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SEC NEWS DIGEST

Issue 2001-210

October 31, 2001

COMMISSION ANNOUNCEMENTS

COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Open meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

OPEN MEETING – WEDNESDAY, NOVEMBER 7, 2001 – 10:00 A.M.

The subject matter of the open meeting scheduled for Wednesday, November 7, 2001, will be:

1. The Commission will consider whether to propose amendments to Rule 17a-8 under the Investment Company Act of 1940. Rule 17a-8 permits certain affiliated investment companies to merge without obtaining individual exemptive relief. The amendments to Rule 17a-8 would expand the types of mergers that can proceed under the rule. The amendments would also add to the rule certain new conditions designed to protect the interests of fund shareholders.

For further information, please contact Hester Peirce, Attorney, Division of Investment Management, at (202) 942-0690.

2. The Commission will consider a recommendation to issue a concept release regarding actively managed exchange-traded funds. Currently, all exchange-traded funds are based on various equity market indices. The concept release would seek comment from a wide range of parties on potential issues raised by the prospect of an exchange-traded fund with an actively managed portfolio.

For further information, please contact David B. Smith, Associate Director, Division of Investment Management at (202) 942-0525, Nadya B. Roytblat, Assistant Director, Division of Investment Management at (202) 942-0564, or Michael W. Mundt, Branch Chief, Division of Investment Management at (202) 942-0564.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

FEE RATE ADVISORY #4

The continuing resolution funding the Securities and Exchange Commission for fiscal 2002 since October 1, 2001 has been extended further through November 16, 2001. Therefore, the fee rate on filings made pursuant to Section 6(b) of the Securities Act of 1933 will remain at the current rate of \$250 per \$1,000,000.

The Commission will issue further notices as appropriate to keep filers and registrants informed of developments affecting the Section 6(b) fee rate. This information will be posted at the SEC's Internet web site at <http://www.sec.gov>.

Please contact the Filer Support Unit in the Office of Filings and Information Services at (202) 942-8900 if you have any questions. (Press Rel. 2001-126)

ENFORCEMENT PROCEEDINGS

MARK SNADER D/B/A E-HIGHYIELDS.COM AND THE HIGH YIELD CLUB BARRED

October 30, the Commission barred Mark Steven Snader, 45, of Stevens, Pennsylvania, from association with any securities broker or dealer, pursuant to an order instituting, and simultaneously settling, public administrative proceedings against him. The proceedings were based upon the agreed entry of an injunction against Snader in a civil fraud action filed by the Commission that alleged he violated Section 17(a) of the Securities Act of 1933 and Sections 10(b) and 15(a) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

The Commission's complaint alleged that Snader conducted unregistered and fraudulent brokerage activities over the Internet *via* his website e-highyields.com and through The High Yield Club. According to the Commission, Snader promoted various high-yield investments, through false and misleading statements made about the scope, nature and expertise of his brokerage business. Snader falsely claimed, among other things, that he had a team with 25 years of experience and that his recommendations were based on extensive due diligence. In reality, there was no team and Snader, who was the sole operator of e-highyields, simply repeated the claims of issuers. Moreover, Snader had virtually no investment experience or acumen. Additionally, Snader failed to disclose that he was convicted of a felony in 1997 and declared bankruptcy in 2000. For further information see also (SEC v. Mark Steven Snader, Civil Action No. D-3:01-CV-2062-X, USDC, NDTX, Dallas Div.). (Rel. 34-45002; File No. 3-10628)

MICHAEL BECKER PERMANENTLY ENJOINED FROM SECURITIES VIOLATIONS

The Commission announced today that on October 11 the Honorable Clarence Cooper of the United States District Court for the Northern District of Georgia entered an order of permanent injunction and other relief against Michael J. Becker (Becker) of Marietta, Georgia for engaging in violations of the antifraud provisions of the securities laws during his tenure as chief financial officer of Vista 2000, Inc. (Vista), a now defunct consumer products company formerly headquartered in Roswell, Georgia. Judge Cooper's order permanently enjoined Becker from further violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder. Becker was ordered to pay disgorgement and pre-judgment interest in amounts to be resolved upon motion of the Commission at a later date. Becker consented to the entry of the order, without admitting or denying the allegations of the Commission's complaint.

The Commission previously sued Becker and three other men for various violations of the federal securities law. The other defendants are Arnold E. Johns, Jr. of Atlanta, Georgia, Alan T. Davis of Gainesville, Georgia and Richard P. Smyth of Fernandina Beach, Florida. Like Becker, Johns and Smyth are former officers of Vista. Johns was a director of Vista and its president, and Smyth was Vista's founder and chief executive officer. Davis was Vista's outside auditor. The litigation is continuing as to Johns and Davis. Smyth was previously enjoined by separate order of the court.

The Commission's complaint alleged a wide-range of securities law violations, including that misstatements were made by Vista in filings with the Commission. The allegations against Becker included that he recorded various transactions at Vista in contravention of generally accepted accounting principles, and engaged in insider trading in Vista securities at a time when Vista's revenues, income, earnings per share, and assets were overstated. [SEC v. Richard P. Smyth, Arnold E. Johns, Jr., Michael J. Becker and Alan T. Davis, Civil Action File No. 1:01-CV-1344, N.D. Ga.] (LR-17211)

SEC OBTAINS SETTLED FINAL JUDGMENT AGAINST ANOTHER DEFENDANT IN XYLOGICS INSIDER TRADING CASE

On October 26, the United States District Court for the Northern District of California entered a settled final judgment against John H. Oh, one of the remaining defendants in the Commission's insider trading case arising from unlawful purchases of Xylogics, Inc. stock in September 1995. The final judgment permanently enjoins Oh from violating the antifraud provisions of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and requires him to disgorge \$47,570.31 in illicit profits and interest. Based on Oh's documented financial condition, however, the Court waived payment of Oh's disgorgement obligation beyond \$36,000, permitted him to pay his obligation in installments over a period of one year, and did not impose a civil penalty. Oh consented to entry of the final judgment without admitting or denying the allegations in the Commission's complaint.

