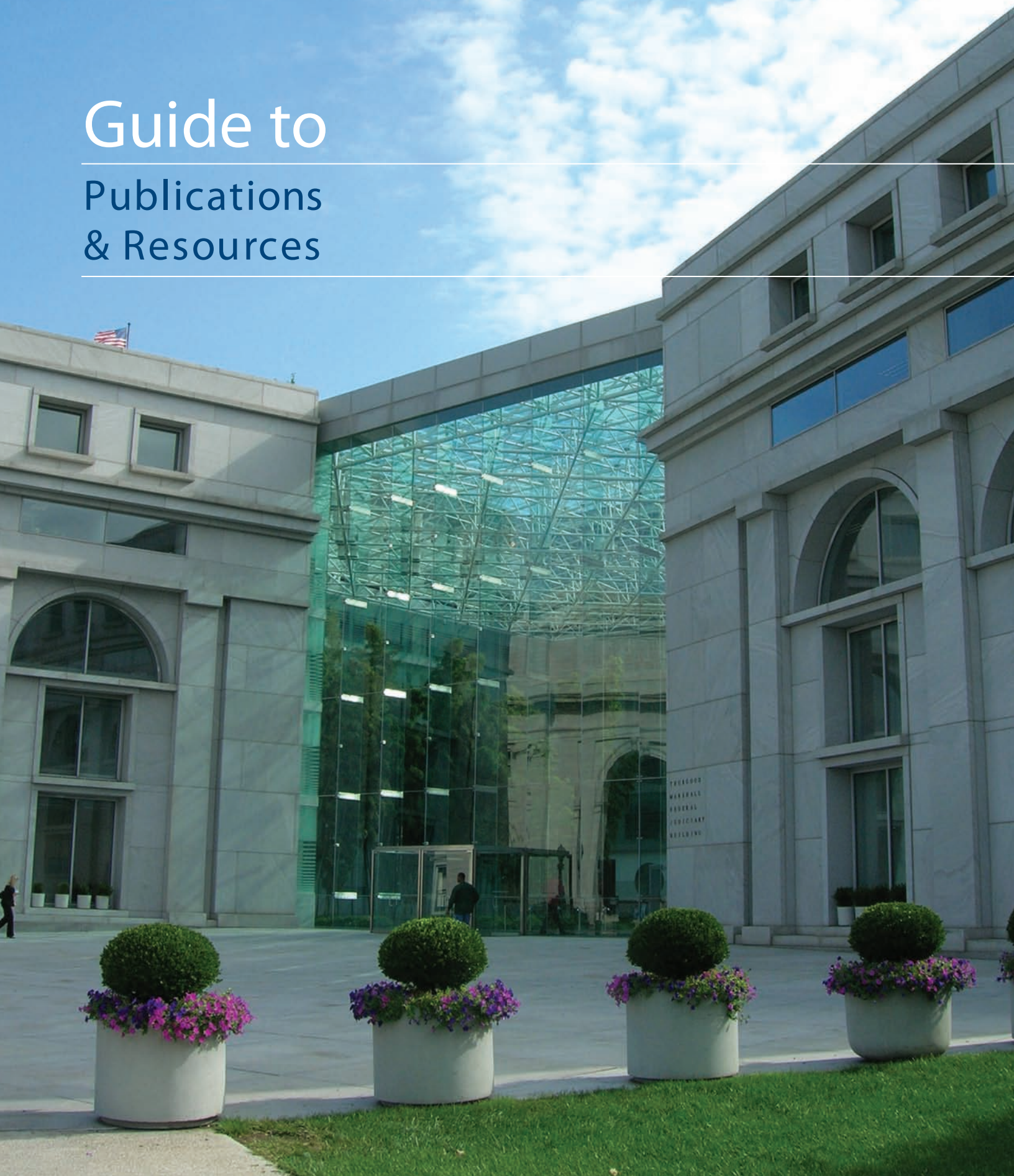


United States Sentencing Commission
2010/2011

Guide to Publications & Resources



UNITED STATES SENTENCING COMMISSION
ONE COLUMBUS CIRCLE, NE
SUITE 2-500, SOUTH LOBBY
WASHINGTON, DC 20002-8002
(202) 502-4500
FAX (202) 502-4699



August 31, 2010

To Recipients of this *Guide to Publications & Resources*:

On behalf of the United States Sentencing Commission, I am pleased to provide a copy of our 2010–2011 *Guide to Publications & Resources*. In furtherance of its mandate to promote research and disseminate information on sentencing, the Commission offers this catalog, which lists our available publications, data, and information resources. Please note that the guide also features subject and title indices. In addition, the Commission’s website (www.ussc.gov) makes available a variety of reports, materials, and other information.

Please feel free to call upon the Commission if you have any questions about the catalog or if we may be of service in any other way.

Sincerely,

A handwritten signature in black ink, appearing to read "William K. Sessions III".

William K. Sessions III
Chair

UNITED STATES SENTENCING COMMISSION

William K. Sessions III
Chair

Ruben Castillo
Vice Chair

William B. Carr, Jr.
Vice Chair

Ketanji Brown Jackson
Vice Chair

Ricardo H. Hinojosa
Commissioner

Beryl A. Howell
Commissioner

Dabney L. Friedrich
Commissioner

Isaac Fulwood, Jr.
Commissioner (*ex officio*)

Jonathan J. Wroblewski
Commissioner (*ex officio*)

Table of Contents

Introduction	1
Publication and Resource Availability	2
Information Resources	3
HelpLine	3
Website (<i>www.ussc.gov</i>)	3
Guideline Training and Education	3
Library Resources	3
Video and Audio Resources	3
Rulemaking Resources	4
Commission Publications	5
Guidelines Manuals and Amendments	5
Annual Reports and Statistical Sourcebooks	8
Other Statistical Compilations	8
Reports to Congress	9
Reports to Judicial Conference	11
Overviews and Highlights	11
Guideline Operations/Federal Sentencing System	12
Adjustments in Offense Level	12
Criminal History and Criminal Livelihood	12
Determining the Sentence	12
Sentencing Procedures and Plea Agreements	13
Violations of Probation and Supervised Release	13
Sentencing of Organizations	14
Surveys, Analyses, and Assessments	14
Juvenile Offenders	15
Offense Types	15
Bank Robbery	15
Child Sex Offenses	15
Drugs	15
Drugs and Firearms	16
Escape	16
Firearms	16
Food and Drug	16
Fraud and Theft (Including Identity Theft)	16
Immigration	17

Intellectual Property	17
Manslaughter	17
Money Laundering	18
Nuclear/Biological/Chemical Weapons	18
Public Corruption	18
White Collar Crime	18
Special Research Series	18
Recidivism Research Series	19
Retroactivity Analyses	19
Legal Briefs	19
Symposium Proceedings	20
Advisory Group Reports	20
Other Reports and Publications	21
Video and Audio Tapes	22
<i>Federal Register</i> Notices, Public Comment, and Hearing Transcripts	23
Commission Data	27
Commission Datasets	28
Historical Datasets	31
Special Study Datasets	31
Index by Publication Title	A-1
Index by Subject	B-1

Introduction

The United States Sentencing Commission, created by the Sentencing Reform Act of 1984, establishes for the federal courts sentencing policies and practices that further the purposes and objectives set forth in the Act. The Commission is specifically directed by the Act to (1) establish a research and development program; (2) provide a clearinghouse and information center for the collection, preparation, and dissemination of information on federal sentencing practices; and (3) serve in a consulting capacity in the development, maintenance, and coordination of sound sentencing practices. The Commission conducts research in support of its mandate, compiles information on data sources and relevant criminal justice studies, and collects data on guidelines application and other federal criminal justice processes to inform Congress, the courts, the criminal justice community, and the public.

Publication and Resource Availability

The Commission seeks to carry out its congressional mandates through the most efficient use of government resources, consistent with its agreement with the Administrative Office of the U.S. Courts regarding the confidentiality of certain documents. Public access is available to all items listed in this *Guide to Publications & Resources*. Some may be downloaded from the Commission's website; some are available on request; others are placed in libraries across the nation; and still others must be viewed on-site. Availability is indicated by the following codes:

www.ussc.gov	Available on the Commission's website at the address <i>www.ussc.gov</i> . See page 3 for details.
SC-Request	On request from the Commission while supplies last, then on-site inspection.
SC-Inspect	On-site inspection (at the Commission library) by appointment.
SC-Loan	From the Commission for 24-hour loan.
RDL	Available at GPO Regional Depository Libraries. See <i>www.gpoaccess.gov/libraries.html</i> for details.
ICPSR	From the Inter-University Consortium for Political and Social Research, University of Michigan (data only). See <i>www.ICPSR.umich.edu/NACJD/archive.html</i>

Address publication inquiries to:

United States Sentencing Commission
Office of Legislative and Public Affairs
One Columbus Circle, N.E.
Suite 2-500
Washington, D.C. 20002-8002
pubaffairs@ussc.gov
(202) 502-4500

Information Resources

HelpLine (202–502–4545)

The Commission operates a telephone *HelpLine* to assist judges, probation officers, prosecuting and defense attorneys, and law clerks with guideline application questions. The *HelpLine* is open to callers Monday through Friday between 8:30 a.m. and 5:00 p.m., EST.

