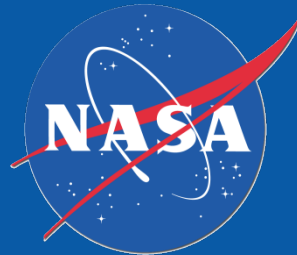


Thermal/Electrical Modeling for Abuse-Tolerant Design of Li-Ion Modules

Kandler Smith, Gi-Heon Kim, Ahmad Pesaran
National Renewable Energy Laboratory

Eric Darcy
NASA Johnson Space Center



NASA Aerospace Battery Workshop
Huntsville, Alabama
November 18-20, 2008
NREL/PR-540-44617

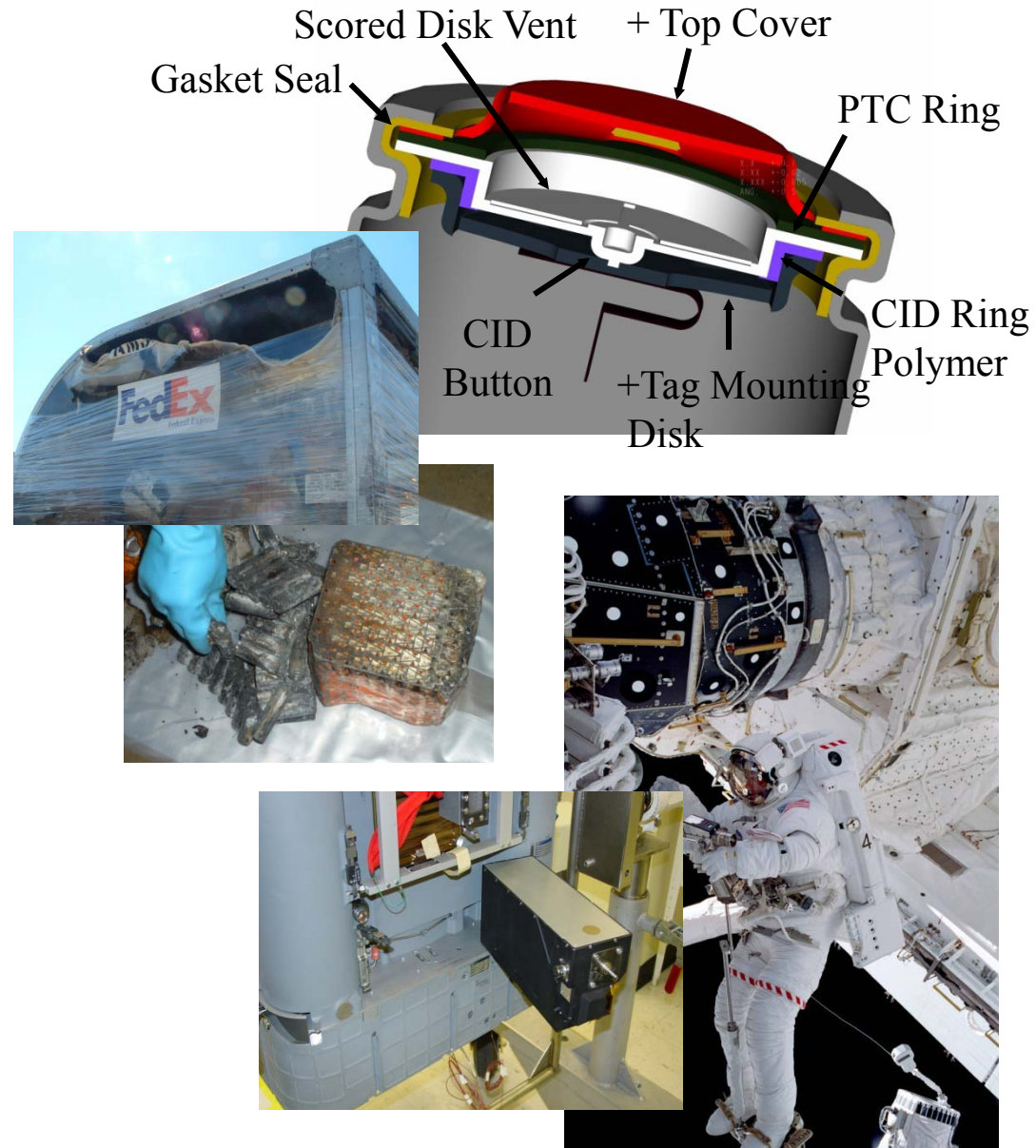
Background and Motivation for Present Work

- **Background**

- Cell PTC device proven effective control for overcurrent hazards at Li-Ion cell and small battery level
- Proven ineffective in high-voltage battery designs
- Fire in 2004 Memphis FedEx facility suspected due to PTC device failures in large capacity (66p-2s) battery shorted while at 50% SOC

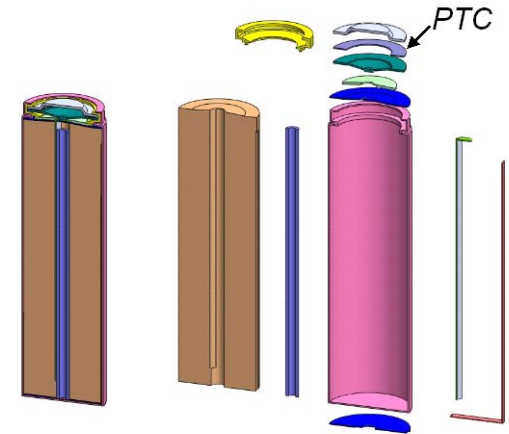
- **Motivation**

- Can NASA's spacesuit battery design (16p-5s) array depend on cell PTC devices to tolerate an external 16p short?
- Is there a range of smart shorts that can be hazardous?



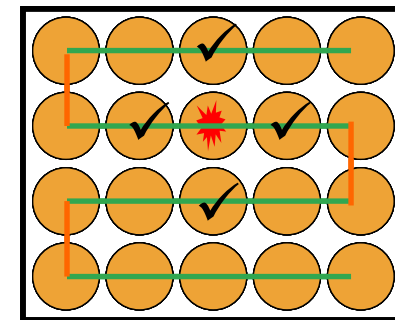
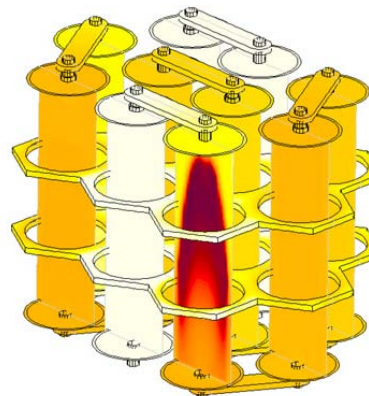
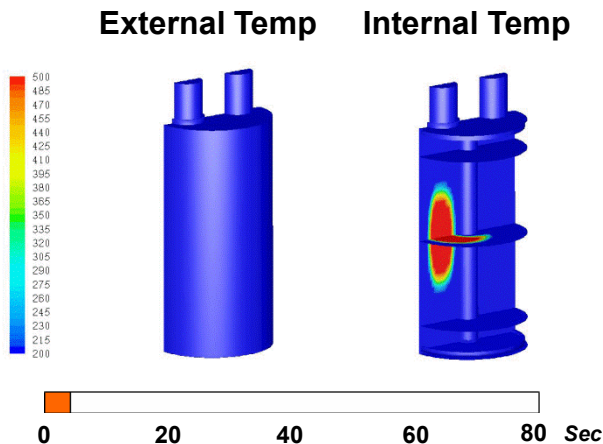
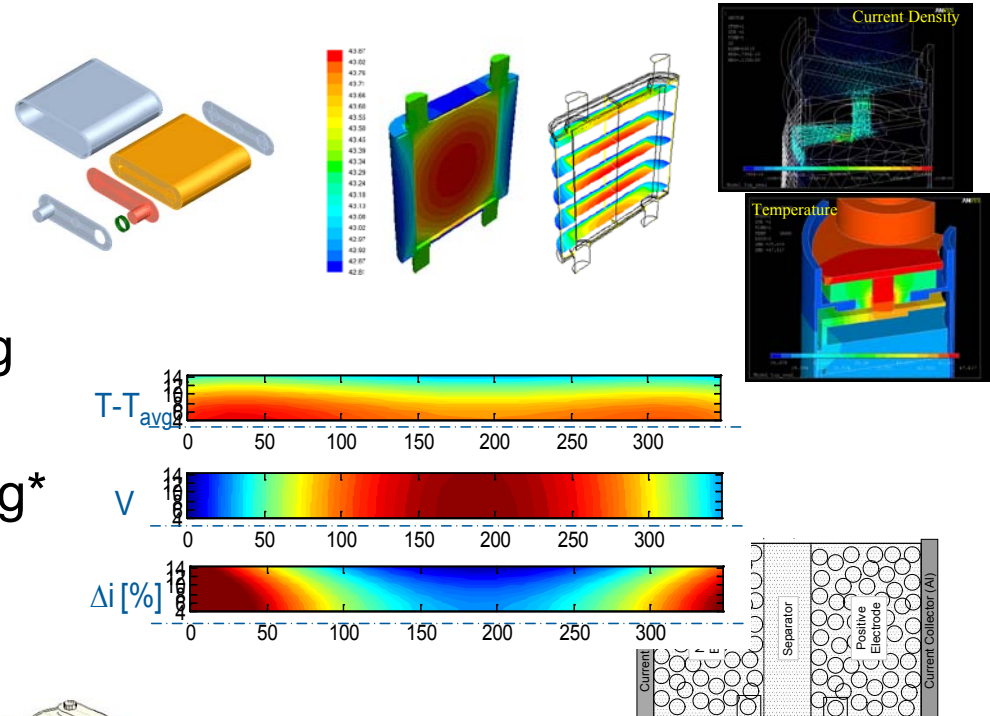
Objectives