The Commission's complaint, originally filed in June 2000 and later amended in October 2000, charges 12 people with insider trading and tipping before a September 6, 1995 announcement that Bay Networks, Inc. would acquire Xylogics. It alleges that defendant Michael R. Hendrix, while working for Bay Networks, learned that Bay Networks would acquire Xylogics, and then traded heavily in Xylogics stock and tipped numerous friends and former colleagues who also traded. According to the complaint, one of Hendrix's tippees, San H. Mai, in turn tipped Oh, who immediately bought \$100,000 worth of Xylogics stock – more than twice his annual salary at the time – on margin and with money borrowed from his friend and co-defendant Nick S. Park. After Bay Networks' acquisition of Xylogics became public the following day, Oh realized \$34,437.50 in profits from his purchase of Xylogics stock. (See Litigation Release No. 16591/June 15, 2000.)

With this settlement, the Commission has now obtained final judgments against 8 of the 12 defendants in the case. The other defendants who previously settled with the Commission are Jeffrey S. Summerlin, James A.S. Lewis, San H. Mai, Bich Thi Hoang, Linh Ngoc Vu, Timothy J. Helms, and Raymond L. Helms. Details regarding those settlements can be found in Litigation Release Nos. 16591 (June 15, 2000) and 16999 (May 14, 2001). The four remaining defendants – Michael R. Hendrix, Douglas S. Sawamura, Craig A. Larrew, and Nick S. Park – continue to contest the Commission's allegations. [SEC v. Michael R. Hendrix, et al., Civ. Action No. C-00-20655 (JW) (N.D. Cal.)] (LR-17212)

TEN INDIVIDUALS AGREE TO BE ENJOINED AND TO PAY MORE THAN \$2.5 MILLION TO SETTLE INSIDER TRADING ACTION

The Commission announced today that it filed and simultaneously settled a civil action in the U.S. District Court for the Southern District of Texas (Houston Division) against ten individuals for insider trading. The Commission's complaint alleges that William A. Rothrock, IV, W. Gregory Orr, Logan L. Nichols, Glenn A. Oakes, Clarence L. Miller,

Stephen P. Gauthier, Richard M. Miller, Darrell L. Goodreau, Matthew E. Miller, and Timothy D. Trahan engaged in unlawful insider trading and/or tipping in advance of USA Waste's acquisition of American Waste Services, Inc. announced in February, 1998, and (2), five weeks later, Rothrock and seven of the individuals engaged in illegal insider trading and/or tipping in advance of USA Waste's merger with Waste Management, Inc. announced in March, 1998. Without admitting or denying the allegations against them, the defendants agreed to be enjoined, to pay disgorgements with prejudgment interest totaling \$952,361.81 and to pay combined penalties of \$1,615,129.12. [SEC v. William A. Rothrock, IV, et al., Civil Action No. H013732, MH, S.D. Tex.] (LR-17213)

HOLDING COMPANY ACT RELEASES

NATIONAL GRID GROUP PLC, ET AL.

A notice has been issued giving interested persons until November 26, 2001, to request a hearing on a proposal by National Grid Group plc (National Grid), a registered holding company, New National Grid plc, its nonutility subsidiary, National Grid (US) Holdings Limited, National Grid (US) Investments, National Grid Luxembourg 1 SARL, National Grid Luxembourg 2 SARL, National Grid General Partnership, National Grid Holdings Inc., National Grid USA, all registered holding company subsidiaries of National Grid, and their direct or indirect public-utility company and nonutility subsidiaries, and by Niagara Mohawk Holdings, Inc. (NiMo), a holding company exempt from regulation under Section 3(a)(1) of the Act, and its direct and indirect public-utility company and nonutility subsidiaries (collectively, Applicants). Applicants request authority: (1) to reorganize the National Grid system, by organizing New National Grid as a holding company of National Grid; (2) for New National Grid to acquire indirectly NiMo, by effecting a merger of NiMo with Grid Delaware, Inc., a wholly owned direct subsidiary of New National Grid plc; (3) to issue and sell securities to finance the proposed acquisition and for other corporate business; and (4) to effect related transactions. (Rel. 35-27460)

SELF-REGULATORY ORGANIZATIONS

DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$.01 par value, of Weirton Steel Corporation, effective at the opening of business on October 30, 2001. (Rel. 34-44997)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$.50 par value, and 7 1/8% Series

C Medium Notes (due May 14, 2019) of Xtra Corporation, effective at the opening of business on October 30, 2001. (Rel. 34-44998)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, no par value, of General DataComm Industries, Inc., effective at the opening of business on October 30, 2001. (Rel. 34-44999)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the 6 7/8% Exchangeable Subordinated Debentures (due July 15, 2011) and 7 3/8% Senior Notes (due January 15, 2003) of Bergen Brunswig Corporation, effective at the opening of business on October 30, 2001. (Rel. 34-45000)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the 8.50% Guaranteed Notes (due February 15, 2003) 8 5/8% Guaranteed Notes (due June 30, 2010) and 9 3/4% Guaranteed Debentures (due March 15, 2020) of Texaco Capital Incorporated and the 6 7/8% Cumulative Guaranteed Monthly Income Preferred Shares (MIPS), Series A and Cumulative Adjustable Rate Monthly Income Preferred Shares, Series B of Texaco Capital LLC, effective at the opening of business on October 30, 2001. (Rel. 34-45001)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-3 THORATEC CORP, 6035 STONERIDGE DR, PLEASANTON, CA 94588 (925) 847-8600
- 9,200,000 (\$189,980,000) COMMON STOCK. (FILE 333-72128 - OCT. 24)
(BR. 5)

S-3 KNIGHT TRANSPORTATION INC, 5601 W BUCKEYE RD, PHOENIX, AZ 85043
(602) 269-2000 - 2,875,000 (\$64,917,500) COMMON STOCK. (FILE 333-72130
-
OCT. 24) (BR. 5)

