

# SEC NEWS DIGEST

Issue 2001-62

March 30, 2001

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## ENFORCEMENT PROCEEDINGS

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### **SEC FILES SETTLED CEASE AND DESIST PROCEEDING AGAINST NATIONAL STEEL CORPORATION BASED UPON IMPROPER ACCOUNTING FOR RESERVES**

On March 29, the Commission filed a settled administrative proceeding against National Steel Corporation, a steel manufacturer headquartered in Mishawaka, Indiana, for violations that resulted from booking excessive reserves and from improperly releasing those reserves to income in later periods. Without admitting or denying the findings therein, National Steel consented to the issuance of a Commission Order which finds that National Steel allowed numerous liability accrual and asset allowance accounts to become overaccrued by approximately \$51 million as of 1997. Further, once National Steel discovered the overaccrued reserves, it did not adjust the accounts in conformity with Generally Accepted Accounting Principles. Instead, National Steel's former controller and vice president of finance ordered the reserves to be reduced gradually, by taking even dollar amounts from the reserves into income over several reporting periods, without disclosing these adjustments to the public. The overaccrued reserves caused National Steel's balance sheets to be materially false and misleading for the years 1992 through 1996, and the undisclosed gradual reductions of the reserves caused National Steel's income statements to be materially false and misleading for certain quarters in 1996 and 1997. In 1998, National Steel restated its financial statements for the years 1992 through the second quarter of 1997. The Commission ordered National Steel to cease and desist from violating the reporting, books and records and internal controls provisions of the federal securities laws [Sections 13(a), 13(b)(2)(A) and 13(b)(2)(B) of the Securities Exchange Act of 1934 and Rules 12b-20, 13a-1 and 13a-13 thereunder] (Rel. 34-44130, AAE Rel 1378, File No. 3-10446)

### **MICHAEL KEATING AND KEATING ADVISORY GROUP SANCTIONED FOR VIOLATING ANTIFRAUD PROVISIONS**

On March 29, the Commission issued an Order Instituting Public Proceedings, Making Findings and Imposing Remedial Sanctions against Michael P. Keating and Keating Advisory Group, an investment advisory firm registered with the Commission. Both are residents of Maryland. Keating and Keating Advisory Group consented to the entry of the Commission's Order without admitting or denying the findings contained therein.

The Commission, in its Order, found that from November 1996 through July 1997, Andrew P. Bodnar and another individual directed a fraudulent scheme through which they, together with other sales representatives, raised approximately \$6.4 million from the sale of unregistered securities in CBT Holding Corporation and CBT-Ohio Ltd to at least 148 public investors. The investors were told that their funds would be used to purchase low-risk financial instruments. However, Bodnar misappropriated most of the funds to pay for his business and personal expenses.

During this period, Keating, through Keating Advisory Group, sold at least \$3 million of CBT and CBT-Ohio securities to 69 investors. As part of his sales efforts, Keating made material misrepresentations about the nature of, and the degree of risk inherent in, investments in CBT and CBT-Ohio. Specifically, he told many investors that their funds would be invested in certificates of deposit secured by a "world bank." He also either expressly told or led investors to believe that the 12 percent rate of return was "guaranteed" and/or "backed by the full faith and credit of the United States." However, Keating either knew or was reckless in not knowing that these statements were false. In this regard, he failed to review even the most basic documentation regarding the financial conditions of these companies even though he knew that Bodnar intended to use some of the proceeds from investments in CBT and CBT-Ohio to repay investors in another failed venture which Bodnar had sponsored.

The Commission also found that Keating and Keating Advisory Group consented, without admitting or denying the allegations in the Commission's complaint, to the entry of an order by the District Court for the Northern District of Ohio enjoining them from future violations of Sections 5(a) and 5(c) of the Securities Act of 1933 (Securities Act), Section 15(a) of the Securities Exchange Act of 1934 (Exchange Act) and Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

On the basis of its findings, Keating's and Keating Advisory Group's Offer of Settlement and the entry of the injunction referenced above, the Commission ordered that: (i) Keating be barred from association with any broker, dealer or investment adviser; and (ii) the registration of Keating Advisory Group be revoked. (Rel. 34-44132; IA-1934; File No. 3-10447)

## **CORRECTION**

An error appeared in yesterday's Digest in a summary entitled "SEC SUES SEAN ST HEART FOR INTERNET FRAUD". The summary should have read as follows:

### **SEC SUES SEAN ST. HEART FOR INTERNET FRAUD**

On March 29, the Commission filed a complaint in the United States District Court for the District of Columbia alleging that Sean E. St. Heart, age 25, engaged in an illegal cyber smear by posting a false message about NCO Group, Inc. on the Yahoo! Finance

Internet message board St. Heart's message had a dramatic impact on NCO's stock price, causing its market capitalization to drop by over \$200 million.

The Commission's complaint specifically alleges that on Friday night, December 3, 1999, St. Heart posted a false message on Yahoo! in which he claimed that he, as the President and CEO of St. Heart Productions, together with twelve other companies, had prepared a \$20 million lawsuit against NCO for its "business practices." The message was false in that St. Heart (1) had not prepared such a lawsuit, (2) had not joined with any other company in connection with such a lawsuit, and (3) had no basis to claim that he had been damaged in the amount of \$20 million.

The complaint alleges that on the same day, St. Heart received a telephone call about an unpaid debt from someone engaged by NCO, a public company engaged in accounts receivable management services. Several hours after he received that call, St. Heart posted his fraudulent message with the knowledge, or reckless disregard of the fact, that the message would materially impact NCO's stock price.

The complaint alleges that St. Heart's message had a dramatic impact on NCO's stock price. Over the next two trading days, NCO's stock price dropped \$12 3/16, or 28 percent, to \$34 5/16 from its closing price of \$46 9/16 on Friday, December 3, 1999, representing a loss of over \$200 million of NCO's market capitalization. NCO's trading volume also surged to 2.9 million shares on December 7, 1999 – representing a ninefold increase relative to the average three-month daily volume.

