

State Innovation Models Initiative: Application Process & Financial Templates



*Centers for Medicare &
Medicaid Services*

*August 28, 2012
Webinar*

Agenda

Introduction & Updates

Application Requirements/Getting Familiar with Grants.gov

Budget Forms

Financial Templates

Medicare Data

Introduction & Updates

- Amended FOA has been posted with **new** application due date of **Monday, 9/24** and **new** award announcement date of 12/4
- Additional FAQs from previous webinars have been posted to the Innovation Center website
- Financial Templates are posted on [grants.gov](https://www.grants.gov) as well as the Innovation Center website
- Check the [grants.gov](https://www.grants.gov) website for information on the migration from CCR to SAM. States should verify that they are still registered.

Model Design & Model Testing Award Timeline

Announcement :
July 19, 2012



Applications due:
September 24, 2012,
5 p.m. EDT



Anticipated award date:
December 2012

Informal Poll

Agenda

Introduction

Application Requirements/Getting Familiar with Grants.gov

Budget Forms

Financial Templates

Medicare Data

Everything You Wanted to Know About Grants.gov

*Judy Ceresa
Grants.gov
Program Management Office*

Through the Eyes of the Applicant

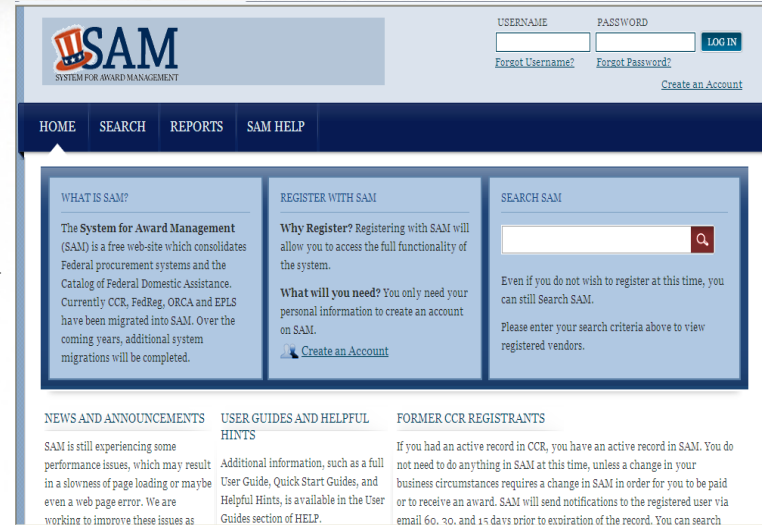
Register, Find, and Apply

Getting Registered

Get Registered - Dun & Bradstreet (DNB) and the System for Award Management (SAM)



The screenshot shows the Dun & Bradstreet (D&B) website for DUNS Numbers. The header includes the D&B logo and the text "Decide with Confidence" and "D&B DUNS Numbers™ for US Government Contractors & Grantees". A central message says "Thank you for using the D&B online webform process for US Gov Contractors and Grantees!". The main content area is titled "Welcome to the D&B D-U-N-S Request Service for US Federal Government Contractors and Grantees". It explains that D&B provides a D-U-N-S Number, a unique nine-digit identification number, for each physical location of a business. It states that D-U-N-S Number assignment is FREE for all businesses required to register with the US Federal government for contracts or grants. There are two links: "Click here to request your D-U-N-S Number via the Web" and "Click here to request your D-U-N-S Number by phone (for U.S., Puerto Rico, and U.S. Virgin Islands Only)". A footer note says "For technical difficulties, contact gov4@dnb.com". A sidebar on the left contains links for "Begin D-U-N-S Search/Request Process", "About the D&B D-U-N-S Number", "Frequently Asked Questions (FAQ)", "D&B, CCR, Grants Contacts", "D&B's Privacy and Data Policy", and "Accessibility".



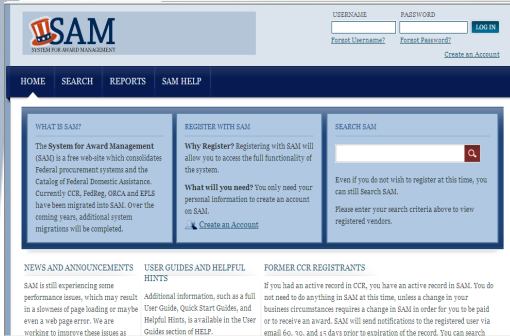
The screenshot shows the SAM (System for Award Management) website. The header includes the SAM logo and the text "SYSTEM FOR AWARD MANAGEMENT". There are input fields for "USERNAME" and "PASSWORD" with a "LOG IN" button. Below these are links for "Forgot Username?", "Forgot Password?", and "Create an Account". A navigation bar contains "HOME", "SEARCH", "REPORTS", and "SAM HELP". The main content area is divided into three columns: "WHAT IS SAM?", "REGISTER WITH SAM", and "SEARCH SAM". The "WHAT IS SAM?" section explains that SAM is a free web-site which consolidates Federal procurement systems and the Catalog of Federal Domestic Assistance. The "REGISTER WITH SAM" section explains why to register and what is needed, with a "Create an Account" link. The "SEARCH SAM" section has a search input field and a "SEARCH" button. Below the main content are sections for "NEWS AND ANNOUNCEMENTS", "USER GUIDES AND HELPFUL HINTS", and "FORMER CCR REGISTRANTS".



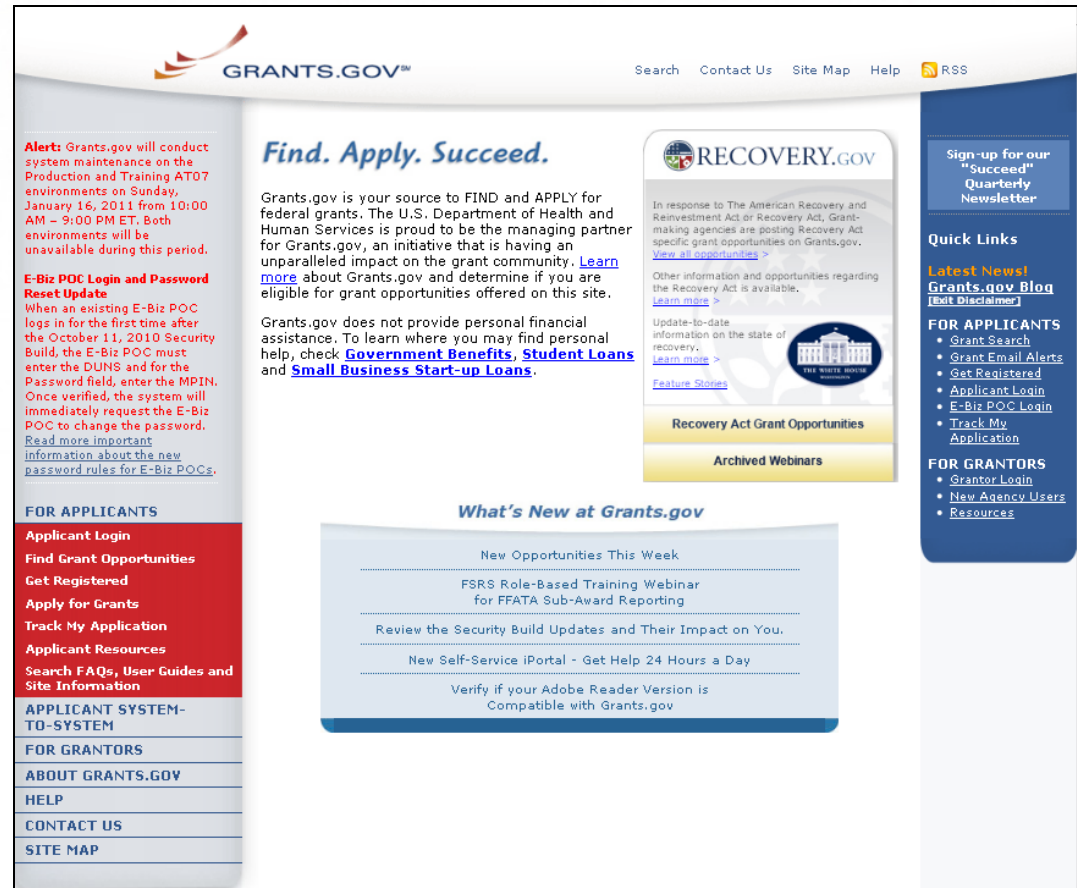
- Register with Dun & Bradstreet at <http://www.dnb.com>
- Requires TIN from IRS and organization information
- You will be issued a **DUNS** number
- Takes 3 – 5 business days

- Upon receipt use the DUNS to register with the System for Award Management (**SAM**) at www.SAM.gov
- Establish the following:
 - **eBIZ POC** – Individual oversees all activities for organization within Grants.gov and grants permissions to Authorized Organization Representatives
 - **MPIN** – Marketing Partner Identification Number
- Takes 3 – 5 business days

Get Registered - SAM & Grants.gov



- Organization data, eBIZ POC information, and MPIN are electronically transferred from SAM to Grants.gov.
- The organization is set up in Grants.gov and individuals within the organization are now able to register with Grants.gov
- **NOTE: EBiz POC must renew SAM registration annually**



Get Registered - About the eBiz Point of Contact and AORs



eBIZ POC

- Each organization has one eBIZ POC
- eBIZ POCs are assigned in SAM.

- The eBIZ POC approves Authorized Organization Representatives.
- AORs are allowed to submit grant applications on behalf of their organization.



AOR 1



AOR 2



AOR 3



AOR 4

- One organization can have **MANY** AORs

Get Registered - Registering with Grants.gov to be an AOR



The screenshot displays the Grants.gov website's registration page. The main heading is "GET REGISTERED". Below it, a paragraph states: "In order to apply for a grant, you and/or your organization must complete the Grants.gov registration process. The registration process for an Organization or an Individual can take between three to five business days, depending on how long as four weeks if all steps are not completed in a timely manner. So please register early!". Below this, it says "Please choose one of the options below:". Two main options are presented in rounded rectangular boxes: "Organization Registration" and "Individual Registration". The "Organization Registration" box includes a description: "An individual who is responsible for submitting a grant on behalf a company, state, local, or tribal government, academia or research institution, not-for-profit or any other institution." and links for "Organization Registration User Guide" and "Organization Registration Checklist". The "Individual Registration" box includes a description: "Submitting a grant on your own behalf. If you register as an Individual, you will only be able to apply to grant opportunities that are open to individuals." and links for "Individual Registration User Guide" and "Individual Registration Overview Tutorial". A red box highlights these two registration options, with red arrows pointing to them from the top left and top center. Below the registration options, a "Please Note" section states: "*Please Note: If you register as an Organization, you will not be allowed to use the Grants.gov username and password issued for your Organization to apply for grants as an Individual. To apply for grants as an Individual you must register as an Individual and use that specific username and password issued during the Individual registration process." A "TOP OF PAGE" link is visible. The footer contains logos for RECOVERY.GOV, USA.gov, Benefits.gov, E-GOV, GRANTS POLICY COMMITTEE, and FEDBIZOPPS.GOV.

