# **FY11 Environmental Workforce Development and Job Training Grant Guidelines**

#### **OVERVIEW**

**AGENCY:** ENVIRONMENTAL PROTECTION AGENCY (EPA)

TITLE: ENVIRONMENTAL WORKFORCE DEVELOPMENT AND JOB TRAINING

**GRANTS** 

**ACTION:** Request for Proposals (RFP)

**RFP NO:** EPA-OSWER-OBLR-11-01

CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NO.: 66.808, 66.813, 66.815

**DATES:** Proposals are due March 18, 2011. Proposals may be sent through the U.S.

Postal Service (USPS), commercial delivery service, or through www.grants.gov. Only one method should be used for the submission of the original, complete proposal. Proposals sent through the U.S. Postal Service or sent via a commercial delivery service must be postmarked by 11:59 pm March 18, 2011. Proposals sent through <a href="https://www.grants.gov">http://www.grants.gov</a> must be

received by 11:59 p.m. Eastern Time on March 18, 2011. Please refer to Section IV.B., *Due Date and Mailing Instructions*, for further instructions.

**SUMMARY:** This notice announces the availability of funds and solicits proposals from eligible entities, including non-profit organizations, to deliver environmental workforce development and job training programs focused on hazardous and solid waste management, assessment, and cleanup-associated activities, as referenced in Section I.B., *Use of Grant Funds*.

While Environmental Workforce Development and Job Training Grants focus on hazardous and solid waste remediation and health and safety, including required core training in HAZWOPER, applicants may design their own curriculums by choosing what types of supplemental environmental training they want to provide.

This supplemental training must include the following three additional training courses: 1) innovative and alternative treatment technologies (e.g., the training in the preparation of sites for renewable energy installation, phytoremediation, etc.); 2) leaking underground storage tank prevention; and 3) training related to solid waste management, assessment, and/or cleanup (e.g., construction and demolition debris recycling, landfill closure, electronics recycling, etc.). As EPA encourages applicants to develop their curriculums based on hiring needs in the community and employer surveys, applicants may choose to deliver the three additional required training courses at varying degrees of "awareness." For example, if you determine that the

demand for leaking underground storage tank prevention training is not as applicable to employers hiring needs in your geographic locale; you have the discretion to provide more basic awareness training for that required course. On the other hand, if you have identified a real need to provide one or more of these three types of training based on hiring needs in your community, you are encouraged to provide more in-depth training in these courses, especially if the identified EPA-funded project in the community is a solid waste facility, such as a landfill or recycling center, an area where leaking underground storage tanks present an issue, or a Superfund or Federal Facility site where innovative and alternative treatment technology training is pertinent and employment opportunities are present. Please see the FY11 Frequently Asked Questions for further information.

For the purposes of these guidelines, the term "grant" refers to the cooperative agreement that EPA will award to a successful applicant. Please refer to Section II.C for a description of EPA's anticipated substantial involvement in the financial assistance agreements awarded under these guidelines.

EPA urges applicants to review the Frequently Asked Questions, which can be found at <a href="http://www.epa.gov/brownfields">http://www.epa.gov/brownfields</a>.

**FUNDING/AWARDS:** The total anticipated funding available under the FY11 national competition for Environmental Workforce Development and Job Training Grants is \$4 million. EPA anticipates award of approximately 13 environmental workforce development and job training cooperative agreements from this competitive opportunity.

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# SECTION I – FUNDING OPPORTUNITY DESCRIPTION

In 2010, the Office of Brownfields and Land Revitalization (OBLR) lead an effort to more closely collaborate on workforce development and job training with other programs within EPA's Office of Solid Waste and Emergency Response (OSWER), including the Office of Resource Conservation and Recovery (ORCR), Office of Superfund Remediation and Technology Innovation (OSRTI), Office of Underground Storage Tanks (OUST), Federal Facilities Restoration and Reuse (FFRRO), Center for Program Analysis (CPA), Innovation, Partnerships, and Communication Office (IPCO), and the Office of Emergency Management (OEM) to develop a job training cooperative agreement opportunity that includes expanded training in other environmental media outside the traditional scope of just brownfields. As a result of these discussions, the Environmental Workforce Development and Job Training Grants Program, formerly known as the "Brownfields Job Training Grants Program," now allows applicants to deliver additional hazardous and solid waste training.

This cooperative agreement program also supports OSWER's Community Engagement

Initiative, which is designed to enhance OSWER and regional offices' engagement with local communities and stakeholders (e.g., state and local governments, tribes, academia, private industry, other federal agencies, non-profit organizations) in an effort to improve meaningful public participation in government decisions dealing with land cleanup, emergency preparedness and response, and the management of hazardous substances and waste.

In FY11, applicants may now apply to deliver additional training outside the scope of traditional brownfields training as described in Section I.B., *Use of Grant Funds*.

Through this expanded Environmental Workforce Development and Job Training Program, graduates will develop wider skill sets that will improve their ability to secure full-time, sustainable employment in various aspects of hazardous and solid waste management and within the larger environmental cleanup and remediation field.

# I.A. Description of Grant

Through the link to on-the-ground cleanup activities, Environmental Workforce Development and Job Training Grants train residents of communities impacted by a variety of waste facilities, blighted properties, and contaminated sites for environmental jobs that cleanup contractors may otherwise fill from outside the affected community. Environmental Workforce Development and Job Training Grants help residents take advantage of the jobs created by the management, assessment, cleanup, and revitalization of solid and hazardous waste sites in their communities.

A critical part of EPA's Environmental Workforce Development and Job Training efforts is to ensure that residents living in communities historically affected by economic disinvestment, health disparities, and environmental contamination have an opportunity to reap the benefits of revitalization in these areas. Proposed training programs must target unemployed and underemployed individuals. Applicants will be evaluated on the extent to which they have partnered with local contractors and other stakeholders where EPA-funded projects for brownfields, Federal Facilities, Superfund, underground storage tanks, landfills, oil spill sites, or EPA-funded state or tribal corrective actions or closures at solid or hazardous waste facilities or landfills are taking place. Applicants should establish procedures to ensure that graduates will be employed in environmental work that involves preventing, assessing, managing, and cleaning up contaminated sites with a focus on the graduates' respective communities. EPA's Brownfields Program and the Office of Solid Waste and Emergency Response are committed to integrating principles of environmental justice by helping communities revitalize contaminated properties, mitigate potential health risks, and restore economic vitality.

To date, EPA has funded 169 job training grants totaling over \$35 million through the former Brownfields Job Training Program. As of April 2010, more than 6,700 people had completed training and more than 4,300 obtained employment in the environmental field with an average starting hourly wage of \$13.66.

#### I.B. Use of Grant Funds

Examples of eligible uses of grant funds to be provided under this competitive opportunity include the following activities. Please note that this list is intended to be illustrative and applicants may apply for funding for other types of training in assessment and remediation of solid and hazardous wastes as long as the curriculum includes the required training listed in Section III.B, *Threshold Eligibility Criteria*.

- Recruiting job training participants from communities impacted by hazardous and/or solid waste facilities and sites;
- Implementing job development outreach activities directed toward engaging prospective employers to be involved in the job training program and to hire graduates;
- Training participants for the handling and removal of hazardous substances and
  petroleum, including training for jobs in environmental sampling, analysis, and site
  remediation associated with brownfields, Superfund, and Federal Facility sites,
  including demolition and groundwater extraction, as well as health and safety
  training;
- Training in the inventory, assessment, and remediation of facilities at which hazardous substances, pollutants, contaminants, or petroleum contamination are located, transported, or disposed;
- Training in leak prevention and the removal of underground storage tanks;
- Training participants to conduct chemical inventories; inspect and recommend proper chemical storage, safely handle chemicals and oversee removal of dangerous, unlabeled, and unnecessary chemicals in K-12 schools;
- Training in confined space entry;
- Training in HVAC, freon removal or the removal of substances from white goods;
- Training participants in the use of techniques and methods for cleanup of hazardous substances, petroleum, and pollutants, such as training in asbestos abatement, lead abatement, lead renovation, repair, and painting (RRP), mold remediation, and cleaning up sites contaminated by the manufacturing of illegal drugs (e.g., methamphetamine labs), abandoned gas stations, or mine-scarred lands;
- Training in the use of compost and soil amendments, associated sampling, testing, design considerations and management techniques, such as integrated pest management (IPM), to support the assessment and cleanup of sites for urban agriculture and horticulture;

