

# Ultracapacitors and Batteries in Hybrid Vehicles

Ahmad Pesaran

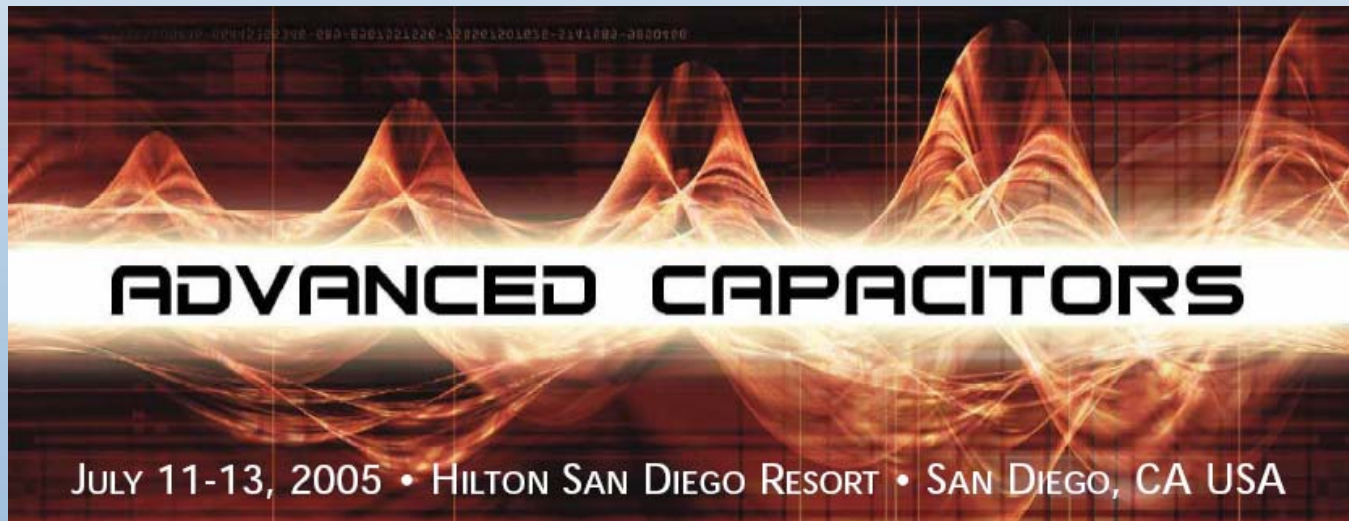
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[www.ctts.nrel.gov/BTM](http://www.ctts.nrel.gov/BTM)



# Outline

- Hybrid Vehicle (HEV) Configurations/Categories
- HEV Energy Storage Requirements and Targets
- Ultracapacitor and Battery Characteristics
- Dual Energy Storage (Batt/Ucap) Solutions
  - Performance and life benefits
  - Cost, volume, and weight disadvantages
- Applications in Start-Stop and 42V Mild Hybrids
  - Drive Cycle Analysis (FTP and CA Real World)
  - Impact of Auxiliary Loads
  - Fuel Use from Idle-Restart
  - Fuel Economy
- Summary

# Hybrid Vehicle Categories

<p>Micro Hybrids (12V-42V: Start-Stop, Launch Assist)</p>	
<p>Mild Hybrids (42V Start/Stop, M-HEV, PA-HEV)</p>	
<p>Full Hybrids Power Assist HEV</p>	
<p>Fuel Cell Hybrids</p>	
<p>Plug-in HEV (low-mid EV range)</p>	

**Different energy storage requirements in  
vehicles with different strategies**

# FreedomCAR-USABC Energy Storage Requirements/Targets

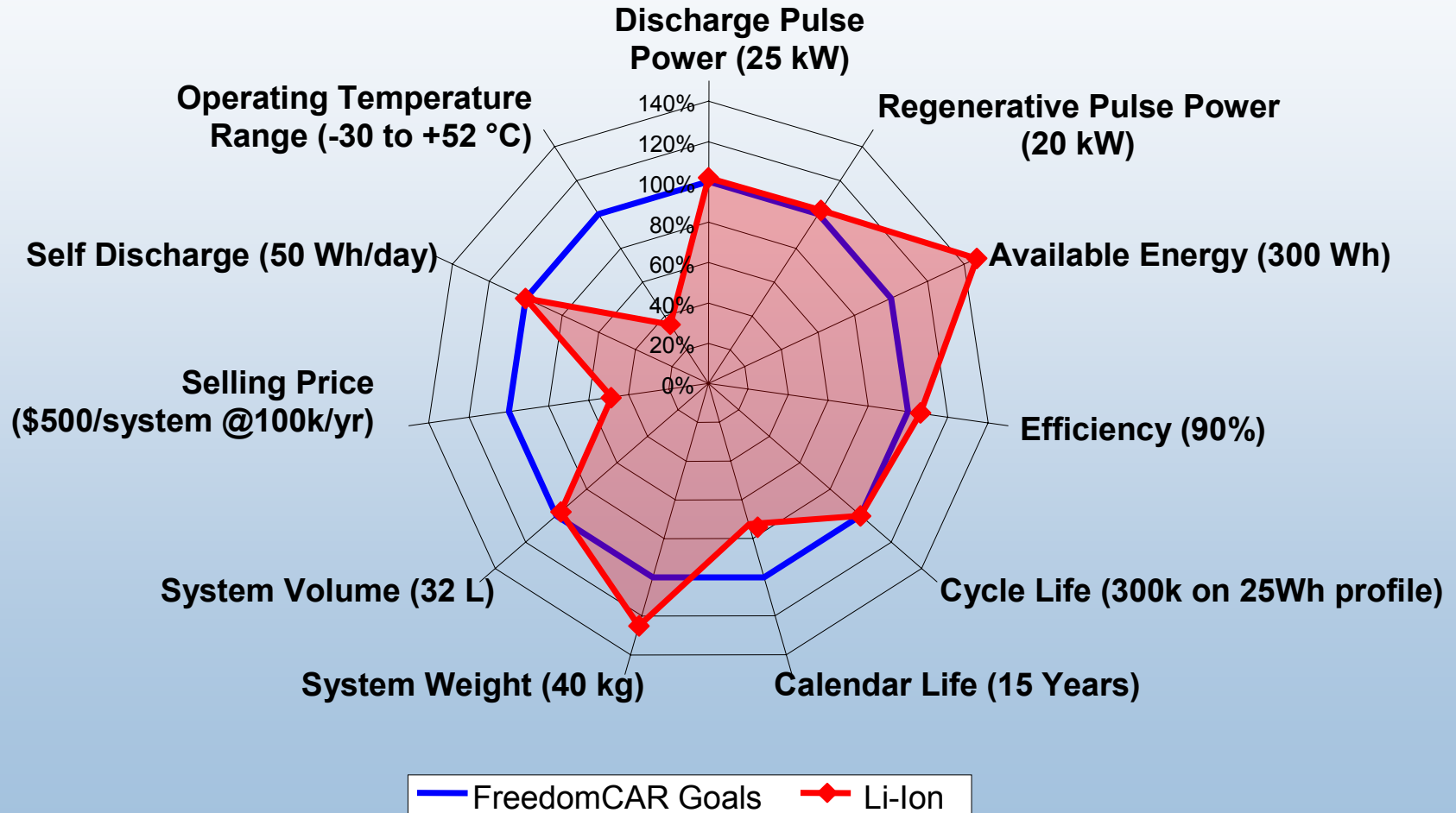
Hybrids with Different Strategies		42-Volt			HEV (Power-Assist)		Fuel Cell Hybrid
Characteristics	Unit	Stop Start	M-HEV	P-HEV	Low Power	High Power	Low Power *
Discharge Power	kW	6 for 2 sec	13 for 2 sec	18 for 10 sec	25 for 10 sec	40 for 10 sec	25 for 10 sec
Specific Power-Dischg 80% DOD/10 sec	W/kg						
Regen Pulse	kW	N/A	8 for 2 sec	18 for 2 sec	20 for 10 sec	35 for 10 sec	20 for 5 sec
Specific Power-Regen 20% DOD/10 sec	W/kg						
Engine-off Accessory Load	kW	3 for 5 min					
Recharge Rate	kW	2.4	2.6	4.5			
Power Density	W/l						
Available Energy (at 3 kW)	Wh	250	300	700	300	500	250
Specific Energy - C/3 Discharge Rate	Wh/kg						
Energy Density - C/3 Discharge Rate	Wh/l						
Specific Power/Specific Energy Ratio	h <sup>-1</sup>						
Total Energy	kWh						
Energy Efficiency on Load Profile	%	90			90		90
Cycle Life profiles (engine starts)	cycle	450k			300k		TBD
Calendar Life	year	15			15		15
Cold cranking power at -30°C	kW	8 at 21V minimum for 2 sec			5 for 2 sec	7	5 for TBD min
Maximum System Weight	kg	10	25	35	40	60	40
Maximum System Volume	liter	9	20	28	32	45	32
Selling Price at 100k units/year	\$	150	260	360	500	800	500
Maximum Operating Voltage	Vdc	48			400		440
Minimum Operating Voltage	Vdc	27			>0.55 x Vmax		>0.55 x Vmax
Maximum Self-discharge	Wh/d	20			50		50
Operating Temperature Range	°C	-30 to +52			-30 to +52		-30 to +52
Survival Temperature Range	°C	-46 to +66			-46 to +66		-46 to +66

# FreedomCAR-USABC Ultracapacitors Requirements/Targets

System Attributes	12V Start-Stop (TSS)		42V Start-Stop (FSS)		42V Transient Power Assist (TPA)	
Discharge Pulse	4.2 kW	2s	6 kW	2s	13 kW	2s
Regenerative Pulse	N/A		N/A		8 kW	2s
Cold Cranking Pulse @ -30°C	4.2 kW	7 V Min.	8 kW	21 V Min.	8 kW	21 V Min.
Available Energy (CP @1kW)	15 Wh		30 Wh		60 Wh	
Recharge Rate (kW)	0.4 kW		2.4 kW		2.6 kW	
Cycle Life / Equiv. Road Miles	750k / 150,000 miles		750k / 150,000 miles		750k / 150,000 miles	
Cycle Life and Efficiency Load Profile	UC10		UC10		UC10	
Calendar Life (Yrs)	15		15		15	
Energy Efficiency on UC10 Load Profile (%)	95		95%		95%	
Self Discharge (72hr from Max. V)	<4%		<4%		<4%	
Maximum Operating Voltage (Vdc)	17		48		48	
Minimum Operating Voltage (Vdc)	9		27		27	
Operating Temperature Range (°C)	-30 to +52		-30 to +52		-30 to +52	
Survival Temperature Range (°C)	-46 to +66		-46 to +66		-46 to +66	
Maximum System Weight (kg)	5		10		20	
Maximum System Volume (Liters)	4		8		16	
Selling Price (\$/system @ 100k/yr)	40		80		130	

