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SEC NEWS DIGEST

Issue 2001-202

October 19, 2001

COMMISSION ANNOUNCEMENTS

SEC LAUNCHES SPANISH LANGUAGE INVESTOR EDUCATION WEBSITE AND OTHER RESOURCES

The Securities and Exchange Commission today launched a Spanish language section of the SEC website and other investor education resources in an effort to reach out to America's fastest growing demographic group.

The new website will describe the role of the SEC and how it protects investors. It will include Spanish translations of the Commission's most popular brochures on investing wisely and avoiding fraud. The website will also link to Spanish language investor education resources offered by other government agencies and industry organizations.

The SEC has also established an e-mail address for Spanish-speaking investors who have questions or complaints about the securities industry. Investors who send e-mails in Spanish to ayuda@sec.gov will receive a response in Spanish from the SEC with the help of a translation service. "Ayuda" means "help" in Spanish.

"As the Hispanic population in the United States continues to grow, the Commission and its staff believe that it is important to respond with additional Spanish language resources," SEC Chairman Harvey L. Pitt said. "Our agency wants to help preclude language barriers from separating investors from reliable information about saving and investing wisely."

Recent census data shows that the Hispanic population in the United States has increased by more than 50 percent since 1990, compared with an increase of only 13.2 percent for the total U.S. population. According to projections, Hispanics will account for the majority of the nation's population growth for the next 50 years.

Investors can access the Spanish language information by visiting the SEC's Website at www.sec.gov and then clicking on "Informacion en Español." The SEC has also updated its toll-free 800 number to provide information for Spanish-speaking Americans who lack Internet access. (Press Rel. 2001-116)

SEC LANZA SITIO EN LA INTERNET Y OTROS RECURSOS EN ESPAÑOL PARA EDUCACION DE LOS INVERSIONISTAS

La Comisión de Valores y Bolsas (Securities and Exchange Commission o SEC) introdujo hoy una sección en español en su sitio en la Internet, así como otros recursos, para la educación de los inversionistas, en un esfuerzo por servir al sector demográfico de mayor crecimiento en los Estados Unidos.

El nuevo sitio en la Internet describirá el papel de la SEC y cómo protege a los inversionistas. Incluirá traducciones al español de los más populares folletos informativos de la Comisión acerca de cómo invertir inteligentemente y evitar fraudes. El sitio en la Internet ofrecerá asimismo, a los inversionistas en lengua española, conexiones a recursos educativos ofrecidos por otras dependencias del gobierno e instituciones relacionadas con el sector bursátil.

La SEC abrió también una dirección de correo electrónico para uso de inversionistas en lengua española con preguntas o quejas acerca del sector bursátil o la venta de valores. Los inversionistas que envíen sus mensajes de correo electrónico en español a ayuda@sec.gov recibirán una respuesta en español de la SEC, con la ayuda de un servicio de traducciones.

“Debido a que la población hispana en los Estados Unidos continúa creciendo, la Comisión y su personal consideran importante responder con recursos adicionales en lengua española,” comentó Harvey L. Pitt, Presidente de la SEC. “Nuestra agencia desea ayudar a eliminar las barreras lingüísticas que separan a los inversionistas de la información confiable acerca de cómo ahorrar e invertir inteligentemente”.

El reciente censo poblacional mostró que la comunidad hispana en los Estados Unidos aumentó en más de un 50 por ciento desde 1990, frente a un aumento de sólo un 13.2 por ciento para el total de la población de los Estados Unidos. Según proyecciones, los hispanos serán el grupo de mayor crecimiento de población en el país durante los próximos 50 años.

Los inversionistas podrán tener acceso a información en lengua española visitando el sitio en la Internet de la SEC en www.sec.gov y luego haciendo clic en la opción “Información en Español.” La SEC actualizó también su línea telefónica 800 gratis para ofrecer información a los estadounidenses de habla española sin acceso a la Internet. (Press Rel. 2001-116)

ENFORCEMENT PROCEEDINGS

COMMISSION SUSTAINS NASD DISCIPLINARY ACTION AGAINST JIM NEWCOMB

On October 18, the Commission sustained NASD disciplinary action against Jim Newcomb, formerly a general securities representative with Princor Financial Services, a member firm of the National Association of Securities Dealers, Inc. The NASD found that Newcomb engaged in private securities transactions in violation of NASD Conduct Rules 3040 and 2110. Over the course of an 18 month period Newcomb formed a corporation and sold approximately \$1 million in 90 promissory notes to more than 47 non-accredited investors from the general public, many of whom were Princor customers. The NASD fined Newcomb \$32,000, and suspended him for two years from association with any NASD member in any capacity, and assessed costs against him.

Newcomb sought to have the suspension against him reduced. The Commission determined that the sanctions imposed by the NASD were not excessive or oppressive given the scope of his conduct and the fact that he misrepresented the conduct to his firm. (Rel. 34-44945; File No. 3-10393)

ADMINISTRATIVE PROCEEDING AGAINST NEXPUB, INC.

On October 18, the Commission instituted and simultaneously settled public administrative cease-and-desist proceedings against NexPub, Inc. (NexPub), formerly known as PrintontheNet.com, Inc. (PrintontheNet or the Company) based upon violations of the antifraud and reporting provisions of the federal securities laws. NexPub consented to the entry of a cease-and-desist order (Order) against it without admitting or denying the Commission's allegations.

The Order finds that in early 2000, PrintontheNet failed to disclose the existence of several loan guarantees in a filing with the Commission and in a document used to raise money from investors. According to the Order, PrintontheNet assumed those guarantees when it acquired PrintAmerica Interactive, Inc. (PrintAmerica), a related company, in December 1999. The Order finds that on January 14, 2000, PrintontheNet filed a Form 8-K with the Commission in connection with the PrintAmerica acquisition, but that the audited and unaudited financial statements included with the Form 8-K failed to disclose the existence of the loan guarantees. In addition, the Order finds that the Form 8-K failed to disclose that the primary loan underlying these guarantees, in the aggregate principal amount of approximately \$7 million, was in default. Under Generally Accepted Accounting Principles ("GAAP"), specifically Statement of Financial Accounting Standards No. 5, PrintontheNet was required to disclose these facts.