- Training in integrated solid waste management-related jobs, including but not limited
  to household and industrial recycling management and collection, operators of
  material recovery facility and/or recycling centers, electronics and household
  hazardous waste collection and recycling program operators, construction and
  demolition debris collection and recycling, management and recycling center
  operators, training associated with solid and hazardous waste facility corrective action
  and landfill closure activities, and waste minimization efforts;
- Training in environmental chemistry, toxicology, and geology to the extent necessary to inventory, assess, remediate and clean up contaminated sites;
- Training in the requirements and implementation of the all appropriate inquiry, as required in CERCLA 101.35B and 40CFR part 312, and due diligence defined as the process for evaluating a property for the potential presence of environmental contamination, and for assessing potential liability for any contamination present at the property;
- Training in site surveying, inventorying, mapping, and geographic information systems (GIS);
- Training participants in planning and conducting ecological restoration of contaminated land, including general botanical classes or introductory horticultural classes related to land and stream restoration or indigenous species revegetation, landscaping, and soil science;
- Training residents in the preparation of contaminated sites for water or storm water management systems, low-impact development (LID), and Leadership in Energy and Environmental Design (LEED);
- Training participants in the reuse of biosolids and other industry residuals associated with remediation of contaminated lands or solid waste facilities;
- Training in innovative and alternative treatment technologies, such as "green remediation" technologies, phytoremediation, bioremediation, or soil amendments;
- Training for spill response, including industrial and environmental (e.g., oil spills, etc.);
- Training in site preparation for the installation of technologies that use alternative energy (solar, wind, or geothermal power) or alternative fuels (e.g., biofuels);
- Training in building trades related to constructing beams, caps, synthetic barriers, pumping facilities and similar structures to remediate contamination;
- Training in first-aid, CPR, emergency response, blood born pathogens, first responder, disaster site worker, and National Incident Management System (NIMS);

- Training in HAZMAT and commercial driver's license (CDL), forklift, and machine operations associated with the transportation of hazardous waste;
- Personnel costs for instructors to conduct training, fringe benefits, and/or personnel costs for tasks associated with programmatic reporting requirements;
- Training in national historic preservation and tribal historic preservation regulations associated with clean up projects;
- Costs for screening and placing individuals of the training program;
- Costs for training materials and work gear associated with the training curriculum, if reasonable;
- Costs associated with health exams (e.g., pulmonary function tests), drug testing, or licensing fees directly related to the training and/or the placement of graduates in environmental work, if reasonable. (Any funding used to pay for such fees must be applied before training is completed and expended before the close of the grant);
- Costs used to cover rental fees associated with training facilities or minor alteration of existing facilities, if reasonable; (Construction costs are not allowable)
- Costs, including stipends, associated with transportation for trainees for site visits during training or for trainees getting to and from class, if reasonable; and
- Development and refinement of existing curricula for training.
- Insurance a trainee needs to participate in on the job training.

#### Grant funds may not be used for the following activities:

- Conducting site assessments or actual cleanups outside the context of on-the-job training;
- Conducting response activities often associated with cleanups except within the context of on-the-job training assignments (e.g., landscaping, demolition, and groundwater extraction). Assessment, cleanup, and associated activity costs must be funded through other means;
- General or life skills education activities, such as remedial classes in math and reading; job readiness training, such as developing resumes and acquiring interview skills; job placement costs; GED costs; website development; vehicle or medical insurance; or child care and daycare costs;
- Stipends for students, other than for transportation, or scholarship funds to support

students' enrollment in college courses;

- Membership fees, such as fees required to join placement service organizations or environmental organizations;
- Providing food or light refreshments to employees, instructors, and trainees except at graduation ceremonies;
- Costs that are unallowable (e.g., lobbying, fund-raising, alcoholic beverages) under Cost Principals 2 CFR Part 220 (universities), 2 CFR Part 225 (state, tribal, and local governments), or 2 CFR Part 230 (nonprofit organizations), as applicable;
- Matching any other Federal funds unless there is specific statutory authority for the match. CERCLA does not provide this authority. Grant funds may be used to match state or local funds, if authorized by the relevant state statute or local ordinance;
- Construction or substantial rehabilitation of buildings or other facilities to house training;
- Proposal preparation costs; and
- Administrative costs, management fees, penalties, or fines. (Refer to Appendix 1: *Prohibitions on Use of Funds*).

See the Brownfields website for FAQs at <a href="http://www.epa.gov/brownfields">http://www.epa.gov/brownfields</a> for additional information on ineligible grant activities.

# I.C. EPA Strategic Plan Linkage

EPA's Strategic Plan 2011-2015 defines goals, objectives, and sub-objectives for protecting human health and the environment. Environmental Workforce Development and Job Training Grants awarded through this competition will support progress towards EPA Strategic Plan Goal 3 (Cleaning Up Communities and Advancing Sustainable Development), Objective 3.1 (Promote Sustainable and Livable Communities), Sub-Objective 3.1.2 (Assess and Cleanup Brownfields); Objective 3.2 (Preserve Land), Sub-Objectives 3.2.1 (Waste Generation and Recycling), 3.2.2 (Minimize Release of Hazardous Waste and Petroleum Products); and Objective 3.3 (Restore Land), Sub-Objectives 3.3.2 (Emergency Preparedness and Response), and 3.3.3 (Cleanup Contaminated Land). Specifically, recipients of these grants will train and place residents from communities impacted by contaminated sites, polluting facilities, and idle properties in careers in the environmental field. As a consequence, this project promotes facilitation and cleanup of sites contaminated with hazardous substances, pollutants, contaminants, petroleum, or solid waste. Simultaneously, it ensures the economic benefits derived from remediation activities remain with affected residents in those communities.

# I.D. Measuring Environmental Results: Anticipated Outcomes/Outputs

Pursuant to EPA Order 5700.7, "Environmental Results under EPA Assistance Agreements," EPA requires that all grant recipients adequately address environmental outputs and outcomes.

EPA must report on the success of its Environmental Workforce Development and Job Training Program through measurable outputs and outcomes such as the number of individuals recruited, trained, certified, and placed directly in environmental careers. Applicants must discuss in their proposals how funding will achieve environmental outputs and outcomes. Outputs specific to each project will be identified as deliverables in the work plan negotiated after a grant is awarded. Grantees will be expected to report progress toward the attainment of project outputs during the project performance period.

Outputs and Outcomes are defined as follows:

1. <u>Outputs</u>: The term "output" refers to an environmental activity, effort, and/or associated work products related to an environmental goal or objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during the project period.

EPA anticipates the outputs for the grants awarded under this announcement will include but are not limited to the following:

- Increased number of individuals recruited, trained, certified, and placed in environmental careers in communities impacted by solid and hazardous waste sites and facilities. A national minimum of at least 400 persons completing training per year with a minimum job placement rate of at least 70%.
- Classroom style training, practical training, and curricula modules;
- Appropriate certification in environmental sampling and site cleanup methods;
- Certification in OSHA 29 CFR 1910.120 40-hour Hazardous Waste
   Operations and Emergency Response (HAZWOPER) training;
- Training in innovative and alternative treatment technologies and related subjects;
- Training in underground storage tank leak prevention;
- Training in solid waste management and/or cleanup related skills;
- Additional environmental training such as, but not limited to: mold remediation, energy auditing on remediated sites, weatherization, heavy machinery operations, industrial and/or oil spill cleanup and response, native plant re-vegetation, low-impact development, green-building design, etc.
- 2. <u>Outcomes</u>: The term "outcome" refers to the result, effect, or consequence that will occur from carrying out the activities under the grant. Outcomes may be environmental, behavioral, health-related, or programmatic, must be quantitative, and

may not necessarily be achievable during the project period.

EPA anticipates the outcomes from the projects awarded under this announcement will be an increase in the capacity of governmental entities and nonprofit organizations to:

- Help residents take advantage of jobs created by the assessment, cleanup, and management of solid and hazardous waste sites and facilities;
- Provide training that leads to sustainable employment in the environmental field and green economy;
- Improve community involvement and stimulate the development of constructive partnerships;
- Increasing student and staff safety by improving chemical management in K-12 schools;
- Foster self-sufficiency and enhance the skills and availability of labor for environmental remediation in communities impacted by environmental contamination; and
- Enable residents to participate in the promotion of environmental health and occupational safety, both on the job and in their communities.

(View EPA's Strategic Plan on the internet at: <a href="http://www.epa.gov/ocfo/plan/plan.htm">http://www.epa.gov/ocfo/plan/plan.htm</a> and view EPA's Order 5700.7 at <a href="http://www.epa.gov/ogd/grants/award/5700.7.pdf">http://www.epa.gov/ogd/grants/award/5700.7.pdf</a>)

# I.E Supplementary Information

The statutory authorities for assistance agreements expected to be awarded by EPA under this announcement are:

- 1. Section 104 (k)(6) of CERCLA-State and Tribal Assistance Grants (STAG) appropriations (hazardous substances and petroleum).
- 2. Section 311(b)(3)(9) of CERCLA-Superfund appropriations.
- 3. Section 8001 of the Solid Waste Disposal Act-Environmental Programs and Management (EPM) appropriations.

### SECTION II – AWARD INFORMATION

#### II.A. What is the amount of available funding?

The total estimated funding available under this competitive opportunity is approximately \$4,000,000. Applicants may apply for up to \$300,000 in EPA funds for a two-year project period.

EPA reserves the right to make additional awards under this competition, consistent with Agency policy, if additional funding becomes available. Any additional selections for awards will be made no later than four months from the date of the original selection decision. EPA reserves the right to reject all proposals and make no awards under this announcement or make fewer awards than anticipated.

In appropriate circumstances, EPA reserves the right to partially fund proposals by funding discrete portions or phases of proposed projects. To maintain the integrity of the competition and selection process, EPA, if it decides to partially fund a proposal, will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal, or portion thereof, was evaluated and selected for award.