Website – www.ussc.gov

Visitors to the Commission’s website (www.ussc.gov) at the address www.ussc.gov can browse as well as download Commission documents and materials. The site features the latest news about the Commission, publications, federal sentencing statistics, education and training materials, and organizational guidelines materials. The site also includes Commission meeting information, *Federal Register* notices, public meeting minutes, public hearing testimony, and many other sentencing-related items of interest.

Guideline Training and Education

www.ussc.gov.

A variety of exercises, visual aids, and other training materials (e.g., worksheets, articles, court decisions) designed by the Commission are available to the public via the Commission’s website.

Library Resources

SC-Inspect.

The Commission library, open to the public by appointment (202-502-4500), contains a collection of publications on criminal justice and sentencing-related issues.

Video and Audio Resources

The Commission maintains a selection of audio and video tapes that may be obtained through loan. See page 22.

Rulemaking Resources

The Commission maintains *Federal Register* notices, public comment, public hearing transcripts, and public meeting minutes in a variety of formats. See pages 23–25.

Commission Publications

Guidelines Manuals and Amendments

The *Guidelines Manual* (1987-present) contains the official guidelines, policy statements, and commentary issued by the Commission. The federal sentencing guidelines took effect on November 1, 1987 (the first *Guidelines Manual*). Each succeeding edition of the *Guidelines Manual* incorporates amendments generated by the Commission during the previous amendment cycle. Supplements to the *Guidelines Manual*, as well as “reader-friendly” versions of amendments—compilations containing unofficial text of amendments submitted to Congress—are available on the Commission’s website. **Hard copies of current manuals may be purchased from the Government Printing Office (<http://bookstore.gpo.gov/help/index.jsp> or 1-866-512-1800).**

Preliminary Draft, Sentencing Guidelines (September 1986).
SC-Inspect; RDL.

Revised Draft, Sentencing Guidelines (January 1987).
SC-Inspect; RDL.

Sentencing Guidelines and Policy Statements (Published 04/13/87; effective 11/01/87).
SC-Inspect; RDL.

Guidelines Manual (Published October 1987; effective 11/01/87).
SC-Inspect; RDL.

Guidelines Manual (Amendments effective 01/15/88).
SC-Inspect; RDL.

Guidelines Manual (Amendments effective 06/15/88).
SC-Inspect; RDL.

Guidelines Manual (Amendments effective 10/15/88).
SC-Inspect; RDL.

Guidelines Manual (Amendments effective 11/01/89).
SC-Inspect; RDL.

Guidelines Manual (Amendments effective 11/01/90).
SC-Inspect; RDL.

Guidelines Manual (Amendments effective 11/01/91).
2 volumes.
SC-Inspect; RDL.

Amendments to the Guidelines Manual Mandated By Public Law 102-141

(Effective 11/27/91).

SC-Request; RDL.

Guidelines Manual (Amendments effective 11/01/92).

2 volumes.

SC-Inspect; RDL.

Guidelines Manual (Amendments effective 11/01/93).

2 volumes.

SC-Inspect; RDL.

Guidelines Manual (Amendments effective 11/01/94).

2 volumes.

www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/95).

2 volumes.

www.ussc.gov; RDL.

Amendments to the Sentencing Guidelines (Effective 11/01/96).

www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/97).

2 volumes.

www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/98).

2 volumes.

www.ussc.gov; RDL.

Supplement to the 1998 Guidelines Manual (Amendments effective 05/01/00).

www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/00).

2 volumes.

www.ussc.gov; RDL.

Supplement to the 2000 Guidelines Manual (Amendments effective 05/01/01).

www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/01).

2 volumes.

www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/02).

2 volumes.

www.ussc.gov; RDL.

Supplement to the 2002 Guidelines Manual (Amendments effective 01/25/03).
www.ussc.gov; RDL.

Supplement to the 2002 Guidelines Manual (Amendments effective 01/25/03, 04/30/03,
and 05/30/03).
www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/03).
2 volumes.
www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/04).
2 volumes.
www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/05).
2 volumes.
www.ussc.gov; RDL.

Supplement to the 2005 Guidelines Manual (Amendment effective 03/27/06).
www.ussc.gov; RDL.

Emergency Amendment to the Sentencing Guidelines (Amendment effective
09/12/06).
www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/06).
2 volumes.
www.ussc.gov; RDL.

Supplement to the 2006 Guidelines Manual (Amendments effective 05/01/07).
www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/07).
2 volumes.
www.ussc.gov; RDL.

Supplement to the 2007 Guidelines Manual (Amendments effective 02/06/08 and
03/03/08).
www.ussc.gov.

Supplement to the 2007 Guidelines Manual (Amendments effective 02/06/08, 03/03/08),
and 05/01/08).
www.ussc.gov.

Guidelines Manual (Amendments effective 11/01/08).
3 volumes.
www.ussc.gov; RDL.

Guidelines Manual (Amendments effective 11/01/09).
3 volumes.
www.ussc.gov; RDL; Publisher.

Annual Reports and Statistical Sourcebooks

The Commission's ***Annual Report*** reports on Commission activities and research data covering the fiscal year ending prior to publication. The *Annual Report* includes a Commission overview, discussion of recent amendments to the sentencing guidelines and current legal issues, and (for the years 1988–1995) extensive data on sentencing guideline application in the form of tables and graphics.

Annual Report (1986–present).
www.ussc.gov (1995–present); *SC-Request*; *SC-Inspect*; RDL.

Sourcebook of Federal Sentencing Statistics (1996–present).
www.ussc.gov; *SC-Request*; RDL.

Beginning in 1996, the descriptive statistics portion of the *Annual Report* was placed in this companion volume. The ***Sourcebook of Federal Sentencing Statistics*** contains demographic data on individual defendants, guideline application, organizational sentencing practices, and sentencing appeals. In addition, it provides selected district, circuit, and national sentencing data.

Other Statistical Compilations

Federal Sentencing Statistics by District, State, and Circuit (1996–present).
www.ussc.gov.

Post-Booker/Quarterly Sentencing Updates (February 2005–present).
www.ussc.gov.

Guideline Application Frequencies (2002–present).
www.ussc.gov.

Fiscal Year 2008 Post-Kimbrough/Gall Data Report (February 10, 2009).
www.ussc.gov.

Preliminary Crack Cocaine Retroactivity Report (updated periodically in separate reports).
www.ussc.gov.

Reports to Congress

Supplementary Report on the Initial Sentencing Guidelines and Policy Statements (June 18, 1987). 266 pages.
SC-Inspect; RDL.

Statutory Penalties Project Description and Compilations of Federal Criminal Offenses – Preliminary Report to the Congress (November 1, 1989). 165 pages.
SC-Inspect; RDL.

Supplementary Report to the Congress: Statutory Penalty Review Project (February 13, 1991). 53 pages.
SC-Inspect; RDL.

Special Report to the Congress: Mandatory Minimum Penalties in the Federal Criminal Justice System (August 1991). 174 pages.
www.ussc.gov; SC-Request; RDL.

Supplementary Report on Sentencing Guidelines for Organizations (August 30, 1991). 186 pages.
SC-Loan; RDL.

The Federal Sentencing Guidelines: A Report on the Operation of the Guidelines System and Short-Term Impacts on Disparity in Sentencing, Use of Incarceration, and Prosecutorial Discretion and Plea Bargaining (December 1991) 2 volumes and Executive Summary. 876 pages.
SC-Request; RDL.

Analysis of Sentencing-Related Provisions of S. 2305 and H.R. 3371 Conference Report Crime Bills (Spring 1992). 37 pages.
SC-Request; RDL.

Analysis of the Violent Crime Control and Law Enforcement Act of 1994 (H.R. 3355) (February 22 and June 8, 1994). 68 pages.
SC-Request; RDL.

Report to Congress on the Maximum Utilization of Prison Resources (June 30, 1994). 14 pages.
SC-Request; RDL.

Special Report to the Congress: Cocaine and Federal Sentencing Policy (February 1995). 205 pages.
www.ussc.gov; SC-Request; RDL.