The Order further finds that the unaudited financial statements included in PrintontheNet's Form 8-K stated that PrintAmerica had net income for the nine month period ended September 30, 1999 of \$96,371, when, in fact, PrintAmerica had a net loss of approximately \$430,000. Also according to the Order, the Form 8-K included unaudited combined pro forma financial statements that misrepresented that PrintontheNet and PrintAmerica had a combined net loss of \$196,793 for the nine month period ended September 30, 1999, when the actual amount of their combined loss was approximately \$811,000.

The Order finds that PrintontheNet also misrepresented or failed to disclose these facts in a private placement memorandum that the Company used to raise over \$3 million from investors in February 2000. According to the Order, however, PrintontheNet replaced certain members of its senior management shortly thereafter, and gave the investors an opportunity to rescind their investments. The Company's new management also filed a Form 10-K with the Commission that disclosed, among other things, the existence of the loan guarantees and the default of the underlying debt, and restated certain of the Company's financial results.

Based upon this conduct, the Commission ordered NexPub, formerly known as PrintontheNet, to cease and desist from committing or causing any violation and any future violations of Section 17(a) of the Securities Act of 1933, and Sections 10(b), 13(a) and 13(b)(2) of the Securities-Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-11 and 13b2-1 thereunder. (Rel. 33-8025; 34-44951; AAER-1469; File No. 3-10623)

COMMISSION SUSTAINS AMEX DISCIPLINARY ACTION AGAINST HAL HERMAN

On October 18, the Commission sustained AMEX disciplinary action against Hal S. Herman, a registered representative of Metropolitan Life Insurance Company and MetLife Securities. The AMEX censured Herman and permanently barred him from membership, allied membership, approved person status and from employment or association in any capacity with any AMEX member or member organization.

The Commission found that Herman, while employed as a non-registered wire clerk by an AMEX member firm, Israel A. Englander & Co., Inc., violated AMEX rules 345(a)(1), (2), and (4), as well as Article V, Section 4(b) of the AMEX's Constitution by engaging in improper discretionary trading; knowingly submitting false transactional information to AMEX clearing members; making material misstatements on a Form U-4 and on an amended Form U-4; and making a material misstatement in sworn deposition testimony before the AMEX.

Based on its review of the record, the Commission concluded that the sanctions imposed by the AMEX were not excessive, oppressive, or an undue burden on competition. (Rel. 34-44953; Rel. 3-10193)

ADMINISTRATIVE PROCEEDING AGAINST HUNTER ADAMS, GREGG ADAMS, ALAN BERKUN, ROBERT LISNOFF, JAMES BILA, LEONARD BILA, CHRISTIAN BLAKE, BRIAN CARROLL, JOSEPH DIGIROLAMO, AND JOSEPH MANNINO

On October 18, the Securities and Exchange Commission instituted public administrative proceedings, pursuant to Section 8A of the Securities Act of 1933 and Sections 15(b)(6) and 21C of the Securities Exchange Act of 1934, against the following persons formerly associated with Preston Langley Asset Management, Inc., a defunct broker-dealer based in New York, New York:

Control Persons of Preston Langley

Hunter Adams (H. Adams), age 33, residing in Atlantic Beach, New York; Gregg Adams (G. Adams), age 26, a resident of Bayside, New York; Alan Berkun, age 42, a resident of East Rockaway, New York; and Robert Lisnoff, age 37, a resident of Seaford, New York

Preston Langley Registered Representatives

James L. Bila (J. Bila), age 31, a resident of Holbrook, New York; Leonard Bila (L. Bila), age 27, a resident of New York, New York; Christian Blake, age 31, a resident of Brooklyn, New York; Brian Carroll, age 25, a resident of Brooklyn, New York; Robert Digirolamo, age 25, a resident of Brooklyn, New York; Joseph Mannino, age 27, a resident of Lindenhurst, New York.

In the Order Instituting Proceedings, the Division of Enforcement alleges that the Respondents engaged in systematic, fraudulent and deceptive sales practices in the offer and sale of securities of Americom Networks International, Inc. (Americom) during the period of approximately June 1998 through at least December 1999. The Division further alleges that in or about June 1998, H. Adams, with the knowledge and assistance of G. Adams, Berkun and Lisnoff (collectively referred to as Preston Langley Management), coordinated and directed a private securities offering of common stock of Americom. Berkun and H. Adams, through nominee accounts, acquired a majority of the outstanding unrestricted shares of Americom through the private securities offering.

The Division further alleges that shortly after the conclusion of the Americom private offering, Preston Langley Management manipulated Americom's stock price from \$.50 per share to more than \$5 per share between June 25 and June 30, 1998. This manipulative activity consisted of the posting of fictitious quotations for Americom stock on the OTC Bulletin Board and falsely creating the appearance of trading in the stock.

According to the Division, once Preston Langley Management succeeded in manipulating the stock price of Americom above \$5 per share, Preston Langley Management instructed the J. Bila, L. Bila, Blake, Carroll, Digirolamo and Mannino (the Preston Langley registered representatives) and other registered representatives of Preston Langley to use a variety of fraudulent sales practices to inflate artificially the market price of, and

demand for, Americom securities and sell those securities to Preston Langley customers at inflated prices. The Division further alleges that G. Adams and Berkun, with the knowledge and consent of H. Adams and Lisnoff, also paid additional undisclosed compensation to the Preston Langley registered representatives.

According to the Division, the Preston Langley registered representatives used a variety of deceptive and fraudulent sales practices to induce Preston Langley customers to purchase Americom securities at inflated prices. For example, the Preston Langley registered representatives effected unauthorized purchases of Americom securities in the accounts of existing Preston Langley customers, failed to disclose the additional compensation they received, and made material misrepresentations and omissions concerning, among other things, predictions about Americom's future stock price and claims of possessing inside information. Preston Langley used stock it obtained from the nominee accounts at little or no actual cost to fill orders placed by the firm's customer's. The Division alleges that the fraudulent activity continued at least through December 1999, and that Preston Langley Management and the Preston Langley registered representatives profited from the sale of Americom securities at artificial prices.