# II.B. What is the project period for award(s) resulting from this solicitation?

The project period for Environmental Workforce Development and Job Training Grants is two years.

#### II.C. Substantial Involvement

The Environmental Workforce Development and Job Training Grant will be awarded in the form of a cooperative agreement. Cooperative agreements permit the EPA Project Officer to be substantially involved in overseeing the work performed by the selected recipients. Although EPA will negotiate precise terms and conditions relating to substantial involvement as part of the award process, the anticipated substantial federal involvement for this project may include:

- Close monitoring of the recipient's performance to verify the results.
- Collaborating during performance of the scope of work.
- Reviewing substantive terms of proposed contracts.
- Reviewing qualifications of key personnel (EPA will not select employees or contractors employed by the award recipient).
- Reviewing and commenting on reports prepared under the cooperative agreement (the final decision on the content of reports rests with the recipient).
- Review outcomes and outputs to ensure substantial progress has been made in accordance with the cooperative agreement terms and conditions.
- Approval of project phases such as curriculum development prior to the implementation of training.

#### II.D Use of Funds to Make Subawards, Contract Services, or Fund Partnerships

EPA awards funds to one eligible applicant as the "recipient" even if other eligible applicants are named as 'partners,' 'co-applicants,' members of a 'coalition,' or 'consortium.' The recipient is accountable to EPA for the proper expenditure of funds.

Funding may be used to provide subgrants or subawards of financial assistance, which includes using subawards or subgrants to fund partnerships, provided the recipient complies with applicable requirements for subawards or subgrants including those contained in 40 CFR Part 31. Applicants must compete contracts for services and products, including consultant contracts, and conduct cost and price analyses, to the extent required by the procurement provisions of the regulations at 40 CFR Part 31. The regulations also contain limitations on consultant compensation. Applicants are not required to identify subawardees/subgrantees and/or contractors (including consultants) in their proposal.

However, if they do, the fact that an applicant selected for award has named a specific subawardee/subgrantee, contractor, or consultant in the proposal EPA selects for funding does not relieve the applicant of its obligations to comply with subaward/subgrant and/or competitive procurement requirements as appropriate. Please note that applicants may not award sole source contracts to consulting, engineering or other firms assisting applicants with the proposal solely based on the firm's role in preparing the proposal.

Successful applicants cannot use subgrants or subawards to avoid requirements in EPA grant regulations for competitive procurement by using these instruments to acquire commercial services or products from for-profit organizations to carry out its assistance agreement. The nature of the transaction between the recipient and the subawardee or subgrantee must be consistent with the standards for distinguishing between vendor transactions and subrecipient assistance under Subpart B Section 210 of OMB Circular A-133, and the definitions of subaward at subgrant at 40 CFR 31.3. EPA will not be a party to these transactions. Applicants acquiring commercial goods or services must comply with the competitive procurement standards in 40 CFR Part 31.36 and cannot use a subaward/subgrant as the funding mechanism.

#### II.E. Evaluation of Subawardees and Contractors

Section V of this announcement describes the evaluation criteria and evaluation process that will be used by EPA to make selections under this announcement. During this evaluation, except for those criteria that relate to the applicant's own qualifications, past performance, and reporting history, the review panel will consider, as appropriate and relevant, the qualifications, expertise, and experience of:

- An applicant's named subawardees/subgrantees identified in the proposal if the
  applicant demonstrates in the proposal that if it receives an award that the
  subaward/subgrant will be properly awarded consistent with the applicable
  regulations in 40 <u>CFR</u> Parts 30 or 31. For example, applicants must not use
  subawards/subgrants to obtain commercial services or products from for-profit firms
  or individual consultants.
- 2. An applicant's named contractor(s), including consultants, identified in the proposal if the applicant demonstrates in its proposal that the contractor(s) was selected in compliance with the competitive Procurement Standards in 40 CFR Part 30 or 40 CFR 31.36. For example, an applicant must demonstrate that it selected the contractor(s) competitively or that a proper non-competitive sole-source award consistent with the regulations will be made to the contractor(s), that efforts were made to provide small and disadvantaged businesses with opportunities to compete, and that some form of cost or price analysis was conducted. EPA may not accept sole source justifications for contracts for services or products that are otherwise readily available in the commercial marketplace.

EPA will not consider the qualifications, experience, and expertise of named subawardees/subgrantees and/or named contractor(s) during the proposal evaluation process unless the applicant complies with these requirements.

#### SECTION III – APPLICANT ELIGIBILITY

# III.A. Who Can Apply?

The following information indicates what entities are eligible to apply for an Environmental Workforce Development and Job Training Grant:

- General Purpose Unit of Local Government. (as defined under 40 CFR Part 31.)
- Land Clearance Authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government.
- Government Entity created by State Legislature.
- Regional Council or group of General Purpose Units of Local Government.
- Redevelopment Agency that is chartered or otherwise sanctioned by a State.
- State
- Indian Tribe other than in Alaska. (The exclusion of Alaskan tribes from grant eligibility is statutory at CERCLA §104(k)(1). Intertribal Consortia are eligible for funding in accordance with EPA's policy for funding intertribal consortia published in the Federal Register on November 4, 2002, at 67 Fed. Reg. 67181. (This policy also may be obtained from your Regional Environmental Workforce Development and Job Training Coordinator listed in Section VII.)
- Alaskan Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. (Alaskan Native Regional Corporation and Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following.)
- Nonprofit organizations. For purposes of this grant program, the term "nonprofit organization" means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards and organized Labor Unions that meet these criteria may be eligible nonprofit organizations. **Public and nonprofit private educational institutions are eligible to apply.** However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit or proprietary training organizations or trade schools are not eligible to apply.

Applicants that received a Brownfields Job Training Grant from EPA in Fiscal Year 2010 are not eligible to apply in Fiscal Year 2011. Brownfields Job Training Grants awarded in Fiscal Year 2010 are listed on the Brownfields website,

http://www.epa.gov/brownfields/pilot.htm#previous. Applicants who received a Brownfields

Job Training Grant in, or before, Fiscal Year 2009, including American Recovery and Reinvestment Act Brownfields Job Training Grant funds, are eligible to apply for funding under this competition.

# III.B. Threshold Eligibility Criteria

This section contains the threshold eligibility criteria that ensure applicants are eligible to receive Environmental Workforce Development and Job Training Grants. Threshold criteria are evaluated on a pass or fail basis. Only those proposals that specifically address and pass each of the three threshold criteria listed below will be evaluated against the ranking criteria in Section V.B. of this announcement. Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

In addition, proposals must substantially comply with the proposal submission instructions and requirements set forth in Section IV of this announcement or they will be rejected. Pages in excess of the page limitations described in Section IV will not be reviewed.

Proposals must be postmarked, or received through www.grants.gov, by March 18, 2011. Proposals must be delivered using only one method, postmarked hard copy **or** through <a href="http://www.grants.gov">http://www.grants.gov</a>. Proposals postmarked or received after the proposal deadline will be considered late and will not be reviewed unless the applicant can clearly demonstrate that it was late due to EPA mishandling. Applicants must confirm receipt of their proposal with the appropriate Regional Environmental Workforce Development and Job Training Coordinator listed in Section VII as soon as possible after the submission deadline. Failure to do so may result in your proposal not being reviewed. Facsimile delivery of proposals is not permitted and will not be considered.

For purposes of the threshold eligibility review, EPA, if necessary, may seek clarification of applicant information and/or consider information from other sources, including EPA files. Your responses to these items must be included in the narrative proposal and transmittal letter you submit to EPA. See Section IV.C for a complete list of required proposal content.

- 1. <u>Applicant Eligibility</u> Describe how you are an eligible applicant as described in Section III.A., *Who Can Apply?* For entities other than cities, counties, tribes, or states, please attach documentation of your eligibility (non-profit status, resolutions, statutes, etc.).
- 2. <u>Required Training</u> Affirm that the following training will be incorporated into the proposed curriculum and provided to all trainees in the proposed program:
  - OSHA 29 CFR 1910.120 40-hour Hazardous Waste Operations and Emergency Response (HAZWOPER);
  - Underground Storage Tank Leak Prevention awareness training;
  - Solid Waste Management or Cleanup related awareness training (e.g., recycling center operator training, landfill remediation and capping, demolition and debris collection and recycling, etc.); and,

• Innovative and alternative treatment technologies awareness-related training (e.g., solar installation, green remediation techniques, phytoremediation, soil amendments, advanced sampling instrument operator training, stormwater management or bioswale system site preparation, etc.)

Note: Please see the Frequently Asked Questions for this Request for Applications (RFA) regarding additional required training.

3. <u>Funding Amount</u> - Proposals which request more than \$300,000 or projects in excess of two years will be returned to the applicant for revision. Affirm that your project period does not exceed two years and that you are not requesting more than \$300,000 for the entire project period.

# III.C. Cost Share Requirement

There is no cost sharing requirement for Environmental Workforce Development and Job Training Grants. However, see Section V. B. 6. B., *Leveraging*, for a discussion of how EPA will evaluate an applicant's ability to obtain additional resources to complement Agency funding for Environmental Workforce Development and Job Training.

