

Science, Service, Stewardship



Council Coordination Committee Meeting

May 2010

Budget Issues Presentation

**NOAA
FISHERIES
SERVICE**

NOAA



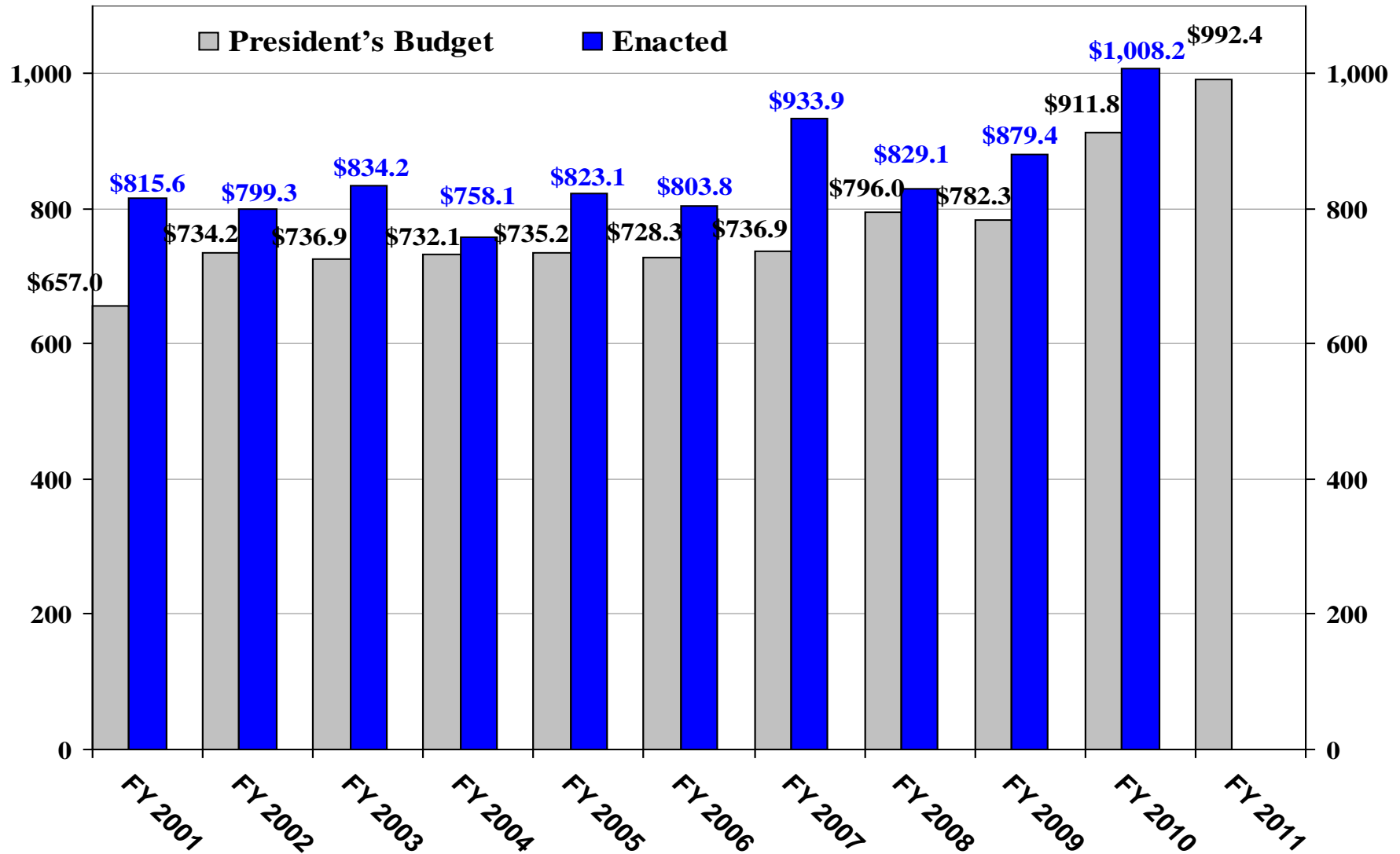
Issues

- FY 2010 Budget Summary
- Regional Fisheries Council's FY 2010 Budget
- FY 2011 Budget Request Summary
- Status of FY 2012 and FY 2013 NMFS budgets
- Planning and Performance