GRANTS.GOVSM Search Contact Us Site Map Help RSS Home

Home » For Applicants »

GET REGISTERED

In order to apply for a grant, you and/or your organization must complete the Grants.gov registration process. The registration process for an Organization or an Individual can take between three to five business days, depending on how long as four weeks if all steps are not completed in a timely manner. So please register early!

Please choose one of the options below:

Organization Registration

An individual who is responsible for submitting a grant on behalf a company, state, local, or tribal government, academia or research institution, not-for-profit or any other institution.

[Organization Registration Overview Tutorial](#)

[Organization Registration User Guide](#)

[Organization Registration Checklist](#)

Individual Registration

Submitting a grant on your own behalf. If you register as an Individual, you will only be able to apply to grant opportunities that are open to individuals.

[Individual Registration Overview Tutorial](#)

[Individual Registration User Guide](#)

***Please Note:** If you register as an Organization, you will not be allowed to use the Grants.gov username and password issued for your Organization to apply for grants as an Individual. To apply for grants as an Individual you must register as an Individual and use that specific username and password issued during the Individual registration process.

[TOP OF PAGE]

RECOVERY.GOV USA.gov Government Made Easy Benefits.gov™ E-GOV GRANTS POLICY COMMITTEE FEDBIZOPPS.GOV

Get Registered - Enter your Registration Information

FOR APPLICANTS

[Applicant Login](#)

[Find Grant Opportunities](#)

[Get Registered](#)

[Apply for Grants](#)

[Track My Application](#)

[Applicant Resources](#)

[Search FAQs, User Guides and Site Information](#)

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

[ABOUT GRANTS.GOV](#)

[HELP](#)

[CONTACT US](#)

[SITE MAP](#)

[Home](#) > [Applicant Registration](#)

APPLICANT REGISTRATION

Please enter your information below. Required fields are denoted with an asterisk (*).

* **First Name:** **MI:** * **Last Name:**

* **DOB:** (MM/DD/YYYY)

* **Job Title:** **DUNS:**

* **Address 1:**

Address 2:

* **City:**

State: * **Zip Code:** (Numeric Only) **Country:**

* **Telephone:** (xxx-xxx-xxxx) * **Email:**

* **Secret Question:**

* **Secret Answer:**

* **User Name:**

* **Password:** * **Confirm Password:**

Warning Notice

This is a U.S. Government computer system, which may be accessed and used only for authorized Government business by authorized personnel. Unauthorized access or use of this computer system may subject violators to criminal, civil, and/or administrative action.

All information on this computer system may be intercepted, recorded, read, copied, and disclosed by and to authorized personnel for official purposes, including criminal investigations. Such information includes sensitive data encrypted to comply with confidentiality and privacy requirements. Access or use of this computer system by any person, whether authorized or unauthorized, constitutes consent to these terms.

Get Registered – Organization Registration Confirmation

FOR APPLICANTS

[Applicant Login](#)
[Find Grant Opportunities](#)
[Get Registered](#)
[Apply for Grants](#)
[Track My Application](#)
[Applicant Resources](#)
[Search FAQs, User Guides and Site Information](#)

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

ABOUT GRANTS.GOV

HELP

CONTACT US

SITE MAP

[Home](#) > [Applicant Registration](#)

APPLICANT REGISTRATION

Please review the information entered below. If you would like to make changes, click the Edit button on the bottom of the page. If the data entered is correct, please click the Submit button on the bottom of the page.

First Name: Grant
MI:
Last Name: Applicant
DOB: 01/01/2001
Job Title: Organization Member
DUNS:
Address 1: 123 Grant Search Way
Address 2:
City: Washington
State: District of Columbia
Zip Code: 20201
Country: United States
Telephone: 123-456-7890
Email: GrantsgovApp@aol.com
Secret Question: Where can you find grants from all federal agencies?
Secret Answer: Grants.gov
User Name: GrantsgovApp

Warning Notice

This is a U.S. Government computer system, which may be accessed and used only for authorized Government business by authorized personnel. Unauthorized access or use of this computer system may subject violators to criminal, civil, and/or administrative action.

All information on this computer system may be intercepted, recorded, read, copied, and disclosed by and to authorized personnel for official purposes, including criminal investigations. Such information includes sensitive data encrypted to comply with confidentiality and privacy requirements. Access or use of this computer system by any person, whether authorized or unauthorized, constitutes consent to these terms.

Get Registered – Completing Your Registration

- **AOR Authorization by your eBIZ POC**
 - The eBiz POC at your organization will receive an email notifying him/her of your registration.
 - The eBiz POC must login to Grants.gov to confirm you as an AOR.
 - You will be unable to submit a grant application unless you are confirmed as an AOR by your eBiz POC.

- **Track AOR Status**
 - At any time, you can track your AOR status by logging in with your username and password.
 - You will receive a confirmation email notifying you that you've been authorized as an AOR for your organization.

How To Navigate Grants.gov



The screenshot shows the Grants.gov homepage with a navigation bar at the top containing 'Search', 'Contact Us', 'Site Map', 'RSS', and 'Home'. The main header features the Grants.gov logo and the slogan 'Find. Apply. Succeed.' Below this, there are two columns of navigation links. The left column includes 'FOR APPLICANTS' (with a red background and a black border), 'FOR GRANTORS', 'ABOUT GRANTS.GOV', 'HELP', 'CONTACT US', and 'SITE MAP'. The right column includes 'Quick Links', 'Latest News!' (with a red border around the 'Grants.gov Blog' link), and 'FOR GRANTORS'. The central content area contains a paragraph about Grants.gov's role, a note about not providing financial assistance, and a 'What's New at Grants.gov' section with three items: SAM migration information, 'New Opportunities This Week', and a webcast on grant fraud.

CCR / SAM migration:
Learn more on the [Grants.gov Blog](#)

FOR APPLICANTS

- Applicant Login
- Find Grant Opportunities
- Get Registered
- Apply for Grants
- Track My Application
- Applicant Resources
- Search, FAQs, Userguides and site information

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

ABOUT GRANTS.GOV

HELP

CONTACT US

SITE MAP

Quick Links

Latest News!

Grants.gov Blog ↗

FOR APPLICANTS

- Grant Search
- Grant Email Alerts
- Get Registered
- Applicant Login
- E-Biz POC Login
- Track My Application

FOR GRANTORS

- Grantor Login
- New Agency Users
- Resources

Find. Apply. Succeed.

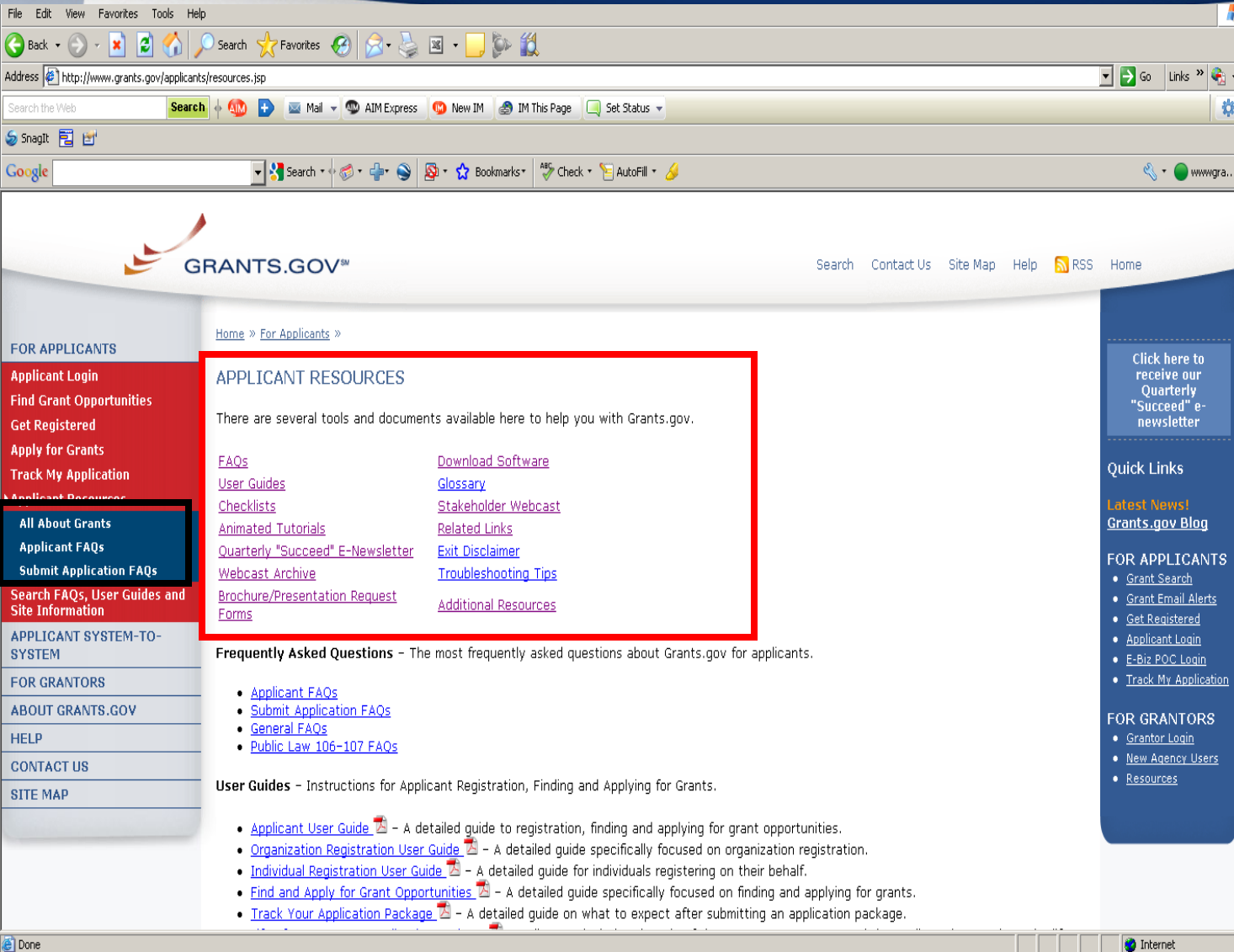
Grants.gov is your source to FIND and APPLY for federal grants. The U.S. Department of Health and Human Services is proud to be the managing partner for Grants.gov, an initiative that is having an unparalleled impact on the grant community. [Learn more](#) about Grants.gov and determine if you are eligible for grant opportunities offered on this site.

Grants.gov does not provide personal financial assistance. To learn where you may find personal help, check [Government Benefits](#), [Student Loans](#) and [Small Business Start-up Loans](#).