#### SECTION IV – PROPOSAL SUBMISSION INFORMATION

# IV.A. How to Obtain an Application Package

Electronic copies of these guidelines can be obtained from the EPA Brownfields Program website at (<a href="http://www.epa.gov/brownfields/applicat.htm">http://www.epa.gov/brownfields/applicat.htm</a>) or through <a href="http://www.grants.gov">www.grants.gov</a>. Hard copies may be requested by contacting your Regional Environmental Workforce Development and Job Training Coordinator listed in Section VII.

In order to maintain the integrity of the competition process, EPA staff may not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. However, contacts listed in Section VII and EPA Headquarters Brownfields staff will respond to questions regarding threshold eligibility criteria, administrative issues related to the submission of the proposal, and requests for clarification about the RFA.

Applicants may download individual grant application forms or electronically request a paper application package and an accompanying computer CD of information related to applicants/grant recipients roles and responsibilities from EPA's Grants and Debarment website by visiting: <a href="http://www.epa.gov/ogd/grants/how\_to\_apply.htm">http://www.epa.gov/ogd/grants/how\_to\_apply.htm</a>.

#### IV.B. Due Date and Mailing Instructions

**Proposals are due March 18, 2011.** Applicants may submit their proposals through the U.S. Postal Service, commercial delivery service, or through <a href="http://www.grants.gov">http://www.grants.gov</a>. Only

one method should be used for the submission of the original, complete proposal as described in IV.C below.

# 1. Hard Copy Submissions

Proposals sent through the U.S. Postal Service or a commercial delivery service must be postmarked by March 18, 2011. **Two copies of the complete proposal are required for hard copy submission.** 

Mail one original hard copy to:

Environmental Management Support, Inc.

Attn: Mr. Keith Arnold

8601 Georgia Avenue, Suite 500

Silver Spring, MD 20910 Phone 301-589-5318

(Note: Overnight mail must include Mr. Arnold's phone number in the address.)

A **second** complete copy of the proposal must be mailed to the appropriate EPA Regional Environmental Workforce Development and Job Training Coordinator listed in Section VII.

Proposals postmarked by the USPS/commercial delivery service after March 18, 2011, will not be considered.

2. **Using http://www.Grants.gov**, The electronic submission of your application must be made by an official representative of your institution who is registered with Grants.gov. For more information, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on "Get Registered" on the left side of the page. *Note that the registration process may take a week or longer to complete*. If your organization is not currently registered with Grants.gov, please encourage your office to designate an AOR and ask that individual to begin the registration process as soon as possible.

To begin the application process under this grant announcement, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on the "Apply for Grants" tab on the left side of the page. Then click on "Apply Step 1: Download a Grant Application Package" to download the compatible Adobe viewer and obtain the application package. To apply through grants.gov you must use Adobe Reader applications and download the compatible Adobe Reader version (Adobe Reader applications are available to download for free on the Grants.gov website. For more information on Adobe Reader please visit the <a href="https://www.grants.gov/help/help.jsp">Help section</a> on grants.gov at <a href="http://www.grants.gov/help/help.jsp">http://www.grants.gov/help/help.jsp</a> or <a href="http://www.grants.gov/aboutgrants/program\_status.jsp">http://www.grants.gov/aboutgrants/program\_status.jsp</a>).

To begin the proposal process under this grant announcement, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on the "Apply for Grants" tab on the left side of the page. Then click on "Apply Step 1: Download a Grant Application Package" to

download the compatible Adobe viewer and obtain the application package. To apply through grants.gov you must use Adobe Reader applications and download the compatible Adobe Reader version (Adobe Reader applications are available to download for free on the Grants.gov website. For more information on Adobe Reader please visit the Help section on grants.gov at <a href="http://www.grants.gov/help/help.jsp">http://www.grants.gov/help/help.jsp</a> or <a href="http://www.grants.gov/aboutgrants/program">http://www.grants.gov/aboutgrants/program</a> status.jsp).

Once you have downloaded the viewer, you may retrieve the proposal package by entering the Funding Opportunity Number, EPA-OSWER-OBLR-11-01, or the CFDA number that applies to this announcement (66.808, 66.813, 66.815), in the appropriate field. Then complete and submit the proposal package as indicated. You may also be able to access the proposal package by clicking on the "Application" button at the top right of the synopsis page for this announcement on <a href="http://www.grants.gov">http://www.grants.gov</a> (to find the synopsis page, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on the "Find Grant Opportunities" button on the left side of the page and then go to Search Opportunities and use the "Browse by Agency" feature to find EPA opportunities).

**Proposal Submission Deadline.** Your organization's AOR must submit your complete proposal package electronically to EPA through Grants.gov (<a href="http://www.Grants.gov">http://www.Grants.gov</a>) no later than March 18, 2011, 11:59 p.m. ET. **Please submit all of the proposal materials described below.** 

**Proposal Materials.** The following forms and documents are required under this announcement (*Refer to Section IV.C, Content and Form of Proposal Submission*):

- A. Application for Federal Assistance (SF-424)
- B. Narrative Proposal.
- C. Attachments

The proposal package must include all of the following materials:

- A. Application for Federal Assistance, Standard Form (SF-424). Complete the form. There are no attachments. Please be sure to include organization fax number and email address in Block 5 of the Standard Form SF-424. Please note that the organizational Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number must be included on the SF-424. Organizations may obtain a DUNS number at no cost by calling the toll-free DUNS number request line at 1-866-705-5711.
- B. Narrative Proposal (also referenced as "Project Narrative Attachment Form" on <a href="http://www.Grants.gov">http://www.Grants.gov</a>). Prepare your Narrative Proposal in accordance with the instructions detailed in *Section IV.C.2*, *Narrative Proposal* of this announcement (EPA-OSWER-OBLR-11-01). The Narrative Proposal shall not exceed 18 pages and must substantially conform to the outline and content

detailed in Section IV.C of this announcement.

C. Attachments. The budget narrative, milestones, letters of support, and documentation of non-profit status if applicable as described in *Section IV.C.3* of this announcement shall be included as attachments to the narrative proposal. If indirect costs are requested, a copy of the Negotiated Indirect Cost Rate Agreement must be submitted as part of the application package. These documents will not count as part of the 18 page limit and do not have an individual page limitation.

# **Proposal Preparation and Submission Instructions.**

**Document A,** listed under Proposal Materials above, should appear in the "Mandatory Documents" box on the <a href="http://www.grants.gov">http://www.grants.gov</a> "Grant Application Package" page.

For Document A, click on the appropriate form and then click "Open Form" below the box. The fields that must be completed will be highlighted in yellow. Optional fields and completed fields will be displayed in white. If you enter an invalid response or incomplete information in a field, you will receive an error message. When you have finished filling out each form, click "Save." When you return to the electronic "Grant Application Package" page, click on the form you just completed, and then click on the box that says, "Move Form to Submission List." This action will move the document over to the box that says, "Mandatory Completed Documents for Submission."

For Document B, you will need to attach electronic files. Prepare your "Narrative Proposal" in accordance with the instructions detailed in *Section IV.C, Content and Form of Proposal Submission* of this announcement (EPA-OSWER-OBLR-11-01). Save the document to your computer as an MS Word, PDF or WordPerfect file. When you are ready to attach your "Narrative Proposal" to the application package, click on "Project Narrative Attachment Form," and open the form. Click "Add Mandatory Project Narrative File," and attach your "Narrative Proposal" (previously saved to your computer) using the browse window that appears. You may then click "View Mandatory Project Narrative File" to view it. Enter a brief descriptive title of your project in the space beside "Mandatory Project Narrative File Filename;" the filename should be no more than 40 characters long.

For Document C, the attachments to the Narrative Proposal identified in Section IV.C.3 of this announcement, you may click "Add Optional Project Narrative File" and proceed as before to attach the attachments. When you have finished attaching the necessary documents, click "Close Form." When you return to the "Grant Application Package" page, select the "Project Narrative Attachment Form" and click "Move Form to Submission List." The form should now appear in the box that says, "Mandatory Completed Documents for Submission."

Once you have finished filling out all of the forms/attachments and they appear in one of the "Completed Documents for Submission" boxes, click the "Save" button that appears at the top of the Web page. It is suggested that you save the document a second time, using a different name, since this will make it easier to submit an amended package later if necessary. Please use the following format when saving your file: "Applicant Name – FY11 - Assoc Prog Supp - 1st Submission" or "Applicant Name - FY 11 Assoc Prog Supp Back-up Submission." If it becomes necessary to submit an amended package at a later date, then the name of the 2nd submission should be changed to "Applicant Name – FY11 Assoc Prog Supp - 2nd Submission."

Once your proposal package has been completed and saved, send it to your AOR for submission to U.S. EPA through Grants.gov. Please advise your AOR to close all other software programs before attempting to submit the application package through <a href="http://www.grants.gov">http://www.grants.gov</a>.