Historical NMFS Budget Trends

(\$ In Millions)





American Recovery and Reinvestment Act of 2009

- \$156M of the \$167M received for habitat restoration has been obligated to date (84%)
- Of the 50 ARRA projects selected:
 - Implementation efforts have begun on all projects
 - Construction has started on 39 projects
- Performance to date (counted after project construction is completed):
 - 306 acres of habitat restored (of the 8,770 acre target)
 - 74 stream miles made accessible (of the 715 stream mile target)
- As of end of 2nd quarter (March 2010)
 - 868 FTEs have been created/retained (cumulative for 3 quarters of reporting)



FY 2010 Budget Summary



The FY 2010 Enacted Budget

TOTAL FUNDING = \$1,008.2 M

- \$904.5 M for Operations, Research, and Facilities (ORF)
- \$80.0 M for Pacific Coastal Salmon Recovery Fund
- \$0.0 M for Procurement, Acquisition and Construction (PAC)
- \$23.6 M in Other Funding

BOTTOM LINE:

- \$96.4 M above FY 2010 President's Budget



Budget Summary FY 2009 – FY 2010

| Line Item/Account (\$ in millions) | FY 2009 Enacted | FY 2010 Amended President's Budget | FY 2010 Enacted |
|--|--------------------|---|--------------------|
| Protected Species Research & Management | \$173.9 | \$193.5 | \$204.0 |
| Fisheries Research & Management | \$360.8 | \$421.5 | \$432.9 |
| Enforcement & Observers/Training | \$90.1 | \$103.1 | \$106.7 |
| Habitat Conservation & Restoration | \$53.7 | \$44.0 | \$58.2 |
| Other Activities Supporting Fisheries | \$75.5 | \$78.5 | \$102.7 |
| Subtotal ORF | \$754.0 | \$840.6 | \$904.5 |
| Procurement, Acquisition, and Construction (PAC) | \$4.6 | \$0 | \$0 |
| Pacific Coastal Salmon Recovery Fund | \$80.0 | \$50.0 | \$80.0 |
| Other Accounts | \$40.4 | \$21.1 | \$23.6 |
| Subtotal, Other Accounts | \$120.8 | \$71.1 | \$103.6 |
| NMFS TOTAL | \$879.4 | \$911.8 | \$1,008.2 |

Note: Subtotals may not add due to rounding



FY 2010 Funding for the Reauthorized Magnuson-Stevens Fishery Conservation and Management Act (MSRA)

MSRA Program Increases for FY 2010 - \$56.5 M

Science and Monitoring

- \$9.9 M to Expand Annual Stock Assessments
- \$3.3 M for Economic and Social Science
- \$4.8 M for Fisheries Data Collection
- \$6.0 M for Cooperative Research
- \$5.0 M for Observers

Fisheries Management

- \$15.0 M for Fisheries Research and Management
- \$4.0 M for Regional Fishery Management Councils
- \$7.6 M for Enforcement
- \$1.0 M for Deep Sea Corals





Regional Fishery Management Councils' FY 2010 Budget

- In FY 2010, NMFS requested an increase of \$4.6 M:
 - \$0.6M to cover fixed costs associated with Council Operations
 - \$4.0M to set, evaluate, and revise ACLs and AMs to end overfishing and to develop amendments to FMPs that implement ACLs and AMs

| \$ in millions | FY 2009 Enacted | FY 2010 PresBud | FY 2010 Enacted |
|--|----------------------------|----------------------------|----------------------------|
| Regional Councils and Fisheries Commissions | \$27.3 | \$31.9 | \$31.9 |
| Regional Councils | \$18.9 | \$23.5 | \$23.5 |