What's New at Grants.gov

- Learn about SAM, and how the CCR to SAM migration affects Grants.gov applicants.
- New Opportunities This Week
- [Verify if your Adobe Reader Version is Compatible with Grants.gov](#)
- Grants.gov Webcast on Grant Fraud is now available online

Applicant Resources



File Edit View Favorites Tools Help

Address <http://www.grants.gov/applicants/resources.jsp> Go Links

Search the Web Search AIM Mail AIM Express New IM IM This Page Set Status

Google Search Bookmarks Check AutoFill wwwgra...

GRANTS.GOVSM Search Contact Us Site Map Help RSS Home

Home » [For Applicants](#) »

APPLICANT RESOURCES

There are several tools and documents available here to help you with Grants.gov.

FAQs	Download Software
User Guides	Glossary
Checklists	Stakeholder Webcast
Animated Tutorials	Related Links
Quarterly "Succeed" E-Newsletter	Exit Disclaimer
Webcast Archive	Troubleshooting Tips
Brochure/Presentation Request Forms	Additional Resources

Frequently Asked Questions – The most frequently asked questions about Grants.gov for applicants.

- [Applicant FAQs](#)
- [Submit Application FAQs](#)
- [General FAQs](#)
- [Public Law 106-107 FAQs](#)

User Guides – Instructions for Applicant Registration, Finding and Applying for Grants.

- [Applicant User Guide](#) – A detailed guide to registration, finding and applying for grant opportunities.
- [Organization Registration User Guide](#) – A detailed guide specifically focused on organization registration.
- [Individual Registration User Guide](#) – A detailed guide for individuals registering on their behalf.
- [Find and Apply for Grant Opportunities](#) – A detailed guide specifically focused on finding and applying for grants.
- [Track Your Application Package](#) – A detailed guide on what to expect after submitting an application package.

FOR APPLICANTS

- Applicant Login
- Find Grant Opportunities
- Get Registered
- Apply for Grants
- Track My Application
- Applicant Resources

All About Grants

- Applicant FAQs
- Submit Application FAQs

Search FAQs, User Guides and Site Information

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

ABOUT GRANTS.GOV

HELP

CONTACT US

SITE MAP

Click here to receive our Quarterly "Succeed" e-newsletter

Quick Links

Latest News!
[Grants.gov Blog](#)

FOR APPLICANTS

- [Grant Search](#)
- [Grant Email Alerts](#)
- [Get Registered](#)
- [Applicant Login](#)
- [E-Biz POC Login](#)
- [Track My Application](#)

FOR GRANTORS

- [Grantor Login](#)
- [New Agency Users](#)
- [Resources](#)

- Applicant Resources include:
 - FAQs
 - User Guides
 - Check lists

Subscriptions and E-mail Notifications

FOR APPLICANTS

[Applicant Login](#)
[Find Grant Opportunities](#)

[Basic Search](#)
[Browse by Category](#)
[Browse by Agency](#)
[Advanced Search](#)

Subscriptions

[RSS Feed](#)
[Updates](#)
[Unsubscribe](#)

[Get Registered](#)
[Apply for Grants](#)
[Track My Application](#)

[Applicant Resources](#)
[Search FAQs, User Guides and Site Information](#)

[APPLICANT SYSTEM-TO-SYSTEM](#)

[FOR GRANTORS](#)

[ABOUT GRANTS.GOV](#)

[HELP](#)

[CONTACT US](#)

[SITE MAP](#)

[Home](#) » [For Applicants](#) » [Find Grant Opportunities](#) »

SUBSCRIPTIONS

Subscribe now and receive notifications of new grant opportunity postings and updates on Grants.gov. You do not need to be a registered user of Grants.gov to sign-up for this service.

[New! Grants.gov RSS Feed](#)

Stay informed about new and updated grant opportunities. Once you see all of the benefits of receiving the Grants.gov RSS feed, you may want to discontinue your email notification subscription. [Click here](#) to unsubscribe from the email notifications.

[Grants.gov Updates](#)

Receive updated information about critical issues, new tips for users and other time sensitive updates as information is available. To unsubscribe from this update you will need to follow the instructions provided in the bottom of the email.

[All Grants Notices](#)

Receive daily notification of all new grant opportunities.

[Notices Based on Advanced Criteria](#)

Select notifications based on specific criteria such as funding instrument type, eligibility or sub-agency.

[Notices Based on Funding Opportunity Number](#)

Select this option to receive notifications based on Funding Opportunity Number (FON). A FON is a number that a federal agency assigns to its grant announcement.

[Unsubscribe from Grant Notices](#)

Click on the **Unsubscribe from Grant Notices** link above to unsubscribe from the email notifications. If you receive an error message when you attempt to unsubscribe please send an email to support@grants.gov. To remove one or more subscriptions please include the email(s) in the message. In the subject line please include "Unsubscribe".

[TOP OF PAGE]

Sign-up for our
"Succeed"
Quarterly
Newsletter

Quick Links

[Latest News!](#)
[Grants.gov Blog](#)

FOR APPLICANTS

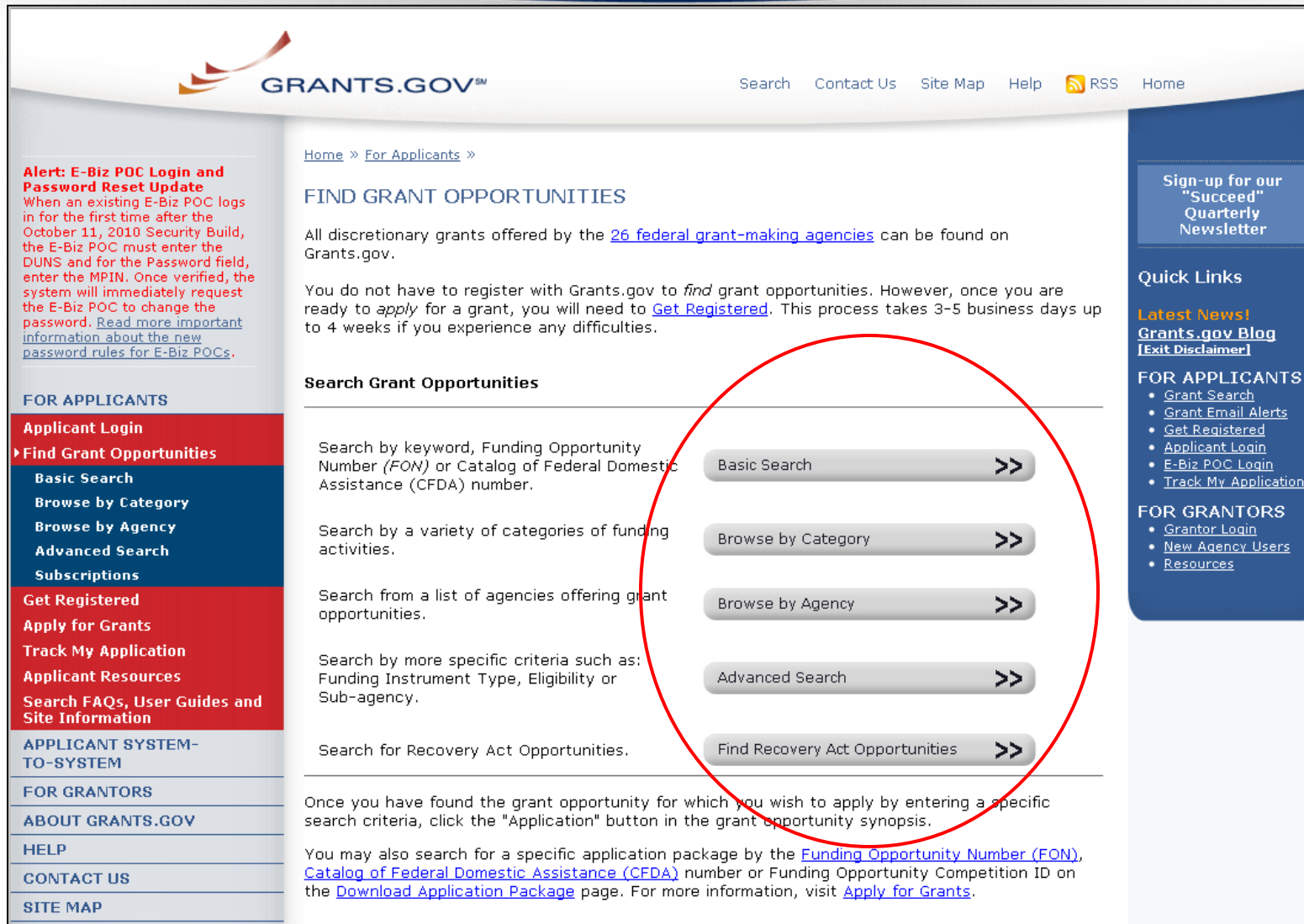
- [Grant Search](#)
- [Grant Email Alerts](#)
- [Get Registered](#)
- [Applicant Login](#)
- [E-Biz POC Login](#)
- [Track My Application](#)

FOR GRANTORS

- [Grantor Login](#)
- [New Agency Users](#)
- [Resources](#)

How to Search for Funding Opportunities

Find Grant Opportunities



Alert: E-Biz POC Login and Password Reset Update
When an existing E-Biz POC logs in for the first time after the October 11, 2010 Security Build, the E-Biz POC must enter the DUNS and for the Password field, enter the MPIN. Once verified, the system will immediately request the E-Biz POC to change the password. [Read more important information about the new password rules for E-Biz POCs.](#)

FOR APPLICANTS

- Applicant Login
- Find Grant Opportunities**
- Basic Search
- Browse by Category
- Browse by Agency
- Advanced Search
- Subscriptions
- Get Registered
- Apply for Grants
- Track My Application
- Applicant Resources
- Search FAQs, User Guides and Site Information

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

- ABOUT GRANTS.GOV
- HELP
- CONTACT US
- SITE MAP

Home » [For Applicants](#) »

FIND GRANT OPPORTUNITIES

All discretionary grants offered by the [26 federal grant-making agencies](#) can be found on Grants.gov.

You do not have to register with Grants.gov to *find* grant opportunities. However, once you are ready to *apply* for a grant, you will need to [Get Registered](#). This process takes 3-5 business days up to 4 weeks if you experience any difficulties.

Search Grant Opportunities

Search by keyword, Funding Opportunity Number (FON) or Catalog of Federal Domestic Assistance (CFDA) number. **Basic Search** >>

Search by a variety of categories of funding activities. **Browse by Category** >>

Search from a list of agencies offering grant opportunities. **Browse by Agency** >>

Search by more specific criteria such as: Funding Instrument Type, Eligibility or Sub-agency. **Advanced Search** >>

Search for Recovery Act Opportunities. **Find Recovery Act Opportunities** >>

Once you have found the grant opportunity for which you wish to apply by entering a specific search criteria, click the "Application" button in the grant opportunity synopsis.

You may also search for a specific application package by the [Funding Opportunity Number \(FON\)](#), [Catalog of Federal Domestic Assistance \(CFDA\)](#) number or Funding Opportunity Competition ID on the [Download Application Package](#) page. For more information, visit [Apply for Grants](#).