In the "Application Filing Name" box, your AOR should enter your organization's name (abbreviate where possible), the fiscal year (e.g., FY11), and the grant category (e.g., Assoc Prog Supp). The filing name should not exceed 40 characters. From the "Grant Application Package" page, your AOR may submit the application package by clicking the "Submit" button that appears at the top of the page. The AOR will then be asked to verify the agency and funding opportunity number for which the application package is being submitted. If problems are encountered during the submission process, the AOR should reboot his/her computer before trying to submit the application package again. [It may be necessary to turn off the computer (not just restart it) before attempting to submit the package again.] If the AOR continues to experience submission problems, he/she may contact <a href="http://www.grants.gov">http://www.grants.gov</a> for assistance by phone at 1-800-518-4726, or contact Mr. Joe Bruss at (202) 566-2772 or <a href="mailto:bruss.joseph@epa.gov">bruss.joseph@epa.gov</a>.

Proposal materials submitted through <a href="http://www.grants.gov">http://www.grants.gov</a> will be time/date stamped electronically. If you have not received a confirmation of receipt from EPA (not from grants.gov) within 30 days of the proposal deadline, please contact Mr. Joe Bruss at (202) 566-2772 or <a href="https://bruss.joseph@epa.gov">bruss.joseph@epa.gov</a>. Failure to do so may result in your proposal not being reviewed.

#### IV.C. Content and Form of Proposal Submission

Proposals must substantially conform to the outline below or they will be rejected. Pages exceeding stated page limits will not be reviewed. Upon receipt, proposals will be reviewed for content and threshold eligibility and copied for distribution to evaluators. Do not include binders, spiral binding, or color printing. All application materials, including support letters, must be submitted in English. Photos and graphics will not be considered. The narrative proposal and transmittal letter must be typed, on letter-sized paper, with a font size no smaller than 12 point. Attachments are limited to those identified in IV.C.3 and are limited to one scanned image per page. **SF-424 forms are not required at the time of proposal** 

**submission**. If selected for an award, the applicant will then be required to submit the appropriate SF-424 forms for processing. Applicants are responsible for submitting a complete proposal, as described below, by the due date.

All proposals must substantially conform to the following outline and content:

- Transmittal Letter (2-page limit) See IV.C.1 below
- The narrative proposal, which includes the responses to applicable **threshold** and all **ranking** criteria (18-page limit) See IV.C.2 below
- Attachments (20-page limit) See IV.C.3 below
  - References list
  - Training program outline
  - Milestones description
  - General letters of support from partners, including employers, as identified in your proposal

Note: Documentation of applicant eligibility should be included with the required attachments but does NOT count towards the page limitation.

#### **IV.C.1.** Transmittal Letter

The transmittal letter must identify the applicant and a contact for communication with EPA. The transmittal letter, including the applicant identification information, must not exceed two pages single-spaced. Any pages submitted over the page limit will not be considered. The transmittal letter must be written on your organization's official letterhead, and signed by an official with the authority to commit your organization to the proposed project. Each transmittal letter must include:

- 1. Applicant Identification: Provide the name and full address of the entity applying for funds. This is the agency or organization that will be receiving the grant and will be accountable to EPA for proper expenditure of funds.
- 2. Funding Requested:
  - a. Grant type: Indicate "Environmental Workforce Development and Job Training Grant"
  - b. Federal Funds Requested: \$\_\_\_\_\_ (must not exceed \$300,000)
- 3. Location: City, county, and state or reservation, tribally-owned lands, tribal fee lands, etc., of the environmentally-impacted community(ies) that you propose to serve.
- 4. Contacts:
  - a. Project Director: Provide the name, phone/fax numbers, email address, and mailing address of the project director assigned to the proposed project. This person may be contacted if other information is needed.
  - b. Chief Executive/Highest Ranking Official: Provide the name, phone/fax numbers, email address, and mailing address of the applicant's Chief Executive (e.g., mayor of a city, executive director of

a nonprofit, etc.). This person may be contacted if further information is needed.

- 5. Date Submitted: Date proposal is submitted to EPA via U.S. Postal Service, commercial delivery service, or via www.grants.gov.
- 6. Project Period: Project period must not exceed two years.
- 7. Population: Provide the general population of your jurisdiction. If you are not a municipal form of government, provide the population of the area addressed by your application. Tribes must provide the number of tribal/non-tribal members affected. Your jurisdiction's population can be found at: <a href="http://www.census.gov">http://www.census.gov</a>.

#### IV.C.2. Narrative Proposal

The narrative proposal includes responses to all three threshold criteria (see Section III.B.) as well as responses to all six ranking criteria (see Section V.B.). The narrative proposal must not exceed 18 pages single-spaced. Any pages over the page limit will not be evaluated. The narrative proposal must be clear, concise, and specifically address all of the applicable threshold and ranking criteria. Responses to the criteria must include the criteria number and title but need not restate the entire text of the criteria. Proposals must provide sufficient detail to allow for an evaluation of the merits of the proposal. Factual information about your proposed project and community must be provided. Do not include discussions of broad principles that are not specific to the proposed work or project covered by your proposal. Do not provide photos or extraneous materials.

The project narrative should provide the information below on how the applicant will implement and conduct its project and discuss how the proposal addresses each of the evaluation criteria in Section V of this announcement.

#### IV.C.3. Attachments

The following documents should be included as attachments to the "Narrative Proposal." The attachments (items 2-5) to the narrative proposal must not exceed a total of 20 pages single-spaced in length and **are not** included in the 18-page limit for the narrative proposal. Only one scanned image per page will be reviewed.

- 1. **Documentation of Applicant Eligibility:** For entities other than cities, counties, tribes, or states, please attach documentation of your eligibility (non-profit status, resolutions, statutes, etc.). *Note: This documentation will not count against page limitations.*
- 2. **References List:** (These organizations may be contacted by EPA during the evaluation of your application.) Attach a brief **list** with contact information, **NOT letters**, from the following entities:

- a. **Two employers who have hired participants from your job training program (if applicable)**. Provide the names of the participants who were placed with the employers you listed above, the names of the employers, and phone numbers of the person to contact in these organizations. If your organization already has experience working with environmental employers, provide the names of the employers, phone numbers, and persons to contact at these organizations.
- b. At least two neighborhood and/or community-based organizations you are currently working with in developing your proposed job training program. Provide the names and phone numbers of persons to contact at these organizations.
- c. Two organizations from the employer community who have been involved in the development of the proposed job training program. These employers may include local businesses, environmental contractors, and/or site owners. Involvement may include curriculum development, advisory councils, apprenticeships, and mentoring. Provide the names of these organizations, and contact person(s) with phone numbers. Please note whether any of the employers listed above have expressed a commitment and/or intent to hire graduates of your proposed job training program.
- 3. **Training Program Outline:** This should include curriculum topics, number of hours, duration of the course(s), proposed training cycle start and end dates, schedules, and certifications that participants will be eligible to attain. Please note that your curriculum must provide the training listed in Section III.B.3 to each student. Also include, in table format as displayed in the example below, the major training tracks (you may have one or multiple tracks, such as environmental technician and HAZMAT transportation technician training) and how many students will be recruited, enrolled, and anticipated to complete each track.

Course Titles	Planned	Planned	Anticipated
	Recruitment	Enrollment	Graduates
Environmental Technician	30	25	20
HAZMAT Transportation	20	15	12
Technician			

- 4. **Milestones Schedule:** This should indicate start times and completion dates of significant tasks under your program (e.g., outreach, procurement of a contractor, recruitment, frequency of classes to be offered and length, instruction, placement, and tracking).
- 5. **General Support Letters**: See Sections V.B.4 and V.B.5 of this solicitation, if applicable. Note: Letters of support will only impact scoring of the subfactors listed in Section V.B.5, which directly request and reference support letters. Support letters will not impact scoring for other unassociated ranking criteria. Support letters must

be received with your proposal; letters received separately after the due date for proposal submission will not be considered.

#### IV.D. Management Fees

When formulating budgets for proposals, applicants must not include management fees or similar charges in excess of the direct costs or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees" or similar charges refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

#### **IV.E.** Confidential Information

Proposals received by EPA may be subject to the Freedom of Information Act; therefore, in accordance with 40 CFR 2.203, applicants may claim all or a portion of their proposal package as confidential business information. EPA will evaluate confidentiality claims in accordance with 40 CFR Part 2. Applicants must clearly mark proposals or portions thereof that they claim as confidential. If no claim of confidentiality is made, EPA is not required to make the inquiry to the applicant otherwise required by 40 CFR 2.204(c)(2) prior to disclosure. However, competitive proposals are considered confidential and protected from disclosure prior to the completion of the competitive selection process.

# IV.F. Pre-proposal/Application Assistance and Communications

In accordance with EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1), EPA staff will not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. Applicants are responsible for the contents of their proposals.

EPA will respond to questions in writing from individual applicants regarding threshold eligibility criteria, administrative issues related to the submission of the proposal, and requests for clarification about the announcement. Questions received from applicants and EPA's responses will also be posted on-line at <a href="http://www.epa.gov/oswer/grants-funding.htm">http://www.epa.gov/oswer/grants-funding.htm</a>.