- Create an engineering model to guide the design and to verify safety margin of a battery using high specific energy COTS cells
- Use the model to provide input for designing NASA 16p-5s 18650 spacesuit battery
 - Cell model must include the electrical and thermal behavior of the cell PTC device
 - Use cell model as building block to model multicell battery behavior under short-circuit conditions
 - Assess the range of smart short conditions that push cells close to the onset of thermal runaway temperature



Utilizing NREL's Multiphysics Battery Modeling

- Electrical Performance Modeling
 - Cells & multistring modules
- Thermal Modeling
 - Cells & modules
- Thermal/Electrochemical Modeling
 - Cells
- Thermal/Chemical Abuse Modeling*
 - Cells and modules



*G.-H. Kim, A. Pesaran, "Analysis of heat dissipation in Li-ion cells and modules for modeling of thermal runaway," 3rd International Symposium on Large Lithium Ion Battery Technology and Application, Long Beach, CA, May 2007. Available: www.nrel.gov/vehiclesandfuels/energystorage/

Overview

- Modeling
 - Approach
 - PTC device (discussed by Eric Darcy)
 - Cell
 - Electrical
 - Thermal (5-node)
 - Module
 - Electrical (multinode network)
 - Thermal (multinode network)
- Validation with experiments from SRI
 - 16P module with 10 m Ω external short
- Parametric study
 - Resistance of external short
 - Heat rejection rate to ambient
- Conclusions

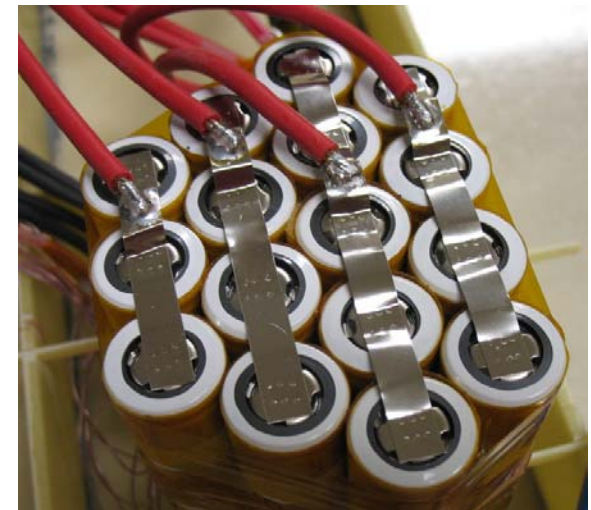
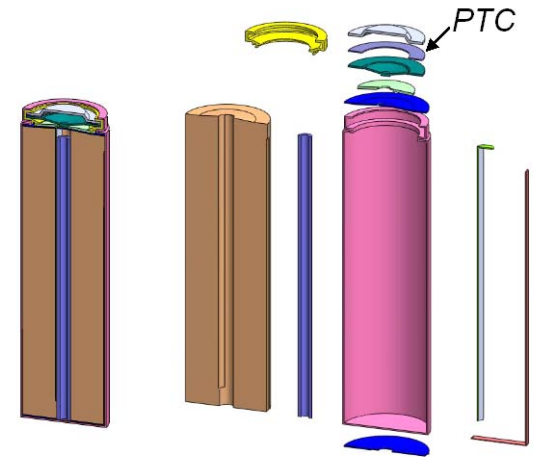
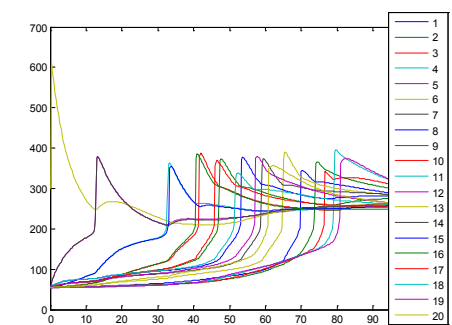
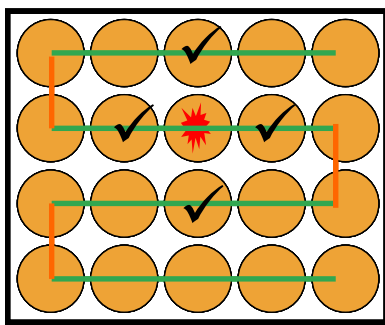
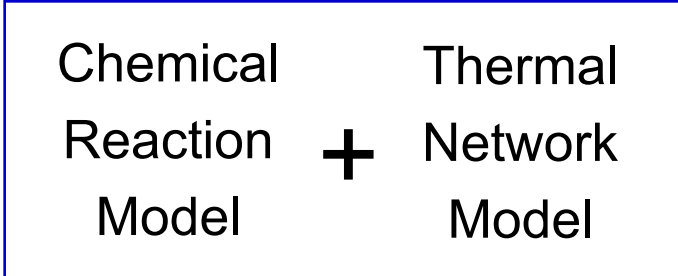
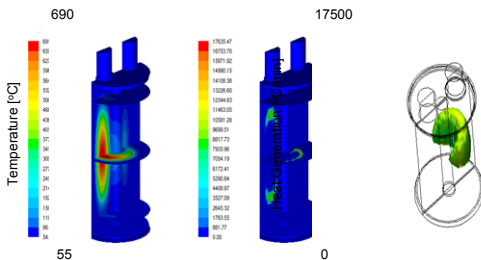


Photo: Symmetry Resources Inc. (SRI)

Modeling Approach

Previous Work:

- Design module to prevent thermal runaway propagation



Present Work:

- Verify module design tolerant to external electrical short

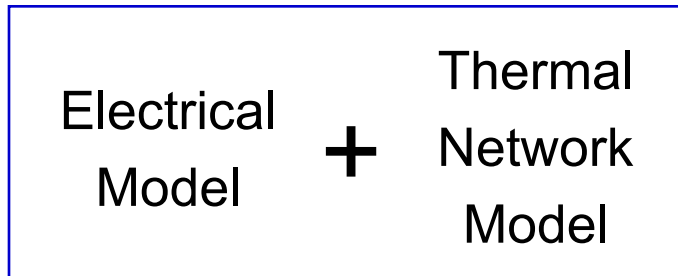


Photo: Symmetry Resources Inc.

Model has to capture important physics happening during an experiment

16P Bundle External Short Test

- Performed by Symmetry Resources, Inc.
- Moli ICR18650J cells
- 16 parallel
- 10 m Ω external short



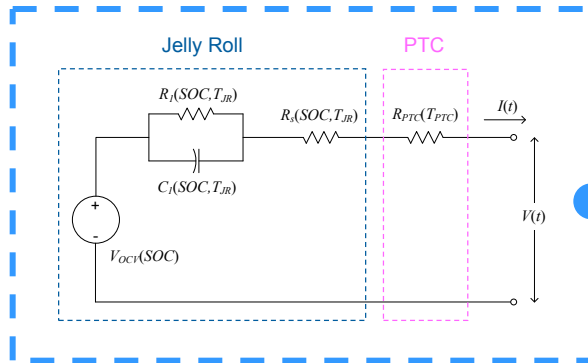
Photos: SRI



Model Development Approach

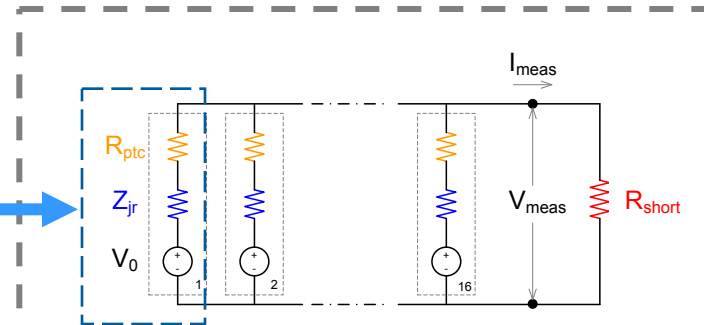
Integrated Thermal and Electrical Network Model of a Multicell Battery for Safety Evaluation of Module Design with PTC Devices during External Short