Report to the Congress: Adequacy of Penalties for the Intentional Exposure of Others, through Sexual Activity, to the Human Immunodeficiency Virus (March 1995). 5 pages.
www.ussc.gov; RDL.

Report to the Congress: Adequacy of Penalties for Fraud Offenses Involving Elderly Victims (March 1995). 28 pages.
www.ussc.gov; RDL.

Report to the Congress: Analysis of Penalties for Federal Rape Cases (March 1995). 20 pages.
www.ussc.gov; RDL.

Analysis of the Sentencing-Related Provisions of the Senate Committee Print of the Immigration Reform Act of 1995 (Winter 1996). 10 pages.
SC-Request; RDL.

Report to the Congress: Sex Offenses Against Children (June 1996). 45 pages.
www.ussc.gov; RDL.

Report to the Congress: Adequacy of Federal Sentencing Guideline Penalties for Computer Fraud and Vandalism Offenses (June 1996). 13 pages.
www.ussc.gov; RDL.

Special Report to Congress: Cocaine and Federal Sentencing Policy (April 1997). 15 pages.
www.ussc.gov; RDL.

Report to the Congress: Sentencing Policy for Money Laundering Offenses, Including Comments on Department of Justice Report (September 18, 1997). 20 pages.
www.ussc.gov; RDL.

Report to the Congress: Telemarketing Fraud Offenses—Explanation of Recent Guideline Amendments (October 1998). 18 pages.
www.ussc.gov; RDL.

Report to the Congress: MDMA Drug Offenses: Explanation of Recent Guideline Amendments (May 2001). 20 pages.
www.ussc.gov; RDL.

Report to the Congress: Cocaine and Federal Sentencing Policy (May 2002). 149 pages.
www.ussc.gov; RDL.

Report to the Congress: Increased Penalties Under the Sarbanes-Oxley Act of 2002 (January 2003). 34 pages.
www.ussc.gov; RDL.

Report to the Congress: Increased Penalties for Cyber Security Offenses (May 2003). 14 pages.
www.ussc.gov; RDL.

Report to the Congress: Increased Penalties for Campaign Finance Offenses and Legislative Recommendations (May 2003). 8 pages.
www.ussc.gov; RDL.

Report to Congress: Downward Departures from the Federal Sentencing Guidelines (October 2003). 175 pages.
www.ussc.gov; SC-Request; RDL.

Report to the Congress: Cocaine and Federal Sentencing Policy (May 2007). 192 pages.
www.ussc.gov; RDL.

Report to the Congress: Amendments to the Federal Sentencing Guidelines in Response to the Emergency Disaster Assistance Fraud Penalty Enhancement Act of 2007 (September 2008). 79 pages.
www.ussc.gov.

Reports to Judicial Conference

Report to the Judicial Conference of the United States (March 1999). 7 pages.
www.ussc.gov.

Report to the Judicial Conference of the United States (September 1999). 5 pages.
www.ussc.gov; RDL.

Overviews and Highlights

Amendment Highlights (Selected individual years).
www.ussc.gov; SC-Request; RDL.

An Overview of the Organizational Guidelines (2001). 1 page.
www.ussc.gov.

An Overview of the United States Sentencing Commission (Updated periodically). 3 pages.
SC-Request.

An Overview of the Federal Sentencing Guidelines (Updated periodically). 3 pages.
SC-Request.

Guideline Operations/Federal Sentencing System

Adjustments in Offense Level

Role in the Offense Working Group Report (January 4, 1990). 21 pages.
SC-Loan; RDL.

Acceptance of Responsibility Working Group Report (October 16, 1991). 50 pages.
SC-Loan; RDL.

Chapter Three Adjustments (November 1996). 13 pages.
www.ussc.gov; RDL.

Multiple Counts (November 1996). 13 pages.
www.ussc.gov; RDL.

Criminal History and Criminal Livelihood

Criminal Livelihood Working Group Report (March 23, 1988). 40 pages.
SC-Loan; RDL.

Career Offender Guidelines Working Group Report (March 25, 1988). 45 pages.
SC-Loan; RDL.

Criminal History Working Group Report (December 20, 1989). 21 pages.
SC-Loan; RDL.

Working Group Report on Category 0/VII (November 20, 1990). 33 pages.
SC-Loan; RDL.

Criminal History Working Group Report: Category 0, Category VII, Career Offender (October 17, 1991). 53 pages.
SC-Loan; RDL.

Criminal History (November 1996). 10 pages.
www.ussc.gov; RDL.

Computation of “Recency” Criminal History Points Under §4A1.1(e) (August 2010).
22 pages.
www.ussc.gov; SC-Request.

Determining the Sentence

The Federal Offender: A Program of Intermediate Punishments (Alternatives to Imprisonment Project) (December 28, 1990). 180 pages.
SC-Loan; RDL.

Preliminary Report to the Commission: Staff Working Group on Alternatives
(October 23, 1991). 43 pages.
SC-Loan; RDL.

Relevant Conduct (November 1996). 6 pages.
www.ussc.gov; RDL.

Departures and Offender Characteristics (November 1996). 12 pages.
www.ussc.gov; RDL.

Sentencing Options Under the Guidelines (November 1996). 31 pages.
www.ussc.gov; RDL.

Federal Court Practices: Sentence Reductions Based on Defendants' Substantial Assistance to the Government (May 1997).
SC-Loan; SC-Inspect.

Substantial Assistance: An Empirical Yardstick Gauging Equity in Current Federal Policy and Practice (January 1998). 35 pages.
www.ussc.gov; RDL.

Federal Sentencing: Grouping of Money Laundering and Fraud Counts of Conviction
(March 2000). 8 pages.
www.ussc.gov.

Departures (June 2002). 46 pages.
www.ussc.gov; RDL.

Sentencing Procedures and Plea Agreements

Plea Negotiations Under the Federal Sentencing Guidelines: An Empirical Examination of the Post-Mistretta Experience (December 1994). 355 pages.
SC-Loan.

Violations of Probation and Supervised Release

Revocation Working Group Report (December 18, 1989). 9 pages.
SC-Loan; RDL.

Probation and Supervised Release: Revocation and Other Issues (August 18, 1998).
30 pages.
www.ussc.gov; RDL.

Probation and Supervised Release Violations (February 2002). 30 pages.
www.ussc.gov.

Federal Offenders Sentenced to Supervised Release (July 2010). 99 pages.
www.ussc.gov; SC-Request.

Sentencing of Organizations

Discussion Materials on Organizational Sanctions (July 1988). 232 pages.
SC-Inspect; RDL.

Surveys, Analyses, and Assessments

A National Sample Survey: Public Opinion on Sentencing Federal Crimes
(October 1995). 219 pages.
www.ussc.gov; RDL.

Just Punishment: Public Perceptions and the Federal Sentencing Guidelines
(February 1997). 11 pages.
www.ussc.gov; SC-Request; RDL.

Survey of Article III Judges: Summary Report (December 2002). 29 pages.
www.ussc.gov; RDL.

Survey of Article III Judges on the Federal Sentencing Guidelines: Final Report
(March 2003). 108 pages.
www.ussc.gov; RDL.

Fifteen Years of Guidelines Sentencing: An Assessment of How Well the Federal
Criminal Justice System is Achieving the Goals of Sentencing Reform (November
2004). 242 pages.
www.ussc.gov; SC-Request; RDL.

Survey Results/Preliminary Findings on *Blakely* Effect (November 2004). 10 pages.
www.ussc.gov.

Final Report on the Impact of *United States v. Booker* on Federal Sentencing
(March 2006). 268 pages.
www.ussc.gov; RDL.

Staff Analysis of the Effect of the United States Supreme Court Decision in *Lopez v.
Gonzalez* (December 13, 2007). 4 pages.
www.ussc.gov.

Demographic Differences in Federal Sentencing Practices: An Update of the *Booker
Report's* Multivariate Regression Analysis (March 2010). 49 pages.
www.ussc.gov; SC-Request.

Results of Survey of United States District Judges: January 2010 through March 2010
(June 2010). 36 pages.
www.ussc.gov; SC-Request.

Juvenile Offenders

Juvenile Offenders Working Group Report (November 10, 1992). 2 pages.
SC-Request; RDL.

Offense Types

Bank Robbery

Bank Robbery Working Group Report (March 29, 1989). 111 pages.
SC-Loan; RDL.

Bank Robbery Working Group Report (December 10, 1990). 232 pages.
SC-Loan; RDL.

Child Sex Offenses

Working Group Report on Child Pornography, Obscenity Offenses, and Hate Crimes (January 16, 1990). 61 pages.
SC-Loan; RDL.

Child Sex Offense Working Group Report (December 1991). 39 pages.
SC-Loan; RDL.