Simultaneously with the filing of the Commission's complaint, St. Heart, without admitting or denying the Commission's allegations, consented to the entry of a judgment permanently enjoining him from violating the antifraud provisions of the federal securities laws – Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. St. Heart further consented to the entry of a judgment that waives the imposition of a monetary penalty based on his demonstrated inability to pay. [SEC v. Sean Edward St. Heart, Civ. Action No. 01-CV-00695, TPJ, D.D.C.] (LR-16947A)

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## HOLDING COMPANY ACT RELEASES

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### THE SOUTHERN COMPANY

An order has been issued authorizing a proposal by The Southern Company (Southern), a registered holding company. Southern requested an extension of the authorization period during which it may issue short-term notes, term-loan notes and commercial paper in an aggregate principal amount not to exceed \$2 billion outstanding at any time. (Rel. 35-27367)

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## SELF-REGULATORY ORGANIZATIONS

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### AMENDMENT TO THE OPTIONS INTERMARKET LINKAGE PLAN

The American Stock Exchange, Chicago Board Options Exchange, International Securities Exchange, Pacific Exchange, and Philadelphia Stock Exchange submitted a proposed amendment to the Options Intermarket Linkage Plan to conform the Linkage Plan to the requirements of the recently-adopted Exchange Act Rule 11Ac1-7, the Trade-Through Disclosure Rule, pursuant to Section 11A(a)(3) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel 34-44106)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-00-14) under Section 19(b)(3)(A) of the Exchange Act. The proposed rule change, effective upon filing, allows NSCC to make a technical correction regarding the implementation date to an enhancement of the Automated Customer Account Service. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel 34-44124)

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-00-10) under Section 19(b)(3)(A) of the Exchange Act. The proposed rule change, effective upon filing, allows EMCC to establish fees for the preparation of volatility and liquidity rating reports. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel 34-44125)

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-01-01) under Section 19(b)(1) of the Exchange Act. The proposed rule change, which became effective upon filing, allows NSCC to provide mutual fund/insurance services members and future members with a one-time partial discount of their year 2000 service fees. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel 34-44126)

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-01-02) under Section 19(b)(1) of the Exchange Act. The proposed rule change, which became effective upon filing, allows NSCC to modify the membership criteria for Rule 51 fund members. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel. 34-44127)

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-01-03) under Section 19(b)(1) of the Exchange Act. The proposed rule change, which became effective upon filing, allows NSCC to adjust fees that NSCC charges for various services that are part of NSCC's Insurance Processing Service.

effective with respect to services provided on or after February 1, 2001. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel. 34-44128)

The Stock Clearing Corporation of Philadelphia filed a proposed rule change (SR-SCCP-01-02) under Section 19(b)(1) of the Exchange Act. The proposed rule change would allow SCCP to delete SCCP Rule 20 regarding statements. Publication of the proposal is expected in the Federal Register during the week of April 2. (Rel. 34-44129)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

- S-8 BEAR STEARNS COMPANIES INC, 245 PARK AVE, NEW YORK, NY 10167  
(212) 272-2000 - 414,153 (\$18,467,082) COMMON STOCK. (FILE 333-57460 -  
MAR. 23) (BR. 7)
- S-8 FAIRCHILD INTERNATIONAL CORP, 596 HORNBY STREET SUITE 600,  
VANCOUVER CANADA, (604) 646-5614 - 1,900,000 (\$171,000) COMMON STOCK.  
(FILE 333-57466 - MAR. 23) (BR. 9)
- S-8 ACXIOM CORP, 1 INFORMATION WAY, P O BOX 8180, LITTLE ROCK, AR 72203  
(581) 342-1000 - 2,500,000 (\$52,500,000) COMMON STOCK. (FILE 333-57470  
MAR. 23) (BR. 3)
- SB-2 FLORIDA COASTLINE COMMUNITY GROUP INC, 8720 NORTH KENDALL DRIVE,  
SUITE 114, MIAMI, FL 33156 (305) 595-8282 - 1,000,000 (\$10,000,000)  
COMMON STOCK. (FILE 333-57472 - MAR. 23) (NEW ISSUE)
- S-3 CAMPBELL SOUP CO, CAMPBELL PL, CAMDEN, NJ 08103 (609) 342-4800 (FILE  
333-57474 - MAR. 23) (BR. 4)
- S-8 SOUTHWEST AIRLINES CO, 2702 LOVE FIELD DR, P O BOX 36611, DALLAS, TX  
75235 (214) 792-4000 - 27,000 (\$467,910) COMMON STOCK. (FILE 333-57478  
MAR. 23) (BR. 5)

