National Strategy for
Trusted Identities in Cyberspace
Steering Group Governance and Foundational Documents

NSTIC National Program Office
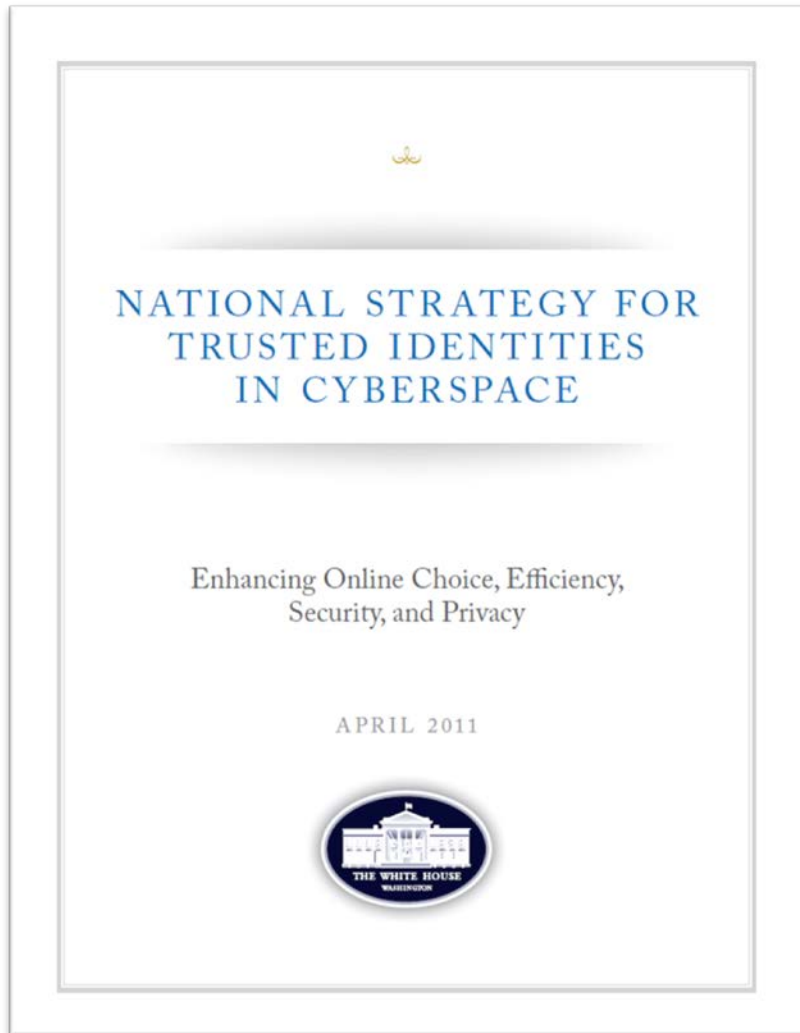## July 12, 2012

Imagine if...

Most of your customers arrived at your website already holding a secure credential for identification and authentication – and you could trust this credential in lieu of your existing username/password system.

We had baked-in rules and technologies to enhance privacy and trust online.

The Internet had an identity infrastructure like a well-paved highway network – what new business services could emerge?

You could eliminate the headache, expenses and security problems of password management.

Government is here to help.....seriously

NATIONAL STRATEGY FOR
TRUSTED IDENTITIES
IN CYBERSPACE

Enhancing Online Choice, Efficiency,
Security, and Privacy

APRIL 2011

THE WHITE HOUSE
WASHINGTON

Guiding Principles

➢ Privacy-Enhancing and Voluntary

➢ Secure and Resilient

➢ Interoperable

➢ Cost-Effective and Easy To Use

What does NSTIC call for?

Private sector will lead the effort

- Facilitated by, but not led by the government
- Private sector is in the best position to drive technologies and solutions…
- …and ensure the Identity Ecosystem offers improved online trust and better customer experiences

Federal government will provide support

- Help develop a private-sector led governance model
- Facilitate and lead development of interoperable standards
- Provide clarity on national policy and legal issues…craft framework around liability and privacy
- Act as an early adopter to stimulate demand

NSTIC Governance Progress

Getting Started

June 8, 2011:
Steering Group Governance Structure NOI issued; 57 responses received

June 9-10, 2011:
First Governance Workshop held in Washington DC, 200+ stakeholders attended

February 7, 2012:
NIST Recommendations for Identity Ecosystem Steering Group Structure and Recommended Charter published

