

MAR 14 1989

U.S. SECURITIES AND  
EXCHANGE COMMISSION

# sec news digest

Issue 89-45

March 10, 1989

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## OFFICE OF COMMISSION MEETINGS

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### CHANGE IN THE MEETING: ADDITIONAL ITEM

The following additional item will be considered at the open meeting scheduled for Tuesday, March 14 at 10:00 a.m.:

Consideration of whether to issue a release announcing the adoption of final rules with regard to Regulation D, the limited offering exemptive provisions from the registration requirements of the Securities Act of 1933. An amendment would add to the lists of accredited investors certain governmental employee benefit plans with total assets in excess of \$5 million. Other changes address the issue of substantial and good faith compliance with the terms, conditions and requirements of the regulation. FOR FURTHER INFORMATION, PLEASE CONTACT Richard Wulff or William Toomey at (202) 272-2644.

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## RULES AND RELATED MATTERS

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### COMMENTS REQUESTED ON AMENDMENTS TO RULE 10b-4 AND THE DEREGULATION OF HEDGED TENDERING

The Commission is publishing for comment amendments to Rule 10b-4 to clarify the net long proviso of the rule and to redesignate the rule as Rule 14e-4. The Commission is also requesting comment on the deregulation of hedged tendering.

Comments should be submitted in triplicate to Jonathan A. Katz, Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Mail Stop 6-9, Washington, DC 20549. Comments must be received within 60 days after the release is published in the Federal Register, and should refer to File No. S7-11-89. All submissions will be available for inspection in the Public Reference Room. (Rel. 34-26609)

FOR FURTHER INFORMATION CONTACT: M. Blair Corkran or Jodie J. Kelley at (202) 272-2848

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## COMMISSION ANNOUNCEMENTS

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### SEC ANNOUNCES ISSUES, PARTICIPANTS FOR RULE 144A ROUNDTABLE

The Securities and Exchange Commission announced today the issues and participants for the Roundtable on proposed Rule 144A scheduled to be held on Wednesday, March 15, from 9:30 a.m. to 1:30 p.m. (Press Release 89-18)

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## CRIMINAL PROCEEDINGS

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### MILTON R. BLOOMQUIST SENTENCED

The Denver Regional Office, the Salt Lake City Branch Office, and the Acting U.S. Attorney for the District of Utah announced that on February 15 U.S. District Court Judge J. Thomas Greene sentenced Milton R. Bloomquist of Layton, Utah to six months' incarceration and five years' probation as a result of Bloomquist's having entered a plea of guilty to a two-count felony information. The information charged Bloomquist with two counts of willfully violating the securities registration requirements.

According to court documents, Bloomquist obtained some \$3.6 million from more than 100 investors from 1981 through 1987 on the basis of various omissions and misrepresentations. Bloomquist promised interest of from 24% to 36% from trading profits which he claimed to be making, and he issued securities in the form of demand promissory notes which he failed to register.

Earlier, Bloomquist was permanently enjoined from violating the securities registration, investment company registration, and antifraud provisions of the securities laws. Bloomquist was also ordered to disgorge some \$2.5 million that he failed to repay investors, but payment of the disgorgement was waived based upon his demonstrated inability to pay it. (U.S. v. Milton R. Bloomquist, USDC DUT, Northern Division, Criminal Action No. 88-NCR-0056G). (LR-12026)

#### EDWARD M. KRIVDA SENTENCED

The Los Angeles Regional Office announced that on February 27 the U.S. District Court for the Central District of California sentenced Edward M. Krivda to 60 days in a halfway house and ordered him to perform 300 hours of community service for making false statements to the Commission during investigative testimony. Krivda was originally indicted in January 1988, along with Barry J. Minkow and 10 other co-defendants, in connection with violations stemming from the operation of ZZZZ Best Co., Inc. Krivda subsequently pled guilty to a one-count superseding information alleging that he violated 18 USC §1001 in investigative testimony before the staff of the Commission. (U.S. v. Edward M. Krivda, CR No. 88-22(a)-DT, CDCA). (LR-12023)

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## INVESTMENT COMPANY ACT RELEASES

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#### THE GUARDIAN U.S. GOVERNMENT TRUST

An order has been issued on an application filed on behalf of various existing and future investment companies for which Guardian Investor Services Corporation serves as principal underwriter for an order approving certain exchange offers. (Rel. IC-16849 - Mar. 8)

#### FIRST PACIFIC MUTUAL FUND, INC.

An order has been issued on an application filed by First Pacific Mutual Fund, Inc. and First Pacific Mutual Fund Services, Inc. for an order permitting the assessment and waiver of a contingent deferred sales load. (Rel. IC-16850 - Mar. 8)