S-3 LOG ON AMERICA INC, ONE COOKSON PLACE, 6TH FLOOR, PROVIDENCE, RI
02903
(401) 549-8200 - 3,003,271 (\$750,817.75) COMMON STOCK. (FILE 333-72132
-
OCT. 24) (BR. 3)

S-8 VALLEY FORGE SCIENTIFIC CORP, 136 GREENTREE RD STE 100, OAKS, PA
19456
(610) 666-7500 - 150,000 (\$433,500) COMMON STOCK. (FILE 333-72134 -
OCT. 24) (BR. 5)

S-8 AXS ONE INC, MEADOWS OFFICE COMPLEX, 301 RT 17 NORTH, RUTHERFORD, NJ
07070 (201) 935-3400 - 1,500,000 (\$499,819) COMMON STOCK. (FILE 333-
72138
- OCT. 24) (BR. 3)

S-8 FIRST FEDERAL BANCSHARES INC /DE, 109 EAST DEPOT STREET, COLCHESTER,
IL
62326 (309) 776-3225 - 313,950 (\$4,898,747) COMMON STOCK. (FILE 333-
72140
- OCT. 24) (BR. 7)

S-8 AXS ONE INC, MEADOWS OFFICE COMPLEX, 301 RT 17 NORTH, RUTHERFORD, NJ
07070 (201) 935-3400 - 1,500,000 (\$499,819) COMMON STOCK. (FILE 333-
72142
- OCT. 24) (BR. 3)

S-8 WINMAX TRADING GROUP INC, 429 SEABREEZE BLVD STE 227, FT LAUDERDALE,
FL
33316 (954) 523-4500 - 345,000 (\$155,250) COMMON STOCK. (FILE 333-
72144 -
OCT. 24) (BR. 9)

S-3 CTS CORP, 905 W BLVD N, ELKHART, IN 46514 (219) 293-7511 - 2,000,000
(\$34,100,000) COMMON STOCK. (FILE 333-72146 - OCT. 24) (BR. 5)

S-3 WACHOVIA CORP NEW, ONE FIRST UNION CTR, CHARLOTTE, NC 28288
(704) 374-6565 - 150,000 (\$1,972,500) COMMON STOCK. (FILE 333-72150 -
OCT. 24) (BR. 7)

S-4 ECOM CORP, 2078 PROSPECTER AVE, PARK CITY, UT 84060 (435) 655-0856 -
4,800,000 (\$528,000) COMMON STOCK. (FILE 333-72152 - OCT. 24) (BR. 8)

S-8 MEDAREX INC, 707 STATE ROAD 206, PRINCETON, NJ 08540 (908) 713-6001
-
3,500,000 (\$69,650,000) COMMON STOCK. (FILE 333-72154 - OCT. 24) (BR.
1)

S-8 AMEREN CORP, 1901 CHOUTEAU AVE, MC 1370, ST LOUIS, MO 63166
(431) 723-446 - 3,000,000 (\$118,710,000) COMMON STOCK. (FILE 333-
72156 -
OCT. 24) (BR. 2)

S-3 ARTISAN COMPONENTS INC, 1195 BORDEAUX DRIVE, SUNNYVALE, CA 94089

(408) 734-5600 - 362,500 (\$2,890,937.50) COMMON STOCK. (FILE 333-72158
OCT. 24) (BR. 5)

S-3 SIERRA PACIFIC RESOURCES, PO BOX 30150, 6100 NEIL RD, RENO, NV
89511
(775) 834-4011 (FILE 333-72160 - OCT. 24) (BR. 2)

S-3 WASHINGTON POST CO, 1150 15TH ST NW, WASHINGTON, DC 20071 (202) 334-
6000
- 400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-72162 - OCT.
24)
(BR. 5)

S-3 COLLAGENEX PHARMACEUTICALS INC, 41 UNIVERSITY DRIVE, NEWTON, PA
18940
(215) 579-7388 - 964,880 (\$8,761,110) COMMON STOCK. (FILE 333-72166 -
OCT. 24) (BR. 1)

S-8 PACIFICARE HEALTH SYSTEMS INC /DE/, 3120 LAKE CENTER DRIVE, SANTA
ANA,
CA 92704 (714) 825-5200 - 1,500,000 (\$2,199,000,000) COMMON STOCK.
(FILE
333-72168 - OCT. 24) (BR. 1)

S-3 MOBILITY ELECTRONICS INC, 7955 E REDFIELD RD, SCOTTSDALE, AZ 85260
(480) 596-0061 - 3,422,313 (\$2,943,189.18) COMMON STOCK. (FILE 333-
72172 -
OCT. 24) (BR. 3)

S-8 HEARTLAND FINANCIAL USA INC, 1398 CENTRAL AVE, DUBUQUE, IA 52001
(319) 589-2000 - 150,000 (\$1,972,500) COMMON STOCK. (FILE 333-72174 -
OCT. 24) (BR. 7)

S-8 STONERIDGE INC, 9400 EAST MARKET ST, WARREN, OH 44484 (330) 856-2443
-
60,000 (\$460,800) COMMON STOCK. (FILE 333-72176 - OCT. 24) (BR. 5)

S-8 STONERIDGE INC, 9400 EAST MARKET ST, WARREN, OH 44484 (330) 856-2443
-
1,500,000 (\$11,520,000) COMMON STOCK. (FILE 333-72178 - OCT. 24) (BR.
5)

S-3 FRANKLIN RECEIVABLES LLC, 47 WEST 200 SOUTH, SUITE 500, SALT LAKE
CITY,
UT 84101 (801) 238-6700 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE
333-72180 - OCT. 24) (BR. 2)

S-8 ARCH CAPITAL GROUP LTD, 20 HORSENECK LANE, GREENWICH, CT 06830
(203) 862-4300 - 4,600,000 (\$77,050,000) COMMON STOCK. (FILE 333-72182
-
OCT. 24) (BR. 1)