Violations

The Division alleges that H. Adams and Berkun willfully violated, or caused the violation of, Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder; that G. Adams and Lisnoff willfully violated, or caused the violation of, Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act, and Rule 10b-5 thereunder; and that H. Adams, G. Adams, Berkun and Lisnoff willfully aided and abetted, and caused, violations of Section 15(c)(1) of the Exchange Act and Rules 15c1-2 and 15c1-8 thereunder. The Division further alleges that J. Bila, L. Bila, Blake, Carroll, Digirolamo and Mannino willfully violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

A hearing will be scheduled before an administrative law judge to determine whether the allegations contained in the Order are true, to provide H. Adams, G. Adams, Berkun, Lisnoff, J. Bila, L. Bila, Blake, Carroll, Digirolamo and Mannino an opportunity to dispute these allegations, and to determine what sanctions, if any, are appropriate and in the public interest. (Rel. 33-8026; 34-44954; File No. 3-10624)

COMMISSION ISSUES ORDER ALLEGING THAT F.X.C. INVESTORS CORP., AIDED AND ABETTED BY ITS PRESIDENT, FRANCIS CURZIO, VIOLATED THE ANTIFRAUD AND ADVERTISING PROVISIONS OF THE INVESTMENT ADVISERS ACT OF 1940

On October 18, the Commission instituted public administrative and cease-and-desist proceedings pursuant to Sections 203(e), 203(f), 203(i) and 203(k) of the Investment Advisers Act against FXC. Investors Corp. (FXC) and Francis X. Curzio. In the Order

Instituting Proceedings, the Division of Enforcement alleges that from at least December 1996 through December 1998, FXC willfully violated Sections 206(1), 206(2) and 206(4) of the Investment Advisers Act and Rule 206(4)-1(a)(5) thereunder, and that Curzio willfully aided, abetted and caused FXC's violations of Sections 206(1), 206(2) and 206(4) of the Advisers Act and Rule 206(4)-1(a)(5) thereunder.

The Division of Enforcement alleges that from at least December 1996 through December 1998, FXC distributed to numerous prospective investors materially misleading advertisements concerning FXC's historic annual performance results. These advertisements were improper because they indicated that the displayed performance was the actual performance of FXC's advisory clients, when in fact it reflected the hypothetical returns that would have been earned by certain "model" portfolios described in newsletters published by FXC. The advertisements also failed to make other required disclosures. FXC submitted the newsletter performance to the publisher of an investment guide that, under the false impression that the returns reflected the performance of actual managed accounts, ranked FXC favorably against other investment advisers. FXC sent the misleading rankings to prospective investors as part of its marketing material. Curzio, FXC's principal and owner, was responsible for, and participated in, FXC's misconduct.

A hearing will be scheduled before an administrative law judge to determine whether the allegations against FXC and Curzio are true, to provide the respondents an opportunity to respond to the allegations, and to determine what, if any, remedial action is appropriate in the public interest with respect to those respondents. (Rel. IA-1991; File No. 3-10625)

INVESTMENT COMPANY ACT RELEASES

UNITED LIFE & ANNUITY INSURANCE COMPANY, et al.

A notice has been issued giving interested persons until November 13, 2001, to request a hearing on an application filed by United Life & Annuity Insurance Company (United Life) and United Life & Annuity Separate Account One (Separate Account One, and together with United Life, Applicants). The Applicants seek an order approving the substitution of shares of the Limited Maturity Bond Portfolio of Neuberger Berman Advisers Management Trust for shares of the Fixed Income Portfolio of Credit Suisse Warburg Pincus Trust II held by Separate Account One to fund certain variable annuity contracts and certificates issued by United Life. (Rel. IC-25213 - October 17)

CLEARWATER INVESTMENT TRUST AND CLEARWATER MANAGEMENT CO., INC.

A notice has been issued giving interested persons until November 13 to request a hearing on an application filed by Clearwater Investment Trust and Clearwater Management Co., Inc., exempting applicants from Section 15(a) of the Investment

Company Act and Rule 18f-2 under the Act. The order would permit applicants to enter into and materially amend investment subadvisory agreements without obtaining shareholder approval. (Rel. IC-25214 - October 18)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-NYSE-2001-39) filed by the New York Stock Exchange amending NYSE Rule 123 has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 22. (Rel. 34-44943)

A proposed rule change filed with the Commission by the Philadelphia Stock Exchange relating to registration fees for registered representatives (SR-Phlx-2001-90) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 22. (Rel. 34-44947)

APPROVAL OF A PROPOSED RULE CHANGE

The Commission granted approval of a proposed rule change (SR-GSCC-2001-01) filed by the Government Securities Clearing Corporation under Section 19(b)(1) of the Exchange Act. The order allows GSCC to redesign its matching and comparison rules to enable its members to make the transition from a batch to an interactive environment. Publication of the approval order is expected to be made in the Federal Register during the week of October 22. (Rel. 34-44946)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 VEOS PLC /ADR/, TIMOTHY F KEANEY, 101 BARCLAY ST 22ND FL, NEW YORK,
NY

10286 (212) 815-2129 - 20,000,000 (\$1,000,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13992 - OCT. 09)

S-11 HOLMES FINANCING NO 5 PLC, ABBEY HOUSE BAKER ST LONDON NW1 6XL,
UNITED KINGDOM 011 44 20 7612 4000, LONDON UNITED KINGDO, X0 00000
(212) 590-9200 - 1,705,000,000 (\$1,705,000,000) FOREIGN COMMON STOCK.
(FILE 333-14002 - OCT. 11)

F-3 ALCATEL, 54 RUE LA BOETIE, 2288 BH, PARIS FRANCE 75008, IO 00000
(331) 407-6101 - 3,412,881 (\$17,200,920.24) FOREIGN COMMON STOCK.
(FILE 333-14004 - OCT. 12) (BR. 7)

S-8 THERASENSE INC, 1360 S LOOP RD, SUITE 2000, SAN FRANCISCO, CA 94502
(510) 749-5400 - 5,789,715 (\$110,004,585) COMMON STOCK. (FILE 333-
71466 -
OCT. 12) (BR. 5)

S-3 CATERPILLAR INC, 100 NE ADAMS ST, PEORIA, IL 61629 (309) 675-1000
(FILE 333-71468 - OCT. 12) (BR. 5)

S-8 LIBERATE TECHNOLOGIES, 2 CIRCLE STAR WAY, C/O NETWORK COMPUTER INC,
SAN CARLOS, CA 94070 (650) 701-4000 - 6,901,770 (\$73,848,939) COMMON
STOCK.
(FILE 333-71470 - OCT. 12) (BR. 3)

S-8 HERLEY INDUSTRIES INC /NEW, 10 INDUSTRY DR, LANCASTER, PA 17603
(717) 397-2777 - 1,500,000 (\$25,635,000) COMMON STOCK. (FILE 333-71476
-
OCT. 12) (BR. 5)