#### THE THAI FUND, INC.

An order has been issued granting The Thai Fund an exemption from Section 12(d)(3) of the Investment Company Act in connection with its purchase of securities issued by Thai companies on the Securities Exchange of Thailand that engage in securities-related businesses. (Rel. IC-16851 - Mar. 8)

#### J.P. MORGAN ACCEPTANCE CORPORATION I

An order has been issued conditionally exempting J.P. Morgan Acceptance Corporation I and certain trusts it may form from all provisions of the Investment Company Act in connection with the sale of collateralized mortgage obligations and residual interest certificates. (Rel. IC-16852 - Mar. 8)

- 5-6 INSURED MUNICIPALS INCOME TRUST & INV QU TAX EX TR MU SR 103, 2071 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, Lisle, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-28068 - APR. 12) (BR. 14 - NEW ISSUE)
- 5-5 INSURED MUNICIPALS INCOME TRUST & INV QU TAX EX TR MU SR 104, 1071 WARRENVILLE RD, C/O VAN KAMPEN MERRITT INC, Lisle, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-28069 - APR. 12) (BR. 18 - NEW ISSUE)
- 5-4 FIRST FINANCIAL BANCORP /OH/, 102 S MAIN ST, MONROE, OH 45050 (513) 867-4700 - 193,524 (\$3,869,000) COMMON STOCK. (FILE 33-28071 - APR. 12) (BR. 1)
- 5-2 ADVANTA CORP, FOULKSTONE PLZ, 1403 FOULK RD, WILMINGTON, DE 19803 (302) 478-9740 - 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 33-28072 - APR. 12) (BR. 11)
- 5-1 TCF SOUND INTERNATIONAL INC, 3754 E LASALLE, COLORADO SPRINGS, CO 80909 (719) 597-3388 - 413,000 (\$1,239,000) COMMON STOCK. 10,000 (\$5,000) COMMON STOCK. 15,000 (\$18,750) COMMON STOCK. 40,000 (\$80,000) COMMON STOCK. 225,000 (\$225,000) COMMON STOCK. (FILE 33-28077 - APR. 12) (BR. 12)
- 5-2 SURGICAL CARE AFFILIATES INC, 4515 HARDING RD STE 300, NASHVILLE, TN 37205 (615) 385-3541 - 1,860,241 (\$17,727,061) COMMON STOCK. (FILE 33-28083 - APR. 12) (BR. 6)
- 5-8 AMCAST INDUSTRIAL CORP, 3931 S DIXIE AVE, KETTERING, OH 45439 (513) 298-5251 - 120,000 (\$1,417,200) COMMON STOCK. (FILE 33-28084 - APR. 12) (BR. 5)
- 5-4 BEST PRODUCTS CO INC, PARHAM RD AT INTERSTATE 95, RICHMOND, VA 23227 (804) 261-2000 - 133,495,000 (\$133,495,000) STRAIGHT BONDS. (FILE 33-28090 - APR. 13) (BR. 2)
- 5-3 HEILIG MEYERS CO, 2235 STAPLES MILL RD, RICHMOND, VA 23230 (804) 359-9171 - 375,000 (\$7,406,250) COMMON STOCK. (FILE 33-28095 - APR. 13) (BR. 1)
- 5-8 MONTANA POWER CO /MT/, 40 E BROADWAY, BUTTE, MT 59701 (406) 723-5421 - 150,000 (\$5,212,500) COMMON STOCK. (FILE 33-28096 - APR. 13) (BR. 9)
- 5-3 MINNESOTA MINING & MANUFACTURING CO, 3M CENTER, ST PAUL, MN 55144 (612) 733-1110 - 48,600 (\$3,262,275) COMMON STOCK. (FILE 33-28100 - APR. 14) (BR. 8)
- 5-3 CONCORD COMPUTING CORP, 500 W CUMMINGS PARK STE 3500, WOBURN, MA 01801 (617) 933-3910 - 150,000 (\$1,903,500) COMMON STOCK. (FILE 33-28101 - APR. 14) (BR. 2)
- 5-1 INTERNATIONAL CMOS TECHNOLOGY INC, 2125 LUNDY AVE, SAN JOSE, CA 95131 (408) 434-0678 - 575,000 (\$5,750,000) PREFERRED STOCK. 575,000 (\$600,000) PREFERRED STOCK. 21,625,000 (\$6,250,000) COMMON STOCK. (FILE 33-28114 - APR. 13) (BR. 3)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 TEXAS AMERICAN GROUP INC, 7441 MARVIN D LOVE FRWY STE 2000, DALLAS, TX 75237  
(214) 780-8000 - 1,585,733 (\$792,866.50) COMMON STOCK. (FILE 33-27057-FW - FEB. 17)  