FY 2010 Council Allocation

| FY2010 Allocation for Regional Fishery Management Councils (\$ in thousands) | | | | | | | | 1/7/2010 | |
|--|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|
| NMFS Funding Source | FY 2010 Totals | New England | Mid Atlantic | South Atlantic | Gulf of Mexico | Caribbean | North Pacific | Pacific | Western Pacific |
| Regional Council PPA | \$18,488 | \$2,708 | \$2,348 | \$1,987 | \$2,394 | \$1,266 | \$2,893 | \$2,713 | \$2,177 |
| LAPP Funding | \$1,000 | \$147 | \$127 | \$108 | \$130 | \$69 | \$157 | \$147 | \$118 |
| ACL Implementation | \$4,000 | \$586 | \$508 | \$430 | \$518 | \$274 | \$626 | \$587 | \$471 |
| National Environmental Policy Act | \$989 | \$145 | \$126 | \$106 | \$128 | \$68 | \$155 | \$145 | \$116 |
| Fishery Management and Research PPA | | | | | | | | | |
| ACL Implementation | \$1,600 | \$234 | \$203 | \$172 | \$207 | \$110 | \$250 | \$235 | \$188 |
| Regulatory Streamlining Program | \$791 | \$116 | \$100 | \$85 | \$102 | \$54 | \$124 | \$116 | \$93 |
| SSC Stipends | \$500 | \$73 | \$64 | \$54 | \$65 | \$34 | \$78 | \$73 | \$59 |
| Council Peer Review | \$500 | \$75 | \$0 | \$125 | \$0 | \$0 | \$0 | \$150 | \$150 |
| Expand Annual Stock Assessments | \$513 | \$0 | \$0 | \$483 | \$30 | \$0 | \$0 | \$0 | \$0 |
| NE Cooperative Research | \$90 | \$90 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| FY 2010 TOTAL - NMFS | \$28,471 | \$4,174 | \$3,476 | \$3,550 | \$3,574 | \$1,875 | \$4,283 | \$4,166 | \$3,373 |
| FY 2009 NMFS Total for reference | \$23,808 | \$3,431 | \$2,897 | \$3,035 | \$2,983 | \$1,562 | \$3,569 | \$3,496 | \$2,835 |
| Change from FY 09 to FY 10* | \$4,663 | \$743 | \$579 | \$516 | \$591 | \$313 | \$714 | \$670 | \$537 |

*This row compares FY 2009 enacted levels with FY 2010 Appropriation, pre-Hollings rescission. The rescission reduces appropriation levels by 0.1%.



FY 2010 PPA Related to Councils

| PPA Names (\$ in Thousands) | FY2010 Enacted | FY 2011 Request |
|---|-------------------|--------------------|
| Regional Councils Base | \$18,488 | \$18,719 |
| Regional Council / Nat'l Catch Share LAPP Funding | \$1,000 | \$3,000 |
| Regional Council / FRMP ACL Implementation | \$5,600 | \$5,600 |
| FRMP Regulatory Streamlining Program | \$791 | \$791 |
| FRMP SSC Stipends | \$500 | \$500 |
| FRMP Council Peer Review | \$500 | \$500 |
| Expand Annual Stock Assessments | \$513 | \$513 |
| NE Cooperative Research | \$90 | \$90 |
| National Environmental Policy Act (NEPA) | \$989 | \$989 |
| Subtotal NMFS | \$28,471 | \$30,702 |
| NOS - Coral funds | \$1,032 | \$1,500 |
| Total Regional Councils | \$29,503 | \$32,202 |



