

# HORAL IELCTION COMMISSION

B25 K STELL DAY WASHINGTON,D C (20463)

# REPORT OF THE AUDIT DIVISION ON THE CHRIS DODD/CONGRESS '76 COMMITTEE

### I. Background

#### A. Overview

This report is based on an audit of the Chris Dodd/Congress '76 Committee, undertaken by the Audit Division of the Federal Electron Commission in accordance with the Commission's audit policy to determine whether there has been compliance with the provisions of the Federal Election Campaign of 1971 as amended (the Act). The audit was conducted pursuant to Section 430(a)(3) of Title 2 of the United States Code which directs the Commission to make from time to time audits and field investigations with respect to reports and statements filed under the provisions of the Act.

The Committee registered with the Federal Election Commission on May 4, 1976 in support of the Honorable Christopher J. Pedd, a candidate for the office of Representative from the Second Congressional District of Connecticut. On May 4, 1976, the Committee was designated by the Candidate as his principal campaign committee for the 1976 election. The Committee maintains its headquarters in Norwich, Connecticut.

The audit covered the period from April 26, 1976, through September 30, 1977, the final coverage date of the latest report filed by the Committee. During this period the Committee reported a beginning cash balance of \$447.77, total receipts of \$130,577.23, total expenditures of \$124,887.43 and a closing cash balance of June 30, 1977 of \$5,137.57.1/

This audit report is based on documents and working papers which support each of the factual statements. They form part of the record upon which the Commission based its decisions on the matters in the report and were available to Commissioners and appropriate staff for review.

While Deginning cash represented a transfer of the residual funds from the previous campaign. The Committee filed FEC Form 3a for the period July 1, 1977 through September 30, 1977.

## B. Key Personnel

The principal officers of the Committee were: Thomas Wilson, Treasurer; Jay B. Levin, Chairperson, May 4, 1976 to March 10, 1977, and Ms. Riitta Haley, Chairperson, March 10, 1977 through the close of the audit period.

#### C. Scope

The audit included such tests as verification of reported receipts and expenditures and individual transactions; review of required supporting documentation, analysis of Committee debts and obligations; and such other audit procedures as deemed necessary under the circumstances.

#### 11. Auditor's Statement

It is the opinion of the Audit Staff, based upon examination of the reports and statements filed and the records presented, that the reports and statements of the Chris Dodd/Congress '76 Committee fairly present the financial activities of the Committee for the period covered by the audit. No material problems in complying with the Federal Election Campaign Act were discovered during the course of the audit.