S-4 CBOT HOLDINGS INC, 141 WEST JACKSON BLVD, CHICAGO, IL 60604 -
39,802,650 (\$588,849,700) COMMON STOCK. (FILE 333-72184 - OCT. 24)

(NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AMRO MORTGAGE CORP SERIES 2001- 7	DE				X	X					10/29/01	
ABRAMS INDUSTRIES INC	GA				X		X				10/25/01	
ACACIA RESEARCH CORP	DE					X	X				10/23/01	AMEND
ACE LTD	DO					X					10/25/01	
ACOLA CORP	DE	X	X		X	X	X				10/15/01	AMEND
ADELPHIA COMMUNICATIONS CORP	DE					X	X				10/19/01	
ADMIRALTY BANCORP INC	DE					X	X				10/26/01	
ALICO INC	FL					X					08/31/02	
ALLIANCE GAMING CORP	NV					X	X				10/29/01	
ALLIED WASTE INDUSTRIES INC	DE					X					10/30/01	
AMERICAN WATER WORKS CO INC	DE					X	X				10/29/01	
AMERISOURCEBERGEN CORP	DE		X				X				08/29/01	AMEND
AMSOUTH AUTO TRUST 2000-1	NY					X	X				10/15/01	
ANACOMP INC	IN			X							10/19/01	
ANTHRACITE CAPITAL INC	MD					X	X				10/29/01	
APPLEBEES INTERNATIONAL INC	DE					X			X		10/30/01	
APPLIANCE RECYCLING CENTERS OF AMER ICA INC /MN	MN					X	X				10/29/01	
APPLIANCE RECYCLING CENTERS OF AMER ICA INC /MN	MN					X	X				10/30/01	
APPROVED FINANCIAL CORP	VA				X						10/24/01	
ARCHSTONE SMITH TRUST	MD					X					10/29/01	
AREA BANCSHARES CORP	KY					X	X				10/18/01	
ARTESIAN RESOURCES CORP	DE					X					10/29/01	
ASPEN TECHNOLOGY INC /DE/	DE					X					10/25/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ASSET BACKED CERTIFICATES SERIES 20 01-BC1	DE	X										09/25/01	
ASSET BACKED CERTIFICATES SERIES 20 01-BC1	DE	X										10/25/01	
ASSISTED LIVING CONCEPTS INC	NV				X		X					10/24/01	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE	X										09/25/01	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE	X										10/25/01	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE	X										09/25/01	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE	X										10/25/01	
BALTIMORE GAS & ELECTRIC CO	MD					X						10/26/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	NC	X										10/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	NC	X										10/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-6	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-6	NC	X										10/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-8	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-8	NC	X										10/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										09/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										10/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										10/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										10/25/01	
BANC OF AMERICA COMMERCIAL MORTGAGE INC	DE				X		X					10/30/01	
BANK OF AMERICA MOR SEC INC MOR PAS S THR CER SER 2001-C	DE	X										09/25/01	
BANK OF AMERICA MOR SEC INC MOR PAS S THR CER SER 2001-C	DE	X										10/25/01	
BANK OF AMERICA MOR SEC INC MOR PAS S THROUGH CERT SER 2001-6	DE	X										09/25/01	
BANK OF AMERICA MOR SEC INC MOR PAS S THROUGH CERT SER 2001-6	DE	X										10/25/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
BANK OF AMERICA MORT SEC INC MORT P	NC	X										09/25/01	
AS THRU CERT SER 2000-A													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										10/25/01	
AS THRU CERT SER 2000-A													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										09/25/01	
ASS THR CERT SER 1999-1													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										09/25/01	
ASS THR CERT SER 1999-1													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										10/25/01	
ASS THR CERT SER 1999-1													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										10/25/01	
ASS THR CERT SER 1999-1													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										09/25/01	
ASS THR CERT SER 1999-2													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										10/25/01	
ASS THR CERT SER 1999-2													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										09/25/01	
ASS THR CERT SER 2000-6													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2000-6													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										09/25/01	
ASS THR CERT SER 2000-7													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										10/25/01	
ASS THR CERT SER 2000-7													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										09/25/01	
ASS THR CERT SER 2001-D													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2001-D													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										09/25/01	
ASS THR CERT SER 2001-1													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2001-1													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2001-10													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										09/25/01	
ASS THR CERT SER 2001-3													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2001-3													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										09/25/01	
ASS THR CERT SER 2001-5													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2001-5													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										09/25/01	
ASS THR CERT SER 2001-8													
BANK OF AMERICA MORT SEC INC MORT P	DE	X										10/25/01	
ASS THR CERT SER 2001-8													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										09/25/01	
ASS THRU CERTS SER 2000 8													
BANK OF AMERICA MORT SEC INC MORT P	NC	X										10/25/01	
ASS THRU CERTS SER 2000 8													
BANK OF AMERICA MORT SECS MORT PASS	DE	X										09/25/01	
THRU CERTS SERS 2001 B													