Sentencing Federal Sexual Offenders: Protection of Children from Sexual Predators Act of 1998 (February 17, 2000). 50 pages.
www.ussc.gov; RDL.

Report on the History of the Child Pornography Guidelines (October 2009). 54 pages.
www.ussc.gov; SC-Request.

Drugs

Drug Working Group Report – Listed Chemicals (November 13, 1990). 180 pages.
SC-Loan; RDL.

Drug Working Group Report (March 27, 1992). 61 pages.
SC-Loan; RDL.

Report of the Drugs/Role/Harmonization Working Group (November 10, 1992).
184 pages.
SC-Loan; RDL.

Methamphetamine Final Report (November 1999). 37 pages.
www.ussc.gov; RDL.

Steroids Policy Team Report (March 2006). 31 pages.
www.ussc.gov; RDL.

Drugs and Firearms

Drugs, Firearms, and Violent Offenses Working Group Report (December 18, 1989).
202 pages.
SC-Loan; RDL.

Escape

Report on Federal Escape Offenses in Fiscal Years 2006 and 2007 (November 2008).
14 pages.
www.ussc.gov.

Firearms

Firearms and Explosive Materials Working Group Report (December 11, 1990).
488 pages.
SC-Loan; RDL.

Violent Crimes/Firearms/Gangs Working Group Report (October 14, 1992). 105 pages.
SC-Loan; RDL.

Firearms: Selected Federal Statutes and Guideline Enhancements (October 6, 1998).
15 pages.
www.ussc.gov.

Sentencing for the Possession or Use of Firearms During a Crime (January 6, 2000).
42 pages.
www.ussc.gov; RDL.

Food and Drug

Food and Drug Working Group Preliminary Report (February 1994). 42 pages.
SC-Loan; RDL.

Food and Drug Working Group Report (February 1995). 60 pages.
www.ussc.gov; RDL.

Fraud and Theft (Including Identity Theft)

Computer Fraud Working Group Report (September 8, 1993). 57 pages.
SC-Loan; RDL.

Loss Issues Working Paper (October 14, 1997). 26 pages.
SC-Loan; RDL.

Guideline Sentencing: Determining “Loss” in §§2B1.1 (Theft) and 2F1.1 (Fraud)
(April 9, 1997). 5 pages.
www.ussc.gov.

Telemarketing Fraud Working Group Report (January 1998). 37 pages.
www.ussc.gov; SC-Request; RDL.

Loss Definition Field Testing Report (October 1998). 31 pages.
www.ussc.gov; RDL.

A Field Test of Proposed Revisions to the Definition of Loss in the Theft and Fraud Guidelines: A Report to the Commission (October 20, 1998). 31 pages.
www.ussc.gov; RDL.

No Electronic Theft Act Policy Development Team Report (February 1999). 38 pages.
www.ussc.gov; SC-Loan; RDL.

Identity Theft Final Report (December 15, 1999). 69 pages.
www.ussc.gov; RDL.

Cellular Telephone Cloning Final Report (January 25, 2000). 36 pages.
www.ussc.gov; RDL.

Loss Issues (May 26, 2000). 24 pages.
www.ussc.gov.

An Overview of Loss in USSG §2B1.1 (March 2007). 14 pages.
www.ussc.gov; RDL.

Immigration

Aliens Working Group Report (October 18, 1990). 14 pages.
SC-Loan; RDL.

Interim Staff Report on Immigration and the Federal Sentencing Guidelines (January 20, 2006). 31 pages.
www.ussc.gov.

Intellectual Property

Intellectual Property Policy Team Report (May 2006). 25 pages.
www.ussc.gov; RDL.

Manslaughter

Manslaughter Working Group Report (December 15, 1997). 51 pages.
www.ussc.gov; RDL.

Money Laundering

Money Laundering Working Group Report (October 14, 1992). 48 pages.
SC-Loan; RDL.

Money Laundering Working Group Report (February 28, 1995). 105 pages.
www.ussc.gov; RDL.

Nuclear/Biological/Chemical Weapons

Nuclear, Biological, and Chemical Weapons Policy Team (December 4, 2000).
26 pages.
www.ussc.gov; RDL.

Public Corruption

Public Corruption Working Group Report (September 8, 1993). 187 pages.
SC-Loan; RDL.

White Collar Crime

White Collar Crime Working Group Report (April 1993). 357 pages.
SC-Loan; RDL.

Special Research Series

Overview of Federal Criminal Cases, Fiscal Year 2007 (December 2008). 11 pages.
www.ussc.gov; SC-Request.

Changing Face of Federal Criminal Sentencing: Seventeen Years of Growth in the Federal Sentencing Caseload (December 2008). 17 pages.
www.ussc.gov; SC-Request.

Alternative Sentencing in the Federal Criminal Justice System (January 2009).
25 pages.
www.ussc.gov; SC-Request.

Impact of Prior Minor Offenses on Eligibility for Safety Valve (March 2009).
9 pages.
www.ussc.gov; SC-Request.

Introduction to the Collection of Individual Offender Data by the United States Sentencing Commission (May 2009). 7 pages.
www.ussc.gov; SC-Request.

Overview of Federal Criminal Cases, Fiscal Year 2008 (December 2009). 11 pages.
www.ussc.gov; SC-Request.

Federal Sentencing Data and Analysis Issues (August 2010). 8 pages.
www.ussc.gov; SC-Request.

Recidivism Research Series

Measuring Recidivism: The Criminal History Computation of the Federal Sentencing Guidelines (May 2004). 38 pages.
www.ussc.gov; RDL.

Recidivism and the “First Offender” (May 2004). 31 pages.
www.ussc.gov; RDL.

A Comparison of the Federal Sentencing Guidelines Criminal History Category and the U.S. Parole Commission Salient Factor Score (January 4, 2005). 34 pages.
www.ussc.gov; RDL.

Retroactivity Analyses

Analysis of the Impact of the Crack Cocaine Amendment If Made Retroactive (October 3, 2007). 29 pages.
www.ussc.gov.

Analysis of the Impact of the Influencing a Minor Amendment if Made Retroactive (June 22, 2009). 12 pages.
www.ussc.gov.

Legal Briefs

Brief for the United States Sentencing Commission as *amicus curiae* in *Mistretta v. United States*, 488 U.S. 361 (1989). 51 pages.
SC-Inspect; RDL.

Brief for the United States Sentencing Commission as *amicus curiae* in *United States v. Lopez*, 938 F.2d 1293 (D.C. Cir. 1991). 21 pages.
SC-Inspect; RDL.

Brief for the United States Sentencing Commission as *amicus curiae* in *United States v. Bell*, 991 F.2d 1445 (8th Cir. 1993). 21 pages.
SC-Inspect; RDL.

Memorandum of Law by the United States Sentencing Commission as *amicus curiae* in *United States v. McLellan*, Criminal Action No. 1:91-CR-326A-01 (JOF) (N.D. GA. 1995). 32 pages.
SC-Inspect.

Brief for the United States Sentencing Commission as *amicus curiae* in Support of Petitioner, United States of America, in *United States v. Booker* and *United States v. Fanfan*, 543 U.S. 220 (2005). 29 pages.
www.ussc.gov; *RDL*.

Brief for the United States Sentencing Commission as *amicus curiae* in Support of Respondent, United States of America, in *Claiborne v. United States* and *Rita v. United States*, Nos. 06-5618 & 06-5754 (filed January 22, 2007). 46 pages.
www.ussc.gov; *RDL*.

Brief for the United States Sentencing Commission as *amicus curiae* in Support of Respondent, United States of America, in *Dillon v. United States*, No. 09-6338 (filed March 3, 2010). 22 pages.
www.ussc.gov.

Symposium Proceedings

Proceedings of the Inaugural Symposium on Crime and Punishment in the United States: Drugs & Violence in America (June 16–18, 1993). 292 pages.
SC-Request; *RDL*.

Proceedings of the Second Symposium on Crime and Punishment in the United States: Corporate Crime in America: Strengthening the “Good Citizen” Corporation (September 7–8, 1995). 400 pages.
www.ussc.gov; *SC-Request*; *RDL*.

Proceedings of the Third Symposium on Crime and Punishment in the United States: Federal Sentencing Policy for Economic Crimes and New Technology Offenses (October 12–13, 2000). 390 pages.
www.ussc.gov; *SC-Request*; *RDL*.

Proceedings from the Symposium on Alternatives to Incarceration (July 14-15, 2008). 436 pages.
www.ussc.gov; *SC-Request*.

Advisory Group Reports

Report from Advisory Group on Environmental Sanctions (December 1993). 43 pages.
www.ussc.gov.

Report of the Ad Hoc Advisory Group on the Organizational Guidelines (October 7, 2003). 158 pages.
www.ussc.gov; *RDL*.