S-8 NATCO GROUP INC, BROOKHOLLOW CENTRAL III, 2950 NORTH LOOP WEST STE
750,
HOUSTON, TX 77092 (713) 683-9292 - 1,000,000 (\$7,500,000) COMMON STOCK.
(FILE 333-71480 - OCT. 12) (BR. 6)

S-8 SAVE THE WORLD AIR INC, 19 GARDEN GROVE, CARRARO QUEENSLAND
AUSTRALIA,
CARRARA, - 935,000 (\$227,680) COMMON STOCK. (FILE 333-71482 - OCT. 12)
(BR. 9)

S-8 PRESIDENT CASINOS INC, 802 N FIRST ST, ST LOUIS, MO 63102 (314) 622-
3000
- 300,000 (\$165,000) COMMON STOCK. (FILE 333-71492 - OCT. 12) (BR. 5)

S-3 CHENIERE ENERGY INC, THREE ALLEN CENTER, 333 CLAY STREET SUITE 3400,
HOUSTON, TX 77002 (281) 578-4600 - 500,000 (\$500,000) COMMON STOCK.
(FILE 333-71496 - OCT. 12) (BR. 4)

S-8 NOVELL INC, 1800 SOUTH NOVELL PLACE, PROVO, UT 84606 (801) 861-7000
-

6,000,000 (\$21,960,000) COMMON STOCK. (FILE 333-71502 - OCT. 12) (BR. 3)

S-8 REGENT COMMUNICATIONS INC, 100 EAST RIVERCENTER BOULEVARD, 9TH FLOOR, COVINGTON, KY 41011 (606) 292-0030 - 200,000 (\$1,050,000) COMMON STOCK. (FILE 333-71504 - OCT. 12) (BR. 7)

S-8 LIFEMINDERS INC, 13530 DULLES TECHNOLOGY DR, SUITE 500, HERNDON, VA 20170 (703) 707-8261 - 1,037,288 (\$907,627) COMMON STOCK. (FILE 333-71506 - OCT. 12) (BR. 8)

S-4 IMC GLOBAL INC, 100 S. SAUNDERS ROAD, SUITE 300, LAKE FOREST, IL 60045 (847) 272-9200 - 600,000,000 (\$600,000,000) STRAIGHT BONDS. (FILE 333-71510 - OCT. 12) (BR. 2)

S-4 MOUNTAINBANK FINANCIAL CORP, 201 WREN DRIVE, HENDERSONVILLE, NC 28793 (828) 693-7376 - 687,841 (\$15,132,502) COMMON STOCK. (FILE 333-71516 - OCT. 12) (BR. 7)

S-4 CARPENTER TECHNOLOGY CORP, 1047 N PARK ROAD, WYOMISSING, PA 19610 (610) 208-2000 - 100,000,000 (\$100,000,000) COMMON STOCK. (FILE 333-71518 - OCT. 12) (BR. 6)

S-8 STORAGENETWORKS INC, 100 FIFTH AVE, WALTHAM, MA 02451 (781) 434-6700 - 3,000,000 (\$12,765,000) COMMON STOCK. (FILE 333-71520 - OCT. 12) (BR. 8)

S-8 AQUATIC CELLULOSE INTERNATIONAL CORP, 3704 32ND STREET SUITE 301, VERNON B C VIT5N6, A1 (800) 565-6544 - 2,500,000 (\$47,500) COMMON STOCK. (FILE 333-71522 - OCT. 12) (BR. 6)

S-3 CYGNUS INC /DE/, 400 PENOBSCOT DR, REDWOOD CITY, CA 94063 (650) 369-4300 - \$29,000,000 COMMON STOCK. (FILE 333-71524 - OCT. 12) (BR. 1)

S-3 LADENBURG THALMANN FINANCIAL SERVICES INC, 590 MADISON AVENUE 34TH FLOOR, STE 319, NEW YORK, NY 10022 (516) 470-1000 - 47,028,073 (\$37,152,177.67) COMMON STOCK. (FILE 333-71526 - OCT. 12) (BR. 7)

S-8 CYPRESS SEMICONDUCTOR CORP /DE/, 3901 N FIRST ST, SAN JOSE, CA 95134 (408) 943-2600 - 313,985 (\$2,075,441) COMMON STOCK. (FILE 333-71528 - OCT. 12) (BR. 5)

S-8 CYPRESS SEMICONDUCTOR CORP /DE/, 3901 N FIRST ST, SAN JOSE, CA 95134 (408) 943-2600 - 1,500,000 (\$12,855,396) COMMON STOCK. (FILE 333-71530

OCT. 12) (BR. 5)

S-4 TLC LASER CENTER INC, 5600 EXPLORER DRIVE, SUITE 301,
MISSISSAUGA ONTARIO CANADA, A6 00000 (301) 571-2020 - 35,001,330
(\$86,616,498) COMMON STOCK. (FILE 333-71532 - OCT. 12) (BR. 1)

S-8 NATIONAL PROCESSING INC, 1231 DURRETT LANE, LOUISVILLE, KY 40213
(502) 326-7000 - 500,000 (\$12,632,500) COMMON STOCK. (FILE 333-71534 -
OCT. 12) (BR. 3)

S-3 CARDIMA INC, 47266 BENICIA STREET, FREMONT, CA 94538 (510) 354-0300
4,515,953 (\$7,225,524.80) COMMON STOCK. (FILE 333-71536 - OCT. 12)
(BR. 5)

S-3 EMERGE INTERACTIVE INC, 10315 102ND TERRACE, SEBASTIAN, FL 32958
(561) 589-7331 - 4,000,000 (\$6,400,000) COMMON STOCK. (FILE 333-71538

OCT. 12) (BR. 8)

S-1 EXCHANGE APPLICATIONS INC, 89 SOUTH STREET, BOSTON, MA 02111
(617) 737-2244 - 2,968,373 (\$563,991) COMMON STOCK. (FILE 333-71542 -
OCT. 12) (BR. 3)

S-8 IMPCO TECHNOLOGIES INC, 16804 GRIDLEY PLACE, CERRITOS, CA 90701
(562) 860-6666 - 500,000 (\$7,002,500) COMMON STOCK. (FILE 333-71544 -
OCT. 12) (BR. 5)

S-3 VALLEY NATIONAL BANCORP, 1455 VALLEY RD, STE 420, WAYNE, NJ 07470
(973) 305-3380 - 7,000,000 (\$175,000,000) COMMON STOCK. (FILE 333-
71546 -
OCT. 12) (BR. 7)