Unit Cell Model



Electrical Performance Model

Multicell T&E Network Model

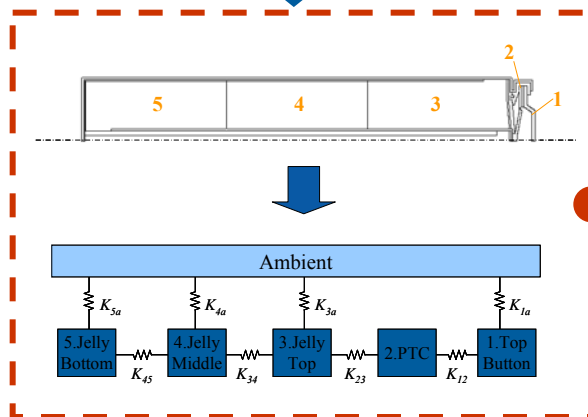


Electrical Network Model

electrical/thermal interaction

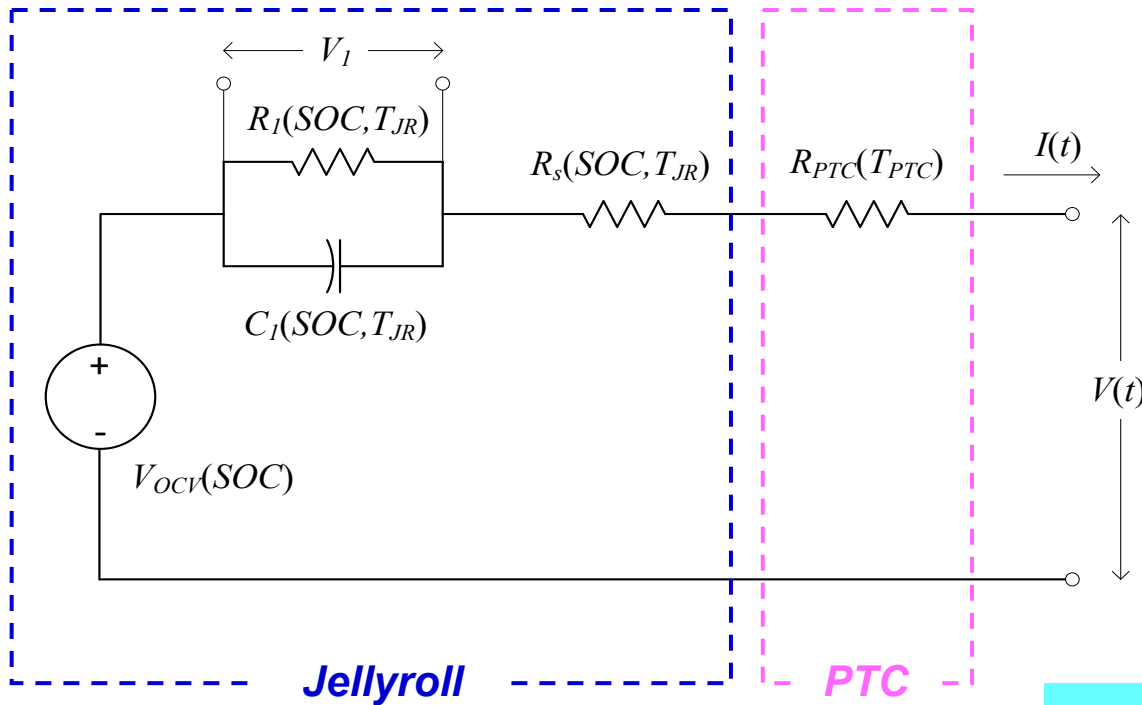
electrical/thermal interaction

5-Node Thermal Model



Thermal Network Model

Unit Cell Model: Electrical Performance Model



**Equivalent
Circuit Model
and Relevant
Parameters**

$$\lambda_1 = \frac{-1}{R_1 C_1}$$

$$Q = 2.345 \text{ Ah}$$

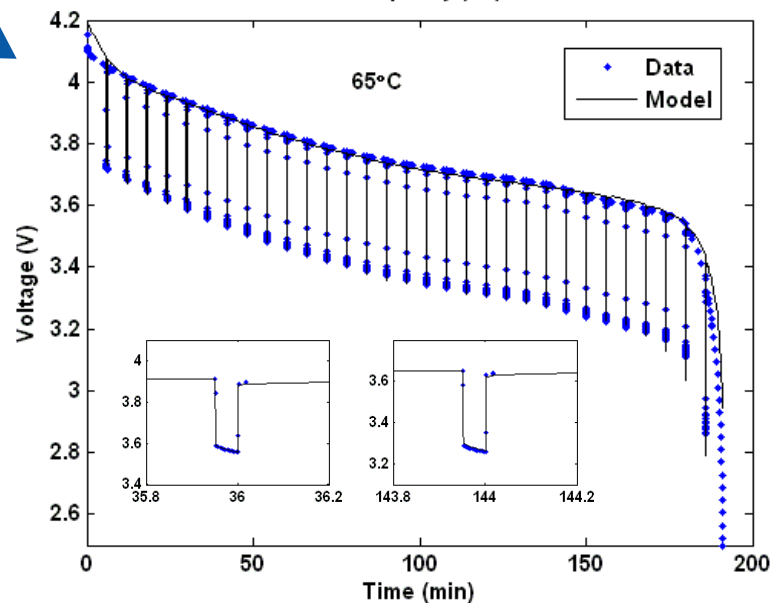
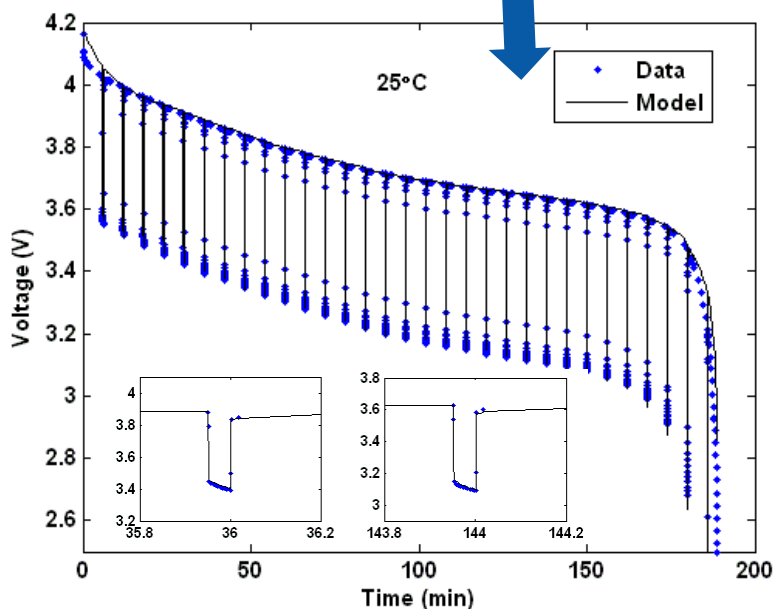
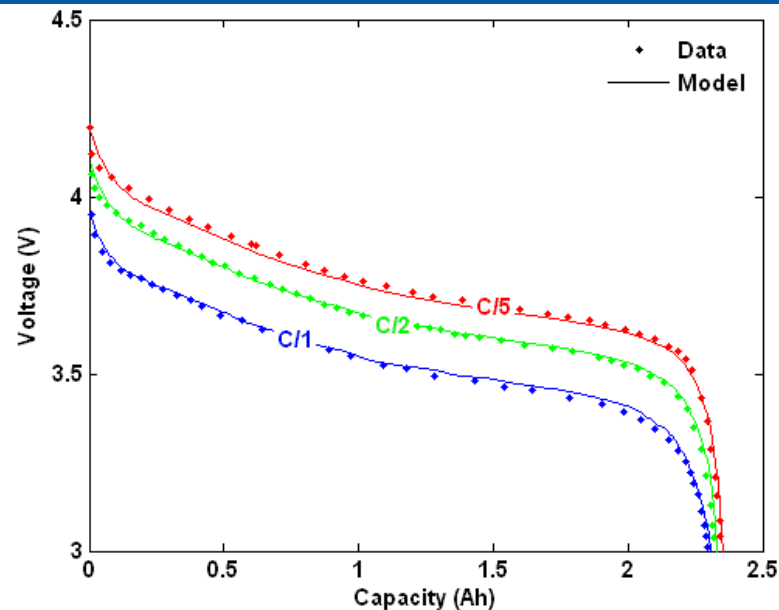
$$\frac{d}{dt} \begin{bmatrix} SOC \\ V_1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & \lambda_1 \end{bmatrix} \begin{bmatrix} SOC \\ V_1 \end{bmatrix} + \begin{bmatrix} 1/Q \\ \lambda_1 R_1 \end{bmatrix} I(t)$$

$$V(t) = V_{OCV}(SOC) + V_1 - (R_s + R_{PTC}) \times I(t)$$

Unit Cell Electrical Model Agrees Well with Data

Validation of Equivalent Circuit Model

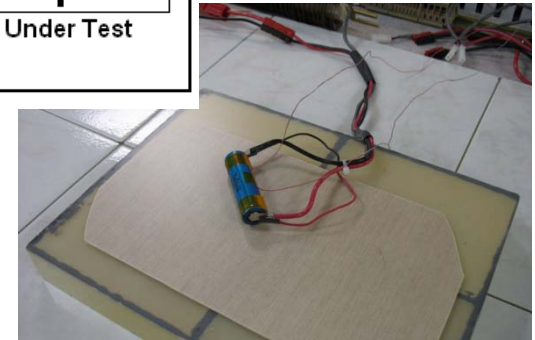
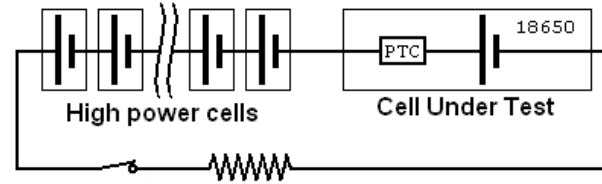
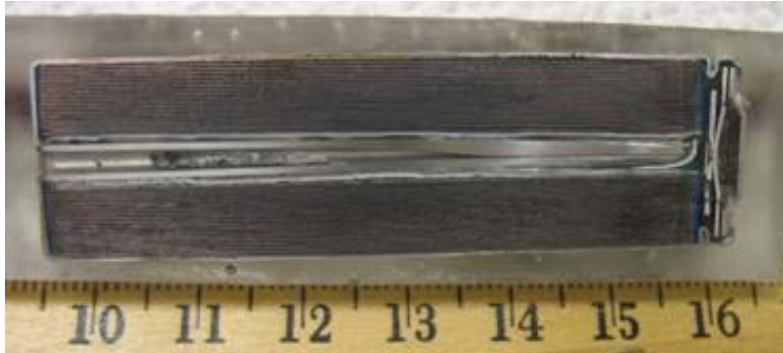
- Model results compared with constant current discharge data from manufacturer (21C)
- Model results compared with mission power profile data from NASA (25C and 65C)



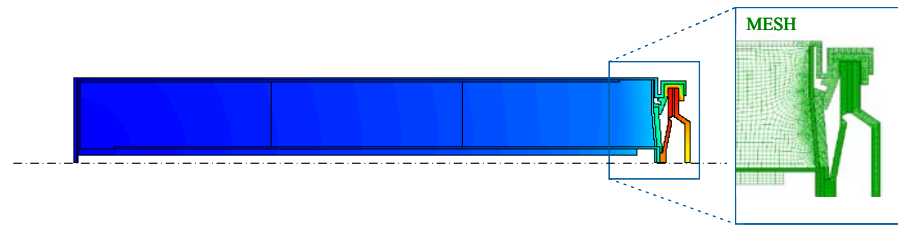
Unit Cell Model: Thermal Model

Developed detailed cell model based on cell cross-cut measurements...