# Li-Ion Status of versus Targets

## Power-Assist HEV (Low Power)



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# Qualitative Comparison of Energy Storage Technologies for HEVS

Source: K. Konecky (AABC-04)

<i>Parameter</i>	<i>Lead-Acid</i>	<i>UC</i>	<i>NiMH</i>	<i>Lilon</i>
Weight	●	●	●	●
Volume	●	●	●	●
Regen Power	●	●	●	●
Discharge Power	●	●	●	●
Cold-Cranking Power	●	●	●	●
Capacity/Energy	●	●	●	●
Life	●/●	●	●	●/TBD
Maturity - Technology	●	●	●	●
Maturity - Manfg	●	●	●	●
Cost	●	TBD	● ↑	TBD
<i>Overall</i>	●	●	● ↓	● ↑
<i>Safety</i>	●	●	●	●



# Battery and Ultracapacitor Characteristics

Source: M. Anderman (AABC-04 Tutorial)

Parameter	VRLA	NiMH	Li Ion	Ultracap
Cell configuration	Parallel plates; spirally wound cylindrical	Spirally wound cylindrical; parallel plates	Spirally wound cylindrical & elliptic	Spirally wound cylindrical & elliptic
Nominal cell voltage (V)	2	1.2	3.6	1.8
Battery electrolyte	Acid	Alkaline	Organic	Organic
Specific energy, Wh/kg	25	40	60 to 80	5
<b>Battery/Module specific power, 10 sec, W/kg</b>				
23°C, 50% SOC	400	1300	3000	>3000
-20°C, 50% SOC	250	250	400	>500
<b>Charge acceptance, 10 sec. W/kg</b>				
23°C, 50% SOC	200	1200	2000	>3000
<b>2010 Projected Cost &gt;100,000 per year</b>				
\$/kWh, Module	100.00	500.00	700.00	20,000.00
\$/kWh, Full pack	140	600	1100	25000
\$/kW, pack	9.00	18.00	22.00	40.00
Energy efficiency	Good	Moderate	Good	Very Good
Thermal managements requirements	Moderate	High	Moderate	Light
Electrical control	Light	Light	Tight	Tight

# Potential Applications of Batteries and Ultracapacitors in Light-Duty HEVs

<p>Micro Hybrids (12V-42V: Start-Stop, Launch Assist)</p>	<p>VRLA: Yes NiMH and Li-Ion: Yes, Likely Ucap: Likely Ucap + VRLA: Possibly</p>
<p>Mild Hybrids (42V Start/Stop, M-HEV, PA-HEV)</p>	<p>VRLA: Yes NiMH and Li-ion: Yes, Likely Ucaps: Likely if engine not downsized (??) Ucaps + VRLA: Possibly</p>
<p>Full Hybrids Power Assist HEV</p>	<p>VRLA: Not Likely NiMH and Li-ion: Yes, Likely Ucaps: Not Likely if engine not downsized (??) Ucaps + VRLA: Not Likely</p>
<p>Fuel Cell Hybrids</p>	<p>VRLA: Not Likely NiMH and Li-ion: Yes, Likely Ucaps: Likely if Fuel Cell is not downsized Ucaps + VRLA: Not Likely</p>
<p>Plug-in HEV (low-mid EV range)</p>	<p>VRLA: Not Likely NiMH and Li-ion: Yes, Likely Ucaps or Ucap + VRLA: Not Likely</p>

# Most Likely Applications for Ultracapacitors In Light-Duty Vehicles

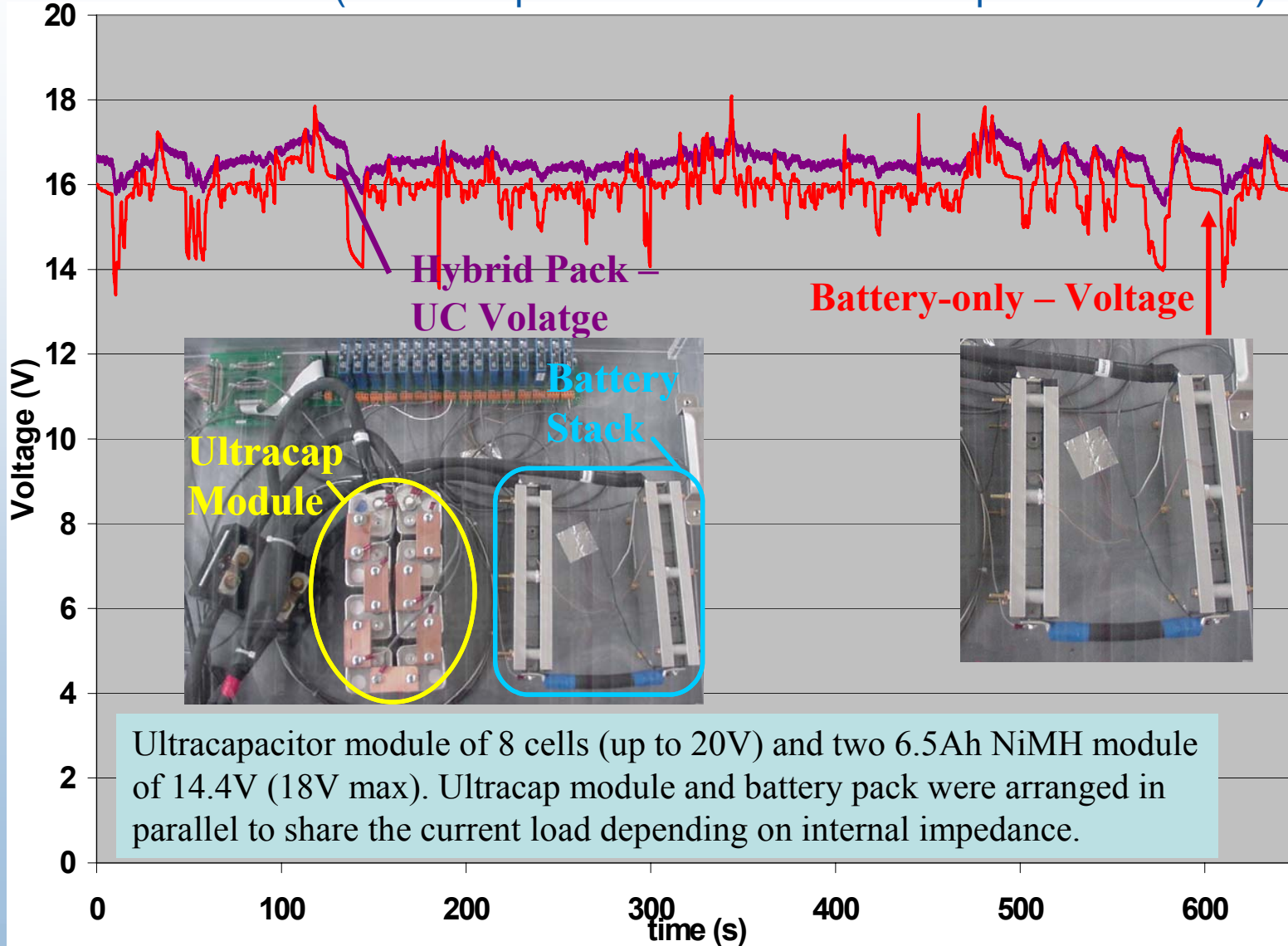
- Micro HEVs with Start-Stop (w or w/o regen) capabilities
  - Low temperature power capability desirable.
  - Low energy is a concern to support auxiliaries during idling.
  - Biggest opportunity if idle-stop becomes a common-place.
- Mild HEVs if engine is not downsized
  - Need to investigate potential benefits for full HEVs
- Fuel Cell hybrids if FC is not downsized
  - Similar to Honda FCX, regen capture.
  - Potential for load leveling.
- Micro and Mild HEVs if combined with VRLA or other batteries
  - Could add cost, volume, weight, and complexity.
  - Could extend the power capability and life.