Continuing to Build

March 9, 2012:
Steering Group Secretariat FFO issued

March 15, 2012:
Second Governance Workshop held at Department of Commerce; 150+ stakeholders attended

April 30, 2012:
Discussion draft of Steering Group By-laws released

June 29, 2012:
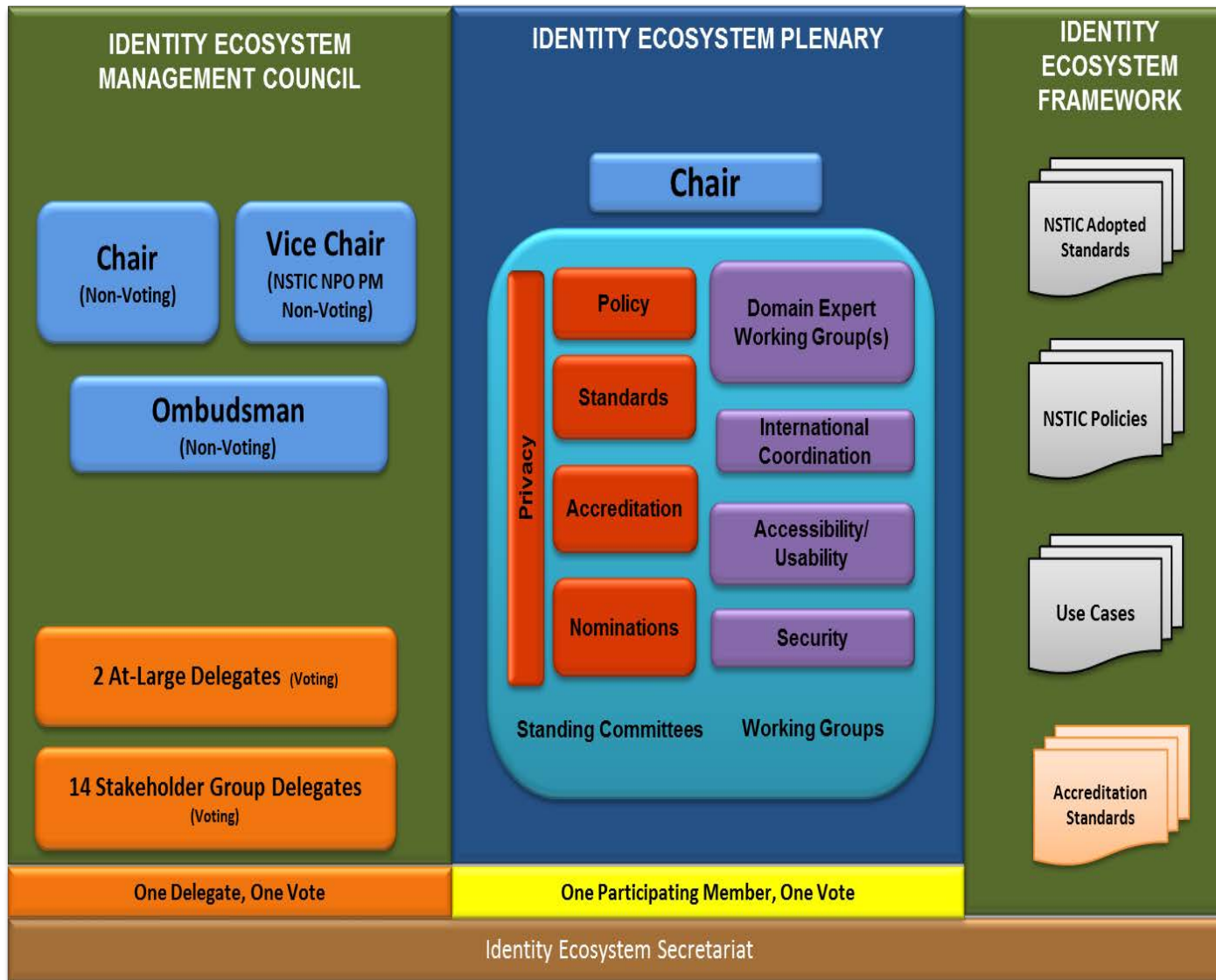Modified discussion draft of Steering Group By-laws released