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		1	2	3	4	5	6	7	8	9			
BANK OF AMERICA MORT SECS MORT PASS THRU CERTS SERS 2001 B	DE	X										10/25/01	
BANK OF AMERICA MORTGAGE SEC INC MO R PS THR CER SER 2001-A	DE	X										09/25/01	
BANK OF AMERICA MORTGAGE SEC INC MO R PS THR CER SER 2001-A	DE	X										10/25/01	
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	NC	X										09/25/01	
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	NC	X										10/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE	X										09/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE	X										10/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC 2001-2	DE	X										09/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC 2001-2	DE	X										10/25/01	
BANK OF AMERICA 1999-10	NC	X										09/25/01	
BANK OF AMERICA 1999-10	NC	X										10/25/01	
BANK OF AMERICA 1999-11	NC	X										09/25/01	
BANK OF AMERICA 1999-11	NC	X										09/25/01	
BANK OF AMERICA 1999-11	NC	X										10/25/01	
BANK OF AMERICA 1999-11	NC	X										10/25/01	
BANK OF AMERICA 1999-3	NC	X										09/25/01	
BANK OF AMERICA 1999-3	NC	X										10/25/01	
BANK OF AMERICA 1999-4	DE	X										09/25/01	
BANK OF AMERICA 1999-4	DE	X										10/25/01	
BANK OF AMERICA 1999-5	NC	X										09/25/01	
BANK OF AMERICA 1999-5	NC	X										10/25/01	
BANK UNITED CORP LITIGATION CONTING ENT PAYMENTS RIGHTS TRUST	DE					X		X				10/29/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE					X		X				10/25/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES TRUST 2001-TOP4	DE					X		X				10/29/01	
BERKLEY W R CORP	DE					X		X				10/29/01	
BLUE RIVER BANCSHARES INC	IN					X		X				10/17/01	
BOEING CO	DE					X						10/26/01	
BOND SECURITIZATION LLC	DE					X		X				10/31/01	
BRITTON & KOONTZ CAPITAL CORP	MS					X		X				10/25/01	
CABOT INDUSTRIAL TRUST	MD					X		X				10/28/01	
CABOT OIL & GAS CORP	DE							X				08/16/01	AMEND
CARNIVAL CORP	DE					X						10/26/01	
CERTIFIED SERVICES INC	NV	X						X				10/30/01	
CHARMING SHOPPES INC	PA		X					X				08/16/01	AMEND
CHART INDUSTRIES INC	DE							X		X		10/29/01	
CHASE CREDIT CARD MASTER TRUST	NY					X		X				10/15/01	
CHASE CREDIT CARD MASTER TRUST	NY					X		X				10/15/01	
CHASE CREDIT CARD OWNER TRUST 2001- 5	DE					X		X				10/25/01	
CHASE FUNDING INC	NY					X		X				10/15/01	

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		1	2	3	4	5	6	7	8	9			
CHASE MANHATTAN BANK /NY/	NY					X	X					10/15/01	
CHASE MANHATTAN BANK USA	DE					X	X					10/15/01	
CHASE MANHATTAN BANK USA	DE					X	X					10/15/01	
CHASE MANHATTAN HOME EQUITY LOAN TR UST 1995-1	NY					X	X					10/15/01	
CHASE MANHATTAN MARINE OWNER TRUST 1997-A	NY					X	X					10/15/01	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE					X	X					10/15/01	
CHASE MORTGAGE FINANCE CORP	DE					X	X					10/29/01	
CHEC FUNDING LLC	DE					X	X					10/25/01	
CHEC FUNDING LLC	DE					X	X					10/25/01	
CHESAPEAKE ENERGY CORP	OK					X	X					10/26/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD					X						09/30/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD					X						09/30/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD					X						09/30/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD					X						09/30/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD					X						09/30/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-2	MD					X						09/30/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2001-1	MD					X						09/30/01	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD					X						09/30/01	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD					X						09/30/01	
CHRYSLER FINANCIAL CO LLC	MI					X	X					10/29/01	
CIBER INC	DE		X				X					10/15/01	
CITICORP MORTGAGE SECURITIES INC	DE		X									10/29/01	
CITIGROUP INC	DE					X	X					10/26/01	
CITIZENS BANCSHARES OF SOUTHWEST FL ORIDA INC	FL					X						10/23/01	
CITIZENS FINANCIAL CORP/DE/	DE					X						10/24/01	
CITIZENS HOLDING CO /MS/	MS						X	X				10/29/01	
CITIZENS HOLDING CO /MS/	MS						X	X				10/30/01	
CMGI INC	DE					X	X					10/29/01	
CNA SURETY CORP	DE					X	X					10/30/01	
COFFEE HOLDING CO INC	NV					X						10/23/01	
COMMODORE MINERALS INC	NV		X				X	X				10/13/01	
COMMONWEALTH EDISON CO	IL						X	X				10/29/01	
COMPUDYNE CORP	NV					X						10/29/01	
CONCEPTUS INC	DE					X						10/24/01	
CONECTIV	DE					X						10/26/01	
CONSECO FINANCE CORP	DE						X					10/25/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE						X					10/25/01	
CORPORATE ASSET BACKED CORP	DE						X					10/15/01	
CREDIT SUISSE FIR BO MO SEC CORP CD MC MO BK PA TH CER 2001-2	DE	X										10/25/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 01-WM2	DE					X	X					10/21/01	
CSFB ABS TRUST SERIES 2001-HE22	DE							X				10/25/01	
CTC COMMUNICATIONS GROUP INC	DE					X						10/30/01	
CWABS INC ASSET BACK CERTIFICATES S ERIES 2001-3	DE	X										09/25/01	
CWABS INC ASSET BACK CERTIFICATES S ERIES 2001-3	DE	X										10/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-BC2	DE	X										09/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-BC2	DE	X										10/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-BC3	DE	X										09/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-BC3	DE	X										10/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-1	DE	X										09/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-1	DE	X										10/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-2	DE	X										09/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-2	DE	X										10/25/01	
CWABS INC	DE					X	X					10/30/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-1	DE	X										09/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-1	DE	X										10/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-2	DE	X										09/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-2	DE	X										10/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-3	DE	X										09/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-3	DE	X										10/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-4	DE	X										09/25/01	
CWABS INC ALTERNATIVE LOAN TRUST 20 01-4	DE	X										10/25/01	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 2001-11	DE	X										09/25/01	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 2001-11	DE	X										10/25/01	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 2001-12	DE	X										09/25/01	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 2001-12	DE	X										10/25/01	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 2001-13	DE	X										09/25/01	