# Outline

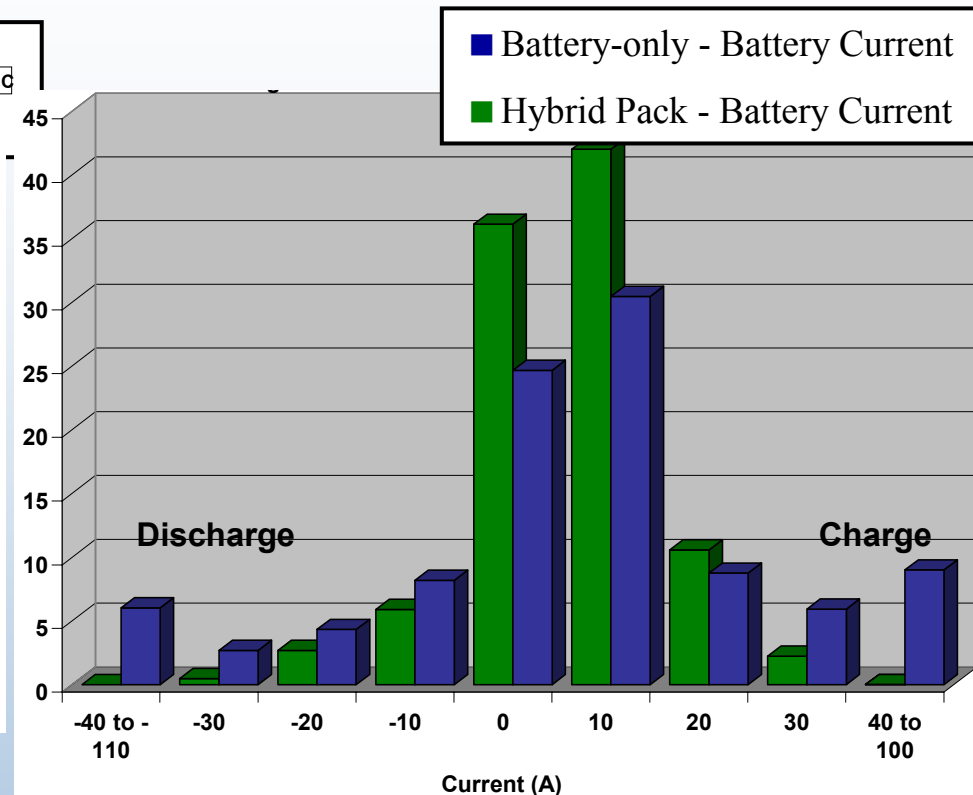
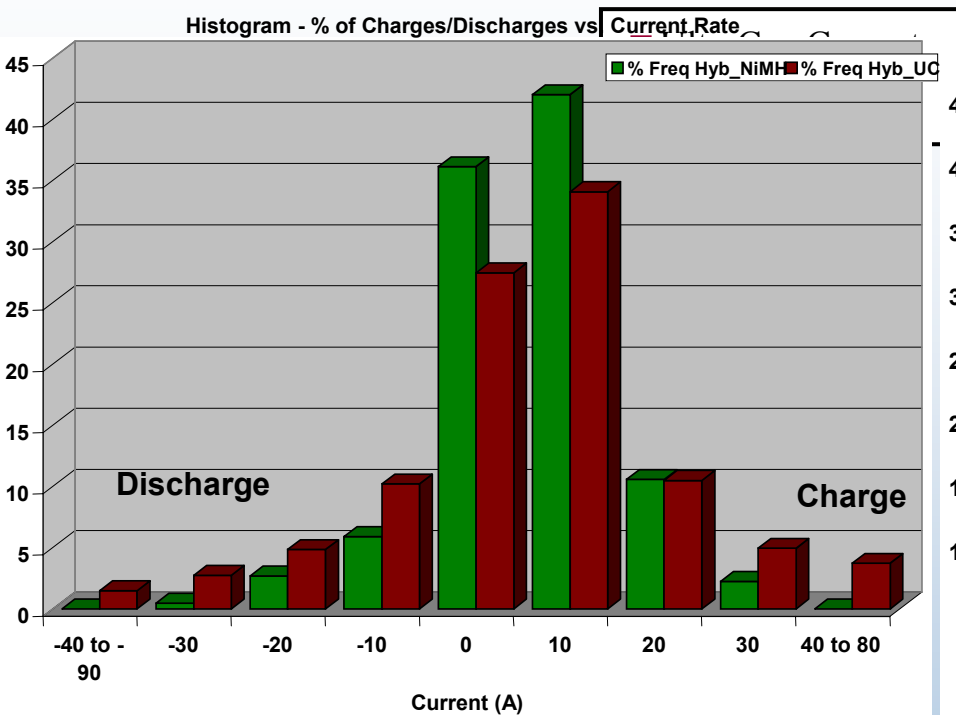
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# Experiments Show that Combining Ultracapacitors with Batteries Could Filter High Voltage Transients

Source: M. Zolot (NREL Reports and 2003 Florida Capacitor Seminar)



# Current Histogram in the Battery-Only and Battery+Ucap Pack during US06 Cycle



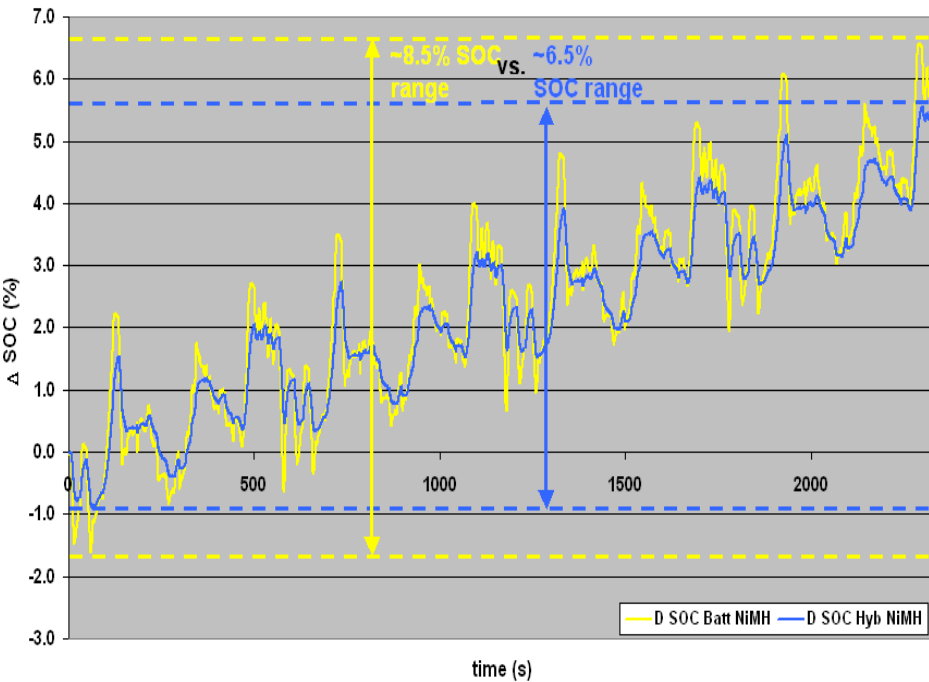
Lower impedance UC provides all currents larger than  $\pm 40A$ , while the battery absorbs/supplies additional low level currents from/to the UC to correct for voltage (Ah Capacity) inequalities.

Overall, the batteries in the hybrid pack “see” no currents larger than  $\pm 40A$ , while the batteries in the traditional pack see all the currents, from  $-110A$  to  $100A$ .

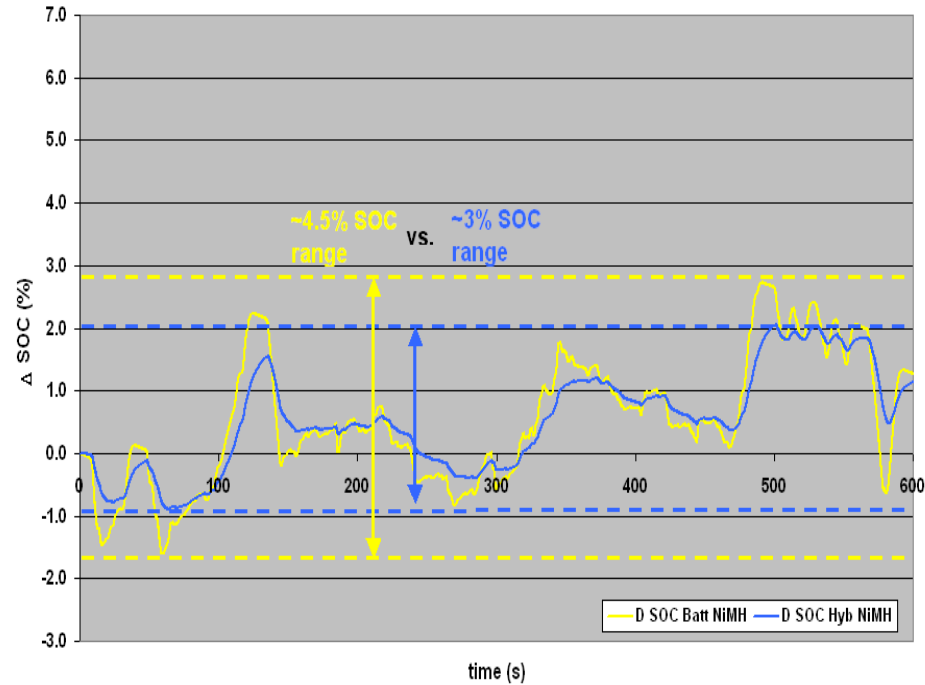
# Narrower Battery SOC Range in Battery+Ucap Could Extend Battery Life

Source: M. Zolot (NREL Reports and 2003 Florida Capacitor Seminar)

SOC(%) Cycling Range over Four Cycles



SOC(%) Cycling Range over One Cycle



24% narrower battery cycling range (over 40 minutes) has the potential to increase battery life.

33% narrower battery cycling range (over 10 minutes) has the potential to increase battery life.

# Advantages/Disadvantages of Hybridizing Energy Storage (Ucap + Battery)

## Advantages

- Reduced battery currents
- Reduced battery cycling range
- Positive affect on cycle life (to what extent?)
- Increased combined power and energy capabilities
- Better low temperature performance

## Disadvantages

- Large volume & mass
- Increased energy storage cost
- Unknown side affects of direct coupling
- If not directly coupled then need to have DC/DC converters between the Ucaps and engine/FC adding more cost



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# NREL's Previous Dual Source ESS Sizing Study

- 42 V ESS systems (PbA, UC, Li, NiMH, PbA+UC) were analyzed for Start-Stop, M-HEV and P-HEV specifications.

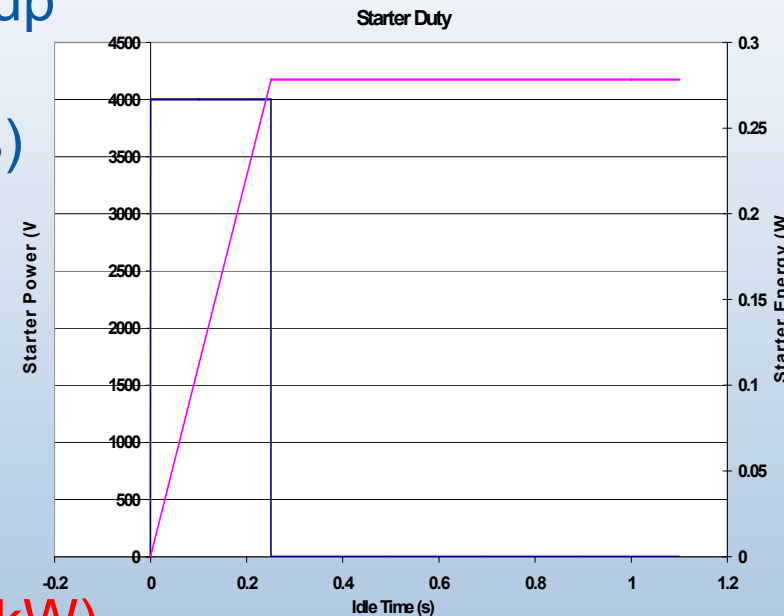
This table shows various EES specifications meeting the 42V Start-Stop minimum requirements

Description	Number of Modules	Parallel Strings	Nom. Voltage	10s Power	2s Power	Usable Energy	Mass	Cost	Volume
	--	--	V	kW	kW	Wh	kg	\$	L
<b>PbA only</b>	3	1	37.89	7.8	7.85	256.7	33.0	330	11.6
<b>UC only (2600F)</b>	20	1	39.5	14	54	35.0	14.2	600	21.7
<b>Li-ion (6Ah)</b>	22	2	40.93	12.1	12.1	156.7	8.3	440	6.6
<b>NiMH (6.5Ah)</b>	10	2	39.78	7	7.8	125.0	10.0	400	8.8
<b>3 PbA + 7 UC (PbA@HV)</b>	(3+7)	n/a	37.89	12.5	27	271.7	46.4	847	22.0

- Based on NREL's previous results, auxiliary power requirements ( $P_{aux}$ ) could be met by any of the ESS systems.
- We carried-over the Start-Stop results into fuel economy and an auxiliary load study.

