

MEMORANDUM

To: File No. 4-652, Technology & Trading Roundtable

Date: August 31, 2012

From: Jaime Klima, Counsel to Commissioner Troy A. Paredes

Re: Meeting with Representatives of GETCO

On August 30, 2012, Troy A. Paredes, Commissioner, along with Jaime Klima, Counsel to the Commissioner, met with Elizabeth King and Michael Blum of GETCO and Mitchell Feuer of the Rich Feuer Group. The representatives of GETCO discussed issues that will be topics at the September 14, 2012 roundtable, including managing technology deployment and systems changes.