Sign-up for our "Succeed" Quarterly Newsletter

Quick Links

Latest News!
[Grants.gov Blog](#)
[\[Exit Disclaimer\]](#)

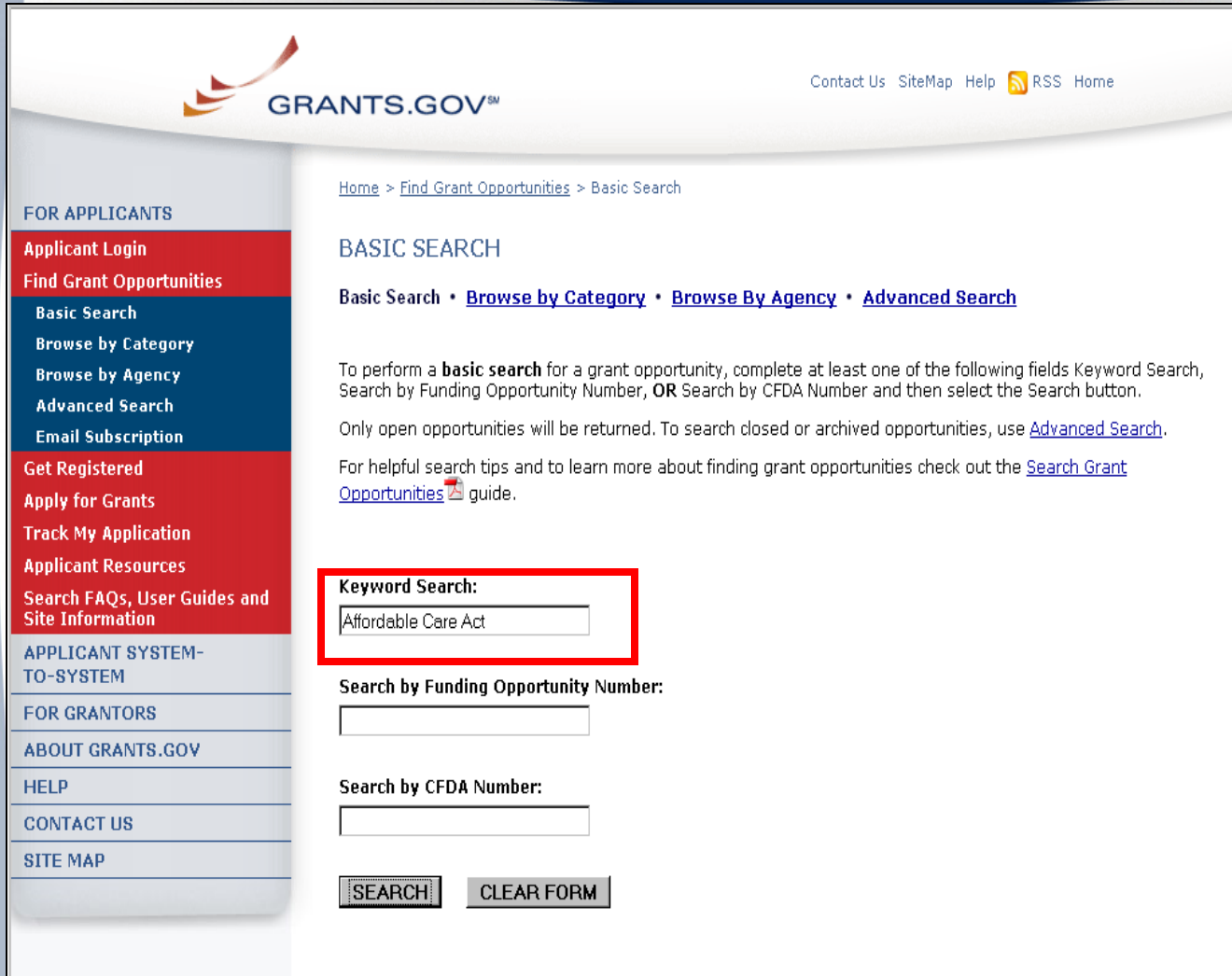
FOR APPLICANTS

- [Grant Search](#)
- [Grant Email Alerts](#)
- [Get Registered](#)
- [Applicant Login](#)
- [E-Biz POC Login](#)
- [Track My Application](#)

FOR GRANTORS

- [Grantor Login](#)
- [New Agency Users](#)
- [Resources](#)

Find – Basic Search



The screenshot shows the GRANTS.GOV website's basic search interface. The header includes the logo and navigation links: Contact Us, SiteMap, Help, RSS, and Home. A breadcrumb trail reads: Home > Find Grant Opportunities > Basic Search. The main heading is 'BASIC SEARCH', with sub-links for Basic Search, Browse by Category, Browse By Agency, and Advanced Search. A paragraph explains that to perform a basic search, users must complete at least one of the following fields: Keyword Search, Search by Funding Opportunity Number, or Search by CFDA Number, and then click the Search button. It notes that only open opportunities are returned and provides a link to the Advanced Search page. Below this, there is a link to Search Grant Opportunities with a guide icon. The search form contains three input fields: 'Keyword Search:' (highlighted with a red box and containing 'Affordable Care Act'), 'Search by Funding Opportunity Number:', and 'Search by CFDA Number:'. At the bottom of the form are 'SEARCH' and 'CLEAR FORM' buttons. A left sidebar contains navigation links for applicants, grantors, and help.

- Search for grants by:
 - Keyword
 - Funding Opportunity Number, or
 - CFDA Number.


Find – Advanced Search

[Home](#) > [Find Grant Opportunities](#) > Advanced Search

ADVANCED SEARCH

[Basic Search](#) • [Browse by Category](#) • [Browse By Agency](#) • [Advanced Search](#)

To perform an **advanced search** for a grant, complete any combination of fields and select the Search button below.

For helpful [search tips](#) and to learn more about finding grant opportunities check out the [Search Grant Opportunities](#)  guide.

Keyword Search:

***Required** Search In:

Open Opportunities
 Closed Opportunities
 Archived Opportunities

Search by Funding Opportunity Number:

Search by CFDA Number:

Dates to Search:

Last 3 Days
One Week
Two Weeks
Three Weeks

Search by Funding Activity Category:

Agriculture
Arts (see "Cultural Affairs" in CFDA)
Business and Commerce
Community Development

Search by Funding Instrument Type:

Grant
Cooperative Agreement
Other
Procurement Contract

Search by Eligibility:

City or township governments
County governments
For profit organizations other than small businesses
Independent school districts

Search by Agency:

Department of Defense
Department of Education
Department of Energy

Department of Homeland Security

SEARCH **SELECT SUB AGENCIES**

SEARCH **CLEAR FORM**

Search by Sub Agency:

Food and Drug Administration

HHS - Office of the Secretary

HHS - FDA - Specific

Health Resources & Services Administration

Indian Health Service

- Search for grants by adding more criteria including, but not limited to:
 - **Funding Agency** (e.g. HHS, EPA, Education, DHS, etc)
 - **Eligibility** (type of organization; e.g., state or local governments, non-profits, tribal, universities, etc.)
 - **Category** (e.g., health, environment, housing, etc
 - **Date ranges**

Search Results

[Home](#) > [Find Grant Opportunities](#) > [Search Grant Opportunities](#) > Search Results

Search Results

[New Search](#)

Sort: Open Date, Descending

[Sort by Close Date](#)

[Sort by Relevance](#)

Results 1 - 2 of 2

Open Date	Opportunity Title	Agency	Funding Number
02/24/2011	Grants to Support States in Health Insurance Rate Review-Cycle II	Ofc of Consumer Information & Insurance Oversight	PR-PRP-11-001
01/07/2011	Affordable Care Act - Health Center Planning Grants	Health Resources & Services Administration	HRSA-11-021

Results Page: 1

[New Search](#)

FOR APPLICANTS

[Applicant Login](#)

[Find Grant Opportunities](#)

[Basic Search](#)

[Browse by Category](#)

[Browse by Agency](#)

[Advanced Search](#)

[Email Subscription](#)

[Get Registered](#)

[Apply for Grants](#)

[Track My Application](#)

[Applicant Resources](#)

[Search FAQs, User Guides and Site Information](#)

[APPLICANT SYSTEM-TO-SYSTEM](#)

[FOR GRANTORS](#)

[ABOUT GRANTS.GOV](#)

[HELP](#)

[CONTACT US](#)

[SITE MAP](#)

What's in a Funding Opportunity?

Affordable Care Act - Health Center Planning Grants



Synopsis

Full Announcement

Application

The synopsis for this grant opportunity is detailed below, following this paragraph. This synopsis contains all of the updates to this document that have been posted as of **01/07/2011** . If updates have been made to the opportunity synopsis, update information is provided below the synopsis.

If you would like to receive notifications of changes to the grant opportunity click [send me change notification emails](#) . The only thing you need to provide for this service is your email address. No other information is requested.

Any inconsistency between the original printed document and the disk or electronic document shall be resolved by giving precedence to the printed document.

Description of Modification

Attach application package and funding opportunity announcement.

Document Type:	Modification to Previous Grants Notice
Funding Opportunity Number:	HRSA-11-021
Opportunity Category:	Discretionary
Posted Date:	Jan 07, 2011
Creation Date:	Jan 07, 2011
Original Closing Date for Applications:	Mar 18, 2011
Current Closing Date for Applications:	Mar 18, 2011
Archive Date:	May 17, 2011
Funding Instrument Type:	Grant
Category of Funding Activity:	Health
Category Explanation:	
Expected Number of Awards:	125
Estimated Total Program Funding:	\$10,000,000
Award Ceiling:	
Award Floor:	
CFDA Number(s):	93.527 -- Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program
Cost Sharing or Matching Requirement:	No

- The Synopsis contains high level information about the funding opportunity such as:
 - Funding Opportunity Number
 - Open/Close date
 - Program Funding Amount
 - Number of Awards
 - Program Contact Information

Full Announcement

[Home](#) > [Find Grant Opportunities](#) > [Search Grant Opportunities](#) > [Search Results](#) > Synopsis

Affordable Care Act - Health Center Planning Grants



[Synopsis](#)

[Full Announcement](#)

[Application](#)

The synopsis for this grant opportunity is detailed below, following this paragraph. This synopsis contains all of the updates to this document that have been posted as of **01/07/2011**. If updates have been made to the opportunity synopsis, update information is provided below the synopsis.

If you would like to receive notifications of changes to the grant opportunity click [send me change notification emails](#). The only thing you need to provide for this service is your email address. No other information is requested.

Any inconsistency between the original printed document and the disk or electronic document shall be resolved by giving precedence to the printed document.