Final Report of the Native American Advisory Group (November 4, 2003). 47 pages.
www.ussc.gov; *RDL*.

Other Reports and Publications

Dissenting View of Paul H. Robinson on the Promulgation of Sentencing Guidelines by the United States Sentencing Commission (May 1, 1987). 33 pages.
SC-Inspect; RDL.

Preliminary Observations of the Commission on Commissioner Robinson's Dissent (May 1, 1987). 8 pages.
SC-Inspect; RDL.

Selected Guidelines Application Decisions (1989–Present).
(Individual years).
www.ussc.gov; RDL.

Sentences Imposed Under the Guidelines (October 1, 1990–September 30, 1991).
763 pages.
SC-Inspect; RDL

U.S. Sentencing Commission Selected Reprints, Vol. I (June 1992). 262 pages.
SC-Inspect; RDL.

U.S. Sentencing Commission Selected Reprints, Vol. II (September 1996). 254 pages.
SC-Request; RDL.

Update on the Activities of the United States Sentencing Commission
(September 1995). 126 pages.
www.ussc.gov; RDL.

The Sentencing Reform Act (November 1996). 11 pages.
www.ussc.gov; RDL.

Level of Detail in Chapter Two (November 1996). 10 pages.
www.ussc.gov; RDL.

The Year in Review: 1997–1998 (July 1998). 10 pages.
www.ussc.gov; RDL.

GuideLines: News from the U.S. Sentencing Commission.
www.ussc.gov; SC-Request.

Video and Audio Tapes

Audio and Videotape Sets: Symposium on Crime and Punishment in the United States: Drugs & Violence in America (June 16-18, 1993). 10 videotapes, 9 audio tapes. *SC-Loan.*

Videotape Set: Symposium on Crime and Punishment in the United States: Corporate Crime in America: Strengthening the 'Good Citizen' Corporation (September 7-8, 1995). 10 videotapes. *SC-Loan.*

Instructional Videotapes

The following instructional videotapes are available on short-term loan from the Commission's Office of Education and Sentencing Practice by calling (202) 502-4540:

- Sentencing and Guidelines: 2008 Amendments
- Sentencing and Guidelines: 2007 Amendments
- Sentencing and Guidelines: Statement of Reasons Form
- Sentencing and Guidelines: Multiple Counts
- Advanced Guideline Issues: Relevant Conduct
- Advanced Guideline Issues: Immigration
- Advanced Guideline Issues: Firearms

***Federal Register* Notices, Public Comment, and Hearing Transcripts**

Federal Register Notices.

(1996–present — www.ussc.gov; pre-1996 — www.gpoaccess.gov/fr/; RDL).

Files of public comment from individuals and organizations.

SC-Inspect.

Minutes of Commission meetings.

(1992–present — www.ussc.gov; RDL (1986–1991); pre-1992 — *SC-Inspect*; RDL).

United States Sentencing Commission Public Hearing Transcripts.

SC-Inspect; *SC-Loan*; RDL (April 15, 1986–February 16, 2005); www.ussc.gov (August 12, 1996–present).

United States Sentencing Commission Public Hearings		
Date	Location	Topic
April 15, 1986	Washington, DC	Offense Seriousness
May 22, 1986	Washington, DC	Prior Criminal Record
June 10, 1986	Washington, DC	Organizational Guidelines
July 15, 1986	Washington, DC	Sentencing Options (partial transcript)
September 23, 1986	Washington, DC	Plea Agreements (no transcript)
October 17, 1986	Chicago, IL	Individual Guidelines
October 21, 1986	New York, NY	Individual Guidelines
October 29, 1986	Atlanta, GA	Individual Guidelines
November 5, 1986	Denver, CO	Individual Guidelines
November 18, 1986	San Francisco, CA	Individual Guidelines
December 2–3, 1986	Washington, DC	Individual Guidelines
February 17, 1987	Washington, DC	Capital Punishment
March 11–12, 1987	Washington, DC	Individual Guidelines
March 22, 1988	Washington, DC	Individual Guidelines (no transcript)
October 11, 1988	New York, NY	Organizational Guidelines

United States Sentencing Commission

United States Sentencing Commission Public Hearings		
December 2, 1988	Pasadena, CA	Organizational Guidelines
April 7, 1989	Washington, DC	Individual Guidelines
February 14, 1990	Washington, DC	Organizational Guidelines
March 15, 1990	Washington, DC	Individual Guidelines
December 13, 1990	Washington, DC	Organizational Guidelines
March 5, 1991	Washington, DC	Individual Guidelines
February 25, 1992	Washington, DC	Individual Guidelines
March 22, 1993	Washington, DC	Individual Guidelines
November 9, 1993	Washington, DC	Cocaine and Sentencing Policy
March 24, 1994	Washington, DC	Individual Guidelines
March 14, 1995	Washington, DC	Individual Guidelines
March 11, 1996	Washington, DC	Individual Guidelines
August 12, 1996	Denver, CO	Guideline Simplification
March 18, 1997	Washington, DC	Individual Guidelines
October 15, 1997	Washington, DC	Definition of Loss
February 10, 1998	Washington, DC	Telemarketing Fraud
March 5, 1998	San Francisco, CA	Theft, Fraud, and Tax Crimes
March 12, 1998	Washington, DC	Individual Guidelines
June 17, 1998	Washington, DC	Individual Guidelines
March 23, 2000	Washington, DC	Individual Guidelines
March 19, 2001	Washington, DC	Individual Guidelines
June 19, 2001	Rapid City, SD	Native American Issues
February 25–26, 2002	Washington, DC	Individual Guidelines
March 19, 2002	Washington, DC	Individual Guidelines
March 25, 2003	Washington, DC	Individual Guidelines
August 19, 2003	Washington, DC	Individual Guidelines
September 23, 2003	Washington, DC	The PROTECT Act

United States Sentencing Commission Public Hearings		
October 7, 2003	Washington, DC	Organizational Sentencing Guidelines
November 4, 2003	Washington, DC	Native American Issues
March 17, 2004	Washington, DC	Individual Guidelines
November 16–17, 2004	Washington, DC	<i>Blakely v. Washington</i>
February 15–16, 2005	Washington, DC	<i>Booker/Fanfan</i>
April 12, 2005	Washington, DC	Individual Guidelines
February 21, 2006	San Antonio, TX	Immigration Issues
March 6, 2006	San Diego, CA	Immigration Issues
March 15, 2006	Washington, DC	Individual Guidelines
November 14, 2006	Washington, DC	Federal Cocaine Sentencing Policy
March 20, 2007	Washington, DC	Individual Guidelines
November 13, 2007	Washington, DC	Retroactivity of Crack Cocaine and Criminal History Amendments
March 13, 2008	Washington, DC	Individual Guidelines
February 10–11, 2009	Atlanta, GA	Federal Sentencing Practice
March 17–18, 2009	Washington, DC	Individual Guidelines
May 27–28, 2009	Stanford, CA	Federal Sentencing Practice
July 9–10, 2009	New York, NY	Federal Sentencing Practice
September 9–10, 2009	Chicago, IL	Federal Sentencing Practice
October 20–21, 2009	Denver, CO	Federal Sentencing Practice
November 19–20, 2009	Austin, TX	Federal Sentencing Practice
January 20–21, 2010	Phoenix, AZ	Federal Sentencing Practice
March 17, 2010	Washington, DC	Individual Guidelines
May 27, 2010	Washington, DC	Statutory Mandatory Minimum Penalties

Congressional Hearing Materials.

www.ussc.gov.

A collection of prepared statements, testimony, and materials regarding Commission appearances at congressional hearings is available to the public via the Commission's website at *www.ussc.gov*.

Commission Data

The Sentencing Commission systematically collects and publishes data on the sentencing process and conducts special studies on sentencing-related issues.

Datasets described in this section contain data on federal criminal cases sentenced under the guidelines. Each federal district court is required to forward to the Commission documents on each case sentenced under the guidelines, including the indictment or other charging document, the presentence report (PSR), the judgment and commitment order (J&C), any written plea agreement, the written statement of reasons for the sentence imposed, any resentencing or other modification of sentence, and any revocation of probation or supervised release.

These documents are received into the Commission's data collection system. Office of Research and Data (ORD) staff then review the documents to ensure completeness and assign each case a unique identifier. ORD staff then analyze these documents and enter numerous items of information about each case into the system. ORD staff use the data in this system to inform the ongoing work of the Commission, to assist in the preparation of reports to Congress and to the public concerning the work of the Commission or federal sentencing generally, and to prepare the many statistical compilations described in this guide. Each year ORD staff also use this data to respond to requests for additional statistical analysis from individual members of Congress, the Congressional Budget Office, the Congressional Research Office, and the courts.