S-8 DREXLER TECHNOLOGY CORP, 1077 INDEPENDENCE AVE, MOUNTAIN VIEW, CA
94043
(415) 969-7277 - 300,000 (\$5,235,000) COMMON STOCK. (FILE 333-71548 -
OCT. 12) (BR. 3)

SB-2 ZEROS & ONES INC, 1714 16TH STREET, SANTA MONICA, CA 90404
(310) 393-9992 - 6,578,523 (\$2,676,159.20) COMMON STOCK. (FILE 333-
71550 -
OCT. 15) (BR. 9)

S-8 BULLET ENVIRONMENTAL TECHNOLOGIES INC, 1177 WEST HASTINGS ST STE
1818,
VANCOUVER BRITISH COLUMBIA, CANADA V6E 2K3, A1 00000 (604) 602-1717 -
625,000 (\$125,000) COMMON STOCK. (FILE 333-71552 - OCT. 15) (BR. 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

11 NEWS DIGEST, October 19, 2001

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAMES CAPITAL CORP MORT PASS THR C ERTS SER 2001 3	CA					X		X				10/19/01	
ABC NACO INC	DE			X				X				10/16/01	
ACCEPTANCE INSURANCE COMPANIES INC	DE					X		X				10/15/01	
ACT TELECONFERENCING INC	CO		X			X		X				09/28/01	
ACTION PRODUCTS INTERNATIONAL INC	FL					X		X				10/17/01	
ACTIVE IQ TECHNOLOGIES INC	CO					X		X				10/10/01	
ADVANCED NUTRACEUTICALS INC/TX	TX					X		X				10/17/01	
ALLETE INC	MN							X				10/18/01	
ALLIANCE DATA SYSTEMS CORP	DE					X		X				10/17/01	
ALLIANCE PHARMACEUTICAL CORP	NY					X		X				10/18/01	
ALLIED RISER COMMUNICATIONS CORP	DE					X		X				10/09/01	
ALLOY INC	DE							X				08/02/01	AMEND
ALLTRISTA CORP	IN					X		X				10/15/01	
AMC ENTERTAINMENT INC	DE							X				09/27/01	
AMERICA FIRST MORTGAGE INVESTMENTS INC	MD					X						10/17/01	
AMERICAN PLASTICS & CHEMICALS INC	DE							X				11/30/01	
AMERICAN RIVER HOLDINGS	CA							X		X		10/17/01	
AMERICAN TISSUE INC	DE							X				10/18/01	
AMERICAS SPORTS VOICE INC /NY			X	X								10/16/01	
AMRESCO INC	DE							X		X		09/20/01	
APPLIED EXTRUSION TECHNOLOGIES INC /DE	DE		X							X		06/19/01	AMEND
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE							X		X		10/15/01	

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ARCADIA RECEIVABLES FINANCE CORP	DE					X	X					10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X					10/15/01	
ASPEON INC	DE					X	X					10/15/01	
ASSET BACKED SEC CORP HOME EQUITY L OAN TR 2001-HE1	DE	X										10/15/01	
ASSET BACKED SEC CORP HOME EQUITY L OAN TRUST SERIES 2001 HE3	DE					X	X					09/26/01	
ASSOCIATES CREDIT CARD MASTER NOTE TRUST						X						09/30/01	
ASSOCIATES CREDIT CARD RECEIVABLES CORP	DE					X						09/30/01	
ATCHISON CASTING CORP	KS					X	X					10/16/01	
ATRIX LABORATORIES INC	DE					X	X					10/15/01	
AVANT IMMUNOTHERAPEUTICS INC	DE					X						10/18/01	
AVERY COMMUNICATIONS INC	DE		X					X				08/03/01	AMEND
BANC OF AMERICA COMMERCIAL MORT PAS S THROUGH CERT SER 2001-1	DE	X										10/17/01	
BANC OF AMERICA COMMERCIAL MORTGAGE INC	DE					X	X					10/18/01	
BANGOR HYDRO ELECTRIC CO	ME	X										10/18/01	
BANK OF NEW YORK CO INC	NY					X	X					09/30/01	
BANK ONE CORP	DE					X	X					10/16/01	
BEAR STEARNS MORT SEC INC COMM MORT PASS THR CER SE 2000-WF1	NY	X										10/15/01	
BRIGGS & STRATTON CORP	WI					X	X					10/18/01	
BROADCOM CORP	CA							X	X			10/17/01	
BUCKTV COM INC	NV	X		X								03/06/01	AMEND
BUCKTV COM INC	NV	X		X								03/06/01	AMEND
CABLETEL COMMUNICATIONS CORP						X	X					10/04/01	
CAPITAL ONE FINANCIAL CORP	VA					X	X					10/16/01	
CENDANT CORP	DE					X	X					10/17/01	
CENTENNIAL FIRST FINANCIAL SERVICES	CA							X				08/17/01	AMEND
CENTRACK INTERNATIONAL INC	DE	X				X	X					10/18/01	
CENTURY INDUSTRIES INC /DC/	NV					X	X					07/31/01	
CERIDIAN CORP /DE/	DE							X				10/17/01	
CHAMPION ENTERPRISES INC	MI					X	X					10/17/01	
CHATEAU COMMUNITIES INC	MD		X					X				08/03/01	AMEND
CHEC FUNDING LLC	DE					X	X					10/11/01	
CHEMICAL CONSORTIUM HOLDINGS INC	DE					X	X					10/12/01	
CHEMICAL FINANCIAL CORP	MI							X	X			10/18/01	
CIGNA CORP	DE					X	X					10/01/01	
CITIGROUP INC	DE					X						10/17/01	
COGNIGEN NETWORKS INC	CO					X	X					12/31/01	
COLLAGENEX PHARMACEUTICALS INC	DE					X	X					10/15/01	
COMMERCIAL MORT P TH CERTS SER 2001 -CP4	DE	X										10/29/01	
COMMERCIAL MORTGAGE PASS THRU CERTI FICATES SERIES 2000 WF2	DE	X										10/15/01	
COMMERCIAL MORTGAGE PASS THROUGH C ERTIFICATES SER 2001-CF2	DE	X										10/29/01	

NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
COMMONWEALTH BANCORP INC	PA					X		X			10/16/01	
COMMONWEALTH BANCORP INC	PA					X		X			10/17/01	
CONSOLIDATED EDISON CO OF NEW YORK INC	NY					X					10/18/01	
CONSOLIDATED EDISON INC	NY					X					10/18/01	
COOPER INDUSTRIES INC	OH					X		X			10/16/01	
CORNING INC /NY	NY					X					09/30/01	
COTTAGE INVESTMENTS INC	NV	X	X		X		X	X			10/17/01	
COUNTRYWIDE HOME LOAN TRUST HOME LO AN BAC NO SER 2001-HLV1	NY	X									10/10/01	
CP LTD PARTNERSHIP	MD		X					X			08/03/01	AMEND
CPS AUTO RECEIVABLES TRUST 1998-4	CA							X			09/30/01	
CREDIT SUISSE FIR BO SEC COR CSFB M ORT PS TH CRT SER 2001-11	DE					X		X			09/25/01	
CREDIT SUISSE FIRST BOSTON FNT MOR BK PAS THR CERTS SER 01 5	DE					X		X			10/25/01	
CREDIT SUISSE FIRST BOSTON MG SE CO R MG PA TH CE SE 2001-HE8	DE					X		X			09/25/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			10/16/01	AMEND
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			10/17/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			10/17/01	
CREDIT SUISSE FIRST BOSTON MR SC CR MR BK PA TH CR SR 2001-4	DE					X		X			03/26/01	
CREDIT SUISSE FR BS MOR SEC CP COM MOR PS TH CE SER 2001-CK1	DE	X									10/29/01	
CREDIT SUISSE FRST BOSTON MR SC CR MR BK PA TH CR SR 2001-1	DE					X		X			02/26/01	
CSFB MORTGAGE BACKED PASS THROUGH C ERTIFICATES SERIES 2001 9	DE					X		X			04/25/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001 AR19	DE					X		X			09/25/01	
CWABS INC ASSET BACK CERTIFICATES S ERIES 2001-3	DE					X					08/30/01	
CYTOMEDIX INC	DE							X			10/17/01	
D&E COMMUNICATIONS INC	PA		X					X			10/17/01	
DELTA FUNDING CORP /DE/	NY					X		X			10/03/01	
DELTA FUNDING CORP HOME EQUITY LOAN ASSET BK CERT SER 2001-1	NY	X									10/15/01	
DENTSPLY INTERNATIONAL INC /DE/	DE		X					X			10/02/01	
NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
DETWILER MITCHELL & CO	DE					X		X			10/12/01	
DIGIMARC CORP	DE					X		X			10/17/01	
DLJ MORTGAGE ACCEPT CORP CSFB MTG P ASS THRU CERT SER 2001 S3	DE					X		X			09/25/01	
EARTHCARE CO	DE					X		X			09/28/01	
EATON CORP	OH								X		10/15/01	

ELCOR CORP	DE					X	X			10/18/01		
ELOT INC	VA		X							10/15/01		
ENDO PHARMACEUTICALS HOLDINGS INC	DE					X	X			10/18/01		
ENDOCARE INC	DE					X	X			10/17/01		
ENERGYSOUTH INC	AL					X	X			10/03/01		
ENSCO INTERNATIONAL INC	DE								X	10/17/01		
EPIQ SYSTEMS INC	MO		X					X		10/18/01		
EVISION USA COM INC	CO					X				10/17/01		
EXPEDITORS INTERNATIONAL OF WASHING TON INC	WA								X	10/18/01		
FEDERAL EXPRESS CORP	DE					X	X			10/17/01		
FEDEX CORP	DE					X	X			10/17/01		
FINANCIAL ASSET SE CP SOUNDVIEW HM EQ LN AS BK CER SE 2001-1	DE		X							10/15/01		
FIRST COMMUNITY BANCSHARES INC /NV/	NV					X				10/17/01		
FIRST MIDWEST BANCORP INC	DE					X	X			10/17/01		
FIRST UNION COMM MORT TRUST COMM MO R PAS THR CER SER 1999-C1	NY		X							09/17/01		
FIRST UNION COMMERCIAL MORTGAGE PAS S THR CER SER 2001-C2	NC		X							10/15/01		
FIRSTENERGY CORP	OH					X	X			10/18/01		
FLEETBOSTON FINANCIAL CORP	RI					X				10/17/01		
FLORIDA ROCK INDUSTRIES INC	FL					X	X			10/12/01		
FORD CREDIT AUTO RECEIVABLES TWO LL C	DE					X	X			10/18/01		
FORD MOTOR CO	DE					X	X			10/17/01		
FORD MOTOR CO	DE					X	X			10/18/01		
FORD MOTOR CREDIT CO	DE					X	X			10/17/01		
FORD MOTOR CREDIT CO	DE					X	X			10/18/01		
FORTUNE BRANDS INC	DE					X	X			10/18/01		
FOUNTAIN VIEW INC					X					10/02/01		
FRANKLIN STREET PARTNERS LP					X		X			10/11/01		
FURRS RESTAURANT GROUP INC	DE					X	X			10/11/01		
GE CAPITAL COMMERCIAL MORT CORP PAS THRU CERTS SERIES 2001-2	DE		X							10/11/01		
GENERAL MAGIC INC	DE					X	X			10/15/01		
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GENERAL MOTORS ACCEPTANCE CORP	DE					X						10/18/01
GENERAL MOTORS CORP	DE					X						10/17/01
GENERAL MOTORS CORP	DE								X			10/18/01
GENTNER COMMUNICATIONS CORP	UT		X					X				10/03/01
GEORGIA PACIFIC CORP	GA					X	X					10/18/01
GGEOWORKS /CA/	DE					X	X					10/09/01
GIGA INFORMATION GROUP INC	DE								X			10/17/01
GLOBAL MARINE INC	DE					X	X					10/18/01
GMAC COMMERCIAL MORT SEC INC MORT P AS THR CER SER 2001-C1	NY		X									10/15/01
GREAT AMERICAN BANCORP INC	DE					X	X					10/16/01
GREATER BAY BANCORP	CA					X	X		X			10/17/01
GREATER BAY BANCORP	CA								X			10/17/01 AMEND
GREENBRIAR CORP	NV		X					X				10/03/01
GULF POWER CO	ME					X	X					10/05/01