# What is the Impact of Auxiliary Loads on Ucap sizing in Start-Stop HEVs?

- ESS needs to support auxiliary loads only during idle-off (engine-off), no electric traction.
- Engine only turns off after it is warmed-up due to emission reduction strategies.
- Restarts are with motors (4 kW, 250 ms)
- Just before the ESS energy becomes insufficient, ESS restarts the ICE.
  - ICE idles to meet the auxiliary load and recharges ESS.
- $SOC_{mid} < ESS_{SOC} < SOC_{Top}$  (regen. just collected) at idle-stop
- **Idle Fuel Rate Consumption: 0.4 g/s (6 kW)** (If this is too high for a midsize car, then the fuel economy increase would be over-estimated.)



# Auxiliary Load Assumptions

- Idle-off Auxiliary Loads:
  - Valvetrain = 0
  - Oil Pump = 0
  - Water Pump = 0 (except in winter)
  - ECM (Control Module) “sleep” Load = 10%
- Two Auxiliary Loading Cases:
  - Summer(+AC), Wipers, Turn Sigs, Lights-on
    - A/C compressor (D=0.9, T=30s [0.033 Hz])
    - Radiator Cooling Fan (D=0.5, T=15s [0.067 Hz])
    - HVAC fans on (D=1)
    - Wipers (D=50%, T=2s [0.5 Hz])
    - Turn Signal (D=50%, T=2s [0.5 Hz])
    - Radio + Misc + Brake-Light + Ext. Lights
  - Summer(-AC)
    - Same as Summer+AC, but without any A/C compressor load

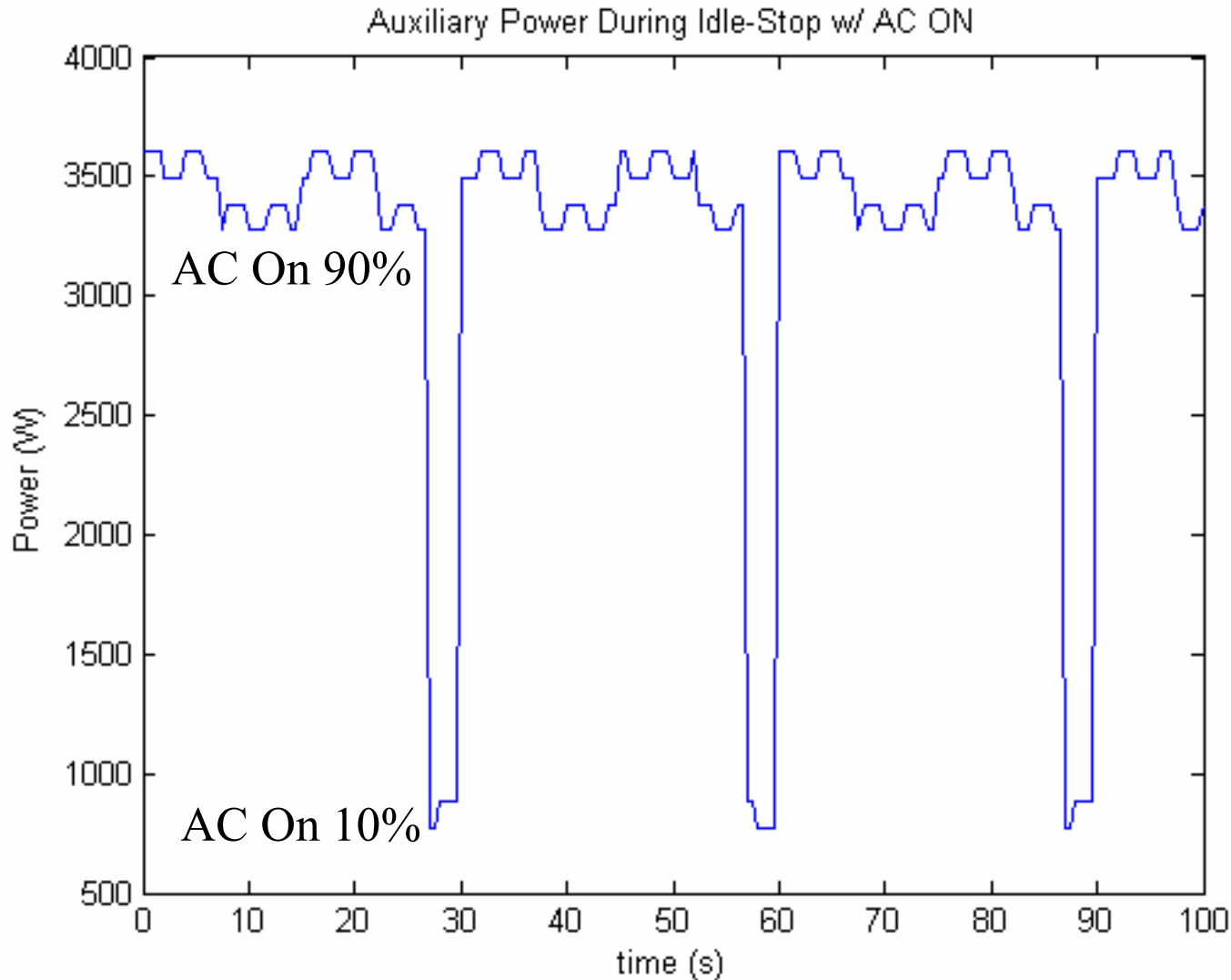
Load Power (W)	Mid-size
Radio	<input checked="" type="checkbox"/> 28
Rear Wipers	-
Front Wipers	<input checked="" type="checkbox"/> 34
Misc	<input checked="" type="checkbox"/> 45
Turn Signals	<input checked="" type="checkbox"/> 77
Brake Lights	<input checked="" type="checkbox"/> 84
Heated Seats	145
Starter (1 s)	<input checked="" type="checkbox"/> 1500
Engine Controller	<input checked="" type="checkbox"/> 193
Rear HVAC Fans	-
External Lights	<input checked="" type="checkbox"/> 263
Rear Defrost	260
Radiator Cooling Fan	<input checked="" type="checkbox"/> 221
Front HVAC Fans	<input checked="" type="checkbox"/> 328
Oil Pump	450
Water Pump	450
Valvetrain	1000
Catalyst Heater	2000
AC Compressor	<input checked="" type="checkbox"/> 2500
<b>Total</b>	<b>9578</b>

❖ Enhancement of R-134a Automotive Air Conditioning System, M Bhatti, Delphi Harrison Thermal Systems - SAE Congress 1999-01-0870

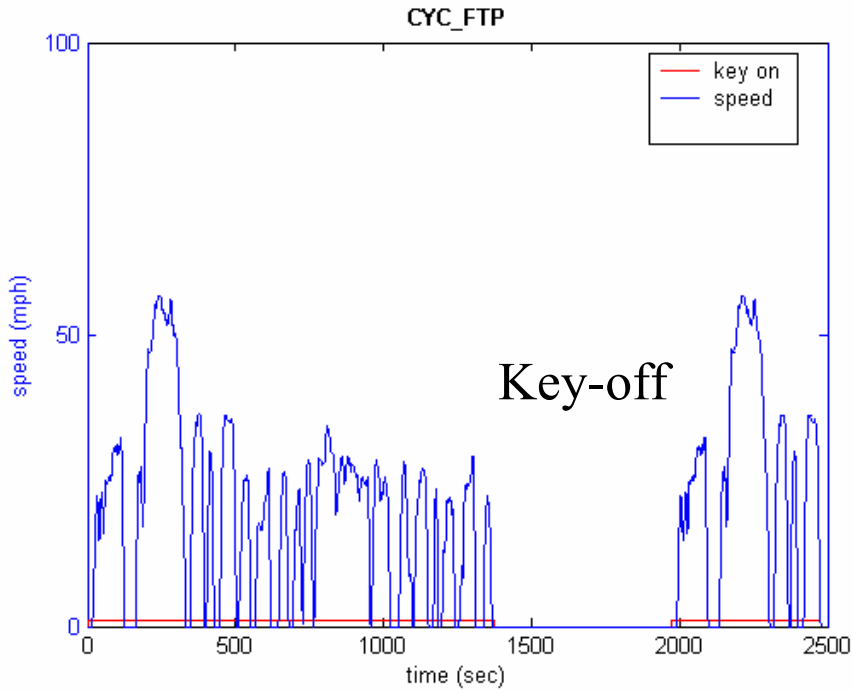
❖ Interaction of Temp., Humidity, Drive Preferences, and Refrigerant Type on Air Conditioning Compressor Usage, Journal of the Air & Waste Management Associate, October 2000

# Auxiliaries and AC Power Profile for Case 1

## ESS Power Dissipation with AC on Could be Met (during longer idle-times), but Energy?



# FTP Cycle Statistics



## EPA FTP cycle

- Distance: 11 miles
- Max Speed: 56.7 mph
- Ave Speed: 20 mph
- Number of stops: 23

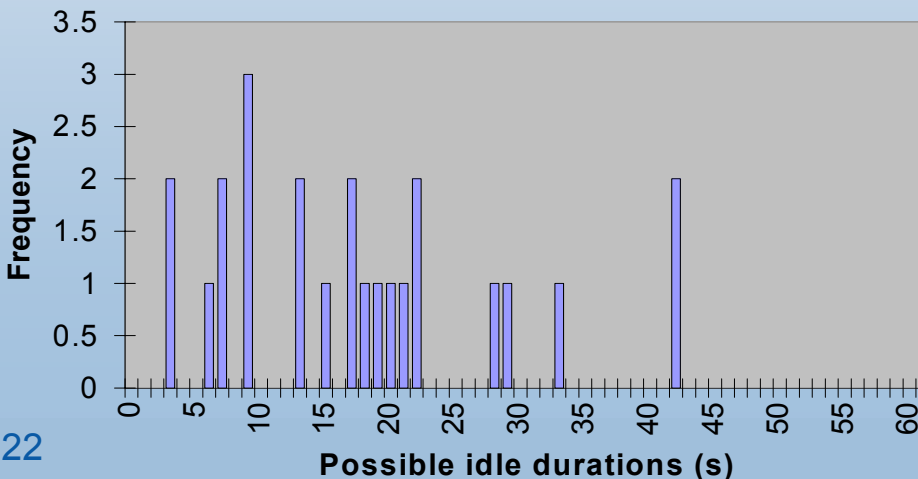
## Cycle Statistics:

- Idle time: 424 s
- No. of idle-cut-outs: 24
- Key-off: 1 (~600s)
- Idling time: 18% of total
- Average duration of idle-off: 17.7s
- Maximum idle duration: 42 S

## Assumptions:

- Engine cut-out below 10mph when decelerating
- Restarts above 0 mph and accelerating

FTP Idle-stops Histogram



# California Real-World Cycle Statistics

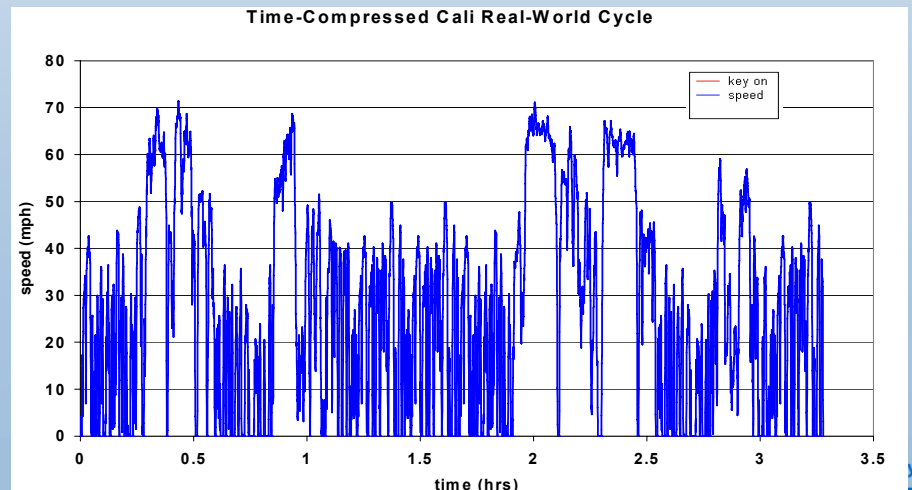
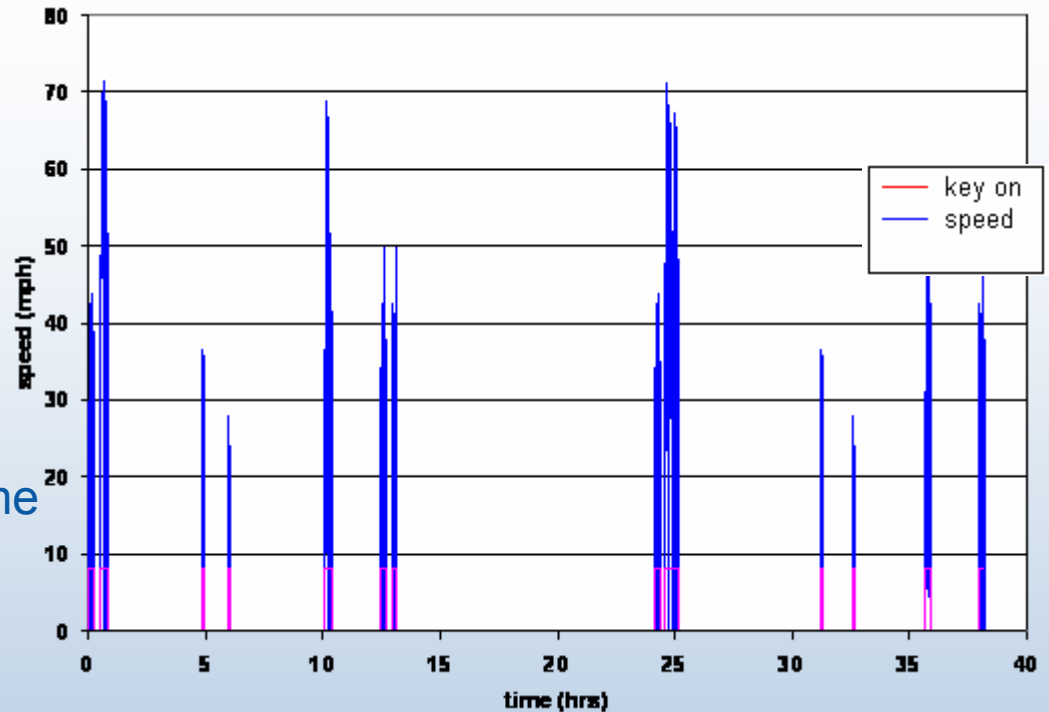
- Real-World Driving in CA
- Max Speed: 71.4 mph

## Assumptions:

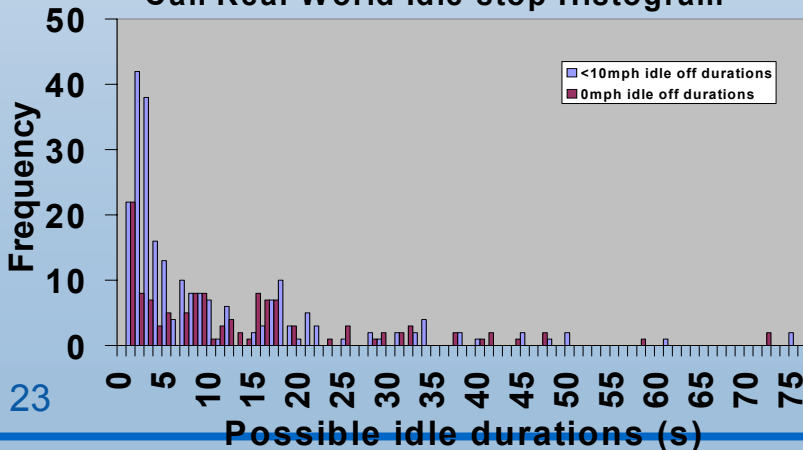
- Engine cut-out below 10mph
- Restarts above 0 mph / accel

## Cycle Statistics:

- Idle time: 2296 s (~38 mins)
- Out of: 11804 s (3.3hrs) drive time
- No. of idle-cut-outs: 232
- Key-offs: 13
- Average duration of idle-off: 10s



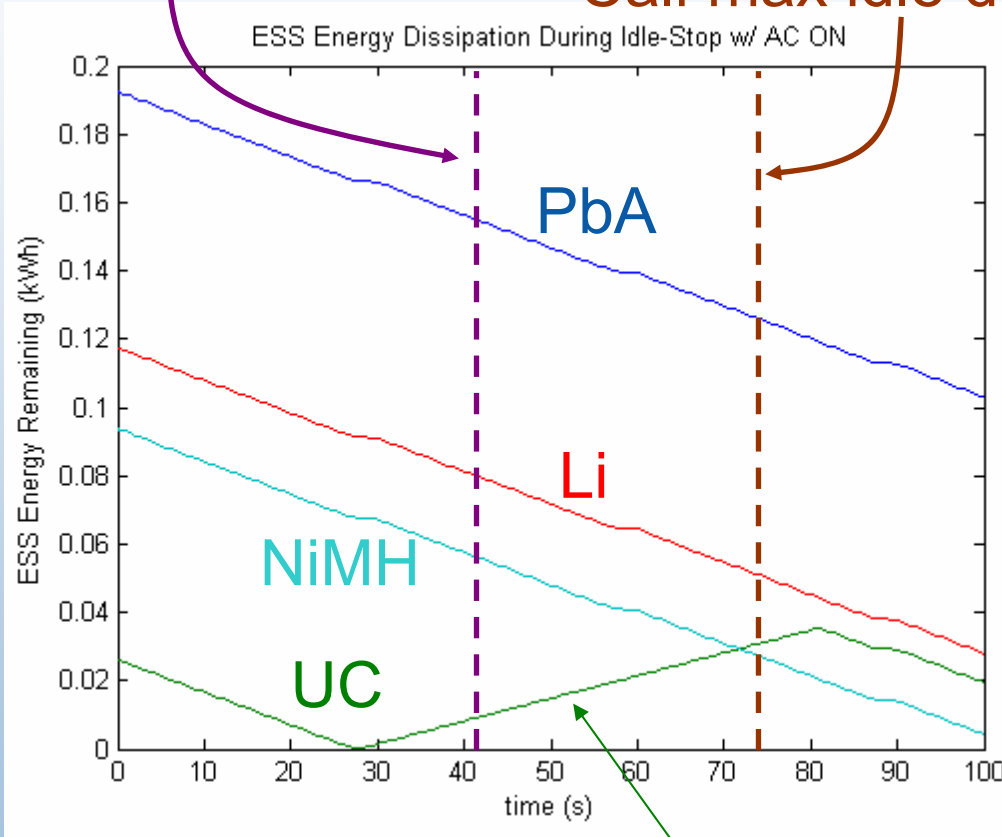
Cali Real-World Idle-stop Histogram



# ESS Energy Dissipation with AC on is a Challenge for Longer Idle-times

FTP max idle duration

Cali max idle duration



- Ultracap requires ICE restart after ~30s (with 75% SOE)
- More advanced UCs will operate the same [with same system voltage], but in lower volume pack.
- UC can extend idle operation (energy) if next generation is offered in >2600F capacity (and maintains today's pack volume)