Fisheries Management Council Grants

- Administrative Awards have been obligated for all 8 Councils
- Administrative Awards are 5 Year Multi-Year Awards
- Processing and Obligation encountered delays
 - Late Appropriations
 - Allotment Process after the Appropriation
 - Pre-review Process at NOAA Grants Management Division
 - Unrealistic Budgets could not be Reviewed or Approved by NOAA



Catch Shares

- Funding for Regional Councils for development of new catch shares:
 - FY 2010 included \$1.0 M
 - FY 2011 Includes a \$2.0 M increase (for a total of \$3.0 M)



FY 2011



FY 2011 NMFS Overview

- **FY 2011** budget provides \$992.4 M, a \$15.8 M decrease from the FY 2010 Omnibus.
 - Funds Inflationary Adjustments to Base
 - Supports NOAA priorities to transform fisheries and protected resources management and support vibrant coastal communities and healthy ecosystems
 - Supports implementation of Catch Shares Programs to rebuild sustainable fisheries stocks and add significant jobs and profitability to U.S. fisheries
 - Seeks to close the gap in conservation of protected species including marine mammals and sea turtles
 - Advances ecosystem-based management and research on climate impacts
 - Seeks to protect and restore the Chesapeake Bay which is an environmental, economic, and recreational resource
 - Addresses the Administration's Priorities
 - Interagency Ocean Policy Task Force
 - Science and Technology
 - Other Task Forces: Climate and Energy, Ecosystem Restoration, Place-Based Policies
 - Addresses Congressional Priorities



Activities Supporting Requirements of Reauthorized MSA

\$135.4 M to support Reauthorized MSA

National Catch Share Program (Including LAPPs) \$54.0 M

- Increase in FY 2011 of \$36.6 M for implementing catch share management programs.

Other Fisheries Management

- \$16.7 M for Fisheries Research and Management
- \$8.7 M for Enforcement
- \$6.3 M for International Commitments



Activities Supporting Requirements of Reauthorized MSA

Other Fisheries Science and Monitoring

- \$18.4 M to Expand Annual Stock Assessments
- \$11.0 M for Economic and Social Science
- \$11.0 M for Fisheries Data Collection
- \$1.2 M for Cooperative Research
- \$5.0 M for Observers
- \$2.5 M for Deep Sea Corals
- \$0.6 M Fishery Monitoring and Research - Bycatch



FY 2011 NMFS Budget Structure

| Account (\$ in Millions) | FY 2010 Amended President's Budget | FY 2010 Enacted | Termin- ations | Total ATB's | Program Change | FY 2011 President's Request | Δ 2011 request Minus 2010 enacted |
|--|---|--------------------|-------------------|----------------|-------------------|-----------------------------------|--|
| Protected Resources Research and Management | \$193.5 | \$204.0 | \$13.7 | \$4.2 | \$15.8 | \$210.3 | \$6.3 |
| Fisheries Research and Management | \$421.5 | \$432.9 | \$19.0 | \$13.0 | \$36.6 | \$463.6 | \$30.7 |
| Enforcement and Observers/Training | \$103.1 | \$106.7 | \$3.6 | \$2.2 | \$0.0 | \$105.3 | (\$1.4) |
| Habitat Conservation and Restoration | \$44.0 | \$58.2 | \$14.2 | \$0.5 | \$10.4 | \$54.9 | (\$3.3) |
| Other Activities Supporting Fisheries | \$78.5 | \$102.7 | \$24.4 | -\$6.5 | \$1.8 | \$73.7 | (\$29.0) |
| Subtotal ORF | \$840.6 | \$904.5 | \$74.7 | \$13.4 | \$64.6 | \$907.8 | \$3.3 |
| PAC | \$0.0 | \$0 | \$0 | \$0.0 | \$0.0 | \$0.0 | \$0 |
| Other Accounts | \$71.1 | \$103.6 | \$35.8 | \$2.5 | \$15.4 | \$84.8 | (\$18.8) |
| Total | \$911.8 | \$1008.2 | \$110.5 | \$16.0 | \$79.9 | \$992.4 | (\$15.8) |