...and validated it with data from PTC device withstanding voltage test. (NASA/SRI)



Detailed Cell Thermal Model

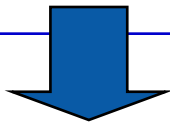
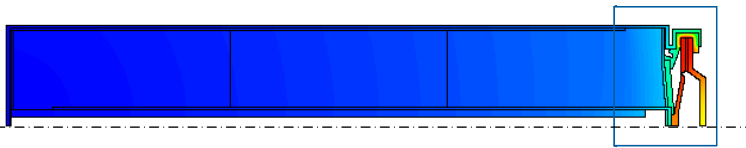


- Finite-volume method
- 41,250 computational grid

Unit Cell Model: 5-node Thermal Model Validated

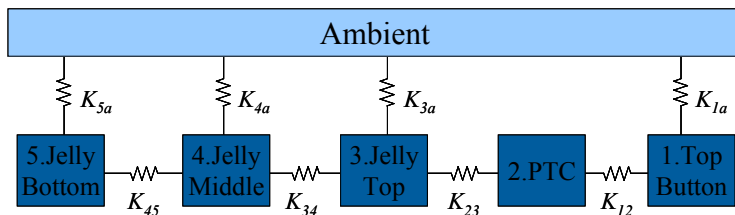
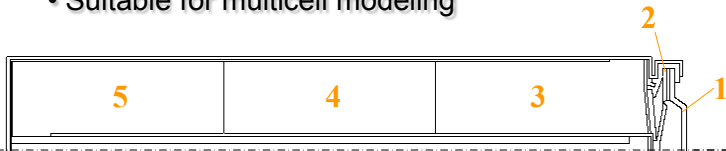
Detailed Cell Thermal Model

- Large computational requirement
- Not suitable for multicell modeling

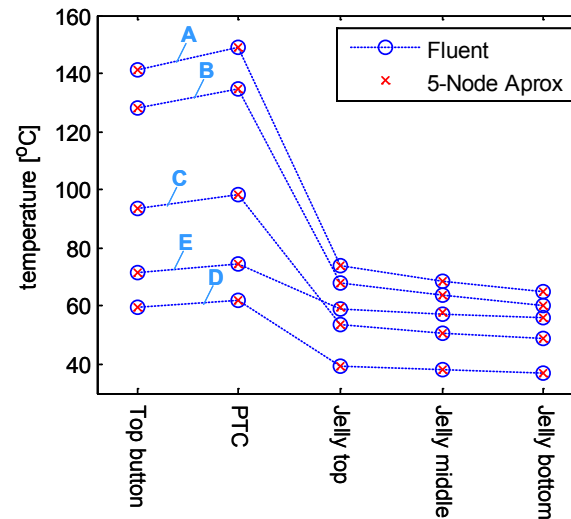


5-Node Cell Thermal Model

- Low order dynamic model
- Suitable for multicell modeling



Comparison of Detailed and 5-Node Models for different heat generation conditions



- A PTC:3.38W, Jelly:0.0093W
- B PTC:3.0W, Jelly:0.0093W
- C PTC:2.0W, Jelly:0.0093W
- D PTC:1.0W, Jelly:0.0093W
- E PTC:1.0W, Jelly:1.0W

Steady Form

$$Q_i = \sum_j K_{ij} (T_i - T_j)$$

Unsteady Form

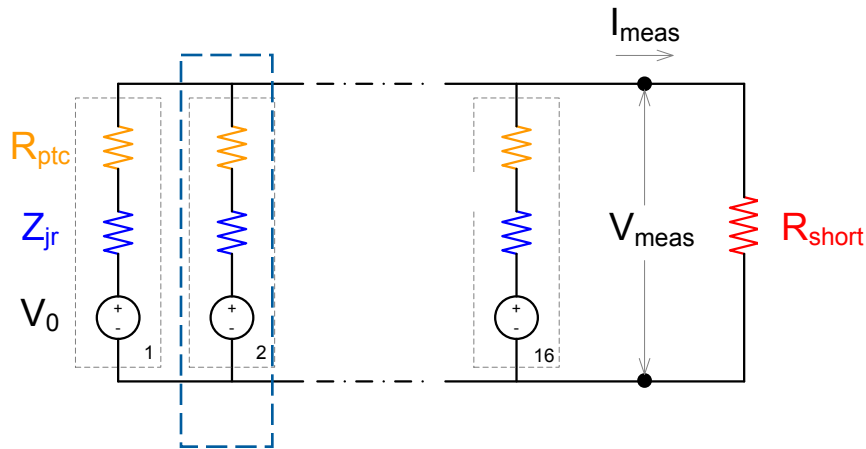
$$Q_i = \sum_j K_{ij} (T_i - T_j) + MCp_i \frac{dT_i}{dt}$$

Model Development Approach

Integrated Thermal and Electrical Network Model of a Multicell Battery for Safety Evaluation of Module Design with PTC Devices during External Short

Multicell Thermal and Electrical Network Model

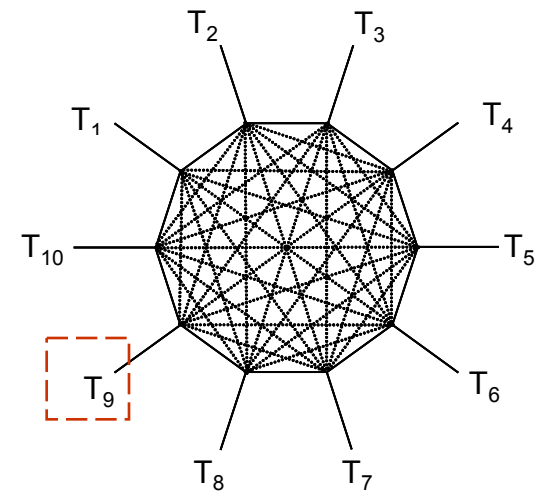
Electrical Network Model



electrical/thermal interaction

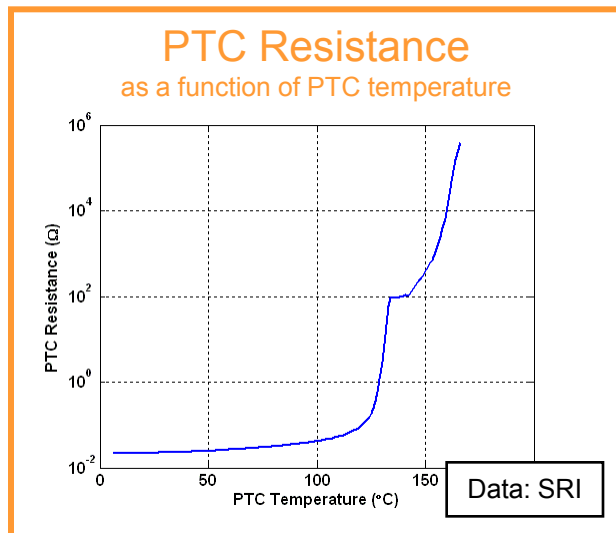


Thermal Network Model

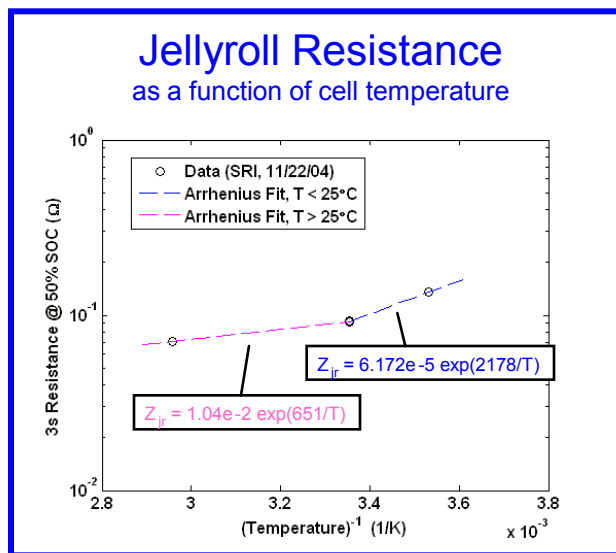
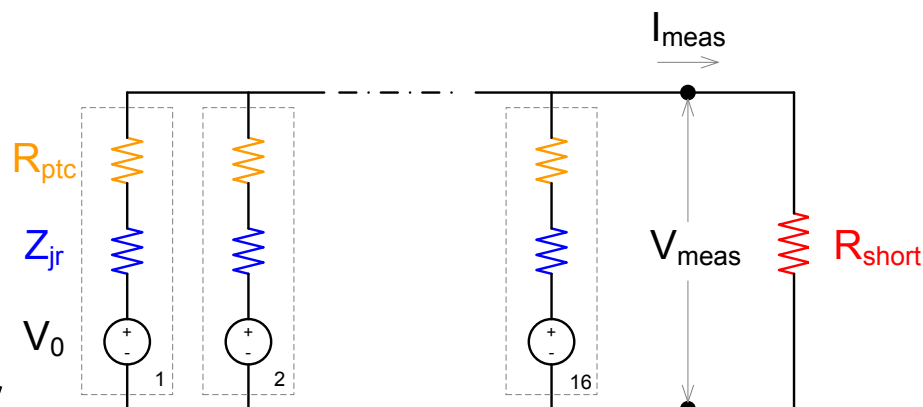


Multicell Network Model

Electrical Network Model



The model solves voltage and current interactions among the components in a multicell circuit.