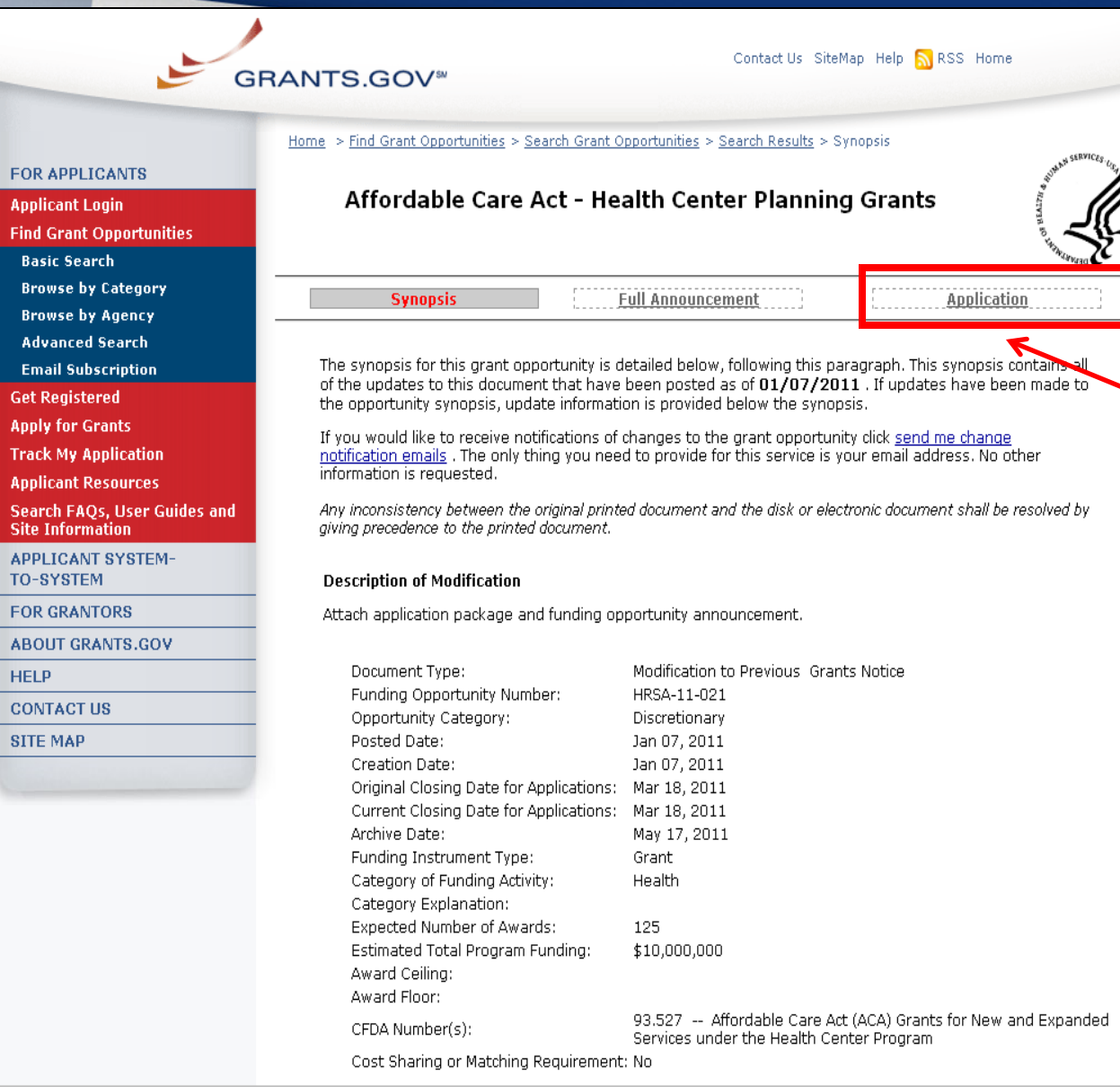
Description of Modification

Attach application package and funding opportunity announcement.

Document Type:	Modification to Previous Grants Notice
Funding Opportunity Number:	HRSA-11-021
Opportunity Category:	Discretionary
Posted Date:	Jan 07, 2011
Creation Date:	Jan 07, 2011
Original Closing Date for Applications:	Mar 18, 2011
Current Closing Date for Applications:	Mar 18, 2011
Archive Date:	May 17, 2011
Funding Instrument Type:	Grant
Category of Funding Activity:	Health
Category Explanation:	
Expected Number of Awards:	125
Estimated Total Program Funding:	\$10,000,000
Award Ceiling:	
Award Floor:	
CFDA Number(s):	93.527 -- Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program
Cost Sharing or Matching Requirement:	No

- You can post the full RFA, Funding Opportunity Announcement, and any supplementary documentation

The Application Package and Instructions - 1



FOR APPLICANTS

- Applicant Login
- Find Grant Opportunities
- Basic Search
- Browse by Category
- Browse by Agency
- Advanced Search
- Email Subscription
- Get Registered
- Apply for Grants
- Track My Application
- Applicant Resources
- Search FAQs, User Guides and Site Information

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

ABOUT GRANTS.GOV

HELP

CONTACT US

SITE MAP

Contact Us SiteMap Help RSS Home

Home > Find Grant Opportunities > Search Grant Opportunities > Search Results > Synopsis

Affordable Care Act - Health Center Planning Grants

Synopsis Full Announcement **Application**

The synopsis for this grant opportunity is detailed below, following this paragraph. This synopsis contains all of the updates to this document that have been posted as of **01/07/2011**. If updates have been made to the opportunity synopsis, update information is provided below the synopsis.

If you would like to receive notifications of changes to the grant opportunity click [send me change notification emails](#). The only thing you need to provide for this service is your email address. No other information is requested.

Any inconsistency between the original printed document and the disk or electronic document shall be resolved by giving precedence to the printed document.

Description of Modification

Attach application package and funding opportunity announcement.

Document Type:	Modification to Previous Grants Notice
Funding Opportunity Number:	HRSA-11-021
Opportunity Category:	Discretionary
Posted Date:	Jan 07, 2011
Creation Date:	Jan 07, 2011
Original Closing Date for Applications:	Mar 18, 2011
Current Closing Date for Applications:	Mar 18, 2011
Archive Date:	May 17, 2011
Funding Instrument Type:	Grant
Category of Funding Activity:	Health
Category Explanation:	
Expected Number of Awards:	125
Estimated Total Program Funding:	\$10,000,000
Award Ceiling:	
Award Floor:	
CFDA Number(s):	93.527 -- Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program
Cost Sharing or Matching Requirement:	No

- Click on the Application Tab in order to download the Application Package and the Application Instructions

Download the Application Package and Instructions - 2

[Home](#) > [Applicants](#) > [Search Opportunities](#) >

SELECTED GRANT APPLICATIONS FOR DOWNLOAD

Download the application and its instructions by selecting the corresponding download link. Save these files to your computer for future reference and use. You do not need Internet access to read the instructions or to complete the application once you save them to your computer.

READ BELOW BEFORE YOU APPLY FOR THIS GRANT!

Before you can view and complete an application package, you **MUST** have the PureEdge Viewer or compatible Adobe Reader installed. Application packages are posted in either PureEdge or Adobe Reader format. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader.

If more than one person is working on the application package, ALL applicants must be using the same software version.

Click [here](#) to download the required PureEdge Viewer and Adobe Reader if you do not have it installed already.

Additional Resources:

- Sign-up for [Grants.gov Updates](#) for the latest issues and news.
- Download [Adobe Reader](#) and [PureEdge Viewer](#) for free.
- Visit [Help](#) for FAQs and more information on Applying for grants.

Below is a list of the application(s) currently available for the CFDA and/or Funding Opportunity Number that you entered.

To download the application instructions or package, click the corresponding download link. You will then be able to save the files on your computer for future reference and use.

CFDA	Opportunity Number	Competition ID	Competition Title	Agency	Instructions and Application
93.527	HRSA-11-021	4140	Affordable Care Act - Health Center Planning Grants	Health Resources & Services Administration	download

- Read the instructions to insure that you have the correct Adobe Reader
- Click on download link in order to access the Application Package and the Application Instructions

Download the Application Package and Instructions - 3



[Contact Us](#) [SiteMap](#) [Help](#)  [RSS](#) [Home](#)

DOWNLOAD OPPORTUNITY INSTRUCTIONS AND APPLICATION

You have chosen to download the instructions and application for the following opportunity:

CFDA Number: 93.527: Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program

Opportunity Number: HRSA-11-021: Affordable Care Act - Health Center Planning Grants

Competition ID: 4140

Competition Title: Affordable Care Act - Health Center Planning Grants

Agency: Health Resources & Services Administration

Opening Date: 01/07/2011

Closing Date: 03/18/2011

If you would like to be notified of any changes to this opportunity please enter your e-mail address below, and you will be e-mailed in the event this opportunity is changed and republished on Grants.gov before its closing date.

Download the instructions and application by selecting the download links below. While the instructions or application files may open directly, you may save the files to your computer for future reference and use. You do not need Internet access to read the instructions or the application once you save them to your computer.

1. [Download Application Instructions](#)

2. [Download Application Package](#)

- Enter your email address and click submit here if you wish to be notified of any changes to the funding opportunity (highly recommended)
- Right-click on “Download Application Instructions” and then Save As to your computer.
- Repeat the same action with “Download Application Package”

Navigating The Application Package

Opportunity Title:	Affordable Care Act (ACA) Nurse Education, Practice, Qu
Offering Agency:	Health Resources & Services Administration
CFDA Number:	93.359
CFDA Description:	Nurse Education, Practice and Retention Grants
Opportunity Number:	HRSA-11-041
Competition ID:	4210
Opportunity Open Date:	12/06/2010
Opportunity Close Date:	01/21/2011
Agency Contact:	Janice B. Young, PhD, RN Lead Nurse Consultant, DN 301-443-6193 jyoung2@hrsa.gov

This electronic grants application is intended to be used to apply for the specific Federal funding opportunity referenced here.

If the Federal funding opportunity listed is not the opportunity for which you want to apply, close this application package by clicking on the "Cancel" button at the top of this screen. You will then need to locate the correct Federal funding opportunity, download its application and then apply.

This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name:

<p>Mandatory Documents</p> <p>Attachments</p> <p>HHS Checklist (08-2007)</p> <p>Research & Related Other Project Information</p> <p>Research & Related Senior/Key Person Profile</p> <p>Research & Related Project/Performance Site Loc</p> <p>Assurances for Non-Construction Programs (SF-42)</p>	<p>Move Form to Complete</p> <p>Move Form to Delete</p>	<p>Mandatory Documents for Submission</p> <p>Research & Related Budget</p> <p>SF424 (R & R)</p>
<p>Optional Documents</p> <p>Disclosure of Lobbying Activities (SF-LLL)</p> <p>R & R Subaward Budget Attachment(s) Form</p>	<p>Move Form to Submission List</p> <p>Move Form to Delete</p>	<p>Optional Documents for Submission</p>

Instructions

- Enter a name for the application in the Application Filing Name field.
 - This application can be completed in its entirety online; however, you will need to login to the Grants.gov website during the submission process.
 - You can save your application at any time by clicking the "Save" button at the top of your screen.
 - The "Save & Submit" button will not be functional until all required data fields in the application are completed and you clicked on the "Check Package for Errors" button and confirmed all data required data fields are completed.
- Open and complete all of the documents listed in the "Mandatory Documents" box. Complete the SF-424 form first.
 - It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
 - The forms listed in the "Mandatory Documents" box and "Optional Documents" may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. "Mandatory Documents" are required for this application. "Optional Documents" can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding "Optional Documents".
 - To open and complete a form, simply click on the form's name to select the item and then click on the => button. This will move the document to the appropriate "Documents for Submission" box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the "Open Form" button to begin completing the required data fields. To remove a form/document from the "Documents for Submission" box, click the document name to select it, and then click the <- button. This will return the form/document to the "Mandatory Documents" or "Optional Documents" box.
 - All documents listed in the "Mandatory Documents" box must be moved to the "Mandatory Documents for Submission" box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.
- Click the "Save & Submit" button to submit your application to Grants.gov.
 - Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the "Save" button.
 - Click on the "Check Package for Errors" button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
 - The "Save & Submit" button will become active; click on the "Save & Submit" button to begin the application submission process.
 - You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.

