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## Senate

(Legislative day of Wednesday, January 5, 2011)

The Senate met at 10 a.m., on the expiration of the recess, and was called to order by the Honorable JEANNE SHAHEEN, a Senator from the State of New Hampshire.

### PRAYER

The Chaplain, Dr. Barry C. Black, offered the following prayer:

Let us pray.

Almighty God, whose kingdom is above all earthly kingdoms and who judges all lesser sovereignties, give our lawmakers this day clean hands and pure hearts to serve You and Your people. Equip them with grace, strength, and wisdom to make our Nation and world better for the glory of Your Name. Lord, infuse them with a creativity that will empower them to do their work according to Your will. Give them peace of soul when their thoughts and plans are right, and disturb them when they drift from what is best. Lead them in paths of righteousness and truth. We pray in Your holy Name. Amen.

### PLEDGE OF ALLEGIANCE

The Honorable JEANNE SHAHEEN led the Pledge of Allegiance, as follows:

I pledge allegiance to the Flag of the United States of America, and to the Republic for which it stands, one nation under God, indivisible, with liberty and justice for all.

### APPOINTMENT OF ACTING PRESIDENT PRO TEMPORE

The PRESIDING OFFICER. The clerk will please read a communication to the Senate from the President pro tempore (Mr. INOUYE).

The legislative clerk read the following letter:

U.S. SENATE,  
PRESIDENT PRO TEMPORE,  
Washington, DC, January 25, 2011.

To the Senate:

Under the provisions of rule I, paragraph 3, of the Standing Rules of the Senate, I hereby

appoint the Honorable JEANNE SHAHEEN, a Senator from the State of New Hampshire, to perform the duties of the Chair.

DANIEL K. INOUYE,  
President pro tempore.

Mrs. SHAHEEN thereupon assumed the chair as Acting President pro tempore.

### RECOGNITION OF THE MAJORITY LEADER

The ACTING PRESIDENT pro tempore. The majority leader is recognized.

Mr. REID. Madam President, I suggest the absence of a quorum.

The ACTING PRESIDENT pro tempore. The clerk will call the roll.

The legislative clerk proceeded to call the roll.

Mr. REID. Madam President, I ask unanimous consent that the order for the quorum call be rescinded.

The ACTING PRESIDENT pro tempore. Without objection, it is so ordered.

### SCHEDULE

Mr. REID. Madam President, following any leader remarks, the Senate will be in a period of morning business with Senators allowed to speak for up to 10 minutes each. The Senate will recess from 12:30 until 2:15 p.m. for our weekly caucus luncheons. I will continue to work with my colleagues on the Senate rules and committee assignments as I have for the last week or so.

At 9 o'clock tonight, the President will give his State of the Union Address to Congress from the House Chamber. Senators are asked to gather in the Senate Chamber at 8:30 so we can proceed as a body to the House of Representatives. We will leave about 8:40 or 8:45 this evening.

### RETURNING TRUTH TO DEBATE

Mr. REID. Madam President, in the 2 weeks we were away from Washington, all of us absorbed the numbing tragedy and horrific attack in Tucson, AZ. The Nation mourned the loss, thanked the heroes, and waited anxiously by a brave Congresswoman's hospital bedside. We continue to wish victims a full and speedy recovery and continue to keep their families in our thoughts.

In the days since the Senate last convened, the Nation also resumed a debate over the words, the tone, and the metaphors we use in the Senate, as well as along the campaign trail, on the Internet, and over the airwaves. The national conversation about our national conversation is not new. It happens every year. Candidates promise it in every election. But since the shooting in Tucson, calls for more careful language have been multiplied and amplified.

There is no evidence that partisan politics played any role in this monstrous attack. Even so, we should be more civil anyway. Being more mindful of the weight of our words always helps. We have much more to gain with civility and discretion.

In this new year, I hope we will return to the respect that has always been a hallmark of the Senate. I hope my colleagues will join in renewing our commitment to productive debate. Some may be inspired by the town hall meetings of two Augusts ago, others by the heated election debates. Some may be motivated by the conversations started after Tucson, AZ, and many will seek more civility simply because it is the right thing to do. Whatever the reason, I hope the return to more responsible rhetoric is more than empty rhetoric. I intend to do my part.

What I am talking about goes beyond inflammatory allegations or hate speech. It also means not questioning each other's motives or calling into

• This "bullet" symbol identifies statements or insertions which are not spoken by a Member of the Senate on the floor.



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question the patriotism of a colleague who has been elected to serve his State and his country.

But it is even more than that. As we more carefully choose our words, we must also remember we do not have the luxury, as Senator Moynihan used to caution, to choose our own facts. If we are going to change the way we speak in the hope of changing the way we do business, we have to reintroduce truth into the public debate.

This doesn't mean just rephrasing an attack line from "job-killing" to "job-destroying," as House Republicans have done in response to the shooting. It means if there is no proof that a policy takes away jobs—if in fact the evidence shows the opposite—we shouldn't pretend any differently. The non-partisan referee we rely on for this data—the Congressional Budget Office—found that when it comes to health care reform—which is what the Republicans are talking about in this case—the claim is simply not true. Changing our rhetoric requires us to debate facts, not invent them.

In the coming weeks, much of the discussion on the Senate floor will revolve around health care, the deficit, and debt limit—those three things. Each of these issues affects the No. 1 issue in America, jobs. Each issue is complex. If we are going to make the right decisions and point our economy back in the right direction, we have to start with a shared respect for the facts.

First, let's look at health care. Independent fact checkers examined all the political rhetoric of the last year. Given the intensity of the legislative debates and the election season, there was a lot from which to choose. But one claim stood out above all—the habit of those opposed to health care to call it a "government takeover."

One of those nonpartisan experts, factcheck.org, called it plainly "false." Another, PolitiFact, a project of the St. Petersburg, FL Times, called it the "Lie of the Year." So if we are going to have an honest debate about the health reform law we passed last year, retiring this scare tactic would be a good place to start.

The deficit: Madam President, my friends on the other side are quick to associate the current President with the current deficit as if it happened overnight and under his watch. But here is a brief review of the facts.

In the 1990s, we balanced the budget under the direction of President Clinton. At the beginning of the next century, America had a bigger surplus than ever in its history. Over the next decade, while our troops went into battle, the costs of two wars went off-budget. The richest took home giant tax breaks but nobody paid the bill. A massive prescription drug program wasn't paid for either.

President Clinton left President Bush a record surplus. President Bush left President Obama a record deficit. Those unpaid-for wars, tax breaks, and

programs are the reason we are in a hole today. What we do next is fair game for debate. But facts, as President John Adams said, are stubborn things.

Finally, Madam President, the debt limit: We will soon debate the debt limit. Earlier this month, the Secretary of the Treasury, Timothy Geithner, sent us each a letter as to what would happen if we don't raise that ceiling. It would be the first time in the history of America that our country would default on our legal obligations. He didn't share his partisan opinion in that letter; he simply laid out the facts. This is what he wrote:

Default would effectively impose a significant and long-lasting tax on all Americans and all American businesses and could lead to the loss of millions of American jobs. Even a short-term or limited default would have catastrophic economic consequences that would last for decades.

What are some of those consequences? Our troops and veterans would no longer get their paychecks. Our seniors would no longer get the Social Security and Medicare checks to which they are entitled. Student loans would simply stop. On a larger scale, the Secretary of the Treasury warned it would lead to a worse financial crisis than the one we are still recovering from.

There soon will be lots of time to debate what we will do about the debt limit, but these are the facts we must first acknowledge and consider.

Finally, the American people voted in November for a divided legislative branch of government, a Democratic Senate and Republican House. They didn't elect Houses led by competing political parties because they want us to compete; they did so because they want us to cooperate. We cannot cooperate without an honest debate and we cannot have an honest debate if we insist that fiction is fact.

Mark Twain, a great Nevadan, once said:

If you tell the truth, you don't have to remember anything.

He was right. Here is one thing every Senator should remember and never forget: Although there are many different points of view in this body, we all share the same reality.

I look forward to a productive Congress and we can do that by debating the facts.

#### PROVIDING FOR A JOINT SESSION OF CONGRESS TO RECEIVE A MESSAGE FROM THE PRESIDENT

Mr. REID. Madam President, before I turn this over to my friend the Republican leader, I ask unanimous consent the Senate proceed to the immediate consideration of H. Con. Res. 10, which was received from the House and is at the desk, that the concurrent resolution be agreed to, the motion to reconsider be laid on the table, that no intervening action or debate take place, and any statements be printed in the RECORD.

The ACTING PRESIDENT pro tempore. Is there objection?

Without objection, it is so ordered.

The concurrent resolution (H. Con. Res. 10) was agreed to.

#### RECOGNITION OF THE MINORITY LEADER

The ACTING PRESIDENT pro tempore. The Republican leader is recognized.

#### DEPARTING COLLEAGUES

Mr. MCCONNELL. Madam President, I wish to start this morning by acknowledging the news from last week that three of our colleagues will be leaving us when their current terms expire. Senator HUTCHISON has been a trusted adviser of mine, a leader in the Senate, and a dear friend. Senator CONRAD has been a leader on the budget. He has done a lot to alert the country to the fiscal problems we face as a Nation. Senator LIEBERMAN has been a consistent and courageous leader on defense and national security issues. We will be sorry to see them go. They have all been a great credit to this body.

#### STATE OF THE UNION ADDRESS

Mr. MCCONNELL. Madam President, every grade school student knows that all three branches of the Federal Government in Washington are equal, but as every Member of Congress quickly learns, the President sets the agenda. Never is that more apparent than on the day of the State of the Union Address. This year the President will be speaking to a Congress that looks very different from the one he spoke to last year. The voters sent a clear message in November that when it comes to jobs and the economy, the administration's policies have done far more damage than good.

One very positive thing that the President could do tonight is to acknowledge they have a point. He has tried to do so indirectly in recent weeks by hiring new staff and by speaking in tones of moderation, but it takes more than a change in tone to improve the economy. It takes more than a change in tone to reduce the debt. It takes more than a change in tone to help create the right conditions for private-sector job growth. It takes a change in policy, and the early signals suggest the President isn't quite there yet.

The President has talked recently about working together to improve a regulatory climate that stifles business innovation and job growth. Yet he has not acknowledged the extent to which his own policies have stifled growth. Over the past 2 years, his administration has issued more than 130 economically significant new rules or 40 percent more than the annual rate under the last two Presidents. What is worse,

the new health care bill will alone create 159 new bureaucratic entities and it is exempt from the President's proposed regulatory reforms. The health care bill, which will create 159 new bureaucratic entities, is exempt from the President's proposed regulatory reforms. This is bad news for small business that was already struggling to get by in a down economy and which is now grappling with how to afford all the new mandates in the new health care bill.

The President has talked about streamlining and reducing the burden of government. Yet the health care bill he has signed is already increasing the cost of care and forcing people out of their existing coverage. The debate over this bill continues and the President and Democrats in Congress continue to defend it. But when nearly two-thirds of doctors surveyed predicted it will make health care in America worse, Americans are right to be concerned. It should tell us something that of the 19 doctors currently in Congress, 18 of them support repeal of the health care bill.

The President has talked about the need to cut spending and reduce the debt. Yet over the last 2 years his policies have added more than \$3 trillion to the national debt, much of it through a stimulus that promised to keep unemployment—now hovering just below double digits—from rising above 8 percent. And now we hear that he plans to stick with the same failed approach of economic growth through even more government spending with a call for "investments" in education, infrastructure, research, and renewable energy.

We have seen before what Democrats in Washington mean by investments. In promoting the failed stimulus, the President referred to that too as an investment in our Nation's future. Fourteen times alone during his signing statement he referred to the stimulus bill's investments. We all know how that turned out.

The first stimulus, we were told, would include critical so-called investments in education, infrastructure, scientific research, and renewable energy—the same areas we are told he will focus on tonight. Only later did we learn that some of these critical investments included things such as repairs on tennis courts, a study on the mating decisions of cactus bugs, hundreds of thousands of dollars for a plant database, and a \$535 million loan to a California solar panel maker which, instead of hiring 1,000 new workers as planned, just laid off 175 instead.

This is what happens when the government decides to pick winners and losers without considering what the marketplace wants. Competitors are left out in the cold, employees get a false sense of security, and taxpayers are left holding the bag. Unfortunately, the President does not seem to have learned this lesson quite yet. But tax-

payers now know that when Democrats talk about investments they should grab their wallets.

So I am all for the President changing his tune, but unless he has a time machine, he cannot change his record. If we are going to make any real progress in the areas of spending, debt, and reining in government, the President will have to acknowledge that the policies of the past 2 years are not only largely to blame for the situation we find ourselves in, but that unless we do something to reverse their ill effects, the road to recovery and prosperity will be a bumpy one.

The President has spoken in the tones of a moderate many times. He did so in his campaign. He has done so in countless speeches. He has a knack for it. I have no doubt he will do so again tonight. But speeches only last for as long as they are delivered. Americans are more interested in what follows the speech and, in the case of this administration, Americans have good reason to be skeptical. Time and time again the President has spoken in a way that appeals to many, then governed in a way that does not. My hope is he will leave that method aside. A better path, in my view, is the one Republicans have been proposing for 2 years, one that respects both the wishes of the public and the two-party system.

Last year, prior to the President's State of the Union, I proposed a number of areas where I thought the two parties could find common ground and work together to help the economy. The President ignored just about everything I proposed. So when the pundits ask whether there are areas where the two parties could come together, I would say yes, I have proposed several of them, but the Democrats don't seem to be interested. Some have suggested that in this new post-election environment I might find a more receptive audience. So in the spirit of bipartisanship, I wish to propose once again a few areas where I believe the two parties can work together in the weeks and months ahead.

I believe the parties can and should work together on energy initiatives that expand America's domestic energy supply and make us less reliant on foreign sources; on expanding exports and creating jobs through free trade agreements with Panama, Colombia, and South Korea; and on reforming corporate taxes so American businesses are more competitive in an increasingly global marketplace. These are just a few of the things we can do beyond the symbolic gestures and the posturing to help the economy.

Beyond that, we must work to cut spending and to rein in the size and scope and cost of government. The voters have been crystal clear on this point. By proposing more government spending tonight, the President is not only defying their will, he is refusing to learn the clear lesson of the failed stimulus—government may create debt but it doesn't create jobs.

I think we have a lot of work to do in bringing the two parties together in a program that will actually address the problems we face. But there are reasons for optimism. The President's change in tone is an acknowledgement at least that something has to change, as was his willingness to work with Republicans last month to keep taxes from going up on anyone. In the coming weeks and months Americans will be looking to him to come around on spending and debt as well, and Republicans will be working hard to persuade him to do so.

I yield the floor.

#### RESERVATION OF LEADER TIME

The ACTING PRESIDENT pro tempore. Under the previous order, the leadership time is reserved.

#### MORNING BUSINESS

The ACTING PRESIDENT pro tempore. Under the previous order, the Senate will be in a period of morning business with Senators permitted to speak therein for up to 10 minutes each.

The Senator from Illinois.

#### FOCUSING ON THE FUTURE

Mr. DURBIN. Madam President, last week President Hu Jintao of China came to Chicago after he was received in Washington. He was received in a gala manner in that great city and I happened to be there for the dinner. There were leaders of the community and business all there because China has become an important part of American life. It wasn't that long ago that China was stuck in the past. We can recall the Chinese in their green quilted identical clothes on their bicycles holding their "Little Red Books" of Chairman Mao's great quotations and basically being discounted and dismissed as not a major factor.

In the world economy today, China is a major factor and that is why the remarks of the Republican minority leader need to be put in perspective. The real question the President will ask us tonight is, is America ready to compete in the 21st century? Do we have what it takes to regain the edge when it comes to manufacturing jobs and to be competitive? The challenge the President offers us is to do what is responsible when it comes to our budgeting but not to forget the investments necessary in our future. When I look at how the United States is likely to succeed, you have to start with education and training. We have to have an educated workforce, the best in the world. We have to reward innovation; provide the kind of research incentives at the Federal Government level that lead to the commercialization of products and ultimately manufacture and production that grows our economy.

If we walk away from that, if we say that the United States can no longer

afford to invest in America, we are walking away from what is essential for our competitive edge. When I hear the Republican leader stand and say we cannot afford these investments in America anymore, I wonder what his vision is when it comes to our competitive edge. I think it is important that we maintain that. The President is going to do that, I believe, in the context of responsible budgeting.

For the record, we had a deficit commission proposed on a bipartisan basis last year by Senator CONRAD and Senator Gregg, and it was a commission that came up for a vote on the floor of the Senate. Does the Senator from New Hampshire remember what happened? We failed to pass this deficit commission when seven Republican Senators, who were cosponsors, came down and voted against it.

The President had no choice at that point but to start an executive commission, on which I was proud to serve, and that executive commission did not have the binding authority that the legislative commission did, which was defeated by the Republicans on the floor of the Senate. So, now, as they pose for holy pictures in deficit reduction, they want us to erase that memory of seven Republican Senators, cosponsors, who turned and reversed their position when it came to this deficit commission.

I served on this commission. The one thing the commission reminded us of over and over is that when we hit the deficit brake, do not hit it too soon or we can skid off the road. We can be back into a deeper recession if we are not careful.

There is good news—not as much as we would like, but there is good news. A CNN opinion research poll released this morning said the percentage of the American people who felt things are going well is up 14 points since December. And the polling director said: We have not seen numbers like this since April of 2007. One likely reason for the change is the public's growing optimism about the economy. Why is it that this good news about the economy makes the Republicans feel so sad and gloomy? It is an indication we are moving in the right direction.

When the Senator from Kentucky gets up and says: Government just creates debt, it does not create jobs, that was not the speech we heard when we extended the tax cuts. Exactly the opposite was said on the floor of the Senate. Republican Senators stood up and said: Give tax cuts to people, and they are going to be able to spend more money for goods and services and have more confidence in the future.

That was a government decision—a government decision endorsed by the President and a strong bipartisan majority in the Senate and the House. The government can work in a positive way.

Let me say one word about health care. I listened carefully as Republican after Republican came to the floor to

decry the notion that there would be a government-administered health care plan. Now, it is not a government health insurance plan; it is private health insurance administered through the government and insurance exchanges to give everybody a chance to have health insurance. But those on the other side who stand up and decry government-administered health insurance plans are, in fact, insured under a government-administered health care plan called the Federal Employees Health Benefits Program.

So I would basically say this: We all know the Hippocratic Oath, and we all know the saying “Physician, heal thyself.” I would say to those Republican Senators calling for repeal of health care for the rest of America, first, Senators, repeal your own. Step away from the government-administered health care plan. If you find it so objectionable for the rest of America, then reject it when it comes to your own health insurance. Members of the Senate, Democrats and Republicans, I think without exception, are all members of the government-administered health care plan. If it is good enough for Members of the Senate, why is it not good enough for the rest of America? I think that is the basics.

Let me close by saying this: When it comes to trade agreements, I believe we should have good ones, ones that are fair to American workers and businesses, ones that are enforced when there are unfair trade practices. But we have to be careful as well that we have a tax code that also rewards good conduct by American businesses.

Our Tax Code currently subsidizes America corporations that want to ship production overseas. Why in the world would we spend a dollar in our tax money to reward a company that wants to remove a job from America? Over and over again, we have begged the Republicans to join us in a bipartisan effort to end this subsidy for shipping jobs overseas. That would be a good way to build the economy here in America, create good-paying jobs here at home, and invest in a country which has a bright future if we do not get caught up in the political rhetoric of the day.

I yield the floor.

The ACTING PRESIDENT pro tempore. The Senator from Arizona.

#### TUCSON TRAGEDY

Mr. KYL. Madam President, I would like to call timeout from this partisan discussion to speak for a moment about the events in Tucson of January 8. It is the first opportunity I have had to address my colleagues about the tragedy of that day. The theme I would like to discuss is the goodness of people because if I have gotten any lesson from this, after meeting and talking with all of the people whom I could who were involved in this tragedy, the overwhelming notion of the goodness of people is what I am most left with.

Tomorrow, Senator MCCAIN and I will offer a resolution in support of the victims of the shooting, offering condolences to those who were lost and their loved ones and our prayers for the recovery of those who were injured and expressing appreciation to those who engaged in real acts of heroism. We will have time more formally to talk about it when we do that tomorrow, but I wanted to share some thoughts from my heart based on my interaction with the people over the last 2 weeks after this event occurred.

It begins with the proposition that Tucson likes to call itself a town, not a city. It is over half a million people. But you are all familiar with communities which, though large in numbers, seem small because people work together, they play together, and they have a sense of community and of helping and working with each other. That is Tucson, where my wife and I both attended the University of Arizona. The Safeway where this event occurred is only two blocks from my Tucson office, and the head of my Tucson office and his staff were at the Safeway that Saturday morning shopping, and they left about 7 or 8 minutes before this occurred.

Judge John Roll, who was a very close friend and attended Mass virtually every morning, had just come from Mass and had decided to come to the Safeway to express his appreciation to Representative GABRIELLE GIFFORDS. They were friends. Among other things, he wanted to tell her he appreciated her signing a letter, along with Representative GRIJALVA, that supported the Arizona Federal District Court in its desire to be named an emergency district by the commission that does that for the Federal courts because of the overwhelming caseload in that court.

Judge Roll, though he had significant administrative responsibilities, kept a full caseload himself because to do otherwise would have been to put part of the burden onto his colleagues. So he was really carrying two separate loads, administering a very busy court, and at the same time acting as a judge on all of his cases.

One of the things he and I had been working on—in fact, Senator BARRASSO, Senator LeMieux, and I had lunch with Judge Roll the Friday after the election to talk about how we could strengthen the courts, especially because of the crushing caseload from drug and immigration cases because that is the district that is right down on the border. Part of his work, which I was working with him on, was to try to find ways to ameliorate the load of this court and potentially get some additional magistrates, if not judges, to help handle the caseload.

When Representative GIFFORDS decided to hold this “Congress on Your Corner” event, many of the people on her Tucson staff went with her to the event. They are very devoted to her. I

do not know anyone who enjoys meeting with constituents more than Representative GIFFORDS. So she had several staff people there too. When the gunman came, he immediately headed for her. His intention was obviously to do her harm, but right after shooting Representative GIFFORDS, he began to shoot the people on her staff and the others waiting in line to talk to her.

This is where some of the goodness of the people comes out. I mean, I talked about the goodness of the people. Judge Roll did not have to say “thank you” to Representative GIFFORDS, but he went out of his way to try to do that. When Ron Barber, the head of Representative GIFFORDS’ Tucson staff, was shot, Judge Roll, the cameras show, pushed him down under a table and put his body over Ron Barber’s body and thus took the bullet that killed John Roll. Talk about the goodness of people.

At his funeral, everyone in Tucson and in Arizona who knew Judge Roll spoke not just of his abilities as a jurist and his public service but his goodness, his love for his wife Maureen, their three sons, their grandchildren. Incidentally, three of his grandchildren spoke. It was so moving when they talked about the love they had for their grandfather, who took a lot of time with each of them to teach them how to swim, to play basketball, and so on. The goodness of people.

Representative GIFFORDS’ staff was there. They liked her and were very willing to be with her on a Saturday morning when they could have been doing something else with their families.

Gabe Zimmerman, just 30 years old, was one of those staff people, and he, too, lost his life. My staff in Tucson really enjoyed working with Gabe. Now, I am a Republican, they are Republicans, and Gabe is a Democrat working for a Democratic Representative. That did not matter to them. They really enjoyed working together for the same constituents. And I will tell you, my Tucson staff has taken his loss very hard.

There were others from his staff who were there, one of whom is an intern we are going to see this evening. He is going to be sitting in the President’s box. His name is Daniel Hernandez. We saw him at the ceremony in Tucson at the University of Arizona on Wednesday after the shooting. He was one of the people who immediately went to Representative GIFFORDS’ aid and continued to staunch her bleeding. The goodness of people—his unselfish act to help her.

Pam Simon was another one of her staffers who were shot. I had a chance to visit with Pam in the hospital and then after. There she is with wounds. A bullet went in and out of her arm and another in her leg. She could not wait to get back to work, and she has done so now.

The other people who were shot there—Christina Taylor Green was the

9-year-old. The things that were said about her remind me so much of my granddaughter, my youngest granddaughter. The hugest heart you can ever imagine, athletic and yet studious, interested in government—all the things you would want in a young woman. President Obama spoke eloquently about her in his remarks on that Wednesday. She was taken to the event with a friend who just wanted to expose her to Representative GIFFORDS and a little bit about our government.

Dorothy Morris. Now, I did not know Dorothy, but I knew her husband George. They had communicated with me, and I visited with George a couple of times after this event. He is a retired marine. I will tell you, he is having a hard time with this because he said that Dot, his wife, would follow him—in his words, “She would follow me to hell.” Well, she is obviously in a different place, and he is going to be as well. But the fact is, she did not particularly want to go that morning, but he is a Republican, he wanted to go talk to Representative GIFFORDS because he thought he could talk to her just in the way that we do about issues and have a good conversation with somebody he did not totally agree with.

Dorwan and Mavy Stoddard. Dorwan was killed. His whole recent life was devoted to service at his church. I visited with Mavy at her home. Her two daughters were there and a very good friend of ours, Ed Biggers, from Tucson, who also attends their church. The kindness of all of those people and the way they talked about the others involved, as well as, as you can see, the members of family and friends helping each other, was, as I said, an impression that will stick with me forever.

Phyllis Schneck, who everyone agreed was a wonderful grandmother, spent her winters in Tucson—she lived in New Jersey.

All of these folks were human beings with friends, with family, with futures, and to have all of them taken from us is a real tragedy.

What can we take from that? At this time, I think I have gone almost 10 minutes. Tomorrow, I will mention some of the other heroes. I will take a second with some of them, though.

Bill Badger, a retired Army colonel, did not want to talk about his heroism, but he helped to subdue the assailant.

Anna Ballis, who has two sons, both of whom are U.S. marines who have done repeated tours in Afghanistan and Iraq, was in the Safeway, came out, and immediately began administering to Ron Barber. I went to visit Ron in the hospital at the same time Anna had gotten there, a few minutes before, and Ron was holding her hand the entire time, saying: This is the lady who saved my life. Just a tremendous act of selfless courage on her part and showing again the wonderful humanity of all of the people there.

Steve Rayle, a doctor, a former emergency room doc, was there and helped to subdue the assailant and so on.

There are many others. We will talk about some of the others tomorrow when we express more formally our views on this resolution. I know all of our colleagues will want to join us in supporting this resolution to let the folks of Tucson know we appreciate what they have endured here, we appreciate the heroism. Our prayers are with the victims, and our hearts go out to all of those who were injured in some way or other.

From this, among the lessons we learned is that people have innate goodness. We all have a side of us that we wish we did not have sometimes too frequently expressed on the floor of this body. But maybe for a little while, we can acknowledge the fact that there is goodness in everyone, and I saw so much of that in all of these people drawn from all over the community, different walks of life, different political parties, different ages. Yet when they came together, what was most obvious? It was their sacrifice and their goodness. I think that is something that should be a lesson to all of us.

Tomorrow, I will speak more formally, as I said, about this resolution. But I am deeply grateful for the expressions of condolence and support all of my colleagues have presented to me and to Senator McCAIN.

The ACTING PRESIDENT pro tempore. The Senator from Utah.

Mr. HATCH. Madam President, I certainly grieve and also appreciate all the remarks of the distinguished Senator from Arizona. What a tragedy. But there are heroes there as well. I thank the Senator for his comments.

#### DISTURBING FISCAL SITUATION

Mr. HATCH. Madam President, in recent months President Obama has frequently discussed our Nation’s disturbing fiscal situation.

He is right to do so.

Our yearly deficits and accumulated debt hang over the futures of our children and grandchildren like a sword of Damocles.

Though he was late to the table on this issue, President Obama seems to have finally recognized the frustration and anger of the American people over our Federal fiscal policy.

Recognizing that you have a problem is an important first step, and I applaud the administration for speaking about our Nation’s structural deficits.

But this is a critical issue, and any solution will require that those responsible give a full and fair accounting of the policies that led to this crisis.

Unfortunately, rather than own up to his administration’s complicity in our fiscal imbalance, the President prefers to blame our current and future fiscal problems on the previous administration.

For this President, the buck always seems to stop over there.

This trope is getting old.

Well before citizens began organizing against this administration and its historic spending spree, the President and

his Democratic allies in Congress were justifying their stimulus program by blaming the previous administration. Yet trying to pass off the consequences of the last 2 years on a long-retired President and a Congress that ended over 4 years ago is no longer plausible.

Try as they might, revisionist fiscal history will not absolve our friends on the other side for the fiscal decisions made on their watch.

I will explain that point separately, and in detail, in a few days.

It is well past time that this administration stop pointing fingers. The American people are demanding that their elected Representatives, in Congress and the White House, act like adults and fix this fiscal mess.

In a few weeks, President Obama will send Congress his third budget.

The fact that Treasury Secretary Geithner has already written us requesting legislation to raise the debt ceiling does not bode well for citizens seeking greater spending restraint from this administration. The people of Utah and of this Nation deserve a fair accounting of the spending decisions that have led to this request.

Let me be clear.

The President's desire for a larger level of public debt is a consequence of the fiscal policy choices that he and a Democratic Congress have made over the last 2 years.

Between 2007 and 2010, Democrats enjoyed unprecedented control over Federal policy. When the President was inaugurated 2 years ago, he set to work with historic majorities in both the House and Senate.

Never letting a crisis go to waste, he sought a fundamental restructuring of the American economy, one in which government would play a starring role.

Thanks to our Founders' design, the American people were able to go to the ballot box and give their opinion about these spending policies.

Unfortunately, the administration and its allies did not curb their spending in response to democratic uprisings.

The people spoke—first in Virginia and New Jersey, then in Massachusetts, and finally, last summer, nationwide.

But the Democrats, rather than adjust their policies accordingly, just kept on spending.

The tab for this binge is almost beyond description. In the 2 years that Democrats controlled Washington, our debt has risen by almost \$3 trillion.

I have a chart documenting these staggering hikes in the debt limit.

During the short period of all-Democratic rule, the law was changed to raise the debt ceiling on three separate occasions.

On February 17, 2009, President Obama signed a debt limit increase bill of \$789 billion, the cost of the stimulus bill at that time.

On December 28, 2009, President Obama signed a debt limit increase bill of \$290 billion.

And on February 12, 2010, President Obama signed a third debt limit increase bill of \$1.9 trillion.

These dollar figures, in terms of the percentage of the economy they represent, are breathtaking. I, like most other Members on both sides of the aisle, eagerly await the President's State of the Union Address. The President is a gifted speaker. And in his usual, eloquent manner, I am sure he will skillfully lay out his fiscal and economic policy goals.

As the incoming ranking Republican on the Finance Committee, let me be the first to say that Republicans are happy to hear the President contemplating serious deficit reduction proposals. We would be overjoyed if he actually took a stand for a meaningful attack on structural deficits and the debt.

But we will judge his proposals harshly if they provide mere window dressing, rather than bold efforts to address a spending trajectory that is approaching crisis status.

Willie Sutton, the infamous bank robber, was asked why he robbed banks.

By the way, here is a chart depicting a photo of Mr. Sutton from Life.com.

How would Willie respond?

He allegedly said he robbed banks because that is where the money is.

If President Obama wants to propose credible deficit reduction proposals, he needs to go where the deficit dollars are.

And what is the source of those deficits?

Taking Willie Sutton's answer to heart, where do we look for those deficits?

They are in the trillions of dollars in new spending that the American taxpayer has been burdened with by this administration.

Non-defense discretionary spending, by itself, has grown by 24 percent over the last couple of years.

And that 24 percent figure does not include the stimulus bill spending.

If stimulus spending is included, non-defense discretionary spending has grown by 84 percent.

That is right, Madam President, 84 percent.

How many typical taxpaying American families have grown their budgets by that much in the last couple of years?

Let's take a look at the Gallup weekly survey of daily consumer spending as a comparison. I have a chart which shows the trend line in daily consumer spending.

Over here, we can see from the chart consumer spending before the financial crisis of fall 2008 and the recession.

It is running near or above \$100 per day.

Then what happens?

Americans cut back their extra spending.

It is right here on the rest of the chart.

Is it any wonder Americans are telling us to cut our spending?

They have cut spending. Why can't we in Washington do the same?

When the President laid out his last two budgets, the loudest bipartisan applause came when he stressed fiscal discipline.

That reaction should surprise no one. Though conservatives led the way, the American people understand that deficit reduction is not a partisan issue. If the promises of our Declaration of Independence and Constitution—promises of liberty and opportunity—are to mean anything for future generations, our country needs to take up deficit reduction now.

Republicans are going to insist on meaningful deficit reduction as a course correction to our currently unsustainable fiscal path. As our Nation comes out of this painful slow-growth period—hopefully sooner rather than later—we must focus on cutting the deficit and the debt.

As Republicans, we agree with the President on the priority of fiscal discipline.

But deeds mean more than words.

And twice, the President's budget, in spite of rhetorical nods to fiscal discipline, has gone in the direction of unpaid-for spending, new government programs and entitlements, and massive financial burdens on the next generation of American taxpayers.

The numbers don't lie.

The President and the Democratic leadership have dramatically expanded the deficit and piled onto the debt.

Two years ago, Republicans and Democrats dramatically disagreed on the stimulus bill. Out of all the Republicans in the House and Senate, only three supported the stimulus bill conference report.

Along with most of my Republican colleagues, I rejected this stimulus bill for several reasons.

First was the size and the form of the stimulus. Most on our side understood that \$1 trillion in deficit spending was an unacceptable burden on the people who would ultimately foot the bill.

Second, we questioned the focus of the stimulus. We weren't keen on trying to grow the economy by priming the government pump. Spending \$1 trillion of taxpayer money on the academic theory that you have to spend money to make money was a gamble the American taxpayer could not afford. And last year, while the administration and its allies were out promoting recovery summer, citizens in Utah and around the country had long before figured out that the administration's stimulus bet was a big loser.

Finally, what disturbed us most was the hidden fiscal burden built into the bill. Although sold as a \$787 billion bill, the real cost of the stimulus was, in fact, much higher.

I am going to use a chart to show this hidden cost of the stimulus bill. This chart was produced last year but will be updated when we receive the Congressional Budget Office baseline.

According to the nonpartisan CBO, if popular new programs in the stimulus

bill are made permanent, the cost will be \$3.3 trillion.

To use Washington speak, the greatest threat of the new stimulus bill was that it raised the baseline.

This is a nifty trick if you can pull it off.

Its purpose is to open any future spending cuts, no matter how modest, to withering attack.

Here is how it works.

First, Democrats raise spending for some program—to borrow from George Costanza, we will call it The Human Fund.

After Democrats take control of Congress and the White House, spending for The Human Fund goes up by 25 percent, from \$1,000,000 to \$1,250,000.

Then, when the people reject this spending and send Republicans to roll it back, efforts to cut that spending by a meager 5 percent, from \$1,250,000 to \$1,187,500, leads all of the interest groups dependent on this federal money to scream that the sky is falling.

An attack on The Human Fund is an attack on all that is decent in this country!

Never mind that this program is still substantially better off than before the Democrats' massive increase in spending.

All that we will hear is that Republicans are ruthlessly seeking to cut 5 percent from this program's budget.

And so it goes.

Our deficit and debt continue to grow as irresponsible and unaffordable increases in spending are baked into our budgetary cake.

This strategy of raising the baseline is on full display in the stimulus bill and the threat that its programs—sold to the public as temporary—will become permanent.

This chart details CBO's analysis of the stimulus.

Let us move from left to right on the chart.

The first column is the basic cost of the bill. If the making work pay refundable tax credit is extended, there is \$571 billion in future deficits.

It is in the second column.

If the new entitlement spending in the stimulus is made permanent, then the cost of the bill more than doubles.

It means almost \$1 trillion in new hidden entitlement spending right here.

In the fourth column, we have the appropriations spending.

If those increases become permanent, then there is \$276 billion in new non-defense discretionary appropriations in this bill.

Finally, we have the rent on all this borrowed money. That is the interest expense. CBO tells us that the interest cost alone on the overt new spending and the hidden new spending from the stimulus totals \$744 billion.

Total it all up, and we get \$3.3 trillion, not \$787 billion.

The total cost of the stimulus is \$3.3 trillion.

Our Nation can simply no longer afford this.

These are CBO figures. They are not from a conservative think tank.

There are a couple of simple ways for the stimulus bill supporters to correct this trajectory.

If they want to keep the long-term cost of the stimulus down, they could agree to make all of the stimulus provisions temporary.

Or they could agree to offset extensions of stimulus spending with other spending cuts.

But our friends on the other side have done just the opposite. They have insisted on extending the policy in the stimulus bill without offsets in other areas of spending.

You will recall then National Economic Council Director Larry Summers' three Ts tests for stimulus.

To be effective, the stimulus needed to be timely, targeted, and temporary.

It is failure on that third T, the temporary test, which has been very troubling. Two years into this failed economic experiment, and Democrats still refuse to agree that temporary stimulus proposals should remain temporary.

The path forward is not going to be easy.

While we do have a recent example of deficit reduction, it was not generated by this administration or its congressional allies. If you want to look at enacted legislation over the last decade, there is one significant spending reduction bill. It was the Deficit Reduction Act of 2005. It contained a modest amount of deficit reduction.

The deficit reduction attained was \$35 billion. And how did we achieve those savings? That bill was accomplished through reconciliation. The other side opposed it in lock step.

In the end, only Republican votes carried that stand-alone deficit reduction measure.

Yet now American taxpayers are being asked to believe that Democrats have found religion on deficits and debt.

Our friends on the other side will, no doubt, say time out. We have produced a significant deficit reduction bill, they will say.

They will point to last year's ObamaCare legislation. They will argue that this bill, which creates massive new entitlements, somehow saves money. Our Democratic friends will even cite a CBO score showing \$230 billion in deficit reduction from this bill.

This assertion does not pass the laugh test.

Anyone who looks beyond the basic score will see that ObamaCare is another huge deficit generator that will burden the American taxpayer for generations to come.

House Budget Committee Chairman PAUL RYAN released an analysis, derived from CBO data, that tells the full story of ObamaCare's deficit impact. Here is what Chairman RYAN said:

Claims of deficit reduction exclude the \$115 billion needed to implement the law. The

score double-counts \$521 billion from Social Security payroll taxes, CLASS Act premiums, and Medicare cuts. It strips a costly doc-fix provision that was included in initial score. It measures 10 years of revenues to offset 6 years of new spending. There is no question that the creation of a new trillion-dollar, open-ended entitlement is a fiscal train wreck.

Add it all up and the fiscal reality is that ObamaCare busts the budget by \$701 billion.

I ask unanimous consent that a copy of Chairman RYAN's analysis be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

#### FIVE BUDGET REASONS TO REPEAL THE DEMOCRATS' COSTLY NEW HEALTH CARE LAW

1. Take away smoke and mirrors and law adds over \$700 billion to deficits: Democrats' score excludes the \$115 billion needed to implement the law; double-counts \$521 billion from Social Security payroll taxes, CLASS Act premiums, and Medicare cuts; and fails to account for the costly "doc-fix" provision that Democrats stripped out of the bill and passed separately.

2. Massive tax increase minus slightly less massive spending increase isn't "fiscal responsibility": According to CBO, the Democrats' law will "reduce deficits" by increasing taxes by \$770 billion, while "only" increasing net spending by \$540 billion. That's not the kind of "deficit reduction" we're interested in. Furthermore, we believe spending will actually be much higher.

3. True cost 10-year cost of the law is closer to \$2.6 trillion: The Democrats rigged their law to show 10 years of revenues offsetting only 6 years of new spending. A true 10-year score of the new spending in the law puts the cost closer to \$2.6 trillion. Costs could run even higher if employers dump their employees onto government exchanges and Medicare "savings" fail to materialize.

4. This law bends the cost curve up, not down: Exploding health care costs are bankrupting families, companies, states, and the federal government. The Democrats' new health care law—with its maze of mandates, dictates, controls, tax hikes and subsidies—will drive costs up even faster.

CBO Director Doug Elmendorf says new law "does not substantially diminish" pressure of rising health care costs on the federal government.

Medicare/Medicaid Chief Actuary Richard Foster says that the law would result in "higher health expenditures," straining budget to the breaking point.

5. Creation of a new open-ended entitlement isn't "fiscal responsibility": The reality is that we cannot pay for the health care entitlements we have, much less a new government takeover of health care that adds trillions of dollars to our existing liabilities, drives costs up even faster, and puts the federal government in charge of even more health care decision-making.

The only way to control costs when the government is in charge of the system is for bureaucrats to ration care.

The path to greater choice for patients and lower costs for all must begin with a full repeal of the Democrats' costly new health care law.

#### THE TRUE DEFICIT IMPACT OF THE DEMOCRATS' HEALTH CARE LAW

Bottom line: The Democrats' health care law is a budget-buster. Claims of deficit reduction exclude the \$115 billion needed to implement the law. The score double-counts \$521 billion from Social Security payroll



taxes, CLASS Act premiums, and Medicare cuts. It strips a costly doc-fix provision that was included in initial score. It measures 10 years of revenues to offset 6 years of new spending. There is no question that the creation of a new trillion-dollar, open-ended entitlement is a fiscal train wreck.

Over \$700 billion in red ink: To hide the true cost of their \$2.6 trillion health-care overhaul, the Democrats loaded the overhaul with gimmicks and double-counting. Once these gimmicks are accounted for, the law would add over \$700 billion in red ink over the next decade, as health-care costs send the debt spiraling out of control.

Discretionary Spending: The CBO score did not include the cost of setting up and administering the massive overhaul, including the cost of hiring new health-care bureaucrats to run the new spending programs, as well as thousands of IRS agents to enforce the new mandates.

Accounting for these discretionary appropriations would add \$115 billion to the bill's 10-year cost, all but wiping out its alleged "savings."

Double-Counting: The new law double-counts an estimated \$521 billion in alleged offsets:

Social Security will receive an additional \$53 billion in higher payroll tax revenue as a result of the new law. Instead of setting aside this revenue for promised Social Security benefits, the law spends it on new subsidies.

The Democrats' bill created the CLASS program, a brand new long-term care entitlement. Over the first 10 years, program would take in \$70 billion in premiums, but instead of setting money aside to pay for future benefits, the law spends the premiums on new subsidies. Senate Budget Chairman Kent Conrad called the CLASS Act: "A Ponzi scheme [that] Bernie Madoff would have been proud of."

Democrats claim they are extending solvency of Medicare by cutting \$398 billion from the program, but they simultaneously claim that these savings will offset new subsidy programs. CBO has made clear these savings cannot be used twice.

The Doc Fix: The Democrats' bill originally included the "doc fix" that CBO estimated would add \$208 billion to the bill's score. Democrats removed this provision to lower the bill's CBO score, but promised doctors that they would enact the fix later, and did in fact pass a short-term prevention of cuts to physician payments last year, adding to the deficit.

Add It Up: Take \$115 billion in discretionary costs, plus \$521 billion in double-counting, plus \$208 billion for a long-term doc fix (minus the \$143 billion of claimed savings)—and the law would add \$701 billion to the deficit over the next 10 years.

The Democrats' brand new open-ended health care entitlement will—unless repealed—exacerbate the spiraling cost of health care, explode our deficit and debt, and forever alter the relationship between government and the American people.

Mr. HATCH. This double counting of the Medicare cuts is a dangerous accounting gambit. Former Senator Gregg and I warned the Medicare trustees about it in a letter last year. I ask unanimous consent that a copy of that letter be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

HATCH, GREGG URGE MEDICARE TRUSTEES TO PROVIDE "AN ACCURATE AND COMPLETE ASSESSMENT OF NEW HEALTH LAW'S IMPACT ON MEDICARE

WASHINGTON.—U.S. Senators Judd Gregg (R-New Hampshire), Ranking Member of the

Senate Budget Committee, and Orrin Hatch (R-Utah), Ranking Member of the Senate Finance Health Subcommittee, today urged the Medicare Trustees to release supplemental information when they issue the 2010 Medicare Trustees report "so that the public can accurately assess the impact of the new health care law on the Medicare program."