Dataset entries are made on a per defendant/per sentencing basis (*i.e.*, each case is a consolidated sentencing of a single defendant). Multiple counts and multiple indictments constitute a consolidated sentencing if the defendants were sentenced at the same time and if a single PSR (and thus guideline range) is produced. Defendants may appear in more than one case in the dataset if they are involved in more than one consolidated sentencing. Multiple codefendants in the same consolidated sentencing will each appear as a separate case in the dataset.

Expansion of the Commission's data collection system has resulted in additional data elements being made available each year. The earliest datasets primarily contain matched data from the Federal Probation Sentencing and Supervision Information System (FPSSIS) datafile of the Administrative Office of the U.S. Courts and sentence information collected from J&C orders submitted by the courts. In 1990, basic guideline application information was collected and included in subsequent datasets. In addition, the data collection system was expanded to compensate for the elimination of the sentencing portion of the FPSSIS data collection system in August 1990. In 1991, data collection and files were upgraded to include in-depth guideline application information as well as additional elements to supplement losses from the FPSSIS system. In 1992, additional elements such as drug type were added to the automated files. In 1996, drug amount and amount of loss were added. Other variables have been added over time (*e.g.*, since the *Booker* decision, information about sentences outside the range not attributable to *Booker*.)

Beginning with 1993 data, the Commission also made available organizational and appeals datasets.

Commission datasets are distributed online by the Inter-University Consortium for Political and Social Research (ICPSR) at the University of Michigan. **Pursuant to the policy on public access to Commission documents and data (54 Fed. Reg. 51279 (12/13/89)), all case and defendant identifiers have been removed from the datasets.** Interview data from the evaluation implementation study will be made available for on-site inspection only. The codebook provided with each dataset discusses in detail other criteria that describe cases included in the data. Datasets are available via the following Internet address:

<http://www.ICPSR.umich.edu/NACJD/archive.html>

For more information, contact University of Michigan Institute for Social Research (ICPSR), P.O. Box 1248, Ann Arbor, MI 48106-1248. Online, you may email questions about data to nacjd@icpsr.umich.edu. For assistance via the telephone, use the toll-free help line: (800) 999-0960.

Commission data that have been incorporated into the datasets of the Federal Justice Statistics Resource Center, which is sponsored by the Bureau of Justice Statistics and developed by the Urban Institute, are available at <http://fjsrc.urban.org/index.cfm>.

United States Sentencing Commission Datasets				
File Name	Year	Cases	Start Date	End Date (Inclusive)
USSC.88	1988	6,223	November 1, 1987	December 31, 1988
USSC.89-H	N/A	9,360	January 1, 1989	June 30, 1989
USSC.89	1989	22,676	January 19, 1989	December 31, 1989
USSC.FY90	FY1990	29,011	October 1, 1989	September 30, 1990
USSC.FY91	FY1991	33,419	October 1, 1990	September 30, 1991
USSC.FY92	FY1992	38,258	October 1, 1991	September 30, 1992
USSC.FY93	FY1993	42,107	October 1, 1992	September 30, 1993
USSC.FY94	FY1994	39,971	October 1, 1993	September 30, 1994
USSC.FY95	FY1995	38,500	October 1, 1994	September 30, 1995
USSC.FY96	FY1996	42,439	October 1, 1995	September 30, 1996
USSC.FY97	FY1997	48,848	October 1, 1996	September 30, 1997
USSC.FY98	FY1998	50,754	October 1, 1997	September 30, 1998
USSC.FY99	FY1999	55,557	October 1, 1998	September 30, 1999

United States Sentencing Commission Datasets

File Name	Year	Cases	Start Date	End Date (Inclusive)
USSC.FY00	FY2000	59,846	October 1, 1999	September 30, 2000
USSC.FY01	FY2001	59,897	October 1, 2000	September 30, 2001
USSC.FY02	FY2002	64,366	October 1, 2001	September 30, 2002
USSC.FY03	FY2003	70,258	October 1, 2002	September 30, 2003
USSC.FY04	FY2004	70,068	October 1, 2003	September 30, 2004
USSC.FY05	FY2005	72,462	October 1, 2004	September 30, 2005
USSC.FY06	FY2006	72,585	October 1, 2005	September 30, 2006
USSC.FY07	FY2007	72,865	October 1, 2006	September 30, 2007
USSC.FY08	FY2008	76,478	October 1, 2007	September 30, 2008
USSC.FY09	FY2009	81,372	October 1, 2008	September 30, 2009

United States Sentencing Commission – Organizational Defendant Datasets

File Name	Year	Cases Sentenced Under Chapter Eight	Cases Sentenced Under §2R1.1	Start Date	End Date (Inclusive)
USSC.ORGSA93	N/A	50	50	November 1, 1991* November 1, 1997**	September 30, 1993 September 30, 1993
USSC.ORGSA94	FY1994	86	18	October 1, 1993	September 30, 1994
USSC.ORGSA95	FY1995	111	4	October 1, 1994	September 30, 1995
USSC.ORGSA96	FY1996	148	7	October 1, 1995	September 30, 1996
USSC.ORGSA97	FY1997	220	Unknown	October 1, 1996	September 30, 1997
USSC.ORGSA98	FY1998	218	Unknown	October 1, 1997	September 30, 1998
USSC.ORGSA99	FY1999	255		October 1, 1998	September 30, 1999
USSC.ORGSA00	FY2000	296		October 1, 1999	September 30, 2000
USSC.ORGSA01	FY2001	238		October 1, 2000	September 30, 2001
USSC.ORGSA02	FY2002	252		October 1, 2001	September 30, 2002
USSC.ORGSA03	FY2003	200		October 1, 2002	September 30, 2003

United States Sentencing Commission

United States Sentencing Commission – Organizational Defendant Datasets

File Name	Year	Cases Sentenced Under Chapter Eight	Cases Sentenced Under §2R1.1	Start Date	End Date (Inclusive)
USSC.ORGSAN04	FY2004	130		October 1, 2003	September 30, 2004
USSC.ORGSAN05	FY2005	187		October 1, 2004	September 30, 2005
USSC.ORGSAN06	FY2006	217		October 1, 2005	September 30, 2006
USSC.ORGSAN07	FY2007	196		October 1, 2006	September 30, 2007
USSC.ORGSAN08	FY2008	198		October 1, 2007	September 30, 2008
USSC.ORGSAN09	FY2009	177		October 1, 2008	September 30, 2009

* Cases sentenced under Chapter Eight guidelines

** Cases sentenced under the fine provisions of §2R1.1

United States Sentencing Commission – Appeals Datasets

File Name	Year	Cases	Start Date	End Date (Inclusive)
USSC.APPEAL93	N/A	6,078	March 9, 1990	September 30, 1993
USSC.APPEAL94	FY1994	6,745	October 1, 1993	September 30, 1994
USSC.APPEAL95	FY1995	6,863	October 1, 1994	September 30, 1995
USSC.APPEAL96	FY1996	6,710	October 1, 1995	September 30, 1996
USSC.APPEAL97	FY1997	6,496	October 1, 1996	September 30, 1997
USSC.APPEAL98	FY1998	6,387	October 1, 1997	September 30, 1998
USSC.APPEAL99	FY1999	7,103	October 1, 1998	September 30, 1999
USSC.APPEAL00	FY2000	6,078	October 1, 1999	September 30, 2000
USSC.APPEAL01	FY2001	6,280	October 1, 2000	September 30, 2001
USSC.APPEAL02	FY2002	6,834	October 1, 2001	September 30, 2002
USSC.APPEAL03	FY2003	6,564	October 1, 2002	September 30, 2003
USSC.APPEAL04	FY2004	7,213	October 1, 2003	September 30, 2004
USSC.APPEAL05	FY2005	7,813	October 1, 2004	September 30, 2005
USSC.APPEAL06	FY2006	10,052	October 1, 2005	September 30, 2006

United States Sentencing Commission – Appeals Datasets				
File Name	Year	Cases	Start Date	End Date (Inclusive)
USSC.APPEAL07	FY2007	8,530	October 1, 2006	September 30, 2007
USSC.APPEAL08	FY2008	8,688	October 1, 2007	September 30, 2008
USSC.APPEAL09	FY2009	8,774	October 1, 2008	September 30, 2009

Historical Datasets

Augmented FPSSIS Fiscal Year 1985 Convictions Dataset (July 1991).
ICPSR.

The sentencing and related data in this collection were gathered from a stratified random sample of defendants convicted in U.S. district courts during fiscal year 1985.

Special Study Datasets

Organizations Sentenced in Federal Courts, 1988, and Organizations Sentenced in Federal Courts, 1989–90.
ICPSR.

Mandatory Minimum Study Sample Dataset, 1989–90.
ICPSR.

