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FILED: 6/19/12

UNITED STATES DISTRICT COURT
FOR THE CENTRAL DISTRICT OF CALIFORNIA

*United States Commodity Futures
Trading Commission, et al.,*

Plaintiffs,

v.
The Trade Tech Institute, Inc., et al.,

Defendants.

CASE NO. CV 11-2163-GHK (PLAx)

JUDGMENT

Pursuant to the Court’s June 19, 2012 Order, IT IS HEREBY ADJUDGED that:

1) Plaintiffs United States Commodity Futures Trading Commission and
Commissioner of Corporations of the State of California (“Plaintiffs”) **SHALL** jointly
have judgment against Defendants The Trade Tech Institute, Inc. (“Trade Tech”),
Technology Trading International, Inc. (“Tech Trading”), and Robert Sorchini
 (“Sorchini” and, collectively, “Defendants”) as follows:

(a) Restitution: Trade Tech is liable for restitution in the amount of
\$2,386,970.38, of which Sorchini is jointly and severally liable in the
amount of \$2,212,918.51. Tech Trading is liable for restitution in the
amount of \$38,847.99, of which Sorchini is jointly and severally liable in the
amount of \$24,810.38.

(b) Disgorgement: Trade Tech is liable for disgorgement in the amount of

1 \$2,910,245.10; Tech Trading is liable for disgorgement in the amount of
2 \$423,140.00; and Sorchini is liable for disgorgement in the amount of
3 \$764,250.97.

4 (c) Defendants are hereby required to pay pre-judgment interest on the
5 disgorgement and restitution amounts beginning from March 15, 2011, to be
6 paid at the prevailing underpayment rate established by the Internal Revenue
7 Service pursuant to 26 U.S.C. § 6621. Post-judgment interest on the above
8 amounts shall accrue according to the statutory rate pursuant to 28 U.S.C. §
9 1961(a).

10 (d) In the event Defendants fail to pay the amounts owed, any Plaintiff that
11 brings an action to enforce this judgment may only use the proceeds
12 obtained consistent with the manner set forth in our June 19, 2012 Order.

13 2) Plaintiff United States Commodity Futures Trading Commission shall have
14 judgment against Defendants for civil monetary penalties as follows: Trade Tech is
15 assessed civil monetary penalties in the amount of \$8,730,735.30; Tech Trading is
16 assessed civil monetary penalties in the amount of \$1,269,420.00; and Sorchini is
17 assessed civil monetary penalties in the amount of \$2,292,752.91. Interest on these
18 amounts shall accrue according to the statutory rate pursuant to 28 U.S.C. § 1961(a).

19 3) Defendants and any of their agents, servants, employees, assigns, attorneys, and
20 persons in active concert or participation with Defendants, including any successor
21 thereof, who shall receive actual notice of this injunction by personal service or
22 otherwise, are hereby permanently restrained, enjoined, and prohibited from the
23 following:

24 (a) engaging, directly or indirectly, in conduct in violation of Sections
25 4o(1)(A) and (B) of the Commodity Exchange Act, as amended by the
26 Dodd-Frank Act, to be codified at 7 U.S.C. §§ 6o(1)(A) and (B), and
27 Regulation 4.41(a), 17 C.F.R. § 4.41(a);
28

- 1 (b) engaging, directly or indirectly, in conduct in violation of CCC Section
2 29536;
- 3 (c) having any futures, options, commodity options, security futures
4 products, and/or forex contracts traded on their behalf;
- 5 (d) controlling or directing the trading for or on behalf of any other person or
6 entity, whether by power of attorney or otherwise, in any account involving
7 futures, options, commodity options, security futures products, and/or forex
8 contracts;
- 9 (e) soliciting, receiving, or accepting any funds from any person for the
10 purpose of purchasing or selling any futures, options, commodity options,
11 security futures products and/or forex contracts;
- 12 (f) acting as a principal (as that term is defined in Regulation 3.1(a), 17
13 C.F.R. § 3.1(a)), agent, or any other officer or employee of any person
14 registered, exempted from registration or required to be registered with the
15 CFTC except as provided for in Regulation 4.14(a)(9), 17 C.F.R. §
16 4.14(a)(9); and
- 17 (g) provided further, however, that subparagraphs c through e only apply to
18 agreements, accounts, contracts, and transactions that are subject to the
19 jurisdiction of the CFTC.

20 4) Plaintiffs **SHALL** serve this Judgment on Defendants prior to its execution.

21 5) The Court **SHALL** retain jurisdiction over this action to entertain such further
22 proceedings and to enter such further orders as may be necessary or appropriate to
23 implement and enforce the provisions of this Judgment.

24 **IT IS SO ORDERED.**

25 DATED: June 19, 2012

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28 GEORGE H. KING
United States District Judge