"Our nation stands on the precipice of fiscal ruin. Based on past Trustees reports, we know Medicare is on the brink of collapse," said Senator Hatch. "It's in the best interest of our country and our nation's seniors for the Trustees to release a full and honest assessment of the fiscal impact of the health care law on the viability of the Medicare program. One of the most dishonest claims about this new law is its magical ability to use Medicare money not only for Medicare, but also for hundreds of billions in new entitlement spending. That's an outrageous accounting gimmick and everyone knows it."

"We need a full and accurate picture concerning Medicare's unfunded liabilities," said Senator Gregg. "For example, Medicare savings should not be used as a piggy bank to finance new entitlement spending. The Democrats are counting Medicare savings twice—once to partially offset the cost of a new health care entitlement and argue that bill does not increase the deficit, and then again to claim they have improved Medicare's solvency. This is an undeniable budget gimmick. As we continue to wrestle with the historic debt and deficits facing our nation, Congress should receive a projection of Medicare's condition based on the reality that these savings can only be used once, despite the wishful thinking of the majority."

In a letter to Treasury Secretary Tim Geithner, Labor Secretary Hilda Solis, Health and Human Services Secretary Kathleen Sebelius, and Social Security Commissioner Michael Astrue, who serve as the Medicare Trustees, the Senators wrote, "It is our sincere hope that the Trustees Report will give every American an accurate and complete assessment of the fiscal challenges facing the Medicare program and the federal government. Failure to do so would be a tremendous disservice to the American people and our nation."

Specifically, the Senators requested:

The Trustees produce a separate report, in conjunction with the Center for Medicare and Medicaid Services (CMS) Actuary, outlining Medicare's unfunded liabilities, taking into account the real cost of fixing the broken Medicare physician payment system. The Senators point out that the Trustees report is based on current law, and while Democrats ignored the physician payment issue during the health reform debate, the Trustees should consider the long-term cost of Congress continuing to delay these scheduled cuts in Medicare reimbursement.

The Trustees estimate the year when Medicare's Hospital Insurance Trust Fund will be exhausted, reflecting the fact that Medicare cuts and payroll tax increases in the new health law are used to finance new spending outside of Medicare and therefore cannot simultaneously be available to pay for more future spending out of the Medicare program.

The Medicare Trustees release an annual report on the solvency and health of the Medicare program, which is required by law to be submitted by April 1. The Trustees decided to delay the report this year because the two health care laws were enacted in late March.

Below and attached is the full letter that Senators Gregg and Hatch sent to the Medicare Trustees today:

Hon. TIMOTHY F. GEITHNER,  
*Secretary of the Treasury, Department of Treasury, Washington, DC.*

Hon. HILDA L. SOLIS,  
*Secretary of Labor, Department of Labor, Washington, DC.*

Hon. KATHLEEN SEBELIUS,  
*Secretary of Health and Human Services, Department of Health and Human Services, Washington, DC.*

Hon. MICHAEL J. ASTRUE,  
*Commissioner of Social Security, Social Security Administration, Washington, DC.*

DEAR HONORABLE TRUSTEES: As Congress and the American people await the release of the 2010 Annual Report of the Boards of Trustees of the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds (the 2010 Medicare Trustees Report), we are writing to request supplementary information in an accompanying document so that the public can accurately assess the impact of the new health care law on the Medicare program.

The 2009 Medicare Trustees Report laid out a grim assessment of the financial status of the Medicare program. Fueled by an aging population and rising health care costs, Medicare expenditures, according to that report, would rise from 3.2 percent of Gross Domestic Product (GDP) in 2008 to 11.4 percent of GDP in 2083. The 2009 Trustees Report estimated that Medicare's unfunded liability is \$38 trillion over the next 75 years and that its Hospital Insurance (HI) Trust Fund is expected to become insolvent in 2017.

For Congress to effectively address the critical challenge of Medicare solvency, it must have a complete and accurate assessment of the program's fiscal position. We would like to request that you provide to Congress, contemporaneous with the release of the 2010 Medicare Trustees Report, a report that addresses the two following issues.

In recent years, the Trustees have noted an important limitation regarding the report's projections for Medicare Part B expenditures from the Supplementary Medical Insurance (SMI) trust fund. While the Trustees' projections are based on the assumption that current law will continue unchanged, the law's scheduled reductions in Part B payments to physicians under the Sustainable Growth Rate (SGR) provisions have not occurred after 2002—the only time a decrease was allowed to take effect; since 2003 Congress has consistently enacted changes in law to defer the reductions. The 2009 Medicare Trustees Report warned that projections of Part B expenditures under current law (which assumes the deferred large reductions will eventually occur) thus are "likely understated and should be interpreted cautiously."

As a result of this divergence between the unrealistic projections and the level of payments to physicians that Congress actually enacts, the Centers for Medicare & Medicaid Services (CMS) Actuary started producing a supplement to the Trustees Report. The most recent supplemental memorandum, Projected Medicare Part B Expenditures under Two Illustrative Scenarios with Alternative Physician Payment Updates (May 12, 2009), contains estimates of a range of Medicare expenditures based on scenarios where Congress prevents the scheduled reductions in physician payments. Relying on the same two illustrative scenarios, an analysis (by former Public Trustee Thomas R. Saving) concluded that, over the next 75 years, Medicare's unfunded liability could be as much as \$1.9 trillion more than the Trustees projected in the 2009 report.

We request that the CMS Actuary produce a report similar to the May 12, 2009 supplement, and that, related to the 2010 Medicare Trustees Report, the Trustees provide projections for Medicare's unfunded liability



over a 75-year horizon under the two alternative scenarios for physician payments that will be included in the supplement produced by the CMS Actuary.

Our second request relates to an issue raised in the memorandum released by the CMS Actuary on April 22, 2010, titled Estimated Effects of the “Patient Protection and Affordable Care Act,” as Amended, on the Year of Exhaustion for the Part A Trust Fund, Part B Premiums, and Part A and Part B Coinsurance Amounts. That memo stated the following about the impact of health reform on the HI trust fund for Medicare Part A:

The combination of lower Part A costs and higher tax revenues results in a lower Federal deficit based on budget accounting rules. However, trust fund accounting considers the same lower expenditures and additional revenues as extending the exhaustion date of the HI trust fund. In practice, the improved HI financing cannot be simultaneously used to finance other Federal outlays (such as coverage expansions under the PPACA) and to extend the trust fund, despite the appearance of this result from the respective accounting conventions.

According to CMS, PPACA contained \$575 billion in net Medicare savings, including \$63 billion in Medicare payroll tax increases over fiscal years 2010–2019. However, as the Congressional Budget Office (CBO) previously indicated in a letter on December 23, 2009, these dollars cannot both offset new

spending under PPACA and then also extend the life of Medicare’s HI trust fund. CBO concluded:

The key point is that savings to the HI trust fund under PPACA would be received by the government only once, so they cannot be set aside to pay for future Medicare spending and, at the same time, pay for current spending on the other parts of the legislation or on other programs . . . To describe the full amount of HI trust fund savings as both improving the government’s ability to pay future Medicare benefits and financing new spending outside of Medicare would essentially double-count a large share of those savings and thus overstate the improvement in the government’s fiscal position.

We request that the Trustees provide a projection for the date of exhaustion for Medicare’s HI trust fund assuming that all the estimated Medicare savings under PPACA are not set aside to pay future Medicare benefits but instead are used to finance new spending (outside of Medicare) in the new health care law.

We trust that you will provide a response to our request concurrent with the release of the 2010 Medicare Trustees Report. It is our sincere hope that the Trustees Report will give every American an accurate and complete assessment of the fiscal challenges facing the Medicare program and the federal government. Failure to do so would be a tremendous disservice to the American people and our nation.

Sincerely,

JUDD GREGG,  
U.S. Senator.  
ORRIN HATCH,  
U.S. Senator.

Mr. HATCH. A clear pattern has emerged with respect to Democratic rhetoric on the budget. They speak loudly about deficit reduction, while continuing to write checks that this Nation cannot cash.

Consider the last debt limit increase bill, which included the much ballyhooed statutory pay-go scheme. My friends on the other side speak of it frequently.

But they have also been the most frequent violators of both the spirit and letter of statutory pay-go.

The Senate Republican Policy Committee analyzed all of the spending offsets and other budget restraints rejected since statutory pay-go was adopted.

I ask unanimous consent that a copy of this analysis be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD as follows:

DEFICITS PILED ON BY SENATE DEMOCRATS SINCE STATUTORY PAYGO\*

Bill	Bill No.	Deficit impact, 2010–2020 (\$ billions)	Floor action	Date	Link to CBO score
Temporary extender bill	H.R. 4691	10.3	Vote to kill Bunning bill w/offset	2–Mar	<a href="http://bit.ly/cJIN6B">http://bit.ly/cJIN6B</a>
Baucus Tax Extenders bill (v1.0)	H.R. 4213	98.6	Vote to pass bill w/o offset	3–Mar	<a href="http://bit.ly/ah9e9JJ">http://bit.ly/ah9e9JJ</a>
Reid HIRE Act	H.R. 2847	**45.9	Vote waive PAYGO	17–Mar	<a href="http://bit.ly/b8Nigq">http://bit.ly/b8Nigq</a>
			Vote to pass bill w/o offset		
Temporary two-month extender bill	H.R. 4851	18.2	Vote to keep emergency designation	14–Apr	<a href="http://bit.ly/cgrGHT">http://bit.ly/cgrGHT</a>
2010 Emergency Supplemental	H.R. 4899	59.0	Vote to kill Coburn #1 w/offset	27–May	<a href="http://bit.ly/c0ITUC">http://bit.ly/c0ITUC</a>
			Vote to kill Coburn #2 w/offset		
			Vote to pass bill w/o offset		
Dodd-Frank FinReg Reform Conf. Rpt	H.R. 4173	***	Vote to waive the Budget rules	15–July	<a href="http://bit.ly/90wy05">http://bit.ly/90wy05</a>
Continuing Extension Act (tax extenders shell)	H.R. 4213	33.9	Vote to pass the bill w/o offsets	21–July	<a href="http://bit.ly/aVU7Ys">http://bit.ly/aVU7Ys</a>
Education/FMAP (in FAA reauth. shell)	H.R. 1586	12.6	Vote to pass bill w/o offset	05–Aug	<a href="http://bit.ly/bo4391">http://bit.ly/bo4391</a>
Total		278.5			

Notes:  
 \* Statutory PAYGO was included in H.J. Res 45 (P.L. 111–139), which passed February 12, 2010. For more detail about PAYGO and how it operates, refer to the CRS summary: <http://bit.ly/a0gf9m>.  
 \*\* The CBO score of the HIRE Act shows it lowers the deficit by \$1 billion and \$657 million, but CBO does not score the \$47 billion in authorized transfers from the Highway Trust Fund to the General Fund even though they will be borrowed. The scores above reflect the combined effects of the bill as scored by CBO with these authorized transfers. See this document from the Budget Committee for more background: <http://budget.senate.gov/repblican/pressarchive/2010-02-08HwyExtPlan.pdf>.  
 \*\*\* CBO estimates that the act would increase projected deficits by more than \$5 billion in at least one of the four consecutive 10-year periods starting in 2021 (beyond the budget window).

Mr. HATCH. Total it up and you will find that the cost of Democrats end-running their own pay-go rule meant almost \$280 billion in additional deficit spending.

I think this point needs to be very clear.

Senate Republican attempts to force our friends on the other side to abide by the letter or spirit of their own pay-go rule were rebuffed for almost all of last year. This was not some academic exercise. And now the American taxpayers are on the hook for roughly \$280 billion, courtesy of Democrats purportedly committed to spending restraint.

Still, we are heartened that Democrats are at least claiming a commitment to deficit reduction.

Talking tough is a necessary—though not sufficient—step toward getting our fiscal house in order.

Similarly, it is a positive development that the President has endorsed passage of the U.S.–Korea Free Trade Agreement. Maybe the administration is waking up to the importance of our

pending trade agreements for our exporters and the workers who make them.

But the proof of his commitment to our exporters must go beyond the Korea FTA. We can no longer let our trade agreements with Panama and Colombia languish as we lose competitiveness and allow other countries to seize these markets for their workers.

Talking about trade does not produce jobs. We need the President to take action and submit these agreements to Congress. And we need that action now. The U.S. worker cannot afford to wait.

Passage of these trade agreements can boost our economy and our competitiveness without additional spending. They are important tools that we must put to work. If the President chooses this route, I believe he will find an important ally in Congress.

I look forward to President Obama’s proposals for prioritizing deficit reduction. There is no issue more critical to this Nation’s future.

And I expect we will hear quite a bit about it in the State of the Union Address.

The President can count on applause from our side of the aisle if he presses for reductions in out-of-control spending. But merely relabeling new spending as investments will not make our deficits go away, and it will do nothing to tackle our escalating debt.

The President must give serious attention to the legitimate arguments and concerns of conservative citizens if he wants to achieve anything more than a pleasant sounding rhetorical flourish.

President Obama did inherit a serious budget deficit. And our friends on the other side will, once again, applaud that line. They will cheer the assertion that they merely inherited deficits. They will spin the convenient tale that Republicans alone bequeathed the deficit to President Obama. But that is certainly not the case. And the record is clear. A Democratic Congress and a

Republican President created this deficit from bipartisan policies they jointly developed.

To those Democrats who claim Republicans have no right to discuss deficits, they need look no further than their own actions. Take a look at the fiscal effects of the stimulus bill they crafted 2 years ago. Take a comprehensive look at the real deficit impact of ObamaCare.

Take an honest look at the appropriations bills that piled on double-digit increases in spending.

American families don't have the luxury of 84 percent or 24 percent increases in their spending. They have made their priorities and restrained their spending.

If American families can prioritize, deleverage, and live within their means, I hope the President will push his allies in Washington to do the same.

All of us in Congress await the arrival of President Obama's third budget.

The American people are demanding that he make deficit reduction a priority. And they are asking Congress to approach this subject in an intellectually honest fashion.

We need to acknowledge that when it comes to the budget, the road to fiscal ruin has been paved with good intentions. In the name of fixing the economy, the Democrats' stimulus bill has imposed both short-term and long-term costs on American taxpayers, jeopardizing economic growth and, with it, liberty and opportunity. That damage has been expanded with un-offset extensions of what we were told were temporary provisions.

As we start writing a budget, let's do it with all the fiscal cards on the table. Let's remove the political blinders and deal with the fiscal facts. And that means being realistic about expiring tax relief, its merits, its economic growth effect, and its political popularity.

This is not a problem that we can tax our way out of. Getting our fiscal house in order is going to require hard decisions on spending. We need to put our shoulders to the wheel. We owe it to the people who sent us here.

There is an old saying that applies here. I am not the first person, nor will I be the last, to reference it in the context of our fiscal troubles. The saying is: When you find yourself in a hole, stop digging. We need to use our shovels to fill this fiscal hole, not dig it deeper.

I look forward to this debate on spending. It will not be an easy one. But the American people have demanded that Congress take up this cause, and I fully intend to.

Ultimately, I am confident that we will achieve meaningful deficit reduction. Yet I go into this debate with my eyes open.

President Reagan, in the foreign policy arena, reminded us to trust, but verify.

As we await the President's State of the Union speech, Republicans trust that Democrats will make a nod toward deficit reduction, but we need to verify whether they are serious about getting this problem under control.

Democrats do not have a great track record when it comes to cutting spending. But hope springs eternal.

Madam President, I suggest the absence of a quorum.

The ACTING PRESIDENT pro tempore. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. CARDIN. Madam President, I ask unanimous consent that the order for the quorum call be rescinded.

The ACTING PRESIDENT pro tempore. Without objection, it is so ordered.

#### HONORING DR. MARTIN LUTHER KING, JR.

Mr. CARDIN. Madam President, on Monday, January 17, our Nation once again celebrated the birthday of Dr. Martin Luther King, Jr., as a national holiday. Signed into law in 1983, the bill to make Dr. King's birthday a legal public holiday was the result of a 15-year legislative effort.

Although I was not a Member of the Congress at the time, I remember well the national debate and eventually the overwhelming support this legislation engendered. For the Senate pages on the floor today, for their entire lifetimes, Dr. King's birthday has been a Federal holiday. But they and all young Americans should know the passage of that law was not certain and not without controversy at the time.

I was the speaker of the Maryland house of delegates in the 1980s when the State of Maryland took up legislation to make Dr. King's birthday a State holiday, and we were one of several States that passed State laws to make Dr. King's birthday a holiday. As the federalism system works, as more States got engaged in this issue, the momentum at the national level became very apparent. And for the importance of this day and its message to Americans, the Congress finally enacted legislation in 1983.

This holiday, which has appropriately come to be known as a day of service, would not have happened without the leadership of former Senator Charles Mathias of Maryland. I am very proud of the work Senator Mathias did on this issue and so many issues that were important to the opportunities for all Americans. I also want to acknowledge the work of former Representative Katie Hall of Indiana. They were the authors of the 1983 legislation. This holiday also would not have happened without the work of Representatives JOHN LEWIS and JOHN CONYERS, who have dedicated their lives to social justice. Also, I might add, without the work of our former colleague, Senator Ted Kennedy, this bill would never have be-

come law. I congratulate all of them for their work.

Serving in the Senate today are colleagues whom I would also like to thank for their efforts to enact this legislation, the 1983 King holiday bill. Six of the thirty-four sponsors are still in the Senate today, including Senator BAUCUS, Senator BINGAMAN, Senator INOUE, Senator LAUTENBERG, Senator LEVIN, and Senator LUGAR, as well as the president of the Senate, Vice President JOE BIDEN. Moreover, five Senators who were Members of the House of Representatives at the time were original cosponsors of the companion bill, H.R. 3706, which became law. They are Majority Leader REID, Senators AKAKA, BOXER, MIKULSKI, and SCHUMER. I thank them all for their leadership and vision in the 1980s as to the importance of making this holiday a remembrance to Dr. Martin Luther King.

Twenty years before its enactment, in August of 1963 on the steps of the Lincoln Memorial, Dr. King delivered what is his most well-known speech, in which he called for racial equality and social justice for all Americans.

In honor of Dr. King's birthday, I ask unanimous consent that the text of that speech be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

"I HAVE A DREAM"

(By Dr. Martin Luther King, Jr.)

"I am happy to join with you today in what will go down in history as the greatest demonstration for freedom in the history of our nation.

"Five score years ago, a great American, in whose symbolic shadow we stand today, signed the Emancipation Proclamation. This momentous decree came as a great beacon light of hope to millions of Negro slaves who had been seared in the flames of withering injustice. It came as a joyous daybreak to end the long night of their captivity.

"But one hundred years later, the Negro still is not free. One hundred years later, the life of the Negro is still sadly crippled by the manacles of segregation and the chains of discrimination. One hundred years later, the Negro lives on a lonely island of poverty in the midst of a vast ocean of material prosperity. One hundred years later, the Negro is still languished in the corners of American society and finds himself an exile in his own land. And so we've come here today to dramatize a shameful condition.

"In a sense we've come to our nation's capital to cash a check. When the architects of our republic wrote the magnificent words of the Constitution and the Declaration of Independence, they were signing a promissory note to which every American was to fall heir. This note was a promise that all men, yes, black men as well as white men, would be guaranteed the 'unalienable Rights' of 'Life, Liberty and the pursuit of Happiness.' It is obvious today that America has defaulted on this promissory note, insofar as her citizens of color are concerned. Instead of honoring this sacred obligation, America has given the Negro people a bad check, a check which has come back marked 'insufficient funds.'

"But we refuse to believe that the bank of justice is bankrupt. We refuse to believe that there are insufficient funds in the great

vaults of opportunity of this nation. And so, we've come to cash this check, a check that will give us upon demand the riches of freedom and the security of justice.

"We have also come to this hallowed spot to remind America of the fierce urgency of Now. This is no time to engage in the luxury of cooling off or to take the tranquilizing drug of gradualism. Now is the time to make real the promises of democracy. Now is the time to rise from the dark and desolate valley of segregation to the sunlit path of racial justice. Now is the time to lift our nation from the quicksands of racial injustice to the solid rock of brotherhood. Now is the time to make justice a reality for all of God's children.

"It would be fatal for the nation to overlook the urgency of the moment. This sweltering summer of the Negro's legitimate discontent will not pass until there is an invigorating autumn of freedom and equality. Nineteen sixty-three is not an end, but a beginning. And those who hope that the Negro needed to blow off steam and will now be content will have a rude awakening if the nation returns to business as usual. And there will be neither rest nor tranquility in America until the Negro is granted his citizenship rights. The whirlwinds of revolt will continue to shake the foundations of our nation until the bright day of justice emerges.

"But there is something that I must say to my people, who stand on the warm threshold which leads into the palace of justice: In the process of gaining our rightful place, we must not be guilty of wrongful deeds. Let us not seek to satisfy our thirst for freedom by drinking from the cup of bitterness and hatred. We must forever conduct our struggle on the high plane of dignity and discipline. We must not allow our creative protest to degenerate into physical violence. Again and again, we must rise to the majestic heights of meeting physical force with soul force.

"The marvelous new militancy which has engulfed the Negro community must not lead us to a distrust of all white people, for many of our white brothers, as evidenced by their presence here today, have come to realize that their destiny is tied up with our destiny. And they have come to realize that their freedom is inextricably bound to our freedom.

"We cannot walk alone.

"And as we walk, we must make the pledge that we shall always march ahead.

"We cannot turn back.

"There are those who are asking the devotees of civil rights, 'When will you be satisfied?' We can never be satisfied as long as the Negro is the victim of the unspeakable horrors of police brutality. We can never be satisfied as long as our bodies, heavy with the fatigue of travel, cannot gain lodging in the motels of the highways and the hotels of the cities. We cannot be satisfied as long as the negro's basic mobility is from a smaller ghetto to a larger one. We can never be satisfied as long as our children are stripped of their self-hood and robbed of their dignity by signs stating: 'For Whites Only.' We cannot be satisfied as long as a Negro in Mississippi cannot vote and a Negro in New York believes he has nothing for which to vote. No, no, we are not satisfied, and we will not be satisfied until 'justice rolls down like waters, and righteousness like a mighty stream.'

"I am not unmindful that some of you have come here out of great trials and tribulations. Some of you have come fresh from narrow jail cells. And some of you have come from areas where your quest—quest for freedom left you battered by the storms of persecution and staggered by the winds of police brutality. You have been the veterans of creative suffering. Continue to work with the faith that unearned suffering is redemptive. Go back to Mississippi, go back to Alabama, go back to South Carolina, go back to Geor-

gia, go back to Louisiana, go back to the slums and ghettos of our northern cities, knowing that somehow this situation can and will be changed.

"Let us not wallow in the valley of despair, I say to you today, my friends.

"And so even though we face the difficulties of today and tomorrow, I still have a dream. It is a dream deeply rooted in the American dream.

"I have a dream that one day this nation will rise up and live out the true meaning of its creed: 'We hold these truths to be self-evident, that all men are created equal.'

"I have a dream that one day on the red hills of Georgia, the sons of former slaves and the sons of former slave owners will be able to sit down together at the table of brotherhood.

"I have a dream that one day even the state of Mississippi, a state sweltering with the heat of injustice, sweltering with the heat of oppression, will be transformed into an oasis of freedom and justice.

"I have a dream that my four little children will one day live in a nation where they will not be judged by the color of their skin but by the content of their character.

"I have a dream today!

"I have a dream that one day, down in Alabama, with its vicious racists, with its governor having his lips dripping with the words of 'interposition' and 'nullification'—one day right there in Alabama little black boys and black girls will be able to join hands with little white boys and white girls as sisters and brothers.

"I have a dream today!

"I have a dream that one day every valley shall be exalted, and every hill and mountain shall be made low, the rough places will be made plain, and the crooked places will be made straight; and the glory of the Lord shall be revealed and all flesh shall see it together.'

"This is our hope, and this is the faith that I go back to the South with.

"With this faith, we will be able to hew out of the mountain of despair a stone of hope. With this faith, we will be able to transform the jangling discords of our nation into a beautiful symphony of brotherhood. With this faith, we will be able to work together, to pray together, to struggle together, to go to jail together, to stand up for freedom together, knowing that we will be free one day.

"And this will be the day—this will be the day when all of God's children will be able to sing with new meaning:

"My country 'tis of thee, sweet land of liberty, of thee I sing.

"Land where my fathers died, land of the Pilgrim's pride,

"From every mountainside, let freedom ring!"

"And if America is to be a great nation, this must become true.

"And so let freedom ring from the prodigious hilltops of New Hampshire.

"Let freedom ring from the mighty mountains of New York.

"Let freedom ring from the heightening Alleghenies of Pennsylvania.

"Let freedom ring from the snow-capped Rockies of Colorado.

"Let freedom ring from the curvaceous slopes of California.

"But not only that:

"Let freedom ring from Stone Mountain of Georgia.

"Let freedom ring from Lookout Mountain of Tennessee.

"Let freedom ring from every hill and molehill of Mississippi.

"From every mountainside, let freedom ring.

"And when this happens, when we allow freedom ring, when we let it ring from every village and every hamlet, from every state and every city, we will be able to speed up that day when all of God's children, black men and white men, Jews and Gentiles,

Protestants and Catholics, will be able to join hands and sing in the words of the old Negro spiritual:

"Free at last! Free at last!

"Thank God Almighty, we are free at last!"

Mr. CARDIN. Madam President, I yield the floor and suggest the absence of a quorum.

The ACTING PRESIDENT pro tempore. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. CORKER. Madam President, I ask unanimous consent that the order for the quorum call be rescinded.

The ACTING PRESIDENT pro tempore. Without objection, it is so ordered.

#### THE DEFICIT

Mr. CORKER. Madam President, I will speak for just a few moments on something I think is the most important issue facing our country today. I can't think of a better time than the first day of the new session in the U.S. Congress to address it; that is, the Federal deficit. I am proud to say that later this week, CLAIRE MCCASKILL from Missouri will be joining me, along with RICHARD BURR, JOHN MCCAIN, Senator ALEXANDER, and Senator ISAKSON in something called the Cap Act. The bill takes us, over a 10-year period, from where we are in spending at the Federal Government level as a percentage of our country's economy, the gross domestic product, at 24 percent, down to the 40-year average which we have had in this country, as I mentioned, for 40 years, of 20.6 percent. It puts in place a construct or a straitjacket on Congress that allows us, over time, to take a methodical, thoughtful approach to spending at the Federal level but to actually have to do it.

This bill, which we also offered as an amendment during the lameduck session—it is now a stand-alone bill—again, we will be offering it a little bit later this week. We hope to have additional cosponsors from both sides of the aisle. What it would do is take us from where we are today down to that average. If Congress did not act responsibly, then OMB would have the ability, through sequestration, to actually take money out of both mandatory and nonmandatory accounts to ensure that we again have that discipline to take us where we need to be.

I have traveled throughout Tennessee and spoken about this bill. I have made about 46 presentations of how we in Congress could act more responsibly. It is amazing that people on both sides of the aisle have looked at this and said this makes a lot of sense. So it is my hope, as we look at trying to rein in Federal spending, that this bill—I believe this bill is the vehicle—there may be other ideas, but I hope this is something we, in fact, will act upon during the spring.

I know the President most recently has talked a great deal about this issue of fiscal responsibility. I thank him for that. I am hoping that tonight, when he delivers his speech, he talks about the fact that we in Washington have to have the same kind of discipline that all our folks back home have to live by. Again, this is something we have been working on for a long time. We have tried to work on it in a way that in no way points fingers. I think people understand that people on both sides of the aisle are responsible for our country ending up where it is fiscally. So we have tried to draft something that brings people together and that, for the first time since I have been here—I have been here 4 years, and I have been amazed at the lack of discipline that exists in Congress. We have no mechanism, no straitjacket, if you will, that forces us to act responsibly.

So over a long period of time we have worked to put together a bill—by the way, I think it is eight or nine pages long—that actually does that. It has a smoothing mechanism in it so that when there are gyrations in our economy—we know the Federal Government can't react quite as quickly as a State or city—that smoothing is averaged out so we know what the target is in the ensuing year. It has tight constraints. It requires a 67-vote majority or two-thirds of the Senate, two-thirds of the House to override. So it is a very strong bill. Again, I think people on both sides of the aisle are beginning to embrace this type of thinking.

It is my hope, again, as the President tonight, hopefully, talks responsibly about our fiscal state here in the United States, that this type of mechanism, if you will, gains momentum. It is also my hope that we will vote and pass something such as this, along with actual budget cuts prior to the debt ceiling vote. I think all of us know it would be very irresponsible not to act responsibly prior to this debt ceiling vote which will take place sometime in April, May or possibly June.

So I thank my colleagues for the time to talk a little bit about this, again, on the first day of us coming back together. I can't imagine anything more important for all of us to focus on than to get our fiscal house in order. I know the whole world is watching us.

I know people have said we in Washington don't have the courage to deal with this. I know the Presiding Officer has had to deal with this as the Governor of a State. I certainly had to deal with this as the mayor of a city and a businessman and financial commissioner of my State. We all know things are awry here. I think we have a wonderful opportunity, in a bipartisan way, to do something that puts our country back on strong footing.

Madam President, I yield the floor, and I note the absence of a quorum.

The ACTING PRESIDENT pro tempore. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. DURBIN. Mr. President, I ask unanimous consent that the order for the quorum call be rescinded.

The PRESIDING OFFICER (Mr. TESTER). Without objection, it is so ordered.

#### BULLY OF BELARUS

Mr. DURBIN. Mr. President, during the recent 2-week recess, I was invited to speak to the Parliament of the nation of Lithuania in the capital of Vilnius. It was a great honor. This country holds a special place in my family. My mother was born in Lithuania. One hundred years ago this year, my grandmother brought her, her brother, and sister to America. My mother was 2 years old. They landed in Baltimore, and somehow our family found its way to meet up with my grandfather in East St. Louis, IL, where a lot of Lithuanian immigrants were coming to take jobs—hard, manual labor jobs, which immigrants took in those days and still do—manual labor jobs that gave them a chance they did not have in the old country.

I was asked to speak to the Parliament on the occasion of the 20th anniversary of what has come to be known as bloody Sunday. It recalls the time, 20 years ago, when Mikhail Gorbachev, as head of the Soviet Union, made his last, desperate, violent effort to stop Lithuania from breaking away from the Soviet Union.

I recall that period because I followed it closely as a Member of Congress. You can still see some details of what life was like in Lithuania under the Soviets. The old police headquarters, the KGB headquarters, has been preserved as a museum—basically, a horror museum to show and catalog the torture and killings that took place during Soviet rule.

In February 1990, the people of this tiny nation on the Baltic decided they had had enough. They swept the ruling Communist Party out of power in an open parliamentary election. A month later in March 1990, the new Parliament voted 124 to 0 to restore the country's independence. They were the first Soviet Republic to do so. It was bold. It was historic. That is when Gorbachev turned the screws. He ordered Soviet tanks and paratroopers to stop the breakaway effort of Lithuania.

In the early morning hours of January 13, 1991, 14 Lithuanians, just regular people, common people in the country, were killed and as many as 1,000 were rounded up by those the Economist magazine described as the "bullies of Vilnius."

The crackdown failed. By August of 1991, Lithuania had won its independence again.

Today, because of the brave efforts of those ordinary Lithuanians, it is a free country, it is democratic, chair of the Community of Democracies, is a member of the European Union, and one of America's allies in NATO.

Imagine my surprise at what I saw during a stop in the neighboring coun-

try of Belarus. I saw a step back into Soviet times, a step back into the barbarism we found in the KGB Museum in Lithuania. Sadly, though, this was not a museum show. It was real life.

Often known as the last dictatorship of Europe, Belarus has defied the democratic transformations that have swept across Europe following the collapse of the Soviet Union. The country has been ruled with an iron fist for most of the last few decades by a strongman, Alexander Lukashenko. In Lukashenko's two-decade-old totalitarian nightmare, opposition figures—anybody who had courage to step up and defy him—had been subjected to harsh repression and imprisonment. Over the years, those who might have been alternatives to Lukashenko in any election have disappeared or have been thrown in jail.

In fact, Lukashenko proudly still calls his police force the KGB.

In recent years, there was a glimmer of hope that perhaps Lukashenko was going to move away from his dictatorship. A Presidential election was scheduled for last December 19, one that some hoped would finally meet the most minimum international standards for democracy.

Those hopes were dashed when Lukashenko quickly claimed another term as President amid elections described by international monitors as seriously flawed. He ended up with 80 percent of the vote and said that was a good indication that it was a real election. He did not get 99 percent, as usual.

Lukashenko ordered his KGB thugs to brutally suppress opposition candidates, activists, and supporters who gathered in protest on election night in Independence Square in downtown Minsk in the nation of Belarus on December 19, last year. Six of the seven political opponents who ran against Lukashenko and more than 600 of their followers were arrested. Several of the Presidential candidates who are being held incommunicado still today face charges that can carry up to 15 years in jail. Their crime? They ran against him and they lost. They get to go to jail now.

Since then, Lukashenko's KGB has continued daily raids on the homes and offices of those with suspected ties to democratic parties and organizations, human rights organizations, and what remains of the independent media in Belarus.

Lukashenko has ignored election monitor reports questioning the credibility of the election and international demands to release all these political prisoners. He has pulled the country further into isolation and made it the subject of international scorn.

He follows the old Soviet playbook. His government has tried to blame outside forces in other countries, everyone but himself, for the shameful political mess he has created.

I was in Minsk last week, and I met with Sergey Martynov, who is the Foreign Minister to Lukashenko. He pleaded with me to give him his "new democracy" credit, new democracy in Belarus. He said: Senator, you live in a country that has had democracy for 200 years; we have only had it for 20 years. He said: Give us credit. When we arrested all these people—including seven of the people who ran against him—we didn't use tear gas. There were no rubber bullets, no police dogs. Give us credit, he said.

No, I said, you didn't use those tools, but you systematically arrested and threw into jail everybody who ran against you. That is not even close to democracy.

I had the chance to meet with some of the family members of those who are in jail. I could not help but think that just a few hours before I had been in Lithuania, a 3-hour drive from Minsk in Belarus, where 20 years ago ordinary people, such as these families, stepped up and said: We are willing to fight for freedom. Fourteen of them lost their lives and 1,000 were injured—just ordinary people. These are not the political class. These are folks who are sick and tired in Belarus of the authoritarian rule.

I wish to show some of the people I met who I think are worth being part of the record today.

First—and this was in a meeting established by our consulate in Minsk, Belarus. They threw out our Ambassador a few years ago. So we have five people trying to represent the United States of America in this country. Bless them for trying. It is a hard job. They are constantly monitored, eavesdropped, followed. Life is not pleasant. When we start getting down on people working for the United States of America, remember these five who are risking their lives for us every day so there is an outpost for the United States and for freedom in this authoritarian country.

This lady was at the meeting in the consulate. Svyatlana Lyabedzka is the wife of Anatol Lyabedzka, chair of the United Civic Party. Anatol has been regularly harassed, fined, and imprisoned for his political activities. In 2004, he was severely beaten by Lukashenko's police force.

His wife told me, in tears, that her husband has been taken away to jail and she has had no information about him. That has been almost 1 month. She does not know what is happening to him or where he is being held.

The second person I would like to make a part of this record is Tatsyana Sevyarynets. She is the mother of Paveal Sevyarynets, the head of Presidential candidate Vital Rymasheuski's campaign. He has already served several years in jail for protesting previous sham elections in Belarus. That is right, thrown in jail while protesting rigged elections, when it is those doing the rigging who ought to be in jail. Her letters go unanswered. Her complaints

filed against the government have been ignored. She has been prevented from traveling, and her passport has been taken away for some time. She told me it is impossible to find an explanation for what is happening. "My son has been persecuted for 16 years."

This photo shows—forgive me as I struggle with these names. These people deserve better. I will do my best—Kanstantsin Sannikau, Ala Sannikava, and Lyutsina Khalip. These three were at the meeting.

Kanstantsin and Ala are the son and mother of a detained Presidential candidate, Andrei Sannikau.

Ala told me, in tears, that she had no contact with her son for 14 days, nor had his lawyers. She had no information on his condition.

Lyutsina is the grandmother of the candidate's 3-year-old son Danil. You might have read about this little boy in the newspaper. What Lukashenko did was arrest this Presidential candidate and his wife and then said the State was going to take custody of his 3-year-old child. The grandmother stepped up and said: I will take custody. I will take care of the boy. For the longest time, it was in doubt whether he would remain with the family. They relented yesterday and said the boy could remain with the family.

This is a picture of him—a cute little fellow, Danil. In Belarus, not only did they arrest the candidate Sannikau but they take the boy out of the house and family. That is what they planned on. When they arrested the wife Irina, a journalist and automatically considered dangerous in Belarus, they decided to go after her child. The grandmother fought a winning battle and now has custody of the child.

Let's hope America's attention and the world's attention will make a difference.

The last one I wish to show is particularly compelling. Milana Mikhalevich is a 34-year-old mother of two whose husband Alex was also a Presidential candidate. She told me of her harassment by Belarusian officials since her husband's arrest. Mr. President, 34 years old, and this young woman was standing there with this beautiful little girl, scrambling around on the floor all around her. She had a 10-year-old at home. She was trying to describe how she was keeping things together, while her husband, who had the courage to run for President and lose against the dictator Lukashenko, sat in prison.

Incidentally, they do not get attorneys. That is not part of the deal. Anyone who says they will defend the people arrested is subject to disbarment as an attorney and charged with crimes themselves. It is not exactly a fertile field of attorneys stepping up to represent these people. They take their lives in their hands to do so. The families have no access, no communications, no correspondence, no way of visiting those in prison. They have no idea when they are going to be charged

or tried. There is no indication that there is going to be a public trial.

This is going on in Belarus today, and this woman with her little girl is trying to figure out when and if she will ever see her husband and the father of this little girl again.

The nightmare she described to me was incredible. She literally has had her house raided by the Belarusian KGB. She has been stopped from going to Poland, where she was trying to find support for her husband. She doesn't even know how he is, physically.

I was so glad to be in Lithuania and to join in the celebration of their quest for freedom and independence. After 20 years pass, sometimes you forget how much courage it took for that to happen. But a 3-hour drive from Vilnius to this event in Minsk reminded me. These people in Belarus are waging the same battle today that was waged in Lithuania and so many other places many years ago. They are trying to find the thing we in America take for granted every day—freedom, the freedom to practice the religion of their choice, the freedom to write a newspaper or do a blog, the freedom to vote for the candidate of their choice, their freedom to oppose government policy. As a result they have been arrested and imprisoned.

I am calling on the government of Belarus to immediately and unconditionally release these political prisoners. The fact they continue to languish in jail without access to family, lawyers, or medical care is an outrage and an embarrassment to Europe and the world. These actions show the desperation and fear of a dictator whose reign belongs in the dustbin of history.

The European Union will decide by the end of January whether Belarus should face renewed sanctions, including targeted travel and asset freezes against Lukashenko and his top elite political figures. The United States should waste no time joining this effort. I have spoken directly to Secretary of State Hillary Clinton. She understands, as I do, what is at stake here is today's fight for freedom. What is in question is whether the United States will stand and fight with these families. The European Union is prepared to lead and we should be by their side. We should be working together to put the pressure on this dictator to tell him in the 21st century there is no place for the bully of Belarus and the terrible oppressive tactics in which he has engaged.

Mr. President, I yield the floor, and I suggest the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. WHITEHOUSE. Mr. President, I ask unanimous consent that the order for the quorum call be rescinded.

The PRESIDING OFFICER. Without objection, it is so ordered.

The Senator from Rhode Island is recognized.

Mr. WHITEHOUSE. I thank the Chair.

(The remarks of Mr. WHITEHOUSE pertaining to the introduction of S. 45 are located in today's RECORD under "Statements on Introduced Bills and Joint Resolutions.")

Mr. WHITEHOUSE. I thank the Chair, and I yield the floor.

The PRESIDING OFFICER. The Senator from Maine is recognized.

Ms. COLLINS. I thank the Chair.

(The remarks of Ms. COLLINS pertaining to the introduction of S. 112 are located in today's RECORD under "Statements on Introduced Bills and Joint Resolutions.")

Ms. COLLINS. I suggest the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. JOHANNIS. Mr. President, I ask unanimous consent that the order for the quorum call be rescinded.

The PRESIDING OFFICER. Without objection, it is so ordered.

The Senator from Nebraska is recognized.

#### AMERICA'S COMPETITIVENESS

Mr. JOHANNIS. Mr. President, as we look forward to tonight's State of the Union Address, we are hearing a lot of talk about jobs and the United States being more competitive. Unfortunately, the American people have heard the talk, they have heard the rhetoric, but they do not see the concrete action that is going to make a difference. The time for talk really is over.

Today, I am introducing three concrete measures to unleash American competitiveness and lift barriers to American job creation.

First, we must unbridle our job creators from the onerous 1099 tax paperwork mandate that is buried in section 9006 of the health care bill. Behind the scenes, for the past few weeks there has been growing bipartisan support for this important piece of legislation. In fact, now I can report that 50 Senators have signed on as cosponsors, including, I believe, 10 or 11 of my colleagues from across the aisle. Successful passage of this repeal would send an enormously powerful message. It would declare that the 112th Congress will come together to remove barriers to job creation. Left unabated, though, this avalanche of paperwork will simply bury businesses. If a business purchases more than \$600 of goods or services from another business, it will be required to provide the business and the IRS with a 1099 tax form. This new mandate will affect all kinds of businesses in the country. It also will include nonprofits, churches, local governments. This small section of this 2000-plus page bill is causing massive confusion and, I might add, outrage across the country.

Although this mandate was included in the health care law, it has abso-

lutely nothing to do with improving health. Rather, section 9006 was included because it would supposedly generate money to help pay for the bill. But the National Taxpayer Advocate, a division of the IRS, does not buy it. Their analysis took all the air out of the argument by concluding that the IRS would "face challenges making productive use of this new volume of information." The analysis adds that the IRS likely would "improperly assess penalties that it must abate later, after great expenditure of taxpayer and IRS time and effort."

This mandate was ill-advised, and it is not responsible policy. We can do better, and the time is now. The President himself is talking about ridding the books of outdated regulations. We should not overlook this new regulation that will smack businesses if we fail to repeal it. It will inflict a mountain of paperwork on an estimated 40 million business owners across this Nation, and it stands in the way of job creation.

It is going to have an impact in Nebraska, there is no doubt about that. In fact, as I have traveled back home, I have been inundated with stories about business owners who are bracing for the impact.

Jeff Scherer of Smeal Manufacturing Company in Snyder, NB, says the bill will lead to an additional \$23,000 in accounting costs. Being able to invest that \$23,000 into a company will go a long way toward helping justify business expansion.

Another real-life example from Nebraska is a company called Hayneedle. Hayneedle is an online retailer of home furnishings and other home products located in Omaha, NB. Hayneedle employs 400 people. Prior to the 1099 tax reporting mandate, Hayneedle issued approximately 150 1099 forms annually. Now this great company will be required to issue thousands more tax forms every year. They will be required to track payments for everything from a computer to rent to office supplies. Simple expenses such as food purchases for employees would have to be counted and traced. The company estimates that the annual cost of compliance will exceed \$100,000—useless paperwork. That \$100,000 would go a long way toward hiring more workers.

In addition, the thousands of Hayneedle's vendors will be required to complete and return to Hayneedle a form W-9. This means Hayneedle will be required to review and process and oftentimes correct those forms and then issue a 1099 to the vendors. It is a mad circle for no good even.

If the 1099 law is not repealed, it will waste vast quantities of capital and human resources. Squandering these resources will stunt their ability to grow their businesses. Our Nation needs more employers like Hayneedle and Smeal Manufacturing to continue growing and putting people to work. Considering the high unemployment rate plaguing every State in the coun-

try, it is incomprehensible that we keep this in place.