Summary of FY 2011 Budget Changes

Adjustments to Base : **+ \$16.0 M**

Program Changes:

- Nationwide Catch Share Implementation: + \$36.6 M
- Protected Resources Recovery: + \$3.0 M
- Integrated Ecosystem Assessments: + \$5.4 M
- Executive Order for Chesapeake Bay: + \$5.0 M
- National Species Recovery Grants Program: + \$9.6 M
- Community Based Restoration: + \$10.4 M
- International Support – Pacific Salmon Treaty: - \$5.4 M
- Pacific Salmon: + \$3.7 M
- Aquaculture: + \$2.4 M
- Cooperative Research: - \$4.6 M
- Atlantic Salmon ESA: - \$0.5 M
- Southwest Fisheries Science Center: - \$1.0 M
- Pacific Coastal Salmon Recovery Fund: + \$15.0 M
- Fishermen’s Contingency Fund: + \$0.4 M

Total Program Changes: **+ \$79.9 M**

Total Change request: **+ \$95.9 M**

Total FY 2011 PresBud: \$992.4 M



Fisheries Management Program + \$36.6 M

| Line Item (\$ in thousands) | FY 2010 Omnibus | FY 2011 Base | FY 2011 Program Change | FY 2011 Request |
|---|--------------------|------------------|------------------------------|--------------------|
| Fisheries Research and Management Programs | \$190,883 | \$183,223 | \$0 | \$183,223 |
| National Catch Share Program | \$0 | \$17,402 | \$36,600 | \$54,002 |
| Expand Annual Stock Assessments | \$50,995 | \$51,725 | \$0 | \$51,725 |
| Economic and Social Sciences | \$10,744 | \$10,962 | \$0 | \$10,962 |
| Salmon Management Activities | \$50,942 | \$41,018 | -\$5,400 | \$35,618 |
| Regional Fisheries Councils and Commissions | \$31,855 | \$32,104 | \$0 | \$32,104 |
| Fisheries Statistics | \$21,068 | \$21,405 | \$0 | \$21,405 |
| Fish Information Networks | \$22,066 | \$22,147 | \$0 | \$22,147 |
| Survey and Monitoring | \$23,759 | \$24,152 | \$0 | \$24,152 |
| Fisheries Oceanography (includes IEAs) | \$1,999 | \$2,078 | \$5,400 | \$7,478 |
| Reduce Bycatch | \$3,398 | \$3,442 | \$0 | \$3,442 |
| All other | \$25,208 | \$17,318 | \$0 | \$17,318 |
| Total, FRMP | \$432,917 | \$426,976 | 36,600 | \$463,576 |



Protected Resources Recovery + \$15.8 M

Protected Resources Increases for FY 2011: +\$15.8 M for a total of \$210.3 M

- + \$3.0 M for ESA Section 7 Consultations
- +\$9.6 M for Species Recovery Grants
- - \$0.5M for Atlantic Salmon
- + \$3.6 M for Salmon Research and Recovery
 - \$2.6 M for Pacific Salmon Science
 - \$1.0 M for CalFED Bay Delta Program



Habitat Conservation and Restoration

- + \$10.4 M for a total of \$54.9 M for Habitat Conservation and Restoration.
- Increase in Community- Based Restoration to implement larger-scale ecological restoration that achieves significant benefits for threatened and endangered species.