- S-3 DIASYS CORP, 49 LEAVENWORTH ST, WATERBURY, CT 06702 (203) 755-5083 -  
335,000 (\$351,750) COMMON STOCK. (FILE 333-57480 - MAR. 23) (BR. 5)
- S-8 AES CORPORATION, 1001 N 19TH ST, STE 2000, ARLINGTON, VA 22209  
(703) 522-1315 - 600,000 (\$26,772,000) COMMON STOCK. (FILE 333-57482 -  
MAR. 23) (BR. 2)
- S-8 MCMORAN EXPLORATION CO /DE/, 1615 POYDRAS ST, NEW ORLEANS, LA 70112  
(504) 582-4000 - 750,000 (\$9,656,250) COMMON STOCK. (FILE 333-57484 -  
MAR. 23) (BR. 4)
- S-8 MAXYGEN INC, 515 GALVESTON DRIVE, REDWOOD CITY, CA 94063 (650) 298-  
5300  
- 3,293,069 (\$37,015,433.66) COMMON STOCK. (FILE 333-57486 - MAR. 23)  
(BR. 1)
- S-8 GATEWAY INC, 610 GATEWAY DR, NORTH SIOUX CITY, SD 57049 (605) 232-  
2594  
- 37,000,000 (\$587,560,000) COMMON STOCK. (FILE 333-57488 - MAR. 23)  
(BR. 3)
- S-8 TRANSTECH INDUSTRIES INC, 200 CENTENNIAL AVE, PISCATAWAY, NJ 08854  
(908) 981-0777 - 150,000 (\$9,000) COMMON STOCK. (FILE 333-57490 - MAR.  
23)  
(BR. 6)
- S-8 BANK JOS A CLOTHIERS INC /DE/, 500 HANOVER PIKE, HAMPSTEAD, MD 21074  
(410) 239-2700 - 600,000 (\$2,046,000) COMMON STOCK. (FILE 333-57492 -  
MAR. 23) (BR. 2)
- S-3 WHOLESALE AUTO RECEIVABLES CORP, CORPORATE TRUST CENTER,  
1209 ORANGE STREET, WILMINGTON, DE 19801 (302) 658-7851 - 8,125,000,000  
(\$8,125,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-57494 - MAR.  
23)  
(BR. 8)
- S-8 USA TECHNOLOGIES INC, 200 PLANT AVENUE, WAYNE, PA 19087 (610) 989-  
0340  
- 200,000 (\$212,000) COMMON STOCK. (FILE 333-57496 - MAR. 23) (BR. 8)
- S-8 INTRUSION COM INC, 1101 ARAPAHO ROAD, RICHARDSON, TX 75081  
(972) 234-6400 - 850,000 (\$3,000,500) COMMON STOCK. (FILE 333-57498 -  
MAR. 23) (BR. 3)
- S-8 PERVASIVE SOFTWARE INC, 12365 RIATA TRACE PARKWAY, AUSTIN, TX 78727  
(512) 231-6000 - 1,000,000 (\$1,090,000) COMMON STOCK. (FILE 333-57500  
MAR. 23) (BR. 3)
- S-8 Q COMM INTERNATIONAL INC, 1145 SOUTH 1680 WEST, OREM, UT 84058  
(801) 226-4222 - 1,480,000 (\$503,200) COMMON STOCK. (FILE 333-57502 -  
MAR. 23) (BR. 9)

S-8 CROWN CORK & SEAL CO INC, ONE CROWN WAY, PHILADELPHIA, PA 19154  
 (215) 698-5100 - 2,000,000 (\$7,420,000) COMMON STOCK. (FILE 333-57504  
 -  
 MAR. 23) (BR. 6)

S-8 CROWN CORK & SEAL CO INC, ONE CROWN WAY, PHILADELPHIA, PA 19154  
 (215) 698-5100 - 1,000,000 (\$3,710,000) COMMON STOCK. (FILE 333-57506  
 -  
 MAR. 23) (BR. 6)

S-3 WEINGARTEN REALTY INVESTORS /Tks/, 2600 CITADEL PLAZA DR, SUITE 300,  
 HOUSTON, TX 77292 (713) 866-6000 - 500,000,000 (\$500,000,000) COMMON  
 STOCK.  
 (FILE 333-57508 - MAR. 23) (BR. 8)

S-3 NORTEL NETWORKS CORP, 8200 DIXIE ROAD, SUITE 100, BRAMPTON ONT CANA,  
 A6  
 00000 (905) 863-1103 - 65,658,278 (\$1,053,097,225) COMMON STOCK. (FILE  
 333-57510 - MAR. 23) (BR. 7)

S-3 CATERPILLAR INC, 100 NE ADAMS ST, PEORIA, IL 61629 (309) 675-1000  
 (FILE  
 333-57512 - MAR. 23) (BR. 5)

SE-1 RED BELL BREWING CO, 3100 WEST JEFFERSON STREET, PHILADELPHIA, PA  
 19121  
 (215) 235-2460 - 1,983,663 (\$9,087,758.50) COMMON STOCK. (FILE 333-  
 57516 -  
 MAR. 23) (BR. 5)

S-1 SMITH & WOLLENSKY RESTAURANT GROUP INC, 114 1ST AVENUE, NEW YORK, NY  
 10021 (212) 838-2061 - \$57,500,000 COMMON STOCK. (FILE 333-57518 -  
 MAR. 23) (NEW ISSUE)

S-3 ESTEE LAUDER COMPANIES INC, 767 FIFTH AVE, NEW YORK, NY 10153  
 (212) 572-4200 - \$341,150,000 COMMON STOCK. (FILE 333-57520 - MAR. 23)  
 (BR. 2)

S-9 LATITUDE COMMUNICATIONS INC, 2121 TASMAN DRIVE, SANTA CLARA, CA  
 95054 -  
 993,017 (\$2,944,929) COMMON STOCK. (FILE 333-57522 - MAR. 23) (BR. 3)

S-8 VISX INC, 3400 CENTRAL EXPRESSWAY, SANTA CLARA, CA 95051 (408) 733-  
 2020  
 - 6,000,000 (\$100,440,000) COMMON STOCK. (FILE 333-57524 - MAR. 23)  
 (BR. 5)

S-4 EQUITY OFFICE PROPERTIES TRUST, TWO NORTH RIVERSIDE PLZ, SUITE 2200,  
 CHICAGO, IL 60606 (312) 466-3300 - 122,179,170 (\$3,406,725,501)  
 COMMON SHARES OF BENEFICIAL INTEREST. 14,250,000 (\$346,048,750)  
 PREFERRED STOCK. (FILE 333-57526 - MAR. 23) (BR. 8)

S-3 INSIGNIA SOLUTIONS PLC, 41300 CHRISTY ST, FREMONT, CA 94538  
 (510) 360-3700 - 1,435,000 (\$6,031,305) FOREIGN COMMON STOCK. (FILE  
 333-57528 - MAR. 23) (BR. 3)

S-3 VANDERBILT MORTGAGE & FINANCE INC, 500 ALOCA TRAIL, MARYVILLE, TN  
37804  
(423) 380-3000 - 2,500,000,000 (\$2,500,000,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-57532 - MAR. 23) (BR. 8)