# SECTION V – PROPOSAL REVIEW INFORMATION

#### V.A. Review and Selection Process

Proposals initially will be reviewed by the appropriate EPA Regional Office to determine compliance with the applicable threshold criteria for Environmental Workforce Development and Job Training Grants (Section III.B). All proposals that pass the threshold criteria review will then be evaluated by national evaluation panels chosen for their expertise in the range of activities associated with the varying programs in the Office of Solid Waste and Emergency

Response. The national evaluation panels will be composed of EPA staff and may include other federal agency representatives. Regional Offices will not participate on national evaluation panels that consider applicants originating within their Region, with the exception of the "Programmatic Capability" criterion. National evaluation panels will base their evaluations solely on the responses to the applicable ranking criteria and will assign a total point score to each proposal.

EPA Regions will provide a score and information to the evaluation panels on an applicant's response to the "Programmatic Capability" ranking criterion. This information may take into account the Regional Office's experience, if any, with the applicant's performance on grants managed by the Region. When evaluating applicants under the programmatic capability criterion, EPA will consider information supplied by the applicant and may consider information from other sources, including agency files and prior EPA grantees (e.g., to verify and/or supplement the information provided by the applicant).

Completed evaluations will then be referred to the Selection Official, who is responsible for further consideration of the proposals and final selection of grant recipients. Proposals will be selected for award by this official based on their evaluated point scores, the availability of funds, and consideration of other factors, if any, described in Section V.C.

# V.B. Ranking Criteria for Environmental Workforce Development and Job Training Grants

Respond to all six ranking criteria below. If your proposal passes the threshold criteria review (see Section III.B.), your responses to the ranking criteria below will be evaluated and scored by national evaluation panels. Each proposal will be rated under a points system, with a total of 100 points possible.

# 1. <u>Community Need</u> (a maximum of 20 points may be awarded for this criterion)

- A. Provide a detailed description of your community. To what extent are the demographic indicators, such as health disparities, the poverty rate, low-income and minority populations, sensitive populations, foreclosure rate, recent economic disruption data, and the unemployment rate that are present in your community indicative of need? To what extent do these indicators relate to current challenges and environmental justice concerns, such as the disproportionate siting of polluting facilities or proliferation of brownfield sites in your community, including environmental, social, public health, and economic issues? To what extent will you recruit and train specific populations indicative of need (e.g., ex-offenders, veterans, etc.)? (10 points)
- B. To what extent do the labor market assessments and employer surveying you have conducted in your community indicate a demand for skilled environmental professionals with the certifications you are proposing to incorporate into your curriculum? To what extent will the certifications graduates earn ensure employment and apply to the hiring needs of employers in your community? (10 points)

- **2.** <u>Training Program Description, Anticipated Outcomes and Outputs</u> (a maximum of 27 points may be awarded for this criterion)
  - A. To what extent is the structure of your proposed training program inter-disciplinary, realistic, innovative, and comprehensive? To what extent is this reflected in the attached training program outline, including the following: the number of training cycles, core training and required training versus supplemental training and awareness training, the number of hours per cycle, the number and type of certifications to be earned, the names of courses, whether the course will result in a state or federally recognized certification versus a certificate, and the schedule of classes? To what extent will your organization ensure health and safety requirements are met and a plan to measure this? (8 points)
  - B. To what extent is the number of participants you expect to enroll, the number of students anticipated to complete the proposed training program, and the targeted placement rate of graduates in environmental employment, realistic, clear, and ambitious? To what extent is your plan for evaluating your progress towards achieving the expected short term and long term project outputs and outcomes, including, but not limited, to those identified in Section 1.D. clearly identified and detailed? To what extent is your proposed timeline for achieving deliverables of the project, as reflected in the attached milestones schedule, clearly identified, detailed, and realistic? (5 points)
  - C. To what extent is the process you plan to utilize to recruit students and market your Environmental Workforce Development and Job Training Program detailed, innovative, and clear? To what extent are your screening, retention, and attrition strategies and processes for trainees detailed and realistic? To what extent will fees, if any (e.g., licensing, certification, and medical examination fees), burden participants of your program? Please note that any fees you collect will be considered "program income" under 40 CFR Part 30 and 31. To what extent are the training facilities accessible (e.g., proximity to public transportation, parking, etc.)? (4 points)
  - D. To what extent will your organization provide job search support and resources for participants of your job training program? To what extent will your organization assist with initial job placement and continuous employment for participants? To what extent will your organization track graduates and for how long (must be a minimum of one year)? To what extent will you utilize federal and local hiring incentives (e.g., first-source or local hiring ordinances, tax incentives, wage subsidies, etc.) that can increase the likelihood of employment for program graduates in your community and how will you market these incentives to employers? (10 points)
- 3. <u>Programmatic Capability</u> (a maximum of 15 points may be awarded for this criterion)

If you have ever received an EPA brownfields grant, please respond to item **A** below. If you have not received an EPA brownfields grant, but have received other federal or non-federal assistance agreements (an assistance agreement is a grant or cooperative agreement and not a contract) please respond to item **B** below. If you have never received any type of federal or

non-federal assistance agreements please indicate this in your proposal and you will receive a neutral score (10 points) for this criterion. (Failure to indicate anything in response may result in zero points for this criterion.) In evaluating an applicant's response to this criterion, EPA may consider relevant information from EPA files and/or from other federal and/or non-federal grantors to verify or supplement information provided by the applicant.

- A) Currently or Has Ever Received an EPA Brownfields Grant
  - i) Identify the EPA Brownfields grant(s) you currently have or have received in the past. If you worked with the EPA on delivering a Superfund Job Training Initiative (JTI) project, please also note this. Please provide information on no more than five of your most recent grants. Demonstrate how you successfully managed the grant(s), and successfully performed all phases of work under the previous or existing grant(s) by providing information on the following: (6 points total)
    - Funds Expenditure: the balance of grant funds not drawn down (funds remaining). If you received ARRA funding, please indicate your need for additional funding based on remaining funds. (1 point)
    - Compliance with grant requirements:
      - a. Information regarding your compliance with the work plan, schedule and terms and conditions. Are you making sufficient progress towards achieving the expected results of the grant?
         (1 point)
      - b. Information regarding your timely quarterly reporting (1 point)
      - c. Information regarding your timely Financial Status reporting (1 point)
      - d. Information regarding your timely reporting in the Assessment,
         Cleanup and Redevelopment Exchange System (ACRES)
         (1 point)
    - Audit findings: Describe any adverse audit findings. If you have had problems with the management of your brownfields grant(s) or any other grant(s) (e.g., compliance reporting, expenditure of funds, etc.), please describe how you corrected, or are correcting, the problems. (1 point)
  - ii) Accomplishments: Describe your success in implementing the goals of your EPA grant funds. Describe specific outputs of each EPA Brownfields grant received. (5 points total)
    - If you were a prior Brownfields Job Training grantee, please provide information regarding:
      - a. the number of individuals you committed <u>in your proposal</u> to train and place versus what was provided in your negotiated workplan (1 point)
      - b. the number that were actually trained (1 point)
      - c. the placement rate (1 point)
      - d. whether the original anticipated training and placement goals were met, and, if not, the steps that were taken to improve the program (1 point)

- e. whether the data is accurately reflected in ACRES at the time of this proposal submission, and if not, why? (1 point)
- iii) Describe the management system you will have in place to direct activities under the grant. Include a brief description of your project manager and staff and a discussion of the expertise, qualifications, and experience. Discuss the means you will use to retain project leadership or recruit qualified staff should employee turnover occur. Describe the system(s) you have in place to acquire additional expertise and resources required to perform the proposed project. If you intend to contract for the necessary expertise, describe the system you have in place to acquire that expertise. Please refer to II.E. (4 points total)
- B) Has Not Received an EPA Brownfields Grant but has received other federal or non-federal assistance agreements
  - i) Identify current and/or prior federally and non-federally funded assistance agreements. Please provide information on no more than five of your most recent assistance agreements. Describe your history of successfully managing these agreements and performing the agreements including meeting and complying with reporting requirements, submitting final acceptable technical reports, and reporting on whether you were making progress towards achieving the results under those agreements and if not whether you explained why not. (5 points)
  - ii) Describe the management system you have in place to direct activities under this grant. Include a description of your project manager and staff and a discussion of the expertise, qualifications, and experience. Discuss the means you will use to retain project leadership or recruit qualified staff should employee turnover occur. Describe the system(s) you have in place to acquire additional expertise and resources required to perform the proposed project. If you intend to contract for the necessary expertise, describe the system you have in place to acquire that expertise. Please refer to Section II.E. (5 points)
  - iii) Describe any adverse audit findings. If you have had problems with the administration of any grants (e.g., compliance reporting, expenditure of funds, etc.), please describe how you have corrected, or are correcting, the problems. (5 points)
- **4.** <u>Institutional Capacity</u> (a maximum of 8 points may be awarded for this criterion)
  - A. To what extent is your organization's experience in delivering an effective employment and training program that illustrates experience in working with minority, unemployed, and/or under-employed individuals in the community you propose to serve detailed? To what extent is your organization experienced in environmental training as it relates to your proposed curriculum? To what extent is your involvement with those