Open-Circuit Voltage
as a function of cell SOC



Multicell Network Model

Thermal Network Model

Thermal Mass: Identifying thermal mass at each node

Heat Generation: PTC heat, charge transfer heat (future: abuse reaction heat)

Heat Transfer: Quantifying heat exchange among the nodes

➔
$$Q_{transport,i} = \sum_{j=1, j \neq i} -Q_{ij}, \quad Q_{ij} = Q_{ij,radiation} + Q_{ij,connector_conduction} + Q_{ij,convection} \dots$$

Staggered Array

Let $X = 1 + \frac{d}{D}$

For $0 \leq \frac{d}{D} \leq \frac{2}{\sqrt{3}} - 1$, i.e. $1 \leq X \leq \frac{2}{\sqrt{3}}$

$$F_1 = \frac{1}{\pi} \left[-\sqrt{X^2 - 1} + \cos^{-1} \left(\frac{1}{X} \right) + \frac{\pi}{6} \right]$$

$$F_2 = \frac{1}{\pi} \left[\sqrt{X^2 - 1} - \cos^{-1} \left(\frac{1}{X} \right) \right]$$

$$F_n = 0$$

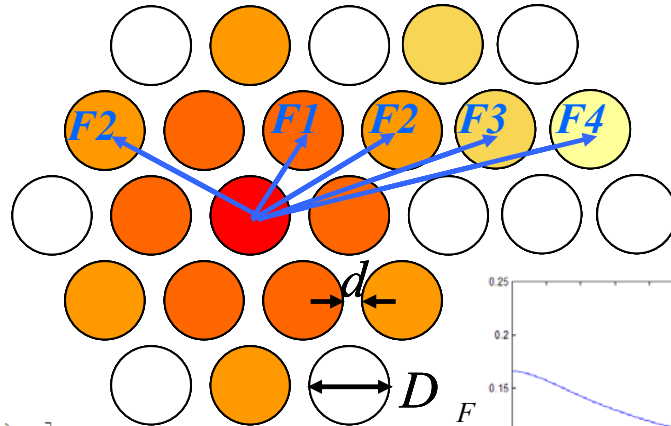
For $\frac{2}{\sqrt{3}} - 1 < \frac{d}{D} \leq 1$, i.e. $\frac{2}{\sqrt{3}} < X \leq 2$

$$F_1 = \frac{1}{\pi} \left[\sqrt{X^2 - 1} - \cos^{-1} \left(\frac{1}{X} \right) - X + \frac{\pi}{2} \right]$$

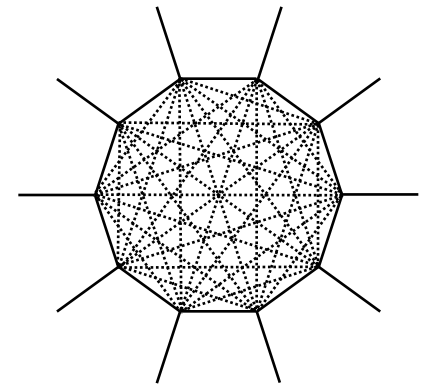
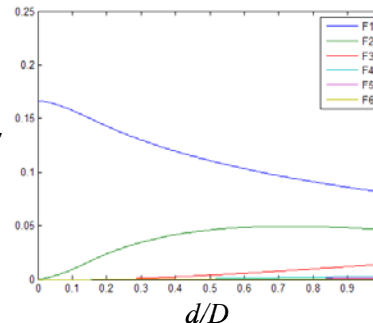
$$F_2 = \frac{1}{\pi} \left[\sqrt{3X^2 - 1} - 2\sqrt{X^2 - 1} + 2\cos^{-1} \left(\frac{1}{X} \right) - \cos^{-1} \left(\frac{1}{\sqrt{3}X} \right) - \frac{\pi}{6} \right]$$

$$F_n = \frac{1}{2\pi} \left[\begin{aligned} & \sqrt{\{(n-1)+1\}X^2 - 1} - 2\sqrt{\{(n-1)(n-2)+1\}X^2 - 1} + \sqrt{\{(n-2)(n-3)+1\}X^2 - 1} \\ & - \cos^{-1} \left(\frac{1}{\sqrt{n(n-1)+1}X} \right) + 2\cos^{-1} \left(\frac{1}{\sqrt{(n-1)(n-2)+1}X} \right) - \cos^{-1} \left(\frac{1}{\sqrt{(n-2)(n-3)+1}X} \right) \\ & + \tan^{-1} \left\{ \frac{2}{\sqrt{3}} \left(n - \frac{1}{2} \right) \right\} - 2\tan^{-1} \left\{ \frac{2}{\sqrt{3}} \left(n - \frac{3}{2} \right) \right\} + \tan^{-1} \left\{ \frac{2}{\sqrt{3}} \left(n - \frac{5}{2} \right) \right\} \end{aligned} \right]$$

Radiation Heat Transfer



$$Q_{ij,radiation} = \epsilon F_{ij} A (T_i^4 - T_j^4)$$



Multicell Network Model

Thermal Network Model

Thermal Mass: Identifying thermal mass at each node

Heat Generation: PTC heat, charge transfer heat (future: abuse reaction heat)

Heat Transfer: Quantifying heat exchange among the nodes

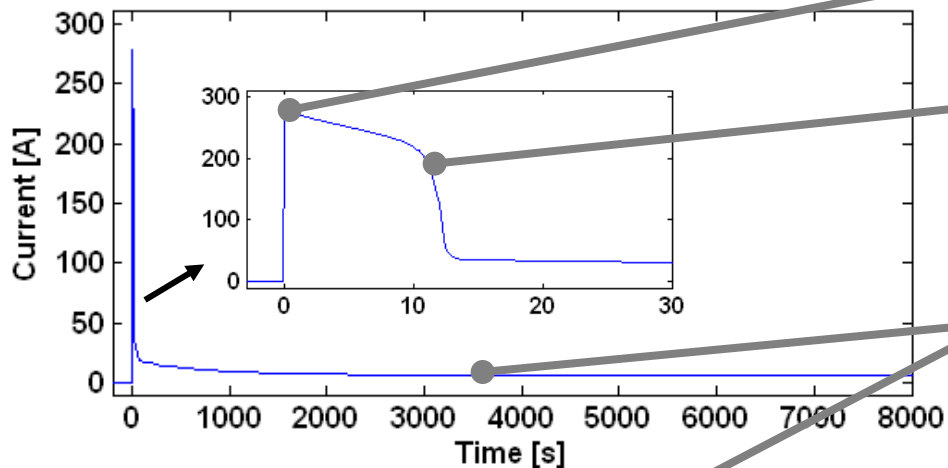
$$\rightarrow Q_{transport,i} = \sum_{j=1, j \neq i} -Q_{ij}, \quad Q_{ij} = Q_{ij,radiation} + Q_{ij,connector_conduction} + Q_{ij,convection} \dots$$



Experimental Model Validation

10 mΩ External Short

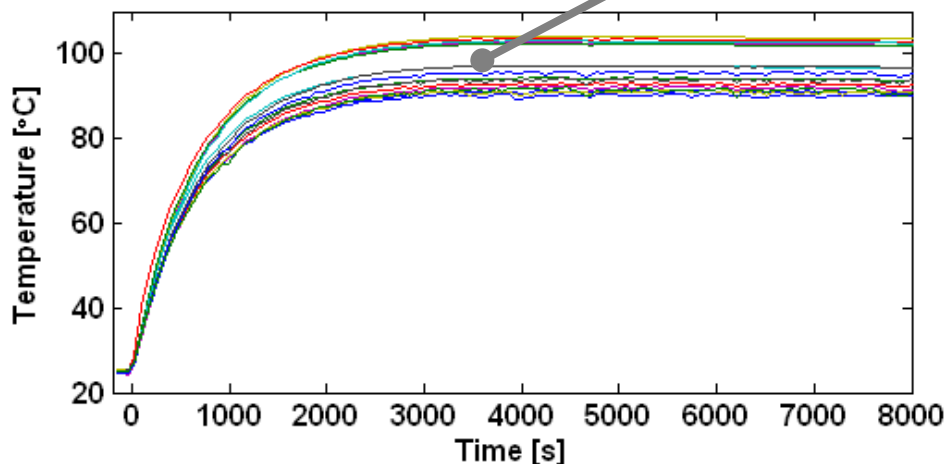
Data & Photo: SRI



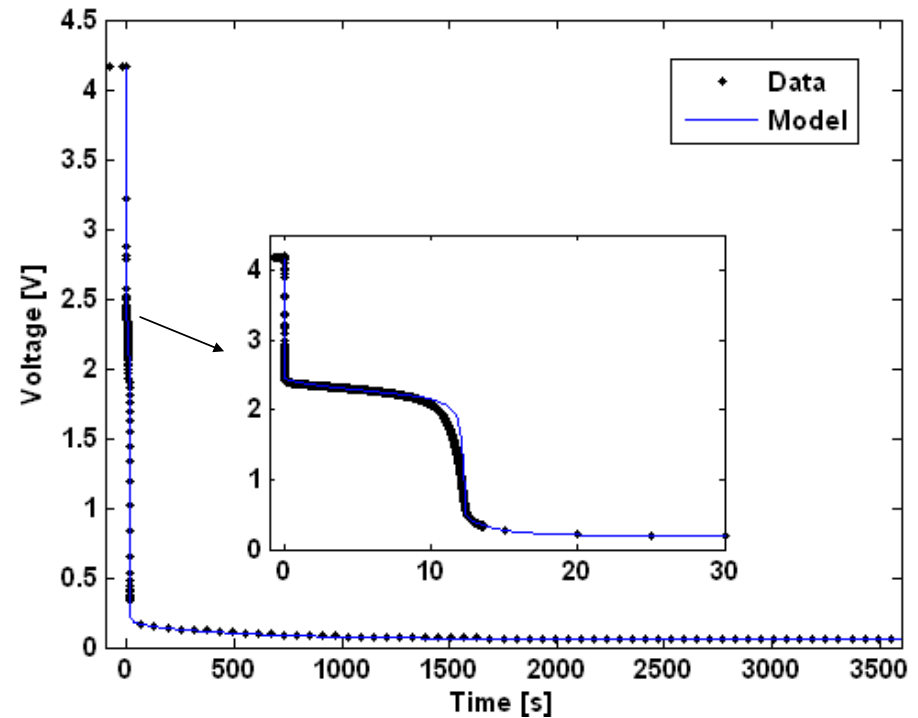
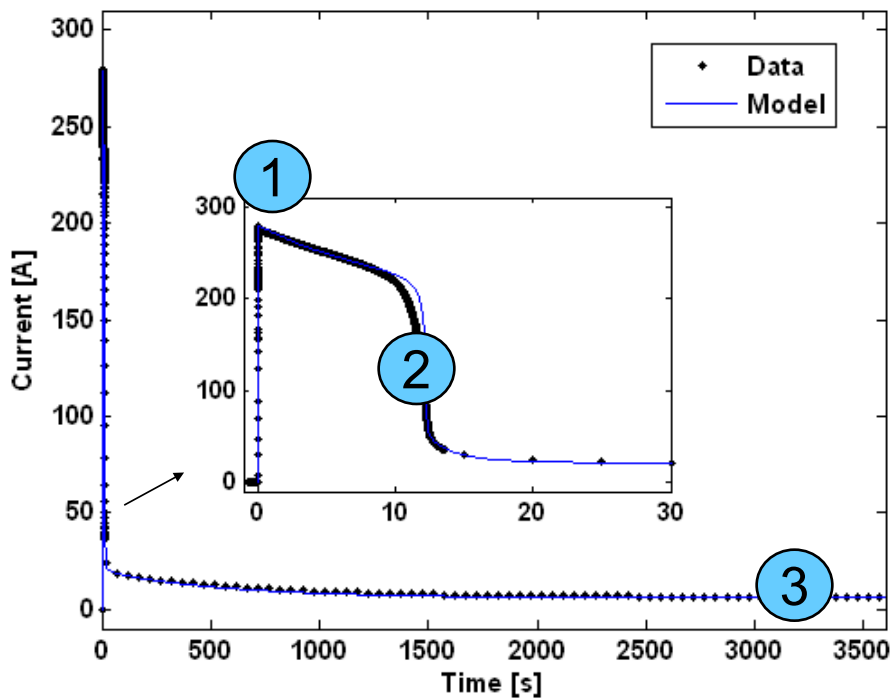
1) $t = 0$ sec: Circuit closed.

