or authorizing material loans or compensation from an insolvent company; or concealing losses and material contingencies. Murphy was enjoined from further violations of the antifraud provisions by, among other things, disseminating false financial statements, acquiescing in the falsification of books, records, or financial statements, or acquiescing in the cancellation of open securities transactions without notice to customers. Wallace and Murphy were further enjoined from committing anti-fraud violations by selling or pledging the same securities to more than one person or entity at the same time. (SEC v. Marvin L. Warner, et al., Civil Action No. 86-6742-CIV-GONZALEZ, SDFL). (LR-11268)

RESEARCH RESOURCES, INC. AND SWISSOIL CORPORATION ORDERED TO COMPLY WITH REPORTING REQUIREMENTS

The New York Regional Office announced that on October 8 Judge John F. Keenan, U.S. District Court for the Southern District of New York, issued an opinion and order granting, in part, the Commission's Motion for Summary Judgment and authorizing the issuance of mandatory injunctions requiring Research Resources, Inc. (RRI) and Swissoil Corporation, related companies located in New York, New York, to file their first fiscal year Annual Reports on Form 10-K and requiring Swissoil to correct a misleading Current Report on Form 8-K. The Court found that defendants violated the reporting provisions of the securities laws, specifically Section 15(d) of the Exchange Act, 15 U.S.C paragraph 78o(d), and rules and regulations thereunder.

On May 9, 1985, the Commission filed a complaint against RRI and Swissoil alleging that they failed to file their first fiscal year Annual Report on Form 10-K for fiscal years ended July 31, 1984 and April 30, 1984, respectively. The complaint also alleges that Swissoil filed an inaccurate and misleading Current Report on Form 8-K on or about June 20, 1984, concerning its ostensible change of control to Shelfbond Trust A.G., a Swiss company. (SEC v. Research Resources, Inc. and Swissoil Corporation, 85 Civ. 3530 [JFK], SDNY). (LR-11269)

CRIMINAL PROCEEDINGS

CHARLES BYERS PLEADS GUILTY

The Philadelphia Regional Office and the U.S. Attorney's Office for the Western District of Pennsylvania announced that on September 24 Charles Byers, of Monongahela, Pennsylvania, pled guilty to two counts of mail fraud. He had been indicted on July 24 and charged with 30 counts of mail fraud. Sentencing has been scheduled for November 2.

The indictment alleged that Byers operated a ponzi scheme from June 1982 until November 20, 1984 in which approximately 395 investors invested more than \$1,386,870 and suffered a combined loss of approximately \$919,966. Byers previously consented to a permanent injunction in a Commission action arising out of the same activity and filed with the U.S. District Court for the Western District of Pennsylvania, in which Byers also paid over to the registry of the Court, for distribution to investors, \$208,000 in proceeds from three previously frozen bank accounts. (U.S. v. Charles Byers, USDC WDPa, Criminal No. 86-154). (LR-11270)

INVESTMENT COMPANY ACT RELEASES

POLYCAST TECHNOLOGY CORPORATION

An order has been issued exempting Polycast Technology Corporation from all provisions of the Investment Company Act until October 31, 1986. (Rel. IC-15370 - Oct. 22)

NATIONAL HOME LIFE ASSURANCE COMPANY

An order has been issued exempting National Home Life Assurance Company, National Home Life Assurance Company Separate Account II, and CHC Securities Corporation from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Investment Company Act. The exemption will permit the deduction of a mortality and expense risk charge from the Account in connection with the issuance of certain flexible premium multi-funded combination variable/fixed annuity contracts. (Rel. IC-15371 - Oct. 22)

HOLDING COMPANY ACT RELEASES

CENTRAL POWER AND LIGHT COMPANY

An order has been issued authorizing Central Power and Light Company, Public Service Company of Oklahoma, Southwestern Electric Power Company, and West Texas Utilities Company, subsidiaries of Central and South West Corporation, to acquire through December 31, 1988 limited amounts of their preferred stock and first mortgage bonds in open market and negotiated transactions at prices not exceeding the then current general redemption prices for such securities. (Rel. 35-24219 - Oct. 23)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING GRANTED

An order has been issued granting the application of the Philadelphia Stock Exchange for unlisted trading privileges in the common stock of one issue which is listed and registered on one or more other national securities exchanges and is reported in the consolidated transaction reporting system. (Rel. 34-23744)