This new 1099 reporting requirement will have an especially detrimental effect on small businesses in our local communities. For example, the new 1099 reporting requirements create a perverse incentive to consolidate suppliers, which leaves Main Street businesses out in the cold. You see, businesses will likely reduce the number of vendors they work with to reduce the paper transactions to avoid the \$600 limit and avoid the paperwork.

When suppliers are consolidated, you can bet that suppliers will lose out. Kentucky Fried Chicken restaurant owner Dale Black of Grand Island says it best. He says this: He "wants to be a good corporate citizen in the communities I have restaurants, but the 1099 forces me not to hire local vendors and tradesmen in my community; instead giving work to a single regional contractor."

The IRS's own Taxpayer Advocate appears to agree, saying:

Small businesses may lose customers, leave the economy with more large national vendors and less local competition.

Now, I am certain the goal was not to strangle small-town economies, but it is the unintended consequence and reality of this new mandate. We need to look for ways to help small businesses, not hamper them. But there is no way to talk around this provision, to spin it. It is simply brutal for the American business community.

Businesses cannot afford the new burden. They are imploring us to help them. That is why the Small Business Paperwork Mandate Elimination Act, introduced today with that many cosponsors, simply needs to become the law. Repealing this mandate is going to be a joint effort of all of us in the Senate, and my hope is it will be done.

In fact, there is something else we can support to create an estimated 27,000 new jobs, and it does not cost taxpayers anything. I am referring to the second piece of my American competitiveness and jobs package, our three pending trade agreements. Unfortunately, with our economy struggling, this issue has been given lip service for the past couple of years. Although our President mentioned this topic almost 1 year ago, we have seen virtually no action. During last year's State of the Union Address, the President boldly stated:

We have to seek new markets aggressively, just as our competitors are. If America sits on the sidelines while other nations sign trade deals, we will lose the chance to create jobs on our shores.

I could not agree more with his statement. The next day I offered a letter to the President with 17 Senators offering our help and our support. But, unfortunately, a year later, there has been little action. The White House has not sent to us the three trade agreements that are sitting on the shelf collecting dust. It is an unfortunate squandering of a sorely needed opportunity.



So with 14 million Americans still unemployed, our country will tune in to the State of the Union tonight with keen ears for ideas that create jobs, that boost the economy. But our three negotiated trade deals continue to sit there. It is unacceptable, and it needs to change. By this July, the European Union and South Korea will have implemented their own free-trade agreement, putting U.S. business at a competitive disadvantage.

The Korea-U.S. Free trade Agreement fixes that. Our friends to the north in Canada and south in Mexico have trade deals in place with Colombia. While our agreement languishes, their exports are winning the marketplace. Imagine how our exporters feel watching their competition move to the front of the line, knowing that the agreements put them ahead.

If we fail to act on the agreement, it is clear that our U.S. producers will fall behind. It is happening. Thus, today, some of my colleagues and I introduced a resolution pushing for the approval of the Korea, Colombia, and Panama trade agreements. Our President and this Congress hold the keys to unlocking the benefits.

According to the U.S. International Trade Commission, these agreements would increase new U.S. exports between \$10 and \$12 billion, reducing the U.S. trade deficit and boosting the economy. In addition, these new U.S. goods exported to South Korea, to Colombia, to Panama would yield 27,000 new jobs. Overall this means an estimated gain in GDP of over \$12 billion from net exports annually.

This would be music to the ears of our exporters and those looking for work. Their government should similarly be chomping at the bit to get this done. It is within our grasp. American workers and businesses are essentially pleading for us to move forward. The folks on the production line, in our fields, those seeking employment, are the ones with true skin in the game.

We need to unleash their potential by unleashing the pending agreements with South Korea, Colombia, and Panama. These agreements will level the playing field and eliminate barriers for U.S. goods. Our workers are always ready to roll up their sleeves and do what they can to start producing.

Recently our Federal Reserve Chairman, Ben Bernanke, said: Our current pace of hiring will require 4 to 5 years to reach normal unemployment levels. Now, 4 to 5 years is too long to wait. We need to do everything we can to change that picture. So imagine the impact of immediately eliminating tariffs on 80 percent of U.S. exports to South Korea. Remember, only 13 percent of our goods and services are currently exported tariff free. How about immediately eliminating tariffs on U.S. exports to Colombia for more than 77 percent of agricultural goods and 76 percent of industrial goods. Consider a whopping 90 percent of Colombian imports already enter our country duty

free under the Andean Trade Preference Act. This leveling of the playing field is sorely needed.

To be clear, I do not oppose helping our neighbors, and the Andean agreement was designed to do that. But should we not at least seek the same treatment for our businesses and our workers?

Almost 1 year ago today we heard the President speak about aggressively expanding the marketplace in the international market. These agreements would do that. I hope tonight he reaffirms his commitment.

Finally, the third pillar of the competitive package that I introduced today will lower our corporate tax rates 20 percent. For many years, the United States has had the second highest corporate tax rate in the world—second highest corporate tax rate in the world—second only to Japan. Japan has now announced that they will reduce their corporate rate for 2011. With this reduction, the United States will have the highest corporate tax rate of anyone in the entire world. That means the U.S. tax environment for our job creators will be the least attractive in the entire world.

Here is the math: When you take into account a Federal corporate tax rate of 35 percent and the average State corporate tax rate, the combined U.S. corporate tax rate totals more than 39 percent, nearly 40. This combined rate soars above those of other countries with which American businesses compete. That makes absolutely no sense. Is it any wonder that jobs are leaving this country to go to other competitive countries? Our Nation should be encouraging business creation and growth, not putting our job creators at a disadvantage with this extraordinary, No. 1-in-the-world tax rate.

At least 27 of 34 nations in the Organization for Economic Cooperation and Development have cut their general corporate income tax rates since 2000. These countries have benefitted from increased capital investment, and—get this—they have seen their corporate tax revenues, as a share of GDP, actually increase even with the lower rate because they are expanding the base.

According to a July 2010 analysis by PricewaterhouseCoopers, the U.S. would have to reduce its Federal rate to 20.3 percent to match the average corporate rate of other OECD countries. Thankfully, many recognize the need to bring our corporate tax rate in line with those of other industrialized nations. In fact, in December, the President's Export Council recommended the corporate tax rate be reduced to 20 percent. This will stimulate job creation across the country, all sectors of the job market.

Washington cannot continue to say one thing and do another. That is why today I am introducing the Restoring America's Competitiveness in Enterprise Act of 2011. This legislative package, the 1099 repeal, the resolution supporting the trade agreements, the bill

to reduce the highest—soon to be the highest—corporate tax rate in the world will provide a solid foundation for our country to move forward.

It will send a powerful message that this 112th Senate supports job creation and is committed to unleashing America's competitiveness. I am hopeful that my colleagues will join me in supporting this important package. We are off to a good start, and I thank my colleagues on both sides of the aisle who have joined me in this effort.

I yield the floor, and I suggest the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The legislative clerk proceeded to call the roll.

Mr. JOHANNES. Mr. President, I ask unanimous consent that the order for the quorum call be rescinded.

The PRESIDING OFFICER. Without objection, it is so ordered.

#### RECESS

The PRESIDING OFFICER. Under the previous order, the Senate stands in recess until 2:15 p.m.

Thereupon, the Senate, at 12:27 p.m., recessed until 2:15 p.m. and reassembled when called to order by the Presiding Officer (Mr. WEBB).

Mr. LEAHY. I suggest the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The legislative clerk proceeded to call the roll.

Mr. MERKLEY. Mr. President, I ask unanimous consent that the order for the quorum call be rescinded.

The PRESIDING OFFICER (Mr. FRANKEN.) Without objection, it is so ordered.

#### UNANIMOUS CONSENT REQUEST— S. RES. 21

Mr. MERKLEY. Mr. President, I submit a resolution on behalf of myself and Senator TOM UDALL to amend rule XIX and rule XXII of the Standing Rules of the Senate, and I ask unanimous consent that the Senate proceed to the immediate consideration of the resolution.

The PRESIDING OFFICER. Is there objection?

Mr. MERKLEY. Mr. President, for purposes of having the resolution go over, under the rule, I object.

The PRESIDING OFFICER. Objection is heard. The measure will go over, under the rule.

Mr. MERKLEY. I suggest the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. MERKLEY. Madam President, I ask unanimous consent that the order for the quorum call be rescinded.

The ACTING PRESIDENT pro tempore. Without objection, it is so ordered.



Mr. MERKLEY. Madam President, I ask unanimous consent to speak on the issue of Senate rules. I will be joined by a few colleagues in a few minutes.

The ACTING PRESIDENT pro tempore. The Senator is recognized.

Mr. MERKLEY. Thank you, Madam President.

The reason I am rising to talk about rules is because we are at the start of a new 2-year period for Congress. This is the appropriate time to be considering how well the Senate is working and whether we should amend the rules by which the Senate functions.

The last major debate over many of the rules was in 1975. The reason there was a debate that particular year is that in 1973 and 1974, the Congress preceding, there were 44 filibusters, each eating up about a week of the Senate's time. There was a tremendous amount of frustration over the dysfunction of the Senate. So at the start of the Congress that began in 1975, there was an enormous amount of debate, debate that went on for weeks, with all kinds of motions. The spreadsheet tracking them fills pages. In the end, what this body, the Senate, decided to do was to change the rule that requires 67 Senators to terminate debate and have a final vote on a bill and replace it with the decision to have 60 Senators required to end debate and have a final vote on a bill. This is for the so-called cloture motion.

Now we are in a period immediately preceded by the 2009–2010 Congress. In 2009 and in 2010, we didn't have 44 filibusters, we had 135 filibusters. In other words, the Senate has been three times as dysfunctional as it was preceding the last major debate in this Chamber over rules. Since each filibuster delays the work of the Senate for approximately a week under the rules, if you have 135 objections in a 2-year period, that would be 135 weeks of delay in a 104-week period. Obviously, many things are not going to get done with that type of obstruction. Indeed, during 2010 this Chamber was unable to pass a single appropriations bill of the 13 appropriations bills traditionally taken under consideration, debated on this floor, and sent forward. Why is that important? Because in the appropriations bills, we make decisions about what the most pressing problems in America are and how we are going to allocate resources to address those pressing problems. We didn't fail to do this in one or two areas; we failed to do it in all 13. Furthermore, this body did not pass a budget during the last year, 2010. This body did not proceed to advise and consent on all of the nominations that came before it. In fact, we left over 100 nominations pending.

This merits a little bit further discussion because under the Constitution, it is the Senate, this esteemed Chamber, that weighs in on the President's nominations to fill key executive branch positions. It is this Chamber that weighs in on the President's recommendations to fill judicial positions, to assign judges.

If we never get to the debate on the floor of the Senate, then we have not fulfilled our constitutional responsibility to advise and consent. In fact, we have wounded the executive branch, and we have damaged the judicial branch. Certainly, under our theory of balance of powers, it was never envisioned that the advise-and-consent function of the Senate would be used to damage other branches of government. We have failed in our responsibility.

Furthermore, we have left over 400 House bills lying on the floor, collecting dust, unprocessed, unconsidered. The saying in the House of Representatives is the Senate is where good House bills go to die.

It is appropriate that as we start a new 2-year period, we ask ourselves how we should address this dysfunction. There was a time in which the Senate was called the greatest deliberative body in the world. Unfortunately, today there is very little deliberation in the Senate. No appropriations bills, nominations unprocessed, hundreds of House bills untouched, an incomplete budget. The main culprit in this is the filibuster. A filibuster is kind of street language, if you will, for an objection to the regular order of holding a majority vote and triggering about a week's delay in the Senate's process, and it also triggers a supermajority of 60.

It has gotten to the point that in this constitutional function as a majority body, a body in which we need 51 votes, it is functionally becoming a supermajority body.

The Framers of the Constitution were very clear and they laid out a supermajority required for certain purposes. A supermajority is required to approve treaties or a supermajority is required to impeach but not to pass legislation. That was not the vision.

Today, I rise to say we can do better in the Senate and that we owe it under our constitutional responsibilities to do better.

There are a series of proposals that have been filed. One of my colleagues has arrived, Senator UDALL, who has been a key leader, enormously instrumental in this effort to reform the Senate. In a few minutes, I am going to ask unanimous consent for one of these rule changes to be considered on the floor. I will do that when my colleagues across the aisle have arrived. I will go further in discussing how we need to change the Senate.

Before I go further, Senator UDALL already asked for a colloquy. I thought I would stop at this moment and see if he wants to jump in and share some general thoughts before we get into the specifics of the various resolutions which we might ask unanimous consent to have considered.

The ACTING PRESIDENT pro tempore. The Senator from New Mexico.

Mr. UDALL of New Mexico. Madam President, first of all at the beginning, let me thank two of my colleagues who have worked incredibly hard with me

on the issue of Senate rules reform—Senator MERKLEY from Oregon and Senator TOM HARKIN from Iowa. Senator HARKIN will be joining us at some point.

I also wish to thank the Chair. One of the very early leaders on the constitutional option on Senate reform of the rules was Senator JEANNE SHAHEEN from New Hampshire. She is in the chair today. I know she cares about this a lot. I know she wants to see this move forward.

What we are trying to do is follow what has been the history in the Senate. At various points in the Senate, there has been respect for each other, the ability to get legislation on the floor, to have debate. With the rules, it is pretty extraordinary when we look at the history.

When we look at the history of the Senate rules, one of the things that is very clear in the movements in the fifties, sixties, and seventies to consider rules reform, both leaders would allow proposals onto the floor, allow these proposals onto the floor to be voted upon.

We have the extraordinary situation today—extraordinary, and we will see when our colleagues show up—where our friends on the other side of the aisle are basically saying: We don't want your rules reform on the floor today. We are not going to allow that to happen.

As everybody in the Senate knows, we have to have unanimous consent to do this. We are not going to get consent today, but we want to lay out for people what it is that could happen if we were able to get something on the floor.

It is my belief, I say to Senator MERKLEY, that the proposals we make—the proposal Senator MERKLEY and I are on and the Presiding Officer, Senator SHAHEEN, and 26 other Senators are on, S. Res. 10, that we filed on January 10, is a reasonable proposal; it is a commonsense proposal. The five proposals that are contained in the resolution have had substantial bipartisan support in the past.

I am going to be asking unanimous consent to put S. Res. 10 onto the floor so we can have a debate on it, so we can move forward. What is, as I said, extraordinary is we are not going to get that consent. Our research indicates—and I know Senator MERKLEY and his staff worked very hard. They had a chart that was three pages long. In the fifties, sixties, and seventies, these proposals were on the floor. They were debated on the floor. Sometimes there was a motion to table, sometimes there was an up-or-down vote. But we are having great difficulty getting this reasonable, commonsense proposal on the floor.

Let me talk a little bit about S. Res. 10, which 26 other Senators cosponsored on the first day. First of all, it deals with a serious problem. There are five parts to this issue. The first one is debate on motions to proceed. It may

sound a little crazy to people out there, but when we try to get something onto the floor, it does not happen automatically. Actually, what has to happen is if both sides do not agree, the majority leader files what is called a motion to proceed. We can end up on the motion to proceed, going along for 1 week, have to file cloture, which means to cut off debate on the motion to proceed, and then with all the ripening time it takes about 1 week to get through that. We can get to the end of the week, and if we do not get the 60 votes to cut off debate on the motion to proceed, we are back to square one and have wasted a week. That is what we believe is a dilatory tactic. It does not let us get to the point, the people's business.

Mr. MERKLEY. If I may interrupt for a moment, I wish to clarify what the Senator from New Mexico just said, which is, a supermajority of the Senate, after 1 week of debate, is required just to get to the point where we might start debate on the bill, and the Senate wastes weeks and weeks debating whether to debate rather than doing the people's business. That is a problem.

Mr. UDALL of New Mexico. Senator MERKLEY hit it on the head. That is a problem, and we have had that consistently in the 2 years that he and I have been here. My understanding is, it happened in many of the years before that time. In fact, Senator Byrd was very upset about the way the motion to proceed was being used. In 1979, he came down to the floor—he was the majority leader—and he did everything he could to change the motion to proceed and try to make sure it was used more rationally and more reasonably.

What our proposal is, I say to Senator MERKLEY, and other Senators on this resolution know, we are talking 2 hours of debate on the motion to proceed. Rather than wasting a week, if Majority Leader REID comes down and says we are going to proceed to legislation about jobs and he puts it on the floor, the side over there gets an hour and our side gets an hour and then we are on the legislation, ready to have amendments filed, ready for debate to take place.

We have saved us what we believe would be 1 week of time. That is dealing with the first proposal on the motion to proceed.

The second proposal is very simple, but it is going to move the Senate along in a dramatic way; that is, section 2, eliminating secret holds. I know we have several Senators who have worked for years and years on secret holds. When I talk about the bipartisanship on secret holds, Senator GRASSLEY, Senator WYDEN, from Senator MERKLEY's great State of Oregon, Senator CLAIRE MCCASKILL of Missouri more recently, have all been working on the issue of secret holds.

We very simply do this in one little section. We say:

No Senator may object on behalf of another Senator without disclosing the name of that Senator.

That gets right to the heart of secret holds.

Mr. MERKLEY. Madam President, the Senator from New Mexico is telling me it has become a common practice on the floor of the Senate for an individual Senator who wants to oppose something to not have the courage to stand here and tell the world their position but instead to secretly object to a particular issue being raised. I cannot imagine the American public can believe that Senators do not have the courage of their convictions to come here and say: I am going to hold up this legislation because I disagree with it, and I am going to fight it any way I can. So the public can weigh in if they agree with them or not. They will be accountable to the U.S. citizens.

Mr. UDALL of New Mexico. One of the things that happens—and we have seen a lot of this—we know some Senator is objecting, for example, to a nomination, a high nomination in an executive department and does it secretly so we do not know on the Senate floor, the press who covers this does not have an idea, and the people do not know. Then, the same Senator goes to the department and negotiates policy, national policy about a particular issue that concerns the whole Nation, all our States, and tries to get an agreement, a backroom deal and an agreement. That is not the way we should be doing business, and that is why this very simple proposal: "No Senator may object on behalf of another Senator without disclosing the name of the Senator."

You own the hold.

Mr. MERKLEY. I wish to note, as Senator UDALL observed, Senator WYDEN, Senator GRASSLEY, and Senator MCCASKILL have worked hard on a much more detailed version than we have in S. Res. 10, but the basic notion is the same. If a colleague is going to place a hold, they are going to do so in a public and accountable fashion and that would greatly improve the quality of ballot.

I have been in the position of trying to get help for the Klamath Basin in Oregon because they have had little rainfall. I eventually did find out, but it took me quite a while, asking a lot of questions about who had the hold so I could ask them to release the hold so we would have a chance of moving that assistance for this drought-impacted portion of my State.

With this change, those holding up assistance to Klamath or any other area would have to come to the floor and make clear where they stand.

Mr. UDALL of New Mexico. Then it is transparent, then if you as a Senator on the Klamath Basin want to do something, you can go to that Senator— whoever it is—and say: I have an issue with my State. Can we work together to try to work it out?

Right now the problem we have is that some Senator is putting on a se-

cret hold and we do not know who it is and we do not have the ability to clear that away. This is a good, solid proposal.

Mr. MERKLEY. It is not only secret to the public, it is often secret to fellow Senators, greatly complicating our effort to dialog with fellow Senators as to why we are pursuing something and get their partnership in it.

Mr. UDALL of New Mexico. I am going to move on to the third section of S. Res. 10, which is the right to offer amendments. As Senator MERKLEY knows very well and our Presiding Officer, one of the big issues around here—and this is getting into a little bit of the weeds, but one of the big issues that can help us function better is if we just agree, whether we are in the majority or in the minority, that we want both sides to have the opportunity to debate and to offer amendments. And so we are trying to protect that right. Many of us are thinking in terms of these rulings, and we are saying we want them to be fair to both sides. So the provision on the right to offer amendments is in the legislation. It talks about them being majority and minority amendments. It doesn't talk about parties because a lot of the pundits are saying we are going to be in the minority in 2 years, and I think it is only fair in the Senate that we have that kind of relationship.

Senator MERKLEY.

Mr. MERKLEY. I want to note this is important to both the majority and the minority. For example, we recently had a bill on the floor of the Senate which was a major bill regarding the compromise struck by President Obama with our Republican colleagues to spend almost \$1 trillion. I had an amendment I wanted to present that would have taken some of the money in that bill that was being spent in a fashion which created very few jobs and to spend it in a fashion which would create a lot of jobs. I had another proposal to take money that wasn't being put to good use and to proceed to fill in and support the solvency of Social Security and Medicare.

Now, people can argue about whether these were good ideas, but if I had been able to offer one or both of those amendments, I think it would have improved the debate and dialogue and perhaps have resulted in a better piece of legislation.

Mr. UDALL of New Mexico. The fourth provision—and I think the Senator is very right on section 3, but section 4 is the issue of extended debate, and I would like to have the Senator talk about that issue because that is the issue on which you worked the most closely.

The Senator from Oregon has raised the issue of what we have going on right now is what we call a silent debate. It is a silent filibuster. We have people who say they want to filibuster and object, but then they go home or they go on vacation or something like that. So my colleague has drafted a

provision—he is the architect of this provision in S. Res. 10, if he could just go through that and talk about that section on extended debate, what it does and why it is important to what we are dealing with today.

Mr. MERKLEY. Certainly. This provision about a talking filibuster says rather than having a situation where a Senator objects to a majority vote and then we delay the work of the Senate for a week, though nobody is here explaining their position to the American public, instead we would switch to a provision that says if 41 Senators want continued debate on a bill, we will get continued debate on a bill. We will have debate on a bill, not silence.

Currently, we have the hidden or the silent filibuster. With this, we would create the public or the talking filibuster. To give a sense of the numbers on this, these blue bars represent filibusters during the last 2-year period. During the first 6 months 33, 34 in the second 6 months, 36 in the third 6 months, and then 33. I think that is 136 total filibusters in a 2-year period.

This is why we didn't have any appropriations bills. This is why we didn't have a budget. This is why we didn't deal with hundreds of House bills. And this is why we didn't get nominations done and advice and consent on them.

Is this the way the Senate has always operated? Absolutely not. In the last few decades there has been a huge change in how the Senate has functioned. So let's take a look at the average per year.

In the 1900–1970 period, the average was one filibuster per year. In the 1970s, the average was 16 filibusters per year. In the 1980s, 21 filibusters per year, average; in the 1990s, 36 filibusters per year, average; in the 2000s, 2000–2010, 48 filibusters per year; and from 2009 to 2010, this last session, an average of 68. There were 136 total.

So you can see from this chart the growing dysfunction. There was always a social contract that existed in which an individual Senator didn't exercise his or her power to object to a simple majority vote unless they thought it was an issue of huge consequence. Maybe that would occur once or twice in a career, but not routinely week after week. But that social contract has been eliminated. The filibuster was honoring the right of every Senator to be heard; that we were not going to hold a vote until every Senator had his or her say so we could be fully informed and have a full dialogue. It is that reciprocal respect that is being routinely disregarded and abused on the floor of the Senate.

Many of us have an image of the filibuster that comes from the movie, "Mr. Smith Goes to Washington." Here is Jimmy Stewart playing the character of Jefferson Smith, and he comes to defend a corrupt action and to stop it regarding a camp for children. He talks through the night, and there are many forces assaulting him, but Jimmy Stewart is going to stay on the

Senate floor and he is going to tell the American people what he is fighting for and why. This is the talking filibuster, where you don't object and go away and leave the Senate suspended. You don't vote for additional debate and then not have that debate. You come to this floor and you hold the floor and you join with other partners to hold the floor in order to explain why you are holding up the Senate and to carry on the debate, to have that additional debate you have voted for.

So the talking filibuster is almost that simple—it replaces the silent filibuster with the talking filibuster. The result is two critical things: First of all, transparency and accountability with the American public. The public can see what you are saying on the floor of the Senate and can say you are a hero or you are a bum. They can agree with you or they can disagree, but it is visible, not hidden.

The second thing is each Senator has to expend time and energy to carry out a filibuster, so this will strip away all these frivolous filibusters that are done for no other reason than to prevent the Senate from being able to carry on with its responsibilities.

Mr. UDALL of New Mexico. Let me also say one thing about the talking filibuster that hit me, and that is bipartisanship. As we know, both of us, I think, were on the Senate floor when Senator Arlen Specter gave his farewell address. I believe the Presiding Officer was also here. Senator Specter served in the minority for 2 years and then was in the majority for almost 2 years and both times he came forward with a proposal where he was calling for the same thing—a talking filibuster, whether he was on the minority side or the majority side.

So I think, once again, that just demonstrates that each of these provisions has bipartisan support in it.

We don't think this debate is about partisanship. We don't think it is about a power grab. We don't think it is about those kinds of things. It is about, as the Senator has elucidated, making the Senate work better. When we say "make the Senate work better," we are talking about it working better for the American people.

I think if we did the oversight of government when it comes to appropriations bills, a budget, getting the budget out on time, getting appropriations bills done on time, that does a lot to make sure the public's money is well spent, and that is something I hear a lot about back home.

I will ask unanimous consent to have printed in the RECORD a Republican Policy Committee paper titled "The Constitutional Option: The Senate's Power To Make Procedural Rules by Majority Vote," dated April 25, 2005.

We keep hearing that any use of the constitutional option is simply a power grab by Democrats. That is simply not true—and a 2005 Republican Policy Committee memo provides some excellent points to rebut the power grab argument.

Let me read part of the 2005 Republican memo and I will ask that the entire memo be printed in the RECORD:

This constitutional option is well grounded in the U.S. Constitution and in Senate history.

The Senate has always had, and repeatedly has exercised, the constitutional power to change the Senate's procedures through a majority vote. Majority Leader Robert C. Byrd used the constitutional option in 1977, 1979, 1980, and 1987 to establish precedents changing Senate procedures during the middle of a Congress. And the Senate several times has changed its Standing Rules after the constitutional option had been threatened, beginning with the adoption of the first cloture rule in 1917. Simply put, the constitutional option itself is a longstanding feature of Senate practice.

The Senate, therefore, has long accepted the legitimacy of the constitutional option. Through precedent, the option has been exercised and Senate procedures have been changed. At other times it has been merely threatened, and Senators negotiated textual rules changes through the regular order. But regardless of the outcome, the constitutional option has played an ongoing and important role.

The memo goes on to address some "Common Misunderstandings of the Constitutional Option." Let me read some of those.

Again, this is a direct quote:

Senate procedures are sacrosanct and cannot be changed by the constitutional option. This misunderstanding does not square with history. As discussed, the constitutional option has been used multiple times to change the Senate's practices through the creation of new precedents. Also, the Senate has changed its Standing Rules several times under the threat of the constitutional option.

The next misunderstanding addressed in the memo is that "Exercising the constitutional option will destroy the filibuster for legislation."

The Republican rebuttal is:

The history of the use of the constitutional option suggests that this concern is grossly overstated. Senators will only exercise the constitutional option when they are willing to live with the rule that is created, regardless of which party controls the body.

And a final misunderstanding in the memo, and one which the Republicans are happy to use now, is that "the essential character of the Senate will be destroyed if the constitutional option is exercised."

The memo rebuts this by stating:

When Majority Leader Byrd repeatedly exercised the constitutional option to correct abuses of Senate rules and precedents, those illustrative exercises of the option did little to upset the basic character of the Senate. Indeed, many observers argue that the Senate minority is stronger today in a body that still allows for extensive debate, full consideration, and careful deliberation of all matters with which it is presented.

I ask unanimous consent that the memo be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

[From the Republican Policy Committee,  
Apr. 25, 2005]

THE SENATE'S POWER TO MAKE PROCEDURAL  
RULES BY MAJORITY VOTE  
EXECUTIVE SUMMARY

The filibusters of judicial nominations that arose during the 108th Congress have created an institutional crisis for the Senate.

Until 2003, Democrats and Republicans had worked together to guarantee that nominations considered on the Senate floor received up-or-down votes.

The filibustering Senators are trying to create a new Senate precedent—a 60-vote requirement for the confirmation of judges—contrary to the simple-majority standard presumed in the Constitution.

If the Senate allows these filibusters to continue, it will be acquiescing in Democrats' unilateral change to Senate practices and procedures.

The Senate has the power to remedy this situation through the "constitutional option"—the exercise of a Senate majority's constitutional power to define Senate practices and procedures.

The Senate has always had, and repeatedly has exercised, this constitutional option. The majority's authority is grounded in the Constitution, Supreme Court case law, and the Senate's past practices.

For example, Majority Leader Robert C. Byrd used the constitutional option in 1977, 1979, 1980, and 1987 to establish precedents that changed Senate procedures during the middle of a Congress.

An exercise of the constitutional option under the current circumstances would be an act of restoration—a return to the historic and constitutional confirmation standard of simple-majority support for all judicial nominations.

Employing the constitutional option here would not affect the legislative filibuster because virtually every Senator supports its preservation. In contrast, only a minority of Senators believes in blocking judicial nominations by filibuster.

The Senate would, therefore, be well within its rights to exercise the constitutional option in order to restore up-or-down votes for judicial nominations on the Senate floor.

INTRODUCTION

In recent months, there has been growing public interest in the Senate's ability to change its internal procedures by majority vote. The impetus for this discussion is a Senate minority's use of the filibuster to block votes on 10 judicial nominations during the 108th Congress. Until then, a bipartisan majority of Senators had worked together to guarantee that filibusters were not to be used to permanently block up-or-down votes on judicial nominations. For example, as recently as March 2000, Majority Leader Trent Lott and Minority Leader Tom Daschle worked together to ensure that judicial nominees Richard Paez and Marsha Berzon received up-or-down votes, even though Majority Leader Lott and most of the Republican caucus ultimately voted against those nominations. But that shared understanding of Senate norms and practices—that judicial nominations shall not be blocked by filibuster—broke down in the 108th Congress.

This breakdown in Senate norms is profound. There is now a risk that the Senate is creating a new, 60-vote confirmation standard. The Constitution plainly requires no more than a majority vote to confirm any executive nomination, but some Senators have shown that they are determined to override this constitutional standard. Thus, if the Senate not act during the 109th Con-

gress to restore the Constitution's simple-majority standard, it could be plausibly argued that a precedent has been set by the Senate's acquiescence in a 60-vote threshold for nominations.

One way that Senators can restore the Senate's traditional understanding of its advice and consent responsibility is to employ the "constitutional option"—an exercise of a Senate majority's power under the Constitution to define Senate practices and procedures. The constitutional option can be exercised in different ways, such as amending Senate Standing Rules or by creating precedents, but regardless of the variant, the purpose would be the same—to restore previous Senate practices in the face of unforeseen abuses. Exercising the constitutional option in response to judicial nomination filibusters would restore the Senate to its longstanding norms and practices governing judicial nominations, and guarantee that a minority does not transform the fundamental nature of the Senate's advice and consent responsibility. The approach, therefore, would be both reactive and restorative.

This constitutional option is well grounded in the U.S. Constitution and in Senate history. The Senate has always had, and repeatedly has exercised, the constitutional power to change the Senate's procedures through a majority vote. Majority Leader Robert C. Byrd used the constitutional option in 1977, 1979, 1980, and 1987 to establish precedents changing Senate procedures during the middle of a Congress. And the Senate several times has changed its Standing Rules after the constitutional option had been threatened, beginning with the adoption of the first cloture rule in 1917. Simply put, the constitutional option itself is a longstanding feature of Senate practice.

This paper proceeds in four parts: (1) a discussion of the constitutional basis of the Senate's right to set rules for its proceedings; (2) an examination of past instances when Senate majorities acted to define Senate practices—even where the written rules and binding precedents of the Senate dictated otherwise; (3) an evaluation of how this history relates to the present impasse regarding judicial nomination filibusters; and (4) a clarification of common misunderstandings of, the constitutional option. The purpose of this paper is not to resolve the political question of whether the Senate should exercise the constitutional option, but merely to demonstrate the constitutional and historical legitimacy of such an approach.

THE CONSTITUTION: THE SENATE'S RIGHT TO SET  
PROCEDURAL RULES

"Each House may determine the Rules of its Proceedings." —U.S. Constitution, art. I, sec. 5, cl. 2.

The Senate's constitutional power to make rules is straightforward, but two issues do warrant brief elaboration—the number of Senators that are constitutionally necessary to establish procedures and whether there are any time limitations as to when the rule-making power can be exercised.

The Supreme Court addressed both of these questions in *United States v. Ballin*, an 1892 case interpreting Congress's rulemaking powers.<sup>1</sup> First, the Court held that the powers delegated to each body are held by a simple majority of the quorum, unless the Constitution expressly creates a supermajority requirement.<sup>2</sup> The Constitution itself sets the quorum for doing business—a majority of the Senate.<sup>3</sup> Second, the Supreme Court held that the "power to make rules is not one which once exercised is exhausted. It is a continuous power, always subject to be exercised by the house."<sup>4</sup> Thus, the Supreme Court has held that the power of a majority

of Senators to define the Senate's procedures exists at all times—whether at the beginning, middle, or end of a Congress.

The Senate majority exercises this constitutional rulemaking power in several ways:

First, it has adopted Standing Rules to govern some Senate practices and procedures. Those rules formally can be changed by a majority vote. Any motion to formally amend the Standing Rules is subject to debate, and Senate Rule XXII creates a special two-thirds cloture threshold to end that debate.

Second, the Senate operates according to Senate precedents, i.e., rulings by the Chair or the Senate itself regarding questions of Senate procedure. A precedent is created whenever the Chair rules on a point of order, when the Senate sustains or rejects an appeal of the Chair's ruling on a point of order, or when the Senate itself rules on a question that has been submitted to it by the Chair.<sup>5</sup> As former parliamentarian and Senate procedural expert Floyd M. Riddick has said, "The precedents of the Senate are just as significant as the rules of the Senate."<sup>6</sup>

Third, the Senate binds itself through rule-making statutes that constrain and channel the consideration of particular matters and guarantee that the Senate can take action on certain matters by majority vote. At least 26 such rule-making statutes govern Senate procedure and limit the right to debate, dating back to the 1939 Reorganization Act and including, most prominently, the 1974 Budget Act.<sup>7</sup>

Finally, the Senate can modify the above procedures through Standing Orders, which can be entered via formal legislation, Senate resolutions, and unanimous consent agreements.

It is important to emphasize, however, that these rules are the mere background for day-to-day Senate procedure. As any Senate observer knows, the institution functions primarily through cooperation and tacit or express agreements about appropriate behavior. Most business is conducted by unanimous consent, and collective norms have emerged that assist in the protection of minority rights without unduly hindering the Senate's business.

Consider, for example, the Senate's contrasting norms regarding the exercise of individual Senators' procedural rights. Under the rules and precedents of the Senate, each Senator has the right to object to consent requests and, with a sufficient second, to demand roll call votes on customarily routine motions. If Senators routinely exercised those rights, however, the Senate would come to a standstill. Such wholesale obstruction is rare, but not because the Senate's standing rules, precedents, and rulemaking statutes prohibit a Senator from engaging in that kind of delay. Rather, Senators rarely employ such dilatory tactics because of the potential reaction of other Senators or the possibility of retaliation. As a result, informed self-enforcement of reasonable behavior is the norm.

At the same time, some "obstructionist" tactics have long been accepted by the Senate as features of a body that respects minority rights. Most prominent is the broadly accepted right of a single Senator to speak for as long as he or she wants on pending legislation, subject only to the right of the majority to invoke cloture and shut off debate. Indeed, an overwhelming and bipartisan consensus in support of the current legislative filibuster system has existed for 30 years.<sup>8</sup> Thus, the norms of the Senate tolerate some, but not all, kinds or degrees of obstruction.

Thus, while written rules, precedents, and orders are important, common understandings of self-restraint, discretion, and

institutional propriety have primarily governed acceptable Senatorial conduct. It is the departures from these norms of conduct that have precipitated institutional crises that require the Senate to respond.

THE HISTORY: THE SENATE'S REPEATED USE OF THE CONSTITUTIONAL OPTION

The Senate is a relatively stable institution, but its norms of conduct have sometimes been violated. In some instances, a minority of Senators has rejected past practices and bipartisan understandings and exploited heretofore "off limits" opportunities to obstruct the Senate's business. At other times, a minority of Senators has abused the rules and precedents in a manner that violates Senators' reasonable expectations of proper procedural parameters. These are efforts to change Senate norms and practices, but they do not necessarily have the support of a majority.

Such situations create institutional conundrums: what should be done when a mere minority of Senators changes accepted institutional norms? One option is to acquiesce and allow "rule by the minority" so that the minority's norm becomes the Senate's new norm. But another option has been for the majority of Senators to deny the legitimacy of the minority Senators' effort to shift the norms of the entire body. And to do that, it has been necessary for the majority to act independently to restore the previous Senate norms of conduct.

This section examines those illustrative instances—examples of when the Senate refused to permit a minority of Senators to change norms of conduct or to otherwise exploit the rules in ways destructive to the Senate, and, instead, exercised the constitutional option.

*Then-Majority Leader Byrd's Repeated Exercise of the Constitutional Option*

When Senator Robert C. Byrd was Majority Leader, he faced several circumstances in which a minority of Senators (from both parties) began to exploit Senate rules and precedents in generally unprecedented ways. The result was obstruction of Senate business that was wholly unrelated to the institution's great respect for the right to debate and amend. Majority Leader Byrd's response was to implement procedural changes through majoritarian votes in order to restore Senate practices to the previously accepted norms of the body.

*1977—Majority Leader Byrd Exercised the Constitutional Option to Alter Operation of Rule XXII and Prevent Post-Cloture Filibusters*

In 1977, two Senators attempted to block a natural gas deregulation bill after cloture had already been invoked.<sup>9</sup> A "post-cloture filibuster" should seem counterintuitive for anyone with a casual acquaintance with Senate rules, but these obstructing Senators had found a loophole. Although further debate was foreclosed by Rule XXII once post-cloture debate was exhausted, the Senators were able to delay a final vote by offering a series of amendments and then forcing quorum calls and roll call votes for each one. Even if the amendments were "dilatatory" or "not germane" (which Rule XXII expressly prohibits), Senate procedure provided no mechanism to get an automatic ruling from the Chair that the amendments were defective. A Senator could raise a point of order, but any favorable ruling could be appealed, and a roll call vote could be demanded on the appeal. Moreover, in 1975, before a point of order could even be made, an amendment first must have been read by the clerk. While the reading of amendments is commonly waived by unanimous consent, anyone could object and require a reading that could fur-

ther tie up Senate business. Thus, the finality that cloture is supposed to produce could be frustrated.

These practices were proper under Senate rules and precedents, but Majority Leader Byrd concluded in this context that these tactics were an abuse of Senate Rule XXII. His response was to make a point of order that "when the Senate is operating under cloture the Chair is required to take the initiative under rule XXII to rule out of order all amendments which are dilatatory or which on their face are out of order."<sup>10</sup> The Presiding Officer, Vice President Walter Mondale, sustained the point of order, another Senator appealed, and Majority Leader Byrd immediately moved to table. The Senate then voted to sustain the motion to table the appeal. In so doing, the Senate set a new precedent that ran directly contrary to the Senate's longstanding procedures which required Senators to raise points of order to enforce Senate rules. Now, under this precedent, the Chair would be empowered to take the initiative to rule on questions of order in a post-cloture environment.

The reason for Majority Leader Byrd's tactic immediately became clear. He began to call up each of the dilatatory amendments that had been filed post-cloture, and the Chair instantly ruled them out of order. There was no reading of the amendments (which would have been dilatatory in itself) and there were no roll call votes. The Majority Leader then exercised his right of preferential recognition to call up numerous remaining amendments, and similarly disposed of them. No appeals could be taken because any appeal was mooted when Majority Leader Byrd secured his preferential recognition to call up additional amendments."<sup>11</sup>

This was the constitutional option in action. Majority Leader Byrd did not follow the regular order and attempt to amend the Senate Rules in order to block these tactics. Instead, he used a simple point of order that cut off the ability of a minority of Senators to add a new layer of obstruction to the legislative process. His method was consistent with the Senate's constitutional authority to establish procedure.

*1979—Majority Leader Byrd Exercised the Constitutional Option to Change Operation of Rule XVI (Limiting Amendments to Appropriations Bills)*

Majority Leader Byrd used the constitutional option again in 1979 in order to block legislation on appropriations bills.<sup>12</sup> Standing Rule XVI barred Senate legislative amendments to appropriations bills. By precedent, however, such amendments were permissible when offered as germane modifications of House legislative provisions. Thus, when the House acted first and added legislative language to an appropriations measure, Senators could respond by offering legislative amendments to the House's legislative language. While another Senator might make a point of order, the Senator offering the authorizing language could respond with a defense of germaneness. And, by the express language of Rule XVI, that question of germaneness must be submitted to the Senate and decided without debate. By enabling the full Senate to vote on the germaneness defense without getting a ruling from the Presiding Officer first, the legislative amendment's sponsor avoided having to overturn the ruling of the Chair and create any formal precedents in doing so. The result was a breakdown in the appropriations process due to legislative amendments, and it was happening pursuant to Senate rules that plainly permitted these tactics.

Majority Leader Byrd resolved to override the plain text of Rule XVI and strip the Senate of its ability to decide questions of ger-

maneness in this context. Senator Byrd's mechanism was similar to the motion he employed in 1977: he made a point of order that "this is a misuse of precedents of the Senate, since there is no House language to which this amendment could be germane, and that, therefore, the Chair is required to rule on the point of order as to its being legislation on an appropriation bill and cannot submit the question of germaneness to the Senate."<sup>13</sup> The Chair sustained the point of order, and the Senate rejected the ensuing appeal, 44-40.

The result of Majority Leader Byrd's exercise of the constitutional option was a binding precedent that caused the Senate to operate in a manner directly contrary to the plain language of Rule XVI.<sup>14</sup> Moreover, the method was contrary to past Senate practices regarding germaneness. But the process employed, as in 1977, was nonetheless constitutional because nothing in the Senate's rules, precedents, or practices can deny the Senate the constitutional power to set its procedural rules.

*1980—Majority Leader Byrd Changed Procedures Governing Executive Session and the Treatment of Judicial Nominations*

The Senate's Executive Calendar has two sections—treaties and nominations. Prior to March 1980, a motion to enter Executive Session, if carried, would move the Senate automatically to the first item on the Calendar, often a treaty. Rule XXII provides (then and now) that such a motion to enter Executive Session is not debatable. However, unlike the non-debatable motion to enter Executive Session, any motion to proceed to a particular item on the Executive Calendar was then subject to debate. In practice, then, the Senate could not proceed to consider any business other than the first Executive Calendar item without a Senator offering a debatable motion, which then would be subject to a possible filibuster.<sup>15</sup>

Majority Leader Byrd announced his objection to this potential "double filibuster" (once on the motion to proceed to a particular Executive Calendar item, and again on the Executive Calendar item itself), and exercised another version of the constitutional option. This time he moved to proceed directly to a particular nomination on the Executive Calendar and sought to do so without debate. Senator Jesse Helms made the point of order that Majority Leader Byrd could only move by a non-debatable motion into Executive Session, not to a particular treaty or nomination.<sup>16</sup> The Presiding Officer upheld the point of order given that it was grounded in Rule XXII and longstanding understandings of Senate practices and procedures. But Majority Leader Byrd simply appealed the ruling of the Chair and prevailed, 38-54. Thus, even though there was no basis in the Senate Rules, and even though Senate practices had long preserved the right to debate any motion to proceed to a particular Executive Calendar item, the Senate exercised its constitutional power to "make rules for its proceedings" and created the procedure that the Senate continues to use today.

As an historical sidenote, Majority Leader Byrd used this new precedent to great effect in December 1980 when he bypassed several items (including several nominations) on the Executive Calendar to take up a single judicial nomination—that of Stephen Breyer, then Chief Counsel to the Senate Judiciary Committee, to be a judge on the U.S. Court of Appeals for the First Circuit. Judge Breyer was later nominated and confirmed to the U.S. Supreme Court in 1994. Without Majority Leader Byrd's exercise of the constitutional option earlier that year, it is almost certain that Justice Breyer would not be on the Supreme Court today.