2) $t \approx 12$ sec: PTC devices trip.
– $T_{\text{PTC}} = 130^{\circ}\text{C}$

3) $t \approx 1$ hr: Steady state reached.
~ C/5 discharge

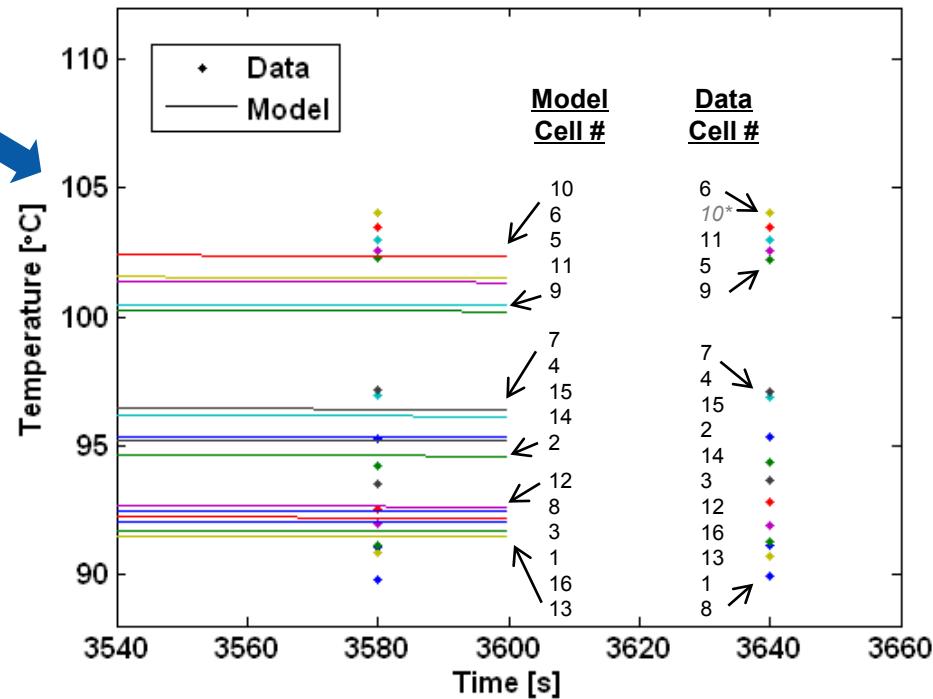
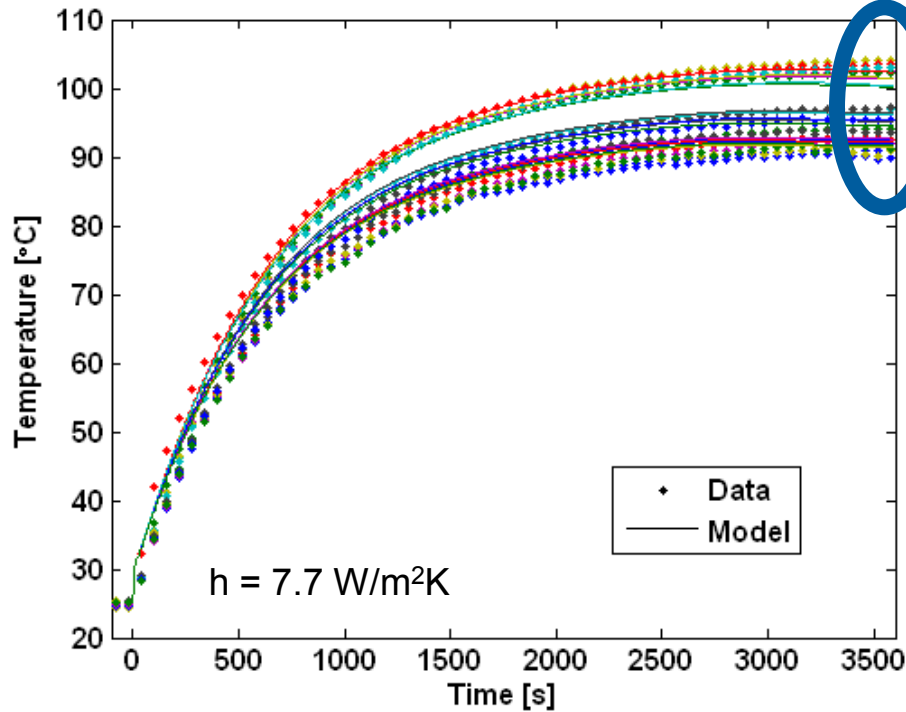


Model Validation – Current & Voltage



- ① Peak inrush current readily predicted with knowledge of cell & short resistances.
- ② PTC device trip time affected by
 - PTC thermal mass
 - PTC conductive path to jellyroll & can.
- ③ Steady-state behavior affected by jellyroll and PTC device temperature, indirectly:
 - PTC conductive path to jellyroll & can
 - Thermal boundary conditions to ambient.

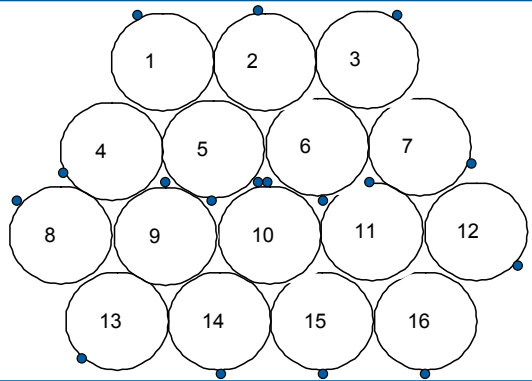
Model Validation – Temperature



Cell Numbering Scheme

(bottom up/neg. view)