(BR. 11 - NEW ISSUE)
- S-18 GOLDEN STATE CAPITAL CORP /DE/, 12555 HIGH BLUFF DR, STE 150, SAN DIEGO, CA 92130  
(619) 259-0100 - 10,000,000 (\$1,000,000) COMMON STOCK. 1,000,000 (\$120,000)  
COMMON STOCK. 11,000,000 (\$1,375,000) COMMON STOCK. 11,000,000 (\$2,750,000)  
COMMON STOCK. 3,000,000 (\$300) WARRANTS, OPTIONS OR RIGHTS. UNDERWRITER:  
RCL NORTHWEST INC. (FILE 33-27140-LA - FEB. 21) (BR. 11 - NEW ISSUE)
- S-18 STANFORD CAPITAL CORP, 3033 N 44TH ST STE 340, PHOENIX, AZ 85018 (602) 840-1957 -  
690,000 (\$3,450,000) COMMON STOCK. 690,000 (\$1,897,500) COMMON STOCK. 60,000 (\$60)  
WARRANTS, OPTIONS OR RIGHTS. 60,000 (\$360,000) COMMON STOCK. 60,000 (\$198,000)  
COMMON STOCK. UNDERWRITER: CENPAC SECURITIES CORP. (FILE 33-27141-LA - FEB. 21)  
(BR. 12 - NEW ISSUE)
- S-18 ARIZONA LAND OPPORTUNITY FUND LIMITED PARTNERSHIP, 2255 N 44TH ST STE 330, PHOENIX,  
AZ 85008 (602) 220-9100 - 3,500 (\$7,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE  
33-27142-LA - FEB. 16) (BR. 5 - NEW ISSUE)
- S-8 PERPETUAL FINANCIAL CORP, 2034 EISENHOWER AVE, ALEXANDRIA, VA 22314 (703) 838-6000  
- 1,359,000 (\$11,126,812) COMMON STOCK. (FILE 33-27195 - MAR. 01) (BR. 2)
- N-1A STRONG U S GOVERNMENT RESERVES INC, 100 HERITAGE RESERVE, MENOMONEE FALLS, WI 53051  
(414) 359-3400 - INDEFINITE SHARES. UNDERWRITER:  
STRONG CORNELIUSON CAPITAL MANAGEMENT IN. (FILE 33-27198 - MAR. 01) (BR. 18  
- NEW ISSUE)
- S-18 FRANKLIN FINANCIAL CORP /TN/, 230 PUBLIC SQ, FRANKLIN, TN 37064 (615) 790-7400 -  
1,380,000 (\$6,900,000) COMMON STOCK. (FILE 33-27232-A - FEB. 22) (BR. 1 - NEW ISSUE)
- S-1 CARLISLE PLASTICS INC, ONE UNION ST, BOSTON, MA 02108 (617) 523-0920 - 100,000,000  
(\$100,000,000) STRAIGHT BONDS. UNDERWRITER: FIRST BOSTON CORP. (FILE 33-27262 -  
FEB. 27) (BR. 5 - NEW ISSUE)
- S-6 VAN KAMPEN MERRITT INTERNATIONAL BOND INCOME TRUST SERIES 17, 1001 WARRENVILLE RD,  
C/O VAN KAMPEN MERRITT INC, Lisle, IL 60532 - 1,000 (\$1,100,000) UNIT INVESTMENT TRUST.  
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-27277 - MAR. 02) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST & INV QU TAX EX TR MU SER 98, 1001 WARRENVILLE RD,  
C/O VAN KAMPEN MERRITT INC, Lisle, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST.  
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-27278 - MAR. 02) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST & INV QU TAX EX TR MU SR 100, 1001 WARRENVILLE RD,  
C/O VAN KAMPEN MERRITT INC, Lisle, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST.  
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-27279 - MAR. 02) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST & INV QUA TAX EX TR MU SE 99, 1001 WARRENVILLE RD,  
C/O VAN KAMPEN MERRITT INC, Lisle, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST.  
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-27280 - MAR. 02) (BR. 18 - NEW ISSUE)
- S-1 GRACE DEVELOPMENT INC, 6848 S REVERE PKWY, STE 170, ENGLEWOOD, CO 80112  
(303) 792-9312 - 1,000,000 COMMON STOCK. (FILE 33-27316 - FEB. 27) (BR. 12  
- NEW ISSUE)
- S-1 1ST RESIDENTIAL MORTGAGE INC, 600 N MOUNTAIN AVE, STE A-200, UPLAND, CA 91786  
(714) 946-2802 - 6,000,000 (\$18,000,000) COMMON STOCK. 1,300,000 (\$3,900,000)  
COMMON STOCK. 100,000 (\$300,000) COMMON STOCK. (FILE 33-27320 - FEB. 28) (BR. 12  
- NEW ISSUE)