Pursuant to a congressional directive, the Sentencing Commission completed a study of federal mandatory minimum statutes. As part of this endeavor, a sample of the Commission’s fiscal 1990 data release was augmented by a special coding project.

1991 Evaluation Study.

The datasets identified below were used to prepare *The Federal Sentencing Guidelines: A Report on the Operation of the Guidelines System and Short-Term Impacts on Disparity in Sentencing, Use of Incarceration, and Prosecutorial Discretion and Plea Bargaining*, submitted to Congress and the GAO in December 1991:

1991 Evaluation Study: National Survey Data.
ICPSR.

The data in this collection are from a national survey of judges and court practitioners developed in response to issues raised during site visits for the implementation study.

This national mail survey sample consisted of all federal district judges, public defenders, a random sample of assistant U.S. attorneys engaged in criminal work, federal panel attorneys, and federal probation officers preparing presentence reports or conducting the investigation for those reports.

1991 Evaluation Study: Disparity Data.
ICPSR.

This study employed four disparity datasets, representing one of four major offense types (bank robbery, cocaine distribution, heroin distribution, and bank embezzlement) representing offenders sentenced in 1985. Data was collated from the Commission, the Federal Bureau of Prisons, and the U.S. Parole Commission. The datasets contain information on preguideline defendants sentenced during fiscal year 1985 (October 1, 1984–September 30, 1985) and were compiled to assist the Commission in developing the initial guidelines.

1991 Evaluation Study: Time Series Data.
ICPSR.

The data in this collection represent two separate studies designed to assess the impact of the sentencing guidelines. The first is a use of incarceration study, which includes data on the incarcerative and non-incarcerative sentences imposed and the average length of expected time to be served in incarceration for all offenses as well as for select groups of offenses. The second is a prosecutorial discretion and plea bargaining study, which includes data on the numbers of matters initiated, cases filed, and cases disposed of by guilty plea and trial. Both studies reflect monthly time series before and after the implementation of the guidelines in November 1987.

1991 Evaluation Study: Implementation Study Interviews.
SC-Inspect.

This is a hard copy of implementation study interviews with judges, probation officers, assistant U.S. attorneys, federal defenders, private counsel, and federal court clerks.

Index by Publication Title

A

Acceptance of Responsibility Working Group Report	12
Adequacy of Federal Sentencing Guideline Penalties for Computer Fraud and Vandalism Offenses: Report to the Congress	10
Adequacy of Penalties for Fraud Offenses Involving Elderly Victims: Report to the Congress	10
Adequacy of Penalties for the Intentional Exposure of Others, through Sexual Activity, to HIV: Report to the Congress	9
Aliens Working Group Report	17
Alternative Sentencing in the Federal Criminal Justice System	18
Amendment Highlights	11
Amendments to the Federal Sentencing Guidelines in Response to the Emergency Disaster Assistance Fraud Penalty Act of 2007: Report to Congress	11
Analysis of the Impact of the Crack Cocaine Amendment if Made Retroactive	19
Analysis of the Impact of the Influencing a Minor Amendment if Made Retroactive	19
Analysis of Penalties for Federal Rape Cases: Report to the Congress	10
Analysis of Sentencing-Related Provisions of S. 2305 and H.R. 3371 Conference Report Crime Bills	9
Analysis of the Sentencing-Related Provisions of the Senate Committee Print of the Immigration Reform Act of 1995	10
Analysis of the Violent Crime Control and Law Enforcement Act of 1994 (H.R.3355)	9
Annual Report	8

B

Bank Robbery Working Group Report	15
Brief for the United States Sentencing Commission as <i>amicus curiae</i> in Support of Petitioner, United States of America in <i>U.S. v. Booker and</i> <i>U.S. v. Fanfan</i>	20
Brief for the United States Sentencing Commission as <i>amicus curiae</i> in Support of Respondent, United States of America in <i>Claiborne v.</i> <i>United States and Rita v. United States</i>	20
Brief for the United States Sentencing Commission as <i>amicus curiae</i> in Support of Respondent, United States of America in <i>Dillon v. United States</i>	20
Brief for the United States Sentencing Commission as <i>amicus curiae</i> In <i>United States v. Bell</i>	19
Brief for the United States Sentencing Commission as <i>amicus curiae</i> In <i>United States v. Lopez</i>	19
Brief for the United States Sentencing Commission as <i>amicus curiae</i> In <i>Mistretta V. United States</i>	19

C

Career Offender Guidelines Working Group Report	12
Category 0/VII Working Group Report	12
Cellular Telephone Cloning Final Report	17
Changing Face of Federal Criminal Sentencing: Seventeen Years of Growth in the Federal Sentencing Caseload	18
Chapter Three Adjustments: Staff Discussion Paper	12
Child Pornography, Obscenity Offenses, and Hate Crimes: Working Group Report	15
Child Sex Offense Working Group Report	15
Cocaine and Federal Sentencing Policy (1995): Special Report to the Congress	9
Cocaine and Federal Sentencing Policy (1997): Special Report to the Congress	10
Cocaine and Federal Sentencing Policy (2002): Report to the Congress	10
Cocaine and Federal Sentencing Policy (2007): Report to the Congress	11
Comparison of the Federal Sentencing Guidelines Criminal History Category and the U.S. Parole Commission Salient Factor Score Report	19
Computation of “Recency” Criminal History Points Under §4A1.1(e)	12
Computer Fraud Working Group Report	16
Criminal History: Staff Discussion Paper	12
Criminal History Working Group Report	12
Criminal History Working Group Report: Category 0, Category VII	12
Criminal Livelihood Working Group Report	12

D

Demographic Differences in Federal Sentencing Practices: An Update of the <i>Booker Report’s</i> Multivariate Regression Analysis	14
Departures	13
Departures and Offender Characteristics: Staff Discussion Paper	13
Discussion Materials on Organizational Sanctions	14
Dissenting View of Paul H. Robinson on the Promulgation of Sentencing Guidelines by the United States Sentencing Commission	21
Downward Departures from the Federal Sentencing Guidelines: Report to Congress	11
Drug Working Group Report	15
Drug Working Group Report – Listed Chemicals	15
Drugs, Firearms, and Violent Offenses Working Group Report	16
Drugs/Role/Harmonization Working Group Report	15

F

Federal Court Practices: Sentence Reductions Based on Defendants’ Substantial Assistance to the Government	13
Federal Offender: A Program of Intermediate Punishments	12
Federal Offenders Sentenced to Supervised Release	14
Federal Sentencing Data and Analysis Issues	19
Federal Sentencing Guidelines: A Report on the Operation of the Guidelines System and Short-Term Impacts on Disparity in Sentencing, Use of Incarceration, and Prosecutorial Discretion and Plea Bargaining	9
Federal Sentencing Statistics by District and Circuit	8
Field Test of Proposed Revisions to the Definition of Loss in the Theft and Fraud Guidelines	17

Fifteen Years of Guidelines Sentencing: An Assessment of How Well the Federal Criminal Justice System is Achieving the Goals of Sentencing Reform	14
Final Report of the Native American Advisory Group	20
Final Report of Impact of <i>United States v. Booker</i> on Federal Sentencing	14
Firearms and Explosive Materials Working Group Report	16
Firearms: Selected Federal Statutes and Guideline Enhancements	16
Fiscal Year 2008 Post- <i>Kimbrough/Gall</i> Data Report	8
Food and Drug Working Group Preliminary Report	16
Food and Drug Working Group Report	16

G

Grouping of Money Laundering and Fraud Counts of Conviction	13
Guideline Application Frequencies	8
GuideLines: News from the U.S. Sentencing Commission	21
Guideline Sentencing: Determining “Loss” in §§2B1.1 (Theft) and 2F1.1 (Fraud)	16
Guidelines Manual	5

H

History of the Child Pornography Guidelines, Report on	15
--	----

I

Identity Theft Final Report	17
Impact of Prior Minor Offenses on Eligibility for Safety Valve	18
Increased Penalties for Campaign Finance Offenses and Legislative Recommendations	11
Increased Penalties for Cyber Security Offenses	10
Increased Penalties Under the Sarbanes-Oxley Act	10
Initial Sentencing Guidelines and Policy Statements: Supplementary Report	9
Intellectual Property Policy Team Report	17
Interim Staff Report on Immigration and the Federal Sentencing Guidelines	17
Introduction to the Collection of Individual Offender Data by the United States Sentencing Commission	18

J

Just Punishment: Public Perceptions and the Federal Sentencing Guidelines	14
Juvenile Offenders Working Group Report	15

L

Level of Detail in Chapter Two: Staff Discussion Paper	21
Loss Definition Field Testing Report	17
Loss Issues	17
Loss Issues Working Paper	16