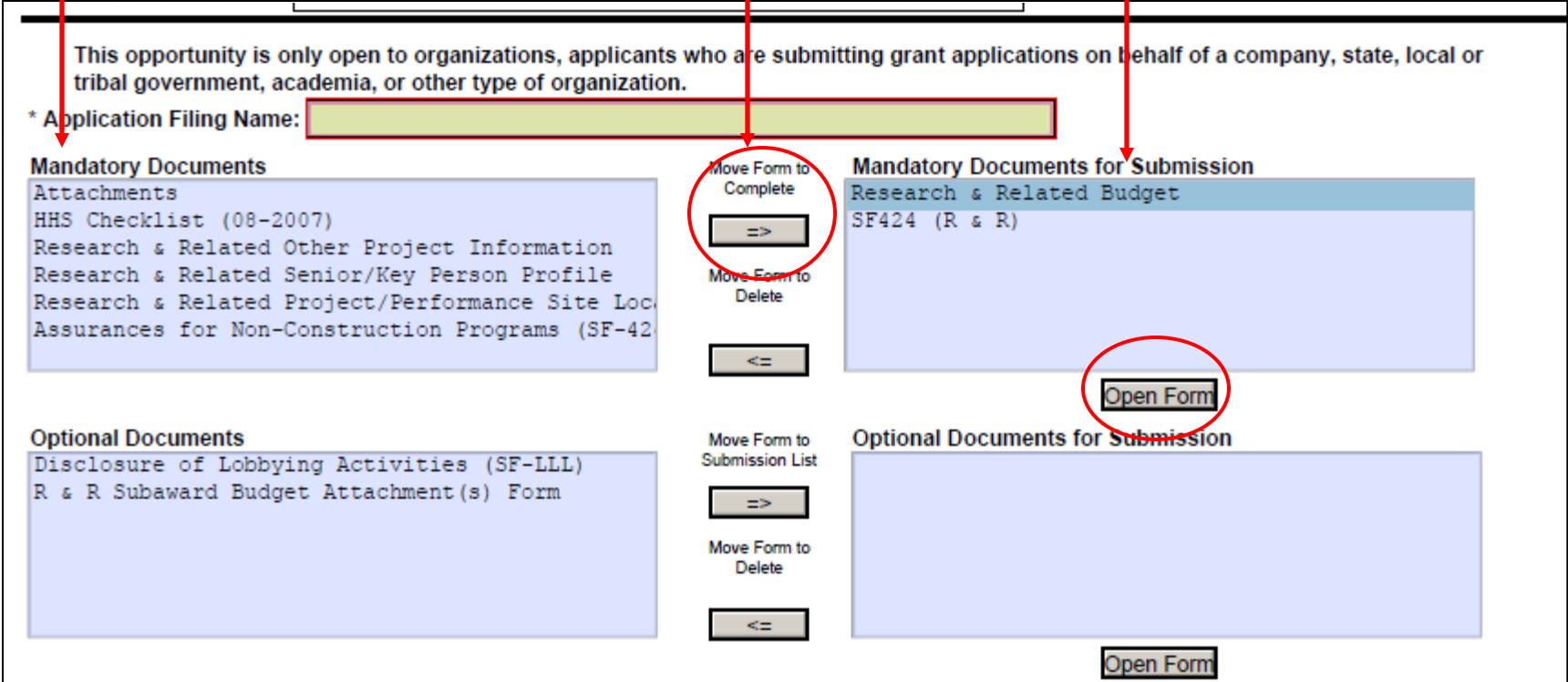
- The grant application is a PDF file composed of forms
 - Requires Adobe Reader which is a free download from Adobe.com
 - Can be saved to your computer
- The application home page has many components
 - Information on the funding opportunity
 - Closing date
 - Agency contact information
 - Forms to be filled out

Working on Forms

1. Click on a form

2. Click on the arrow to move the form

3. Select the form, open it, and begin working!



This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name:

Mandatory Documents

Attachments

- HHS Checklist (08-2007)
- Research & Related Other Project Information
- Research & Related Senior/Key Person Profile
- Research & Related Project/Performance Site Location
- Assurances for Non-Construction Programs (SF-424)

Optional Documents

- Disclosure of Lobbying Activities (SF-LLL)
- R & R Subaward Budget Attachment(s) Form

Mandatory Documents for Submission

- Research & Related Budget SF424 (R & R)

Optional Documents for Submission

Navigation buttons:

- Move Form to Complete (=>)
- Move Form to Delete (<=)
- Move Form to Submission List (=>)
- Move Form to Delete (<=)

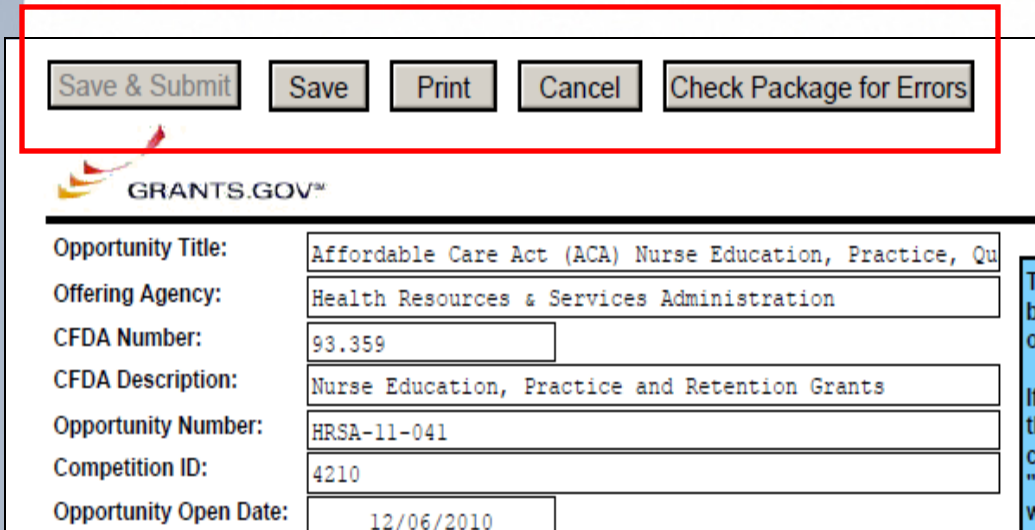
Open Form buttons are present at the bottom of the Mandatory Documents for Submission and Optional Documents for Submission sections.

APPLICATION FOR FEDERAL ASSISTANCE SF 424 (R&R)		3. DATE RECEIVED BY STATE	State Application Identifier
1. * TYPE OF SUBMISSION <input checked="" type="checkbox"/> Pre-application <input type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		4. a. Federal Identifier	
2. DATE SUBMITTED		Applicant Identifier	
5. APPLICANT INFORMATION		* Organizational DUNS:	
* Legal Name:			
Department:		Division:	
* Street1:			
Street2:			
* City:		County / Parish:	
* State:		Province:	
* Country:		* ZIP / Postal Code:	
Person to be contacted on matters involving this application			
Prefix:		* First Name:	
* Last Name:		Middle Name:	
* Phone Number:		Fax Number:	
Email:		Suffix:	
6. * EMPLOYER IDENTIFICATION (EIN) or (TIN):			
7. * TYPE OF APPLICANT: Please select one of the following			
Other (Specify):			
Small Business Organization Type <input type="checkbox"/> Women Owned <input type="checkbox"/> Socially and Economically Disadvantaged			
8. * TYPE OF APPLICATION:		If Revision, mark appropriate box(es).	
<input type="checkbox"/> New <input type="checkbox"/> Resubmission		<input type="checkbox"/> A. Increase Award <input type="checkbox"/> B. Decrease Award <input type="checkbox"/> C. Increase Duration <input type="checkbox"/> D. Decrease Duration	
<input type="checkbox"/> Renewal <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		<input type="checkbox"/> E. Other (specify):	
* Is this application being submitted to other agencies? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No What other Agencies?			
9. * NAME OF FEDERAL AGENCY:		10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:	
Health Resources & Services Administration		93.359	
11. * DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:			
12. PROPOSED PROJECT:		* 13. CONGRESSIONAL DISTRICT OF APPLICANT	
* Start Date		* Ending Date	
14. PROJECT DIRECTOR/PRINCIPAL INVESTIGATOR CONTACT INFORMATION			
Prefix:		* First Name:	
* Last Name:		Middle Name:	
Position/Title:		Suffix:	
* Organization Name:			
Department:		Division:	
* Street1:			
Street2:			
* City:		County / Parish:	
* State:		Province:	
* Country:		* ZIP / Postal Code:	
* Phone Number:		Fax Number:	
* Email:			

- Fields in yellow are mandatory and must be filled in.
- Error messages will pop-up if a mandatory field is not filled in.
- If a mandatory field is not filled in, then you will be unable to submit the application.