UNLISTED TRADING SOUGHT

A notice has been issued giving interested persons until November 17 to comment on the application of the Philadelphia Stock Exchange for unlisted trading privileges in two issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. (Rel. 34-23748)

DELISTING GRANTED

An order has been issued granting the application of the Philadelphia Stock Exchange to strike the common stock, \$.10 par value, of KILLEARN PROPERTIES, INC. from listing and registration thereon. (Rel. 34-23749)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by The Pacific Securities Depository Trust Company has become immediately effective. The proposed rule change (SR-PSDTC-86-9) will extend delivery hours for deliver orders to improve the book-entry movement of issues between depositories. (Rel. 34-23745)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 ARTECH RECOVERY SYSTEMS INC, 10655 NE 4TH STE 604, BELLEVUE, WA 98004
(206) 453-0798 - 2,200,000 (\$3,850,000) COMMON STOCK. (FILE 33-9216-S - SEP. 30)
(BR. 6 - NEW ISSUE)
- N-1A BAIRD BLUE CHIP FUND INC, 777 E WISCONSIN AVE, MILWAUKEE, WI 53202 (414) 765-3500 -
INDEFINITE SHARES. UNDERWRITER: BAIRD ROBERT W & CO INC. (FILE 33-9500 - OCT. 20)
(BR. 17 - NEW ISSUE)
- N-1A TRUSTFUNDS INSTITUTIONAL MANAGED TRUST - INDEFINITE SHARES. (FILE 33-9504 -
OCT. 20) (BR. 18 - NEW ISSUE)
- S-1 RENC0 GROUP INC, 350 FIFTH AVE STE 7216, NEW YORK, NY 10118 (212) 594-8810 -
40,000,000 (\$40,000,000) STRAIGHT BONDS. 600,000 WARRANTS, OPTIONS OR RIGHTS.
UNDERWRITER: PAINWEBBER INC. (FILE 33-9508 - OCT. 21) (BR. 12 - NEW ISSUE)
- S-1 ADVANCED MARKETING TECHNOLOGY CORP, 275 MADISON AVE, NEW YORK, NY 10016
(212) 953-6400 - 4,025,000 (\$6,037,500) COMMON STOCK. 1,093,750 (\$1,968,750)
COMMON STOCK. 1,093,750 (\$2,296,875) COMMON STOCK. 87,500 (\$87)
WARRANTS, OPTIONS OR RIGHTS. 350,000 (\$630,000) COMMON STOCK. UNDERWRITER:
MULLER & CO INC. (FILE 33-9587 - OCT. 20) (BR. 3 - NEW ISSUE)
- S-8 WORLDS OF WONDER INC /CA/, 4209 TECHNOLOGY DR, FREMONT, CA 94538 (415) 659-4300 -
2,000,000 (\$48,000,000) COMMON STOCK. 35,000 (\$840,000) COMMON STOCK. (FILE 33-9598 -
OCT. 20) (BR. 11)
- S-3 CONNECTICUT LIGHT & POWER CO, SELDEN ST, BERLIN, CT 06037 (203) 665-5000 -
250,000,000 (\$250,000,000) MORTGAGE BONDS. (FILE 33-9599 - OCT. 20) (BR. 7)
- S-8 PREFERRED FINANCIAL CORP, 2130 S DAHLIA ST, DENVER, CO 80222 (303) 759-2400 -
74,000 (\$296,000) COMMON STOCK. 7,500 (\$32,850) COMMON STOCK. 69,500 (\$347,500)
COMMON STOCK. (FILE 33-9606 - OCT. 20) (BR. 9)
- S-11 CARLYLE REAL ESTATE LTD PARTNERSHIP XVII - 100,000 (\$100,000,000)
LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-9607 - OCT. 20) (BR. 6 - NEW ISSUE)
- N-1A PRIME VALUE FUNDS INC, 61 BROADWAY, NEW YORK, NY 10006 (212) 363-3300 -
INDEFINITE SHARES. (FILE 33-9645 - OCT. 21) (BR. 17 - NEW ISSUE)