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	CODE	1	2	3	4	5	6	7	8	9			
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-13	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-14	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-14	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-16	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-16	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-17	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-17	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-18	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-18	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-2	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-2	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-20	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-20	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-6	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-6	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-7	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-7	DE	X										10/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-9	DE	X										09/25/01	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2001-9	DE	X										10/25/01	
CWMB5 INC MORT PASS THR CERT SER 20 01-10	DE	X										09/25/01	
CWMB5 INC MORT PASS THR CERT SER 20 01-10	DE	X										10/25/01	
CWMB5 INC MORT PASS THR CERT SER 20 01-19	DE	X										09/25/01	
CWMB5 INC MORT PASS THR CERT SER 20 01-19	DE	X										10/25/01	
CWMB5 INC MORTGAGE PASS THRU CERTIF ICATES SERIES 2001-8	DE	X										09/25/01	
CWMB5 INC MORTGAGE PASS THRU CERTIF ICATES SERIES 2001-8	DE	X										10/25/01	
DAL TILE INTERNATIONAL INC	DE					X	X					10/29/01	
DECISIONLINK INC	DE					X	X					10/19/01	

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DERBY CYCLE CORP	DE		X					X			10/26/01	
DETROIT EDISON CO	MI							X		X	10/29/01	
DIAL THRU INTERNATIONAL CORP	DE		X					X			10/12/01	
DIGITAL LAVA INC	DE					X		X			10/30/01	
DIMON INC	VA					X		X			10/30/01	
DIVINE INC	DE		X					X			10/19/01	
DRUGMAX COM INC	NV					X		X			10/29/01	
DTE ENERGY CO	MI							X		X	10/29/01	
E-MEDSOFT COM	NV					X		X			10/18/01	
EBAY INC	DE					X		X			10/29/01	
ECHOSTAR COMMUNICATIONS CORP	NV					X					10/28/01	
EDISON MISSION ENERGY	CA		X			X		X			10/26/01	
EDUCATION MANAGEMENT CORPORATION								X			09/26/01	AMEND
EKNOWLEDGE GROUP INC	NV					X					10/25/01	AMEND
EL PASO ENERGY PARTNERS LP	DE					X		X			10/25/01	
EMACHINES INC /DE/	DE					X		X			10/23/01	
EMPYREAN COMMUNICATIONS INC	NV					X		X			10/24/01	
ENTROPIN INC	CO					X					10/29/01	
EQUITY ONE ABS INC	DE					X					10/25/01	
EQUITY ONE ABS INC	DE					X					10/25/01	
EXELON CORP	PA							X		X	10/29/01	
FINANCIAL ASSET SEC CORP NEW SOUTH HOME EQUITY TRUST 2001-1	DE		X								10/25/01	
FIRST HEALTH GROUP CORP	DE							X			08/16/01	AMEND
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	TX		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	TX		X								10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	TX		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	TX		X								10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY		X								10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	TX		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	TX		X								10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	TX		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	TX		X								10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 5	TX		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 5	TX		X								10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001 4	NY		X								09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001 4	NY		X								10/25/01	

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FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-1	TX	X										09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-1	TX	X										10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-2	DE	X										09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-2	DE	X										10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-3	NY	X										09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-3	NY	X										10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-5		X										09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-5		X										10/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-6	DE	X										09/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-6	DE	X										10/25/01	
FIRST MID ILLINOIS BANCSHARES INC	DE					X		X				10/26/01	
FIRST SCIENTIFIC INC	DE					X						09/25/01	AMEND
FIRSTENERGY CORP	OH					X		X				10/29/01	
FOCAL COMMUNICATIONS CORP	DE					X		X				10/26/01	
FOG CUTTER CAPITAL GROUP INC	MD					X		X				10/28/01	
FORD MOTOR CO	DE					X		X				10/30/01	
FORD MOTOR CREDIT CO	DE					X		X				10/30/01	
FOX FAMILY WORLDWIDE INC	DE	X				X		X	X			10/24/01	
FURNITURE BRANDS INTERNATIONAL INC	DE					X		X				10/24/01	
GENE LOGIC INC	DE									X		10/29/01	
GENERAL DATACOMM INDUSTRIES INC	DE					X						12/31/01	
GENESCO INC	TN					X						10/24/01	
GERALD STEVENS INC/	FL					X		X				10/20/01	
GLOBAL ELECTION SYSTEMS INC	AI					X		X				10/26/01	
GLOBAL INNOVATIVE SYSTEMS INC	NV					X		X				10/19/01	AMEND
GPU INC /PA/	PA					X		X				10/29/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X		X				10/25/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X		X				10/26/01	
GROUP 1 AUTOMOTIVE INC	DE					X		X				10/25/01	
GS FINANCIAL SERVICES INC	FL					X		X				10/19/01	
GULFWEST ENERGY INC	TX							X				08/16/01	AMEND
HALLIBURTON CO	DE					X		X				10/26/01	
HEALTH GRADES INC	DE					X		X				10/30/01	
HEALTHSOUTH CORP	DE							X		X		10/29/01	
HEARME	DE					X		X				10/25/01	
HELLER EQUIPMENT ASSET RECEIVABLES TRUST 1999-1	DE					X		X				10/15/01	
HELLER EQUIPMENT ASSET RECEIVABLES TRUST 1999-2	DE					X		X				10/15/01	
HENLEY HEALTHCARE INC	TX		X	X								10/19/01	
HFC REVOLVING CORP	DE							X				10/22/01	
HFC REVOLVING CORP	DE					X						10/29/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HNC SOFTWARE INC/DE	DE								X		08/15/01	AMEND
HOMESIDE GLOBAL MBS MANAGER INC	DE								X		10/24/01	
HOMESIDE MORTGAGE SECURITIES INC /D E/	DE								X		10/24/01	
HOT PRODUCTS INC COM	AZ					X					10/24/01	
HOUSEHOLD HOME EQUITY LOAN TRUST 19 99-1	DE								X		10/22/01	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE								X		10/22/01	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE								X		10/22/01	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE								X		10/22/01	
HTE INC	FL					X		X			10/29/01	
I CRYSTAL INC	DE					X					10/19/01	
I MANY INC	DE		X					X			08/16/01	AMEND
IHOP CORP	DE									X	10/30/01	
ILLINI CORP	IL					X		X			10/18/01	
IMAGIS TECHNOLOGIES INC	AI					X		X		X	10/24/01	
INFORMATION MANAGEMENT ASSOCIATES I NC	CT					X		X			10/26/01	
INGRAM MICRO INC	DE					X		X			10/30/01	
INTEGRATED SURGICAL SYSTEMS INC	DE					X					10/30/01	
INTELLIREADY INC /CO/	CO		X			X					10/29/01	
INTERLIANT INC	DE					X		X			10/26/01	
INTERRUPTION TELEVISION INC	NV							X			06/30/00	AMEND
INTERTAN INC	DE					X					10/24/01	
IPRINT TECHNOLOGIES INC	DE					X		X			10/11/01	
ISIS PHARMACEUTICALS INC	DE					X		X			10/25/01	
JERSEY CENTRAL POWER & LIGHT CO	NJ					X		X			10/29/01	
JLM COUTURE INC	DE					X					10/25/01	
JUPITER MEDIA METRIX INC	DE					X		X			10/25/01	
KEYSTONE PROPERTY TRUST	MD							X		X	10/30/01	
LASALLE HOTEL PROPERTIES	MD							X		X	10/29/01	
LECHTERS INC	NJ					X					10/06/01	
LEHMAN ABS CORP	DE					X		X			10/29/01	
LOCH HARRIS INC	NV		X			X		X			10/28/01	
LOUDEYE TECHNOLOGIES INC						X		X			10/26/01	
LYON INVESTMENTS B V	DE		X			X		X			10/26/01	
MACROVISION CORP	DE					X		X		X	10/29/01	
MAII HOLDINGS INC	TX							X			08/16/01	AMEND
MATLACK SYSTEMS INC	DE					X		X			10/30/01	
MCDONALDS CORP	DE					X		X			10/29/01	
MEDIX RESOURCES INC	CO					X					10/22/01	
MEREDITH CORP	IA					X					10/29/01	
MERRILL LYNCH DEPOSITOR PREFERREDPL US TRUST SERIES CTR 1	DE					X		X			10/25/01	
METROPOLITAN EDISON CO	PA					X		X			10/29/01	
MFIC CORP	DE					X		X			10/22/01	
MICHIGAN CONSOLIDATED GAS CO /MI/	MI							X		X	10/29/01	
MILLENNIUM CHEMICALS INC	DE					X					10/30/01	
MILLENNIUM PHARMACEUTICALS INC	DE					X					10/29/01	