*1987—Majority Leader Byrd Forced Change to Rule XII's Voting Procedures through Excursion of the Constitutional Option*

A fourth exercise of the constitutional option came in 1987 when Senator Byrd was once again Majority Leader. The controversy in question involved an effort by Majority Leader Byrd to proceed to consider a particular bill, an effort that had been frustrated because a minority of Senators objected each time he moved to proceed. To thwart his opponents, Majority Leader Byrd sought to use a special feature of the Senate Rules—the Morning Hour (the first two hours of the Legislative Day).

Under Rule VIII, a motion to proceed to an item on the Legislative Calendar that is made during the Morning Hour is non-debatable. This feature of the rules gives the Majority Leader significant power to set the Senate agenda due to his right to preferential recognition (which is, itself, a creature of mere custom and precedent). Such a motion cannot be made, however, until the Senate Journal is approved and Morning Business is thereafter concluded (or the first of the two hours has passed). Meanwhile, the clock runs on the Morning Hour while that preliminary business takes place. When the Morning Hour expires, a motion to proceed once again becomes debatable and subject to filibuster.<sup>17</sup> It was this feature of the Morning Hour that Senator Byrd believed would enable him to proceed to the bill in question.

Majority Leader Byrd's plan was complicated, however, when objecting Senators forced a roll call vote on the approval of the Journal, as was their right under the procedures and practices of the Senate. Rule XII provides that during a roll call vote, if a Senator declines to vote, he or she must state a reason for being excused. The Presiding Officer then must put a non-debatable question to the Senate as to whether the Senator should be excused from voting. When Majority Leader Byrd moved to approve the Journal, one Senator declined to vote and sought to be excused. Following Rule XII, the Presiding Officer put the question directly to the Senate—should the Senator be excused?—but during the roll call on whether the first Senator should be excused, another Senator announced that he wished to be excused from voting on whether the first Senator should be excused. The Chair was likewise obliged to put the question to the Senate. At that point, yet another Senator announced he wished to be excused from that vote. There were four roll call votes then underway—the original motion to approve the Journal and three votes on whether Senators could be excused. If Senators persisted in this tactic, the time it took for roll call votes would cause the Morning Hour to expire, and the Majority Leader would lose his ability to move to proceed to his bill without debate. All this maneuvering was wholly consistent with the Standing Rules of the Senate.

Majority Leader Byrd countered with a point of order, arguing that the requests to be excused were, in fact, little more than efforts to delay the actual vote on the approval of the Journal. His solution was to exercise the constitutional option: to use majority-supported Senate precedents to change Senate procedures, outside the operation of the Senate rules. In three subsequent party-line votes, three new precedents were established: first, that a point of order could be made declaring repeated requests to be excused from voting on a motion to approve the Journal (or a vote subsumed by it) to be "dilatatory;" second, that repeated requests to be excused from voting on a motion to approve the Journal (or a vote subsumed by it) "when they are obviously done for the

purpose of delaying the announcement of the vote on the motion to approve the Journal, are out of order;" and third, that a Senator has a "limited time" to explain his reason for not voting, i.e., he cannot filibuster by speaking indefinitely when recognized to state his reason for not voting.<sup>18</sup> Majority Leader Byrd had crafted these new procedures completely independently of the Senate Rules, and they were adopted by a partisan majority without following the procedures for rule changes provided in Rule XXII. Yet the tactics were wholly within the Senate's constitutional power to devise its own procedures.

This 1987 circumstance offers a very important precedent for the present difficulties. Majority Leader Byrd established that a majority could restrict the rights of individual Senators outside the cloture process if the majority concluded that the Senators were acting in a purely "dilatatory" fashion. Previous to that day, dilatatory tactics were only out of order after cloture had been invoked.

*Additional Senate Endorsements of the Constitutional Option*

The Senate also has endorsed (or acted in response to) some version of the constitutional option several other times over the past 90 years—in 1917, 1959, 1975, and 1979.

The original cloture rule, adopted in 1917, itself appears to be the result of a threat to exercise the constitutional option. Until 1917, the Senate had no cloture rule at all, although one had been discussed since the days of Henry Clay and Daniel Webster. The ability of Senators to filibuster any effort to create a cloture rule put the body in a quandary: debate on a possible cloture rule could not be foreclosed without some form of cloture device.

The logjam was broken when first term Senator Thomas Walsh announced his intention to exercise a version of the constitutional option so that the Senate could create a cloture rule. His method was to propose a cloture rule and forestall a filibuster by asserting that the Senate could operate under general parliamentary law while considering the proposed rule. Doing so would permit the Senate to avail itself of a motion for the previous question to terminate debate—a standard feature of general parliamentary law.<sup>19</sup> In this climate, Senate leaders quickly entered into negotiations to craft a cloture rule.<sup>20</sup> Negotiators produced a rule that was adopted, 76-3, with the opposing Senators choosing not to filibuster.<sup>21</sup> But it was only after Senator Walsh made clear that he intended to press the constitutional option that those negotiations bore fruit. As Senator Clinton Anderson would remark in 1953, "Senator Walsh won without firing a shot."<sup>22</sup>

The same pattern repeated in 1959, 1975, and 1979. In each case, the Senate faced a concerted effort by an apparent majority of Senators to exercise the constitutional option to make changes to Senate rules. In 1959, some Senators threatened to exercise the constitutional option in order to change the cloture requirements of Rule XXII. Then-Majority Leader Lyndon Johnson preempted its use by offering a modification to Rule XXII that was adopted through the regular order.<sup>23</sup> In 1975, the Senate three times formally endorsed the constitutional option by creating precedents aimed at facilitating rule changes by majority vote, although the ultimate rule change (also to Rule XXII) was implemented through the regular order after off-the-Floor negotiations.<sup>24</sup> And in 1979, Majority Leader Byrd threatened to use the constitutional option unless the Senate consented to a time frame for consideration of changes to post-cloture procedures. The Senate acquiesced, and the Majority Leader did

not need to use the constitutional option as he had in the other cases discussed above.<sup>25</sup>

The Senate, therefore, has long accepted the legitimacy of the constitutional option. Through precedent, the option has been exercised and Senate procedures have been changed. At other times it has been merely threatened, and Senators negotiated textual rules changes through the regular order. But regardless of the outcome, the constitutional option has played an ongoing and important role.

*The Judicial Filibuster and the Constitutional Option*

The filibusters of judicial nominations during the 108th Congress were unprecedented in Senate history.<sup>26</sup> While cloture votes had been necessary for a few nominees in previous years, leaders from both parties consistently worked together to ensure that nominees who reached the Senate floor received up-or-down votes. The result of this bipartisan cooperation was that, until 2003, no judicial nominee with clear majority support had ever been defeated due to a refusal by a Senate minority to permit an up-or-down floor vote, i.e., a filibuster.<sup>27</sup>

The best illustration of this traditional norm is the March 2000 treatment of President Bill Clinton's nominations of Richard Paez and Marsha Berzon to the U.S. Court of Appeals for the Ninth Circuit. When those nominations reached the Senate floor, Majority Leader Trent Lott, working with Democrat Leader Tom Daschle, filed cloture before any filibuster could materialize. Republican Judiciary Chairman Orrin Hatch likewise fought to preserve Senate norms and traditions, arguing that it would be "a travesty if we establish a routine of filibustering judges."<sup>28</sup> Moreover, as a further testament to the bipartisan opposition to filibusters for judicial nominations, more than 20 Republicans who opposed the nominations and who would vote against them nonetheless supported cloture for Mr. Paez and Ms. Berzon, and cloture was easily reached.<sup>29</sup> Had every Senator who voted against Mr. Paez's nomination likewise voted against cloture, cloture would not have been invoked. Thus, as recently as March 2000, more than 80 Senators were on record opposing the filibuster of judicial nominations.<sup>30</sup> If the new judicial nomination filibusters are accepted as a norm, then the Senate will be rejecting this history and charting a new course.

It is not only the Senate norm regarding not filibustering judicial nominations that risks being transformed, but the effective constitutional standard for the confirmation of judicial nominations. There can be no serious dispute that the Constitution requires only a Senate majority for confirmation. Indeed, many judicial nominees have been confirmed by fewer than 60 votes in the past—including three Clinton nominees and two Carter nominees.<sup>31</sup> Never has the Senate claimed that a supermajority is necessary for confirmation.

Recently, however, some filibustering Senators have suggested that a failed cloture vote is tantamount to an up-or-down vote on a judicial nomination. The new Senate Minority Leader, Harry Reid, has stated that the 10 filibustered judges have been "turned down."<sup>32</sup> Senator Charles Schumer has repeatedly stated that a failed cloture vote is evidence that the Senate has "rejected" a nomination.<sup>33</sup> Senator Russell Feingold described the filibustered nominees for the 108th Congress as having "been duly considered by the Senate and rejected."<sup>34</sup> Judiciary Committee Ranking Member Patrick Leahy has referred to the filibustered nominees as having been "effectively rejected."<sup>35</sup> And in April 2005, Senator Joseph Lieberman



claimed that 60 votes should be the “minimum” for confirmation.<sup>36</sup> These characterizations illustrate the extent to which the Senate has lost its moorings.

Without restoration of the majority-vote standard, judicial nominations will require an extra-constitutional supermajority to be confirmed, without any constitutional amendment—or even a Senate consensus—supporting that change. Any exercise of the constitutional option would, therefore, be aimed at restoring the Senate’s procedures to conform to its traditional norms and practices in dealing with judicial nominations. It would return the Senate to the Constitution’s majority-vote confirmation standard. And it would prevent the Senate from abusing procedural rules to create supermajority requirements. Instead, it would be restorative, and Democrats and Republicans alike would operate in the system that served the nation until the 108th Congress.

#### COMMON MISUNDERSTANDINGS OF THE CONSTITUTIONAL OPTION

*Senate procedures are sacrosanct and cannot be changed by the constitutional option.*

This misunderstanding does not square with history. As discussed, the constitutional option has been used multiple times to change the Senate’s practices through the creation of new precedents. Also, the Senate has changed its Standing Rules several times under the threat of the constitutional option.

*Exercising the constitutional option will destroy the filibuster for legislation.*

The history of the use of the constitutional option suggests that this concern is grossly overstated. Senators will only exercise the constitutional option when they are willing to live with the rule that is created, regardless of which party controls the body. For the very few Senators (if any) who today want to eliminate the legislative filibuster by majority vote, the roadmap has existed since as early as 1917. Moreover, an exercise of the constitutional option to restore the norms for judicial confirmations would be just that—an act of restoration. To eliminate the legislative filibuster would not be restorative of Senate norms and traditions; it would destroy the Senate’s longstanding respect for the legislative filibuster as a vehicle to protect Senators’ rights to amend and debate. It is also worth noting that the Senate is now entering its 30th year of bipartisan consensus as to the cloture threshold (three-fifths of those duly chosen and sworn) for legislative filibusters.<sup>37</sup>

*All procedural changes must be made at the beginning of a Congress.*

Again, this claim, does not square with history. In fact, there is nothing special about the beginning of a Congress vis-à-vis the Senate’s right to establish its own practices and procedures, or even its formal Standing Rules. As discussed above, Majority Leader Byrd used the constitutional option to create a precedent that overrode Rule XVI’s plain text—and not at the beginning of a Congress. Moreover, as the Supreme Court held in *Ballin*, each House of Congress’s constitutional power to make procedural rules is of equal value at all times.<sup>38</sup>

*The essential character of the Senate will be destroyed if the constitutional option is exercised.*

When Majority Leader Byrd repeatedly exercised the constitutional option to correct abuses of Senate rules and precedents, those illustrative exercises of the option did little to upset the basic character of the Senate. Indeed, many observers argue that the Senate minority is stronger today in a body that still allows for extensive debate, full consid-

eration, and careful deliberation of all matters with which it is presented.

*Exercising the constitutional option would turn the Senate into a “rubber stamp.”*

Again, history proves otherwise. The Senate has repeatedly exercised its constitutional power to reject judicial nominations through straightforward denials of “consent” by up-or-down votes. For example, the Senate defeated the Supreme Court nominations of Robert Bork (1987), G. Harold Carswell (1970), and Clement Haynsworth (1969) on up-or-down votes.<sup>39</sup> Even in the 108th Congress, when the Senate voted on the nomination of J. Leon Holmes to a federal district court in Arkansas, five Republicans voted against President Bush’s nominee. Had several Democrats not voted for Mr. Holmes, he would not have been confirmed.<sup>40</sup> In other words, the Senate still has the ability to work its will in a nonpartisan fashion as long as the minority permits the body to come to up-or-down votes. Members from both parties will ensure that the Senate does its constitutional duty by carefully evaluating all nominees.

#### CONCLUSION

Can the Senate restore order when a minority of its members chooses to upset tradition? Does the Constitution empower the Senate to act so that it need not acquiesce whenever a minority decides that the practices, procedures, and rules should be changed? Can the Senate majority—not necessarily a partisan majority, but simply a majority of Senators—act to return the Senate to its previously agreed-upon norms and practices? The answer to all these questions is a clear yes. The Senate would be acting well within its traditions if it were to restore the longstanding procedural norms so that the majority standard for confirmation is preserved and nominees who reach the Senate floor do not fall victim to filibusters.

#### ENDNOTES

- <sup>1</sup>144 U.S. 1 (1892).
- <sup>2</sup>*Ballin*, 144 U.S. at 6. There is no serious disagreement with the Supreme Court’s conclusion in *Ballin*. Indeed, Senator Edward Kennedy has said that only a majority is necessary to change Senate procedures. Congressional Record, Feb. 20, 1975, S3848. Senator Charles Schumer conceded during a Judiciary subcommittee hearing on the constitutionality of the filibuster that Senate rules “could be changed by a majority vote.” S. Hrg. 108–227 (May 6, 2003), at 60.
- <sup>3</sup>U.S. Const., art. I, § 5, cl. 1.
- <sup>4</sup>*Ballin*, 144 U.S. at 5.
- <sup>5</sup>Floyd M. Riddick, Senate Parliamentarian, Oral History Interviews (November 21, 1978), Senate Historical Office, Washington, D.C., at 429.
- <sup>6</sup>Riddick interview at 426.
- <sup>7</sup>Martin B. Gold, Senate Procedure and Practice (2004), at 5. For a complete list of the 26 statutes that limit Senate debate, see John Cornyn, Our Broken Judicial Confirmation Process and the Need for Filibuster Reform, 27 Harv. J. L. Pub. Pol’y 181, 213–214 (2003).
- <sup>8</sup>Standing Rule XXII’s standard for cloture—three-fifths of Senators “duly chosen and sworn”—has been in effect since 1975.
- <sup>9</sup>See Martin B. Gold & Dimple Gupta, The Constitutional Option to Change Senate Rules and Procedures: a Majoritarian Means to Overcome the Filibuster, 28 Harv. J. L. Pub. Pol’y 206, 262–264 (2004).
- <sup>10</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 263.
- <sup>11</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 263–264.
- <sup>12</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 264–265.
- <sup>13</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 265 (emphasis added).

<sup>14</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 265.

<sup>15</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 265–267.

<sup>16</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 266.

<sup>17</sup>Gold, Senate Procedure and Practice, at 68–69.

<sup>18</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 267–269.

<sup>19</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 220–226.

<sup>20</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 226.

<sup>21</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 226.

<sup>22</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 227.

<sup>23</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 240–247.

<sup>24</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 252–260.

<sup>25</sup>Gold & Gupta, 28 Harv. J. L. Pub. Pol’y at 260; Congressional Record, Jan. 15, 1979.

<sup>26</sup>This historical observation has been conceded by leading Senate Democrats. For example, the Democratic Senatorial Campaign Committee solicited campaign contributions in November 2003 with the claim that the filibusters were an “unprecedented” effort to “save our courts.” See Senator John Cornyn, Congressional Record, Nov. 12, 2003, S14601, S14605. No Senator has disputed that until Miguel Estrada asked the President to withdraw his nomination in September 2003, no circuit court nominee had ever been withdrawn or defeated for confirmation due to the refusal of a minority to permit an up-or-down vote on the Senate floor.

<sup>27</sup>For a review of all past cloture votes on judicial nominations prior to the 108th Congress, see Senate Republican Policy Committee, “Denying Mr. Estrada an Up-or-Down Vote Would Set a Dangerous Precedent” (Feb. 10, 2003), available at [http://rpc.senate.gov/\\_files/JUDICIARYs021003.pdf](http://rpc.senate.gov/_files/JUDICIARYs021003.pdf). See also Cornyn, 27 Harv. J. L. Pub. Pol’y at 218–227.

<sup>28</sup>Congressional Record, Mar. 8, 2000, S1297.

<sup>29</sup>For Berzon, compare Record Vote #36 (cloture invoked, 86–13) with #38 (confirmed, 64–34); for Paez, compare Record Vote #37 (cloture invoked, 85–14) with #40 (confirmed, 59–39). All votes on Mar. 8–9, 2000.

<sup>30</sup>For a more detailed list of Senators’ historic opposition to filibusters for judicial nominations, see Senate Republican Policy Committee, “Denying Mr. Estrada an Up-or-Down Vote Would Set a Dangerous Precedent” (Feb. 10, 2003), available at [http://rpc.senate.gov/\\_files/JUDICIARYs021003.pdf](http://rpc.senate.gov/_files/JUDICIARYs021003.pdf). For an extended examination of filibustering Senators’ previous opposition to judicial filibusters, see Cornyn, 27 Harv. J. L. Pub. Pol’y at 207–211.

<sup>31</sup>Examples of judicial nominations made prior to the 108th Congress that were confirmed with fewer than 60 votes include Abner Mikva (D.C. Cir., 1979); L.T. Senter (N.D. Miss., 1979); J. Harvie Wilkinson III (4th Cir., 1984); Alex Kozinski (9th Cir., 1985); Sidney Fitzwater (N.D. Tex., 1986); Daniel Manion (7th Cir., 1986); Clarence Thomas (Supreme Court, 1991); Susan Mollway (D. Haw., 1998); William Fletcher (9th Cir., 1998); Richard Paez (9th Cir., 2000); and Dennis Shedd (4th Cir., 2002).

<sup>32</sup>William C. Mann, Senate leaders draw line on filibuster of judicial nominees, Boston Globe, Jan. 17, 2005.

<sup>33</sup>Senator Charles Schumer, Congressional Record, July 22, 2004, S8585 (“I remind the American people that now 200 judges have been approved and 6 have been rejected”); see also Jeffrey McMurray, Pryor Supporters Debate Timing of Vote, Tuscaloosa News, Jan. 10, 2005 (“To nominate judges previously rejected by the Senate is wrong”); Anne



Kornblut, Bush Set to Try Again on Blocked Judicial Nominees, *Boston Globe*, Dec. 24, 2004 (quoting official statement by Sen. Schumer).

<sup>34</sup>Keith Perine, *Fiercest Fight in Partisan War May Be Over Supreme Court*, *CQ Weekly*, Jan. 10, 2005, at 59.

<sup>35</sup>Congressional Record, Feb. 27, 2004, S1887.

<sup>36</sup>Senator Joseph Lieberman, Transcript of Press Conference, Apr. 21, 2005, on file with Senate Republican Policy Committee.

<sup>37</sup>In 1995, Senators Tom Harkin and Joe Lieberman proposed a major revision to the Senate filibuster rules for legislation, but the proposal failed 76–19, attracting the support of no Republicans and but a fraction of Democrats (who were in the minority). The only current Senators who sought to change the Senate's consensus position on legislative filibusters were Senators Jeff Bingaman, Barbara Boxer, Russell Feingold, Tom Harkin, Edward Kennedy, John Kerry, Frank Lautenberg, Joe Lieberman, and Paul Sarbanes. See Record Vote #1 (Jan. 5, 1995).

<sup>38</sup>Ballin, 144 U.S. at 5.

<sup>39</sup>See Record Vote #348 (Oct. 23, 1987) (defeated 42–58); Record Vote #112 (Apr. 8, 1970) (defeated 45–51); Record Vote #135 (Nov. 21, 1969) (defeated 45–55).

<sup>40</sup>Record Vote #53 (July 6, 2004) (confirmed 51–46).

Mr. UDALL of New Mexico. I think this shows this isn't about a power grab; this is about trying to work to make sure the Senate is going to work better for the American people.

The fifth provision of S. Res. 10—and as Senator MERKLEY knows, we are down here today to try to get S. Res. 10, rules changes, onto the Senate floor, and so we are going to be asking unanimous consent for that. But the fifth provision is called postcloture debate on nominations.

Now, what are we talking about? Well, when we have a nomination that comes to the floor—a judicial nomination, an executive nomination—in the rule nominations have 30 hours of postcloture debate. So when you decide to cut off debate, when you get to the point that you say we are going to cut off debate, that 30 hours is normally used for amendments and to work through the amendment process.

Well, when you have a nomination, you are not amending a nomination. You are trying to either move forward with an up-or-down vote on the nomination—the person is either voted up or down. It makes no sense to have 30 hours. So the other commonsense proposal we have is to shorten that postcloture time to 2 hours, from 30 hours, because there is no reason to amend in that phase.

I know Senator MERKLEY is also familiar with this provision.

Mr. MERKLEY. I think what the Senator from New Mexico has set forward is that we would save 28 hours on each nomination. If the Senate goes around the clock, that is a bit more than a day. If we are doing 10-hour days, that is almost 3 days. We save 3 days of Senate time that is put to no purpose right now since by the time you have a 60-vote cloture you already have 60 Members saying they are ready to vote and want to go forward.

So letting people wrap up over a couple of hours, restating their key points

for other Members, makes sense. That is why the 2 hours are there. But rather 2 hours than 3 days.

Mr. UDALL of New Mexico. That is correct. So what we are doing today—and I know Senator MERKLEY has introduced a freestanding proposal on the talking filibuster, and we have joined together; I have also signed on to that—we have S. Res. 10, filed on January 5, which has the five solid provisions for reforming the rules. I think if you look at these in history, they have had broad bipartisan support.

I would at this point recognize our colleague in this rules debate, our partner and hard worker and more senior in experience on these rules matters, who has joined us—Senator TOM HARKIN from Iowa. We are in a colloquy situation, so I will yield.

Mr. HARKIN. If the Senator will yield for an observation.

Mr. UDALL of New Mexico. You bet. The ACTING PRESIDENT pro tempore. The Senator from Iowa.

Mr. HARKIN. Madam President, I wish to thank my colleagues, Senator UDALL of New Mexico and Senator MERKLEY of Oregon, because they are great leaders on this issue. I think they have brought a breath of fresh air to the Senate in exposing what has become gridlock that has made the Senate almost dysfunctional.

I say to my friend, Senator UDALL, especially in focusing on what the Constitution says and doesn't say, I believe—and I am only speaking for myself—that we are not living up to the oath we took as we stood by the well when we were sworn into the Senate. We took an oath that we would uphold and defend the Constitution and that we would bear true faith and allegiance to the same.

Well, quite frankly, the Constitution, I believe, is quite clear in the way it is written, in the verbiage that is used. If you look to what the Founders wanted in the Constitution, they were very clear that but for a few instances, which they clearly spelled out in the Constitution requiring a supermajority of votes—such as treaties, for example, and impeachments, or expelling a Member—everything else is a majority vote.

But the Senate has adopted rules in the past that I believe are, quite frankly, bordering on unconstitutional by requiring that in order to change the rules, it requires a two-thirds vote—67 votes. Well, that might be OK for one Congress, if they wanted to adopt that kind of rule, but how can one Congress bind another? I think it is quite clear from Parliaments of old and other legislative bodies, court rulings in this country, that one legislative body cannot burden a subsequent legislative body. Yet in the Senate, because of a change in the rules that happened some years ago, they say it binds every Senate thereafter.

I believe that is unconstitutional. My friend from New Mexico, Senator UDALL, has pointed this out time and

time again, that really we have not only a constitutional right but a constitutional obligation that, on the first convening day of the Senate of any Congress, we adopt rules, and we can adopt those rules by majority vote. If the majority wants to adopt a rule that says that for this Congress we have to abide by a certain number, that is OK, but it cannot bind another Congress.

Senator UDALL has been quite eloquent on this issue. He has been very forthright and has fought very hard for what is known as the constitutional option. That is just a fancy word for saying “live up to the Constitution.” We took an oath to bear true faith and allegiance to the same—the Constitution. Senator UDALL is constantly reminding us of what that Constitution says and does not say. As the Senator has pointed out many times, the Constitution says each body shall adopt its rules. So the Senate can adopt its rules. It does not say in the Constitution that each body shall adopt its rules but it requires a two-thirds vote to change those rules. It doesn't say that. It says each body shall adopt the rules, and it does not specify that we have to have a supermajority to do so. I think it only specifies a supermajority, if I am not mistaken, in five cases. Obviously, the Framers of the Constitution were quite clear that each Congress could adopt its rules and it could adopt them by a majority vote. Now we have a situation in the Senate whereby we are throttled by rules that do not permit us to change those rules except by a two-thirds vote.

As I said many times, what if the voters of this country decided to elect 90 Senators from the same party, say, the Republican Party. Could they come in and say: We are going to adopt new rules, and from henceforth it is going to take 90 votes to change those rules, knowing that may never happen again in the history of this country that we would ever have 90 Senators from one party. Could they do that? If you accept the logic of what we are working with right now, the answer is yes, we could do that and bind every Senate from then on in perpetuity that the only way they could change the rules would be with 90 votes. We say that wouldn't happen. Well, what about 67 votes or 75 votes or 78 votes? What is so magic about 67? Where does that magic number come from? It was plucked out of thin air.

That is why I address myself to the issue Senator UDALL has worked so hard on; that is, focusing on the constitutional issue.

Senator MERKLEY, from Oregon, has focused on rule XXII—it is called the filibuster rule—which provides basically that we do not even have to filibuster. In a filibuster, people think they come on the Senate floor, like “Mr. Smith Goes to Washington,” and they speak and they hold the floor and they can hold the floor until they drop or, if somebody else wants to speak, they can speak. That is what people

imagine a filibuster to be, and that is what a filibuster used to be. What a filibuster has become is a means whereby the minority can stop us from debating anything. So what has happened to the Senate, supposedly the greatest deliberative body in the world, is we have now become the greatest nondeliberative body because we do not debate because now a minority can decide what we take up and what we do not take up.

Think about it this way. Under rule XXII, as it is now being used, 41 Senators can decide what this body does. They have the veto right—the veto right over anything we bring up, that the majority wants to bring up. Again, when I say “majority,” I am not saying Democrats or Republicans; I am saying any majority. That is why I first brought up my proposal in 1995, when we were in the minority, because I wanted to make it clear that this was not a means whereby we were trying to grab power or anything. I said, no, this is for the smooth functioning of this place. I predicted at that time, in 1995, and the record is clear—it is in the RECORD—I predicted that unless we do something, the number of filibusters would escalate, it would be an arms race, and that is exactly what has happened—135 last year.

So the Senator from Oregon has said that if we are going to have a filibuster, at least people ought to come on the floor and talk. At least, if you are going to filibuster, if you are so opposed to a bill and you have a group who is opposed to it, at least stand out here and speak. They don't have to do that now. They put in quorum calls and walk off the floor, and a minority—41 Senators—decides what we take up. They can stop anything.

Think about it this way. For a bill to become law in this country, it requires that it pass the House and the Senate in the same form, and the President has to sign it. Right now, the way we are constituted and the way we operate in the Senate, 41, a minority in the Senate—regardless of what the House wants to do, regardless of what the President wants to do, and regardless of what the voters may want—can stop it. That turns the whole concept of democracy on its head. I thought the majority rules, with rights to protect the minority. So the minority can offer amendments. I don't even mind if the minority wants to slow things down. That should be their right, to be able to do that as a minority. They should have the right to offer amendments, to change a bill as they see fit. But I do not believe a minority ought to have the right to absolutely stop and veto a bill or an amendment from coming to the Senate floor. We have a situation where the power resides with the minority.

I heard the distinguished Senator from Kentucky, Mr. MCCONNELL, said the other day that this is a power grab by the Democrats. No, no; the power grab is by the minority, whatever minority. The power grab is by the minor-

ity to insist that they have the right to veto anything here. That is the power grab. So now the power lies with the minority, but the responsibility lies with the majority. So the majority in the Senate has the responsibility to act, but we do not have the authority. The minority has the authority, the right to veto things, but they don't have the responsibility. That is why we have such a dysfunctional system. This is what the people of America are opposed to.

I will have more to say about this tomorrow as I think we will get into a longer debate on this issue. I think the people have the right to understand that if a majority of the House and a majority of the Senate pass something and the President agrees, it ought to become law. That is not the way it is. We used to have a system on the Senate floor where, if you offered an amendment and you got 51 votes, you agreed to the amendment. You can't do that anymore. You cannot get an amendment offered on the Senate floor unless you have 60 votes. That is what happened over the last 4 or 5 years. I know I myself tried to get an amendment offered on the financial regulation bill. I thought I had over 51 votes on it. I don't know if I did or not, but I was not able to offer it because there was a 60-vote threshold. I might have had 52 or 53 or 54 or 55, but I did not have 60. Now in the Senate we require a supermajority to do anything because 41 Senators—a minority—have the right to veto anything the majority wants to bring up.

As I said, I will have more to say about this, but it seems to me this stands democracy on its head and the idea of majority rule on its head. I think the majority ought to have the right. Elections ought to have consequences. If people vote for a certain party to be in power, that party, regardless of what it is, ought to have the authority to act. There ought to be rights for the minority to amend, discuss, debate, slow things down—fine. But the minority should not have the absolute power of a veto, and that is what the minority has in the Senate today.

That is the issue Senator MERKLEY has been going after. At least if you are going to have a filibuster, there ought to be some consequences to it, and the consequences are that you ought to have to be here and talk and not hide behind quorum calls where we sit here for days on end doing nothing because someone has objected to bringing up a bill but they do not have to be here to discuss it.

I thank my two colleagues for their great leadership on this issue. As I said, they brought a breath of fresh air here. The average person out there watching probably thinks: Bring it up for a vote. Things are not quite that simple in the Senate, as we are about to find out. So we are going to do whatever we can to bring this to the forefront, but I daresay that the way the

rules are set up right now—requiring a supermajority to change those rules—makes it nearly impossible for a majority of the Senate to act.

Again, I thank my colleagues, Senators MERKLEY and UDALL, for their leadership. I look forward to being in league with them to do whatever we can to make this place function a little bit better and a little bit more in accordance with the principles of democracy, of majority rule, and respecting the rights and wishes of the voters of this country.

I thank my colleague from Oregon for his leadership—I see he is standing there—and I thank my colleague, Senator UDALL, for yielding to me.

I yield the floor.

The PRESIDING OFFICER (Mr. CASEY). The Senator from Oregon.

Mr. MERKLEY. I would certainly like to thank Senator HARKIN for the many years he has pursued reforming the rules of the Senate, especially from the perspective of being in the minority and then maintaining that same effort in the majority. I believe it is important to recognize that the issues we are presenting and bringing to the floor are to make the Senate work better as a deliberative body for both the minority and majority.

If we were to turn the clock back several decades, we would not be here right now carrying on this colloquy. Instead, there would have been a unanimous consent to put a rule proposal on the floor of the Senate, and we would be debating that proposal. That is the way the Senate worked for most of its first two centuries.

In 1953, Senator Anderson put forward a resolution to adopt new rules at the start of Congress. There was a debate on it. Then, eventually, it was tabled. It was tabled by 51. That is what the rule said—51 could table, they could set it aside. He did not win his debate, but he got it on the floor of the Senate, and it was debated.

The same thing in 1957, and in 1959, he again did this.

In 1961, he did this again, and in that case it was debated on the floor of the Senate. Everyone said: Let's get the rule out there, let's hold a debate. Eventually, they referred it to the Rules Committee. Finally, near the end of the cycle, it was moved out of the Rules Committee, back to the floor, and they held another debate on Senator Anderson's proposal. The result of that debate was that it was tabled, the resolution was tabled. It did not pass. To have the debate is not going to guarantee you are going to win the debate but it is to engage in the deliberation, the exchange of ideas that enables us to capture the challenges we see, the challenges in our country and in this case the challenges with making the Senate function and making things work better.

This goes on. Here we have five times in the course of 12 years that a rule proposal was put on the floor and was debated. It was defeated, but it was put

on the floor under the framework that 51 Members could adopt rules under the Constitution, the constitutional power you have been speaking to so eloquently for Congress to organize itself—for the House of Representatives to organize itself and for the Senate to organize itself.

I wanted to go over a little bit of that history to say the very fact that we are not at this moment debating a rule proposal is a reflection of the dysfunction of the Senate. A debate on the rule to fix the Senate itself reflects the dysfunction of the Senate.

I want to thank you for having engaged in so many years of effort to bring these issues forward. The challenge of fixing the Senate has been engaged in by so many names that I was familiar with growing up, folks such as Senator McGovern, Senator Mondale, Senator Church, Senator Pearson. They all brought their effort to make this body work better. We did have a major reform in 1975.

But as a chart I put up earlier showed, the congestion and the paralysis from the abuse of the privilege of having yourself heard, making yourself heard before your colleagues, has now compromised the ability for us to fulfill our constitutional responsibilities and we need to fight hard to try to fix the broken Senate.

Mr. HARKIN. Mr. President, would the Senator yield for a question on that point?

Mr. MERKLEY. I would be delighted to do so.

Mr. HARKIN. The Senator is a student of the Constitution. We have all looked at it. We know what it says. I mentioned earlier about the fact that when we come in here, we take an oath of office to uphold and defend the Constitution against all enemies, foreign and domestic, to bear true faith and allegiance to the same. That is our oath of office, to bear true faith and allegiance to the Constitution.

Is it the Senator's view that perhaps the way the Senate is constructed right now may in some way—I just throw this out—take away my constitutional right to adequately represent my constituents? If it takes a supermajority or if we cannot even change the rules, as the Senator has pointed out, does not this kind of take away some of the constitutional rights and obligations, obligations of a Senator, I ask the Senator?

Mr. MERKLEY. Well, certainly I will tell you that Senator Byrd stood on this floor and said the Senate cannot be bound by the dead hand of the past. You can imagine that any particularly bizarre rule that might have been passed by our predecessors that damaged our ability to fulfill our constitutional responsibilities would be inappropriate, and we would need to change it. The Constitution empowers us to change it with a simple majority.

So when the point comes that the Senate is not functioning in the fashion it was constitutionally intended to

function—that is, a simple majority to pass legislation—then we certainly have to wrestle with whether we are doing our responsibility if we do not fight to make the Senate work better. We have an obligation to this Chamber, and we have an obligation to our responsibilities under the Constitution.

Mr. HARKIN. I thank the Senator for his response on that.

The PRESIDING OFFICER. The Senator from New Mexico.

Mr. UDALL of New Mexico. Mr. President, I know the Presiding Officer has also been a part of this rules reform effort. We very much appreciate that.

It was mentioned here about Senator Byrd. I think one of the most interesting stories about Senator Byrd, I say to Senator HARKIN and Senator MERKLEY, in 1979 when he came to the floor, he was talking about—and we have used this quote many times—the dead hand of the past, not being ruled by the dead hand of the past.

What was he talking about? He was talking about the idea that one Senate could establish a set of rules and bind future Senates. He gave a passionate speech. We are in the situation that he talked about right now. He said, now we are at the beginning of Congress. This Congress is not obliged to be bound by the dead hand of the past.

Take rule XXXII, which is a different numbered rule today. But, for example, the second paragraph thereof says that: The rules of this Senate shall continue from Congress to Congress until changed in accordance with these rules.

That rule was written in 1959, by the 86th Congress. The 96th Congress is not bound by the dead hand of the 86th Congress. The first Senate—now he talks a little bit about history here, which is very important. The first Senate, which met in 1789, approved 19 rules by a majority vote. First Senate.

Those rules have been changed from time to time, and that portion of the Senate rule XXXII I quoted was instituted in 1959. The members of the Senate who met in 1789 and approved that first body of rules did not for one moment think or believe or pretend that all succeeding Senates would be bound by that Senate. The Senate of the 86th Congress could not pretend to believe that all future Senates would be bound by the rules it had written. It would be just as reasonable to say that one Congress can pass a law providing that all future laws have to be passed by a two-thirds vote.

Any member of this body knows that the next—any member of the body knows that the next Congress would not heed that law and would proceed to change it and would vote to repeal it by a majority vote, no doubt about it.

So he says: I am not going to argue the case any further today except to say that it is my belief, which has been supported by rulings of three Vice Presidents of both parties and by votes of the Senate, in essence upholding this

power and the right of a majority of the Senate to change the rules of the Senate at the beginning of a new Congress.

That is the essence of where we are right today—that we are able, if we have a majority, to move forward with adopting our rules that are going to function for this session of Congress. That is why we are in such a battle here to try to get those proposals onto the floor. We want to get S. Res. 10. We want to get the talking filibuster proposal. We want to get those put onto the floor so we can have debate, we can have votes. And our understanding is there is going to be objection from the other side.

As Senator HARKIN said earlier, we function here by unanimous consent, and they apparently are not going to give us that consent. I know that Senator HARKIN—changing the subject a little bit here—but both Senators HARKIN and MERKLEY mentioned earlier the whole issue of why we want the Senate to function better, that we have pressing national problems and challenges.

I think one of the Senators who said it best made a comment back in 1971. This is Senator Hart, Senator Phil Hart of Michigan. It still resonates decades later.

The apparent inability of the Senate to take action on our domestic ills, when the needs are so painfully clear, is a basic cause of unrest and disaffection among the citizenry. The imperative of change is obligatory if institutions such as the Senate are to have the capacity to respond well to the complex array of overlapping domestic and international issues.

Long ago Thomas Jefferson said: As new discoveries are made and new truths discovered and manner and opinions change with the change of circumstances, institutions must advance also and keep pace with the times. Institutions must advance also and keep pace with the times.

That is why we are here. We have rules that were adopted long ago that are not working today. You and I have talked several times about, if you want your government to spend money wisely, you want it to be efficient, why do we not give them a budget until half way through the fiscal year? It makes absolutely no sense.

That is the situation we are in right now. We hold hearings, we bring the agency in, we think we are going to have an appropriations bill on the floor—by the way, this year we did not have—but last year we did not have a single appropriations bill on the floor. So they think they are going to get one budget. Then when we pass the fiscal year, last October 1, we start the fiscal year, we start into it, we have done a couple of continuing resolutions. A continuing resolution just gives them month-by-month funding. The next continuing resolution does not expire until March. So who would tell any agency, nonprofit government agency, that we are going to give you a budget but we are not going to quite tell you what it is, and maybe go month to

month, and then about halfway through the year we are going to give you the rest of the budget.

That is not the way to take care of the people's money. It is not the way to be efficient. It is not the way to make sure the people's money is very well spent. I think it is important that we do that work, the work of appropriations bills.

Of the Senators who are on the floor right now, Senator HARKIN is an appropriator. When you bring an appropriations bill to the floor and have all 100 Senators take a look at the appropriations bill, take a look at what is working in that department and what is not, and how we move down the road with that particular set of policy initiatives and programs, that is something the agency pays tremendous attention to, those amendments that are put in, the arguments that are made. And we are neglecting all of that now.

Last year we did not do a single appropriations bill. In my understanding, the House—and I know we were very frustrated when I was over in the House. We would say: Well, why are we even passing the appropriations bills? The Senate does not do them. We are going to end up, at the end of the year, doing one of these continuing resolutions or an omnibus bill.

For the first time in I do not know how long, last year the House gave up doing appropriations bills. So here, one of our core functions as a legislative body, what we all call the power of the purse, tremendously important, that power of the purse has been emasculated, it has been warped beyond recognition to the point where I think we are dysfunctional, the agencies are dysfunctional, and we have got to get it all back.

I know the chairman of the Judiciary Committee has outlined a number of times—and I find it appalling that we do not have the judicial people in place to do the job for the country. Right now the Federal courts are looking at fraud on Wall Street. They are looking at all sorts of major cases that have to do with financial reform and insider trading and all of those kinds of things.

Guess what. If you do not have judges to hear those cases, then all of that justice is going to be delayed. There is an old saying in the law: Justice delayed is justice denied. Today we have 94 judicial vacancies. The Judicial Conference of the United States has weighed in with the Senate and the House and said: These are judicial emergencies. Of 94 vacancies, 44 of them they consider emergencies. They need somebody in there immediately. Yet, still, because of this constant filibuster we are in—it is a filibuster without real debate—it wastes a lot of time, it prevents our ability to put those judicial nominations on the floor and to get an up-or-down vote.

The same thing is true of the executive branch.

I know Senator MERKLEY saw the article in the Washington Post which was

at the end of the first year of the Obama Presidency. He only had 55 percent of his team in place of the top people in the agencies to run the government. And it is not all our fault. I think they were slow in sending some things up, but it is a pretty appalling number when you think of the job of a President to put his people in place in the agencies so his policies can be carried out. What has happened is that has been delayed and slowed down.

I harken back when I was a youngster here in Washington growing up. I was about 12 years old when my father became Secretary of the Interior. Here you have only half of the people in place in the Federal Government. I remember my dad, as Secretary of the Interior, telling me when I would travel home: TOM, I have my whole team in place, virtually whole team in place in 2 weeks.

So he had his top people. He was ready to carry out policy, ready to move forward with the President's policies at the Department of Interior. I remember, we had holes, we had a variety of things going in the Department of Interior.

We had a very talented woman from New Mexico who was going to become the Solicitor, who had moved her young family to Washington. They had a 3-month hold on her nomination. Nobody could ever figure out why. But she was finally allowed to become the Solicitor of the Interior Department.

With all of these kinds of things, from holds to the constant filibuster without any real debate, have slowed down the government in a significant way and prevented us from doing the important oversight job we need to do.

I know the Senator from Oregon has other comments he would like to make.

Mr. MERKLEY. Mr. President, it is quite a contrast that the Senator is drawing between an era in which in a 2-week period the bulk of the team was in place, ready to do the work they were elected by the people to do—the executive branch, headed by the President, had his Secretaries, and the Secretaries had their teams in place, and they were ready to go forward to make sure they were working hard on the agenda they had laid out during the election cycle.

As my colleague said, elections have consequences. The vision of our Republic is one in which we elect a President, and the President says: Here is my agenda. Then he puts together a team to get it done. It is not in the spirit of our Constitution, it is certainly not in the spirit of our democratic souls, after the people have elected a President, to try to damage and inflict pain and obstruction upon that President. That is essentially saying one does not accept the judgment of U.S. citizens about electing the President.

This process has to change. We have to find a way that folks can be brought to the floor. It is not that this Chamber will approve every single nomina-

tion. It is that it will hold a debate and have a vote. If there is no controversy surrounding someone, then that will probably be reduced to a unanimous consent request. Some will be waived through to not take up the time on the floor of the Chamber.

There is more than 1,000 executive branch positions that have to be confirmed under statute. That, too, should be changed. There is far too many positions that are basically set up so that they have to come to this Chamber. That is certainly a subject of conversation. But for those that under the law need to come for advice and consent, then we need to exercise that responsibility in a manner that is consistent with advise and consent but not with attempting to damage the President and his team.

I was looking at a speech by one of my colleagues from Tennessee, Mr. AL-EXANDER. He titles it, "The Filibuster, Democracy's Finest Show, the Right to Talk Your Head Off." That quote at the top of his paper is from a speech before the Heritage Foundation and is taken directly from the film "Mr. Smith Goes to Washington." In other words, the premise that my colleague put in his paper is that there needs to be the right of the people elected by the citizens to have their voices heard on the floor of the Senate. That is what the talking filibuster is about. It is about the people being able to see their Senators, when they are saying there needs to be additional debate, to actually debate.

There is a tremendous amount of bipartisan support for this notion that Senators should not hide from the American people, that they should not be engaging in secret holds, but instead, if they are going to place a hold on a piece of legislation, to do it publicly and have accountability. There is tremendous support for the notion that when we proceed to vote that we want additional debate, we are actually going to debate so we utilize the time of the Senate to weigh the pros and cons, to hear all colleagues. Not that folks say: We want additional debate and then go off to dinner. Not that Senators say: We want additional debate and then go off on vacation.