- S-1 WORK WEAR CORP INC, 1768 E 25TH ST, CLEVELAND, OH 44114 (216) 771-4040 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 33-9648 - OCT. 21) (BR. 7)
- S-3 CONTINENTAL ILLINOIS CORP, 231 S LASALLE ST, CHICAGO, IL 60697 (312) 828-7490 - 57,500,000 (\$359,375,000) COMMON STOCK. (FILE 33-9649 - OCT. 21) (BR. 1)
- S-8 EATON FINANCIAL CORP, 27 HOLLIS ST, FRAMINGHAM, MA 01701 (617) 620-0099 - 204,703 (\$2,917,018) COMMON STOCK. (FILE 33-9650 - OCT. 21) (BR. 12)
- S-3 JAMES RIVER CORP OF VIRGINIA, TREDEGAR ST, RICHMOND, VA 23219 (804) 644-5411 - 2,000,000 (\$100,000,000) PREFERRED STOCK. (FILE 33-9653 - OCT. 22) (BR. 8)
- N-1A DREYFUS NEW YORK INSURED TAX EXEMPT BOND FUND, 767 FIFTH AVE, NEW YORK, NY 10153 (212) 230-2130 - INDEFINITE SHARES. (FILE 33-9654 - OCT. 22) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INVS QUA TAX EX TR MUT SER 41, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-9656 - OCT. 22) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INVS QUA TAX EX TR MUT SER 42, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-9657 - OCT. 22) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INVS QUA TAX EX TR MUT SER 43, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-9658 - OCT. 22) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INVS QUA TAX EX TR MUT SER 44, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-9659 - OCT. 22) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INVS QUA TAX EX TR MUT SER 45, 1001 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-9660 - OCT. 22) (BR. 18 - NEW ISSUE)
- S-3 GAF CORP, 1361 ALPS RD, WAYNE, NJ 07470 (201) 628-3000 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 33-9661 - OCT. 22) (BR. 9)
- S-2 PIEDMONT MANAGEMENT CO INC, 80 MAIDEN LANE, NEW YORK, NY 10038 (212) 363-4650 - 1,725,000 (\$28,255,500) COMMON STOCK. (FILE 33-9662 - OCT. 22) (BR. 9)
- S-11 ELLISON RAY MORTGAGE INVESTMENT CORP, 4800 FREDERICKSBURG RD AT LOOP 410, SAN ANTONIO, TX 78229 (512) 349-1111 - 50,000,000 (\$50,000,000) MORTGAGE BONDS. (FILE 33-9667 - OCT. 22) (BR. 12 - NEW ISSUE)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/ PRIOR%	FILING STATUS
AMERICAN CELLULAR NETWORK CP COM ACN PREFERRED INVESTORS	13D	10/14/86	143 6.3	02506010 0.0	NEW
CITADEL HLDG CORP HECCO VENTURES ET AL	COM 13D	9/17/86	321 9.6	17286210 9.9	UPDATE

ACQUISITIONS REPORTS CONT.