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	CODE	1	2	3	4	5	6	7	8	9		
MIRANT CORP	DE					X		X			10/28/01	
ML LEE ACQUISITION FUND II L P	DE					X					10/29/01	
ML LEE ACQUISITION FUND II L P	DE					X					12/29/01	
ML LEE ACQUISITION FUND L P	DE					X					10/29/01	
ML LEE ACQUISITION FUND RETIREMENT ACCOUNTS II L P	DE					X					12/29/01	
MOLECULAR DEVICES CORP	DE					X		X			10/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001 E	DE	X									09/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001 E	DE	X									10/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-7	DE	X									09/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-7	DE	X									10/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-9	DE	X									09/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-9	DE	X									10/25/01	
MPSI SYSTEMS INC	DE								X		10/30/01	
MSC GROUP INC	DE	X									12/29/00	AMEND
MULTI COLOR CORP	OH					X		X			10/18/01	
NATIONAL MERCANTILE BANCORP	CA					X		X			10/26/01	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE								X		08/31/01	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE								X		09/30/01	
NAVISTAR FINANCIAL SECURITIES CORP	DE							X			09/30/01	
NB&T FINANCIAL GROUP INC	OH					X					10/24/01	AMEND
NEOMEDIA TECHNOLOGIES INC	DE				X						10/24/01	
NEOTHERAPEUTICS INC	DE					X		X			10/30/01	
NETEGRITY INC	DE					X		X			10/24/01	
NETNATION COMMUNICATIONS INC	DE					X					10/26/01	
NEW CENTURY EQUITY HOLDINGS CORP	DE					X		X			10/29/01	
NEW SKY COMMUNICATIONS INC	NY					X					10/30/01	
NORTHEAST UTILITIES SYSTEM	MA							X			10/29/01	
NTL INC/DE/	DE					X		X			10/29/01	
NUWAY ENERGY INC	DE					X					10/06/01	
OXIGENE INC	DE					X		X	X		10/24/01	
PARTNERRE LTD						X		X			10/26/01	
PATAPSCO BANCORP INC	MD					X		X			10/25/01	
PECO ENERGY CO	PA							X	X		10/29/01	
PECO ENERGY CO	PA					X					10/30/01	
PENNSYLVANIA ELECTRIC CO	PA					X		X			10/29/01	
PENTON MEDIA INC	DE					X		X			10/30/01	
PEOPLES ENERGY CORP	IL	X									10/29/01	
PEOPLES ENERGY CORP	IL	X									10/29/01	
PEOPLES ENERGY CORP	IL	X									10/29/01	
PERRIGO CO	MI							X	X		10/30/01	
PLUM CREEK TIMBER CO INC	DE					X		X			10/23/01	
PNC FINANCIAL SERVICES GROUP INC	PA					X		X			10/29/01	
POTOMAC EDISON CO	MD					X		X			10/22/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
PROCTER & GAMBLE CO	OH					X					09/30/01	
PROGRESS ENERGY INC	NC									X	10/30/01	
PROLONG INTERNATIONAL CORP	NV				X		X				10/26/01	
PSB BANCORP INC	PA				X		X				10/23/01	
QUERYOBJECT SYSTEMS CORP	DE					X	X				10/25/01	
REDMOND CAPITAL CORP							X				10/30/01	
REEBOK INTERNATIONAL LTD	MA					X	X				09/30/01	
RENTECH INC /CO/	CO		X								10/15/01	
REPUBLIC SERVICES INC	DE							X		X	10/29/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
REWARD ENTERPRISES INC		X	X			X	X	X			08/21/01	
RSA SECURITY INC/DE/	DE					X	X				10/29/01	
SALIX PHARMACEUTICALS LTD						X					10/29/01	
SALOMON BROS MORT SEC VII INC SALO MORT LN TR SER 2001-CPB1	DE					X	X				10/25/01	
SAN HOLDINGS INC	CO					X					10/29/01	
SANGUINE CORP	NV					X					09/26/01	
SAUER DANFOSS INC	DE					X					10/15/01	
SAVE THE WORLD AIR INC	NV					X					10/30/01	
SECOND BANCORP INC	OH					X					10/30/01	
SERVICE MERCHANDISE CO INC	TN					X	X				08/27/01	
SHELDAHL INC	MN					X	X				10/25/01	
SHOP AT HOME INC /TN/	TN					X					06/30/02	
SIMON TRANSPORTATION SERVICES INC	NV					X					10/30/01	
SONIC FOUNDRY INC	MD		X				X				10/30/01	
SONOCO PRODUCTS CO	SC					X					10/17/01	
SOUTHWEST AIRLINES CO	TX							X			10/18/01	
SPRINT CORP	KS					X	X				10/17/01	
SPRINT CORP	KS					X	X				10/17/01	AMEND
SPSS INC	DE		X				X				10/26/01	
ST PAUL COMPANIES INC /MN/	MN						X				09/28/01	AMEND
STANDARD AUTOMOTIVE CORP	DE					X	X				10/27/01	