● Location of Thermocouple

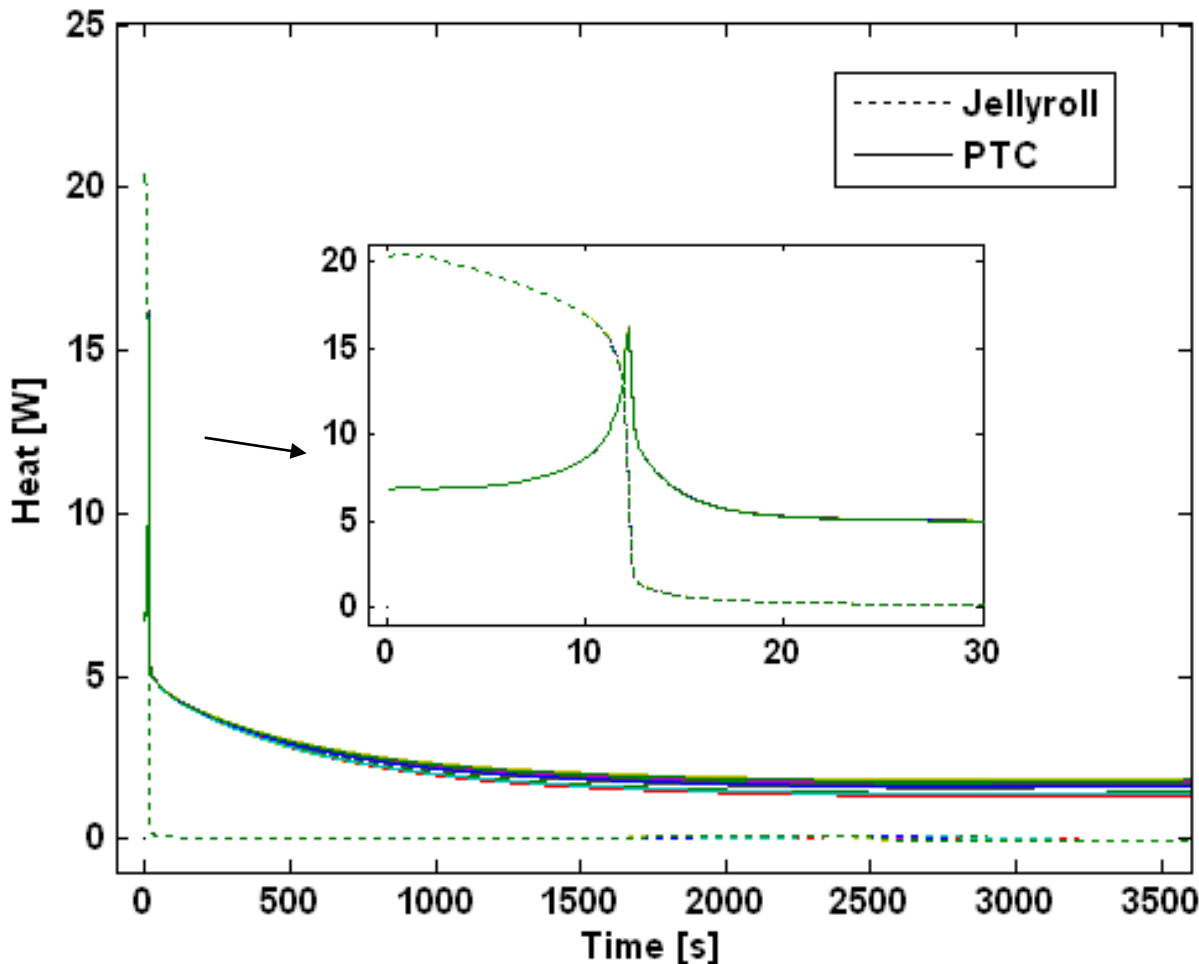


Model predicts correct temperature rise, but slightly smaller cell-to-cell ΔT caused by

- Model uniform T in cell-radial direction
- Thermocouple locations

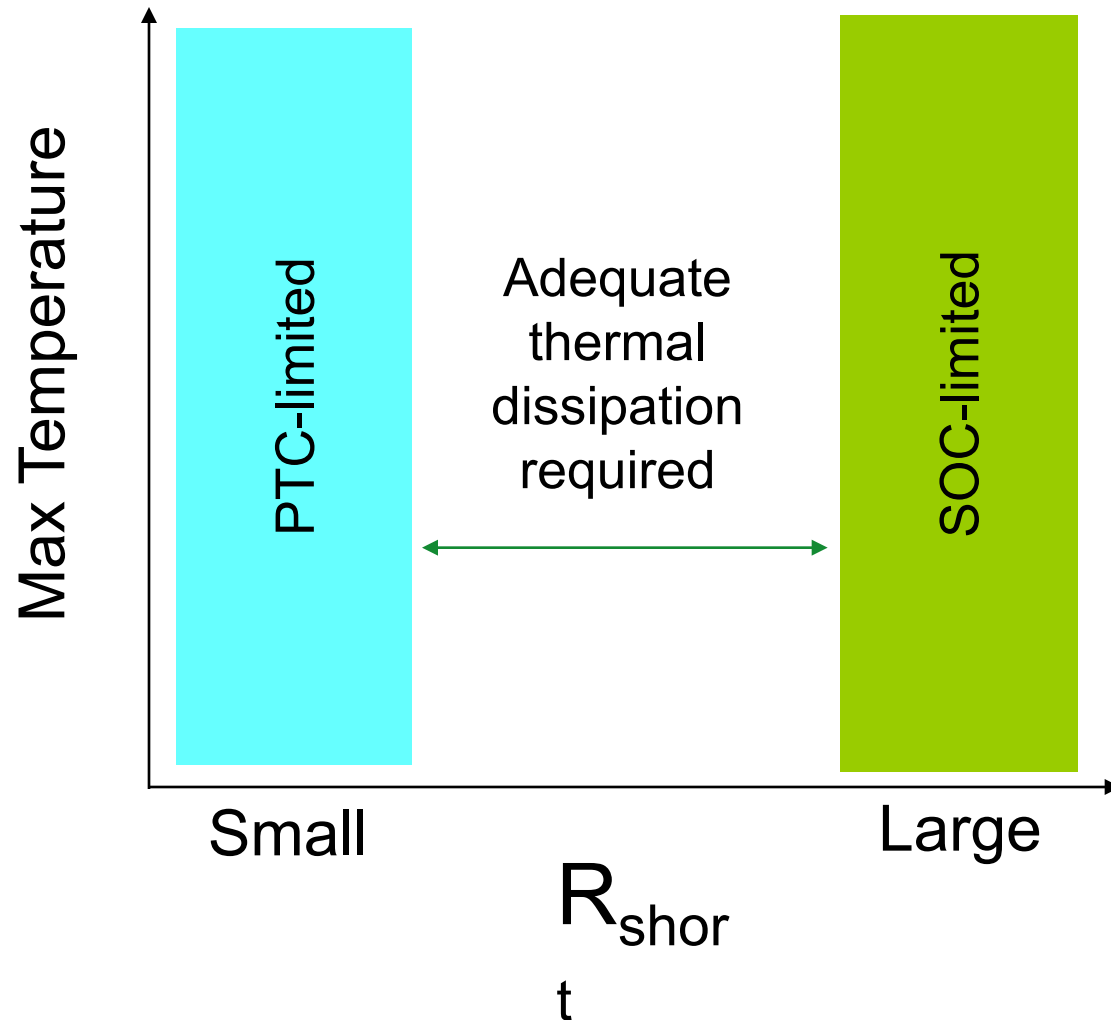
Model Prediction – Heat Generation

- Pre-trip: Jellyroll heat generation dominates
- Post-trip: PTC device heat generation dominates

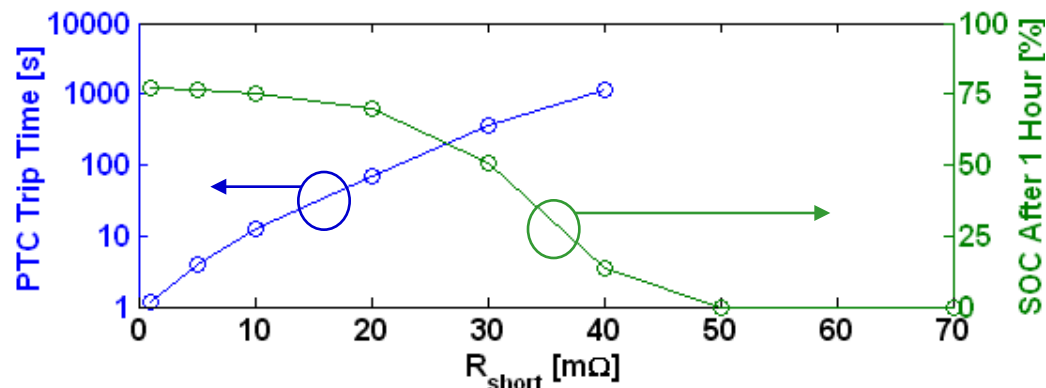


PTC devices at steady state
1.35 to 1.86 W

Is this design safe under other short conditions?



Simulation Results at Various Values of R_{short}



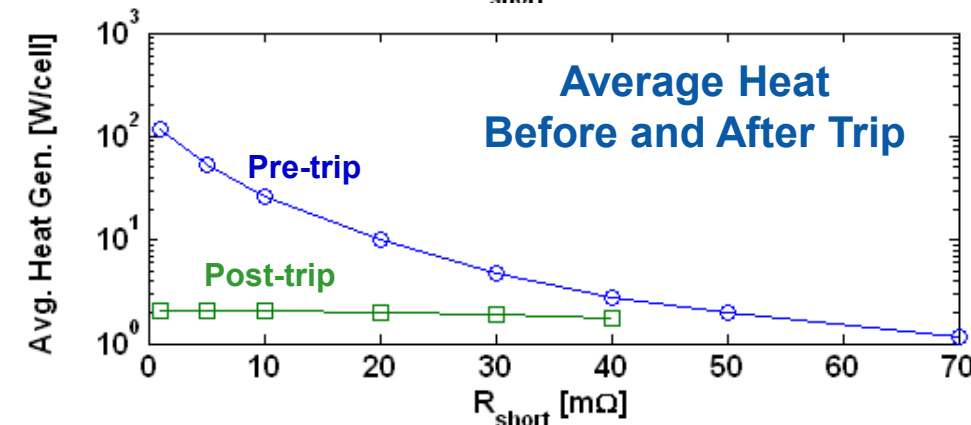
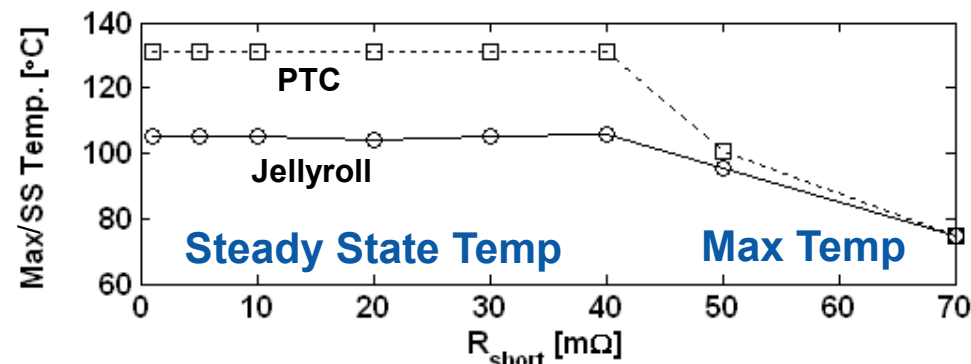
- $R_{short} \leq 40$ mΩ: PTC-limited
- $R_{short} \geq 50$ mΩ: SOC-limited