If they ask for additional debate, then we should have additional debate, laying out the pros and cons, arguing the merits, considering amendments—in short, the talking filibuster.

I have a unanimous consent request that I gave notice of half an hour ago. We are standing by waiting for one of our colleagues from the other side to come, extending the courtesy for them to come and object to this request. I am saying this out loud and looking across the aisle and saying we have been waiting half an hour. I think it is time for one of our colleagues who wishes to object to get here on the floor and, just as we have been talking about, make their case visibly in front of the citizens of the United States as to why they wish to object to having a

full debate on the talking filibuster. I know my colleague is waiting to offer a unanimous consent request to have resolution No. 10 considered before this Chamber. I think we have pretty well laid out the reasons we think this debate is important. But we can't get to that debate without putting forward a unanimous consent request and having it concurred in or blocked by objection.

I will see if my colleague from New Mexico wishes to make any more comments. If not, I will offer my unanimous consent request and await our colleagues to come and either endorse or object.

Mr. UDALL of New Mexico. Mr. President, I am also waiting. Senator MERKLEY is waiting to put in his unanimous consent request on the talking filibuster proposal which goes to the heart of the problem we have today. One of the things I have learned the last 2 years in the Senate is that when 41 Senators vote for more debate, that is basically what is happening. When Senators vote for more debate, 41 of them, then we don't get more debate. A lot of times we are in quorum calls. A lot of times if we have a live quorum, we pull 51 Senators over to the floor to try to get through that, there are a series of dilatory motions, and it is very difficult in the modern Senate to keep 51 Senators here surrounding the floor. In the old days, they used to pull out cots and stay through the night so that Senators would be able to sleep somewhere to keep that live quorum going. But in the modern Senate, with everything going on, it is a tremendously unfair advantage for one side to have one Senator and the other side have to have 51 in order to try to conduct any business. That is the situation we are in today. That is what the talking filibuster goes to. It goes to dealing with that situation.

How does it deal with it? If 41 Senators request more debate, if they say to the other 59 Senators they want more debate, we very simply say, just as Senator ALEXANDER said, quoting Jimmy Stewart in "The Right to Talk Your Head Off" from "Mr. Smith Goes To Washington," then come down and debate. We are going to have a debate period where nothing else is brought up but debate. The job of the Chair, as the Presiding Officer knows, will be in that period to ask the question: Are there any other Senators on the floor who wish to debate?

At that particular point, the American people could look down and be able to make an observation: Is this debate educating the public? Is it moving things forward, or is it just a filibuster to waste time?

One of the old-time Senators from California made a comment about the filibuster wasting time. This is from Senate Republican whip Tom Kuchel of California. He asked the question on the floor: What is a filibuster? My definition would be that it is irrelevant speech making in the Senate designed solely and simply to consume time and

thus to prevent a vote from being taken on pending legislation.

He is pretty condemning of that kind of filibuster. But that is a judgment. We don't want to take people's right to debate away. We just want to make sure there is an honest, fair debate on the floor. That is what I compliment Senator MERKLEY on. He has drafted a proposal, worked long and hard on it. What it ends up doing is, at the end of the debate, when 41 Senators call for debate, we go into a period of extended debate. They talk and they talk. At some point, when the Chair asks: Are any other Senators on the floor who wish to debate, and there is silence, they are then rolled over into what is called postcloture 30 hours.

Mr. MERKLEY. So if I might explain, if there is something critical to my State, the talking filibuster enables me to find a couple of other Senators who share my views. Perhaps they have similar issues in their States.

For example, the citizens of Oregon don't want oil companies drilling off our coast. We have a tremendous business in salmon, in ground fish, rock fish. We have a river economy that depends on the migration of salmon upstream. We have a crab industry. We have a tourist industry, the most spectacular coastline anywhere in the world, the coast of Oregon. The last thing we want is an accident that puts oil all over our beaches and destroys multiple aspects of our economy.

So if there was a bill on the floor that said we are going to drill for oil off the coast of Oregon, and if I believed that was a huge mistake, then I could organize with other Senators and be here day and night to block that misguided legislation. In that sense we are not changing the number. It still takes 60 Members to close debate.

We protect the voice of the minority. We say two Members could continue a debate day and night. For that matter, one could, but eventually one is going to collapse on the floor like Jimmy Stewart did. This is important to note because the talking filibuster is about taking away frivolous obstructions that paralyze the Senate and prevent it from doing its responsibilities on advise and consent and considering regular bills from the House and certainly to be able to get the appropriations bills done, to get the authorization bills done, and so on and so forth.

There may be those who say we oppose the talking filibuster because it takes away the power of the minority to block legislation. Actually, the talking filibuster doesn't do anything of the kind. It just says that when you block legislation, you have to do it in front of the American people. You have to stand on the floor and make your case.

Mr. UDALL of New Mexico. Mr. President, that is the essence of it. What we have now is Senators leaving. We actually had the case where a Senator wanted the cloture vote to take place but then left and went home.

That is a pretty disgraceful situation. I have heard that our good friend, Senator ALEXANDER, is going to join us in a little bit. I know the Senator from Oregon was quoting from a speech he recently gave at the Heritage Foundation on January 4, 2011.

One of the things Senator ALEXANDER said in there that I think we, all three of us, have echoed—Senator HARKIN, Senator MERKLEY, and myself—is:

Now there is no doubt the Senate has been reduced to a shadow of itself as the world's greatest deliberative body, a place which, as Sen. Arlen Specter said in his farewell address, has been distinctive because of "the ability of any Senator to offer virtually any amendment at any time.

I say to Senator HARKIN, I know he has spoken passionately about the idea of offering amendments, how our democracy has deteriorated in the Senate because it takes now 60 votes—every amendment. I say to the Senator, it did not always used to be like that, did it? I would ask the Senator, did it? The Senator has been here a while. What was the Senate like 10, 15 years ago? Could you get an amendment through with a majority vote?

Mr. HARKIN. Well, if my friend will yield for a response.

Mr. UDALL of New Mexico. Of course.

Mr. HARKIN. Yes, literally up until 4 or 5 years ago you could offer an amendment on the floor, and if you got 51 votes, you won. That happened for—well, I have been here, what, 25, 26 years I guess now, and that is the way it has always been. Sometimes there were tough amendments. Sometimes there were tough amendments by Democrats; sometimes there were tough amendments by Republicans. It did not make any difference who was in the majority or the minority.

I do not think people elected us just to have an easy time of it here and not to ever cast tough votes. Sometimes these are tough votes. But I think the Senator from New Mexico is right. We always operated under the fact that a Senator could offer an amendment. Usually you would enter a time agreement. You would say: How much time do you want? Well, you would have an hour or an hour and a half or 2 hours, something like that. You would have a reasonable time agreement, and you would have debate and then a vote. Sometimes people would move to table it, and that was fine, but at least 51 votes decided that.

Now, as the Senator pointed out, you have to have 60 votes for any amendment, a supermajority. For any single amendment you want to bring up on the Senate floor, you now have to have 60 votes. I say to my friend, it was not always like that.

Mr. UDALL of New Mexico. I say to Senator HARKIN, one of the things that happened to us right at the end of the Congress was when we had a vote on a piece of legislation called the DREAM Act. I believe the majority had 55 votes for the DREAM Act.

Mr. HARKIN. That is right.

Mr. UDALL of New Mexico. Here is a piece of legislation where we were talking about immigrant children—through no fault of their own; they were probably brought in as tiny babies—who have grown up in the United States and have reached the age of adulthood and they have a ceiling on them. They cannot go to college. They do not have Social Security numbers. So we were basically trying to give them a dream they could go out and be Americans. They could join the military, and after they did their military service get in line for citizenship. They could go to college, and if they did well, get in line for citizenship.

In any other country, if you had the two legislative bodies—the House passed it by a majority; we passed it by a big majority, 55 votes—you would have a law. The President would be signing it, and it would be law today.

That is what has happened to this filibuster rule. A lot of the steps we are taking do not necessarily get right to the heart of that, but I think the people understand that part of it. When I have gone home, people say: What happened? What is going on? Fifty-five Senators voted for the DREAM Act and it did not become law.

Senator HARKIN.

Mr. HARKIN. If the Senator will yield, the Senator is absolutely right. I will give another example. As the Senator knows, the Supreme Court decided a case last year that allows certain entities to contribute money to political campaigns, and they do not even have to disclose who they are or how much they give. It is a Supreme Court decision.

Well, the House passed a bill, and public opinion polls show that 80 percent of the American people were in favor of what we called the DISCLOSE Act. We did not say they could not give the money. We just said they ought to file: Who are you, and how much money are you giving, and where are you getting that money from?

It passed the House. It came to the Senate. I believe we had 57 votes for that, if I am not mistaken. I could be corrected, but I think it was over 55 votes for that. But it did not pass.

The average American out there would say: Wait a minute. I thought if you got 51 votes, you won. No, no, no. Again, we had to have 60 votes in order to pass the DISCLOSE Act. The President would have signed it into law. The House passed it. Eighty percent of the American people were for it. But because there was this 60-vote threshold, we did not get it passed.

I see the Senator from Oregon.

Mr. MERKLEY. Well, I say to Senator HARKIN, I think that is a tremendous example. I believe we actually had 59 votes twice—

Mr. HARKIN. I stand corrected.

Mr. MERKLEY. I believe, one vote short needed to close debate on the motion to proceed to get to the DISCLOSE Act. So we could not even get onto the bill.

So here is a Supreme Court decision that allows unlimited—unlimited—secret foreign donations. I will tell you, as a red-blooded American, the idea of foreign companies secretly influencing American elections is outrageous, and we should have had a debate on that bill. But, instead, we had 41 Senators who said they wanted further debate, and then they were not willing to stand up on the floor to make their case before the American people. And why did they want to hide from the American people? Because the American people do not support secret foreign donations influencing American elections. That is why.

Under the talking filibuster, folks could not have filed an objection and left this Chamber and hid. They would have had to make their case, and the American people could have weighed in and said: You are a hero or you are a bum. In this case certainly most Americans, I believe, would have weighed in and said: Get to that bill. Get to a debate on it and get it done because it is the American tradition for Americans to make their decisions about who they elect, not foreign corporations to secretly spend money on American campaigns.

Mr. HARKIN. I thank the Senator. The Senator pointed out correctly—I was mistaken; I thought it was 57—it was 59 votes. You would think normally that bill would pass and it would go to the President for his signature. It was supported overwhelmingly by the American people, yet thwarted because we have the right—as I said earlier, the minority in the Senate has a right of veto. They can veto whatever they want to bring up. What sense does that make in a democracy?

I thank the Senator and yield the floor.

Mr. UDALL of New Mexico. I thank the Senator.

We see our good friend, Senator ALEXANDER from Tennessee, has arrived, and we very much appreciate that.

I say to Senator ALEXANDER, one of the things we have been discussing—and Senator MERKLEY had a chart and had the history of what had happened as far as rules debates. There have been a lot of rules debates—in the 1950s, 1960s, 1970s, and always—always—the two leaders would allow a rules proposal to be on the Senate floor and be debated and be disposed of.

We now have a situation today where we cannot get our rules proposals onto the floor. Senator MERKLEY is here with a talking filibuster proposal. I say to the Senator, I believe he has been talking with you. I say to Senator ALEXANDER, you have been very open with us in saying: Let's have discussions. And your theme has really been, like you say in your speech at the Heritage Foundation:

[The Senate needs to change its behavior, not to change its rules.

That has been the Senator's function. But the Senator is also working on rules changes with Senator SCHUMER, and we very much appreciate that.

But I know Senator HARKIN has a proposal. Senator MERKLEY has a proposal. I have S. Res. 10. I say to the Senator, he was here on the first day of the Senate session on January 5 when we put in, with my two friends, S. Res. 10. We are just trying to get it to the floor, and that is what I am going to ask right now, with my unanimous consent request. We very much appreciate the Senator being here.

Mr. President, I ask unanimous consent that the Senate proceed to the immediate consideration of S. Res. 10, a resolution to improve the debate and consideration of legislative matters and nominations in the Senate; that there be 6 hours for debate equally divided and controlled between the two leaders or their designees, with no amendments in order; and that upon the use or yielding back of time, the Senate proceed to vote on adoption of the resolution.

The PRESIDING OFFICER. Is there objection?

The Senator from Tennessee.

Mr. ALEXANDER. Mr. President, reserving the right to object, I want to congratulate the Senator from New Mexico. He has been persistent and diligent and enormously well intentioned in this effort throughout the Rules Committee hearings and throughout the floor debate in seeking a way to help make the Senate function better, at the same time preserving the Senate as a forum for deliberation and protection of minority rights.

We have a difference of opinion about whether that is best done by allowing changes of rules by 51 votes or by 67, which is the way the Senate rules currently prescribe. His proposal to change the rules certainly can be considered on the Senate floor in the regular order, and we would be happy to work with him to do that as long as it was by 67 votes.

So because of that difference of opinion, I object.

The PRESIDING OFFICER. Objection is heard.

The Senator from Iowa.

#### UNANIMOUS-CONSENT REQUEST— S. RES. 8

Mr. HARKIN. Likewise, Mr. President, the Senator from Tennessee knows I have been on this issue for a long time. I have a proposal also.

Again, I ask unanimous consent that the Senate proceed to the immediate consideration of S. Res. 8, a resolution amending the Standing Rules of the Senate to provide for cloture to be invoked with less than a three-fifths majority after additional debate; that there be 4 hours for debate equally divided and controlled between the two leaders or their designees, with no amendments in order; and that upon the use or yielding back of time, the Senate proceed to vote on adoption of the resolution.

The PRESIDING OFFICER. Is there objection?



The Senator from Tennessee.

Mr. ALEXANDER. Mr. President, reserving the right to object, the Senator from Iowa has, for at least since the early 1990s, been forcefully arguing for his position. We have the same difference of opinion fundamentally that I mentioned in connection with Senator UDALL's amendment. We are glad for these rules changes and amendments to come to the floor, but only if they are approved or rejected with the requirement of 67 votes. So for that reason, I object.

The PRESIDING OFFICER. Objection is heard.

The Senator from Oregon.

UNANIMOUS-CONSENT REQUEST—  
S. RES. 21

Mr. MERKLEY. Mr. President, it has been the tradition of this Chamber, when there are rules proposals, to put them on the floor for debate and to hold that debate. Then if the body does not like that, either to defeat them outright or to table them or refer them to committee for further work.

Indeed, under the Constitution, it is in order for us to have a debate now as a simple majority to amend our rules. The Constitution calls for a supermajority for impeachments, a supermajority for treaties, but it calls for a simple majority to amend our rules and to organize ourselves.

Many Members of this body often talk about the Constitution, and it is the Constitution we are talking about right now when it calls for a simple majority to be able to organize.

So that is why, in 1953, the Senate debated Senator Anderson's resolution, eventually defeating it by tabling it. That is why, in 1957 and in 1959, they proceeded to put it on the floor—both sides agreeing that it was appropriate under the Constitution to have the debate in this Chamber—and then to either approve or to vote down or to table or to refer to committee. Then, in 1961, Anderson's rule proposal to make cloture three-fifths present and voting was referred to committee. So it was defeated again, but it was debated and referred to committee. Then the committee returned it to the floor for further debate. No one objected to us holding a debate.

In fact, here is the irony. We are talking about fixing the broken Senate because debate is unable to take place, and this very conversation we are having right now, with proposals to be put on the floor, is being objected to by the other side because they are saying it is not appropriate. But the Constitution says it is appropriate. The tradition of the Senate says it is appropriate.

So I too have a resolution to put on the floor, a proposal for debate. It is the talking filibuster proposal. It is important that Senators not be able to object to the regular order of 51 and then go home or go on vacation and hide from the American people, but that if they believe there should be ad-

ditional debate, they come to this floor and debate. The people of America believe that is what the filibuster is about: making your case before the American people. Let's make it so.

Mr. President, I ask unanimous consent that the Senate proceed to the immediate consideration of S. Res. 21, a resolution to amend rule XIX and rule XXII of the Standing Rules of the Senate to enact the talking filibuster; that there be 6 hours for debate equally divided and controlled between the two leaders or their designees, with no amendments in order; and that upon the use or yielding back of time, the Senate proceed to vote on adoption of the resolution.

The PRESIDING OFFICER. Is there objection?

Mr. ALEXANDER. Mr. President, reserving the right to object, the Senator from Oregon is a former speaker of the house in Oregon, and he has been a long observer of the Senate, having come here first working for Senator Hatfield, and he has been effective and passionate in his views.

Today, I was reviewing some remarks made by largely Democratic Senators, from 4 or 5 years ago, when some Republicans got the idea that it might be a good idea to make this a more majoritarian body, and Senator SCHUMER, Senator REID, Senator Clinton, and Senator Obama all said it would be a mistake.

So although I greatly respect the Senator from Oregon, we have a difference of opinion about whether it is in the best interest of the Senate and of the country to change the rules in this way, so I object.

The PRESIDING OFFICER. Objection is heard.

The Senator from Oregon.

Mr. MERKLEY. Mr. President, I thank my colleague from Tennessee for coming to the floor. I applaud his long service.

When I first came to the Senate, Senator Hatfield asked me to bring greetings to his former colleagues, and I had the chance to sit down with Senator ALEXANDER to convey those greetings and to work with him on some projects, including the advocacy for electric vehicles. It is good for the American economy, good for the strategic positioning of America in terms of our consumption of energy, and certainly good for the environment.

I wish to note that while we disagree on this, this is actually the way it should happen. We should come to the floor and share our respective views, disagree with each other, make our points. I believe, at this moment, we should be on a rule. We should be debating it. My colleague has expressed his difference of opinion in a very gracious and respectful manner, and that, too, should be a factor of Senate dialog, so I thank the Senator.

I note the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The assistant legislative clerk proceeded to call the roll.

Mr. MERKLEY. Mr. President, I ask unanimous consent that the order for the quorum call be rescinded.

The PRESIDING OFFICER. Without objection, it is so ordered.

UNANIMOUS-CONSENT REQUEST—  
S. RES. 24

Mr. MERKLEY. Mr. President, I submit S. Res. 24, on behalf of myself and Senator TOM UDALL, proposing a standing order of the Senate, and I ask unanimous consent that the Senate proceed to the immediate consideration of the resolution.

The PRESIDING OFFICER. Is there objection?

Mr. MERKLEY. Mr. President, for purposes of having the resolution go over, under the rule, I object.

The PRESIDING OFFICER. Objection is heard. The resolution will go over, under the rule.

Mr. MERKLEY. Mr. President, I suggest the absence of a quorum.

The PRESIDING OFFICER. The clerk will call the roll.

The legislative clerk proceeded to call the roll.

Mr. REID. Mr. President, I ask unanimous consent the order for the quorum call be rescinded.

The PRESIDING OFFICER (Mr. CASEY). Without objection, it is so ordered.

NOTICE OF ISSUANCE

Mr. INOUE. Mr. President, pursuant to section 304(d) of the Congressional Accountability Act of 1995 (2 U.S.C. sec. 1384(d)), the Office of Compliance, U.S. Congress, submitted a notice of issuance of final regulations. The notice contains final regulations related to the Veterans Employment Opportunities Act of 1998—Regulations under section 4(c)(4) of that Act. The Congressional Accountability Act requires this notice be printed in the CONGRESSIONAL RECORD; therefore I ask unanimous consent that the notice be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

OFFICE OF COMPLIANCE TEXT OF REGULATIONS  
FOR THE VETERANS EMPLOYMENT OPPORTUNITIES ACT OF 1998

When approved by the House of Representatives for the House of Representatives, these regulations will have the prefix "H." When approved by the Senate for the Senate, these regulations will have the prefix "S." When approved by Congress for the other employing offices covered by the CAA, these regulations will have the prefix "C."

In this draft, "H&S Regs" denotes the provisions that would be included in the regulations applicable to be made applicable to the House and Senate, and "C Reg" denotes the provisions that would be included in the regulations to be made applicable to other employing offices.

PART 1—Extension of Rights and Protections Relating to Veterans' Preference Under Title 5, United States Code, to Covered Employees of the Legislative Branch (section 4(c) of the Veterans Employment Opportunities Act of 1998)



**Subpart A—Matters of General Applicability to All Regulations Promulgated under Section 4 of the VEOA**

Sec.

- 1.101 Purpose and scope.
- 1.102 Definitions.
- 1.103 Adoption of regulations.
- 1.104 Coordination with section 225 of the Congressional Accountability Act.

**SEC. 1.101. PURPOSE AND SCOPE.**

(a) Section 4(c) of the VEOA. The Veterans Employment Opportunities Act (VEOA) applies the rights and protections of sections 2108, 3309 through 3312, and subchapter I of chapter 35 of title 5 U.S.C., to certain covered employees within the Legislative branch.

(b) Purpose of regulations. The regulations set forth herein are the substantive regulations that the Board of Directors of the Office of Compliance has promulgated pursuant to section 4(c)(4) of the VEOA, in accordance with the rulemaking procedure set forth in section 304 of the CAA (2 U.S.C. §1384). The purpose of subparts B, C and D of these regulations is to define veterans' preference and the administration of veterans' preference as applicable to Federal employment in the Legislative branch. (5 U.S.C. §2108, as applied by the VEOA). The purpose of subpart E of these regulations is to ensure that the principles of the veterans' preference laws are integrated into the existing employment and retention policies and processes of those employing offices with employees covered by the VEOA, and to provide for transparency in the application of veterans' preference in covered appointment and retention decisions. Provided, nothing in these regulations shall be construed so as to require an employing office to reduce any existing veterans' preference rights and protections that it may afford to preference eligible individuals.

H Regs: (c) Scope of Regulations. The definition of "covered employee" in Section 4(c) of the VEOA limits the scope of the statute's applicability within the Legislative branch. The term "covered employee" excludes any employee: (1) whose appointment is made by the President with the advice and consent of the Senate; (2) whose appointment is made by a Member of Congress within an employing office, as defined by Sec. 101 (9)(A-C) of the CAA, 2 U.S.C. §1301 (9)(A-C) or; (3) whose appointment is made by a committee or subcommittee of either House of Congress or a joint committee of the House of Representatives and the Senate; or (4) who is appointed to a position, the duties of which are equivalent to those of a Senior Executive Service position (within the meaning of section 3132(a)(2) of title 5, United States Code). Accordingly, these regulations shall not apply to any employing office that only employs individuals excluded from the definition of covered employee.

S Regs: (c) Scope of Regulations. The definition of "covered employee" in Section 4(c) of the VEOA limits the scope of the statute's applicability within the Legislative branch. The term "covered employee" excludes any employee: (1) whose appointment is made by the President with the advice and consent of the Senate; (2) whose appointment is made or directed by a Member of Congress within an employing office, as defined by Sec. 101(9)(A-C) of the CAA, 2 U.S.C. §1301 (9)(A-C) or; (3) whose appointment is made by a committee or subcommittee of either House of Congress or a joint committee of the House of Representatives and the Senate; (4) who is appointed pursuant to section 105(a) of the Second Supplemental Appropriations Act, 1978; or (5) who is appointed to a position, the duties of which are equivalent to those of a

Senior Executive Service position (within the meaning of section 3132(a)(2) of title 5, United States Code). Accordingly, these regulations shall not apply to any employing office that only employs individuals excluded from the definition of covered employee.

C Regs: (c) Scope of Regulations. The definition of "covered employee" in Section 4(c) of the VEOA limits the scope of the statute's applicability within the Legislative branch. The term "covered employee" excludes any employee: (1) whose appointment is made by the President with the advice and consent of the Senate; (2) whose appointment is made by a Member of Congress or by a committee or subcommittee of either House of Congress or a joint committee of the House of Representatives and the Senate; or (3) who is appointed to a position, the duties of which are equivalent to those of a Senior Executive Service position (within the meaning of section 3132(a)(2) of title 5, United States Code). Accordingly, these regulations shall not apply to any employing office that only employs individuals excluded from the definition of covered employee.

**SEC. 1.102. DEFINITIONS.**

Except as otherwise provided in these regulations, as used in these regulations:

(a) "Accredited physician" means a doctor of medicine or osteopathy who is authorized to practice medicine or surgery (as appropriate) by the State in which the doctor practices. The phrase "authorized to practice by the State" as used in this section means that the provider must be authorized to diagnose and treat physical or mental health conditions without supervision by a doctor or other health care provider.

(b) "Act" or "CAA" means the Congressional Accountability Act of 1995, as amended (Pub. L. 104-1, §109 Stat. 3, 2 U.S.C. §§1301-1438).

(c) "Active duty" or "active military duty" means full-time duty with military pay and allowances in the armed forces, except (1) for training or for determining physical fitness and (2) for service in the Reserves or National Guard.

(d) "Appointment" means an individual's appointment to employment in a covered position, but does not include any personnel action that an employing office takes with regard to an existing employee of the employing office.

(e) "Armed forces" means the United States Army, Navy, Air Force, Marine Corps, and Coast Guard.

(f) "Board" means the Board of Directors of the Office of Compliance.

H Regs: (g) "Covered employee" means any employee of (1) the House of Representatives; and (2) the Senate; (3) the Office of Congressional Accessibility Services; (4) the Capitol Police; (5) the Congressional Budget Office; (6) the Office of the Architect of the Capitol; (7) the Office of the Attending Physician; (8) the Office of Compliance, but does not include an employee (aa) whose appointment is made by the President with the advice and consent of the Senate; (bb) whose appointment is made by a Member of Congress; (cc) whose appointment is made by a committee or subcommittee of either House of Congress or a joint committee of the House of Representatives and the Senate; or (dd) who is appointed to a position, the duties of which are equivalent to those of a Senior Executive Service position (within the meaning of section 3132(a)(2) of title 5, United States Code). The term covered employee includes an applicant for employment in a covered position and a former covered employee.

S. Regs: (g) "Covered employee" means any employee of (1) the House of Representatives; and (2) the Senate; (3) the Office of

Congressional Accessibility Services; (4) the Capitol Police; (5) the Congressional Budget Office; (6) the Office of the Architect of the Capitol; (7) the Office of the Attending Physician; (8) the Office of Compliance, but does not include an employee (aa) whose appointment is made by the President with the advice and consent of the Senate; (bb) whose appointment is made or directed by a Member of Congress; (cc) whose appointment is made by a committee or subcommittee of either House of Congress or a joint committee of the House of Representatives and the Senate; (dd) who is appointed pursuant to section 105(a) of the Second Supplemental Appropriations Act, 1978; or (ee) who is appointed to a position, the duties of which are equivalent to those of a Senior Executive Service position (within the meaning of section 3132(a)(2) of title 5, United States Code). The term covered employee includes an applicant for employment in a covered position and a former covered employee.

C Regs: (g) "Covered employee" means any employee of (1) the Office of Congressional Accessibility Services; (2) the Capitol Police; (3) the Congressional Budget Office; (4) the Office of the Architect of the Capitol; (5) the Office of the Attending Physician; or (6) the Office of Compliance, but does not include an employee: (aa) whose appointment is made by the President with the advice and consent of the Senate; or (bb) whose appointment is made by a Member of Congress or by a committee or subcommittee of either House of Congress or a joint committee of the House of Representatives and the Senate; or (cc) who is appointed to a position, the duties of which are equivalent to those of a Senior Executive Service position (within the meaning of section 3132(a)(2) of title 5, United States Code). The term covered employee includes an applicant for employment in a covered position and a former covered employee.

(h) "Covered position" means any position that is or will be held by a covered employee.

(i) "Disabled veteran" means a person who was separated under honorable conditions from active duty in the armed forces performed at any time and who has established the present existence of a service-connected disability or is receiving compensation, disability retirement benefits, or pensions because of a public statute administered by the Department of Veterans Affairs or a military department.

(j) Employee of the Office of the Architect of the Capitol includes any employee of the Office of the Architect of the Capitol or the Botanic Gardens.

(k) Employee of the Capitol Police Board includes any member or officer of the Capitol Police.

H Regs: (l) Employee of the House of Representatives includes an individual occupying a position the pay of which is disbursed by the Clerk of the House of Representatives, or another official designated by the House of Representatives, or any employment position in an entity that is paid with funds derived from the clerk-hire allowance of the House of Representatives but not any such individual employed by any entity listed in subparagraphs (3) through (8) of paragraph (g) above nor any individual described in subparagraphs (aa) through (dd) of paragraph (g) section 1.102 of the regulations classified with an "H" classification.

S Regs: (l) Employee of the House of Representatives includes an individual occupying a position the pay of which is disbursed by the Clerk of the House of Representatives, or another official designated by the House of Representatives, or any employment position in an entity that is paid with funds derived from the clerk-hire allowance of the House of Representatives but not

any such individual employed by any entity listed in subparagraphs (3) through (8) of paragraph (g) above nor any individual described in subparagraphs (aa) through (dd) of paragraph (g) section 1.102 of the regulations classified with an "H" classification.

C Regs: (1) Employee of the House of Representatives includes an individual occupying a position the pay of which is disbursed by the Clerk of the House of Representatives, or another official designated by the House of Representatives, or any employment position in an entity that is paid with funds derived from the clerk-hire allowance of the House of Representatives but not any such individual employed by any entity listed in paragraph (g) above nor any individual described in subparagraphs (aa) through (dd) of paragraph (g) of section 1.102 of the regulations classified with an "H" classification.

H Regs: (m) Employee of the Senate includes any employee whose pay is disbursed by the Secretary of the Senate, but not any such individual employed by any entity listed in subparagraphs (3) through (8) of paragraph (g) above nor any individual described in subparagraphs (aa) through (ee) of paragraph (g) of section 1.102 of the regulations classified with an "S" classification.

S Regs: (m) Employee of the Senate includes any employee whose pay is disbursed by the Secretary of the Senate, but not any such individual employed by any entity listed in subparagraphs (3) through (8) of paragraph (g) above nor any individual described in subparagraphs (aa) through (ee) of paragraph (g) of section 1.102 of the regulations classified with an "S" classification.

C Regs: (m) Employee of the Senate includes any employee whose pay is disbursed by the Secretary of the Senate, but not any such individual employed by any entity listed in paragraph (g) above nor any individual described in subparagraphs (aa) through (ee) of paragraph (g) of section 1.102 of the regulations classified with an "S" classification.

H Regs: (n) "Employing office" means: (1) the personal office of a Member of the House of Representatives; (2) a committee of the House of Representatives or a joint committee of the House of Representatives and the Senate; or (3) any other office headed by a person with the final authority to appoint, hire, discharge, and set the terms, conditions, or privileges of the employment of an employee of the House of Representatives or the Senate.

S Regs: (n) "Employing office" means: (1) the personal office of a Senator; (2) a committee of the Senate or a joint committee of the House of Representatives and the Senate; or (3) any other office headed by a person with the final authority to appoint, or be directed by a Member of Congress to appoint, hire, discharge, and set the terms, conditions, or privileges of the employment of an employee of the House of Representatives or the Senate.

C Regs: (n) "Employing office" means: the Office of Congressional Accessibility Services, the Capitol Police, the Congressional Budget Office, the Office of the Architect of the Capitol, the Office of the Attending Physician, and the Office of Compliance.

(o) "Office" means the Office of Compliance.

(p) "Preference eligible" means veterans, spouses, widows, widowers or mothers who meet the definition of "preference eligible" in 5 U.S.C. §2108(3)(A)-(G).

(q) "Qualified applicant" means an applicant for a covered position whom an employing office deems to satisfy the requisite minimum job-related requirements of the position. Where the employing office uses an entrance examination or evaluation for a covered position that is numerically scored, the

term "qualified applicant" shall mean that the applicant has received a passing score on the examination or evaluation.

(r) "Separated under honorable conditions" means either an honorable or a general discharge from the armed forces. The Department of Defense is responsible for administering and defining military discharges.

(s) "Uniformed services" means the armed forces, the commissioned corps of the Public Health Service, and the commissioned corps of the National Oceanic and Atmospheric Administration.

(t) "VEOA" means the Veterans Employment Opportunities Act of 1998 (Pub. L. 105-339, 112 Stat. 3182).

(u) "Veterans" means persons as defined in 5 U.S.C. §2108(1), or any superseding legislation.

#### Sec. 1.103. ADOPTION OF REGULATIONS.

(a) Adoption of regulations. Section 4(c)(4)(A) of the VEOA generally authorizes the Board to issue regulations to implement section 4(c). In addition, section 4(c)(4)(B) of the VEOA directs the Board to promulgate regulations that are "the same as the most relevant substantive regulations (applicable with respect to the Executive branch) promulgated to implement the statutory provisions referred to in paragraph (2)" of section 4(c) of the VEOA. Those statutory provisions are section 2108, sections 3309 through 3312, and subchapter I of chapter 35, of title 5, United States Code. The regulations issued by the Board herein are on all matters for which section 4(c)(4)(B) of the VEOA requires a regulation to be issued. Specifically, it is the Board's considered judgment based on the information available to it at the time of promulgation of these regulations, that, with the exception of the regulations adopted and set forth herein, there are no other "substantive regulations (applicable with respect to the Executive branch) promulgated to implement the statutory provisions referred to in paragraph (2)" of section 4(c) of the VEOA that need be adopted.

(b) Modification of substantive regulations. As a qualification to the statutory obligation to issue regulations that are "the same as the most substantive regulations (applicable with respect to the Executive branch)", section 4(c)(4)(B) of the VEOA authorizes the Board to "determine, for good cause shown and stated together with the regulation, that a modification of such regulations would be more effective for the implementation of the rights and protections under" section 4(c) of the VEOA.

(c) Rationale for Departure from the Most Relevant Executive Branch Regulations. The Board concludes that it must promulgate regulations accommodating the human resource systems existing in the Legislative branch; and that such regulations must take into account the fact that the Board does not possess the statutory and Executive Order based government-wide policy making authority underlying OPM's counterpart VEOA regulations governing the Executive branch. OPM's regulations are designed for the competitive service (defined in 5 U.S.C. §2102(a)(2)), which does not exist in the employing offices subject to this regulation. Therefore, to follow the OPM regulations would create detailed and complex rules and procedures for a workforce that does not exist in the Legislative branch, while providing no VEOA protections to the covered Legislative branch employees. We have chosen to propose specially tailored regulations, rather than simply to adopt those promulgated by OPM, so that we may effectuate Congress' intent in extending the principles of the veterans' preference laws to the Legislative branch through the VEOA.

#### SEC. 1.104. COORDINATION WITH SECTION 225 OF THE CONGRESSIONAL ACCOUNTABILITY ACT.

Statutory directive. Section 4(c)(4)(C) of the VEOA requires that promulgated regulations must be consistent with section 225 of the CAA. Among the relevant provisions of section 225 are subsection (f)(1), which prescribes as a rule of construction that definitions and exemptions in the laws made applicable by the CAA shall apply under the CAA, and subsection (f)(3), which states that the CAA shall not be considered to authorize enforcement of the CAA by the Executive branch.

#### Subpart B—Veterans' Preference—General Provisions

Sec.

1.105 Responsibility for administration of veterans' preference.

1.106 Procedures for bringing claims under the VEOA.

#### SEC. 1.105. RESPONSIBILITY FOR ADMINISTRATION OF VETERANS' PREFERENCE.

Subject to section 1.106, employing offices with covered employees or covered positions are responsible for making all veterans' preference determinations, consistent with the VEOA.

#### SEC. 1.106. PROCEDURES FOR BRINGING CLAIMS UNDER THE VEOA.

Applicants for appointment to a covered position and covered employees may contest adverse veterans' preference determinations, including any determination that a preference eligible applicant is not a qualified applicant, pursuant to sections 401-416 of the CAA, 2 U.S.C. §§1401-1416, and provisions of law referred to therein; 206a(3) of the CAA, 2 U.S.C. §§1401, section 4(c)(3) of the Veterans Employment Opportunities Act of 1998; and the Office's Procedural Rules.

#### Subpart C—Veterans' Preference in Appointments

Sec.

1.107 Veterans' preference in appointments to restricted covered positions.

1.108 Veterans' preference in appointments to non-restricted covered positions.

1.109 Crediting experience in appointments to covered positions.

1.110 Waiver of physical requirements in appointments to covered positions.

#### SEC. 1.107. VETERANS' PREFERENCE IN APPOINTMENTS TO RESTRICTED POSITIONS.

In each appointment action for the positions of custodian, elevator operator, guard, and messenger (as defined below and collectively referred to in these regulations as restricted covered positions) employing offices shall restrict competition to preference eligible applicants as long as qualified preference eligible applicants are available. The provisions of sections 1.109 and 1.110 below shall apply to the appointment of a preference eligible applicant to a restricted covered position. The provisions of section 1.108 shall apply to the appointment of a preference eligible applicant to a restricted covered position, in the event that there is more than one preference eligible applicant for the position.

Custodian—One whose primary duty is the performance of cleaning or other ordinary routine maintenance duties in or about a government building or a building under Federal control, park, monument, or other Federal reservation.

Elevator operator—One whose primary duty is the running of freight or passenger elevators. The work includes opening and closing elevator gates and doors, working elevator controls, loading and unloading the elevator, giving information and directions to passengers such as on the location of offices, and reporting problems in running the elevator.

Guard—One whose primary duty is the assignment to a station, beat, or patrol area in a Federal building or a building under Federal control to prevent illegal entry of persons or property; or required to stand watch at or to patrol a Federal reservation, industrial area, or other area designated by Federal authority, in order to protect life and property; make observations for detection of fire, trespass, unauthorized removal of public property or hazards to Federal personnel or property. The term guard does not include law enforcement officer positions of the Capitol Police.

Messenger—One whose primary duty is the supervision or performance of general messenger work (such as running errands, delivering messages, and answering call bells).

**SEC. 1.108. VETERANS' PREFERENCE IN APPOINTMENTS TO NON-RESTRICTED COVERED POSITIONS.**

(a) Where an employing office has duly adopted a policy requiring the numerical scoring or rating of applicants for covered positions, the employing office shall add points to the earned ratings of those preference eligible applicants who receive passing scores in an entrance examination, in a manner that is proportionately comparable to the points prescribed in 5 U.S.C. §3309. For example, five preference points shall be granted to preference eligible applicants in a 100-point system, one point shall be granted in a 20-point system, and so on.

(b) In all other situations involving appointment to a covered position, employing offices shall consider veterans' preference eligibility as an affirmative factor in the employing office's determination of who will be appointed from among qualified applicants.

**SEC. 1.109. CREDITING EXPERIENCE IN APPOINTMENTS TO COVERED POSITIONS.**

When considering applicants for covered positions in which experience is an element of qualification, employing offices shall provide preference eligible applicants with credit:

(a) for time spent in the military service (1) as an extension of time spent in the position in which the applicant was employed immediately before his/her entrance into the military service, or (2) on the basis of actual duties performed in the military service, or (3) as a combination of both methods. Employing offices shall credit time spent in the military service according to the method that will be of most benefit to the preference eligible applicant.

(b) for all experience material to the position for which the applicant is being considered, including experience gained in religious, civic, welfare, service, and organizational activities, regardless of whether he/she received pay therefor.

**SEC. 1.110. WAIVER OF PHYSICAL REQUIREMENTS IN APPOINTMENTS TO COVERED POSITIONS.**

(a) Subject to (c) below, in determining qualifications of a preference eligible applicant for appointment, an employing office shall waive:

(1) with respect to a preference eligible applicant, requirements as to age, height, and weight, unless the requirement is essential to the performance of the duties of the position; and

(2) with respect to a preference eligible applicant to whom it has made a conditional offer of employment, physical requirements if, in the opinion of the employing office, on the basis of evidence before it, including any recommendation of an accredited physician submitted by the preference eligible applicant, the preference eligible applicant is physically able to perform efficiently the duties of the position;

(b) Subject to (c) below, if an employing office determines, on the basis of evidence be-

fore it, including any recommendation of an accredited physician submitted by the preference eligible applicant, that an applicant to whom it has made a conditional offer of employment is preference eligible as a disabled veteran as described in 5 U.S.C. §2108(3)(c) and who has a compensable service-connected disability of 30 percent or more is not able to fulfill the physical requirements of the covered position, the employing office shall notify the preference eligible applicant of the reasons for the determination and of the right to respond and to submit additional information to the employing office, within 15 days of the date of the notification. The director of the employing office may, by providing written notice to the preference eligible applicant, shorten the period for submitting a response with respect to an appointment to a particular covered position, if necessary because of a need to fill the covered position immediately. Should the preference eligible applicant make a timely response, the highest ranking individual or group of individuals with authority to make employment decisions on behalf of the employing office shall render a final determination of the physical ability of the preference eligible applicant to perform the duties of the position, taking into account the response and any additional information provided by the preference eligible applicant. When the employing office has completed its review of the proposed disqualification on the basis of physical disability, it shall send its findings to the preference eligible applicant.

(c) Nothing in this section shall relieve an employing office of any obligations it may have pursuant to the Americans with Disabilities Act (42 U.S.C. §12101 et seq.) as applied by section 102(a)(3) of the Act, 2 U.S.C. §1302(a)(3).

**Subpart D—Veterans' preference in reductions in force.**

Sec.

1.111 Definitions applicable in reductions in force.

1.112 Application of preference in reductions in force.

1.113 Crediting experience in reductions in force.

1.114 Waiver of physical requirements in reductions in force.

1.115 Transfer of functions.

**SEC. 1.111. DEFINITIONS APPLICABLE IN REDUCTIONS IN FORCE.**

(a) Competing covered employees are the covered employees within a particular position or job classification, at or within a particular competitive area, as those terms are defined below.

(b) Competitive area is that portion of the employing office's organizational structure, as determined by the employing office, in which covered employees compete for retention. A competitive area must be defined solely in terms of the employing office's organizational unit(s) and geographical location, and it must include all employees within the competitive area so defined. A competitive area may consist of all or part of an employing office. The minimum competitive area is a department or subdivision of the employing office within the local commuting area.

(c) Position classifications or job classifications are determined by the employing office, and shall refer to all covered positions within a competitive area that are in the same grade, occupational level or classification, and which are similar enough in duties, qualification requirements, pay schedules, tenure (type of appointment) and working conditions so that an employing office may reassign the incumbent of one position to any of the other positions in the position classification without undue interruption.

(d) Preference Eligibles. For the purpose of applying veterans' preference in reductions in force, except with respect to the application of section 1.114 of these regulations regarding the waiver of physical requirements, the following shall apply:

(1) "active service" has the meaning given it by section 101 of title 37;

(2) "a retired member of a uniformed service" means a member or former member of a uniformed service who is entitled, under statute, to retired, retirement, or retainer pay on account of his/her service as such a member; and

(3) a preference eligible covered employee who is a retired member of a uniformed service is considered a preference eligible only if (A) his/her retirement was based on disability—

(i) resulting from injury or disease received in line of duty as a direct result of armed conflict; or

(ii) caused by an instrumentality of war and incurred in the line of duty during a period of war as defined by sections 101 and 1101 of title 38;

(B) his/her service does not include twenty or more years of full-time active service, regardless of when performed but not including periods of active duty for training; or

(C) on November 30, 1964, he/she was employed in a position to which this subchapter applies and thereafter he/she continued to be so employed without a break in service of more than 30 days.

The definition of "preference eligible" as set forth in 5 U.S.C 2108 and section 1.102(p) of these regulations shall apply to waivers of physical requirements in determining an employee's qualifications for retention under section 1.114 of these regulations.

H&S Regs: (e) Reduction in force is any termination of a covered employee's employment or the reduction in pay and/or position grade of a covered employee for more than 30 days and that may be required for budgetary or workload reasons, changes resulting from reorganization, or the need to make room for an employee with reemployment or restoration rights. The term "reduction in force" does not encompass a termination or other personnel action: (1) predicated upon performance, conduct or other grounds attributable to an employee, or (2) involving an employee who is employed by the employing office on a temporary basis, or (3) attributable to a change in party leadership or majority party status within the House of Congress where the employee is employed.

C Regs: (e) Reduction in force is any termination of a covered employee's employment or the reduction in pay and/or position grade of a covered employee for more than 30 days and that may be required for budgetary or workload reasons, changes resulting from reorganization, or the need to make room for an employee with reemployment or restoration rights. The term "reduction in force" does not encompass a termination or other personnel action: (1) predicated upon performance, conduct or other grounds attributable to an employee, or (2) involving an employee who is employed by the employing office on a temporary basis.