- individuals/organizations, as reflected in the references list you attached to this proposal, detailed and meaningful? (4 points)
- B. To what extent is your training provider's experience and success in delivering a job training program in the target community detailed? To what extent will the criteria you used to select this provider, including proper certifications, detailed? Please provide a letter of commitment from this provider. If you do not have an instructor on staff and are considering subcontracting or sub-granting to obtain these services, to what extent is the criteria you will use to select these services detailed? Please refer to Section II.D if you intend to use EPA funding for subgrants to educational institutions or to contract with environmental training consultants or other commercial vendors with EPA funds. Note particular the requirements for competition for contracts from commercial sources. (4 points)
- **5.** <u>Community and Employer Partnerships</u> (a maximum of 22 points may be awarded for this criterion)
  - A. To what extent have specific efforts been made to collaborate with brownfield assessment, revolving loan fund, and cleanup grantees, and/or Superfund, Federal Facility, leaking underground storage tank, or EPA-funded state or tribal regulated corrective action or landfill closure contractors in your community? To what extent will these efforts enhance and foster future employment for job training graduates in the community? If applicable, please provide letters of support for any commitments these organizations have made to assist with your training program (e.g., providing on-the-job training or to hire graduates). (4 points)
  - B. To what extent is your plan for involving the affected community (e.g., local community groups, Workforce Investment Boards, and academic institutions located in or near the affected community) in the proposed job training program detailed, meaningful, and enduring? To what extent did you take any steps to notify, involve, or hold any public comment sessions in the target community before applying or during the development of your proposal? To what extent have partners committed to providing non-environmental training such as GED attainment, life skills training, transportation, placement assistance, personal protective equipment (PPE), etc.? Please attach letters of support indicating commitments these organizations have made. To what extent will your program and partners' expertise ensure trainees are job ready and have the pre-employment skills needed to secure full-time work? (8 points)
  - C. To what extent has the employer community (e.g., local businesses, environmental contractors, labor unions, site owners) been involved in the development of the proposed job training program (e.g., curriculum development, advisory councils, apprenticeships, and mentoring)? To what extent have you detailed specific efforts related to employer involvement, such as meeting dates, etc., that occurred during the preparation of this proposal? To what extent have employers committed to hiring graduates of your proposed program? Please provide letters of support from prospective employers that affirm their involvement/commitment. (10 points)

- **6.** <u>Budget/Resources</u> (a maximum of 8 points may be awarded for this criterion)
- **A. Budget.** Use the table format below to identify specific tasks for which EPA funding will be used. Show the costs (by budget category) associated with each task. (Note: the tasks provided in the table below are examples, not necessarily required). In addition to the budget table, **describe in narrative format each task in detail**, including the basis for the estimated cost as well as the projected outputs where possible (e.g., student safety equipment for 100 students at a cost of \$500 each for a total of \$5,000). (Refer to Section I.D. for a definition and examples of "outputs.") (5 pts.)

The budget will be evaluated based on the extent that it is clearly stated, detailed, and appropriate to achieve the project's objectives; whether it demonstrates the ability to effectively sustain the proposed project; whether it describes the applicant's plan for managing the budget; and how the applicant will manage the project within cost parameters.

Do not include tasks for activities or costs that are ineligible uses of funds under EPA's brownfields grants as described in Appendix 1. Note particularly the prohibition on using grant funds for indirect costs. Please refer to the FAQs at: <a href="http://www.epa.gov/brownfields">http://www.epa.gov/brownfields</a> for additional examples of ineligible uses of funds. For questions not covered by the FAQs, contact your Regional Job Training Coordinator listed in Section VII.

Project Funding	Outreach	Instruction	Program Mgmt	Placement/ Tracking	Total
EPA Project Funding	EPA Project Funding				
Personnel					
Fringe benefits					
Travel					
Contractual					
Supplies					
Other (Please be specific)					
Total EPA Funds					
Non-EPA Project Funding (Optional)					
Total Non-EPA Funds					

B. Leveraging. To what extent are in-kind and/or partner commitments to providing services/resources to the proposed job training program (e.g., staff time, life skills training, pre-employment training, supplies, transportation and bus tokens, GED preparation, child care, academic enhancement, placement assistance, counseling, etc.) clearly indicated, and have these commitments have already been committed; if not, what is the likelihood that these commitments will materialize during the project? To what extent will your organization be able to manage this grant in light of the administrative cost prohibition? (Note: cost-shares are not required for this grant). (3 points)

#### V.C. Other Factors

In making final selection recommendations, EPA's Selection Official may consider the following factors (as appropriate):

- Fair distribution of funds between urban and non-urban areas;
- Fair geographic distribution of funds between EPA's ten Regions;
- Fair distribution of funds between new applicants and previous job training grant recipients;
- Whether the applicant is a federally recognized Indian Tribe or United States Territory;
- Applicants affected by natural disasters;
- Designation as an Empowerment Zone or Renewal Community;
- Environmental Justice a community that bears a disproportionate impact of environmental contamination;
- Communities severely impacted by auto sector and other factory closures; and
- Proposals which seek to serve veterans.

# V.D. Proposal Checklist

Before you submit your proposal for a job training grant, please ensure the following documents are included in your package submitted to EPA and EPA's contractor.

	Transmittal Letter (2-page limit) (see Section IV.C.1)			
	The Narrative Proposal, which includes the responses to all 3 threshold and all 6 ranking criteria (18-page limit) (see Section IV.C.2)			
At	Attachments including: (20-page limit)			
	References List (see Section IV.C.3.2)			
	Training Program Outline (see Section IV.C.3.3)			
	Milestones Description (see Section IV.C.3.4)			
	General letters of support from partners identified in your proposal (see Section V.B.4 and V.B.5)			
	Documentation of applicant eligibility if other than city, county, state, or tribe (see Section IV.C.3.1) <i>Note: This documentation will not count against page limitations.</i>			

#### SECTION VI – AWARD ADMINISTRATION INFORMATION

#### VI.A. Award Notices

EPA Regions will notify applicants who fail threshold eligibility requirements within 15 calendar days of the Agency's determination of ineligibility. EPA will notify applicants who have not been selected for award based on the ranking criteria and other factors within 15 calendar days of EPA's final decision on selections for this competition.

EPA anticipates notification to both successful and unsuccessful applicants will be made via telephone, electronic, or postal mail. The notification will be sent to the original signer of the

proposal, your organization's chief executive, or the project contact listed in the proposal. This notification, which informs the applicant that its proposal has been selected and is being recommended for award, is not an authorization to begin work. The successful applicant must prepare a work plan and submit application forms, which must be approved by EPA, before the cooperative agreement can officially be awarded. The award notice, signed by an EPA Grants Officer, is the authorizing document and will be provided through postal mail. The time between notification of selection and award of cooperative agreement can take up to 90 days or longer.

#### VI.B. Administrative and National Policy Requirements

- 1. Funding will be awarded as a cooperative agreement. The applicants whose proposals are selected will be asked to submit a cooperative agreement application package to their EPA Regional office. This package will include the application (Standard Form 424), a proposed work plan, a proposed budget, and other required forms. An EPA Project Officer will work with you to finalize the budget and work plan.
- 2. Approved cooperative agreements will include terms and conditions that will be binding on the grant recipient. Terms and conditions specify what grantees must do to ensure that grant-related and program related requirements are met. Applicants also will be required to submit progress reports in accordance with grant regulations found in 40 CFR 30.51 or 40 CFR 31.40. A listing and description of general EPA regulations applicable to the award of assistance agreements may be viewed at <a href="http://www.epa.gov/ogd/AppKit/applicable\_epa\_regulations\_and\_description.htm">http://www.epa.gov/ogd/AppKit/applicable\_epa\_regulations\_and\_description.htm</a>.
- 3. All applicants are required to provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number as part of their cooperative agreement application package with the SF-424 form. Applicants can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS number request line at 1-866-705-5711 or visiting the D&B website at <a href="http://www.dnb.com.">http://www.dnb.com.</a>
- 4. An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards completing the project activities described in the work-plan in a timely manner. The assistance agreement will include terms/conditions implementing this requirement.

#### **VI.C.** Reporting Requirements

During the life of the cooperative agreement, recipients are required to submit progress reports to the EPA Project Officer within thirty days after each reporting period. The reporting period (e.g., quarterly, annually) is set forth in the terms and conditions of the cooperative agreement. These reports shall cover work status, work progress, difficulties encountered, an accounting of financial expenditures, preliminary data results, anticipated activities, and any changes of key personnel involved with the project.

Grant recipients will be required to register and enter output data electronically into the

EPA's on-line database called ACRES.

At the end of the cooperative agreement, a final project report also is required. The final report will summarize accomplishments, expenditures, outcomes, outputs, lessons learned, any other resources leveraged during the project and how they were used.

# **Sub-awards and Executive Compensation Reporting**

Applicants must ensure that they have the necessary processes and systems in place to comply with the sub-award and executive total compensation reporting requirements established under OMB guidance at <u>2 CFR Part 170</u>, unless they qualify for an exception from the requirements, should they be selected for funding.