COLOR TILE INC BRINKMAN L D CORP	COM	13D	10/20/86	0 0.0	19626710 9.6	UPDATE
COMPUTER AUTOMATION INC VENTURE GROWTH ASSOC ET AL	COM	13D	10/14/86	213 10.4	20490110 0.0	NEW
FABULOUS INNS AMER CALDWELL NORMAN J	COM	13D	10/14/86	33 3.3	30303010 0.0	NEW
FABULOUS INNS AMER FERREIRA FRANK E ET AL	COM	13D	10/14/86	253 25.1	30303010 29.1	UPDATE
FABULOUS INNS AMER LETSON FRED J	COM	13D	10/14/86	25 2.5	30303010 0.0	NEW
FABULOUS INNS AMER SIMPSON LEAR E	COM	13D	10/14/86	54 5.4	30303010 0.0	NEW
FORTUNE FINL GROUP INC DYSON-KISSNER-MORAN CORP ET AL	COM	13D	10/14/86	255 5.5	34965110 0.0	NEW
FOUNTAIN OIL GAS DIABLO OIL CO	COM	13D	10/ 9/86	300 11.0	35075320 0.0	NEW
GELCO CORP GEL ACQUISITION CORP ET AL	COM	14D-1	10/24/86	0 0.0	36850110 0.0	NEW
GELCO CORP GEL ASSOC	COM	13D	10/24/86	2,284 16.7	36850110 16.7	UPDATE
GUILFORD MLS INC LEVIN WILLIAM A ET AL	COM	13D	10/ 3/86	547 5.6	40179410 0.0	NEW
HARNISCHFEGER CORP DEL MENDELSON LAURANS A ET AL	COM	13D	10/21/86	1,194 9.7	41334210 8.3	UPDATE
INTERMARK INC FAIRWAY ASSOC LTD	COM NEW	13D	9/ 9/86	1,385 24.3	45877620 0.0	NEW
INTERMARK INC FAIRWAY ASSOC LTD	PFD PART	13D	9/ 9/86	485 25.6	45877630 0.0	NEW
LEHIGH PRESS INC PAPP L ROY & ASSOC	COM	13D	10/10/86	88 5.4	52487610 0.0	NEW
MLX CORP TERIBE S A	COM	13D	10/17/86	1,413 9.0	55307610 0.0	NEW
NORTHVIEW CORP BOESKY SEEMA ET AL	COM	13D	9/26/86	1,825 75.2	66718810 15.7	UPDATE
ODYSSEY OIL COOKE CARL W ET AL	COM	13D	8/18/86	1,911 22.4	67612010 0.0	NEW
PENN REAL ESTATE INVT TR KORMAN LEONARD I	SH BEN INT	13D	8/11/86	352 6.5	70910210 5.2	UPDATE
PIZZA INN INC SPILLMAN F J ET AL	COM	13D	10/14/86	1,697 51.3	72584710 43.1	UPDATE
ROBERTSON H H CO GUARDIAN INDS ET AL	COM	13D	10/21/86	310 5.4	77055310 6.6	UPDATE
SERVISCO ARA SERVICES INC ET AL	COM	14D-1	10/24/86	1,432 67.2	81766410 0.0	NEW
SWEDLOW INC PILKINGTON HOLDING INC	COM	13D	10/14/86	609 49.1	87032810 0.0	NEW

ACQUISITIONS REPORTS CONT.

SWEDLOW INC	COM			609	87032810	
SOLEC HOLDINGS INC		13D	10/14/86	49.1	0.0	NEW
TRIANGLE INDS	PARTICIPATING PFD			800	89586130	
NPM GROUP INC		13D	10/10/86	16.7	16.7	UPDATE
TRYANGLE INDS	PARTICIPATING PFD			1,231	89586130	
P&M ASSOC		13D	10/10/86	25.7	22.4	UPDATE
ARIZONA APPETITOS STORES INC	COM			618	04045810	
SCHNAKENBERG RICHARD L		13D	9/30/86	13.5	0.0	NEW
ASSOCIATED HOST INC	COM			317	04565410	
INDUSTRIAL EQUITY LTD ET AL		13D	10/22/86	7.0	5.7	UPDATE
CAGLES INC	CL A			239	12770310	
COLANTUONI IDO E		13D	10/24/86	10.3	9.3	UPDATE
CHIEFTAIN DEV LTD	COM			12,368	16866410	
ALBERTA ENERGY CO LTD		13D	10/21/86	62.2	62.2	UPDATE
COLOR TILE INC	COM			0	19626710	
HALCYON INVESTMENT ET AL		13D	10/21/86	0.0	9.7	UPDATE
COLOR TILE INC	COM			0	19626710	
MERRILL LYNCH CAPL PTN ET AL		13D	10/20/86	0.0	25.4	UPDATE
DELCHAMPS INC	COM			240	24661510	
DELCHAMPS OLIVER H JR		13D	10/17/86	3.4	6.4	UPDATE
DIAMOND CRYSTAL SALT CO	COM			264	25257910	
INDUSTRIAL EQUITY LTD ET AL		13D	10/14/86	10.3	8.4	UPDATE
1ST AMERN BK FOR SVGS	COM			175	31850210	
COUNTY SAVINGS BANK		13D	10/16/86	1.7	1.7	UPDATE
1ST AMERN BK FOR SVGS	COM			0	31850210	
MITCHELL LOUIS A		13D	10/16/86	0.0	1.0	UPDATE
FIRST CONTL REAL ESTATE INVT SH BEN INT				500	32001910	
EASTGROUP PROPERTIES ET AL		13D	10/22/86	12.2	9.4	UPDATE
GAF CORP	COM			3,114	36142810	
SIMMONS HAROLD C. ET AL		13D	10/17/86	8.8	10.4	UPDATE
HAVERTY FURNITURE COS INC	CL A			158	41959620	
MCGAUGHEY FRANK S JR		13D	10/ 7/86	6.0	5.9	UPDATE
INSTINET CORP	COM PAR \$1			5,000	45799110	
REUTERS HOLDINGS PLC		13D	10/24/86	45.7	45.7	UPDATE
INERSTATE BAKERIES CORP	COM			379	46072310	
CENTRAL NATL-GOTTESMAN ET AL		13D	10/15/86	7.7	7.3	UPDATE
JUDICATE INC	COM			340	48127810	
CENTRAL NATL-GOTTESMAN ET AL		13D	10/ 3/86	5.8	4.9	UPDATE
KAISER ALUM & CHEM CORP	COM			13,527	48300810	
KACC ASSOCIATES ET AL		13D	10/23/86	30.3	28.6	UPDATE
LAKE SHORE BANCORP	COM			125	51070210	
GROSSINGER IRWIN ET AL		13D	10/14/86	5.5	5.0	UPDATE
DL FASHION FOODS INC	COM			84	67963010	
RAMSEY GUY N ET AL		13D	10/ 2/86	6.2	0.0	NEW
OWES CORNING FIBERGLAS CORP	COM			1,665	69073410	
JAMIE SECURITIES CO ET AL		13D	10/20/86	5.6	4.7	UPDATE