(f) Undue interruption is a degree of interruption that would prevent the completion of required work by a covered employee 90 days after the employee has been placed in a different position under this part. The 90-day standard should be considered within the allowable limits of time and quality, taking into account the pressures of priorities, deadlines, and other demands. However, work generally would not be considered to be unduly interrupted if a covered employee needs more than 90 days after the reduction in force to perform the optimum quality or quantity of work. The 90-day standard may

be extended if placement is made under this part to a program accorded low priority by the employing office, or to a vacant position.

**SEC. 1.112. APPLICATION OF PREFERENCE IN REDUCTIONS IN FORCE.**

Prior to carrying out a reduction in force that will affect covered employees, employing offices shall determine which, if any, covered employees within a particular group of competing covered employees are entitled to veterans' preference eligibility status in accordance with these regulations. In determining which covered employees will be retained, employing offices will treat veterans' preference as the controlling factor in retention decisions among such competing covered employees, regardless of length of service or performance, provided that the preference eligible employee's performance has not been determined to be unacceptable. Provided, a preference eligible employee who is a "disabled veteran" under section 1.102(i) above who has a compensable service-connected disability of 30 percent or more and whose performance has not been determined to be unacceptable by an employing office is entitled to be retained in preference to other preference eligible employees. Provided, this section does not relieve an employing office of any greater obligation it may be subject to pursuant to the Worker Adjustment and Retraining Notification Act (29 U.S.C. § 2101 et seq.) as applied by section 102(a)(9) of the CAA, 2 U.S.C. § 1302(a)(9).

**SEC. 1.113. CREDITING EXPERIENCE IN REDUCTIONS IN FORCE.**

In computing length of service in connection with a reduction in force, the employing office shall provide credit to preference eligible covered employees as follows:

(a) a preference eligible covered employee who is not a retired member of a uniformed service is entitled to credit for the total length of time in active service in the armed forces;

(b) a preference eligible covered employee who is a retired member of a uniformed service is entitled to credit for:

(1) the length of time in active service in the armed forces during a war, or in a campaign or expedition for which a campaign badge has been authorized; or

(2) the total length of time in active service in the armed forces if he is included under 5 U.S.C. § 3501(a)(3)(A), (B), or (C); and

(c) a preference eligible covered employee is entitled to credit for:

(1) service rendered as an employee of a county committee established pursuant to section 8(b) of the Soil Conservation and Allotment Act or of a committee or association of producers described in section 10(b) of the Agricultural Adjustment Act, reenacted with amendments by the Agriculture Marketing Agreement Act of 1937; and

(2) service rendered as an employee described in 5 U.S.C. § 2105(c) if such employee moves or has moved, on or after January 1, 1966, without a break in service of more than 3 days, from a position in a nonappropriated fund instrumentality of the Department of Defense or the Coast Guard to a position in the Department of Defense or the Coast Guard, respectively, that is not described in 5 U.S.C. § 2105(c).

**SEC. 1.114. WAIVER OF PHYSICAL REQUIREMENTS IN REDUCTIONS IN FORCE.**

(a) If an employing office determines, on the basis of evidence before it, that a covered employee is preference eligible, the employing office shall waive, in determining the covered employee's retention status in a reduction in force:

(1) requirements as to age, height, and weight, unless the requirement is essential to the performance of the duties of the position; and

(2) physical requirements if, in the opinion of the employing office, on the basis of evidence before it, including any recommendation of an accredited physician submitted by the employee, the preference eligible covered employee is physically able to perform efficiently the duties of the position.

(b) If an employing office determines that a covered employee who is a preference eligible as a disabled veteran as described in 5 U.S.C. § 2108(3)(c) and has a compensable service-connected disability of 30 percent or more is not able to fulfill the physical requirements of the covered position, the employing office shall notify the preference eligible covered employee of the reasons for the determination and of the right to respond and to submit additional information to the employing office within 15 days of the date of the notification. Should the preference eligible covered employee make a timely response, the highest ranking individual or group of individuals with authority to make employment decisions on behalf of the employing office, shall render a final determination of the physical ability of the preference eligible covered employee to perform the duties of the covered position, taking into account the evidence before it, including the response and any additional information provided by the preference eligible. When the employing office has completed its review of the proposed disqualification on the basis of physical disability, it shall send its findings to the preference eligible covered employee.

(c) Nothing in this section shall relieve an employing office of any obligation it may have pursuant to the Americans with Disabilities Act (42 U.S.C. § 12101 et seq.) as applied by section 102(a)(3) of the CAA, 2 U.S.C. § 1302(a)(3).

**SEC. 1.115. TRANSFER OF FUNCTIONS.**

(a) When a function is transferred from one employing office to another employing office, each covered employee in the affected position classifications or job classifications in the function that is to be transferred shall be transferred to the receiving employing office for employment in a covered position for which he/she is qualified before the receiving employing office may make an appointment from another source to that position.

(b) When one employing office is replaced by another employing office, each covered employee in the affected position classifications or job classifications in the employing office to be replaced shall be transferred to the replacing employing office for employment in a covered position for which he/she is qualified before the replacing employing office may make an appointment from another source to that position.

**Subpart E—Adoption of Veterans' preference policies, recordkeeping & informational requirements.**

Sec.

1.116 Adoption of veterans' preference policy.

1.117 Preservation of records made or kept.

1.118 Dissemination of veterans' preference policies to applicants for covered positions.

1.119 Information regarding veterans' preference determinations in appointments.

1.120 Dissemination of veterans' preference policies to covered employees.

1.121 Written notice prior to a reduction in force.

**SEC. 1.116. ADOPTION OF VETERANS' PREFERENCE POLICY.**

No later than 120 calendar days following Congressional approval of this regulation,

each employing office that employs one or more covered employees or that seeks applicants for a covered position shall adopt its written policy specifying how it has integrated the veterans' preference requirements of the Veterans Employment Opportunities Act of 1998 and these regulations into its employment and retention processes. Each such employing office will make its policies available to applicants for appointment to a covered position and to covered employees in accordance with these regulations. The act of adopting a veterans' preference policy shall not relieve any employing office of any other responsibility or requirement of the Veterans Employment Opportunity Act of 1998 or these regulations. An employing office may amend or replace its veterans' preference policies as it deems necessary or appropriate, so long as the resulting policies are consistent with the VEOA and these regulations.

**SEC. 1.117. PRESERVATION OF RECORDS MADE OR KEPT.**

An employing office that employs one or more covered employees or that seeks applicants for a covered position shall maintain any records relating to the application of its veterans' preference policy to applicants for covered positions and to workforce adjustment decisions affecting covered employees for a period of at least one year from the date of the making of the record or the date of the personnel action involved or, if later, one year from the date on which the applicant or covered employee is notified of the personnel action. Where a claim has been brought under section 401 of the CAA against an employing office under the VEOA, the respondent employing office shall preserve all personnel records relevant to the claim until final disposition of the claim. The term "personnel records relevant to the claim", for example, would include records relating to the veterans' preference determination regarding the person bringing the claim and records relating to any veterans' preference determinations regarding other applicants for the covered position the person sought, or records relating to the veterans' preference determinations regarding other covered employees in the person's position or job classification. The date of final disposition of the charge or the action means the latest of the date of expiration of the statutory period within which the aggrieved person may file a complaint with the Office or in a U.S. District Court or, where an action is brought against an employing office by the aggrieved person, the date on which such litigation is terminated.

**SEC. 1.118. DISSEMINATION OF VETERANS' PREFERENCE POLICIES TO APPLICANTS FOR COVERED POSITIONS.**

(a) An employing office shall state in any announcements and advertisements it makes concerning vacancies in covered positions that the staffing action is governed by the VEOA.

(b) An employing office shall invite applicants for a covered position to identify themselves as veterans' preference eligible applicants, provided that in doing so:

(1) the employing office shall state clearly on any written application or questionnaire used for this purpose or make clear orally, if a written application or questionnaire is not used, that the requested information is intended for use solely in connection with the employing office's obligations and efforts to provide veterans' preference to preference eligible applicants in accordance with the VEOA;

(2) the employing office shall state clearly that disabled veteran status is requested on a voluntary basis, that it will be kept confidential in accordance with the Americans

with Disabilities Act (42 U.S.C. § 12101 et seq.) as applied by section 102(a)(3) of the CAA, 2 U.S.C. § 1302(a)(3), that refusal to provide it will not subject the individual to any adverse treatment except the possibility of an adverse determination regarding the individual's status as a preference eligible applicant as a disabled veteran under the VEOA, and that any information obtained in accordance with this section concerning the medical condition or history of an individual will be collected, maintained and used only in accordance with the Americans with Disabilities Act (42 U.S.C. § 12101 et seq.) as applied by section 102(a)(3) of the CAA, 2 U.S.C. § 1302(a)(3); and

(3) the employing office shall state clearly that applicants may request information about the employing office's veterans' preference policies as they relate to appointments to covered positions, and shall describe the employing office's procedures for making such requests.

(c) Upon written request by an applicant for a covered position, an employing office shall provide the following information in writing:

(1) the VEOA definition of "preference eligible" as set forth in 5 U.S.C. § 2108 or any superseding legislation, providing the actual, current definition in a manner designed to be understood by applicants, along with the statutory citation;

(2) the employing office's veterans' preference policy or a summary description of the employing office's veterans' preference policy as it relates to appointments to covered positions, including any procedures the employing office shall use to identify preference eligible employees; and

(3) the employing office may provide other information to applicants regarding its veterans' preference policies and practices, but is not required to do so by these regulations.

(d) Employing offices are also expected to answer questions from applicants for covered positions that are relevant and non-confidential concerning the employing office's veterans' preference policies and practices.

**SEC. 1.119. INFORMATION REGARDING VETERANS' PREFERENCE DETERMINATIONS IN APPOINTMENTS.**

Upon written request by an applicant for a covered position, the employing office shall promptly provide a written explanation of the manner in which veterans' preference was applied in the employing office's appointment decision regarding that applicant. Such explanation shall include at a minimum:

(a) the employing office's veterans' preference policy or a summary description of the employing office's veterans' preference policy as it relates to appointments to covered positions; and

(b) a statement as to whether the applicant is preference eligible and, if not, a brief statement of the reasons for the employing office's determination that the applicant is not preference eligible.

**SEC. 1.120. DISSEMINATION OF VETERANS' PREFERENCE POLICIES TO COVERED EMPLOYEES.**

(a) If an employing office that employs one or more covered employees provides any written guidance to such employees concerning employee rights generally or reductions in force more specifically, such as in a written employee policy, manual or handbook, such guidance must include information concerning veterans' preference under the VEOA, as set forth in subsection (b) of this regulation.

(b) Written guidances described in subsection (a) above shall include, at a minimum:

(1) the VEOA definition of veterans' "preference eligible" as set forth in 5 U.S.C. § 2108

or any superseding legislation, providing the actual, current definition along with the statutory citation;

(2) the employing office's veterans' preference policy or a summary description of the employing office's veterans' preference policy as it relates to reductions in force, including the procedures the employing office shall take to identify preference eligible employees; and

(3) the employing office may provide other information in its guidances regarding its veterans' preference policies and practices, but is not required to do so by these regulations.

(c) Employing offices are also expected to answer questions from covered employees that are relevant and non-confidential concerning the employing office's veterans' preference policies and practices.

**SEC. 1.121. WRITTEN NOTICE PRIOR TO A REDUCTION IN FORCE.**

(a) Except as provided under subsection (c), a covered employee may not be released due to a reduction in force, unless the covered employee and the covered employee's exclusive representative for collective-bargaining purposes (if any) are given written notice, in conformance with the requirements of paragraph (b), at least 60 days before the covered employee is so released.

(b) Any notice under paragraph (a) shall include—

(1) the personnel action to be taken with respect to the covered employee involved;

(2) the effective date of the action;

(3) a description of the procedures applicable in identifying employees for release;

(4) the covered employee's competitive area;

(5) the covered employee's eligibility for veterans' preference in retention and how that preference eligibility was determined;

(6) the retention status and preference eligibility of the other employees in the affected position classifications or job classifications within the covered employee's competitive area, by providing:

(A) a list of all covered employee(s) in the covered employee's position classification or job classification and competitive area who will be retained by the employing office, identifying those employees by job title only and stating whether each such employee is preference eligible, and

(B) a list of all covered employee(s) in the covered employee's position classification or job classification and competitive area who will not be retained by the employing office, identifying those employees by job title only and stating whether each such employee is preference eligible; and

(7) a description of any appeal or other rights which may be available.

(c) The director of the employing office may, in writing, shorten the period of advance notice required under subsection (a), with respect to a particular reduction in force, if necessary because of circumstances not reasonably foreseeable.

(d) No notice period may be shortened to less than 30 days under this subsection.

**COMMENDING SENATOR BARBARA MIKULSKI**

Ms. LANDRIEU. Mr. President, on January 5, 2011, Senator BARBARA MIKULSKI of Maryland became the longest-serving female Senator in the history of our country. Breaking this record, which was set by an extraordinary woman in her own time Margaret Chase Smith of Maine—is only one of many milestones BARBARA MIKULSKI has reached during her tenure

in elective office. Additional milestones are: first female Democrat to serve in both Chambers of Congress; the first female Democrat elected to the Senate without succeeding a husband or a father; and the first female to chair one of the most sought-after Appropriations subcommittees. The history books will rightly mark these achievements for the benefit of generations to come.

In addition, BARBARA MIKULSKI is known and will be remembered as a fierce fighter for the people of Maryland, an advocate for working families, the small business owner, and seniors looking for help and support in their later years. Her advocacy for and in defense of Federal workers is legendary. They may be faceless bureaucrats to some, but to Senator MIKULSKI they are her friends and neighbors. And they most certainly have found a champion in BARBARA MIKULSKI. Every day, she brings that definitive fighting spirit to the Senate, championing the causes she holds dear—women's health, extended access to higher education, the concerns of our Nation's veterans and the advancement of our space program, to name just a few. She is renowned in the Halls of Congress for her toughness and tenacity, commanding the respect and appreciation of her constituents and people across the country.

Besides these milestones and significant legislative accomplishments, it is also important to note Senator MIKULSKI's unique willingness and enthusiasm for mentoring others. I have been the beneficiary of her special attention, guidance and sage advice, as have many of my peers. She has helped us find our footing and navigate the peculiar ways of the Senate. It is truly extraordinary—and one of her most admirable qualities—that someone of her stature, who wields so much influence, always seems to be able to find the time to help and take interest in others, women in particular. Senator MIKULSKI is a remarkable leader in that way. She continues to serve as an inspiration to us all. I know she will remain a pathfinder, a visionary and a courageous leader for the people of Maryland and for our Nation. Another Barbara—Barbara Coloroso, the international bestselling author on parenting and teaching—once observed that "the beauty of empowering others is that your own power is not diminished in the process." That truth holds special meaning for those of us fortunate enough to have been empowered through our association and friendship with the senior Senator from Maryland.

Mr. WHITEHOUSE. Mr. President, as we embark on a new year and a new Congress, I stand here today to congratulate my colleague, BARBARA MIKULSKI, on becoming the longest serving female Senator in our Nation's history. Her work in these Halls has made our country stronger. And in a place where partisan rancor too often rules the day, she has established a legacy of

service that stands as an example to us all.

Her political career began in the late 1960s when she launched a campaign to stop the construction of a highway over historic neighborhoods in Baltimore. Once she won that battle, she decided to run for the Baltimore City Council in 1971. Forty years later, and following a successful stint in the U.S. House of Representatives, BARBARA MIKULSKI continues to blaze an impressive trail. During her 26 years in the Senate, she became the first woman to sit on the Senate Appropriations Committee, the first Democratic woman elected to Senate leadership, and now has crossed yet another milestone, passing Senator Margaret Chase Smith of Maine as the longest serving female Senator.

It is not just the length of her service that we celebrate, it is its quality. No one is better at drilling down to the gist of an issue, and expressing it in punchy unforgettable terms. No one cheers us more than when she tells us to “stand tall, square our shoulders, put on our lipstick and rise to the occasion.” No one better combines the idealism of politics with the proactive abilities of government. As she told me once with a twinkle in her eye, “I’m a reformer, and a bit of a ward healer too.” More than anything, she never forgot her roots as a champion for those who need one.

In her years in the Senate, BARBARA MIKULSKI’s dedication to her constituents and women’s rights has been clear: from becoming a champion of women’s health issues and abortion rights, to organizing training seminars for woman of both parties elected to the Senate, to sponsoring and pushing through the Lilly Ledbetter Fair Pay Act of 2009.

During my 4 years as a U.S. Senator, I have had the great privilege to work with her to pass landmark health care reform legislation out of the HELP Committee. I also serve with her on the Intelligence Committee, and worked closely with her on the Senate Intelligence Committee’s Cyber Task Force to evaluate cyber threats and issue recommendations to the full committee.

And, while Rhode Island and Maryland are hundreds of miles apart, Barbara and her staff are truly my neighbors here in the Senate. Her office is next door to mine in the Hart Building. From a friendly hello to each other as we pass in the hall, to accompanying each other as we walk to the Senate floor, to the delicious treats her wonderful receptionist Mrs. O’Malley occasionally makes for our office, it has truly been a pleasure to share our little corner of the Hart Building.

I know that all of us here in this Chamber are proud to call “Senator BARB” our colleague and friend as she makes history. Her hard work and independent spirit have enriched the Senate and I wish her all the best in the years to come. On behalf of all Rhode Islanders, I congratulate you for this milestone in our Nation’s history.

#### REFORM AMERICA’S BROKEN IMMIGRATION SYSTEM ACT

Mr. LEAHY. Mr. President, once again, at the beginning of a new Congress, Majority Leader REID has signaled his intent to improve our Nation’s immigration system with a plan to transform and modernize our laws to meet the needs of the country.

I support the majority leader in this effort, as I have now for several Congresses. The American people recognize that our current immigration system is deeply flawed. It is far too easily exploited by unscrupulous employers and others who seek to profit from the vulnerabilities of those seeking work and a new life. We can and should put an end to the too common abuses and transform our system into an orderly, secure, and efficient way to strengthen our economy and fulfill our humanitarian traditions.

We must also confront the situation created by the millions of undocumented people who are living and working in the shadows in the United States—the vast majority of whom are otherwise following our laws and making positive contributions to our economy. We can all agree that we have arrived at a point that is not sustainable, and we must face up to it with a solution that is achievable. As both President Bush and President Obama, along with their Secretaries of Homeland Security, have acknowledged, we cannot simply enforce our way out of a broken immigration system. I agree.

We must reject the easy slogans that reduce this highly complex problem to a bumper sticker solution—something the late Senator Ted Kennedy spoke against so passionately. When we talk about the millions of immigrants living and working in the United States as a mass of “illegals” to be sent out of the United States, we denigrate their humanity. As a nation, we can agree that we will have no tolerance for those who are out of status and go on to commit crimes. But for those whose only transgression was entering the United States unlawfully in search of a better life for themselves and their families, we should proceed in a manner that is consistent with our best qualities as a humanitarian and compassionate nation.

Achieving what the majority leader has proposed will not be easy. We have experienced the difficulty again and again in recent years. I am heartened that the legislation the majority leader introduced includes reference to the DREAM Act and to AgJOBS, both of which I have strongly supported for many years. Even if our progress is incremental, I believe that working on behalf of America’s farmers and individuals whose undocumented status is not a result of their own volition is a sound starting place.

Among other important goals, the legislation calls on Congress to “support our national and economic security.” Along with AgJOBS and the DREAM Act, I hope Senators will also

recognize the fundamental unfairness that exists in our immigration laws for gay and lesbian Americans and that this is also an economic issue. I have said many times that no American should be forced to choose between their loved ones and their country. But this is the reality many Americans face, and it is wrong. Due to this false choice, many talented Americans choose to leave their country for nations that treat binational, same-sex couples fairly, often at a cost to their employers and our Nation’s economic growth.

There are existing immigration programs that Congress should strongly support and improve, such as the EB-5 Regional Center Program, which has a proven record as an engine to promote job creation and capital investment in American communities. With permanence, added efficiencies, and strong oversight, this program can continue to operate as an economically productive part of our overall immigration system. We must also reform our refugee laws to ensure that those in need of protection find safety in the United States.

Americans have endured the bitter politics of immigration for far too long. The hurtful rhetoric has obstructed progress and has deepened the divisions on an issue that will require bipartisanship and compromise on all sides. It is my sincere hope that the divisions are not too deep to be repaired and that we can make progress on fixing our struggling system. A rational, economically productive, and humane immigration system should be a cornerstone of our democracy; a source of pride instead of anger, frustration or intolerance. Our history demonstrates that immigration to the United States and the tremendous diversity that has resulted, has set us apart as an example of freedom and unity for the rest of the world. I hope as the 112th Congress begins, we will work together toward a better system for America and all Americans.

#### APPOINTMENT OF JEFFREY R. IMMELT

Mr. LEAHY. Mr. President, I would like to salute Jeffrey R. Immelt for agreeing to chair the President’s new Council on Jobs and Competitiveness.

Mr. Immelt knows quite a bit about creating jobs, promoting innovation, and competing in the marketplace. As the chairman and chief executive officer of General Electric, Mr. Immelt has led the company through a major expansion into growth markets overseas and made GE a leader in manufacturing a new generation of environmentally friendly technologies. Now, as we transition from stabilizing our economy to increasing employment and growth, Mr. Immelt’s experience leading GE will help him counsel the President through our long-term recovery.

Mr. Immelt knows that innovation is the key to America’s economic growth.



Consequently, GE relies heavily on the U.S. patent system. I have been very happy to work with Mr. Immelt and GE as strong proponents of bipartisan patent reform legislation.

I recently met with Mr. Immelt and was impressed by his determination to make GE even more competitive in the future than it has been in the past. He has original ideas on investing in research and development and understands that reviving and updating America's manufacturing economy is critical to creating jobs in this country. I have always been impressed with his commitment to manufacturing in Rutland, VT, where GE Aviation has a major plant.

In honor of his willingness to serve in this new capacity, I ask unanimous consent to have printed in the RECORD Mr. Immelt's recent op-ed, "A blueprint for keeping America competitive."

There being no objection, the material was ordered to be printed in the RECORD, as follows:

[From the Washington Post, Jan. 21, 2011]

A BLUEPRINT FOR KEEPING AMERICA COMPETITIVE

(By Jeffrey R. Immelt)

President Obama has asked me to chair his new President's Council on Jobs and Competitiveness. I have served for the past two years on the President's Economic Recovery Advisory Board, and I look forward to leading the next phase of this effort as we transition from recovery to long-term growth. The president and I are committed to a candid and full dialogue among business, labor and government to help ensure that the United States has the most competitive and innovative economy in the world.

Business leaders should provide expertise in service of our country. My predecessors at GE have done so, as have leaders of many other great American companies. There is always a healthy tension between the public and private sectors. However, we all share a responsibility to drive national competitiveness, particularly during economic unrest. This is one of those times.

My hope is that the council will be a sounding board for ideas and a catalyst for action on jobs and competitiveness. It will include small and large businesses, labor, economists and government. Areas that we will focus on include:

**Manufacturing and exports:** We need a coordinated commitment among business, labor and government to expand our manufacturing base and increase exports. The assumption made by many that the United States could transition from a technology-based, export-oriented economic powerhouse to a services-led, consumption-based economy without any serious loss of jobs, prosperity or prestige was fundamentally wrong. But there is nothing inevitable about America's declining manufacturing competitiveness if we work together to reverse it. For example, we have returned many GE appliance manufacturing jobs to the States by collaborating with our unions and making our operations more efficient.

Working with Boeing CEO Jim McNerney, who leads the President's Export Council, the Council on Jobs and Competitiveness will look for ways to harness the power of international markets—home to more than 95 percent of the world's consumers. Currently, the United States ranks lowest among the world's largest manufacturing na-

tions in the ratio of domestically produced goods sold overseas, or export intensity. We must set as our highest economic priority not just increasing our exports, as the president has pledged, but also making the United States the world's leading exporter in the 21st century.

**Free trade:** America cannot expand its manufacturing base without reatly increasing the volume of goods it sells overseas. That is why I applaud the free-trade agreement recently concluded between the United States and South Korea, which will eliminate barriers to U.S. exports and support export-oriented jobs. We should seek to conclude trade and investment agreements with other fast-growing markets and modernize our systems for export finance and trade control. Those who advocate increasing domestic manufacturing jobs by erecting trade barriers have it exactly wrong.

**Innovation:** Businesses should invest more of their cash and resources in advanced products and technologies that will create jobs in the United States, and government should incentivize this investment in innovation. Today, GE is investing more than ever in research and development—about 6 percent of revenue—aimed at solving challenges in transportation, energy and health care. As one of America's largest exporters, GE remains committed to producing more products in the United States, which is our home and largest market. In the past two years, GE has created about 6,000 manufacturing jobs in the States, many resulting from investments in innovations such as advanced batteries, which we will make at our 100-year-old plant in Schenectady, N.Y.

GE sells more than 96 percent of its products to the private sector, where America's future must be built. But government can help business invest in our shared future. A sound and competitive tax system and a partnership between business and government on education and innovation in areas where America can lead, such as clean energy, are essential to sustainable growth.

It is possible to be a competitive global enterprise and still care about your home. In fact, it is not just possible but imperative. There is no easy solution to "fix" the American economy. Persistent and high unemployment—and the pessimism it breeds—should not be accepted. We must work together to construct an economy that creates more opportunity for more people.

#### HONORING OUR ARMED FORCES

LANCE CORPORAL MICHAEL GEARY

Mrs. SHAHEEN. Mr. President, it is with deep sadness that I rise to honor the life of LCpl Michael Geary, who died on December 8, 2010, from wounds received in Helmand Province, Afghanistan, while supporting Operation Enduring Freedom. He was just 20 years old at the time of his death, and 5 months into his first tour of duty as a Marine. Michael was a member of the 2nd Battalion, 9th Marine Regiment, 2nd Marine Division, II Marine Expeditionary Force, based at Camp Lejeune, NC.

A native of Derry, NH, Michael graduated from Pinkerton Academy in June 2009. As early as age 14, he wanted to join the Marines. Michael left for boot camp in North Carolina just 1 month after graduating high school to fulfill his life-long dream.

Admirably, Michael wished to represent our country to the best of his

ability—so much so that, prior to his deployment, he studied Afghan culture in order to increase his cultural awareness and to communicate more effectively with the people of Afghanistan, especially Afghan youth.

Michael is described by his loved ones as loyal, good-natured, and driven. From attaining his black belt in karate to competing on the gridiron for the Pinkerton Astros, his drive was truly inspiring. His family attributes the personal growth of his younger cousin, Luke, to Michael's relentless drive and his dedication to the ones he loved. Michael is Luke's hero. This young patriot is also a hero to the State of New Hampshire and our entire country.

LCpl Michael Geary made the ultimate sacrifice in the defense of the country he loved and for that he has earned our enduring gratitude. I hope his family can find comfort in knowing that all Americans share a deep appreciation for his heroic service.

Michael is survived by his parents, Timothy and Nancy Geary of Derry, NH. He also leaves behind a caring extended family and many dear friends. This young hero will be missed by all.

I ask my colleagues and all Americans to please join me in honoring the life, service and sacrifice of LCpl Michael Geary.

#### STATE OF THE UNION ADDRESS

Mr. WYDEN. Mr. President, tonight's State of the Union Address is a unique opportunity for the President to speak directly to the American people and offer his course for the country. The President is promoting trade as part of his agenda and I commend him for highlighting global competitiveness as an economic imperative. With the upcoming debate on the U.S.-Korea Free Trade Agreement, the President has an opportunity to speak candidly with the American people about the benefits and challenges posed by trade. Doing this supports the case that the United States needs new policies to rise to the challenges of a global economy.

In order to avoid a divisive, ugly fight over trade, I would like to hear the President say in his speech that he will seek to establish a new compact between workers, business, and government about how to increase our competitiveness in the global economy. It is important to try to reach this consensus before Congress is asked to consider the controversial free-trade agreements, FTAs, reached with Korea, Colombia, and Panama.

The President has already begun down this path by ramping up efforts to combat unfair trade practices and establishing the National Export Initiative with the goal of doubling exports over the next 5 years. These are both important strategies. In approaching the pending FTAs, it is vital that he talk about more than just exports; he must also highlight the value of imports, two-way trade, and the



global supply chain. This can only be done through candid conversation, one the Nation richly deserves. If our debate draws only from the same talking points that both sides have been using for the last 20 years, the truth will be sidelined as proponents oversell the potential merits of the agreements and opponents oversell the potential pitfalls. That would be a disservice to the country and represent a profound missed opportunity for the President.

Take the pending trade agreement with Korea as an example. This is the most economically meaningful FTA since NAFTA. To help understand the impact of this agreement, I sought the help of the staff of the independent International Trade Commission, ITC. In 2007, the ITC found that the agreement would have a limited impact on job creation, partly because of the assumptions the ITC made in its analysis. Because the economic landscape in 2010 is profoundly different than it was in 2007, I asked staff of the ITC to provide an updated assessment of the agreement using conditions that reflect today's economic reality. Based on these updated results, the FTA has the potential to create about 280,000 new American jobs and boost U.S. economic output by \$27 billion each year.

At the same time, these projections show that thousands of Americans currently employed in manufacturing could lose their jobs. Neither the President nor Congress can ignore these families, and it is our job to enlarge what I call the Winners' Circle to ensure that trade is a benefit to Americans in all our communities.

When American firms and their workers are as competitive as they can be, they can better tap foreign markets opened by trade agreements to spur the domestic economy and produce more good-paying jobs here at home. The Department of Labor's chief economist recently testified that jobs related to international trade typically pay more and offer better benefits. However, when I talk with leading CEOs and labor economists, I hear the same concern: If we want our economy to grow at its full potential, we need more workers with the tools to compete.

In tonight's speech I would like to hear the President talk about proposals that will guarantee workers' career-long, affordable access to continuing education and skills upgrading so that businesses always have the most productive and trained workforce they need. We expand the Winners' Circle by making it easier for workers to move from one job or career to the next and by making America the most attractive place to work and live for anyone who has the skills, the brains, and the ambition to succeed. Making it easier for companies with obsolete technology to retool to meet 21st-century global competition further expands the Winner's Circle. This means a tax system that rewards the global growth of American firms while fostering investment in production and employment here at home.

In the coming months, President Obama has an opportunity to forge a new, bipartisan consensus about trade and increasing foreign competitiveness. If he succeeds at this, not only does he succeed in passing these trade agreements but, far more importantly, he equips Americas workers and businesses to drive the economy forward.

#### NORTHERN CYPRUS

Mr. CARDIN. Mr. President, I rise today to return to the issue of the legacy of the invasion and ongoing occupation of Northern Cyprus and related human rights violations in the region. The disruption of a Christmas liturgy at the Orthodox Church of Agios Synesios, in Rizokarpaso, by the security services is appalling and should be roundly condemned by people of good will. The town, located in the Karpas region, is an anchor for the remnant of the once thriving Greek Cypriot community, now numbering several hundred mainly aged souls. The faithful had gathered at the church one of only a handful of Orthodox places of worship in the occupied area to have survived intact for a rare service. According to reports, members of the security services entered the church while the liturgy was being celebrated, ordered a halt to the religious service, and forced the worshipers and the priest out of the building before locking the doors.

This sad turn of events has become all too familiar in a region under the effective control of the Turkish military. Of the 500 Orthodox Christian churches, monasteries, chapels and other sacred sites in the north, nearly all have sustained heavy damage, with most desecrated and plundered, including cemeteries. A mere handful, including the Church of Agios Synesios, may occasionally be used for religious services depending upon the whims of the local authorities and the military. The disruption of the Christmas Day liturgy is an affront to the dignity of those attending the service and is part of a disturbing pattern of violation of OSCE commitments on the fundamental freedom of religion, including the right of religious communities to maintain freely accessible places of worship.

A related concern has been the tendency of State Department reports to downplay the difficulties faced by Orthodox Christians seeking to conduct services in northern Cyprus as well as the extent of the region's rich religious cultural heritage. I raised my concerns over the denial of religious freedom in occupied Cyprus when the Committee on Foreign Relations held a nomination hearing for the position of Ambassador-At-Large for International Religious Freedom and will continue to closely monitor the situation in that part of Cyprus.

Under my chairmanship of the Commission on Security and Cooperation in Europe we undertook an examination of the destruction of religious cultural

heritage in that part of Cyprus. Our findings, along with expert testimony were presented at a Commission briefing, "Cyprus' Religious Cultural Heritage in Peril" held on July 21, 2009. I encourage my colleagues and other interested parties to review the materials from that event, available on the Commission's Web site, [www.csce.gov](http://www.csce.gov). A Law Library of Congress report: "Cyprus: Destruction of Cultural Property in the Northern Part of Cyprus and Violations of International Law" was also released at the briefing. In addition to documenting the extensive destruction of such sites, the briefing also touched on infringements of the rights of Orthodox Christians in Northern Cyprus to freely practice their religion.

Those responsible for the interruption and abrupt forcible ending of the Christmas service at the Church of Agios Synesios should issue a formal apology for the boorish act of repression and I call upon all authorities in northern Cyprus to remove restrictions on the free exercise of freedom of religion and other basic human rights in this part of the country under their control.

#### ADDITIONAL STATEMENTS

##### REMEMBERING BRAD BROOKS

● Mr. BEGICH. Mr. President, I wish to remember the life of a remarkable man, Mr. Carl Bradford Brooks of Fairbanks, AK, who passed away on November 27, 2010. He was 58.

Born on October 25, 1952, Brad was a lifelong Fairbanksan who graduated from Lathrop High School in 1970. Brad was always proud he was a member of the International Brotherhood of Electrical Workers Local 1547 and the Public Employees Local 71 unions. During his career, he worked on building the Trans-Alaska pipeline and maintained communication infrastructure across the entire State of Alaska.

Brad fully embraced the spirit of volunteerism as he tirelessly helped make Fairbanks a better place. As a founding member of the Interior Democrats, Brad helped shape the political atmosphere of Interior Alaska for the Alaska Democratic Party for over 30 years. At the time of his passing, Brad was serving on the Executive Committee as communications secretary.

Coming from a family of Eagle Scouts, Brad earned the rank and continued a life of service to the Boy Scouts of America. Thousands of volunteer hours, community service projects and laughs were shared with the boys of Troop 10, the Midnight Sun Council and Lost Lake Scout Camp. Several awards were presented to Brad for his Scouting service including awards from the AFL-CIO and the local Silver Beaver Award. Many young men were mentored, enriched, and encouraged to participate in a life of service to community by Brad's example.

Every year, Brad would lend many hours of his communications expertise assisting the Yukon Quest International Sled Dog Race between Whitehorse, British Columbia, Canada, and Fairbanks, AK. Brad assisted with the set up and coordination of trail communication necessary to allow mushers in remote areas to communicate with race officials and emergency responders.

Lastly, but most importantly, Brad was devoted to his wife of 32 years, Drena McIntyre, and his son Tyler, daughter Graehl, and granddaughter Sylvia-Lei.

A final farewell to Brad included a rock n roll wake at Big Daddy's Bar-B-Q in Fairbanks. Many came dressed in Brad's favorite attire: either Carhartts overalls, a Hawaiian Aloha shirt or and a tie-dye Tee shirt. His many friends and loved ones maintained the ideals of fun and companionship which Brad Brooks exemplified throughout his whole life.

Condolences go out to his family and to all others who were close to him.●

#### REMEMBERING REBECCA WOOD WATKIN

● Mrs. BOXER. Mr. President, I take this opportunity to honor the life of Rebecca "Becky" Wood Watkin, a dedicated progressive advocate for the environment and affordable housing. Ms. Watkin passed away peacefully on December 19, 2010. She was 97 years old.

Born in 1913 in Portland, OR, to Erskine Wood and Rebecca Biddle Wood, Becky earned a bachelor of arts from Bryn Mawr College in 1933. Four years later, she earned a bachelor of architecture from the University of Pennsylvania's School of Architecture. At the time, Penn did not admit women to its Architecture School, so Becky and two other women blazed a trail—they took all the courses required for an architecture degree, and then insisted that the school confer a bachelor of architecture degree. They became the first women to receive that degree from the University of Pennsylvania's Architecture School.

After receiving her degree in architecture, Becky moved to Sausalito, CA, where she found work as a draftsman. In 1944, after the required 4 years of drafting work, she received her California architectural license. At the time, there were very few women licensed to practice architecture in California; however, blazing another trail, Becky opened her own architecture practice in 1951.

Becky dedicated herself to helping those less fortunate than she was. In 1968, she helped found the Marin Ecumenical Association for Housing, which has provided hundreds of low-income housing units in Marin County. EAH, as it is now known, has successfully developed, managed and promoted quality affordable housing for 42 years. In addition to her work with EAH, Becky also served on the Marin County Plan-

ning Commission in the 1970s, where she was a leading advocate for environmentally sensitive development and affordable housing.

Becky also believed strongly in civic participation, and was very active with the Marin County Democratic Party. She cochaired Adlai Stevenson's local campaign in 1952 and 1956, and in 1960, she was John Kennedy's precinct chairwoman in Marin. In 1968, Becky cochaired Marin County's Eugene McCarthy for President Committee, and in 1972 she headed George McGovern's local Presidential campaign. Breaking a losing streak, Becky ran Jimmy Carter's primary campaign in 1976, also serving as a delegate to the National Convention.

In fact, Becky was one of the first people to give me a start in local politics: when I went to volunteer at the local Marin County Democratic campaign office in 1968, Becky put me to work typing address labels!

Becky left a deep impression on all who knew her. Whether in Portland, Marin, or San Diego, where she moved in 2003, her life was full of activity. She loved the outdoors, and was an avid hiker and skier. Always a lover of music, she sang with the Marin Chorus until she was in her eighties, and regularly attended and supported the symphony and opera both in San Francisco and in San Diego.

Throughout her life, Becky's commitment to her community was evident in the work she did every day. She was a true trailblazer and progressive advocate, working tirelessly to better her community. Her lifetime of contributions will not soon be forgotten.

Becky is survived by her daughter Lisa; sons Joseph and Peter, and their spouses Ye Wa and Trylla; grandchildren Joseph Scott, Christopher, Milena, Katrina, and Lisl; and five great-grandchildren. I extend my deepest sympathies to her family and I feel blessed that Becky was a mentor, and most important, a dear friend.●

#### REMEMBERING WALTER L. KUBLEY, SR.

● Ms. MURKOWSKI. Mr. President, today I honor Walter L. Kubley, Sr. On December 14, 2010, Alaska lost this shining star who truly possessed the legendary "Pioneer Alaskan Spirit." Walter, who we called Wally, served Alaska in a long diverse career that ranged from work at the Ketchikan Volunteer Fire Department to the Alaskan Secretary for the U.S. Department of Agriculture. When Wally was Commissioner of Commerce under Governor Keith Miller, he worked alongside his good friend and Commissioner of Revenue, George Morrison, and took revenues generated from the first oil lease sale in Prudhoe Bay and invested it to create the seeds of what is now known as the "Permanent Fund." This fund evolved and allowed the citizens of our State to share in the bounty of our natural resources. Wally also made

an indelible mark on the infrastructure and transportation system of Alaska. His tireless efforts as one of the authors of the legislation that created the Alaska Marine Highway System have continued to act as the integral yarn of the socioeconomic fabric of southeast Alaska. I myself have spent many hours on the beautiful "roads" that can be attributed to this caring man. As the "Father of the Alaskan Highway System," Wally often talked of bringing his family along on the maiden voyage of the M/V Malaspina mainline ferry from Seattle to Ketchikan that launched in 1963. Wally also served in the State legislature with Senator Ted Stevens, who he remained close with until his death and served as an honorary pallbearer along with Representative DON YOUNG. Whether his title was as an Alaskan House Representative, Commissioner of Commerce, or Commissioner of Transportation, his lone goal was to help his region, his State, and its people.

Wally was born and raised in Ketchikan in 1921 as the third generation of his family in Alaska. After graduating from high school, he studied at Whitman College but withdrew from school and joined the U.S. Coast Guard after the tragic events at Pearl Harbor. With his extraordinary childhood knowledge of the Alaskan coast, he served as captain of a submarine chaser out of Prince Rupert on the lookout for enemy submarines in the waters of southeast Alaska. After the war, he married his beautiful fiancée and the love of his life, Fern, who served as Mrs. Alaska in 1962. They spent 60 wonderful years of marriage together. At a young age, Wally left a cultural mark in the community as he built the world famous Sourdough Bar, the first bowling alley in Ketchikan, the Billiken Bowl, and the Sportsman Bar and Café in Ward Cove. Wally's grandson, Wally Jr., is now the owner of the Sourdough Bar and has continued the traditional weekly coffee forum held every Thursday morning up until his passing.

As the patriarch of a sixth generation Ketchikan family, Wally will be missed deeply by his loving family and all those who have known his caring nature. Wally's grandfather came to Alaska during the Gold Rush and after a few years prospecting in Hyder moved to Ketchikan in 1904 and set his family's roots. Wally owned a cabin built with hand hewn yellow cedar at Mirror Lake in the Misty Fjords. This later became the Mirror Lake Sportsman's Club where Wally enjoyed relaxing times fishing with his children, grandchildren, and friends. He was never without a smile and his own brand of creative thoughtfulness always shined bright. He is survived by his sons Don and Larry, daughter Kaaren, and his grandchildren.

Wally and I shared a common birthplace in Ketchikan and a love for our homeland of Alaska. Without the work that he has done, the state of Alaska would be a different place. I can easily

say that Wally helped create the Alaska that future generations will happily inherit. He was the driving force for many Alaskan traditions and we owe him immense gratitude. May he rest in peace.●

#### VERMONT ESSAYS

● Mr. SANDERS. Mr. President, today I wish to share the powerful words of 12 Vermont students. As I toured the schools of Vermont, I encouraged students to write me focusing on issues of concern to young people and to recommend short- and long-term priorities for the President. I received more than 225 State of the Union essays about the declining middle class, climate change, and health care reform. These students truly answered, what is the state of our Union?

It is important to remember that part of our jobs is to represent the young people of our States and not just their parents. We all know that what happens in Washington, DC, impacts every American and all of us, including young people, should be thinking about these issues. Although Vermont is doing a better job than most States, there is certainly a legitimate concern that young people are not learning enough about civics. I think these essays demonstrate that students do understand the role they can play in American democracy.

As President Barack Obama presents his State of the Union Address to a joint session of Congress tonight, I think it is appropriate that the top dozen essays are printed in the RECORD, so that the entire country can see the excellent work that Vermont students are doing. I also want to thank the teachers—Jennie Gartner from Rutland High School; Elizabeth Lebrun, of Poultney High School; Joe Maley of South Burlington High School; and Terri Vest of Twinfield Union High School in Plainfield—who helped me select these essays.

Keenan Villani-Holland from Vermont Commons School was the teachers' top choice. In addition to Keenan, the other finalists, in alphabetical order, are: Iain Axworthy, Essex High School; Emily Berk, South Royalton School; Molly Burke, Champlain Valley Union High School; Jonah Cantor, Champlain Valley Union High School; Molly Cantore, St. Johnsbury Academy; Kristen Donaldson, Champlain Valley Union High School; Susannah Johnson, Vermont Commons School; Ingrid Klinkenberg, Edmunds Middle School; Ezra Mount-Finette, Champlain Valley Union High School; Lisa Ogorzalek of Rutland High School; and Bryn Philibert, Champlain Valley Union High School.

I am pleased the students of Vermont are thinking about these complex issues, which are of critical importance to not only our State but indeed the Nation. The decisions that we make on the Senate floor today will impact generations of Americans to come. That is

why I would like to share with you what these students' wrote. I ask that they be printed in the RECORD.

The material follows.