Other Activities Supporting Fisheries

+ \$2.4 M for a total of \$8.4 M for Aquaculture:

- \$4.6 M, for a total of \$7.1 M for Cooperative Research:

+ \$5.0 M for a total of \$12.3 M for Regional Studies:

No Change in:

- Antarctic Research: total of \$2.8 M
- Climate Regimes and Ecosystem Productivity: total of \$3.4 M
- Information Analysis and Dissemination: total of \$20.4 M
- Other items: total of \$19.3 M



FY 2012 and 2013 Budget Status



Budget Status FY 2012 and FY 2013

FY 2012

- Preliminary NOAA decisions made
- Now in Department review

FY 2013

- In planning phase
- Budgeting begins in the spring



Next Generation NOAA Strategic Plan

- Primary elements are *Goals* and *5-Year Objectives*
- Our *Balanced Scorecard* will align with this new plan
- Schedule:
 - Commencing broad internal and public review shortly
 - Final version should be published this summer



Balanced Scorecard

- NOAA is moving to a *Balance Scorecard* approach to performance planning and reporting
- Cascades from high-level DOC themes and goals to NOAA objectives and performance measures
- Arrays performance measures by the objectives in the NexGen Strategic Plan
- Approach ensures a balance in performance tracking
 - Tracks high-level outcomes as well as lower level outputs
 - Includes programmatic as well as supporting infrastructure measures



High Priority Performance Goals (HPPG)

- Ensure that all 46 Federal fishery management plans have required catch limits to end overfishing in place by the end of 2011
- Reduce the number of stocks subject to overfishing to zero by the end of 2011
- Improve the Fish Stock Sustainability Index (FSSI) to 586 by the end of 2011



Targets and Performance Summary

| Performance Measure | FY2005 Actual | FY2006 Actual | FY2007 Actual | FY2008 Actual | FY2009 Actual | FY2010 Target | FY2011 Target | FY2012 Target | FY2013 Target | FY2014 Target | FY2015 Target |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| HPPG: Fish Stock Sustainability Index (FSSI) | 481.5 | 501 | 524 | 535 | 565.5 | 580 | 586 | 604 | 634.5* | 661* | 671* |
| HPPG: Ensure that all 46 Federal fishery management plans have required catch limits to end overfishing in place by the end of 2011 | N/A | N/A | N/A | 0 | 1 | 5 | 46 | 46 | 46 | 46 | 46 |
| HPPG: Reduce the number of stocks subject to overfishing to zero by the end of 2011 | 36 | 39 | 35 | 31 | 24 | 15 | 0 | 0 | 0 | 0 | 0 |
| Number of fisheries managed under Limited Access Privilege Programs (MSRA) | 8 | 8 | 11 | 12 | 12 | 15 | 16 | ** | | | |
| Number of key catch share program objectives met (increased revenue of fishery, increased utilization of target species, decreased bycatch, ACL not exceeded) | N/A | N/A | N/A | N/A | N/A | 0 | 7 | 14 | 16 | 16 | 17 |
| Percentage of Living Marine Resources (LMR) with Adequate Population Assessments and Forecasts | 37.5 | 39 | 40.8 | 40.3 | 43.9 | 34.3 | 35.3 | 34.2 | 33.3 | 32.8 | 32.6 |
| – Percentage of fish stocks with adequate population assessments and forecasts | 51.7 | 52.2 | 55.7 | 56.1 | 59.1 | 57.4 | 60.4 | 59.1 | 57.8 | 57.0 | 55.7 |
| – Percentage of stocks of protected species stocks with adequate population assessments | 24.8 | 26.1 | 26.6 | 25.2 | 29.8 | 20.1 | 19.8 | 18.8 | 18.2 | 18.0 | 18.3 |
| Number of Protected Species Designated as Threatened, Endangered or Depleted with Stable or Increasing Population Levels | 24 | 26 | 26 | 24 | 25 | 25 | 25 | 30 | 33 | 39 | 40 |
| Number of Habitat Acres Restored (Annually) ** | 8,333 | 7,598 | 5,974 | 11,254 | 9,232 | 32,925 | 38,888 | 37,807 | 34,800 | 32,800 | 30,800 |

* Currently under review and likely to be adjusted.

** This measure was originally established by the Ocean Action Plan to “double the number of LAPPs...”. It will be discontinued in 2012.

*** Starting in FY 2010, Acres Restore includes Community-based, ARRA-funded, and PCSRF-funded Projects.