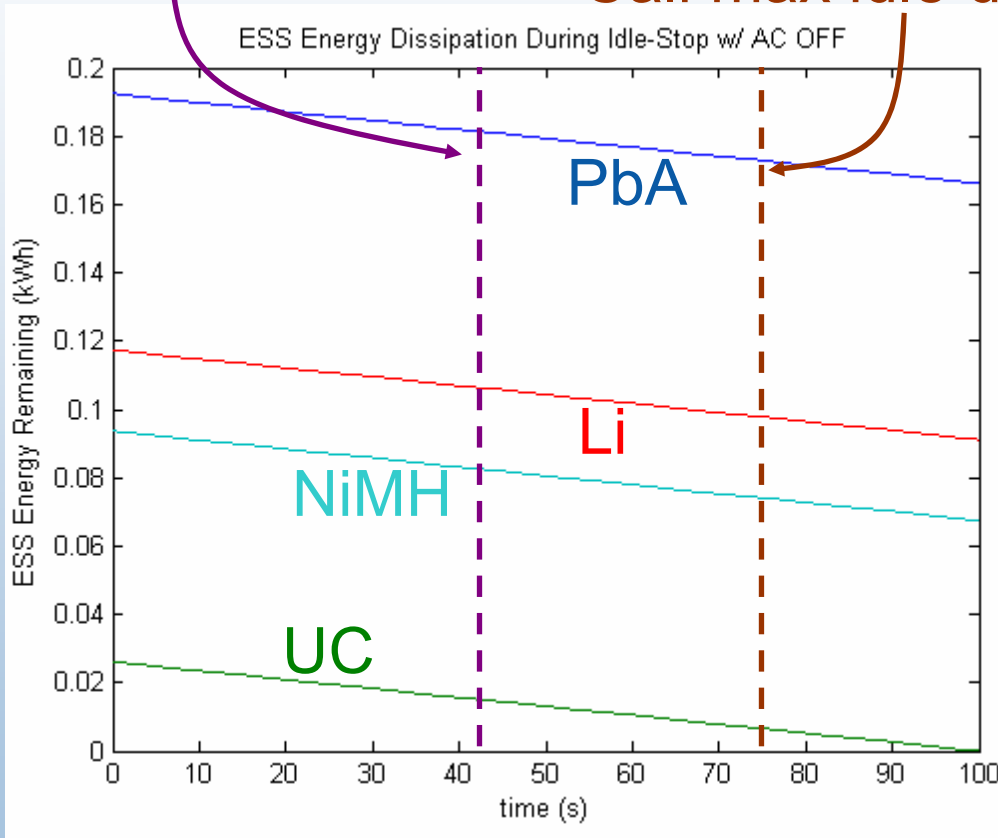
Recharge from re-started ICE



# ESS Energy Dissipation with AC off is Much Less Challenging

FTP max idle duration

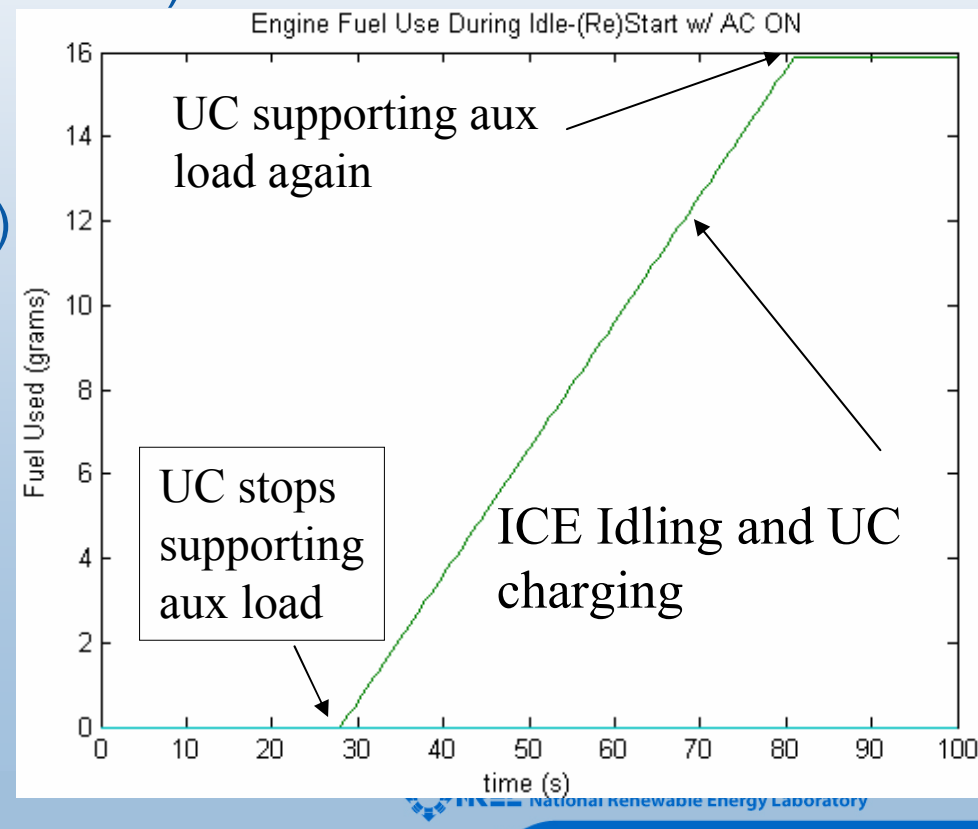
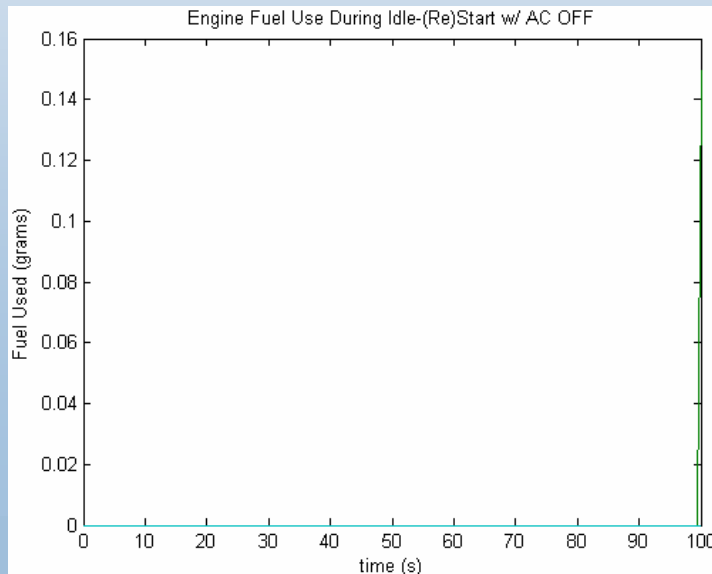
Cali max idle duration



- Ultracap does not require idle restart with AC off during stops

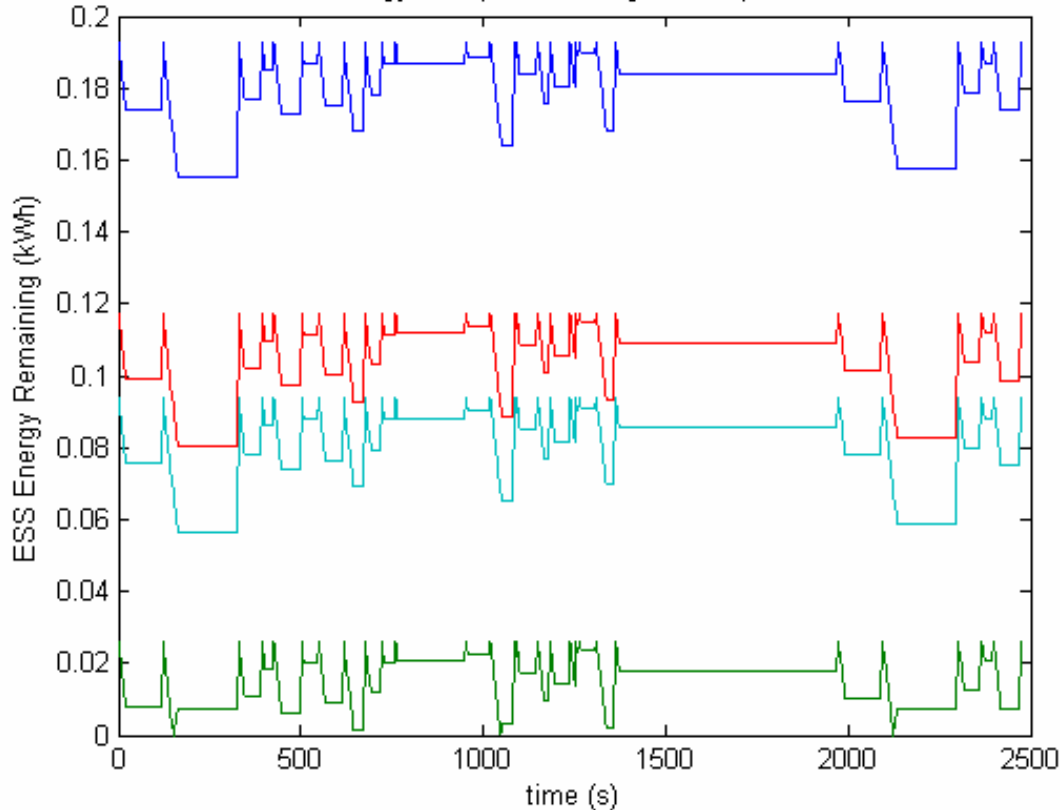
# What is Fuel Usage of Idle-Restart?

- Ignoring any fuel needed for restart event
  - Idling Fuel Consumption Rate: 0.4 g/s
- With the AC on:
  - Fuel use per idle event is up to 16 grams vehicle with UCs,
  - Zero impact for batteries (up to 100 sec)
- With the AC off
  - Zero/Negligible impact on FE for all ESS devices (up to 100 s)

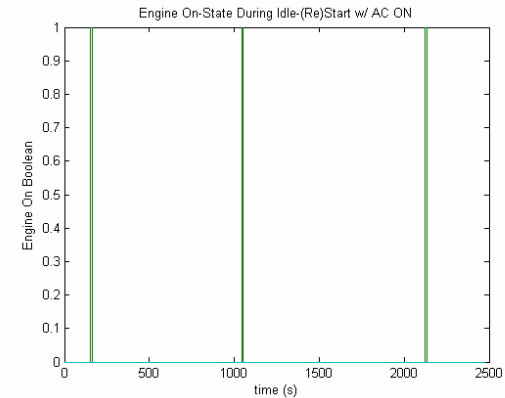


# Total Idle-Restart Fuel Use over the FTP (AC on)

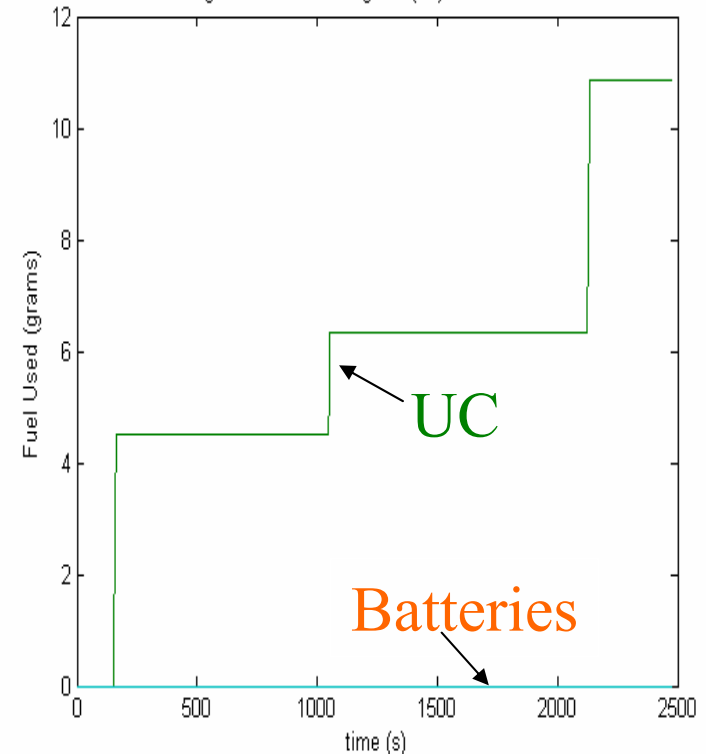
ESS Energy Dissipation During Idle-Stop w/ AC ON