KEENAN VILLANI-HOLLAND, VERMONT COMMONS SCHOOL

The world is changing, and the United States has the opportunity to lead that change. Oil is running out, global warming is reaching or has already passed a significant tipping point and tensions with North Korea and Iran are escalating. On the home front, the middle class is rapidly disappearing due to an economic crisis that has been festering for years, we are losing out in education to China and our people have completely lost touch with the government and vice versa.

In older times, nations would go through major catastrophes often: devastating wars, plagues, bloody revolutions, etc. often enough to keep them new. In this day and age, these enormous crises are largely averted in the western world. Make no mistake, this is a great thing. However, it means we need to take it upon ourselves to renew our Nation, rather than waiting for a catastrophe that won't come.

We need to change quickly on three main fronts: The environment, the economy, and education. It is time to realize that fighting to save the environment is not in the least altruistic. The planet doesn't care about global warming or melting ice caps. We, on the other hand, should. Our current economic model is failing all but the richest of our Nation, as it slowly squeezes the middle class dry to supply the rich. Finally, our educational system clearly isn't working when China is easily surpassing us in education and our students feel more overworked and overstressed every day.

Let me first talk about the environmental front. Once we realize that it is no longer a fight to save polar bears, and that it is a fight to save ourselves, it will be easy. However, that realization will not come quickly. We need a huge-scale public awareness campaign to bring that point home to American people. After that, we need to start with large scale energy reform, focusing on renewables and following a European model.

On the economy, we need to throw away our preconceptions about the free market and start over. Heavy regulation to ensure the economic safety of the American people, and measures to start moving wealth back down the ladder to the middle and lower classes are essential.

Finally, our educational system needs deep reforms to focus on actually teaching children, rather than preparing them to do well on tests. Children want to learn. That's what they are supposed to be doing at that point in their life. It's just a matter of taking the time for each individual and giving them the attention they need and actually being invested in them learning new material.

All of these ideas are fluid and adaptable, as any part of government should be. We should never be afraid to change the course we are taking in favor one that may be more beneficial. The past decade was one about "Staying the course." This next one will be known as the one when we "Changed the course."

IAIN AXWORTHY, ESSEX HIGH SCHOOL

Our Nation faces many challenges entering into the new year. A recession has about 9.4 percent of our population out of work, we have a government deficit that must be paid off, and a tarnished image of America abroad must be mended. Though these challenges are great they present us with what I see as an opportunity unparalleled in recent history. Our role as a world leader has come into question as of late and good times pro-

vide little opportunity to change that view. When times are hard real leaders take it upon themselves to set the tone of the moment and show others how to react. It is time for America to lead once again.

Our troubles at home and our troubles abroad are tremendous. Our economy is in a weak phase of recovery, our federal deficit is larger than it ever has been, and our armed forces are engaged in a costly war. Relations have become strained between the United States and much of the world. The policies we enact in the coming months and years, both domestically and overseas, must be exemplary. The US has been the center of world commerce and culture for so long that we almost seem to fear up-and-comers. Instead viewing the coming shift of power as a loss we must view it as a win. In the wake of World War II the US helped set up a system of commerce that allowed many countries to develop into world powers. The fact that countries other than ours are realizing their potential should be seen as a great victory.

As we watch new world powers emerge we must see too that they will look to us as a role model. It is our duty and our privilege to set the right example in all areas, both in and out of the government. The private sector must become more responsible for its actions and create shared value within its partner communities. Our consumers must spend and save responsibly. Finally the people who represent us in Congress and our state legislatures must depolarize and find the mutual respect that has lately been non-existent. Once the correct tone is set and our leaders act as they would have us act, then we can look forward to a better tomorrow.

While our Nation sets an example on the world stage, Vermont has the ability to set an example on a national level. Vermont has powered through this recession with some of the lowest unemployment figures in the Union, and though we face our own issues we must acknowledge that we are much better off than many other states. As such, we ought to make concessions in Congress to aid those states hit hardest by the recession. Though Vermonters may be few in number we can show the rest of the country how citizens ought to act and put the good of the nation before our own comfort.

EMILY BERK, SOUTH ROYALTON SCHOOL

Growing up in rural, Middle Class America in the 21st century hasn't impacted my life or my immediate family's life. The current state of the union is coming out of a recession. Personally, I've been very lucky. Both of my parents are educated professionals that have stable jobs, which they were able to keep through this economic downfall. But it didn't mean that we weren't using more of our disposable income to afford our basic needs, such as food, health insurance, medications, fuel, oil, and utilities. Meaning, we weren't able to go on as many vacations, but we weren't losing our house. But I have family and friends that have been affected by the economic downfall. With the relations that I have with the people whom I know that are being affected by this, I believe that the Presidents ultimate goal should be to stabilize the economy, and support our own.

I believe that in order for the economy to become stabilized, a short-term goal should be that more jobs become available. Jobs will stimulate the economy and let people who are on unemployment to go back to work and earn more money. And to allow people under employed to have better employment for their education and ability. I believe by creating more jobs, people will make more money, and more money will then be spent, going to the government to start getting us more and more out the recession. "Creating jobs in the United States

and ensuring a return to sustainable economic growth is the top priority for my Administration," Barack Obama said in an Executive Order last March on his National Export Initiative. With little short-term goals such as more jobs, it will help start to stabilize the economy.

Another priority that I believe that should be on the Presidents list is to support military families. Families with family members in the military struggle with every day life. I personally have a family being affected by it. My cousin's father is in the war. He is on his second tour. He has missed his children grow up, with a daughter who is now 15 and a 10 year old son. His wife is forced to be a single parent. With support through programs, financial support and counseling we could help the families being affected by war. With programs set up for single parents with their partner in the war nobody can understand better than another parent with their partner in war. They would be able to share stories and understand how one and another copes with them gone. And more appreciation for those serving our country. They're fighting for their lives, causing their families live's to become difficult and change the way they live. Another priority should be Student Loan Reforms.

Student Loan Reforms are important because the change will eliminate private banks, the "middlemen" in the loan process and will save the US government about \$68 billion dollars over a span of 11 years, according to the White House. Because fewer fees will be paid to the local banks and more money will be available to lend to students because the money's coming directly from the government. The banks also charge the government money for each loan because they're not going to give students money for free. But for students, the loans will look relatively the same—same terms, same fees, same interest rates. The loans will most likely become more accessible to students as well.

These are some of the short-term goals I believe should be considered to help our country. I believe that this economic downfall, can, with work, be fixed. If we really want something we can achieve it. If people in our Nation come together and act as one, we can do it. I hope that I was able to give you good ideas about what goals I believe can help our nation. Even though I am just a 15 year-old girl living in a small town in Vermont, I still have a voice, and it will be up to my generation to keep us out of another depression.

MOLLY BURKE, CHAMPLAIN VALLEY UNION HIGH SCHOOL

Fellow Americans,

I'm writing to inform you of the current state of the Nation and concerns I feel must be addressed in 2011.

The overuse of fossil fuels and its impact on the environment is an issue I feel should be more extensively addressed. The United States of America needs to end its dependency on foreign oil and begin looking into alternative energy sources. Wind energy, and solar energy are infinite commodities that will steer the nation towards self-dependency, sustainability and a cleaner environmental future. The development of alternative energy sources may also create jobs.

Since June 2009, the United States has been slowly recovering from a severe economic recession. With an unemployment rate greater than 9 percent, it is clear we must focus our energies on job creation. Generating jobs in the environmental field and re-building our nations infrastructure including roads, bridges, and rail networks, which are deteriorating, will provide job opportunities. In order to meet many of these

objectives, we need to take a look at our current educational system to ensure we are providing the necessary tools and training for the youth of this country and accurately preparing them for the work force. In particular, we must stress the importance of math and science to remain at the forefront of innovation and technology.

The United States has a \$1.4 trillion deficit. This issue relates directly to the amount of overspending in this country. The proposal by the Republican Party, requesting to keep the Bush-era tax rates to aid job creation instead of placing higher taxes on affluent citizens is not enough to reduce the national debt. Raising taxes on the wealthiest people in this country will help decrease the national deficit without severely impacting their financial situation. We need to make hard choices, we need to cut spending and raise taxes in order to reduce the deficit.

Healthcare is a benefit that should be given to each citizen of the United States. In the Declaration of independence, each person was guaranteed the unalienable right to "Life." This right should be protected by universal healthcare, which provides citizens with the medical care and treatment necessary for their survival and well-being. Universal healthcare is a basic right for each citizen.

The United States needs to continue to be a world leader, however it no longer has the resources to be the "worlds policeman." The United States needs to work more effectively with other emerging superpowers like China, and Russia to solve large global issues. Collectively, we have a responsibility and duty to solve global problems.

To accomplish these goals and create a more effective government, there needs to be a more civil discourse between the Democratic and Republican Parties. As the leader of this Nation, I strive to make positive progress towards these goals however this can only be achieved if both parties are willing to make compromises. Working together as one Nation will strengthen the union. Thank you. God bless you. And God bless the United States of America.

JONAH CANTOR, CHAMPLAIN VALLEY UNION HIGH SCHOOL

My fellow Americans, today I will address issues you and I are facing as a country. Our country's trade deficit with foreign nations, the flaws of our education system, and the need for healthcare reform are the problems I will present and propose solutions for. It is important to attend to these issues so we can resolve them efficiently as a country.

There is an obvious issue with how disproportionate the amount our country imports compared to exports. It is time for America to not only supply itself with a sufficient amount of goods, but also foreign countries. Of course, this increase in exports will require more factories to operate. This increase in factories will affect the economy in positive ways, mainly through the creation of jobs. More jobs leads to less poverty and more money flowing throughout the country. A healthy economy needs money and power distributed among its citizens.

A successful democracy is dependent on a well-informed and educated public. The education system in this country has been slipping in recent years, letting down the citizens. It is time to give children and young adults opportunities to experience an excellent education program. More money will be invested in the educational system, the overall quality of the education being received will be higher, the equipment in the classrooms will be up-to-date, and lastly, public colleges and universities will become affordable. An educated public leads to more politically-active citizens and a healthier soci-

ety. It is critical to give every citizen an education that will help lead him or her and our country to success.

There are millions of Americans who currently don't have healthcare and millions more who are under insured. In the long run, this fact costs everyone money. These people with inadequate or no healthcare do not tend to take care of themselves. Going to see a doctor costs money that they don't have, so many simply don't go at all. But when one of these people needs care because of an emergency, everyone pays for it.

These are all large problems, but they are not surmountable. We will need to be determined to put in hard work to accomplish these goals, but the rewards we will have earned will be great. I hope we can work as a country to achieve our goals to make a more perfect society.

MOLLY CANTORE, ST. JOHNSBURY ACADEMY

Each year, the president of the United States addresses issues the people want to be addressed. While the president tackles many topics in the State of the Union Address, an important question arises about one topic in particular within the economy. Should reducing unemployment become a short-term goal as the national deficit grows, to be dealt with on a long-term basis, or vice versa? Another important topic of discussion and worthy of being addressed is the encouragement of civic responsibility.

The economy encompasses two closely related topics of much debate: unemployment and the national deficit. Two points are more than clear, however. People remain unemployed and the Nation remains in trillions of dollars in debt. Which concern takes priority? While creating jobs is excellent for the economy, it is also hurtful. In order to fight unemployment, we are dragged further into debt, as the government provides stimulus money to create more jobs. As the national debt continues to grow, its triple-A credit rating is at risk of dropping, which could hinder the U.S.'s ability to borrow money to finance the deficit. However, if the government focuses on lessening the deficit, unemployment will increase. While climbing out of debt pleases the government, the shrinking job pool displeases the people. The president, elected by the people, does everything in his power to please the people, in order to get reelected. Creating more jobs may put the U.S. in jeopardy of losing its ability to borrow money in order to finance its deficit, and focusing on lessening the deficit cuts jobs which displeases the people, who play a very important role in the democratic government. Thus, this growing debate should be focused on in the State of the Union Address.

The people are pertinent to a democratic government. They have responsibilities as citizens to play that role, called civic responsibility. In order to participate in and take action in the government, a citizen must first be informed of the issues, problems, and challenges that face the country. A very important part of civic responsibility is voting. People have a right to vote, and voters, especially young and new voters, are responsible for being informed of the candidate's stances and goals. An informed voter will elect the candidate best fit for guiding the United States to recovery and prosperity. As the article, "Mr Obama's unpromising year" in *The Economist* states, young and first time voters, "who in 2008 were electrified by his person rather than his policies" should have instead been informed and should have voted for President Obama because of his stances and policies. Therefore, encouragement of civic responsibility, especially being an informed voter, is a very important issue that should be addressed in the State of the Union Address.

The State of the Union Address is an important opportunity in which the voices of the people can be heard. Two important topics that should be addressed include the encouragement of civic responsibility and the ever-growing debate of unemployment versus the deficit.

KRISTEN DONALDSON, CHAMPLAIN VALLEY  
UNION HIGH SCHOOL

My fellow Americans, our history as a country has had its ups and downs. We've seen hardships like most nations have never seen before, but also we've seen prosperity that most nations do not even deem possible. At this point, our Nation is struggling in one of these "lows". However, because of our proud "high" moments that we have in this country, I know that change is possible with just a few alterations.

Although the unemployment rate has dropped from 10.6 percent to 9.3 percent, that still means 21,830,360 Americans are unemployed. No way to pay their rent that is riding over their heads, not sure if they will make it through the winter, and still have a house to their name. That is the reality for almost 1 in 10 Americans. We need to create more jobs, so we can continue to see that percentage decrease. One way is to create new jobs by initiating a clean energy start. Hundreds of thousands of jobs lie on the creation and innovation of clean energy. Why not reduce our unemployment rate, while leading the world on a new, greener path.

Outsourcing is the second main reason for unemployment rates. As a country, we need to put our priorities first, and make sure that we hold onto our jobs. We need to step back up to the plate again, and continue our stronghold on the title of the world's powerhouse.

Now, along with instability in jobs, comes the concern of our overriding deficit. This deficit is like the elephant in the room. Everyone knows it's there, but no one is doing anything about it. Our country is in over 14 trillion dollars of debt. The only viable fix is to cut all of the programs that simply aren't working. We need to re-think our approaches, and decide what is needed and what isn't.

Finally, the issue of the rising education costs is plaguing our Nation, holding children back from their full potential. If students are able to go to school, ⅓ of them will be stuck under student loans once they graduate. Colleges and universities need to cut their costs, because if they do so, more students will have a chance at education. More of these educated citizens will be able to contribute to our nation.

Ladies and gentlemen, America's history has been a rollercoaster of ups and downs. We've seen it all, and we know how to recover from it. By addressing the most prevalent problems, America will have the opportunity to possibly not see a "down" for a long time.

SUSANNAH JOHNSON, VERMONT COMMONS  
SCHOOL

We Will Not be Perfect, But We Will Be Better

I try to use the word "perfect" seldom. In my short life I've worked with lots of different people in many different places. And I have come to the sad conclusion that the world will never be perfect.

The United States, like every country, has problems deeply concerning to young people. Yet our voices are rarely heard. Here's my voice.

In my opinion the priority issues are those that involve the overall wellbeing of people: the economy, renewable energy, and health care.

The Economy—Since the Recession began in 2008 the economy has splintered. Thou-

sands of people lost their jobs, lost their homes, and found themselves struggling to pay for things like housing, college tuitions, even food on their tables. In response to the recession, President Obama created the Recovery Act which helped create three million jobs. The law helped avoid another Great Depression. But it is important for the President to face all aspects of the economic crisis and create a new plan for a "new era of responsibility" (his words). The plan needs to include the creation of more jobs, a strategy to keep families in their homes, and finally a plan to get credit flowing again so that small businesses can reform and hire workers so families are able to pay for their children to go to college.

Energy—Renewable energy is another long-term goal President Obama needs to focus on. So far the President's programs have helped provide short-term relief to families who struggle to pay for gas at the pump, produce one million Plug-In Hybrid cars which get up to 150 miles per gallon, and has told America that by 2012 10 percent of our electricity must come from renewable sources. These actions have helped lead the country in the right direction. But more steps need to be taken. It is important for us to reduce our dependence on foreign oil. The U.S. should also become the world leader on climate change. The more people that are aware of the issue and its effects, the more ideas people will have about how to resolve it. Creating jobs that are "green" is also a strategy that would be beneficial.

Health Care—More and more Americans have lost their health insurance over time. They just can't afford good medical care. There should be no debate whether health care reform is necessary—it is. Period. Nearly 46 million Americans have no insurance, and this needs to change. It would be beneficial to create a health insurance program that would be an option for all Americans. It's very unfair that there are people who don't qualify for health insurance because of "pre-existing" health conditions. A program needs to be created in which nobody is discriminated against, regardless of their health history. To create a program that does these things will be expensive, so the President needs to be creative and figure out where this money will come from. He's smart, he can do it.

INGRID KLINKENBERG, EDMUNDS MIDDLE  
SCHOOL

The state of our Union can be addressed in many topics; the economy, education, achievements, failures, and so much more. There are many things that have happened in the past year, we have achieved some of our goals, but we have fallen short on some of our goals as well. A couple of years ago, our country was in a severe economic decline. Since then our economy has been improving and looking promising. This is a step in the right direction. In the other direction, this year our poverty rates went up by 10.3 percent. If we can do something to lower the poverty rate in coming years that would be beneficial. The state of our Union is stronger today than it was last year, and it can be stronger next year if we stay focused.

Poverty in the United States is a big problem. Right now about 13 percent, or nearly 40 million people, are living in poverty. Many of these people live without a roof over their head, food on the table, and many work multiple jobs just to survive.

It would be beneficial to make the opportunity of education more accessible, education provides opportunities. When more people have the opportunity of education, more people are able to have better paying jobs, which will allow them to better support themselves. Even though education would

offer a brighter future for many, there still will be poverty. It is an unsolved problem the whole world is faced with. It has existed in the past, it exists now, and it will exist in the future. What we can do to make a difference is to decrease poverty in the future. Better education and more opportunities will be one of the keys.

In the past few years the U.S. has been recovering from a devastating economic crisis, which impacted the whole country and most of the world. Financial institutions collapsed, the stock markets fell, people's retirement savings were reduced, and people became more conservative in their spending. Many jobs were lost, resulting in people not being able to pay for their homes and the real estate market weakened. The future for many Americans continues to be uncertain. This past year our economy has been getting more healthy and promising. The credit markets have begun to unfreeze allowing companies to borrow the money they need to expand. Company profits have improved, which has allowed the stock markets to go up, benefiting investors. 2010 has been a year that we have been able to make our economy stronger and healthier.

One sentence to describe this past year, and the change in the U.S., I would say, "We are headed in the right direction, we just need to make a little more change happen." Overall our economy has improved, and if we keep it at a steady rate like it is now, we will be in a better position in a couple of years. The poverty rates went up which told us that we need to work harder on that aspect of our Union. That is what better and more affordable education will do in the United States. There were defiantly positives and negatives about the state of our Union this past year, and I think it was a good step in the right direction for a better future in our Union.

EZRA MOUNT-FINETTE, CHAMPLAIN VALLEY  
UNION HIGH SCHOOL

Mr. Speaker, Vice president BIDEN, Members of the Congress, distinguished guests and fellow American citizens.

I am here before you today, to full fill one of my constitutional responsibilities to address congress on the current state of union. My speech tonight is not meant just for the hundred and twelfth congress but also to the citizens concerned in the condition of our country.

Half way through my presidential term, we have brought our country out of a recession, passed a health care bill that delivered healthcare to every single United State citizen, constructed loan programs helping students pay for college, and cut taxes for everyone. Yet we still have a growing 14.5 trillion dollar debt, 9.4 percent of the country is out of work and we have troops stationed in Afghanistan and Iraq.

We have work to do, and I am first going to address the subject of partisanship, not just in Congress but also throughout the country. We might be Muslim, Jewish, Christian, old, young, African-American, Mexican-American, Caucasian, democrat or republican but there is one thing we all are; citizen of this great country. I want to remind Congress that their duty is to represent the United States and what is in the country's best interest. That there are no two sides of an issue just two opinions; both with there own reasoning behind them. Your job as Congressmen is to create the optimal legislation that benefits the citizens of the country, not legislation that is beneficial to a party, a company or yourself, but to the three hundred million other people that live in the United States of America. Take those two ideas and work together to compromise. Look past the D or R that is by your name

and look at your passport, your license and tax forms and stare at the word "America" that is printed on them.

Our economy is not on the brink of collapse, but on the brink of success. As I stood here last year, banks were in trouble and weren't loaning out money. The stock market was plummeting and everyone was panicking. People were wondering how they would make it through the next year. Now the banks are stabilized and have started to give out more loans, the stock market is gaining point and people are feeling more comfortable about their future. With Unemployment still at one of its highest levels in the past century I am looking forward to working with my fellow colleagues in drafting legislation that will bring jobs back into the economy. We have almost recovered from this current recession. By the time my term in office is complete I promise that I will bring our economy out of the trouble that the previous administration created for us.

I look forward to working and helping with the new Senate and House of representatives in restoring this country to the greatness it deserves.

Thank you, God bless you and God Bless the United States of America. Thank you

ILISA OGORZALEK OF RUTLAND HIGH SCHOOL

Dear Mr. Speaker, Vice President BIDEN, Members of Congress, distinguished guests, and fellow Americans:

During the terms you all have been in office, the United States has gone through many changes. Many changes have been for the benefit of our economy, and many have created problems for the people of America. This country has many issues that need to be resolved if we want to make this generation stronger than the ones that came before it. By having a specific short-term goal, such as having healthcare for all, and having a specific long-term goal, such as reducing the national deficit, I think the young people of this Nation will have the opportunity to thrive.

First, a short-term goal that I think would benefit America right now is having healthcare for all. Many young people's families in this country cannot afford healthcare, and their wellness is suffering with each day. To make healthcare available to all, I propose to have health insurance companies share a percent of their revenue with the government, based on their yearly income. With this money, the government can then fund designated hospitals to provide healthcare for the people who cannot afford it. This way, the people who receive healthcare through their jobs can keep it, and young people who are not fortunate enough to have it can get it through government aid.

Next, a longer-term goal that would benefit America's youth would be to decrease the national deficit. Our Nation's budget is complex and divided into many parts, and as a result of these components, we are in trillions of dollars of debt. Although it would be difficult to significantly reduce our debt in the next few years, there are some arrangements that would help lower the amount of debt we hold. For example, we could raise taxes for people who make at least half a million dollars a year. This would create an increase in tax revenue. If we could keep this increase steady and not spend more than the rate of inflation, or Nation's debt would be significantly reduced. As a result, we would be less dependent on foreign countries, such as China. Since they hold 11 percent of our debt, we could reduce this number to let our nation's money go to other important matters, such as poverty and research for illness.

Overall, this Nation has gone through many changes in the past few decades. If we

could make healthcare available to all, especially young people, and reduce the national deficit, then this generation has the opportunity to really succeed. These changes would also help improve our economy, global position, and overall wellness of the young people in America.

BRYN PHILIBERT, CHAMPLAIN VALLEY UNION  
HIGH SCHOOL

My fellow Americans,

This year our country has risen out of a recession that threatened the economic stability of many Americans. We have taken great strides to restore the hope and promise America has always stood for. In 2010 we have made great progress but there is still much more to do moving forward, which is why I am taking this opportunity to address you. I am addressing you all to call to all esteemed members of Congress to step up and help me once again put the United States back on top as a world leader in democracy and peace.

Two years after the recession devastated our people, we finished this past year with an unemployment rate of 9.4 percent, down 0.6 percent from the end of 2009. Consumer and business confidence is on the rise and we have finally come to a bipartisan agreement on new tax-cut legislation. We reached a compromise to improve economic growth, help the struggling middle-class families, and business development. We have also made historic steps towards the promise of equality for all with the repealing of the ban on open homosexual service men and women in our armed forces.

This year's Health Care Reform Bill set forth legislation to expand coverage to 32 million currently uninsured Americans. Along with this, the bill allowed health care to become less expensive for people to purchase and starting in 2014 insurance companies will no longer be able to deny coverage to anyone based on pre-existing conditions. This marks the turning point that great Americans, like the late Senator Ted Kennedy, worked their entire lives for.

By August of this past year we had shrunk the number of troops in Iraq to 50,000 down from 110,000 a year ago, and we have ended all U.S. combat missions in Iraq. By the end of 2011 all American troops will be withdrawn from Iraq. There is still much to be done around the world to ensure a terror free future, but we have made substantial steps towards that goal in the past year.

America has faced challenges this year such as the oil spill in the Gulf. We have learned from this environmental crisis and we are moving forward with new knowledge on how to respond to the economic impacts of a crisis like this.

It is a known fact of American politics that U.S. politicians rarely agree in issues across the board, but I know that all of us in this room can agree that we come here every day to ensure that all American people get the opportunity to live in our great country. Now more than ever bipartisanship is going to be imperative to the achievements of the upcoming year. I urge you all, Democrats and Republicans, to work together to live up to the promise of America as our forefathers have.

Thank you.●

TRIBUTE TO ANGELA  
GOSPODAREK AND STACEY  
PLUMMER

● Mrs. SHAHEEN. Mr. President, today I wish to congratulate two outstanding teachers from New Hampshire.

Stacey Plummer and Angela Gospodarek have been chosen for the

Presidential Awards for Excellence in Mathematics and Science Teaching, awards that honor teachers who have made outstanding contributions to the classroom and to the teaching profession. I applaud them for their remarkable accomplishments and dedication to New Hampshire's students.

Recent international test scores show that U.S. students lag behind students of other countries in math and science achievement. Our country's competitiveness in this global economy requires us to nurture skilled engineers and scientists. Teachers like Angela and Stacey are critical to this effort because they are able to engage students and help them to develop a love for these key subjects. That is why I am delighted to see them honored for their work.

Stacey has taught a variety of high school math classes for 16 years and currently teaches at Hollis Brookline High School in Hollis, NH. Her passion for mathematics combined with her talent for teaching allows her to convey to her students the beauty and precision of the subject. In addition to advising the State championship math team, she finds time to mentor student teachers.

To make science meaningful, Angela likes to provide hands-on classroom experiences that allow her students to discover the wonders of science. She began her career as a marine scientist but soon realized she had a talent for and enjoyed teaching others. The students at Iber Holmes Gove Middle School in Raymond, NH, are fortunate to have had her as a teacher for the last 7 years.

The Presidential Awards for Excellence in Mathematics and Science Teaching are the most prestigious honors given to math and science teachers in the country. As a former teacher, it is a privilege for me to be able to congratulate Angela Gospodarek and Stacey Plummer for their commitment to excellence in teaching. I am extremely proud of the part they play in educating and training future generations of Americans.●

#### REPORT ON THE STATE OF THE UNION DELIVERED TO A JOINT SESSION OF CONGRESS ON JANUARY 25, 2011—PM 2

The PRESIDING OFFICER laid before the Senate the following message from the President of the United States, together with an accompanying report; which was which was ordered to lie on the table:

*To the Congress of the United States:*

Mr. Speaker, Mr. Vice President, Members of Congress, distinguished guests, and fellow Americans:

Tonight I want to begin by congratulating the men and women of the 112th Congress, as well as your new Speaker, JOHN BOEHNER. And as we mark this occasion, we are also mindful of the empty chair in this Chamber, and pray



for the health of our colleague—and our friend—GABBY GIFFORDS.

It's no secret that those of us here tonight have had our differences over the last 2 years. The debates have been contentious; we have fought fiercely for our beliefs. And that's a good thing. That's what a robust democracy demands. That's what helps set us apart as a Nation.

But there's a reason the tragedy in Tucson gave us pause. Amid all the noise and passions and rancor of our public debate, Tucson reminded us that no matter who we are or where we come from, each of us is a part of something greater—something more consequential than party or political preference.

We are part of the American family. We believe that in a country where every race and faith and point of view can be found, we are still bound together as one people; that we share common hopes and a common creed; that the dreams of a little girl in Tucson are not so different than those of our own children, and that they all deserve the chance to be fulfilled.

That, too, is what sets us apart as a Nation.

Now, by itself, this simple recognition won't usher in a new era of co-operation. What comes of this moment is up to us. What comes of this moment will be determined not by whether we can sit together tonight, but whether we can work together tomorrow.

I believe we can. I believe we must. That's what the people who sent us here expect of us. With their votes, they've determined that governing will now be a shared responsibility between parties. New laws will only pass with support from Democrats and Republicans. We will move forward together, or not at all—for the challenges we face are bigger than party, and bigger than politics.

At stake right now is not who wins the next election—after all, we just had an election. At stake is whether new jobs and industries take root in this country, or somewhere else. It's whether the hard work and industry of our people is rewarded. It's whether we sustain the leadership that has made America not just a place on a map, but a light to the world.

We are poised for progress. Two years after the worst recession most of us have ever known, the stock market has come roaring back. Corporate profits are up. The economy is growing again.

But we have never measured progress by these yardsticks alone. We measure progress by the success of our people. By the jobs they can find and the quality of life those jobs offer. By the prospects of a small business owner who dreams of turning a good idea into a thriving enterprise. By the opportunities for a better life that we pass on to our children.

That's the project the American people want us to work on. Together.

We did that in December. Thanks to the tax cuts we passed, Americans'

paychecks are a little bigger today. Every business can write off the full cost of the new investments they make this year. These steps, taken by Democrats and Republicans, will grow the economy and add to the more than one million private sector jobs created last year.

But we have more work to do. The steps we've taken over the last 2 years may have broken the back of this recession—but to win the future, we'll need to take on challenges that have been decades in the making.

Many people watching tonight can probably remember a time when finding a good job meant showing up at a nearby factory or a business downtown. You didn't always need a degree, and your competition was pretty much limited to your neighbors. If you worked hard, chances are you'd have a job for life, with a decent paycheck, good benefits, and the occasional promotion. Maybe you'd even have the pride of seeing your kids work at the same company.

That world has changed. And for many, the change has been painful. I've seen it in the shuttered windows of once booming factories, and the vacant storefronts of once busy Main Streets. I've heard it in the frustrations of Americans who've seen their paychecks dwindle or their jobs disappear—proud men and women who feel like the rules have been changed in the middle of the game.

They're right. The rules have changed. In a single generation, revolutions in technology have transformed the way we live, work, and do business. Steel mills that once needed 1,000 workers can now do the same work with 100. Today, just about any company can set up shop, hire workers, and sell their products wherever there's an internet connection.

Meanwhile, nations like China and India realized that with some changes of their own, they could compete in this new world. And so they started educating their children earlier and longer, with greater emphasis on math and science. They're investing in research and new technologies. Just recently, China became home to the world's largest private solar research facility, and the world's fastest computer.

So yes, the world has changed. The competition for jobs is real. But this shouldn't discourage us. It should challenge us. Remember—for all the hits we've taken these last few years, for all the naysayers predicting our decline, America still has the largest, most prosperous economy in the world. No workers are more productive than ours. No country has more successful companies, or grants more patents to inventors and entrepreneurs. We are home to the world's best colleges and universities, where more students come to study than any other place on Earth.

What's more, we are the first Nation to be founded for the sake of an idea—the idea that each of us deserves the

chance to shape our own destiny. That is why centuries of pioneers and immigrants have risked everything to come here. It's why our students don't just memorize equations, but answer questions like "What do you think of that idea? What would you change about the world? What do you want to be when you grow up?"

The future is ours to win. But to get there, we can't just stand still. As Robert Kennedy told us, "The future is not a gift. It is an achievement." Sustaining the American Dream has never been about standing pat. It has required each generation to sacrifice, and struggle, and meet the demands of a new age.

Now it's our turn. We know what it takes to compete for the jobs and industries of our time. We need to out-innovate, out-educate, and out-build the rest of the world. We have to make America the best place on Earth to do business. We need to take responsibility for our deficit, and reform our Government. That's how our people will prosper. That's how we'll win the future. And tonight, I'd like to talk about how we get there.

The first step in winning the future is encouraging American innovation.

None of us can predict with certainty what the next big industry will be, or where the new jobs will come from. Thirty years ago, we couldn't know that something called the Internet would lead to an economic revolution. What we can do—what America does better than anyone—is spark the creativity and imagination of our people. We are the Nation that put cars in driveways and computers in offices; the Nation of Edison and the Wright brothers; of Google and Facebook. In America, innovation doesn't just change our lives. It's how we make a living.

Our free enterprise system is what drives innovation. But because it's not always profitable for companies to invest in basic research, throughout history our Government has provided cutting-edge scientists and inventors with the support that they need. That's what planted the seeds for the Internet. That's what helped make possible things like computer chips and GPS.

Just think of all the good jobs—from manufacturing to retail—that have come from those breakthroughs.

Half a century ago, when the Soviets beat us into space with the launch of a satellite called Sputnik, we had no idea how we'd beat them to the moon. The science wasn't there yet. NASA didn't even exist. But after investing in better research and education, we didn't just surpass the Soviets; we unleashed a wave of innovation that created new industries and millions of new jobs.

This is our generation's Sputnik moment. Two years ago, I said that we needed to reach a level of research and development we haven't seen since the height of the Space Race. In a few weeks, I will be sending a budget to the Congress that helps us meet that goal. We'll invest in biomedical research, information technology, and especially

clean energy technology—an investment that will strengthen our security, protect our planet, and create countless new jobs for our people.

Already, we are seeing the promise of renewable energy. Robert and Gary Allen are brothers who run a small Michigan roofing company. After September 11th, they volunteered their best roofers to help repair the Pentagon. But half of their factory went unused, and the recession hit them hard. Today, with the help of a Government loan, that empty space is being used to manufacture solar shingles that are being sold all across the country. In Robert's words, "We reinvented ourselves."

That's what Americans have done for over 200 years: reinvented ourselves. And to spur on more success stories like the Allen Brothers, we've begun to reinvent our energy policy. We're not just handing out money. We're issuing a challenge. We're telling America's scientists and engineers that if they assemble teams of the best minds in their fields, and focus on the hardest problems in clean energy, we'll fund the Apollo Projects of our time.

At the California Institute of Technology, they're developing a way to turn sunlight and water into fuel for our cars. At Oak Ridge National Laboratory, they're using supercomputers to get a lot more power out of our nuclear facilities. With more research and incentives, we can break our dependence on oil with biofuels, and become the first country to have 1 million electric vehicles on the road by 2015.

We need to get behind this innovation. And to help pay for it, I'm asking the Congress to eliminate the billions in taxpayer dollars we currently give to oil companies. I don't know if you've noticed, but they're doing just fine on their own. So instead of subsidizing yesterday's energy, let's invest in tomorrow.

Now, clean energy breakthroughs will only translate into clean energy jobs if businesses know there will be a market for what they're selling. So tonight, I challenge you to join me in setting a new goal: by 2035, 80 percent of America's electricity will come from clean energy sources. Some folks want wind and solar. Others want nuclear, clean coal, and natural gas. To meet this goal, we will need them all—and I urge Democrats and Republicans to work together to make it happen.

Maintaining our leadership in research and technology is crucial to America's success. But if we want to win the future—if we want innovation to produce jobs in America and not overseas—then we also have to win the race to educate our kids.

Think about it. Over the next 10 years, nearly half of all new jobs will require education that goes beyond a high school degree. And yet, as many as a quarter of our students aren't even finishing high school. The quality of our math and science education lags behind many other nations. America

has fallen to 9th in the proportion of young people with a college degree. And so the question is whether all of us—as citizens, and as parents—are willing to do what's necessary to give every child a chance to succeed.

That responsibility begins not in our classrooms, but in our homes and communities. It's family that first instills the love of learning in a child. Only parents can make sure the TV is turned off and homework gets done. We need to teach our kids that it's not just the winner of the Super Bowl who deserves to be celebrated, but the winner of the science fair; that success is not a function of fame or PR, but of hard work and discipline.

Our schools share this responsibility. When a child walks into a classroom, it should be a place of high expectations and high performance. But too many schools don't meet this test. That's why instead of just pouring money into a system that's not working, we launched a competition called Race to the Top. To all 50 States, we said, "If you show us the most innovative plans to improve teacher quality and student achievement, we'll show you the money."

Race to the Top is the most meaningful reform of our public schools in a generation. For less than one percent of what we spend on education each year, it has led over 40 States to raise their standards for teaching and learning. These standards were developed not by Washington, but by Republican and Democratic governors throughout the country. And Race to the Top should be the approach we follow this year as we replace No Child Left Behind with a law that is more flexible and focused on what's best for our kids.

You see, we know what's possible for our children when reform isn't just a top-down mandate, but the work of local teachers and principals; school boards and communities.

Take a school like Bruce Randolph in Denver. Three years ago, it was rated one of the worst schools in Colorado; located on turf between two rival gangs. But last May, 97 percent of the seniors received their diploma. Most will be the first in their family to go to college. And after the first year of the school's transformation, the principal who made it possible wiped away tears when a student said "Thank you, Mrs. Waters, for showing . . . that we are smart and we can make it."

Let's also remember that after parents, the biggest impact on a child's success comes from the man or woman at the front of the classroom. In South Korea, teachers are known as "nation builders." Here in America, it's time we treated the people who educate our children with the same level of respect. We want to reward good teachers and stop making excuses for bad ones. And over the next 10 years, with so many Baby Boomers retiring from our classrooms, we want to prepare 100,000 new teachers in the fields of science, technology, engineering, and math.

In fact, to every young person listening tonight who's contemplating their career choice: If you want to make a difference in the life of our Nation; if you want to make a difference in the life of a child—become a teacher. Your country needs you.

Of course, the education race doesn't end with a high school diploma. To compete, higher education must be within reach of every American. That's why we've ended the unwarranted taxpayer subsidies that went to banks, and used the savings to make college affordable for millions of students. And this year, I ask the Congress to go further, and make permanent our tuition tax credit—worth \$10,000 for 4 years of college.

Because people need to be able to train for new jobs and careers in today's fast-changing economy, we are also revitalizing America's community colleges. Last month, I saw the promise of these schools at Forsyth Tech in North Carolina. Many of the students there used to work in the surrounding factories that have since left town. One mother of two, a woman named Kathy Proctor, had worked in the furniture industry since she was 18 years old. And she told me she's earning her degree in biotechnology now, at 55 years old, not just because the furniture jobs are gone, but because she wants to inspire her children to pursue their dreams too. As Kathy said, "I hope it tells them to never give up."

If we take these steps—if we raise expectations for every child, and give them the best possible chance at an education, from the day they're born until the last job they take—we will reach the goal I set 2 years ago: by the end of the decade, America will once again have the highest proportion of college graduates in the world.

One last point about education. Today, there are hundreds of thousands of students excelling in our schools who are not American citizens. Some are the children of undocumented workers, who had nothing to do with the actions of their parents. They grew up as Americans and pledge allegiance to our flag, and yet live every day with the threat of deportation. Others come here from abroad to study in our colleges and universities. But as soon as they obtain advanced degrees, we send them back home to compete against us. It makes no sense.

Now, I strongly believe that we should take on, once and for all, the issue of illegal immigration. I am prepared to work with Republicans and Democrats to protect our borders, enforce our laws, and address the millions of undocumented workers who are now living in the shadows. I know that debate will be difficult and take time. But tonight, let's agree to make that effort. And let's stop expelling talented, responsible young people who can staff our research labs, start new businesses, and further enrich this Nation.

The third step in winning the future is rebuilding America. To attract new

businesses to our shores, we need the fastest, most reliable ways to move people, goods, and information—from high-speed rail to high-speed Internet.

Our infrastructure used to be the best—but our lead has slipped. South Korean homes now have greater internet access than we do. Countries in Europe and Russia invest more in their roads and railways than we do. China is building faster trains and newer airports. Meanwhile, when our own engineers graded our Nation's infrastructure, they gave us a "D."

We have to do better. America is the Nation that built the transcontinental railroad, brought electricity to rural communities, and constructed the interstate highway system. The jobs created by these projects didn't just come from laying down tracks or pavement. They came from businesses that opened near a town's new train station or the new off-ramp.

Over the last 2 years, we have begun rebuilding for the 21st century, a project that has meant thousands of good jobs for the hard-hit construction industry. Tonight, I'm proposing that we redouble these efforts.

We will put more Americans to work repairing crumbling roads and bridges. We will make sure this is fully paid for, attract private investment, and pick projects based on what's best for the economy, not politicians.

Within 25 years, our goal is to give 80 percent of Americans access to high-speed rail, which could allow you to go places in half the time it takes to travel by car. For some trips, it will be faster than flying—without the pat-down. As we speak, routes in California and the Midwest are already underway.

Within the next 5 years, we will make it possible for business to deploy the next generation of high-speed wireless coverage to 98 percent of all Americans. This isn't just about a faster internet and fewer dropped calls. It's about connecting every part of America to the digital age. It's about a rural community in Iowa or Alabama where farmers and small business owners will be able to sell their products all over the world. It's about a firefighter who can download the design of a burning building onto a handheld device; a student who can take classes with a digital textbook; or a patient who can have face-to-face video chats with her doctor.

All these investments—in innovation, education, and infrastructure—will make America a better place to do business and create jobs. But to help our companies compete, we also have to knock down barriers that stand in the way of their success.

Over the years, a parade of lobbyists has rigged the tax code to benefit particular companies and industries. Those with accountants or lawyers to work the system can end up paying no taxes at all. But all the rest are hit with one of the highest corporate tax rates in the world. It makes no sense, and it has to change.

So tonight, I'm asking Democrats and Republicans to simplify the system. Get rid of the loopholes. Level the playing field. And use the savings to lower the corporate tax rate for the first time in 25 years—without adding to our deficit.

To help businesses sell more products abroad, we set a goal of doubling our exports by 2014—because the more we export, the more jobs we create at home. Already, our exports are up. Recently, we signed agreements with India and China that will support more than 250,000 jobs in the United States. And last month, we finalized a trade agreement with South Korea that will support at least 70,000 American jobs. This agreement has unprecedented support from business and labor; Democrats and Republicans, and I ask this Congress to pass it as soon as possible.

Before I took office, I made it clear that we would enforce our trade agreements, and that I would only sign deals that keep faith with American workers, and promote American jobs. That's what we did with Korea, and that's what I intend to do as we pursue agreements with Panama and Colombia, and continue our Asia Pacific and global trade talks.

To reduce barriers to growth and investment, I've ordered a review of Government regulations. When we find rules that put an unnecessary burden on businesses, we will fix them. But I will not hesitate to create or enforce commonsense safeguards to protect the American people. That's what we've done in this country for more than a century. It's why our food is safe to eat, our water is safe to drink, and our air is safe to breathe. It's why we have speed limits and child labor laws. It's why last year, we put in place consumer protections against hidden fees and penalties by credit card companies, and new rules to prevent another financial crisis. And it's why we passed reform that finally prevents the health insurance industry from exploiting patients.

Now, I've heard rumors that a few of you have some concerns about the new health care law. So let me be the first to say that anything can be improved. If you have ideas about how to improve this law by making care better or more affordable, I am eager to work with you. We can start right now by correcting a flaw in the legislation that has placed an unnecessary bookkeeping burden on small businesses.

What I'm not willing to do is go back to the days when insurance companies could deny someone coverage because of a pre-existing condition. I'm not willing to tell James Howard, a brain cancer patient from Texas, that his treatment might not be covered. I'm not willing to tell Jim Houser, a small business owner from Oregon, that he has to go back to paying \$5,000 more to cover his employees. As we speak, this law is making prescription drugs cheaper for seniors and giving uninsured students a chance to stay on

their parents' coverage. So instead of re-fighting the battles of the last 2 years, let's fix what needs fixing and move forward.

Now, the final step—a critical step—in winning the future is to make sure we aren't buried under a mountain of debt.

We are living with a legacy of deficit spending that began almost a decade ago. And in the wake of the financial crisis, some of that was necessary to keep credit flowing, save jobs, and put money in people's pockets.

But now that the worst of the recession is over, we have to confront the fact that our Government spends more than it takes in. That is not sustainable. Every day, families sacrifice to live within their means. They deserve a Government that does the same.

So tonight, I am proposing that starting this year, we freeze annual domestic spending for the next 5 years. This would reduce the deficit by more than \$400 billion over the next decade, and will bring discretionary spending to the lowest share of our economy since Dwight Eisenhower was President.