- S-6 AMERICAN MUNICIPAL TRUST INVESTMENT GRADE PORTFOLIO SERIES 2,  
4350 WEST CYPRESS ST STE 550, P O BOX 23928, TAMPA, FL 33623 - 1,000 (\$1,100,000)  
UNIT INVESTMENT TRUST. DEPOSITOR: AMERICAN MUNICIPAL SECURITIES INC. (FILE 33-27322 -  
FEB. 26) (BR. 17 - NEW ISSUE)
- S-8 PRIAM CORP, 20 W MONTAGUE EXPRWY, SAN JOSE, CA 95134 (408) 434-9300 (FILE 33-27336 -  
MAR. 02) (BR. 13)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 500, 333 W WACKER DR, C/O JOHN NUVEEN & CO INC,  
CHICAGO, IL 60606 DEPOSITOR: NUVEEN JOHN & CO. (FILE 33-27337 - MAR. 03) (BR. 22  
- NEW ISSUE)
- S-8 CSX CORP, ONE JAMES CNTR, P O BOX C-32222, RICHMOND, VA 23219 (804) 782-1400 (FILE  
33-27338 - MAR. 03) (BR. 13)
- S-3 IBM CREDIT CORP, 290 HARBOR DR, P O BOX 10399, STAMFORD, CT 06904 (203) 352-5100  
(FILE 33-27339 - MAR. 03) (BR. 13)
- S-8 KYSDR INDUSTRIAL CORP/MI, ONE MADISON AVE, CADILLAC, MI 49601 (616) 779-2200 -  
820,513 (\$15,230,772.56) COMMON STOCK. (FILE 33-27360 - MAR. 01) (BR. 10)

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK OWNED                         | FORM         | EVENT DATE | SHARES (000'S) OWNED | CUSIP PRIOR%     | FILING STATUS |
|---|--------------|------------|----------------------|------------------|---------------|
| ACCEPTANCE INC HLDG INC<br>STONERIDGE RECORDED INC    | COM<br>13D   | 3 7 89     | 81<br>4.7            | 00430910<br>4.7  | UPDATE        |
| AMERICAN CAP CORP<br>KANTER JOSEPH H ET AL            | COM<br>13D   | 3 3 89     | 1,221<br>6.8         | 02489810<br>6.8  | UPDATE        |
| CIP CORP<br>NIEHOFF PATRICIA W                        | COM<br>13D   | 3 7 89     | 883<br>52.6          | 12553510<br>31.8 | UPDATE        |
| CARVER CORP MACH<br>EMERGING GROWTH PARTNER ET AL     | COM<br>13D   | 9 30 88    | 160<br>4.7           | 14688110<br>5.8  | UPDATE        |
| CHARTER CRELLIN INC<br>GTC NEUF PLASTICS HLDG CORP    | COM<br>14D-1 | 2 7 89     | 0<br>0.0             | 16120210<br>0.0  | NEW           |
| CHASE MANHATTAN CORP<br>STATE OF MICH STATE TREASURER | COM<br>13D   | 2 28 89    | N/A<br>N/A           | 16161010<br>4.9  | UPDATE        |
| CHEYENNE SOFTWARE INC<br>FREEMAN JAY ET AL            | COM<br>13D   | 3 7 89     | N/A<br>N/A           | 16688810<br>8.1  | UPDATE        |
| EVANS & SUTHERLAND COMPUTER<br>HARRIS ASSOCIATES INC  | COM<br>13D   | 3 1 89     | 948<br>11.3          | 29909610<br>10.0 | UPDATE        |
| FINANCIAL NEWS COMPOSITE IND COM<br>ARBOD ASSOC       | COM<br>13D   | 3 7 89     | 204<br>6.3           | 31791110<br>0.0  | NEW           |