HAMILTON BANCORP INC	DE		X		X					10/10/01	
HANOVER COMPRESSOR CO /	DE			X	X					10/17/01	
HARRAHS ENTERTAINMENT INC	DE			X	X					10/17/01	
HARTFORD FINANCIAL SERVICES GROUP I	DE							X		10/18/01	
NC/DE											
HEALTHTRONICS SURGICAL SERVICES INC	GA			X						10/17/01	
HORIZON FINANCIAL SERVICES CORP	DE			X	X					10/17/01	
HOUSEHOLD INTERNATIONAL INC	DE			X	X					09/30/01	
HUGHES ELECTRONICS CORP	DE			X						10/17/01	
HUGO INTERNATIONAL TELECOM INC	DE			X		X				10/03/01	AMEND
I TRACK INC	NV			X		X				10/05/01	
IBIS TECHNOLOGY CORP	MA			X		X				10/17/01	
ILLUMINET HOLDINGS INC	DE					X	X			10/18/01	
IMC GLOBAL INC	DE			X						10/15/01	
INDYMAC BANCORP INC	DE			X	X					10/17/01	
INNOVATIVE MEDICAL SERVICES	CA			X						05/24/01	AMEND
INPRIMIS INC	FL			X	X					10/12/01	
INSTINET GROUP INC	DE			X	X					10/17/01	
INTEL CORP	DE		X							10/16/01	
INTERNATIONAL PAPER CO /NEW/	NY			X	X					10/17/01	
IRWIN FINANCIAL CORPORATION	IN			X						09/30/01	
IVC INDUSTRIES INC	DE			X	X					09/21/01	AMEND
JLM INDUSTRIES INC.	DE		X	X	X					10/04/01	
JOE CORP	MT			X						09/30/01	
KELLYS COFFEE GROUP INC	CO			X		X				10/17/01	
KERR MCGEE CORP /DE	DE			X	X					10/17/01	
KEYCORP /NEW/	OH			X	X	X				10/17/01	

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KNICKERBOCKER L L CO INC	CA		X					X			10/09/01	
KRAFT FOODS INC	VA				X	X					10/16/01	
LA QUINTA CORP	DE				X	X					10/16/01	
LA QUINTA PROPERTIES INC	DE				X	X					10/16/01	
LARSCOM INC	DE				X	X					10/18/01	
LEAP TECHNOLOGY INC / DE	DE		X			X					10/18/01	
LEINER HEALTH PRODUCTS INC	DE				X	X					10/18/01	
LEVEL 8 SYSTEMS INC	DE				X	X					10/16/01	
LIBERTY FINANCIAL COMPANIES INC /MA	MA				X	X					10/17/01	
/												
LMI AEROSPACE INC	MO							X	X		10/16/01	
LOEWS CORP	DE				X	X					10/17/01	
MAF BANCORP INC	DE				X	X					10/18/01	
MAKE YOUR MOVE INC	NV			X		X					10/05/01	AMEND
MARKET AMERICA INC	NC				X	X					10/17/01	
MATTSON TECHNOLOGY INC	DE				X						10/08/01	
MAVERICK TUBE CORPORATION	DE				X	X					10/17/01	
MAXXIS GROUP INC	GA			X							10/17/01	
MCDERMOTT INTERNATIONAL INC	RI				X	X					10/17/01	
MEAD CORP	OH				X	X					10/16/01	
MEAD CORP	OH				X						10/18/01	
MEDIC MEDIA INC	DE		X								07/31/01	
MELLON FINANCIAL CORP	PA				X	X					10/16/01	
MERCK & CO INC	NJ					X	X	X			10/18/01	

MERRILL LYNCH & CO INC	DE		X	X						10/18/01	
METASOLV INC	DE				X	X				10/17/01	
METRIS MASTER TRUST	DE		X							08/22/01	
METRIS MASTER TRUST	DE		X							08/22/01	
METRIS MASTER TRUST	DE				X					09/30/01	
METRIS MASTER TRUST	DE				X					09/30/01	
METRIS RECEIVABLES INC	DE		X							08/22/01	
METRIS RECEIVABLES INC	DE		X							08/22/01	
METRIS RECEIVABLES INC	DE				X					09/30/01	
METRIS RECEIVABLES INC	DE				X					09/30/01	
MICROVISION INC	WA		X	X						10/17/01	
MISSION RESOURCES CORP	DE		X	X						10/17/01	
MODINE MANUFACTURING CO	WI		X							10/17/01	
MONY GROUP INC	DE		X	X						10/18/01	
MORGAN STANLEY DEAN WIT CAP I INC M	NY	X								10/17/01	
OR PAS-THR CER SER 2001-1											
MORGAN STANLEY DEAN WITTER CAP I IN	DE	X								10/15/01	
C DEP FOR SER 2001-TOP1											

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MORTGAGE PASS THROUGH CERTIFICATES	NY	X										10/15/01	
SERIES 2001-CIBC2													
MPOWER HOLDING CORP	DE				X	X						08/28/01	
MULTINET INTERNATIONAL CORP INC	NV	X										10/16/01	AMEND
MULTINET INTERNATIONAL CORP INC	NV	X										10/16/01	AMEND
NATIONAL CITY CORP	DE				X	X	X					10/18/01	
NATIONAL FUEL GAS CO	NJ				X							10/16/01	
NATIONAL FUEL GAS CO	NJ				X							10/17/01	
NATIONAL PROCESSING INC	OH				X	X						10/17/01	
NETGATEWAY INC	DE				X							10/17/01	
NETOPIA INC	DE	X				X						10/11/01	
NETRADIO CORP	MN				X	X						10/17/01	
NEW CENTURY HOME EQUITY LOAN TRUST	DE	X				X						09/30/01	
SERIES 2001-NC2													
NEW WORLD RESTAURANT GROUP INC	DE				X							10/17/01	
NEWAGECITIES COM INC	FL				X							10/17/01	
NEXT GENERATION TECHNOLOGY HOLDINGS	DE	X				X						10/09/01	
INC													
NORTH AMERICAN TECHNOLOGIES GROUP I	DE				X	X						10/02/01	
NC /MI/													
NORTH VALLEY BANCORP	CA				X	X						10/17/01	
NQL INC	DE				X	X						10/10/01	AMEND
NS GROUP INC	KY					X	X					10/17/01	
NUEVO ENERGY CO	DE					X	X					10/17/01	
OFFICE DEPOT INC	DE				X	X						10/17/01	
ONYX ACCEPTANCE FINANCIAL CORP	DE				X	X						10/16/01	
ONYX ACCEPTANCE FINANCIAL CORP	DE				X	X						10/17/01	
OPTICAL CABLE CORP	VA	X				X						10/02/01	
ORGANOGENESIS INC	DE				X	X						10/12/01	
OSI PHARMACEUTICALS INC	DE				X	X						09/28/01	
PACEL CORP	VA	X				X						09/18/01	
PARADIGM GENETICS INC					X	X						10/16/01	
PEGASUS MEDIA & COMMUNICATIONS INC	DE				X							10/12/01	