Submitting Your Application Package

Save, Check, and Submit Your Application

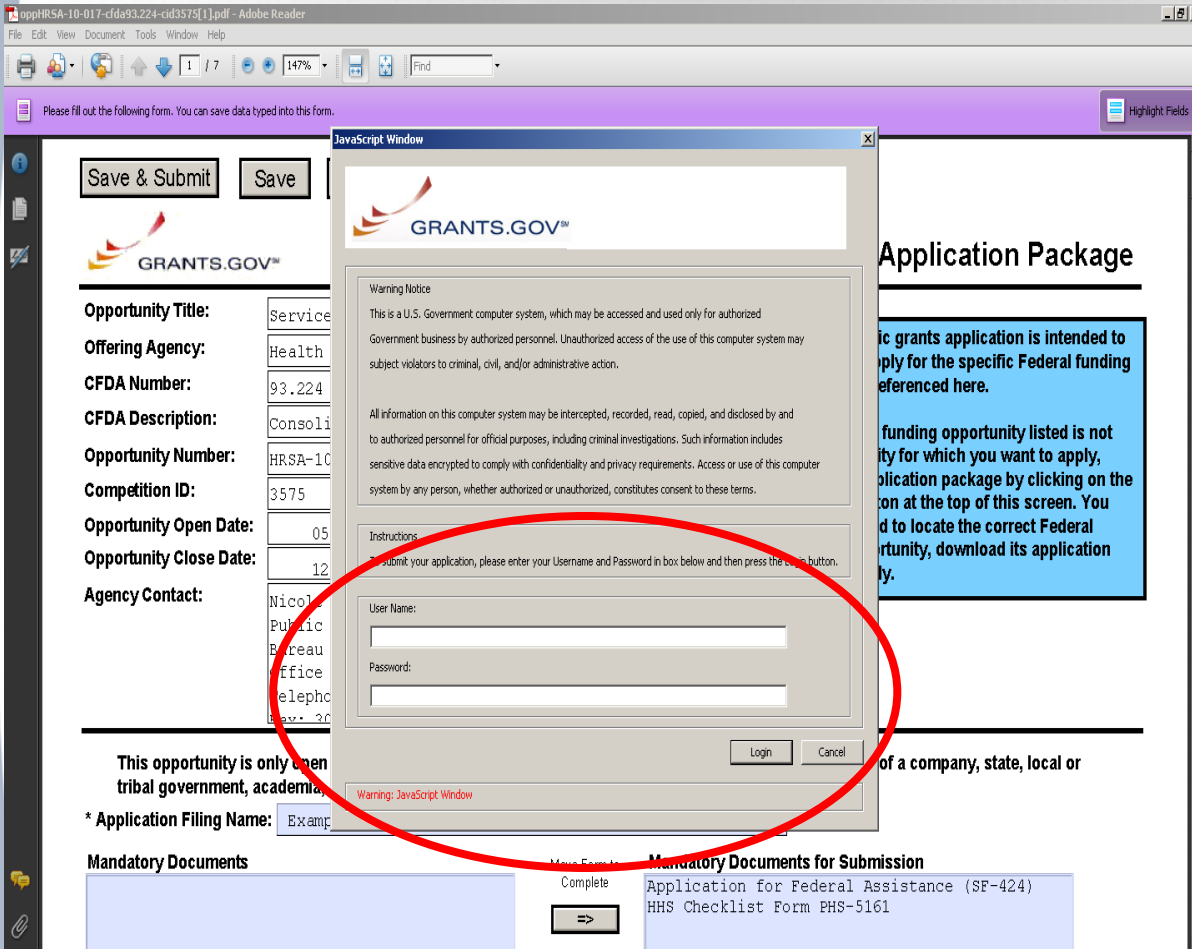


The screenshot shows the top of the GRANTS.GOV application form. A red rectangular box highlights a row of five buttons: "Save & Submit", "Save", "Print", "Cancel", and "Check Package for Errors". Below the buttons is the GRANTS.GOV logo and a form with the following fields:

Opportunity Title:	Affordable Care Act (ACA) Nurse Education, Practice, Qu
Offering Agency:	Health Resources & Services Administration
CFDA Number:	93.359
CFDA Description:	Nurse Education, Practice and Retention Grants
Opportunity Number:	HRSA-11-041
Competition ID:	4210
Opportunity Open Date:	12/06/2010

- Buttons at the top of the home page of the application allow you to:
 - Save
 - Check Package for Errors
 - Print your application
- Once your application is free of any of errors, the “Save & Submit” button will become activated.
- Make sure you are connected to the Internet when ready to submit.

Adobe Submission Screen



The screenshot shows a web browser window displaying the Adobe Submission Screen. A JavaScript Window is open, prompting for a Username and Password. A red circle highlights the login fields. A Warning Notice is also visible, stating that the system is a U.S. Government computer system and that unauthorized access is prohibited. The main form contains fields for Opportunity Title, Offering Agency, CFDA Number, CFDA Description, Opportunity Number, Competition ID, Opportunity Open Date, Opportunity Close Date, and Agency Contact. A blue box highlights a warning message: "This grants application is intended to apply for the specific Federal funding opportunity listed in the Application Package. If you are not authorized as an AOR for your organization, you will receive an error message and will be unable to submit your application." The bottom of the screen shows a section for Mandatory Documents for Submission, including "Application for Federal Assistance (SF-424)" and "HHS Checklist Form PHS-5161".

Save & Submit Save

GRANTS.GOVSM

Opportunity Title: Service
Offering Agency: Health
CFDA Number: 93.224
CFDA Description: Consoli
Opportunity Number: HRSA-10
Competition ID: 3575
Opportunity Open Date: 05
Opportunity Close Date: 12
Agency Contact: Nicol
Public
Bureau
Office
Telepho
Fax: 30

Warning Notice
This is a U.S. Government computer system, which may be accessed and used only for authorized Government business by authorized personnel. Unauthorized access or use of this computer system may subject violators to criminal, civil, and/or administrative action.
All information on this computer system may be intercepted, recorded, read, copied, and disclosed by and to authorized personnel for official purposes, including criminal investigations. Such information includes sensitive data encrypted to comply with confidentiality and privacy requirements. Access or use of this computer system by any person, whether authorized or unauthorized, constitutes consent to these terms.

Instructions
To submit your application, please enter your Username and Password in box below and then press the Login button.

User Name:
Password:

Login Cancel

Application Package
This grants application is intended to apply for the specific Federal funding opportunity referenced here.
If you are not authorized as an AOR for your organization, you will receive an error message and will be unable to submit your application.

Warning: JavaScript Window

This opportunity is only open to tribal government, academia, and non-profit organizations of a company, state, local or


* Application Filing Name: Examp

Mandatory Documents for Submission
Application for Federal Assistance (SF-424)
HHS Checklist Form PHS-5161

- Upon clicking Save & Submit, you will be prompted to enter your Grants.gov user name and password.
- If you are not authorized as an AOR for your organization, you will receive an error message and will be unable to submit your application.

Sign and Submit Screen

JavaScript Window



Application Submission Verification and Signature

Opportunity Title: 03232009-KV-1
Offering Agency: IV_Y Agency
CFDA Number: 10.001
CFDA Description: Agricultural Research_Basic and Applied Research
Opportunity Number: 03232009-KV-1
Competition ID: 03232009-KV-CO-1
Opportunity Open Date: 2009-03-23
Opportunity Close Date: 2020-03-23
Application Filing Name: 03232009

Do you wish to sign and submit this Application?

Please review the summary provided to ensure that the information listed is correct and that you are submitting an application to the opportunity for which you want to apply.

If you want to submit the application package for the listed funding opportunity, click on the 'Sign and Submit Application' button below to complete the process.


If you do not want to submit the application at this time, click the 'Exit' button. You will then be returned to the previous page where you can make changes to the required forms and documents or exit the process.

If this is not the application for the funding opportunity for which you wish to apply, you must exit this application package and then download and complete the correct application package.

Warning: JavaScript Window

- You'll be prompted again to “Sign and Submit”.
- This serves as the electronic signature for your application.

Receipt Confirmation


[Home](#) > [Apply for Grants](#) > Confirmation

Confirmation

Thank you for submitting your grant application package via Grants.gov. Your application is currently being processed by the Grants.gov system. Once your submission has been processed, Grants.gov will send email messages to advise you of the progress of your application through the system. Over the next 24 to 48 hours, you should receive two emails. The first will confirm receipt of your application by the Grants.gov system, and the second will indicate that the application has either been successfully validated by the system prior to transmission to the grantor agency or has been rejected due to errors.

Please do not hit the back button on your browser.

If your application is successfully validated and subsequently retrieved by the grantor agency from the Grants.gov system, you will receive an additional email. This email may be delivered several days or weeks from the date of submission, depending on when the grantor agency retrieves it.

You may also monitor the processing status of your submission within the Grants.gov system by using the following step:

1. Click on the "Track Your Application:" link on end of this form

Note: Once the grantor agency has retrieved your application from Grants.gov, you will need to contact them directly for any subsequent status updates. Grants.gov does not participate in making any award decisions.

IMPORTANT NOTICE: If you do not receive a receipt confirmation and either a validation confirmation or a rejection email message within 48 hours, please contact us. The Grants.gov Contact Center can be reached by email at support@grants.gov, or by telephone at 1-800-518-4726. Always include your Grants.gov tracking number in all correspondence. The tracking numbers issued by Grants.gov look like GRANTXXXXXXXX.

Contact Center hours of operation are Monday-Friday from 7:00 A.M. to 9:00 P.M. Eastern Time.

The following application tracking information was generated by the system:

Grants.gov Tracking Number :	
Applicant DUNS:	
Submitter's Name:	
CFDA Number:	
CFDA Description:	
Funding Opportunity Number :	
Funding Opportunity Description :	
Agency Name :	
Application Name of this Submission :	
Date/Time of Receipt :	

To check the status of your application please click below.

[\[Link\]](#)

It is suggested you Save and/or Print this response for your records.

- A confirmation screen will have your Grants.gov Tracking Number
 - Use this number to track your application
- The date/time stamp serves as the official time of submission
- You will also receive emails indicating the status of your application

1. Receipt Email

- Your application has been received by Grants.gov
- Grants.gov E-mail Verifying Receipt (*with Track My Application URL*)

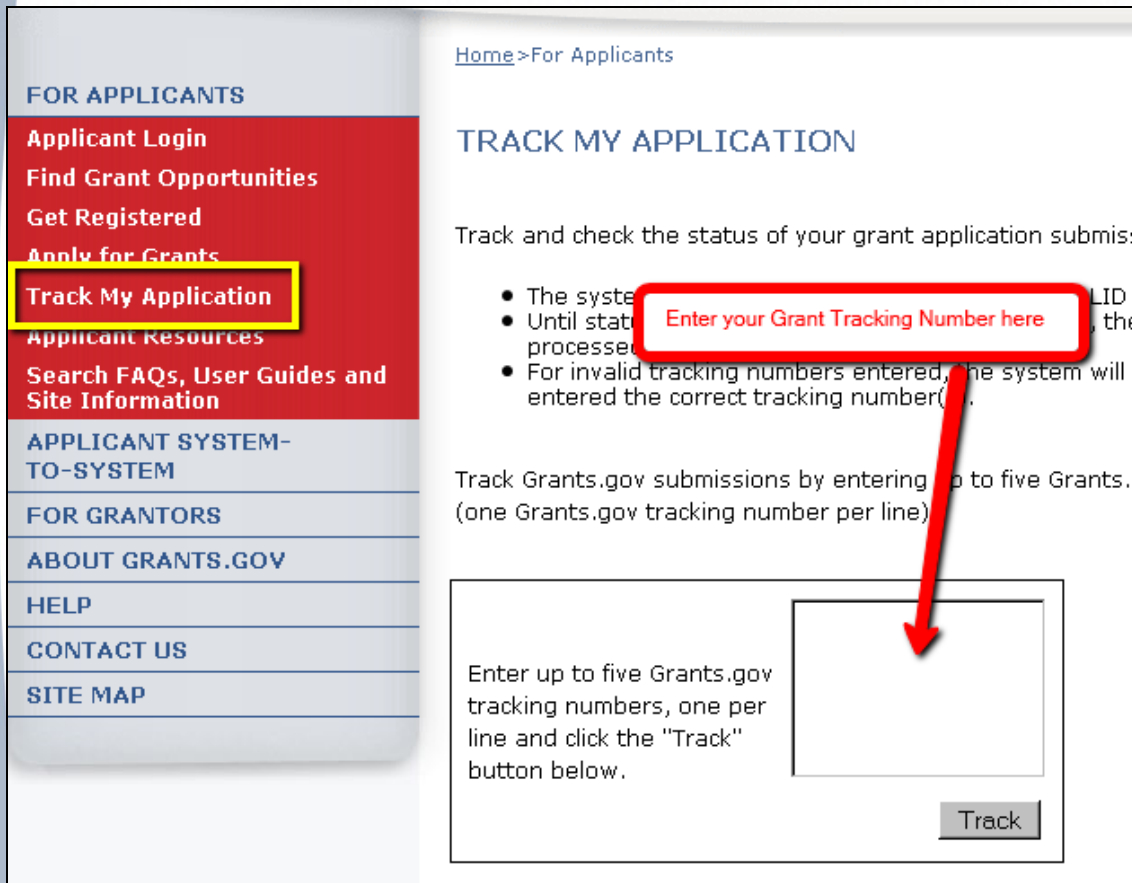
2. Validation or Rejection Email

- Grants.gov E-mail Verifying Successful Submission
- **OR** Rejection Due to Errors with a description of issue

3. Transmission to Agency

- The Agency has picked up your application from Grants.gov

Track My Application



[Home](#) > For Applicants

TRACK MY APPLICATION

Track and check the status of your grant application submission.