| NAME AND CLASS OF STOCK/OWNER                       | FORM | EVENT DATE | SHRS (000) %OWNED | CUCIP PRIOR%  | FILING STATUS           |
|---|------|------------|-------------------|---------------|-------------------------|
| FIRST MONTAUK FINL<br>DEBLASIO BONIFACE             | COM  | 13D        | 3/ 7/89           | 263<br>8.3    | 32100610<br>0.0 NEW     |
| GULF REC & CHEM CORP<br>INOCO PLC ET AL             | COM  | 13D        | 3 7/89            | 3,160<br>33.9 | 40249610<br>33.9 UPDATE |
| HCM INC<br>CIPHER DATA PROD ET AL                   | COM  | 14D-1      | 3 1 89            | 1,231<br>24.8 | 40414010<br>0.0 NEW     |
| HARLEY DAVIDSON INC<br>HARRIS ASSOCIATES INC        | COM  | 13D        | 3 1 89            | 582<br>6.7    | 41282210<br>5.6 UPDATE  |
| HORIZON HEALTHCARE CORP<br>NATIONAL MED ENTERPRISES | COM  | 13D        | 2/27 89           | 1,081<br>16.2 | 44099210<br>0.0 NEW     |
| ILLINOIS TOOL WKS INC<br>SMITH EDWARD BYRON JP      | COM  | 13D        | 3 7/89            | 3,666<br>7.1  | 45230810<br>7.1 UPDATE  |
| INFOTECHNOLOGY INC<br>AVACUC PARTNERS               | COM  | 13D        | 3 7/89            | 678<br>9.3    | 45679810<br>8.0 UPDATE  |
| KYSOR INDL CORP DEL<br>KYCOR INDUSTRIAL CORP ECOT   | COM  | 13D        | 3/ 7/89           | 1,209<br>19.2 | 50156610<br>5.7 UPDATE  |
| LVI GROUP INC<br>GAMCO INVESTORS INC ET AL          | COM  | 13D        | 3 3 89            | 4,116<br>20.8 | 50243910<br>19.5 UPDATE |
| LEICUPE TECHNOLOGY INC<br>TENZER MICHAEL L          | COM  | 13D        | 3/ 2/89           | 1,496<br>27.7 | 52591410<br>0.0 NEW     |
| MICHAELS J INC<br>TWEEDY BROWNE CO L P ET AL        | COM  | 13D        | 3 7/89            | 89<br>10.1    | 59408310<br>5.6 UPDATE  |
| NORTHERN TP CORP<br>SMITH EDWARD BYRON JP           | COM  | 13D        | 3 7/89            | 859<br>5.2    | 66585910<br>5.2 UPDATE  |
| PANNILL KNITTING INC<br>SARA LEE CORP               | COM  | 13D        | 3/ 6/89           | 7,500<br>50.0 | 69850010<br>0.0 UPDATE  |
| PAPY OHIO INDC INC-OHIO<br>CRAMFORD EDWARD F ET AL  | COM  | 13D        | 3/ 7/89           | 282<br>5.0    | 70067710<br>5.0 UPDATE  |
| SPS TECHNOLOGIES INC<br>GAMCO INVESTORS INC ET AL   | COM  | 13D        | 3 3 89            | 1,240<br>25.0 | 78462610<br>26.0 UPDATE |
| SCHERER R P CORP<br>CILLUFFO FRANK J A ET AL        | COM  | 13D        | 3 7/89            | 579<br>6.4    | 80652710<br>5.5 UPDATE  |
| SERVICO INC<br>NATL REALTY                          | COM  | 13D        | 2/28/89           | 538<br>14.2   | 81764710<br>14.0 UPDATE |
| SERVICO INC<br>SOUTHMARK CORP                       | COM  | 13D        | 2/28 89           | 2,389<br>62.9 | 81764710<br>49.0 UPDATE |
| SOUTHMARK CORP<br>SYNTEK INVESTMENT PROP ET AL      | COM  | 13D        | 3/ 7/89           | 8,123<br>18.0 | 84454410<br>20.6 UPDATE |
| TDA INDUSTRIES INC<br>FIELDS DOUGLAS P ET AL        | COM  | 13D        | 3/ 8/89           | 730<br>81.7   | 87234520<br>28.7 UPDATE |
| WEIGH TRONIX INC<br>STAVELEY INC ET AL              | COM  | 13D        | 3/ 1/89           | 1,469<br>55.6 | 94862410<br>54.2 UPDATE |