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PEGASUS SATELLITE COMMUNICATIONS IN C	DE										X			10/12/01	
PEOPLES SIDNEY FINANCIAL CORP	DE										X	X		10/16/01	
PINNACLE WEST CAPITAL CORP	AZ										X	X	X	10/18/01	
PNC MORT SEC CORP COM MORT PASS THR CERT SER 2000-C1	MO										X	X		10/15/01	
PNC MORTGAGE ACCEPTANCE CORP	MO										X	X		10/12/01	
PUBLIC STORAGE INC /CA	CA										X	X		10/16/01	
PULTE CORP	MI										X	X		10/17/01	
RAINWIRE PARTNERS INC /DE/	DE										X			03/05/01	
RALCORP HOLDINGS INC /MO	MO										X	X		10/16/01	
RDO EQUIPMENT CO	DE										X	X		10/16/01	
REDBACK NETWORKS INC	DE										X	X		10/17/01	
REGIONS FINANCIAL CORP	DE										X	X	X	10/18/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE										X	X		10/17/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE										X	X		10/19/01	
RESIDENTIAL FUNDING MORTGAGE SECURITIES II INC	DE										X			09/27/01	
RIDGEWOOD HOTELS INC	DE										X			04/30/01	AMEND
ROHN INDUSTRIES INC	DE										X	X		10/17/01	
RSA SECURITY INC/DE/	DE										X			10/15/01	
RSA SECURITY INC/DE/	DE										X	X		10/17/01	
RUSSELL-STANLEY HOLDINGS INC	DE										X	X		10/17/01	
SALOMON SMITH BARNEY HOLDINGS INC	DE										X			09/30/01	
SHIRE PHARMACEUTICALS GROUP PLC											X	X		10/12/01	
SHOCHET HOLDING CORP											X			10/10/01	AMEND
SICOR INC	DE										X	X		10/10/01	
SIMMONS FIRST NATIONAL CORP	AR										X			09/30/01	
SK TECHNOLOGIES CORP	DE										X	X		12/31/01	
SOUTHWESTERN ENERGY CO	AR										X			10/17/01	
STANLEY WORKS	CT										X	X	X	10/18/01	
STERLING BANCSHARES INC	TX										X	X		10/18/01	
STONEPATH GROUP INC	DE									X				10/05/01	
STRUCTURED ASSET MORTGAGE INVESTMENTS INC	DE										X	X		10/15/01	
STRUCTURED ASSET SEC CORP MORT PASS -THR CERT SER 2001-14A	DE										X	X		09/25/01	
STRUCTURED PRODUCTS CORP	DE										X	X		10/16/01	
SUMMIT BROKERAGE SERVICES INC / FL											X	X		10/04/01	AMEND
SUPREME INDUSTRIES INC	DE										X			10/15/01	AMEND
SYMANTEC CORP	DE										X	X		10/17/01	
SYNOVUS FINANCIAL CORP	GA										X	X		10/17/01	
SYSCO CORP	DE										X	X		10/17/01	
TANDY BRANDS ACCESSORIES INC	DE										X	X		10/17/01	
TELIGENT INC	DE										X	X		10/15/01	
TELLABS INC	DE										X			10/17/01	
TERADYNE INC	MA										X	X		09/05/01	
TIMBERLINE SOFTWARE CORPORATION	OR										X	X	X	10/17/01	
TIMKEN CO	OH										X			10/17/01	

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		1	2	3	4	5	6	7	8	9		
TIPPERARY CORP	TX					X			X		08/20/01	
TITAN CORP	DE	X							X		08/04/01	AMEND
TRADING SOLUTIONS COM INC	NV								X		10/12/01	
TRANSCRIPT INTERNATIONAL INC	DE			X					X		10/12/01	
TRANSTECH INDUSTRIES INC	DE					X					10/16/01	
TRICON GLOBAL RESTAURANTS INC	NC					X					09/08/01	
UAL CORP /DE/	DE					X			X		10/17/01	
UNICOMP INC	CO			X					X		10/18/01	
UNION BANKSHARES INC	VT					X					10/16/01	
UNION PACIFIC CORP	UT					X			X		10/18/01	
UNION PACIFIC RAILROAD CO/DE	DE					X			X		10/18/01	
UNION PLANTERS CORP	TN					X			X		10/18/01	
UNITED BANCSHARES INC/OH	OH					X			X		10/17/01	
UNITED COMMUNITY FINANCIAL CORP	OH					X					10/17/01	
UNITED SURGICAL PARTNERS INTERNATIO NAL INC	DE								X	X	10/17/01	
UNIVERSAL BANK NA	DE					X					09/30/01	
US BANCORP \DE\	DE					X					10/16/01	
VECTREN UTILITY HOLDINGS INC	IN					X			X		10/12/01	
VESTA INSURANCE GROUP INC	DE					X			X		10/16/01	
VIRTUALSELLERS COM INC									X		08/03/01	AMEND
VISIONICS CORP	DE					X			X		10/11/01	
WASHINGTON MUTUAL INC	WA					X			X		10/16/01	
WASTE CONVERSION SYSTEMS INC	NV					X					05/15/01	AMEND
WAUSAU MOSINEE PAPER MILLS CORP	WI					X			X	X	10/17/01	
WESTERN GAS RESOURCES INC	DE					X					10/15/01	
WESTVACO CORP	DE					X			X		10/16/01	
WESTVACO CORP	DE					X					10/18/01	
WHIRLPOOL CORP /DE/	DE					X			X		10/16/01	
WHITNEY HOLDING CORP	LA					X					09/30/01	
WICHITA DEVELOPMENT CORP	NV					X			X		10/17/01	
WILLIAMS SONOMA INC	CA					X			X		10/03/01	
WILSONS THE LEATHER EXPERTS INC	MN					X					10/11/01	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH								X		09/15/01	
XSTREAM BEVERAGE GROUP INC	NV	X	X			X	X	X			10/16/01	
5 STARLIVING ONLINE INC	DE	X	X					X			10/02/01	