M

Mandatory Minimum Penalties in the Federal Criminal Justice System: Special Report to the Congress	9
Manslaughter Working Group Report	17
Maximum Utilization of Prison Resources: Report to the Congress	9
MDMA Drug Offenses: Explanation of Recent Guideline Amendments (Report to Congress)	10
Measuring Recidivism: The Criminal History Computation of the Federal Sentencing Guidelines	19
Memorandum of Law by the United States Sentencing Commission as <i>amicus curiae</i> in <i>United States v. McLellan</i>	19
Methamphetamine Final Report	15
Money Laundering Working Group Report	18
Multiple Counts: Staff Discussion Paper	12

N

National Sample Survey: Public Opinion on Sentencing Federal Crimes	14
No Electronic Theft Act Policy Development Team Report	17
Nuclear, Biological, and Chemical Weapons Policy Team	18

O

Overview of Loss in USSG 2B1.1	17
Overview of the Federal Sentencing Guidelines	11
Overview of the Organizational Guidelines	11
Overview of the United States Sentencing Commission	11
Overview of Federal Criminal Cases, Fiscal Year 2007	18
Overview of Federal Criminal Cases, Fiscal Year 2008	18

P

Plea Negotiations Under the Federal Sentencing Guidelines: An Empirical Examination of the Post- <i>Mistretta</i> Experience	13
Post- <i>Booker</i> /Quarterly Sentencing Updates	8
Preliminary Crack Cocaine Retroactivity Report	8
Preliminary Observations of the Commission on Commissioner Robinson's Dissent	21
Preliminary Report to the Commission: Staff Working Group on Alternatives	13
Probation and Supervised Release: Revocation and Other Issues	13
Probation and Supervised Release Violations	13
Proceedings from the Symposium on Alternatives to Incarceration	20
Proceedings of the Inaugural Symposium on Crime and Punishment In the United States: Drugs & Violence in America	20
Proceedings of the Second Symposium on Crime and Punishment In the United States: Corporate Crime in America: Strengthening The "Good Citizen" Corporation	20
Proceedings of the Third Symposium on Crime and Punishment In the United States: Federal Sentencing Policy for Economic Crimes and New Technology Offenses	20
Public Corruption Working Group Report	18

R

Recidivism and the “First Offender”	19
Relevant Conduct: Staff Discussion Paper	13
Report from Advisory Group on Environmental Sanctions	20
Report of the Ad Hoc Advisory Group on the Organizational Guidelines	20
Report of the Drugs/Role/Harmonization Working Group	15
Report on Federal Escape Offenses in Fiscal Years 2006 and 2007	16
Report to the Judicial Conference (March 1999)	11
Report to the Judicial Conference (September 1999)	11
Revocation Working Group Report	13
Role in the Offense Working Group Report	12
Results of Survey of United States District Judges: January 2010 through March 2010	14

S

Selected Guidelines Application Decisions	21
Selected Reprints, Vol. I	21
Selected Reprints, Vol. II	21
Sentences Imposed Under the Guidelines	21
Sentencing Federal Sexual Offenders: Protection of Children from Sexual Predators Act of 1998	15
Sentencing for the Possession or Use of Firearms During a Crime	16
Sentencing Guidelines and Policy Statements	5
Sentencing Guidelines for Organizations: Supplementary Report	9
Sentencing Options Under the Guidelines: Staff Discussion Paper	13
Sentencing Policy for Money Laundering Offenses, Including Comments on Department of Justice Report: Report to the Congress	10
Sentencing Reform Act: Staff Discussion Paper	21
Sex Offenses Against Children: Report to the Congress	10
Sourcebook of Federal Sentencing Statistics	8
Staff Analysis of the Effect of the United States Supreme Court Decision in <i>Lopez v. Gonzalez</i>	14
Statutory Penalties Project Description and Compilations of Federal Criminal Offenses – Preliminary Report to the Congress	9
Statutory Penalty Review: Supplementary Report to the Congress	9
Steroids Policy Team Report	15
Substantial Assistance: An Empirical Yardstick Gauging Equity in Current Federal Policy and Practice	13
Survey of Article III Judges (Summary Report)	14
Survey of Article III Judges on the Federal Sentencing Guidelines (Final Report)	14
Survey Results/Preliminary Findings on <i>Blakely</i> Effect	14

T

Telemarketing Fraud Offenses — Explanation of Recent Guideline Amendments	10
Telemarketing Fraud Working Group Report	17

U

Update on the Activities of the United States Sentencing Commission 21

V

Violent Crimes/Firearms/Gangs Working Group Report 16

W

White Collar Crime Working Group Report 18

Y

Year in Review: 1997-1998 21

Index by Subject

A

acceptance of responsibility	12
administration of justice offenses	18
adjustments to guidelines	12
alternatives to incarceration	12, 13, 18, 20
amendments to sentencing guidelines	5, 11
annual reports	8
appeals	30
audio resources	3, 22

B

bank robbery	15
biological weapons	18
<i>Blakely</i>	14
<i>Booker</i>	8, 14, 20

C

campaign finance offenses	11
career offender	12
chapter two offenses	21
chemical weapons	18
child pornography	10, 15
child sex offenses	10, 15, 19
<i>Claiborne v. United States</i>	20
cocaine sentencing policy	8, 9, 10, 11, 19
computer fraud	10, 16
copyright infringement	17
corporate crime	9, 11, 13, 20, 29, 30, 31
crack cocaine	8, 9, 10, 11, 19
crime bill analysis	9, 10
criminal history	12, 18, 19
criminal livelihood	12
cyber security offenses	10

D

data	27
data analysis/annual report	8
data analysis/application frequencies	8
data analysis/post- <i>Kimbrough/Gall</i>	8
data analysis/quarterly report	8
data analysis/sourcebook	8
data collection	18
departures	11, 12, 13

<i>Dillon v. United States</i>	20
disparity	9
drug crimes	8, 9, 10, 11, 15, 16, 20

E

economic crime	20
electronic theft	17
environmental sanctions	20
escape	16
evaluation studies	9, 14, 31, 32
explosive materials	16

F

<i>Fanfan</i>	19
fifteen year study	14
firearms	16
first-time offender	12, 19
food and drug offenses	16
fraud	10, 11, 13, 16, 17
fraud, computer	10, 16
fraud, disaster assistance	11
fraud, elderly victims	10
fraud loss	16, 17
fraud, telemarketing	10, 17

G

<i>Gall</i>	8
gangs	16
guideline amendments	5, 11
guidelines application	8, 21
guidelines manuals	5

H

hate crimes	15
HelpLine	3
HIV penalties	9

I

identity theft	17
immigration offenses	10, 17
intellectual property	17
Internet site (<i>www.ussc.gov</i>)	2, 3

J

judges survey	14
---------------------	----

just punishment	14
juvenile offenders	15

K

<i>Kimbrough</i>	8
------------------------	---

L

legal briefs	19, 20
library resources	3
loss issues	16, 17
<i>Lopez v. Gonzalez</i>	14, 19

M

mandatory minimums	19, 31
manslaughter	17
MDMA	10
methamphetamine	15
<i>Mistretta v. U.S.</i>	19
money laundering	10, 13, 17
multiple counts	12

N

Native American issues	20
newsletters (<i>GuideLines</i>)	21
nuclear weapons	18

O

obscenity offenses	15
offender characteristics	12, 13, 18, 19
offense seriousness	12
offense types	15
organizational defendants	9, 11, 14, 20, 29, 30, 31

P

plea negotiations	9, 13
pornography/prostitution	10
powder cocaine	9, 10, 11
prior minor offenses	18
prisons/population	9
probation	13
public corruption	18
public hearings	23
public opinion on sentencing policy	14

R

rape, penalties for	10
recidivism	19
relevant conduct	13
retroactivity	19
revocation, probation and supervised release	13
<i>Rita v. United States</i>	20
role in the offense	12
rulemaking resources	4, 23

S

safety valve	18
Sarbanes-Oxley Act	10
sentences imposed	21
Sentencing Reform Act	21
sex crimes	10, 15
sexual abuse	10, 15
statistics, sourcebook of federal sentencing	8
statutory penalties project	9
steroids	15
substantial assistance to government	13
supervised release	13, 14
surveys	14, 31, 32
symposium	20

T

telephone cloning	17
theft	17
training	3

U

<i>U.S. v. Bell</i>	19
<i>U.S. v. Booker</i>	19
<i>U.S. v. Fanfan</i>	19
<i>U.S. v. Lopez</i>	19
<i>U.S. v. McLellan</i>	19

V

vandalism	10
video resources	3
violence, crimes of	9, 15, 16, 20

W

white collar crime	18
--------------------------	----