- PbA
- Li
- NiMH
- UC

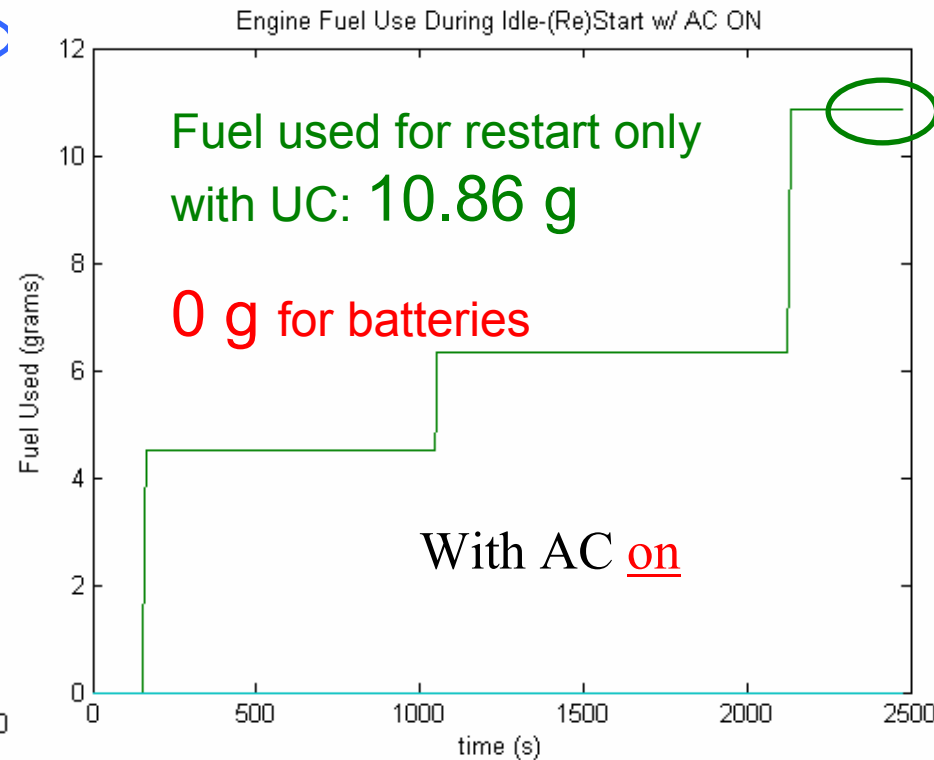
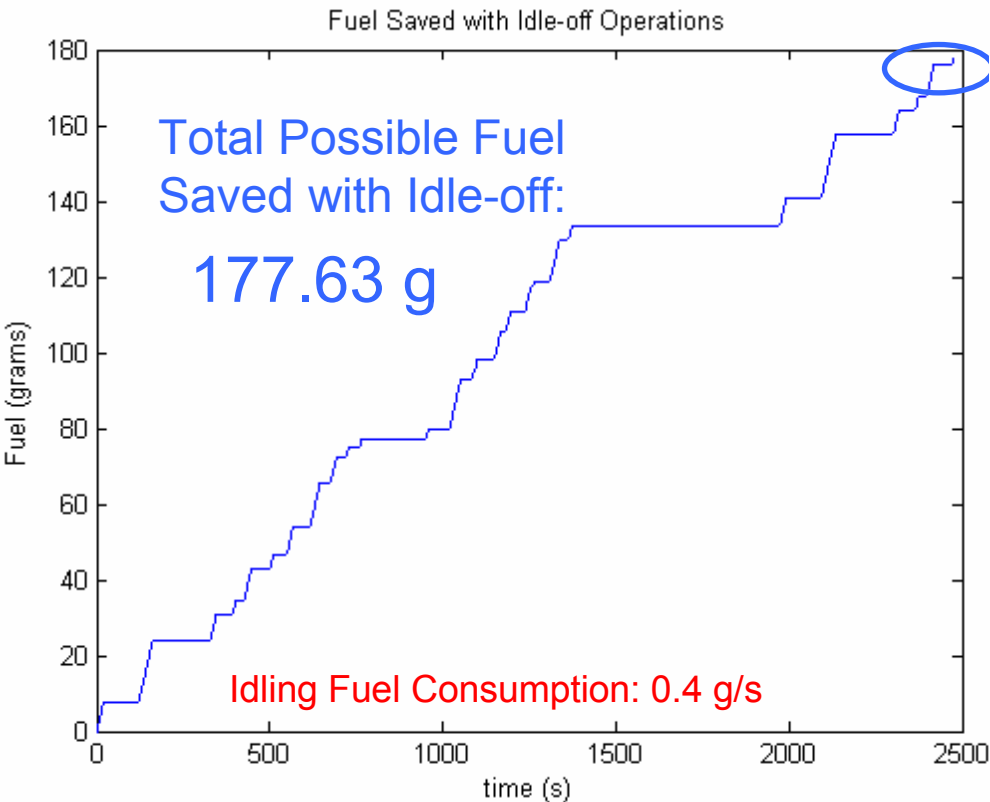


Engine Fuel Use During Idle-(Re)Start w/ AC ON



We assumed that the total energy to run AC will eventually come from fuel, with either batteries or Ucaps.

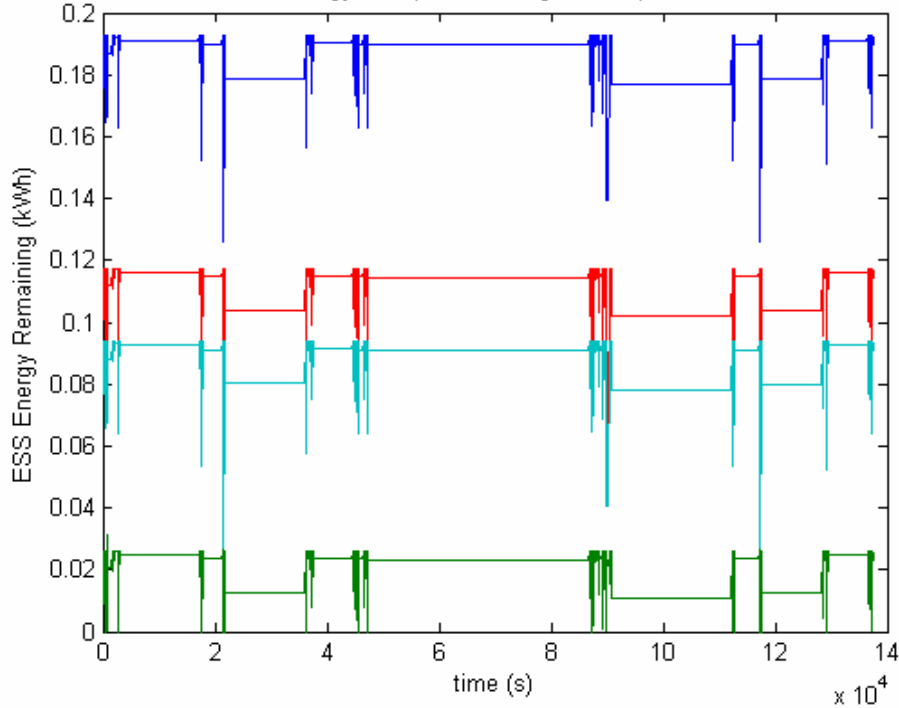
# Total Idle-Restart Fuel Use Over the FTP



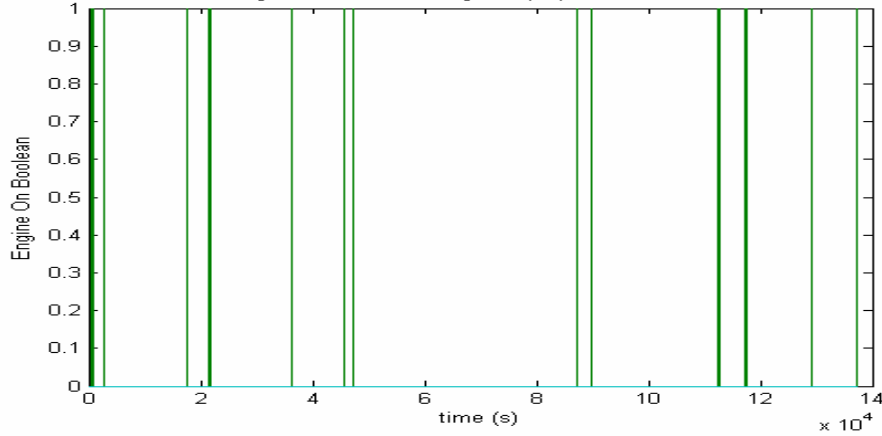
- $10.86\text{g}/177.63\text{g} = 6.1\%$  reduction in idle-off fuel savings with ultracapacitors when AC is on (UC is at 75% SOE before idles)
- If UC is @ 100% SOE before idles  $\rightarrow 1.12\text{g}/177.63\text{g} = 0.63\%$  reduction in idle-off fuel savings