# Central Contractor Registration (CCR) and Data Universal Numbering System (DUNS) Requirements

Unless exempt from these requirements under OMB guidance at 2 CFR Part 25 (e.g., individuals), applicants must:

- 1. Be registered in the CCR prior to submitting an application or proposal under this announcement. CCR information can be found at https://www.bpn.gov/ccr/
- 2. Maintain an active CCR registration with current information at all times during which it has an active Federal award or an application or proposal under consideration by an agency, and
- 3. Provide its DUNS number in each application or proposal it submits to the agency. Applicants can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS Number request line at 1-866-705-5711, or visiting the D&B website at: http://www.dnb.com.

If an applicant fails to comply with these requirements, it will, should it be selected for award, affect their ability to receive an award.

# VI.D. Disputes

Disputes related to this competition will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005), which can be found at <a href="http://www.epa.gov/ogd/competition/resolution.htm">http://www.epa.gov/ogd/competition/resolution.htm</a>. Copies of these procedures also may be requested by contacting the Agency contact identified in Section VII of this announcement.

# VI.E. Pre-Award Administrative Capability Review for Nonprofits

Nonprofit applicants that are recommended for funding will be subject to pre-award administrative capability reviews consistent with Sections 8.b, 8.c, and 9.d of <u>EPA Order 5700.8</u>, EPA Policy on Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards. In addition, nonprofit applicants that qualify for funding may, depending on the size of the award, be required to fill out and submit to the Grants Management Office

the Administrative Capabilities Form with supporting documents contained in Appendix A of EPA Order 5700.8.

#### VI.F. Programmatic Requirements

- 1. Collection of Post Grant Information
- Under the Government Performance and Results Act, EPA reports on the many benefits of funding, including benefits realized as a result of this funding opportunity. One such measure provides information on additional resources leveraged as a result of the use of brownfields grant funds. These leveraged, non-EPA funds may include additional funding from state, tribal, and local governments, or private organizations. As many of these activities occur beyond the grant period, please note that EPA may contact you well after the grant period of performance to collect this information.
- 2. Grant recipients will be required to have in place a system of tracking graduates of their program for a minimum of one year following the close of the grant. Any placements that take place following the close of the grant and final expenditure of grant funds must be reported to the EPA Project Officer and recorded in the Job Training Reporting Form and ACRES, EPA's on-line reporting database, which must be submitted on a quarterly basis, at the least, with the "quarterly report" described above.

# **SECTION VII - AGENCY CONTACTS**

# **EPA Environmental Workforce Development and Job Training Coordinators**

Regions and States		Address and Phone Number	
EPA Region 1 CT, ME,		5 Post Office Square	
Kathleen Castagna	MA, NH,		
	RI, VT	Boston, MA 02109-3912	
		Phone (617) 918-1429	
		e-mail: castagna.kathleen@epa.gov	
EPA Region 2	NJ, NY,	290 Broadway, 18th Floor	
Schenine Mitchell	PR, VI	New York, NY 10007	
		Phone (212) 637-3283	
		e-mail: mitchell.schenine@epa.gov	
EPA Region 3	DE, DC,	1650 Arch Street (3HS34)	
Jeff Barnett	MD, PA,	Philadelphia, PA 19103-2029	
	VA, WV	Phone (215) 814-3246	
		e-mail: barnett.jeff@epa.gov	
EPA Region 4	AL, FL,	61 Forsyth Street (SNFC, EPA Mail Room)	
Kathleen Curry	GA, KY,	Atlanta, GA 30303	
	MS, NC,	Phone (404) 562-8660	
	SC, TN	e-mail: curry.kathleen@epa.gov	
EPA Region 5	IL, IN,	77 West Jackson Boulevard (SE-4J)	
Linda Morgan	MI, MN,	Chicago, IL 60604-3507	
	OH, WI	Phone (312) 886-4747	
		e-mail: morgan.linda@epa.gov	
EPA Region 6	AR, LA,	1445 Ross Avenue, Suite 1200 (6SF-VB)	
Amber Perry	NM, OK,		
Sam Reynolds	TX	Phone (214) 665-3172, (214) 665-6682	
		e-mail: perry.amber@epa.gov; reynolds.samuel@epa.gov	
EPA Region 7	IA, KS,	901 N. 5th Street (SUPR/STAR)	
Ina Square	MO, NE	Kansas City, KS 66101	
		Phone (913) 551-7357	
		e-mail: square.ina@epa.gov	
EPA Region 8	CO, MT,	1595 Wynkoop Street (EPR-B)	
Christina Wilson	ND, SD,	Denver, CO 80202-1129	
	UT, WY	Phone (303) 312-6706	
		e-mail: wilson.christina@epa.gov	
EPA Region 9	AZ, CA,	75 Hawthorne Street (SFD 1-1)	
Wallace Woo	HI, NV,	San Francisco, CA 94105	
	AS, GU	Phone (415) 972-3270	
		e-mail: woo.wallace@epa.gov	
EPA Region 10	AK, ID,		
Susan Morales	OR, WA	Seattle, WA 98101	
		Phone (206) 553-7299	
		e-mail: morales.susan@epa.gov	

#### SECTION VIII – OTHER INFORMATION

# VIII.A. National Environmental Information Exchange Network

EPA, states, territories, and tribes are working together to develop the National Environmental Information Exchange Network, a secure, Internet- and standards-based way to support electronic data reporting, sharing, and integration of both regulatory and non-regulatory environmental data. States, tribes and territories exchanging data with each other or with EPA, should make the Exchange Network and the Agency's connection to it, the Central Data Exchange (CDX), the standard way they exchange data and should phase out any legacy methods they have been using. More information on the Exchange Network is available at <a href="https://www.exchangenetwork.net">www.exchangenetwork.net</a>. EXIT Disclaimer

#### **Prohibitions on Use of Funds**

Funds awarded under this competitive opportunity are intended for Environmental Workforce Development and Job Training Grant activities and may not be used for:

- 1. A penalty or fine.
- 2. Federal cost-share requirement (for example, a cost share required by other Federal funds).
- 3. A response cost at a brownfield site for which the recipient of the grant or loan is potentially liable under CERCLA Section 107.
- 4. A cost of compliance with any Federal law, excluding the cost of compliance with laws applicable to the cleanup.
- 5. The payment of an administrative cost. In implementing the administrative cost prohibition, EPA has made a distinction between prohibited administrative costs and eligible programmatic costs.
- A. Administrative Costs. Prohibited administrative costs are direct costs including those in the form of salaries, benefits, contractual costs, supplies, and data processing charges incurred to comply with most provisions of the "Uniform Administrative Requirements for Grants" contained in 40 CFR Part 30 or 40 CFR Part 31. Direct costs for grant administration are ineligible even if the grantee or subgrantee is required to carry out the activity under the grant agreement. Prohibited administrative costs are also all indirect costs under OMB Circulars A-21 (Educational Institutions), A-87 (Governments), and A-122 (Nonprofit Organizations), and Subpart 31.2 (Commercial Organizations) of the Federal Acquisition Regulation.

Ineligible grant administration costs include expenses for:

- 1. Preparation of applications for Brownfields grants and sub-grants;
- 2. Record retention required under 40 CFR 30.53 and 40 CFR 31.42;
- 3. Record-keeping associated with supplies and equipment purchases required under 40 CFR 30.33, 30.34, and 30.35 and 40 CFR 31.32 and 31.33;
- 4. Preparing revisions and changes in the budgets, scopes of work, program plans and other activities required under 40 CFR 30.25 and 40 CFR 31.30;
- 5. Maintaining and operating financial management systems required under 40 CFR 30.20 and 40 CFR 31.20;
- 6. Preparing payment requests and handling payments under 40 CFR 30.22 and 40 CFR 31.21:
- 7. Non-Federal audits required under 40 CFR 30.26, 40 CFR 31.26, and OMB Circular A-133:
- 8. Close out under 40 CFR 30.71 and 40 CFR 31.50.
- **B. Programmatic Costs.** EPA has determined that the administrative cost prohibition does not apply to "programmatic" costs, (i.e., costs for activities that are integral to achieving the purpose of the grant), even if the Agency considered the costs to be "administrative."
  - 1. The prohibition does not apply to direct costs of training. For example, costs for instructor(s)' salaries, program management salaries (to the extent that such costs are included in the scope of work for the brownfields job training grant), training

materials (e.g. textbooks, equipment, and classroom supplies), necessary travel and transportation expenses, and medical tests required to qualify for hazardous substances related work are programmatic, not administrative.

- 2. Direct costs, as defined in the applicable OMB Cost Principle Circular, for the following programmatic activities are not subject to the administrative cost prohibition. These costs, however, must be allowable under the scope of work for the grant. Costs incurred for complying with procurement provisions of 40 CFR Part 30 and Part 31 are considered eligible programmatic costs only if the procurement contract is for services or products that are direct costs for training as described above. Costs for performance and financial reporting required under 40 CFR 30.51 and 30.52, and 40 CFR 31.40 and 31.41 are eligible programmatic costs. Performance and financial reporting are essential programmatic tools for both the recipient and EPA to ensure that grants are carried out in accordance with statutory and regulatory requirements.
- 3. If your organization intends to provide non-competitive subgrants to other nonprofit or governmental organizations, discuss the process you will follow to ensure that these agreements meet the standards for financial assistance contained in OMB Circular A-133, Section 210.

For further information on these prohibitions, contact your Regional Job Training Coordinator listed in Section VII.