# RECENT 8K FILINGS

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| NAME OF ISSUER                           | STATE<br>CODE | 8K ITEM NO. |   |   |          |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|----------|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4        | 5 | 6 | 7 |          |         |
| ACUNET CORPORATION                       | DE            |             |   |   | X        |   |   |   | 02/06/89 |         |
| ADDSCO INDUSTRIES INC                    | DE            |             |   |   | X        |   | X |   | 02/28/89 |         |
| ARIZONA LAND INCOME CORP                 | AZ            |             |   |   | X        |   |   |   | 03/01/89 |         |
| ARVIN INDUSTRIES INC                     | IN            |             |   |   | X        |   | X |   | 02/23/89 |         |
| AUTOMATED LANGUAGE PROCESSING SYSTEMS IN | UT            |             |   |   | NO ITEMS |   |   |   | 03/01/89 |         |
| AUTOSPA AUTOMALLS INC                    | DE            |             |   |   | X        |   |   |   | 02/01/89 |         |
| AZATECH INC                              | NY            |             |   |   | X        |   |   |   | 01/31/89 |         |
| BANKERS TRUST NEW YORK CORP              | NY            |             |   |   | X        |   |   |   | 03/01/89 |         |
| BEEHIVE INTERNATIONAL                    | UT            |             |   |   | X        |   | X |   | 02/22/89 |         |
| BELMORAL MINES LTD /ADR/                 |               |             |   |   | NO ITEMS |   |   |   | 01/05/89 |         |
| BORG WARNER CORP /DE/                    | DE            |             |   |   | X        |   | X |   | 02/27/89 |         |
| CALIFORNIA AMPLIFIER INC                 | CA            |             |   | X |          |   | X |   | 02/13/89 |         |
| CATALYST ENERGY CORP                     | LA            |             |   |   | X        |   |   |   | 02/15/89 |         |
| CHASE MANHATTAN CORP                     | DE            |             |   |   | X        |   |   |   | 02/15/89 |         |
| CHEVRON CORP                             | DE            |             |   |   | X        |   | X |   | 03/02/89 |         |
| CITIZENS UTILITIES CO                    | DE            |             |   |   |          |   | X |   | 03/01/89 |         |
| COLLATERALIZED AUTOMOBILE RECEIVABLES TR | DE            |             |   |   | X        |   |   |   | 02/15/89 |         |
| COLLATERALIZED MORTGAGE OBLIGATION TRUST |               |             |   |   | X        |   | X |   | 02/28/89 |         |
| COMTEX SCIENTIFIC CORP                   | NY            |             |   |   | X        |   |   |   | 02/27/89 |         |
| CORNERSTONE MORTGAGE INVESTMENT GROUP II | DE            |             |   |   | X        |   | X |   | 02/24/89 |         |
| COSTAR CORP                              | MA            |             |   |   | X        |   | X |   | 02/13/89 |         |
| CROWLEY FINANCIAL SERVICES INC           | FL            |             |   |   | X        |   |   |   | 02/28/89 |         |
| CVB FINANCIAL CORP                       | CA            |             |   |   | X        |   |   |   | 02/15/89 |         |
| DAHLBERG INC                             | MN            |             |   |   | X        |   |   |   | 02/17/89 |         |
| DELAWARE OTSEGO CORP                     | NY            |             |   | X |          |   | X |   | 02/13/89 |         |
| DENTO MED INDUSTRIES INC                 | NY            |             |   |   |          |   | X |   | 02/22/89 |         |
| DIVERSIFIED CAPITAL CORP /DE/            | DE            |             |   |   |          |   | X | X | 01/20/89 |         |
| DYNATREND INC                            | DE            |             | X |   |          |   |   |   | 02/28/89 |         |
| EARTH SEARCH SCIENCES INC                | UT            |             |   |   | X        |   |   |   | 02/16/89 |         |
| EASTGROUP PROPERTIES                     | MD            |             | X |   |          |   | X |   | 02/13/89 |         |
| ENRON CORP                               | DE            |             |   |   |          |   | X |   | 03/01/89 |         |
| ENVIRONMENTAL SYSTEMS CO /DE/            | DE            |             |   |   | X        |   | X |   | 02/19/89 |         |
| EXAR COMMUNICATIONS INC                  | NV            |             |   |   | X        |   |   |   | 02/24/89 |         |
| FIREMANS FUND MORTGAGE CORP              | DE            |             |   |   |          |   | X |   | 02/27/89 |         |
| FIRST COLORADO FINANCIAL CORP            | DE            |             |   |   | X        |   | X |   | 02/24/89 |         |
| FIRST CONTINENTAL REAL ESTATE INVESTMENT | TX            |             |   |   |          |   | X |   | 02/24/89 |         |
| FIRST FARWEST CORP                       | OR            |             |   | X |          |   | X |   | 02/27/89 |         |
| FIRST FINANCIAL CORP /WI/                | WI            |             |   |   | X        |   |   |   | 02/16/89 |         |
| FIRST MIDWEST BANCORP INC                | DE            |             |   |   | X        |   | X |   | 02/15/89 |         |
| FLEET NORSTAR FINANCIAL GROUP INC        | RI            |             |   |   | X        |   | X |   | 02/27/89 |         |
| FORD MOTOR CREDIT CO                     | DE            |             |   |   |          |   | X | X | 03/02/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND I  | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND II | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND II | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND IV | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND IX | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND V  | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND VI | VA            |             |   |   | X        |   |   |   | 01/13/89 |         |