ACQUISITIONS REPORTS CONT.

OWENS CORNING FIBERGLAS CORP JAMIE SECURITIES CO ET AL	COM 13D	10/20/86	1,665 5.6	69073410 4.7	UPDATE
PIONEER FED SVGS&LN ASSN VA ALBERT EDWARD JR ET AL	COM 13D	10/13/86	233 7.3	72367110 5.3	UPDATE
PRINCEVILLE DEV CORP PINNER CORP ET AL	COM 14D-1	10/24/86	1,827 20.8	74251210 21.0	UPDATE
SPENDTHRIFT FARM INC HAGOPIAN ROBERT R	COM 13D	10/22/86	4,568 32.7	84832510 0.0	NEW
STAFF BLDRS INC TENDER LOVING CARE HEALTH CARE	COM 13D	9/22/86	441 13.8	85237610 0.0	NEW
STERLING SOFTWARE INC WYLY CHARLES J JR	COM 13D	9/16/86	991 10.9	85954710 8.4	UPDATE
STERLING SOFTWARE INC WYLY SAM	COM 13D	9/16/86	1,689 18.6	85954710 10.1	UPDATE
STIFEL FINL CORP TBK PARTNERS	COM 13D	10/10/86	18 0.5	86063010 0.9	UPDATE
STIFEL FINL CORP TWEEDY BROWNE INC	COM 13D	10/10/86	251 6.8	86063010 0.0	NEW
TANDYCRAFTS INC INITIO PARTNERS	COM 13D	10/23/86	226 9.8	87538610 10.2	UPDATE
TIPPERARY CORP DOUBLE DOUBLE PARTNERS ET AL	COM 13D	10/16/86	64,600 70.0	88800210 0.0	NEW
USX CORP ICAHN CARL C ET AL	COM 13D	10/ 6/86	29,350 11.4	90290510 9.9	RVSDION
VIACOM INTL INC EQUITABLE LIFE ASSUR SOC ET AL	COM 13D	10/17/86	2,153 6.3	92552610 6.9	UPDATE

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE
DUN VENTURES INC	5	09/26/86
EMPIRE HEALTH CARE PRODUCTS INC	4,7	11/15/85 AMEND
ENERSERV PRODUCTS INC	5	10/14/86
ENRON CORP	5,7	10/06/86
EQUIMARK CORP	5	10/16/86
ERNST DIESSNER MORTGAGE FUND 86 LTD PART	4,7	10/10/86
EXAR COMMUNICATIONS INC	5	10/10/86
EXCEL INDUSTRIES INC	2,7	10/07/86
F&M NATIONAL CORP	5	10/08/86
FBC MORTGAGE SECURITIES TRUST III	1,5,7	07/30/86