S-8 SUREBEAM CORP, 3033 SCIENCE PARK ROAD, SAN DIEGO, CA 92121  
(858) 552-9480 - 10,395,937 (\$18,590,270) COMMON STOCK. (FILE 333-  
57534 -  
MAR. 23) (BR. 5)

S-8 HANDSPRING INC, 189 BERNARDO AVNEUE, SUITE 300, MOUNTAIN VIEW, CA  
94043  
(650) 230-5000 - 7,676,135 (\$107,146,323) COMMON STOCK. (FILE 333-  
57536 -  
MAR. 23) (BR. 4)

S-8 MEDIA GENERAL INC, 333 E GRACE ST, RICHMOND, VA 23219 (804) 649-6000  
-  
3,000,000 (\$3,000,000) COMMON STOCK. (FILE 333-57538 - MAR. 23) (BR.  
5

S-8 LAWRENCE FINANCIAL HOLDINGS INC, 311 SOUTH FIFTH STREET, IRONTON, OH  
45638 (740) 532-0263 - 10,000 (\$116,250) COMMON STOCK. (FILE 333-57540  
-  
MAR. 23) (BR. 7)

S-8 CYPRESS SEMICONDUCTOR CORP /DE/, 3901 N FIRST ST, SAN JOSE, CA 95134  
(408) 943-2600 - 1,347,020 (\$6,108,392.17) COMMON STOCK. (FILE 333-  
57542 -  
MAR. 26) (BR. 5)

S-8 VIEW SYSTEMS INC, 825 W KENYON AV, SUITE 15, ENGLEWOOD, CO 80110  
(303) 295-7200 - 100,000 (\$61,000) COMMON STOCK. (FILE 333-57544 -  
MAR. 23) (BR. 9)

S-3 DISCOVER CARD MASTER TRUST I, C/O DISCOVER BANK, 12 READS WAY,  
NEW CASTLE, DE 19720 (302) 323-7434 - 12,000,000,000 (\$2,000,000,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-57556 - MAR. 26) (BR. 8)

S-8 MEDIX RESOURCES INC, 7100 E BELLEVIEW AVE, SUITE 301, ENGLEWOOD, CO  
80111 (303) 741-4828 - 3,000,000 (\$1,950,000) COMMON STOCK. (FILE  
333-57558 - MAR. 26) (BR. 8)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.



- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

| NAME OF ISSUER                                     | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| ABN AMRO MORTGAGE CORP                             | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1998-<br>2           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1998-<br>3           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1998-<br>4           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1998-<br>5           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>1           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>2           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>3           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>4           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>5           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>6           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>7           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 1999-<br>8           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 2000-<br>1           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 2000-<br>2           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 2000-<br>3           | DE            | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ABN AMRO MORTGAGE CORP SERIES 2000-<br>4           |               | X           |   |   |   |   |   |   |   |   | 03/26/01 |         |
| ACCEPTANCE INSURANCE COMPANIES INC                 | DE            |             |   |   | X |   |   |   |   |   | 03/15/01 |         |
| ADC TELECOMMUNICATIONS INC                         | MN            |             |   |   | X | X |   |   |   |   | 03/28/01 |         |
| ALTAIR INTERNATIONAL INC                           | A6            |             |   |   | X | X |   |   |   |   | 03/20/01 | AMEND   |
| AMERICAN EXPRESS CENTURION BANK                    | UT            |             |   |   | X | X |   |   |   |   | 03/23/01 |         |
| AMERICAN EXPRESS CREDIT ACCOUNT MAS<br>TER TRUST   | DE            |             |   |   | X | X |   |   |   |   | 03/23/01 |         |
| AMERICAN EXPRESS RECEIVABLES FINANC<br>ING CORP II | DE            |             |   |   | X | X |   |   |   |   | 03/23/01 |         |
| AMERICAN SKIING CO /ME                             | DE            |             |   |   | X | X |   |   |   |   | 03/28/01 |         |