| NAME OF ISSUER                           | STATE<br>CODE | BK ITEM NO. |   |   |          |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|----------|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4        | 5 | 6 |          |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND VI | VA            |             |   |   | X        |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND VI | VA            |             |   |   | X        |   |   | 01/13/89 |         |
| GEMINI EQUIPMENT PARTNERS INCOME FUND X  | VA            |             |   |   | X        |   |   | 01/13/89 |         |
| GEMINI 87-88 XI L P                      | VA            |             |   |   | X        |   |   | 01/13/89 |         |
| GEMINI 87-88 XII L P                     | VA            |             |   |   | X        |   |   | 01/13/89 |         |
| GENERAL HOUSEWARES CORP                  | DE            |             |   |   | X        | X |   | 02/17/89 |         |
| GENERATION 5 TECHNOLOGY INC /CO/         | CO            | X           |   |   |          |   |   | 02/22/89 |         |
| GIBRALTAR FINANCIAL CORP                 | DE            |             |   |   | X        | X |   | 02/24/89 |         |
| GREAT WESTERN BANK                       | DE            |             |   |   | NO ITEMS |   |   | 01/31/89 |         |
| GREAT WESTERN BANK                       | DE            |             |   |   | NO ITEMS |   |   | 03/01/89 |         |
| GREENWICH PHARMACEUTICALS INC            | DE            |             |   |   | X        |   |   | 02/26/89 |         |
| HABEN INDUSTRIES INC                     | DE            |             |   |   | X        | X |   | 11/17/88 |         |
| HAMMER COMPUTER SYSTEMS INC              | CO            |             |   |   | X        | X |   | 02/27/89 |         |
| HOUSTON INDUSTRIES INC                   | TX            |             |   |   | X        | X |   | 02/15/89 |         |
| HOUSTON LIGHTING & POWER CO              | TX            |             |   |   | X        |   |   | 02/22/89 |         |
| IMPERIAL SAVINGS ASSO MRT PASS THR CERT  |               |             |   |   |          | X |   | 02/14/89 |         |
| IMPERIAL SAVINGS ASSOC MOR PAS THR CER C | CA            |             |   |   |          | X |   | 02/14/89 |         |
| IMPERIAL SAVINGS ASSOC MORT PASS THR CER | CA            |             |   |   |          | X |   | 02/14/89 |         |
| IMPERIAL SAVINGS ASSOC MORT PASS THR CER | CA            |             |   |   |          | X |   | 02/14/89 |         |
| IMPERIAL SAVINGS ASSOCIATION MORT PAS TH | CA            |             |   |   |          | X |   | 02/14/89 |         |
| INTERMARK GAMING INTERNATIONAL INC/DE/   | DE            |             |   |   | X        |   |   | 02/17/89 |         |
| INTERNATIONAL PAPER CO /NEW/             | NY            |             |   |   | X        | X |   | 02/23/89 |         |
| INTERSTATE GENERAL CO L P                | DE            |             |   |   | X        | X |   | 02/15/89 |         |
| INTRAMERICAN OIL & MINERALS INC          | PA            |             |   |   |          | X |   | 12/06/88 |         |
| JAMCO LTD                                | CO            |             |   |   | X        |   |   | 02/24/89 |         |
| KERR GLASS MANUFACTURING CORP            | DE            |             |   |   | X        |   |   | 01/09/89 |         |
| KIDDER PEABODY ACCEPTANCE CORP I         | DE            | X           |   |   |          | X |   | 02/16/89 |         |
| LOS ANGELES SECURITIES GROUP             | UT            |             |   |   | X        | X |   | 02/24/89 |         |
| LOUISVILLE GAS & ELECTRIC CO /KY/        | KY            |             |   |   | X        |   |   | 02/28/89 |         |
| MALIBU GRAND PRIX CORP                   | DE            |             |   |   | X        | X |   | 01/30/89 |         |
| MERCK & CO INC                           | NJ            |             |   |   | X        | X |   | 02/28/89 |         |
| MIDWEST FINANCIAL CORP ONE               | DE            |             | X |   | X        |   |   | 02/13/89 |         |
| MONTCLAIR BANCORP INC                    | DE            |             |   |   | X        | X |   | 03/01/89 |         |