- Tripped PTC device serves as thermal regulator

$$[dR_{PTC}/dT]_{130^{\circ}C} = 3 \Omega / ^{\circ}C$$

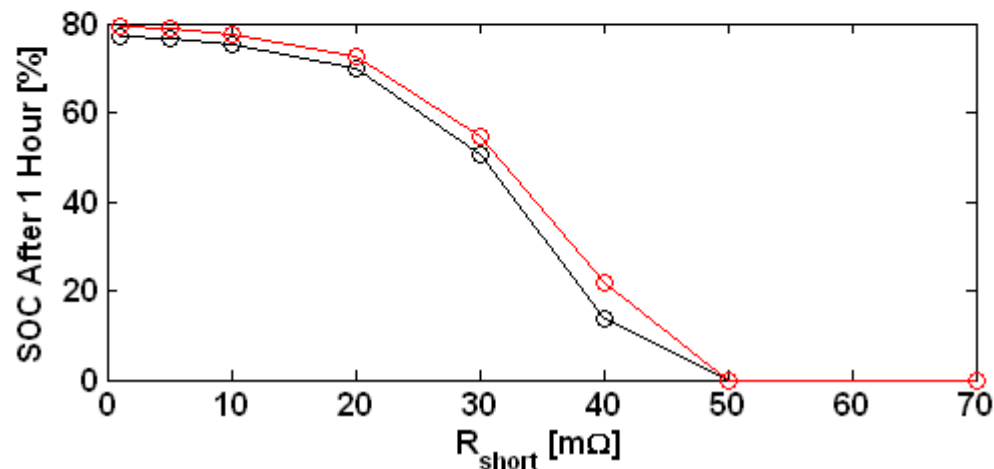
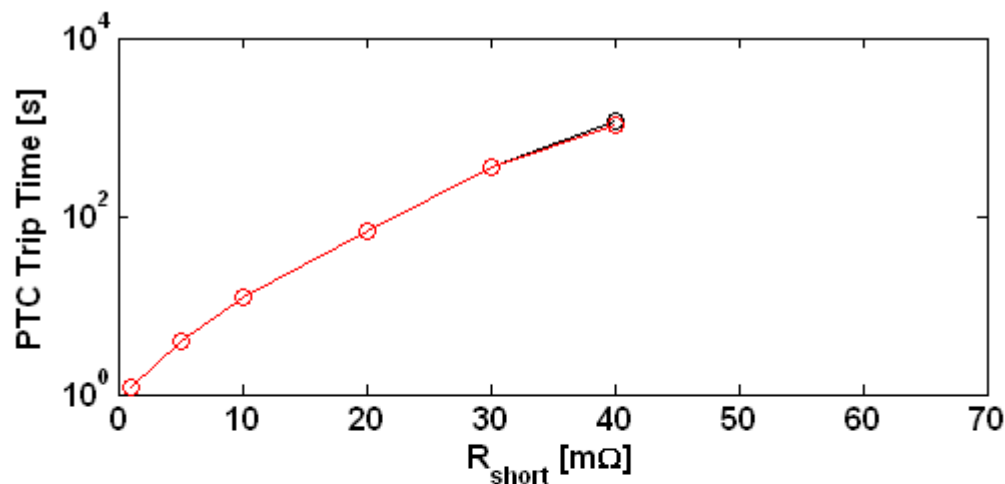
(5 orders of magnitude > than at 25°C)

- Large pre-trip heat rates are safe provided that they have
 - Short duration
 - Sufficient thermal mass
 - Sufficient heat dissipation



How much heat rejection is required for safety?

Additional simulations run with various values of h (convective heat transfer coefficient to ambient).



Red lines: $h = h_{\text{nominal}} / 2$

Black lines: $h = h_{\text{nominal}}$

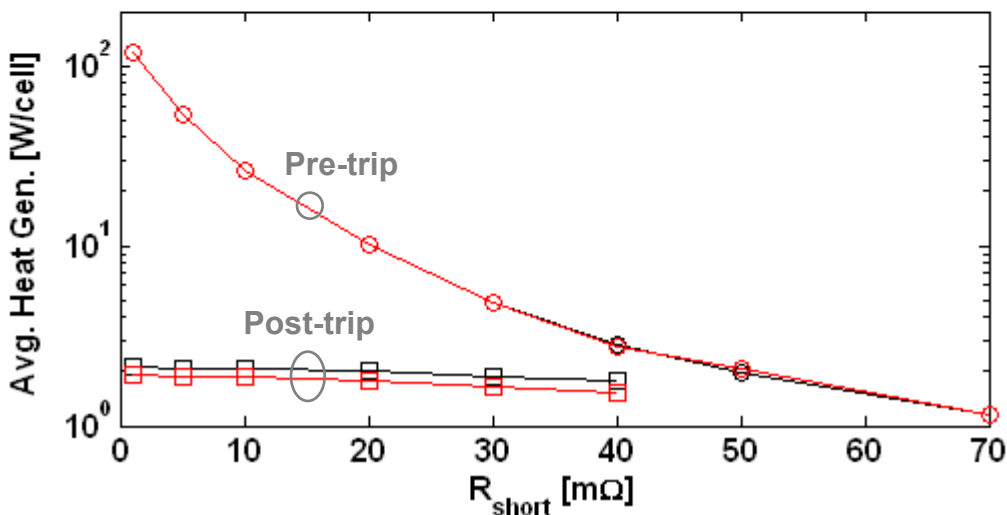
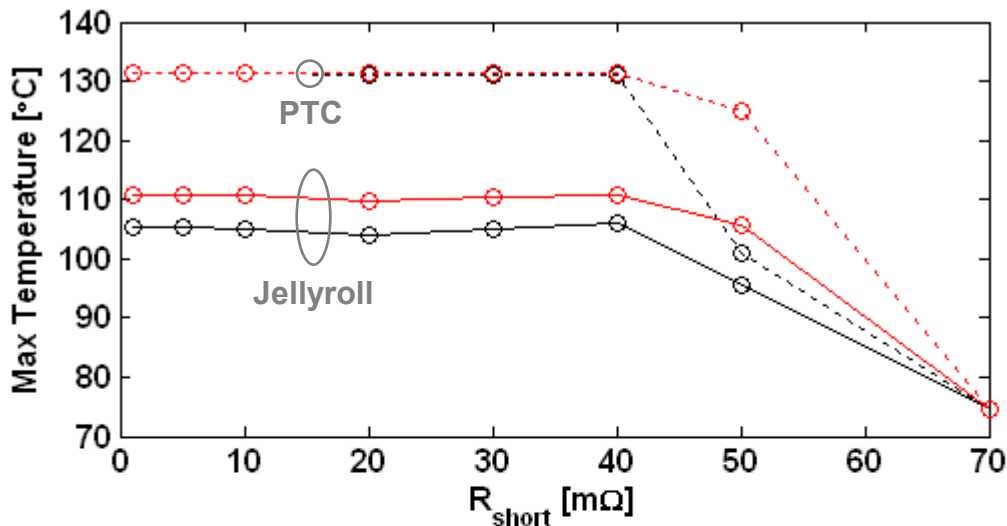
- PTC device trip time decreases only slightly with less heat rejection from cells.
- Less rejection leads to hotter PTC device (higher resistance) and slower discharge of cell.

How much heat rejection is required for safety?

Red lines: $h = h_{\text{nominal}} / 2$

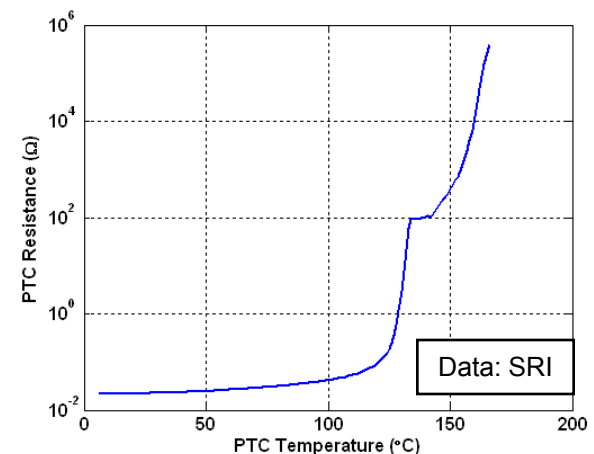
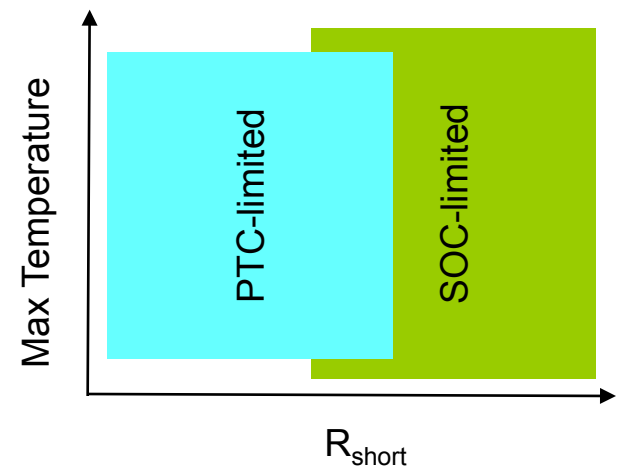
Black lines: $h = h_{\text{nominal}}$

- Less rejection causes an increase in jellyroll temperature.
- Pre-trip heat generation rate largely unaffected by thermal boundary conditions.
- Post-trip, the PTC device reduces heat generation rate as heat rejection decreases.



Conclusions

- Created & validated a new multicell math model capturing electrical and thermal interactions of cells with PTC devices during abuse. Suitable for
 - Assessment of battery safety design margins
 - Supplement and guide verification tests
- Moli ICR18650J cell design has promise to be tolerant to a wide range of external shorts for the 16p configuration of spacesuit battery as long as
 - No damage due to the in-rush current transient occurs
 - Nominal tripping of cell PTC devices and steady state conditions occur
- PTC device is an effective thermal regulator. Maximum cell temperature (final state) is very similar for a variety of initial and boundary conditions.



Acknowledgements

NASA Johnson Space Center

- Funding for this work was provided by NASA JSC under Interagency Agreement NNJ08HC04I
- Technical Guidance: Frank Davies

Symmetry Resources Inc.

- Brad Strangways



DOE and NREL

- For funding to develop the initial model that led to the agreement with NASA to perform present work.