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	CODE	1	2	3	4	5	6	7	8	9			
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X		X				10/26/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001 15A	DE					X		X				10/25/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-10A	DE					X		X				10/25/01	
STRUCTURED ASSET SEC CORP MORT PASS -THR CERT SER 2001-14A	DE					X		X				10/25/01	
STRUTHERS INC/SC	NV							X				10/22/01	
SYNERGY 2000 INC			X					X				10/16/01	
TARGETED GENETICS CORP /WA/	WA					X		X				10/01/01	
TEAM COMMUNICATIONS GROUP INC	CA	X						X				10/08/01	AMEND
TEAM MUCHO INC	OH					X		X				10/26/01	
TECHDYNE INC	FL					X		X				10/26/01	
TEXAS BIOTECHNOLOGY CORP /DE/	DE					X						10/30/01	
THORNBURG MORTGAGE INC	MD					X		X				10/22/01	
TRANSOCEAN SEDCO FOREX INC	E9								X			10/29/01	
TRIAD HOSPITALS INC	DE					X		X				10/29/01	
TRICORD SYSTEMS INC /DE/	DE					X						10/30/01	
TRINITY INDUSTRIES INC	DE		X					X				10/26/01	
TRIPATH IMAGING INC	DE					X		X				10/08/01	
TRUSERV CORP	DE					X		X				10/25/01	
UAL CORP /DE/	DE					X		X				10/29/01	
UPDATE COM INC	DE					X		X				10/26/01	
ULTRADATA SYSTEMS INC	DE				X	X						04/06/01	AMEND
UNITED PETROLEUM CORP	DE					X						10/25/01	
UNOCAL CORP	DE					X						10/24/01	
US AIRWAYS GROUP INC	DE					X		X				10/04/01	
US AIRWAYS INC	DE					X		X				10/04/01	
USA DIGITAL INC	NV		X					X				10/15/01	
USA NETWORKS INC	DE							X	X			10/30/01	
USA VIDEO INTERACTIVE CORP	WY					X		X				10/30/01	
USAA FEDERAL SAVINGS BANK								X				10/15/01	
VAXGEN INC	DE					X		X				10/29/01	
VECTOR HOLDINGS CORP	NV				X							10/22/01	
VERIZON COMMUNICATIONS INC	DE					X		X				10/30/01	
VIASYS HEALTHCARE INC	DE							X	X			10/30/01	
VIROPHARMA INC	DE					X						10/29/01	
VISKASE COMPANIES INC	DE	X										10/29/01	
VITA FOOD PRODUCTS INC	NV							X				08/15/01	AMEND
VIVA GAMING & RESORTS INC						X		X				10/12/01	
VOLKSWAGEN DEALER FINANCE LLC	DE					X		X				10/22/01	
WALT DISNEY CO/	DE					X		X				10/24/01	
WAMU MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-AR2	DE							X				10/12/01	
WASHINGTON MUTUAL HOME LOAN CHL MOR T PAS THR TRUST 2001 HYB1	DE	X										09/25/01	
WASHINGTON MUTUAL HOME LOAN CHL MOR T PAS THR TRUST 2001 HYB1	DE	X										10/25/01	
WATSCO INC	FL					X		X				10/25/01	
WEINGARTEN REALTY INVESTORS /TX/	TX		X					X				06/30/01	AMEND
WESBANCO INC	WV					X		X				10/24/01	

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	CODE	1	2	3	4	5	6	7	8	9			
WINCROFT INC	CO					X						10/30/01	
WODFI LLC	FL					X						10/15/01	
WORLD OMNI AUTO RECEIVABLES LLC	DE					X						09/30/01	
WRC MEDIA INC	DE					X		X				10/29/01	
WTAA INTERNATIONAL INC /FL/	FL		X			X		X				10/26/01	
XCEL ENERGY INC	MN					X						10/29/01	
XL CAPITAL LTD						X		X				10/29/01	
YORK RESEARCH CORP	DE					X		X				10/02/01	
YOUNG BROADCASTING INC /DE/	DE					X		X		X		10/29/01	
1ST GENX INC	NV	X	X			X	X					10/31/01	
5B TECHNOLOGIES CORP	DE		X					X				08/16/01	AMEND
800AMERICA COM INC	NV							X				10/11/01	