| NAME OF ISSUER   | STATE | BK ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| COMPASS BANCSHARES INC   | DE    |             |   |   |   | X |   |   |   |   | 02/28/01 |         |
| COMPOSITE SOLUTIONS INC  | FL    |             |   |   |   | X |   |   |   |   | 03/28/01 |         |
| CONCENTRA OPERATING CORP   | NV    |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| CONOCO INC /DE   | DE    |             |   |   |   |   | X |   | X |   | 03/29/01 |         |
| CONOCO INC /DE   | DE    |             |   |   |   |   | X |   | X |   | 03/29/01 | AMEND   |
| CONSECO FINANCE CORP   | DE    |             |   |   |   | X | X |   |   |   | 03/15/01 |         |
| CONSECO FINANCE CORP   | DE    |             |   |   |   | X | X |   |   |   | 03/15/01 |         |
| CONSECO FINANCE CORP   | DE    |             |   |   |   | X | X |   |   |   | 03/15/01 |         |
| CONSTELLATION 3D INC   | FL    |             |   |   |   | X |   |   |   |   | 03/28/01 |         |
| CRAFTCLICK COM INC   | UT    | X           |   |   |   |   |   | X |   |   | 03/27/01 |         |
| CREDIT SUISSE FIR BO MO SEC CORP CD<br>MC MO BK PA TH CER 2001-2 | DE    |             |   |   |   | X |   | X |   |   | 02/27/01 |         |
| CREDIT SUISSE FIRST BOSTON MORTGAGE<br>SECURITIES CORP           | DE    |             |   |   |   | X | X |   |   |   | 03/23/01 | AMEND   |
| CREDIT SUISSE FIRST BOSTON MORTGAGE<br>SECURITIES CORP           | DE    |             |   |   |   | X | X |   |   |   | 03/26/01 |         |
| CREDIT SUISSE FIRST BOSTON MORTGAGE<br>SECURITIES CORP           | DE    |             |   |   |   |   |   | X |   |   | 03/28/01 |         |
| CREDIT SUISSE FIRST BOSTON MORTGAGE<br>SECURITIES CORP           | DE    |             |   |   |   | X | X |   |   |   | 03/28/01 |         |
| CRI HOTEL INCOME PARTNERS L P                                    | DE    |             |   |   |   | X |   |   |   |   | 03/19/01 |         |
| CROWN MEDIA HOLDINGS INC   | DE    |             |   |   |   | X | X |   |   |   | 03/28/01 |         |
| CT COMMUNICATIONS INC /NC  | NC    |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| DAMARK INTERNATIONAL INC   | MN    |             |   |   |   | X | X |   |   |   | 02/27/01 | AMEND   |
| DARLING INTERNATIONAL INC  | DE    |             |   |   |   |   | X | X |   |   | 03/28/01 |         |
| DELHAIZE AMERICA INC   | NC    |             |   |   |   |   |   |   | X |   | 03/29/01 |         |
| DELPHI AUTOMOTIVE SYSTEMS CORP                                   | DE    |             |   |   |   | X |   |   | X |   | 03/29/01 |         |
| DERMA SCIENCES INC   | PA    |             |   |   |   | X |   |   |   |   | 03/01/01 |         |
| DIAL CORP /NEW/  | DE    | X           |   |   |   |   |   |   |   |   | 03/28/01 |         |
| DIGITAL GENERATION SYSTEMS INC                                   | CA    |             |   |   |   |   |   | X |   |   | 01/18/01 | AMEND   |
| DORAL FINANCIAL CORP   | PR    |             |   |   |   | X | X |   |   |   | 03/28/01 |         |
| DORSEY TRAILERS INC  | DE    |             |   |   |   | X | X |   |   |   | 01/31/01 |         |
| DORSEY TRAILERS INC  | DE    |             |   |   |   | X | X |   |   |   | 03/01/01 |         |
| DORSEY TRAILERS INC  | DE    |             |   |   |   | X | X |   |   |   | 03/22/01 |         |
| EDUCATION LOAN <sup>C</sup> INC /DE                              | DE    |             |   |   |   | X | X |   |   |   | 03/26/01 |         |
| EL PASO CORP/DE  | DE    |             |   |   |   | X | X |   |   |   | 03/29/01 |         |
| ELMERS RESTAURANTS INC   | OR    |             |   |   |   |   |   |   | X |   | 03/29/01 |         |
| EMTEC INC/NJ   | UT    |             |   |   |   |   |   | X |   |   | 01/31/01 | AMEND   |
| EMTEC INC/NJ   | UT    |             |   |   |   |   |   | X | X |   | 03/22/01 |         |
| EMTEC INC/NJ   | UT    |             |   |   |   | X |   | X | X |   | 03/22/01 |         |
| ENTERCOM COMMUNICATIONS CORP                                     | PA    |             |   |   |   | X |   | X |   |   | 03/28/01 |         |
| ENVIRONMENTAL OIL PROCESSING TECHNO<br>LOGY CORP                 | UT    | X           |   |   |   | X |   |   |   |   | 03/08/01 |         |
| ERESOURCE CAPITAL GROUP INC                                      | DE    |             |   |   |   |   |   | X |   |   | 02/13/01 | AMEND   |
| ESAT INC   | NV    |             |   |   |   | X |   |   |   |   | 03/28/01 |         |
| ESYNCH CORP/CA   | DE    |             |   |   |   | X | X |   |   |   | 12/31/00 | AMEND   |
| EXPRESS SCRIPTS INC  | DE    | X           |   |   |   |   |   |   |   |   | 03/27/01 |         |
| FC BANC CORP   | OH    |             |   |   |   | X | X |   |   |   | 03/28/01 |         |
| FENTURA BANCORP INC  | MI    |             |   |   |   | X |   | X |   |   | 03/26/01 |         |
| FILM ROMAN INC   | DE    |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| FIRST FINANCIAL CORP /IN/  | IN    |             |   |   |   | X | X |   |   |   | 03/22/01 |         |
| FIRST HORIZON ASSET SECURITIES INC                               | DE    |             |   |   |   | X | X |   |   |   | 03/26/01 |         |
| FIRST HORIZON ASSET SECURITIES INC                               | DE    |             |   |   |   | X | X |   |   |   | 03/26/01 |         |
| FIRST INDUSTRIAL LP  | DE    |             |   |   |   | X |   |   |   |   | 03/29/01 |         |