July 11, 2012:
24-month grant awarded for Steering Group Secretariat
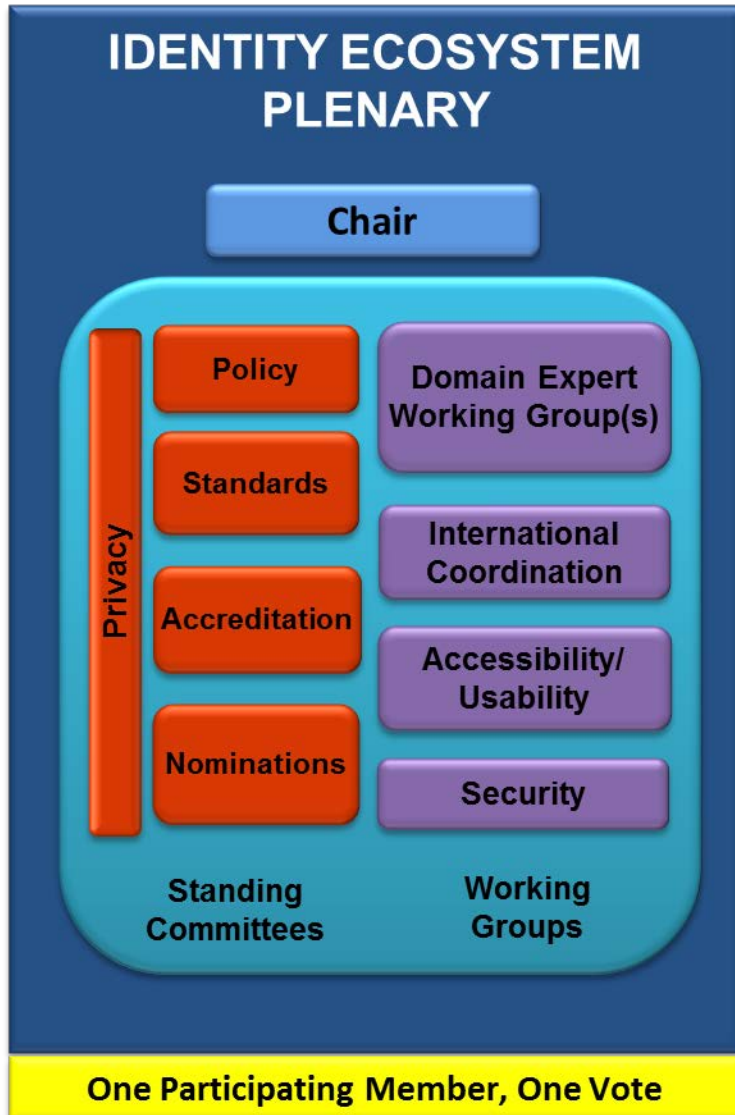
The Next Step

Convene the Identity Ecosystem Steering Group and hold its initial meeting
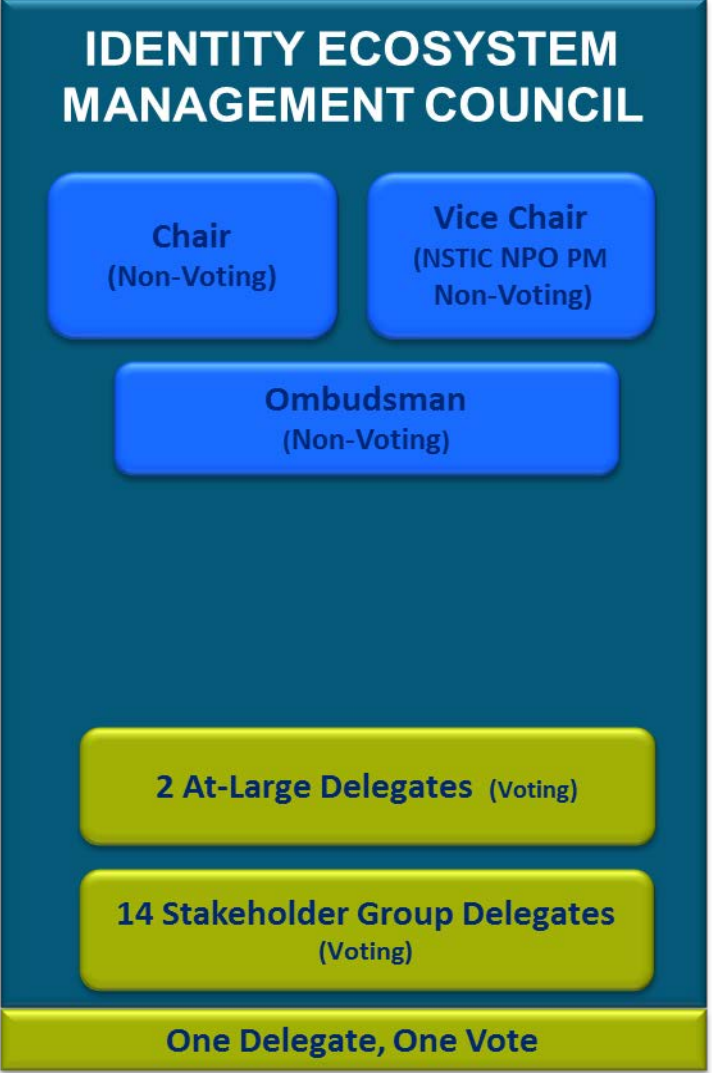
Recommended Structure