- The system will not allow you to track an application until it has been processed by the agency.
- For invalid tracking numbers entered, the system will notify you and you will need to re-enter the correct tracking number(s).

Track Grants.gov submissions by entering up to five Grants.gov tracking numbers (one Grants.gov tracking number per line).

Enter up to five Grants.gov tracking numbers, one per line and click the "Track" button below.

- Track your application status by entering the Grant Tracking Number
- Status information:
 - Received
 - Validated or Rejected
 - Retrieved by Agency

Grant Proposal Tips

- **Register and Submit Early**
- Thoroughly read and follow all of the instructions provided by the agency
- Include Sufficient Program and Budget Details
- Strongly recommend filling out optional forms in the app package

Grants.gov Application Tips

- Limit Application File Size/ File Name Characters (50 or less)
- Avoid Special Characters in File Names (\$, %, &, *, etc.)
- Use Correct DUNS Number in the SF-424 Cover Page
- Make sure you have Grants.gov compatible software (Adobe Reader)

Contact Center

- Support available 24/7
- Email: support@grants.gov
- Toll-Free Phone Number: 1-800-518-4726
- Self-help iPortal: (<http://grants.gov/iportal>)

Additional Resources

- http://grants.gov/applicants/app_help_reso.jsp
- FAQs
- User Guides

Thank You

Agenda

Introduction & Updates

Application Requirements/Getting Familiar with Grants.gov

Budget Forms

Financial Templates

Medicare Data

SF424A Budget Instructions

All applicants must submit a SF424A and a Budget Narrative

- SF424A

- Section A – Budget Summary

- Outlines total overall costs requested by applicant as well as any non-federal contributions.
 - The total federal funds requested in Section A should match the total shown on 18.a. of the SF424. These two numbers must match.

- Section B – Budget Categories

- Shows the total requested by each object class category (e.g. personnel, fringe, contractual, etc.) for each year/budget period
 - Columns should breakdown costs by each budget period based on type of award requested

SF424A Budget Instructions (continued)

- Model Design
 - Column 1, Section B, should reflect the 6-month budget and project period costs

- Model Testing – States should submit budgets assuming they are Track One states. If the waiver feasibility review determines that a state’s application fits into Track Two – the state will be asked to modify its budget to reflect a 48-month performance period
 - Column 1, Section B, should reflect costs for the initial 6-month implementation period (i.e. initial six-month budget period)
 - Columns 2, 3, and 4 should each reflect costs for a 12-month budget period (to mirror the 36-month testing period)

Budget Narrative

Budget Narrative

- Should mirror the costs outlined in the SF-424A
- The Budget Narrative should provide a breakdown of costs based upon how costs are outlined in the columns (i.e. by budget period)
- A detailed cost breakdown should be provided for each line item outlined in the SF424A by budget period/year, including a breakdown of costs for each activity/cost within the line item.
- A detailed explanation must be provided for each activity/cost that justifies why that item should be funded and how it is necessary for the overall success of the proposed project.

Indirect Costs

Indirect costs (also known as facilities and administrative costs) are costs incurred for common or joint objectives that cannot be identified specifically with a particular project, program, or organizational activity.

- If requesting indirect costs, a currently effective Indirect Cost Rate Agreement will be required.
- For this funding opportunity, indirect costs are limited to 10%.

Agenda

Introduction

Application Requirements/Getting Familiar with Grants.gov

Budget Forms

Financial Templates

Medicare Data

Financial Templates

- The financial plan is required as part of the state's model proposal.
- States applying for SIM funding must demonstrate a good knowledge of health care cost and cost drivers in their states.
- The financial plan must include the methodology and data sources used in developing the projections and any underlying assumptions that were made in projecting or trending healthcare costs.
- This information will be an important element in evaluation of the state's proposal.

PART ONE: Model Design

- States submitting a proposal for a Model Design award must describe the state's most recent public and private sector health care costs drivers and trends at summary level.
- Model Design applicants must complete only Table 1 in Part One.
- In addition, to the extent they have developed plans, they should provide a description of estimated savings and ROI

PART TWO: Model Testing

- The financial plan provides relevant financial information on per-member-per-month (PMPM) health care costs and trends, anticipated financial impact of the model, and return on investment analysis.
- For participating commercial health plans, states may provide aggregate health care cost data if detailed cost data is not available.
- For private insurer information, the AHRQ Medical Expenditure Panel information <http://meps.ahrq.gov/mepsweb> may be useful, a state specific actuarial report, or another nationally recognized source.
- This data is critical to evaluating the state's Model Testing proposal.
- The information provided in the Financial Plan should be validated by a qualified actuary or financial analyst.

PART TWO: Model Testing

- Available Medicare FFS data can be found at the following site:
<http://www.iom.edu/Activities/HealthServices/GeographicVariation/Data-Resources.aspx>
- The following narrative information is required along with the appropriate tables:
 - Describe the population being addressed and their respective current projected health care cost trends **without** the intervention for the target population over proposed three year testing period.
 - Describe anticipated total cost saving for each year of the test period, the projection for aggregate total cost saving by the end of the model test period, the net costs savings projected, & supplemental provider costs.
 - Describe how the model test interventions or payment changes interact with service utilization, health system performance, and per capita cost.
 - Describe the overall impact of the proposed model on the projected health care cost and cost trends in the target population & any cost associated with supplemental or additional services provided as part of the model test and how these services will have an impact on reducing cost.

Tables 2-4

- **For Tables 2 through 4 please use numbers based on the amount paid.** The Innovation Center is also interested in the Allowable amount for services, in order to determine the co-insurance requirements and if state models include shifts between the allowed versus paid amounts. To the extent possible, indicate the Allowable PMPM costs as well as the Paid PMPM cost by completing duplicate worksheets labeled as Allowable costs in the title.
- Complete tables 2A, 2B, 2C, 2D, and 2E to document the current projections of expenditures and utilization **without** the model intervention.
- **NOTE: Cells requiring data input are highlighted. Other cells will be populated/calculated automatically.**

Tables 2-4

- Complete tables 3A, 3B, 3C, 3D, and 3E to document the estimated impact **with** the model test on health care cost and utilization.
- NOTE: Put “NA “for any category of cost not within the scope of the model tests.
- Complete Tables 4A and 4B to document net savings from the model test intervention and the return on investment of Innovation Center and other contributed funds (federal, state, private) to the model intervention.

Agenda

Introduction

Application Requirements/Getting Familiar with Grants.gov

Budget Forms

Financial Templates

Medicare Data

Medicare Data Resources

- CMS has released aggregated Medicare FFS data at the state (and hospital referral region) level
- Available data include:
 - Demographics and disease prevalence
 - Spending and utilization (by service category)
 - Quality (readmissions, ED visits, avoidable hospitalizations, hospital compare)

<http://www.iom.edu/Activities/HealthServices/GeographicVariation/Data-Resources.aspx>

Using the Publically Available Medicare Data

	DC (District of Columbia)			
	2007	2008	2009	2010
<i>Service Level Costs and Utilization</i>				
IP Actual Costs	\$177,557,498	\$179,143,145	\$184,842,742	\$187,646,856
IP Actual Costs as % of Total Actual Costs	42.2%	41.7%	41.3%	40.0%
IP Per Capita Actual Costs	\$4,028	\$4,187	\$4,289	\$4,260
IP Per User Actual Costs	\$19,626	\$20,705	\$21,173	\$21,287
IP Standardized Costs	\$125,673,169	\$126,460,652	\$130,035,304	\$132,117,818
IP Standardized Costs as % of Total Standardized Costs	35.5%	34.9%	34.4%	33.1%
IP Per Capita Standardized Costs	\$2,851	\$2,956	\$3,018	\$2,999
IP Per User Standardized Costs	\$13,891	\$14,616	\$14,895	\$14,988
IP Users (with a covered stay)	9,047	8,652	8,730	8,815
% of Beneficiaries Using IP	20.5%	20.2%	20.3%	20.0%
IP Covered Stays Per 1000 Beneficiaries	348	347	346	337

Unadjusted Medicare per-capita spending on acute inpatient services in DC increased from \$4,028 to \$4,289 between 2007 and 2009, but then decreased slightly to \$4,260 in 2010 due to lower utilization

Potential Analyses Using the Medicare Data

- Aggregated data still allows for a variety of analyses:
 - Trends from 2007 to 2010
 - Comparing a state or HRR to Medicare's national average or to another geographic region
 - Intra-state geographic variation using the HRR level data
 - Patterns of spending and utilization at the setting and/or service level

Other CMS Data Resources

- Newly established Office of Information Products and Data Analysis (OIPDA) at CMS
- Improved state request process for Medicare data
http://www.resdac.org/Medicare/requesting_data_StateResearch.asp
 - Data available for a variety of state research activities
 - Access to recent data (quarterly updates) with a new, more affordable, pricing structure
- Publically available reports
 - Medicare geographic variation site visits
<http://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/Medicare-Geographic-Variation/SiteVisits.html>
 - Insight Briefs
<http://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/Insight-Briefs/index.html>

Additional Information and How to Contact

- Additional webinars will be scheduled for state policy makers – these will focus on Model Design and Model Testing
- Submit questions to stateinnovations@cms.hhs.gov
(Note: States may wish to create a similar in-box for your stakeholders)
- FAQ will be updated and posted to the Innovation Center website at innovation.cms.gov
- Additional information is available on our website: innovation.cms.gov/initiatives/state-innovations

Questions