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|   | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |      |          |  |
| FIRST UNION COMMERCIAL MORTGAGE SEC<br>URITIES INC              | NC    | X           |   |   |   |   |   |   |   |   |      | 03/18/01 |  |
| FIRST UNION COMMERCIAL MORTGAGE SEC<br>URITIES INC              | NC    |             |   |   |   | X |   | X |   |   |      | 03/27/01 |  |
| FISHER COMMUNICATIONS INC                                       | WA    | X           |   |   |   |   |   | X |   |   |      | 03/16/01 |  |
| FORD MOTOR CO   | DE    |             |   |   |   | X |   |   |   |   |      | 03/29/01 |  |
| FORD MOTOR CREDIT CO  | DE    |             |   |   |   | X |   |   |   |   |      | 03/29/01 |  |
| FORELAND CORP   | NV    |             |   |   | X |   |   | X |   |   |      | 03/22/01 |  |
| FORTUNE BRANDS INC  | DE    |             |   |   |   |   |   | X |   | X |      | 03/28/01 |  |
| FREDS INC   | TN    |             |   |   |   | X |   | X |   |   |      | 03/19/01 |  |
| FULLER H B CO   | MN    |             |   |   |   | X |   | X |   |   |      | 03/27/01 |  |
| GENCORP INC   | OH    |             |   |   |   | X |   | X |   |   |      | 03/28/01 |  |
| GENERAL MAGIC INC   | DE    |             |   |   |   | X |   | X |   |   |      | 03/28/01 |  |
| GENERAL MOTORS CORP   | DE    |             |   |   |   |   |   |   |   | X |      | 03/29/01 |  |
| GENESCO INC   | TN    |             |   |   |   |   |   |   |   | X |      | 03/29/01 |  |
| GREEN TREE FLOORPLAN RECIEVABLES MA<br>STER TRUST               | MN    |             |   |   |   | X |   | X |   |   |      | 03/15/01 |  |
| GREEN TREE FLOORPLAN RECIEVABLES MA<br>STEP TRUST               | MN    |             |   |   |   | X |   | X |   |   |      | 03/15/01 |  |
| GREEN TREE FLOORPLAN RECIEVABLES MA<br>STER TRUST               | MN    |             |   |   |   | X |   | X |   |   |      | 03/15/01 |  |
| GREEN TREE FLOORPLAN RECIEVABLES MA<br>STER TRUST               | MN    |             |   |   |   | X |   | X |   |   |      | 03/15/01 |  |
| GREENPOINT HM EQ LN AS BK NT SR 200<br>0-1 CL A2 VA RT AS BK NT |       |             |   |   |   | X |   | X |   |   |      | 02/15/01 |  |
| GREENPOINT HOME EQUITY LOAN TRUST 2<br>000-3                    |       |             |   |   |   | X |   | X |   |   |      | 03/15/01 |  |
| HAHN AUTOMOTIVE WAREHOUSE INC                                   | NY    |             |   |   |   | X |   |   |   |   |      | 03/28/01 |  |
| HANOVER DIRECT INC  | DE    |             |   |   |   | X |   |   |   |   |      | 03/28/01 |  |
| HARVARD SCIENTIFIC CORP   | NV    | X           |   |   |   |   |   | X |   |   |      | 03/19/01 |  |
| HORIZON PCS INC   | DE    |             |   |   |   | X |   | X |   |   |      | 03/28/01 |  |
| HORIZON PCS INC   | DE    |             |   |   |   | X |   | X |   |   |      | 03/28/01 |  |
| HOSOI GARDEN MORTUARY INC                                       | HI    |             |   |   |   | X |   |   |   |   |      | 03/13/01 |  |
| HOUSEHOLD AUTOMOBILE REVOLVING TRUS<br>T I                      | NV    |             |   |   |   |   |   | X |   |   |      | 03/29/01 |  |
| HOUSEHOLD AUTOMOTIVE TRUST III SERI<br>ES 1999-1                | NV    |             |   |   |   |   |   | X |   |   |      | 03/29/01 |  |
| HOUSEHOLD AUTOMOTIVE TRUST IV SERIE<br>S 2000-1                 | DE    |             |   |   |   |   |   | X |   |   |      | 03/29/01 |  |
| HOUSEHOLD AUTOMOTIVE TRUST V SERIES<br>2000 2                   | DE    |             |   |   |   |   |   | X |   |   |      | 03/29/01 |  |
| HOUSEHOLD AUTOMOTIVE TRUST VI SERIE<br>S 2000 3                 | NV    |             |   |   |   |   |   | X |   |   |      | 03/29/01 |  |
| HOUSEHOLD REVOLVING HOME EQUITY LOA<br>N TRUST 1995-2           | DE    |             |   |   |   |   |   | X |   |   |      | 03/29/01 |  |
| HUNGRY MINDS INC /DE/   | DE    |             |   | X |   |   |   |   |   |   |      | 03/29/01 |  |
| HYPERTENSION DIAGNOSTICS INC /MN                                | MN    |             |   |   |   | X |   | X |   |   |      | 03/29/01 |  |
| IMH ASSETS CORP IMPAC CMB TRUST SER<br>IES 1999-1               | CA    | X           |   |   |   |   |   |   |   |   |      | 03/26/01 |  |
| IMPAC SECURED ASSETS CORP                                       | CA    | X           |   |   |   | X |   | X |   |   |      | 03/28/01 |  |
| INCUBATETHIS INC  | DE    |             |   |   |   | X |   | X |   |   |      | 03/20/01 |  |
| INDIANA GAS CO INC  | IN    |             |   | X |   |   |   |   |   |   |      | 03/29/01 |  |
| INFORMATION HOLDINGS INC  | DE    |             |   |   |   | X |   | X |   |   |      | 03/28/01 |  |