> Two-tiered governance structure.

> Large, open Plenary to develop work products

> Smaller, elected Council to manage

> Secretariat to provide administrative support to the entire steering group.

Recommended Structure: The Plenary

- ➢ Develops and maintains the Identity Ecosystem Framework:
    - ▪ Standards adoption
    - ▪ Policy and procedures development
    - ▪ Accountability, conformance and accreditation program establishment
- ➢ Facilitates the ongoing operation of the Steering Group.
- ➢ Open to all stakeholders.
- ➢ Includes Standing Committees and Working Groups, dedicated to performing the work of the Steering Group.
- ➢ Plenary Chair manages Plenary activities and provide leadership and guidance to the Plenary.

Recommended Structure: Management Council

IDENTITY ECOSYSTEM MANAGEMENT COUNCIL

- Chair (Non-Voting)
- Vice Chair (NSTIC NPO PM Non-Voting)
- Ombudsman (Non-Voting)
- 2 At-Large Delegates (Voting)
- 14 Stakeholder Group Delegates (Voting)

One Delegate, One Vote

➢ Produces, prioritizes and monitors progress of Steering Group work plans

➢ Ensures that Steering Group work activities adhere to the NSTIC Guiding Principles and Goals

➢ Ratifies policy and standards recommendations approved by the Plenary

➢ Manages the Steering Group's resources and procuring services (once self sustaining)

Recommended Operating Principles

➢ **Openness and Transparency** - the work in the Steering Group shall facilitate broad participation and be publicly available

➢ **Balance** – the Steering Group shall strive to achieve balanced representation among all stakeholder groups regardless of size, financial status, or sector alignment/affiliation

➢ **Consensus** – general agreement among members shall be a core value of the Steering Group

➢ **Harmonization** – shall encourage harmonization of standards and policies and shall always strive to recognize the impacts of policy and standards on all stakeholders in the Identity Ecosystem

Initial Steering Group Meeting Goals

➢ Ratify Foundational Documents

➢ Elect Steering Group Officers and Management Council Delegates

➢ Establish Working Groups and Standing Committees

The Foundational Documents

➤ **Recommended Charter**: "Recommendations for Establishing an Identity Ecosystem Governance Structure" posted on February 7.

- Recommends Steering Group purpose, objectives and scope
- Building from NSTIC guiding principles, recommends governance operating principles – openness and transparency, balance, consensus, and harmonization
- Recommends Steering Group membership types and a high level organizational structure

➤ **Draft By-laws:** NIST issued discussion draft By-laws on April 30 and amended them on June 29. The By-laws define rules for the Steering Group to operate, including:

- Membership rights and responsibilities
- Steering Group organizational structure, roles and responsibilities
- Decision, election and voting processes
- Intellectual property rights and responsibilities
- Initial interim period

➤ **Draft Membership Agreement**

Available at: http://www.nist.gov/nstic/notices.html

How Can You Participate?

➢ Register through the Steering Group's website hosted by the Secretariat (coming soon)

➢ Select a stakeholder category that best represents you or your company

- Stakeholder categories are used only to achieve a balanced and broadly representative Management Council. They have no impact on your ability to participate in the Plenary.

➢ Consider your level of participation in the Management Council, Working Groups and Standing Committees

- A draft workplan will be published to provide more details about the activities of the Working Groups and Committees

Secretariat Upcoming Events

➢ July 30th Pre-Plenary Webinar

➢ Finalize Charter and By-Laws for ratification

➢ Conduct Steering Group Officer and Management Council Delegate nominations and issue slate of candidates

➢ Mid-August Convene Steering Group Meeting

Questions

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