| MOORE MEDICAL CORP                       | CT            |             |   |   | X        |   |   | 02/21/89 |         |
| MORTON THIOKOL INC                       | DE            |             |   |   | X        |   |   | 02/27/89 |         |
| NCNB TEXAS NATIONAL BANK                 |               |             |   |   | X        | X |   | 02/15/89 |         |
| NEW WORLD ENTERTAINMENT LTD              | DE            | X           |   |   |          | X |   | 02/24/89 |         |
| NEWHALL LAND & FARMING CO /CA/           | CA            |             |   |   | X        | X |   | 02/24/89 |         |
| NORTHWESTERN NATIONAL LIFE INSURANCE CO  | MN            |             |   |   | X        |   |   | 02/28/89 |         |
| NWL COMPANIES INC                        | DE            |             |   |   | X        |   |   | 02/28/89 |         |
| PEOPLES HERITAGE FINANCIAL GROUP INC     | ME            |             |   |   | X        | X |   | 02/21/89 |         |
| PHILADELPHIA ELECTRIC CO                 | PA            |             |   |   | X        |   |   | 02/28/89 |         |
| PLANTRONICS INC                          | DE            |             |   |   | X        | X |   | 03/01/89 |         |
| POLYMER INTERNATIONAL CORP               | DE            |             |   |   | X        | X |   | 02/16/89 |         |
| POTENCO INC                              | NV            |             |   |   | X        |   |   | 02/28/89 |         |
| PRECISION CASTPARTS CORP                 | OR            |             |   |   | X        | X |   | 02/24/89 |         |
| REALMARK PROPERTY INVESTORS LIMITED PART | DE            | X           |   |   |          | X |   | 02/24/89 |         |
| REGAL INTERNATIONAL INC                  | DE            |             |   |   | X        |   |   | 03/01/89 |         |
| REPUBLIC CAPITAL GROUP INC               | WI            |             |   |   | X        | X |   | 02/20/89 |         |
| ROXY VENTURES INC                        | CO            |             |   |   | X        | X |   | 09/29/88 | AMEND   |
| RPS HOUSING FUND I L P                   | DE            |             |   |   | X        | X |   | 02/24/89 |         |
| SAN CLEMENTE BANCORP                     | CA            |             |   |   | X        | X |   | 02/09/89 |         |
| SAN JUAN RACING ASSOCIATION INC          |               |             |   |   | X        | X |   | 02/15/89 |         |
| SCHULT HOMES CORP                        | IN            |             |   |   | X        | X |   | 02/03/89 |         |
| SECURITY PACIFIC CREDIT CORP             | DE            |             |   |   | X        |   |   | 02/23/89 |         |
| SOUTHERN CALIFORNIA EDISON CO            | CA            |             |   |   |          | X |   | 02/28/89 |         |
| STONE CONTAINER CORP                     | DE            |             |   |   | X        | X |   | 01/26/89 |         |
| TEXON ENERGY CORP                        | TX            |             |   |   | X        |   |   | 02/24/89 |         |
| TIGER INTERNATIONAL INC                  | DE            | X           |   |   |          | X |   | 02/15/89 |         |
| TOUCH INDUSTRIES INC                     | GA            |             |   |   | X        | X | X | 02/17/89 |         |
| UNITED BANKERS INC                       | DE            | X           |   |   |          | X |   | 02/09/89 |         |
| VEGAS CHIPS INC                          | DE            | X           |   |   |          | X |   | 02/02/89 |         |
| VEHICLE EQUIPMENT LEASING COMPANY INC    |               |             |   |   | X        | X |   | 02/15/89 |         |
| VIACOM INC                               | OH            | X           |   |   | X        | X |   | 01/04/89 |         |
| VIACOM INTERNATIONAL INC /OH/            | OH            | X           |   |   | X        | X |   | 01/04/89 |         |
| WASHINGTON BANCORPORATION                | DE            |             |   |   | X        |   |   | 02/24/89 |         |
| WPL HOLDINGS INC                         | WI            |             |   |   | X        | X |   | 02/22/89 |         |
| YANKEE COMPANIES INC                     | MD            | X           |   |   |          | X |   | 02/13/89 |         |