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|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |      |          |       |
| INFORMATION MANAGEMENT ASSOCIATES I<br>NC                        | CT            |             |   |   |   | X | X |   |   |   |      | 01/31/01 |       |
| INSMED INC   | VA            |             |   |   |   |   | X | X |   |   |      | 03/27/01 |       |
| INTERMUNE PHARMACEUTICALS INC                                    | CA            |             |   |   |   | X | X |   |   |   |      | 03/29/01 |       |
| ISA INTERNATIONALE INC   | DE            | X           |   |   |   |   |   |   |   |   |      | 03/31/01 |       |
| J P MORGAN ACCEPTANCE CORP I                                     | DE            |             |   |   |   | X | X |   |   |   |      | 03/20/01 |       |
| JAMES RIVER BANKSHARES INC                                       | VA            |             |   |   |   |   |   |   |   | X |      | 03/27/01 |       |
| JCC HOLDING CO   | DE            |             | X |   |   |   | X |   |   |   |      | 03/19/01 |       |
| JETSTREAM LP   | DE            |             | X |   |   |   |   |   |   |   |      | 02/28/01 |       |
| K TEL INTERNATIONAL INC  | MN            |             | X | X | X |   |   |   |   |   |      | 03/19/01 |       |
| KEYCORP STUDENT LOAN TRUST 1999 A                                |               |             |   |   |   | X | X |   |   |   |      | 03/27/01 |       |
| KEYSTONE VENTURES INC  | NV            |             |   | X |   |   |   |   |   |   |      | 03/26/01 |       |
| LA-Z-BOY INC   | MI            |             |   |   |   | X |   |   |   |   |      | 03/29/01 |       |
| LABORATORY CORP OF AMERICA HOLDINGS                              | DE            |             |   |   |   |   |   |   | X |   |      | 03/27/01 |       |
| LEHMAN ABS CORP  | DE            |             |   |   |   | X | X |   |   |   |      | 03/27/01 |       |
| LEHMAN ABS CORP  | DE            |             |   |   |   | X | X |   |   |   |      | 03/29/01 |       |
| LEXMARK INTERNATIONAL INC /KY/                                   | DE            |             |   |   |   |   |   |   | X |   |      | 03/29/01 |       |
| LIFE FINANCIAL CORP  | DE            |             |   |   |   | X | X |   |   |   |      | 03/27/01 |       |
| LIGHT MANAGEMENT GROUP INC                                       | NV            |             |   | X |   |   |   |   |   |   |      | 12/11/00 | AMEND |
| LINENS N THINGS INC  | DE            |             |   |   |   | X | X |   |   |   |      | 10/12/00 |       |
| LODGIAN INC  | DE            |             | X |   |   |   | X |   |   |   |      | 08/31/00 |       |
| LUXTEC CORP /MA/   | MA            | X           |   |   |   |   |   |   |   |   |      | 03/29/01 |       |
| MACROMEDIA INC   | DE            |             |   |   |   |   | X |   |   |   |      | 01/12/01 | AMEND |
| MAYTAG CORP  | DE            |             |   |   |   | X | X |   |   |   |      | 12/28/01 |       |
| MERCHANTS & MANUFACTURERS BANCORPOR<br>ATION INC                 | WI            |             |   |   |   |   | X |   |   |   |      | 01/29/01 | AMEND |
| MERISTAR HOTELS & RESORTS INC                                    | DE            |             |   |   |   | X | X |   |   |   |      | 03/22/01 |       |
| MODERNGROOVE ENTERTAINMENT INC                                   | NV            | X           |   |   |   |   |   |   |   |   |      | 03/27/00 |       |
| MONY GROUP INC   | DE            |             |   |   |   |   | X | X |   |   |      | 03/19/01 |       |
| MORGAN STANLEY DEAN WIT CAP I INC M<br>OR PAS-THF CER SER 2001-1 | NY            | X           |   |   |   |   |   |   |   |   |      | 03/15/01 |       |
| MORGAN STANLEY DEAN WITTER CAP I IN<br>C DEP FOR SER 2001-TOP1   | DE            | X           |   |   |   |   |   |   |   |   |      | 03/15/01 |       |
| MOTORCAR PARTS & ACCESSORIES INC                                 | NY            |             |   |   |   | X | X |   |   |   |      | 03/28/01 |       |
| NATIONAL HEALTH & SAFETY CORP                                    | UT            |             |   |   |   |   | X |   |   |   |      | 12/31/00 | AMEND |
| NETPLEX GROUP INC  | NY            |             |   |   |   | X |   |   |   |   |      | 03/27/01 |       |
| NEW GENERATION HOLDINGS INC                                      | DE            |             |   | X |   |   | X |   |   |   |      | 03/21/00 | AMEND |
| NEW YORK COMMUNITY BANCORP INC                                   | DE            |             |   |   |   | X | X |   |   |   |      | 03/27/01 |       |
| NORTEL NETWORKS CORP   |               |             |   |   |   | X |   |   |   |   |      | 03/27/01 |       |
| NORTEL NETWORKS LTD  |               |             |   |   |   | X |   |   |   |   |      | 03/27/01 |       |
| NORTHLAND CRANBERRIES INC /WI/                                   | WI            |             |   |   |   | X | X |   |   |   |      | 03/23/01 |       |
| NS GROUP INC   | KY            |             |   |   |   |   | X | X |   | X |      | 03/28/01 |       |
| NS GROUP INC   | KY            |             | X |   |   | X | X |   | X |   |      | 03/29/01 |       |
| OLD KENT FINANCIAL CORP /MI/                                     | MI            |             |   |   |   | X | X |   |   |   |      | 03/08/01 |       |
| OSICOM TECHNOLOGIES INC  | NJ            |             |   |   |   | X | X |   |   |   |      | 03/23/01 |       |
| PARK NATIONAL CORP /OH/  | OH            |             | X |   |   | X | X |   |   |   |      | 03/23/01 |       |
| PASW INC   | CA            |             |   |   |   |   | X |   |   |   |      | 03/26/01 |       |
| PEOPLES BANCTRUST CO INC   | AL            |             |   |   |   | X | X |   |   |   |      | 03/27/01 |       |
| PEOPLES FINANCIAL SERVICES CORP/                                 | PA            |             | X |   |   |   |   |   |   |   |      | 03/30/01 |       |
| PILOT NETWORK SERVICES INC                                       | DE            |             |   |   |   | X | X |   |   |   |      | 03/29/01 |       |
| PLAY CO TOYS & ENTERTAINMENT CORP                                | DE            |             | X |   |   |   | X |   |   |   |      | 03/23/01 |       |
| QWEST COMMUNICATIONS INTERNATIONAL<br>INC                        | DE            |             |   |   |   |   |   |   | X |   |      | 03/29/01 |       |
| RBID COM INC   | FL            |             |   |   | X |   |   |   |   |   |      | 09/01/99 |       |