# Total Idle-Restart Fuel Use Over the California Real-World Cycle (AC on)

ESS Energy Dissipation During Idle-Stop w/ AC ON

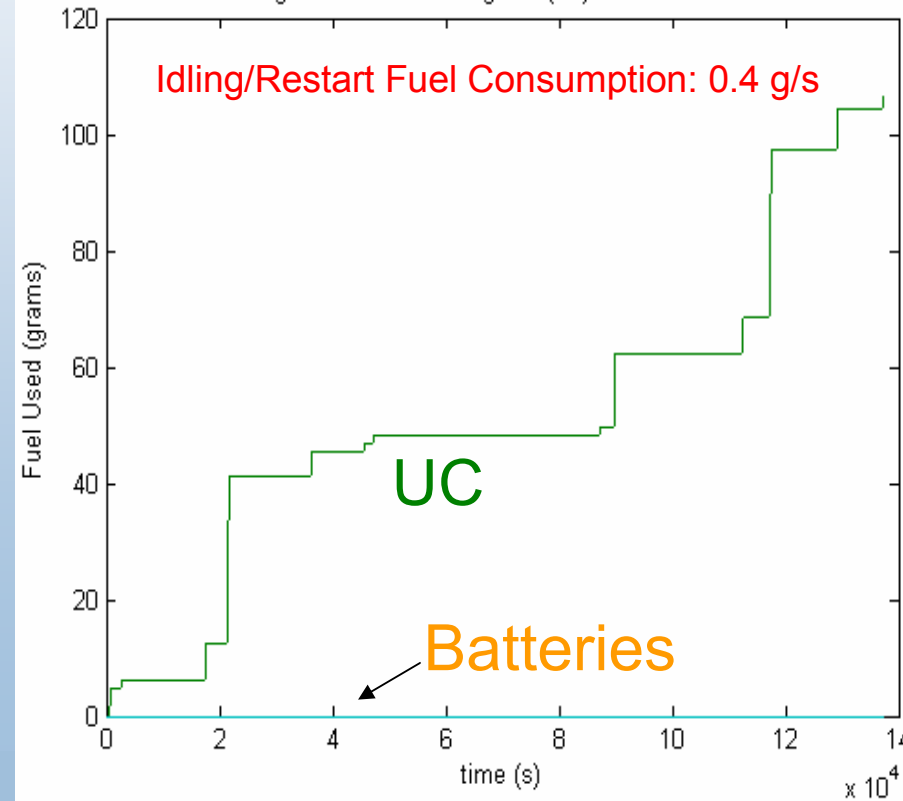


Engine On-State During Idle-(Re)Start w/ AC ON

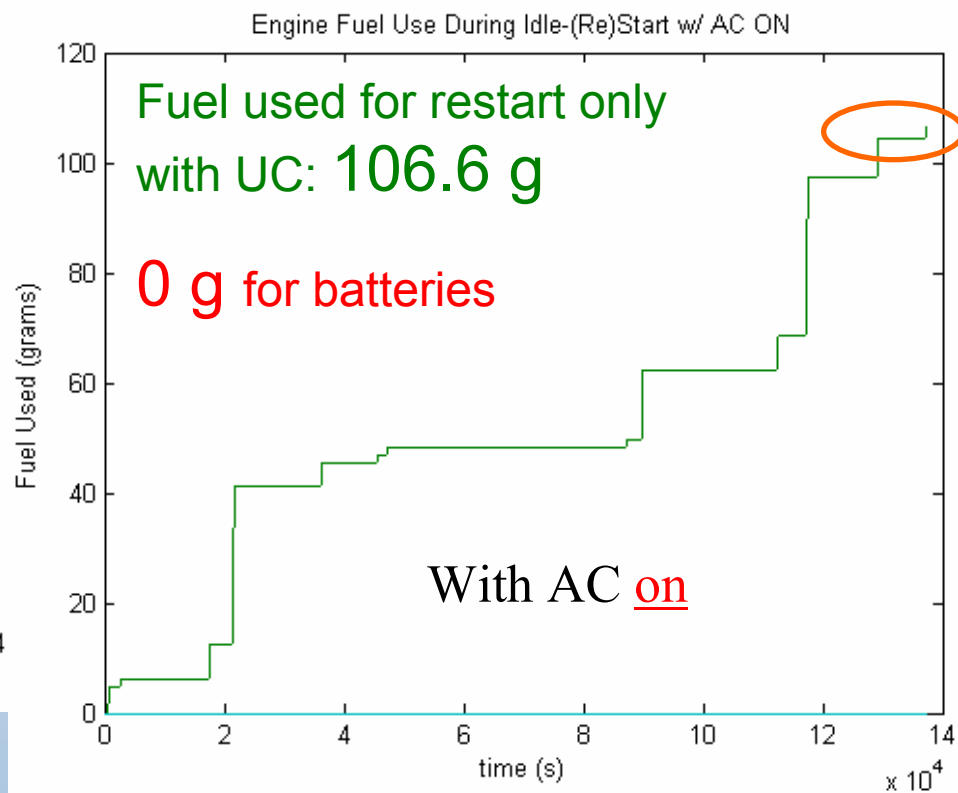
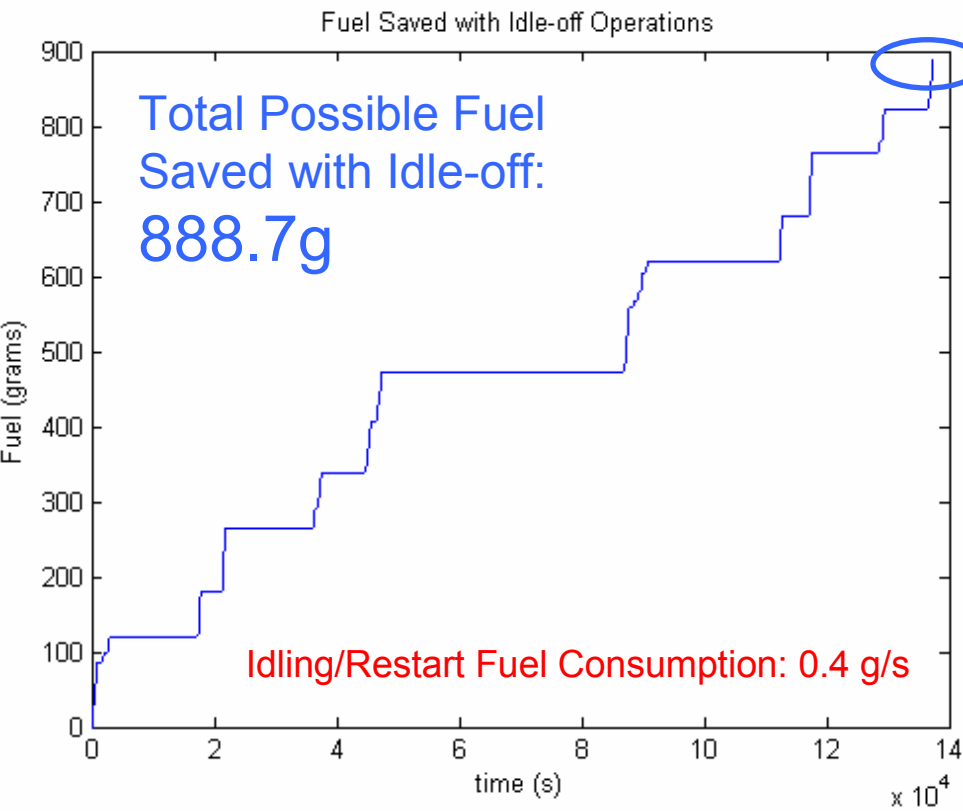


- PbA
- Li
- NiMH
- UC

Engine Fuel Use During Idle-(Re)Start w/ AC ON



# Total Idle-Restart Fuel Use Over the California Real-World Cycle



$106.6\text{g}/888.7\text{g} = 12.0\%$  reduction in idle-off fuel savings with ultracapacitors when AC is on (UC SOE is 75% SOC before idles)

# What is FTP Fuel Economy of Midsize Car with Start-Stop Operation and Impact of Auxiliaries?

- 2005 Chevy Malibu, 6 cyl, 3.5 L, Auto:  
22/32 MPG City/Hwy → Unadjusted\* 24.4/41.0  
MPG
  - With 0.4 g/s idle fuel usage, the maximum possible Idle-off operation could increase FTP fuel economy by up to 16.17% -OR- to 28.40 mpg unadjusted
    - Idle-restart, decreases the FTP fuel economy gain to 15.04% -OR- to 28.12 mpg unadjusted
    - In real operation, FTP fuel economy with idle-off operation might be 5%-10%
  - Unadjusted impact of Idle-restart due to AC operation with Ucaps is 0.28 mpg – a 1% decrease in FE
    - This drops to 0.03 mpg – a 0.10% decrease in FE, if  $UC_{SOC}=100\%$  before idle-off

# What is FTP Fuel Economy of Standard Truck with Stat-Stop Operation and Impact of Auxiliaries?

- 2005 GMC Silverado C1500 2WD, 8 cyl, 5.3 L, Auto(4): 16/20 MPG City/Hwy → Unadjusted\*  
17.8/25.6 MPG
- |                                |           |            |       |
|--------------------------------|-----------|------------|-------|
| C15 Silverado Hybrid 2WD ..... | A-4 ..... | 5.3/8 .... | 18/21 |
|--------------------------------|-----------|------------|-------|
- Assuming 0.48 g/s Idle Fuel Rate
  - Potential (maximum possible) Idle-off operation could increase FTP fuel economy by up to 13.83% - to 20.24 mpg unadjusted
  - Idle-restart, decreases the FTP fuel economy gain to 12.88% - to 20.17 mpg unadjusted
  - In real operation, FTP fuel economy with idle-off operation might be 5%-10%
  - Unadjusted impact of Idle-restart is 0.17 mpg – a 0.8% decrease in FE

\* Adjusted Numbers are reduced by 10% City / 22% Highway



# Summary

- Ucap applications are most likely in HEVs with Start-Stop strategies.
  - This provides the biggest opportunity if engine shutdown becomes a regulation/mandate during idles.
- Ucaps + batteries may have some applications in Mild HEVs, even full hybrids but added cost and volume could be issue.
- Ucaps have potential in hybrids with no downsizing of engine or fuel cell – Benefits?
- Auxiliaries with AC: energy content could support
  - for about 30 seconds with 2600 F UCs
  - for more than 100 seconds for batteries
- UCs could support auxiliaries with AC
  - 80-90% of FTP and 50% of Real-World Cycle with 2600 F devices
  - 100% of FTP and about 75% of Real-World Cycle with 5000 F devices
- For midsize cars with UCs, idle-off fuel savings are reduced by
  - less than 6% on the FTP cycle (1% loss in total fuel economy).
  - less than 12% on a 'California Real-World' cycle.
- Idle-off operation, potentially could increase FTP fuel economy of standard truck by 14% and midsize car by 16%.
- Recommend to extend the analysis with updated assumptions and new technologies

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