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| REINHOLD INDUSTRIES INC/DE/                                     | DE            |             |   |   |   | X |   |   |   |   | 03/27/01 |         |
| RESIDENTIAL FUNDING MORTGAGE SECURI<br>TIES II INC              | DE            |             |   |   |   | X |   |   |   |   | 03/22/01 |         |
| RICHMOND COUNTY FINANCIAL CORP                                  | DE            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| SALISBURY BANCORP INC   | CT            |             |   |   |   | X | X |   |   |   | 03/28/01 |         |
| SALOMON SMITH BARNEY HOLDINGS INC                               | DE            |             |   |   |   | X | X |   |   |   | 03/01/01 | AMEND   |
| SAMES CORP  | DE            |             |   |   |   | X | X |   |   |   | 03/29/01 |         |
| SANDY SPRING BANCORP INC  | MD            |             |   |   |   | X | X |   |   |   | 03/29/01 |         |
| SEQUOIA MORT TRUST 4 MORT LOAN ASSE<br>T BACK PASS-THROUGH CERT | DE            |             |   |   |   | X | X |   |   |   | 03/22/01 |         |
| SICKBAY HEALTH MEDIA INC  | UT            |             |   |   |   | X | X |   |   |   | 07/14/00 | AMEND   |
| SILICON GAMING INC  | CA            |             |   |   |   |   | X | X |   |   | 03/27/01 |         |
| SL GREEN REALTY CORP  | MD            |             |   |   |   |   | X |   |   |   | 01/10/01 | AMEND   |
| SOUTH ALABAMA BANCORPORATION INC /D<br>E/                       | AL            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| SOUTHERN INDIANA GAS & ELECTRIC CO                              | IN            |             |   |   |   | X |   |   |   |   | 03/29/01 |         |
| SOVEREIGN HOME EQUITY LOAN TRUST 20                             |               | X           |   |   |   |   |   |   |   |   | 01/25/01 |         |
| STEREOSCAPE COM INC   | NV            | X           |   |   |   |   |   |   |   |   | 03/30/01 | AMEND   |
| STRATOS LIGHTWAVE INC   | DE            |             |   |   |   | X | X |   |   |   | 03/22/01 |         |
| STRUCTURED ASSET SECURITIES CORP                                | DE            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| STRUCTURED ASSET SECURITIES CORP                                | DE            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| TARGET RECEIVABLES CORP   | MN            |             |   |   |   | X |   |   |   |   | 03/21/01 |         |
| TEAM MUCHO INC  | OH            |             |   |   |   | X | X |   |   |   | 03/13/01 |         |
| THAON COMMUNICATIONS INC  | NV            |             |   |   |   | X |   |   |   |   | 02/15/01 | AMEND   |
| THERMAFREEZE INC  | DE            | X           |   |   |   |   |   |   |   |   | 03/29/01 | AMEND   |
| TOWER PROPERTIES CO   | MO            |             |   |   |   |   | X |   |   |   | 12/29/00 | AMEND   |
| TRIAL INNOVATIONS INC   | NV            |             |   |   |   |   | X | X |   |   | 03/23/01 |         |
| TRINITY INDUSTRIES INC  | DE            |             |   |   |   | X | X |   |   |   | 03/29/01 |         |
| TRITON ENERGY LTD   | E9            |             |   |   |   |   |   | X |   |   | 03/28/01 |         |
| TYCO INTERNATIONAL LTD /BER/                                    |               |             |   |   |   | X | X |   |   |   | 03/12/01 | AMEND   |
| U S TECHNOLOGIES INC  | DE            |             |   |   |   |   | X |   |   |   | 04/26/00 | AMEND   |
| U S TECHNOLOGIES INC  | DE            |             |   |   |   |   | X |   |   |   | 07/05/00 | AMEND   |
| UNITED DOMINION REALTY TRUST INC                                | VA            |             |   |   |   | X |   |   |   |   | 03/27/01 |         |
| UNIVERSAL MEDIA HOLDINGS INC                                    | DE            | X           | X | X | X | X | X | X | X |   | 03/30/01 |         |
| UROMED CORP   | MA            |             | X |   |   |   | X |   |   |   | 03/26/01 |         |
| VECTREN CORP  | IN            |             |   | X |   |   |   |   |   |   | 03/29/01 |         |
| VERIZON COMMUNICATIONS INC                                      | DE            |             |   |   |   | X |   |   |   |   | 03/28/01 |         |
| VIB CORP  | CA            |             |   |   |   | X | X |   |   |   | 03/21/01 |         |
| WACHOVIA CORP/ NC   | NC            |             |   |   |   |   | X | X | X |   | 03/28/01 |         |
| WAUSAU MOSINEE PAPER MILLS CORP                                 | WI            |             |   |   |   |   | X | X |   |   | 03/28/01 |         |
| WEIGHT WATCHERS INTERNATIONAL INC                               | VA            |             |   |   |   |   | X |   |   |   | 01/16/01 | AMEND   |
| WEINERS STORES INC  | DE            |             |   |   |   |   | X | X |   |   | 03/28/01 |         |
| WELLS FARGO ASSET SECURITIES CORP                               | DE            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| WELLS FARGO ASSET SECURITIES CORP                               | DE            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| WESTERN WATER CO  | DE            |             |   |   |   | X |   |   |   |   | 03/23/01 |         |
| WHIRLPOOL CORP /DE/   | DE            |             |   |   |   | X | X |   |   |   | 03/28/01 |         |
| WINN DIXIE STORES INC   | FL            |             |   |   |   |   | X |   |   |   | 03/22/01 |         |
| WODFI LLC   | FL            | X           |   |   |   |   |   |   |   |   | 02/28/01 |         |
| WOLVERINE WORLD WIDE INC /DE/                                   | MI            |             |   |   |   |   |   | X |   |   | 03/19/01 | AMEND   |
| WORLD ACCESS INC /NEW/  | DE            |             |   |   |   | X | X |   |   |   | 03/27/01 |         |
| WORLD INTERNETWORKS INC   | NV            | X           | X |   |   | X | X |   |   |   | 03/22/01 |         |
| WORLD OMNI AUTO RECEIVABLES LLC                                 | DE            | X           |   |   |   |   |   |   |   |   | 02/28/01 |         |
| WORLDCOM INC/GA//   | GA            |             |   |   |   |   |   | X |   |   | 03/28/01 |         |

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|------------------|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|                  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| YAHOO INC        | DE    |             |   |   |   | X |   | X |   |   | 03/19/01 |         |
| 4-D NEUROIMAGING | CA    |             |   |   | X |   |   | X |   |   | 03/23/01 |         |