This freeze will require painful cuts. Already, we have frozen the salaries of hardworking Federal employees for the next 2 years. I've proposed cuts to things I care deeply about, like community action programs. The Secretary of Defense has also agreed to cut tens of billions of dollars in spending that he and his generals believe our military can do without.

I recognize that some in this Chamber have already proposed deeper cuts, and I'm willing to eliminate whatever we can honestly afford to do without. But let's make sure that we're not doing it on the backs of our most vulnerable citizens. And let's make sure what we're cutting is really excess weight. Cutting the deficit by gutting our investments in innovation and education is like lightening an overloaded airplane by removing its engine. It may feel like you're flying high at first, but it won't take long before you'll feel the impact.

Now, most of the cuts and savings I've proposed only address annual domestic spending, which represents a little more than 12 percent of our budget. To make further progress, we have to stop pretending that cutting this kind of spending alone will be enough. It won't.

The bipartisan Fiscal Commission I created last year made this crystal clear. I don't agree with all their proposals, but they made important progress. And their conclusion is that the only way to tackle our deficit is to cut excessive spending wherever we find it—in domestic spending, defense spending, health care spending, and spending through tax breaks and loopholes.

This means further reducing health care costs, including programs like Medicare and Medicaid, which are the single biggest contributor to our long-

term deficit. Health insurance reform will slow these rising costs, which is part of why nonpartisan economists have said that repealing the health care law would add a quarter of a trillion dollars to our deficit. Still, I'm willing to look at other ideas to bring down costs, including one that Republicans suggested last year: medical malpractice reform to rein in frivolous lawsuits.

To put us on solid ground, we should also find a bipartisan solution to strengthen Social Security for future generations. And we must do it without putting at risk current retirees, the most vulnerable, or people with disabilities; without slashing benefits for future generations; and without subjecting Americans' guaranteed retirement income to the whims of the stock market.

And if we truly care about our deficit, we simply cannot afford a permanent extension of the tax cuts for the wealthiest 2 percent of Americans. Before we take money away from our schools, or scholarships away from our students, we should ask millionaires to give up their tax break.

It's not a matter of punishing their success. It's about promoting America's success.

In fact, the best thing we could do on taxes for all Americans is to simplify the individual tax code. This will be a tough job, but members of both parties have expressed interest in doing this, and I am prepared to join them.

So now is the time to act. Now is the time for both sides and both Houses of Congress—Democrats and Republicans—to forge a principled compromise that gets the job done. If we make the hard choices now to rein in our deficits, we can make the investments we need to win the future.

Let me take this one step further. We shouldn't just give our people a Government that's more affordable. We should give them a Government that's more competent and efficient. We cannot win the future with a Government of the past.

We live and do business in the information age, but the last major reorganization of the Government happened in the age of black and white TV. There are 12 different agencies that deal with exports. There are at least five different entities that deal with housing policy. Then there's my favorite example: the Interior Department is in charge of salmon while they're in fresh water, but the Commerce Department handles them in when they're in saltwater. And I hear it gets even more complicated once they're smoked.

Now, we have made great strides over the last 2 years in using technology and getting rid of waste. Veterans can now download their electronic medical records with a click of the mouse. We're selling acres of Federal office space that hasn't been used in years, and we will cut through redtape to get rid of more. But we need to think bigger. In the coming months, my admin-

istration will develop a proposal to merge, consolidate, and reorganize the Federal Government in a way that best serves the goal of a more competitive America. I will submit that proposal to the Congress for a vote—and we will push to get it passed.

In the coming year, we will also work to rebuild people's faith in the institution of Government. Because you deserve to know exactly how and where your tax dollars are being spent, you will be able to go to a Web site and get that information for the very first time in history. Because you deserve to know when your elected officials are meeting with lobbyists, I ask the Congress to do what the White House has already done: put that information online. And because the American people deserve to know that special interests aren't larding up legislation with pet projects, both parties in Congress should know this: if a bill comes to my desk with earmarks inside, I will veto it.

A 21st century Government that's open and competent. A Government that lives within its means. An economy that's driven by new skills and ideas. Our success in this new and changing world will require reform, responsibility, and innovation. It will also require us to approach that world with a new level of engagement in our foreign affairs.

Just as jobs and businesses can now race across borders, so can new threats and new challenges. No single wall separates East and West; no one rival superpower is aligned against us.

And so we must defeat determined enemies wherever they are, and build coalitions that cut across lines of region and race and religion. America's moral example must always shine for all who yearn for freedom, justice, and dignity. And because we have begun this work, tonight we can say that American leadership has been renewed and America's standing has been restored.

Look to Iraq, where nearly 100,000 of our brave men and women have left with their heads held high; where American combat patrols have ended; violence has come down; and a new government has been formed. This year, our civilians will forge a lasting partnership with the Iraqi people, while we finish the job of bringing our troops out of Iraq. America's commitment has been kept; the Iraq War is coming to an end.

Of course, as we speak, al Qaeda and their affiliates continue to plan attacks against us. Thanks to our intelligence and law enforcement professionals, we are disrupting plots and securing our cities and skies. And as extremists try to inspire acts of violence within our borders, we are responding with the strength of our communities, with respect for the rule of law, and with the conviction that American Muslims are a part of our American family.

We have also taken the fight to al Qaeda and their allies abroad. In Af-

ghanistan, our troops have taken Taliban strongholds and trained Afghan Security Forces. Our purpose is clear—by preventing the Taliban from reestablishing a stranglehold over the Afghan people, we will deny al Qaeda the safe-haven that served as a launching pad for 9/11.

Thanks to our heroic troops and civilians, fewer Afghans are under the control of the insurgency. There will be tough fighting ahead, and the Afghan government will need to deliver better governance. But we are strengthening the capacity of the Afghan people and building an enduring partnership with them. This year, we will work with nearly 50 countries to begin a transition to an Afghan lead. And this July, we will begin to bring our troops home.

In Pakistan, al Qaeda's leadership is under more pressure than at any point since 2001. Their leaders and operatives are being removed from the battlefield. Their safe-havens are shrinking. And we have sent a message from the Afghan border to the Arabian Peninsula to all parts of the globe: we will not relent, we will not waver, and we will defeat you.

American leadership can also be seen in the effort to secure the worst weapons of war. Because Republicans and Democrats approved the New START Treaty, far fewer nuclear weapons and launchers will be deployed. Because we rallied the world, nuclear materials are being locked down on every continent so they never fall into the hands of terrorists.

Because of a diplomatic effort to insist that Iran meet its obligations, the Iranian government now faces tougher and tighter sanctions than ever before. And on the Korean peninsula, we stand with our ally South Korea, and insist that North Korea keeps its commitment to abandon nuclear weapons.

This is just a part of how we are shaping a world that favors peace and prosperity. With our European allies, we revitalized NATO, and increased our cooperation on everything from counter-terrorism to missile defense. We have reset our relationship with Russia, strengthened Asian alliances, and built new partnerships with nations like India. This March, I will travel to Brazil, Chile, and El Salvador to forge new alliances for progress in the Americas. Around the globe, we are standing with those who take responsibility—helping farmers grow more food; supporting doctors who care for the sick; and combating the corruption that can rot a society and rob people of opportunity.

Recent events have shown us that what sets us apart must not just be our power—it must be the purpose behind it. In South Sudan—with our assistance—the people were finally able to vote for independence after years of war. Thousands lined up before dawn. People danced in the streets. One man who lost four of his brothers at war summed up the scene around him: "This was a battlefield for most of my life. Now we want to be free."

We saw that same desire to be free in Tunisia, where the will of the people proved more powerful than the writ of a dictator. And tonight, let us be clear: the United States of America stands with the people of Tunisia, and supports the democratic aspirations of all people.

We must never forget that the things we've struggled for, and fought for, live in the hearts of people everywhere. And we must always remember that the Americans who have borne the greatest burden in this struggle are the men and women who serve our country.

Tonight, let us speak with one voice in reaffirming that our Nation is united in support of our troops and their families. Let us serve them as well as they have served us—by giving them the equipment they need; by providing them with the care and benefits they have earned; and by enlisting our veterans in the great task of building our own Nation.

Our troops come from every corner of this country—they are black, white, Latino, Asian, and Native American. They are Christian and Hindu, Jewish and Muslim. And, yes, we know that some of them are gay. Starting this year, no American will be forbidden from serving the country they love because of who they love. And with that change, I call on all of our college campuses to open their doors to our military recruiters and the ROTC. It is time to leave behind the divisive battles of the past. It is time to move forward as one Nation.

We should have no illusions about the work ahead of us. Reforming our schools; changing the way we use energy; reducing our deficit—none of this is easy. All of it will take time. And it will be harder because we will argue about everything. The cost. The details. The letter of every law.

Of course, some countries don't have this problem. If the central government wants a railroad, they get a railroad—no matter how many homes are bulldozed. If they don't want a bad story in the newspaper, it doesn't get written.

And yet, as contentious and frustrating and messy as our democracy can sometimes be, I know there isn't a person here who would trade places with any other Nation on Earth.

We may have differences in policy, but we all believe in the rights enshrined in our Constitution. We may have different opinions, but we believe in the same promise that says this is a place where you can make it if you try. We may have different backgrounds, but we believe in the same dream that says this is a country where anything's possible. No matter who you are. No matter where you come from.

That dream is why I can stand here before you tonight. That dream is why a working class kid from Scranton can stand behind me. That dream is why someone who began by sweeping the floors of his father's Cincinnati bar can preside as Speaker of the House in the greatest Nation on Earth.

That dream—that American Dream—is what drove the Allen Brothers to reinvent their roofing company for a new era. It's what drove those students at Forsyth Tech to learn a new skill and work towards the future. And that dream is the story of a small business owner named Brandon Fisher.

Brandon started a company in Berlin, Pennsylvania, that specializes in a new kind of drilling technology. One day last summer, he saw the news that halfway across the world, 33 men were trapped in a Chilean mine, and no one knew how to save them.

But Brandon thought his company could help. And so he designed a rescue that would come to be known as Plan B. His employees worked around the clock to manufacture the necessary drilling equipment. And Brandon left for Chile.

Along with others, he began drilling a 2,000 foot hole into the ground, working 3 or 4 days at a time with no sleep. Thirty-seven days later, Plan B succeeded, and the miners were rescued. But because he didn't want all of the attention, Brandon wasn't there when the miners emerged. He had already gone home, back to work on this next project.

Later, one of his employees said of the rescue, "We proved that Center Rock is a little company, but we do big things."

We do big things.

From the earliest days of our founding, America has been the story of ordinary people who dare to dream. That's how we win the future.

We are a Nation that says, "I might not have a lot of money, but I have this great idea for a new company. I might not come from a family of college graduates, but I will be the first to get my degree. I might not know those people in trouble, but I think I can help them, and I need to try.

"I'm not sure how we'll reach that better place beyond the horizon, but I know we'll get there. I know we will."

We do big things.

The idea of America endures. Our destiny remains our choice. And tonight, more than two centuries later, it is because of our people that our future is hopeful, our journey goes forward, and the state of our Union is strong.

Thank you, God Bless You, and may God Bless the United States of America.

BARACK OBAMA.

THE WHITE HOUSE, January 25, 2011.

#### MESSAGES FROM THE HOUSE RECEIVED DURING RECESS

Under the authority of the order of the Senate of January 5, 2011, the Secretary of the Senate, on January 6, 2011, during the recess of the Senate, received a message from the House of Representatives, announcing that the House has agreed to the following concurrent resolution, without amendment:

S. Con. Res. 1. Concurrent resolution providing for a conditional recess or adjourn-

ment of the Senate and an adjournment of the House of Representatives.

Under the authority of the order of the Senate of January 5, 2011, the Secretary of the Senate, on January 19, 2011, during the recess of the Senate, received a message from the House of Representatives, announcing that the House has agreed to the following concurrent resolution, without amendment:

S. Con. Res. 2. Concurrent resolution authorizing the use of the rotunda of the Capitol for an event marking the 50th anniversary of the inaugural address of President John F. Kennedy.

#### MESSAGES FROM THE HOUSE

At 10:03 a.m., a message from the House of Representatives, delivered by Mr. Novotny, one of its reading clerks, announced that the House has passed the following bills, in which it requests the concurrence of the Senate:

H.R. 2. An act to repeal the job-killing health care law and health care-related provisions in the Health Care and Education Reconciliation Act of 2010.

H.R. 292. An act to amend title 44, United States Code, to eliminate the mandatory printing of bills and resolutions for the use of offices of Members of Congress.

The message also announced that the House has agreed to the following concurrent resolutions, in which it requests the concurrence of the Senate:

H. Con. Res. 1. Concurrent resolution regarding consent to assemble outside the seat of government.

H. Con. Res. 10. Concurrent resolution providing for a joint session of Congress to receive a message from the President.

The message further announced that pursuant to 2 U.S.C. 2001, and the order of the Senate of January 5, 2011, the Speaker appointed the following Members to the House Office Building Commission to serve with himself: Mr. CANTOR of Virginia and Ms. PELOSI of California.

At 2:16 p.m., a message from the House of Representatives, delivered by Mr. Novotny, one of its reading clerks, announced that the House has passed the following bill, in which it requests the concurrence of the Senate:

H.R. 366. An act to provide for an additional temporary extension of programs under the Small Business Act and the Small Business Investment Act of 1958, and for other purposes.

#### MEASURES REFERRED

The following bill was read the first and the second times by unanimous consent, and referred as indicated:

H.R. 292. An act to amend title 44, United States Code, to eliminate the mandatory printing of bills and resolutions for the use of offices of Members of Congress; to the Committee on Rules and Administration.

#### MATTERS BEING HELD AT THE DESK

S. Res. 14. A resolution honoring the victims and heroes of the shooting on January 8, 2011 in Tucson, Arizona.

### MEASURES HELD OVER/UNDER RULE

The following resolutions were read, and held over, under the rule:

S. Res. 24. A resolution to propose a standing order to govern extended debate.

S. Res. 21. A resolution to amend the Standing Rules of the Senate to provide procedures for extended debate.

### EXECUTIVE AND OTHER COMMUNICATIONS

The following communications were laid before the Senate, together with accompanying papers, reports, and documents, and were referred as indicated:

EC-18. A communication from the Director of Defense Procurement and Acquisition Policy, Department of Defense, transmitting, pursuant to law, the report of a rule entitled "Defense Federal Acquisition Regulation Supplement; Foreign Participation in Acquisitions in Support of Operations in Afghanistan" ((RIN0750-AG80)(DFARS Case 2009-D012)) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Armed Services.

EC-19. A communication from the Under Secretary of Defense (Personnel and Readiness), transmitting the report of (33) officers authorized to wear the insignia of the grades of major general and brigadier general in accordance with title 10, United States Code, section 777; to the Committee on Armed Services.

EC-20. A communication from the Under Secretary of Defense (Personnel and Readiness), Department of Defense, transmitting, pursuant to law, a report relative to the Foreign Language Skill Proficiency Bonus program; to the Committee on Armed Services.

EC-21. A communication from the General Counsel of the National Credit Union Administration, transmitting, pursuant to law, the report of a rule entitled "Corporate Credit Unions, Technical Corrections" (RIN3133-AD58) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-22. A communication from the General Counsel of the Federal Housing Finance Agency, transmitting, pursuant to law, the report of a rule entitled "Federal Home Loan Bank Housing Goals" (RIN2590-AA16) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-23. A communication from the General Counsel of the Federal Housing Finance Agency, transmitting, pursuant to law, the report of a rule entitled "Portfolio Holdings" (RIN2590-AA22) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-24. A communication from the General Counsel of the Federal Housing Finance Agency, transmitting, pursuant to law, the report of a rule entitled "Minority and Women Inclusion" (RIN2590-AA28) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-25. A communication from the Legal Information Assistant, Office of Thrift Supervision, Department of the Treasury, transmitting, pursuant to law, the report of a rule entitled "Community Reinvestment Act Regulations (NSP)" (RIN1550-AC42) re-

ceived during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-26. A communication from the Secretary, Division of Investment Management, Securities and Exchange Commission, transmitting, pursuant to law, the report of a rule entitled "Temporary Rule Regarding Principal Trades with Certain Advisory Clients" (RIN3235-AJ96) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-27. A communication from the Secretary, Division of Investment Management, Securities and Exchange Commission, transmitting, pursuant to law, the report of a rule entitled "Amendments to Form ADV; Extension of Compliance Date" (RIN3235-A117) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-28. A communication from the Secretary of the Treasury, transmitting, pursuant to law, a six-month periodic report on the national emergency that was declared in Executive Order 12947 with respect to terrorists who threaten to disrupt the Middle East peace process; to the Committee on Banking, Housing, and Urban Affairs.

EC-29. A communication from the Secretary of the Treasury, transmitting, pursuant to law, a six-month report on the national emergency that was originally declared in Executive Order 13159 relative to the risk of nuclear proliferation created by the accumulation of weapons-usable fissile material in the territory of the Russian Federation; to the Committee on Banking, Housing, and Urban Affairs.

EC-30. A communication from the Assistant General Counsel for Legislation, Regulation and Energy Efficiency, Office of Energy Efficiency and Renewable Energy, Department of Energy, transmitting, pursuant to law, the report of a rule entitled "Energy Efficiency Program for Consumer Products: Waiver of Federal Preemption of State Regulations Concerning the Water Use or Water Efficiency of Showerheads, Faucets, Water Closets and Urinals" (Docket No. EERE-2010-BT-STD-WAV-0045) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Energy and Natural Resources.

EC-31. A communication from the Secretary of the Interior, transmitting, pursuant to law, a report relative to the North Slope Science Initiative; to the Committee on Energy and Natural Resources.

EC-32. A communication from the Chief of the Publications and Regulations Branch, Internal Revenue Service, Department of the Treasury, transmitting, pursuant to law, the report of a rule entitled "Nuclear Decommissioning Funds" (RIN1545-BF08) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Finance.

EC-33. A communication from the Director of Regulations, Social Security Administration, transmitting, pursuant to law, the report of a rule entitled "Supplemental Security Income (SSI) for the Aged, Blind, and Disabled; Dedicated Accounts and Installment Payments for Certain Past-Due SSI Benefits" (RIN0960-AE59) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Finance.

EC-34. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, a report relative to the status and effectiveness of information

provided to the states and Medicaid enrollees on coverage of preventive and obesity-related services available to Medicaid Enrollees; to the Committee on Finance.

EC-35. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, a report entitled "HHS Study of Urban Medicare-Dependent Hospitals"; to the Committee on Finance.

EC-36. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, a report entitled "2010 Actuarial Report on the Financial Outlook for Medicaid"; to the Committee on Finance.

EC-37. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, a report entitled "HHS Secretary's Efforts to Improve Children's Health Care Quality in Medicaid and CHIP"; to the Committee on Finance.

EC-38. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, a report entitled "High Risk Pool Grant Program for Federal Fiscal Years (FFYs) 2008 and 2009"; to the Committee on Finance.

EC-39. A communication from the Assistant Secretary, Legislative Affairs, Department of State, transmitting, pursuant to law, a report relative to the extension and amendment of the Agreement Between the Government of the United States of America and the Government of the Republic of Nicaragua Concerning the Imposition of Import Restrictions on Archaeological Material from the Pre-Hispanic Cultures of the Republic of Nicaragua; to the Committee on Finance.

EC-40. A communication from the Inspector General for Tax Administration, Department of the Treasury, transmitting, pursuant to law, a report relative to prisoner tax fraud; to the Committee on Finance.

EC-41. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to law, the report of a rule entitled "Visas: Waiver for Ineligible Nonimmigrants under INA 212(d)(3)(A), as Amended; Applicants Ineligible under INA 212(a)(3)(E)(iii)" (22 CFR Part 40) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Foreign Relations.

EC-42. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to the Arms Export Control Act, the certification of a proposed technical assistance agreement for the export of defense articles, to include technical data, and defense services for the development and sales of components for the David's Sling Weapon System to Israel in the amount of \$50,000,000 or more; to the Committee on Foreign Relations.

EC-43. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to the Arms Export Control Act, the certification of a proposed manufacturing license agreement for the export of defense articles, to include technical data, and defense services to the United Kingdom and India for the manufacturing and maintenance of AC and DC electrical power generating systems, motors, motor drive systems, and system control units utilized on military aircraft and ground vehicles for users in 92 previously approved countries in the amount of \$50,000,000 or more; to the Committee on Foreign Relations.

EC-44. A communication from the Assistant Secretary, Office of Legislative Affairs, Department of State, transmitting, pursuant to law, an annual report relative to the Benjamin A. Gilman International Scholarship Program for 2010; to the Committee on Foreign Relations.



EC-45. A communication from the Deputy Director for Operations, Legislative and Regulatory Department, Pension Benefit Guaranty Corporation, transmitting, pursuant to law, the report of a rule entitled "Allocation of Assets in Single-Employer Plans; Valuation of Benefits and Assets; Expected Retirement Age" (29 CFR Part 4044) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Health, Education, Labor, and Pensions.

EC-46. A communication from the Deputy Director for Operations, Legislative and Regulatory Department, Pension Benefit Guaranty Corporation, transmitting, pursuant to law, the report of a rule entitled "Allocation of Assets in Single-Employer Plans; Benefits Payable in Terminated Single-Employer Plans; Interest Assumptions for Valuing and Paying Benefits" (29 CFR Parts 4022 and 4044) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Health, Education, Labor, and Pensions.

EC-47. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, a report entitled "Report to Congress on Head Start Monitoring for Fiscal Year 2008"; to the Committee on Health, Education, Labor, and Pensions.

EC-48. A communication from the Secretary of Health and Human Services, transmitting, pursuant to law, the Food and Drug Administration's report relative to regulation of free samples of tobacco products; to the Committee on Health, Education, Labor, and Pensions.

EC-49. A communication from the Director, Planning and Policy Analysis, Office of Personnel Management, transmitting, pursuant to law, the report of a rule entitled "Federal Employees Health Benefits Program Miscellaneous Charges" (RIN3206-AL95) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Homeland Security and Governmental Affairs.

EC-50. A communication from the Director, Office of Personnel Management, the President's Pay Agent, transmitting, pursuant to law, a report relative to the extension of locality-based comparability payments; to the Committee on Homeland Security and Governmental Affairs.

EC-51. A communication from the Commissioner of the Social Security Administration, transmitting, pursuant to law, the Semiannual Report of the Inspector General for the period from August 1, 2010 through September 30, 2010; to the Committee on Homeland Security and Governmental Affairs.

EC-52. A communication from the Director of the Peace Corps, transmitting, pursuant to law, the Corps' Performance and Accountability Report for fiscal year 2010; to the Committee on Homeland Security and Governmental Affairs.

EC-53. A communication from the Senior Procurement Executive, Office of Acquisition Policy, General Services Administration, transmitting, pursuant to law, the report of a rule entitled "Federal Acquisition Regulation; Federal Acquisition Circular 2005-48; Introduction" (FAC 2005-48) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Homeland Security and Governmental Affairs.

EC-54. A communication from the Acting Chief of the Trade and Commercial Regulations Branch, Customs and Border Protection, Department of Homeland Security, transmitting, pursuant to law, the report of a rule entitled "Technical Correction: Com-

pletion of Entry and Entry Summary—Declaration of Value" (RIN1515-AD61) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Homeland Security and Governmental Affairs.

EC-55. A communication from the Principal Deputy Assistant Attorney General, Civil Rights Division, Department of Justice, transmitting, pursuant to law, the report of a rule entitled "Nondiscrimination on the Basis of Disability in State and Local Government Services; Final Rules" (RIN1190-AA46) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on the Judiciary.

EC-56. A communication from the Chief Privacy Officer, Department of Homeland Security, transmitting, pursuant to law, a report entitled "2010 Data Mining Report to Congress"; to the Committee on the Judiciary.

EC-57. A communication from the Assistant Attorney General, Office of Legislative Affairs, Department of Justice, transmitting, pursuant to law, the second quarter of fiscal year 2010 quarterly report of the Department of Justice's Office of Privacy and Civil Liberties; to the Committee on the Judiciary.

EC-58. A communication from the Assistant Attorney General, Office of Legislative Affairs, Department of Justice, transmitting, pursuant to law an annual report relative to military and overseas voters; to the Committee on the Judiciary.

EC-59. A communication from the Chair of the Board of Directors, Office of Compliance, transmitting, pursuant to Section 102(b) of the Congressional Accountability Act of 1995 (CAA) a report relative to recommendations for improvements to the Congressional Accountability Act; to the Committee on Rules and Administration.

EC-60. A communication from the Acting Director of the Office of Sustainable Fisheries, National Marine Fisheries Service, Department of Commerce, transmitting, pursuant to law, the report of a rule entitled "Magnuson-Stevens Act Provisions; Fisheries Off West Coast States; Pacific Coast Groundfish Fishery; Inseason Adjustments to Fishery Management Measures" (RIN0648-BA44) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Commerce, Science, and Transportation.

EC-61. A communication from the Assistant Secretary for Export Administration, Bureau of Industry and Security, Department of Commerce, transmitting, pursuant to law, the report of a rule entitled "Publicly Available Mass Market Encryption Software and Other Specified Publicly Available Encryption Software in Object Code" (RIN0694-AE82) received during adjournment of the Senate in the Office of the President of the Senate on January 3, 2011; to the Committee on Commerce, Science, and Transportation.

EC-62. A communication from the Assistant Administrator for Fisheries, National Marine Fisheries Service, Department of Commerce, transmitting, pursuant to law, the report of a rule entitled "Fisheries of the Northeastern United States; Northeast Multispecies Fishery; Emergency Rule Extension, Pollock Catch Limit Revisions" (RIN0648-AW86) received during adjournment of the Senate in the Office of the President of the Senate on January 4, 2011; to the Committee on Commerce, Science, and Transportation.

EC-63. A communication from the Chairman, National Transportation Safety Board, transmitting, pursuant to law, a report relative to the Board's competitive sourcing ef-

forts for fiscal year 2010; to the Committee on Commerce, Science, and Transportation.

EC-64. A communication from the Deputy Assistant Administrator for Regulatory Programs, Office of Sustainable Fisheries, Department of Commerce, transmitting, pursuant to law, the report of a rule entitled "Magnuson-Stevens Act Provisions; Fisheries of the Northeastern United States; Northeast Multispecies Fishery; Final Rule to Implement Addenda to 17 Fishing Year (FY) 2010 Sector Operations Plans and Contracts" (RIN0648-XX84) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Commerce, Science, and Transportation.

EC-65. A communication from the Attorney Advisor, U.S. Coast Guard, Department of Homeland Security, transmitting, pursuant to law, the report of a rule entitled "Drawbridge Operation Regulation; Arkansas Waterway, Little Rock, AR" (RIN1625-AA09) (Docket No. USCG-2010-0228) received during adjournment of the Senate in the Office of the President of the Senate on January 7, 2011; to the Committee on Commerce, Science, and Transportation.

EC-66. A communication from the Secretary of Commerce, transmitting, pursuant to law, the Annual Report for Fiscal Year 2010 of the Department of Commerce's Bureau of Industry and Security; to the Committee on Commerce, Science, and Transportation.

EC-67. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "2-Propenoic Acid, Methyl Ester, Polymer with Ethenyl Acetate, Hydrolyzed, Sodium Salts; Tolerance Exemption" (FRL No. 8114-9) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Agriculture, Nutrition, and Forestry.

EC-68. A communication from the Congressional Review Coordinator, Animal and Plant Health Inspection Service, Department of Agriculture, transmitting, pursuant to law, the report of a rule entitled "Asian Longhorned Beetle; Additions to Quarantined Areas in Massachusetts and New York" (Docket No. APHIS-2009-0014) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2010; to the Committee on Agriculture, Nutrition, and Forestry.

EC-69. A communication from the Congressional Review Coordinator, Animal and Plant Health Inspection Service, Department of Agriculture, transmitting, pursuant to law, the report of a rule entitled "Pine Shoot Beetle; Additions to Quarantined Areas" (Docket No. APHIS-2008-0111) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Agriculture, Nutrition, and Forestry.

EC-70. A communication from the Congressional Review Coordinator, Animal and Plant Health Inspection Service, Department of Agriculture, transmitting, pursuant to law, the report of a rule entitled "Emerald Ash Borer; Quarantined Areas; Maryland, Michigan, Minnesota, Missouri, Pennsylvania, Virginia, West Virginia, and Wisconsin" (Docket No. APHIS-2008-0072) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Agriculture, Nutrition, and Forestry.

EC-71. A communication from the Director, Office of Science and Technology, Executive Office of the President, transmitting, pursuant to law, a report relative to a violation of the Antideficiency Act that occurred

within the Office of Science and Technology over a four-year period and involved multiple transactions; to the Committee on Appropriations.

EC-72. A communication from the Acting Under Secretary of Defense (Acquisition, Technology and Logistics), transmitting, pursuant to law, a report relative to the Department's purchases from foreign entities for Fiscal Year 2010; to the Committee on Armed Services.

EC-73. A communication from the Under Secretary of Defense (Acquisition, Technology and Logistics), transmitting, pursuant to law, a report relative to the M982 155mm Precision Guided Extended Range Artillery Projectile (Excalibur) program; to the Committee on Armed Services.

EC-74. A communication from the Assistant Secretary, Bureau of Political-Military Affairs, Department of State, transmitting, pursuant to law, an addendum to a certification, transmittal number: DDTC 10-141, of the proposed sale or export of defense articles, including technical data, and defense services to a Middle East country regarding any possible affects such a sale might have relating to Israel's Qualitative Military Edge over military threats to Israel; to the Committee on Armed Services.

EC-75. A communication from the Deputy Secretary of Defense, transmitting, pursuant to law, a report relative to detainee movement (OSS Control No. 2011-0036); to the Committee on Armed Services.

EC-76. A communication from the Deputy Secretary of Defense, transmitting, pursuant to law, a report relative to the Joint Improvised Explosive Device Defeat Organization's Second Quarter Report for Calendar Year 2010 (OSS Control No. 2010-2137); to the Committee on Armed Services.

EC-77. A communication from the Assistant Secretary of Defense (Special Operations/Low-Intensity Conflict and Interdependent Capabilities), transmitting, pursuant to law, a report relative to counter-terrorism activities supported with counter-narcotics funding for fiscal year 2010; to the Committee on Armed Services.

EC-78. A communication from the General Counsel of the National Credit Union Administration, transmitting, pursuant to law, the report of a rule entitled "Fiduciary Duties at Federal Credit Unions; Mergers and Conversions of Insured Credit Unions" (RIN3133-AD40) received during adjournment of the Senate in the Office of the President of the Senate on January 1, 2011; to the Committee on Banking, Housing, and Urban Affairs.

EC-79. A communication from the Chairman of the Federal Energy Regulatory Commission, transmitting, pursuant to law, a report relative to the status of all extensions granted by Congress regarding the requirements of Section 13 of the Federal Power Act; to the Committee on Energy and Natural Resources.

EC-80. A communication from the Secretary of the Interior, transmitting, pursuant to law, a report relative to the acceptance of gifted land in Kern County, California that will complement the Bright Star Wilderness; to the Committee on Energy and Natural Resources.

EC-81. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "EPAAR Prescription and Solicitation Provision—EPA Green Meetings and Conferences" (FRL No. 8297-8) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-82. A communication from the Director of the Regulatory Management Division, Of-

fice of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Approval and Promulgation of Air Quality Implementation Plans; Virginia; Amendments to Existing Regulation Provisions Concerning Case-by-Case Reasonably Available Control Technology" (FRL No. 9251-8) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-83. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Approval and Promulgation of Air Quality Implementation Plans; Virginia; Adoption of 8-hour Ozone Standard and Related Reference Conditions, and Update of Appendices" (FRL No. 9251-9) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-84. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Approval and Promulgation of Implementation Plans; Mississippi: Prevention of Significant Deterioration; Nitrogen Oxides as a Precursor to Ozone; Correction" (FRL No. 9250-4) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-85. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Approval and Promulgation of Air Quality Implementation Plans; Minnesota; Gopher Resource, LLC" (FRL No. 9250-8) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-86. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Determinations of Attainment by the Applicable Attainment Date for the Hayden, Nogales, Paul Spur/Douglas PM10 Nonattainment Areas, Arizona" (FRL No. 9250-1) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-87. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Approval and Promulgation of Implementation Plan and Operating Permits Program; State of Missouri" (FRL No. 9248-6) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-88. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "National Emission Standards for Hazardous Air Pollutants From the Portland Cement Manufacturing Industry and Standards of Performance for Portland Cement Plants" (FRL No. 9253-4) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the

Committee on Environment and Public Works.

EC-89. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Action to Ensure Authority to Issue Permits under the Prevention of Significant Deterioration Program to Sources of Greenhouse Gas Emissions: Federal Implementation Plan for Jefferson County, Kentucky" (FRL No. 9253-3) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-90. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "Action to Ensure Authority to Issue Permits under the Prevention of Significant Deterioration Program to Sources of Greenhouse Gas Emissions: Finding of Failure to Submit State Implementation Plan Revision Required of Louisville Metro Air Pollution Control District for Jefferson County, Kentucky" (FRL No. 9253-2) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-91. A communication from the Director of the Regulatory Management Division, Office of Policy, Economics, and Innovation, Environmental Protection Agency, transmitting, pursuant to law, the report of a rule entitled "National Emission Standards for Hazardous Air Pollutants for Source Categories: Gasoline Distribution Bulk Terminals, Bulk Plants, and Pipeline Facilities; and Gasoline Dispensing Facilities" (FRL No. 9253-7) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Environment and Public Works.

EC-92. A communication from the Chief of the Publications and Regulations Branch, Internal Revenue Service, Department of the Treasury, transmitting, pursuant to law, the report of a rule entitled "Modifications of Debt Instruments" (RIN1545-BJ30) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Finance.

EC-93. A communication from the Chief of the Publications and Regulations Branch, Internal Revenue Service, Department of the Treasury, transmitting, pursuant to law, the report of a rule entitled "Real Estate Investment Trust (REIT) Distressed Debt" (Rev. Proc. 2011-16) received during adjournment of the Senate on January 13, 2011; to the Committee on Finance.

EC-94. A communication from the Director of Regulations, Social Security Administration, transmitting, pursuant to law, the report of a rule entitled "Amendments to Regulations Regarding Eligibility for a Medicare Prescription Drug Subsidy" (RIN0960-AH24) received during adjournment of the Senate in the Office of the President of the Senate on January 7, 2011; to the Committee on Finance.

EC-95. A communication from the Program Manager, Center for Medicaid and Medicare Services, Department of Health and Human Services, transmitting, pursuant to law, the report of a rule entitled "Medicare Program; Amendment to Payment Policies Under the Physician Fee Schedule and Other Revisions to Part B for Calendar Year 2011" (RIN0938-AP79) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2010; to the Committee on Finance.

EC-96. A communication from the Chief of the Trade and Commercial Regulations Branch, Customs and Border Protection, Department of Homeland Security, transmitting, pursuant to law, the report of a rule entitled "Extension of Import Restrictions Imposed on Archaeological Material Originating in Italy and Representing the Pre-classical, Classical, and Imperial Roman Periods" (RIN1515-AD72) received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Finance.

EC-97. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to law, (6) six reports relative to vacancies in the Department of State, received during adjournment of the Senate in the Office of the President of the Senate on January 13, 2011; to the Committee on Foreign Relations.

EC-98. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to the Arms Export Control Act, the certification of a proposed technical assistance agreement for the export of defense articles, to include technical data, and defense services to Finland for the depot level maintenance and overhaul of F404-GE-402 gas turbine engines in the amount of \$50,000,000 or more; to the Committee on Foreign Relations.

EC-99. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to law, a report relative to the elimination of the Danger Pay Allowance for Haiti; to the Committee on Foreign Relations.

EC-100. A communication from the Assistant Secretary, Bureau of Legislative Affairs, Department of State, transmitting, pursuant to law, the semiannual report on the continued compliance of Azerbaijan, Kazakhstan, Moldova, the Russian Federation, Tajikistan, and Uzbekistan with the 1974 Trade Act's freedom of emigration provisions, as required under the Jackson-Vanik Amendment; to the Committee on Foreign Relations.

EC-101. A communication from the Administrator, Rural Business-Cooperative Service, Department of Agriculture, transmitting, pursuant to law, the report of a rule entitled "Biorefinery Assistance Guaranteed Loans" (RIN0570-AA73) received during adjournment of the Senate in the Office of the President of the Senate on January 24, 2011; to the Committee on Agriculture, Nutrition, and Forestry.

EC-102. A communication from the Administrator, Rural Business-Cooperative Service, Department of Agriculture, transmitting, pursuant to law, the report of a rule entitled "Advanced Biofuel Payment Program" (RIN0570-AA75) received during adjournment of the Senate in the Office of the President of the Senate on January 24, 2011; to the Committee on Agriculture, Nutrition, and Forestry.

EC-103. A communication from the Administrator, Rural Business-Cooperative Service, Department of Agriculture, transmitting, pursuant to law, the report of a rule entitled "Repowering Assistance Payments to Eligible Biorefineries" (RIN0570-AA74) received during adjournment of the Senate in the Office of the President of the Senate on January 24, 2011; to the Committee on Agriculture, Nutrition, and Forestry.

EC-104. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-564 "Randall School Disposition Restatement Temporary Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-105. A communication from the Chairman of the Council of the District of Colum-

bia, transmitting, pursuant to law, a report on D.C. Act 18-565 "Office of Cable Television Property Acquisition and Special Purpose Revenue Reprogramming Temporary Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-106. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-566 "Automated Traffic Enforcement Fund Temporary Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-107. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-567 "University of the District of Columbia Board of Trustees Quorum and Contracting Reform Temporary Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-108. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-568 "Budget Support Act Clarification and Technical Amendment Temporary Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-109. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-594 "Expanding Access to Juvenile Records Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-110. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-595 "Pre-k Acceleration and Clarification Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-111. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-596 "University of the District of Columbia Board of Trustees Quorum and Contracting Reform Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-112. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-608 "Blood Donation Expansion Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-113. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-609 "Allen Chapel A.M.E. Senior Residential Rental Project Property Tax Exemption and Equitable Real Property Tax Relief Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-114. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-610 "Wildlife Protection Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-115. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-618 "Asbestos Statute of Limitations Clarification Temporary Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-116. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-619 "Second Prevention of Child Abuse and Neglect Temporary Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-117. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-620 "Streetscape Utility Line Report Temporary Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-118. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-621 "Mayor and Chairman of the Council Transition Temporary Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-119. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-622 "Special Election Reform Charter Temporary Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-120. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-623 "Residential Parking Protection Pilot Temporary Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-121. A communication from the Chairman of the Council of the District of Columbia, transmitting, pursuant to law, a report on D.C. Act 18-624 "Solar Collector Certification Temporary Amendment Act of 2010"; to the Committee on Homeland Security and Governmental Affairs.

EC-122. A communication from the Chair of the Board of Directors, Office of Compliance, transmitting, pursuant to law, a report relative to the Veterans Employment Opportunities Act of 1998; to the Committee on Homeland Security and Governmental Affairs.

## INTRODUCTION OF BILLS AND JOINT RESOLUTIONS

The following bills and joint resolutions were introduced, read the first and second times by unanimous consent, and referred as indicated:

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, Mrs. SHAHEEN, and Mr. AKAKA):

S. 1. A bill to strengthen the economic competitiveness of the United States; to the Committee on Finance.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mrs. FEINSTEIN, Mr. BROWN of Ohio, Mr. KERRY, Mrs. GILLIBRAND, Mrs. BOXER, Mr. LAUTENBERG, and Mr. AKAKA):

S. 2. A bill to help middle class families succeed; to the Committee on Finance.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mrs. FEINSTEIN, Mr. BROWN of Ohio, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, and Mrs. SHAHEEN):

S. 3. A bill to promote fiscal responsibility and control spending; to the Committee on Finance.

By Mr. REID of Nevada (for himself, Mr. BINGAMAN, Mr. BROWN of Ohio, Mr. DURBIN, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, Mr. LAUTENBERG, Mrs. SHAHEEN, and Mr. AKAKA):

S. 4. A bill to make America the world's leader in clean energy; to the Committee on Finance.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. WYDEN, Mr. BROWN of Ohio, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mr. INOUE,

Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, Mrs. SHAHEEN, and Mr. AKAKA):

S. 5. A bill to reform schools and give America's children the tools they need to succeed; to the Committee on Health, Education, Labor, and Pensions.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. LEAHY, Mrs. FEINSTEIN, Mr. BROWN of Ohio, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mrs. BOXER, and Mr. LAUTENBERG):

S. 6. A bill to reform America's broken immigration system; to the Committee on the Judiciary.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mrs. GILLIBRAND, Mrs. BOXER, and Mr. LAUTENBERG):

S. 7. A bill to reform the Federal tax code; to the Committee on Finance.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, and Mr. AKAKA):

S. 8. A bill to strengthen America's national security; to the Committee on Foreign Relations.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. WYDEN, Mr. BROWN of Ohio, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, and Mr. AKAKA):

S. 9. A bill to reform America's political system and eliminate gridlock that blocks progress; to the Committee on Rules and Administration.

By Mr. REID of Nevada (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mr. KERRY, Mrs. GILLIBRAND, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, and Mr. AKAKA):

S. 10. A bill to ensure equity for women and address rising pressures on American families; to the Committee on Health, Education, Labor, and Pensions.

By Mrs. HUTCHISON (for herself, Mr. VITTER, Mr. ENSIGN, Mr. JOHANNNS, and Mr. CORNYN):

S. 11. A bill to provide permanent tax relief from the marriage penalty; to the Committee on Finance.

By Mr. PORTMAN:

S. 12. A bill to amend the Internal Revenue Code of 1986 to provide additional tax relief for private sector job creation, and for other purposes; to the Committee on Finance.

By Mr. CHAMBLISS (for himself, Mr. ISAKSON, Mr. MORAN, Mr. BURR, Mr. COBURN, and Mr. DEMINT):

S. 13. A bill to promote freedom, fairness, and economic opportunity by repealing the income tax and other taxes, abolishing the Internal Revenue Service, and enacting a national sales tax to be administered primarily by the States; to the Committee on Finance.

By Mr. ENSIGN (for himself, Mr. CHAMBLISS, Mr. ISAKSON, Mr. THUNE, Mr. CRAPO, Mr. JOHANNNS, Mr. ALEXANDER, and Mr. COBURN):

S. 14. A bill to establish a Commission on Congressional Budgetary Accountability and Review of Federal Agencies; to the Committee on Homeland Security and Governmental Affairs.

By Mr. VITTER:

S. 15. A bill to prohibit the regulation of carbon dioxide emissions in the United States until China, India, and Russia implement similar reductions; to the Committee on Environment and Public Works.

By Mr. VITTER:

S. 16. A bill to repeal the Patient Protection and Affordable Care Act; to the Committee on Finance.

By Mr. HATCH (for himself, Mr. BURR, Mr. COBURN, and Mr. CORNYN):

S. 17. A bill to repeal the job-killing tax on medical devices to ensure continued access to life-saving medical devices for patients and maintain the standing of United States as the world leader in medical device innovation; to the Committee on Finance.

By Mr. JOHANNNS (for himself, Mr. MANCHIN, Mr. ALEXANDER, Ms. AYOTTE, Mr. BARRASSO, Mr. BEGICH, Mr. BENNET, Mr. BLUNT, Mr. BOOZMAN, Mr. BROWN of Massachusetts, Mr. BURR, Mr. CHAMBLISS, Mr. COATS, Mr. COBURN, Ms. COLLINS, Mr. CORKER, Mr. CORNYN, Mr. CRAPO, Mr. ENSIGN, Mr. ENZI, Mr. GRAHAM, Mr. GRASSLEY, Mrs. HAGAN, Mr. HOEVEN, Mrs. HUTCHISON, Mr. INHOFE, Mr. ISAKSON, Ms. KLOBUCHAR, Mr. KYL, Mr. LEE, Mr. LUGAR, Mr. MCCAIN, Mr. MCCONNELL, Mr. MORAN, Ms. MURKOWSKI, Mr. NELSON of Nebraska, Mr. PORTMAN, Mr. RISCH, Mr. ROBERTS, Ms. SNOWE, Ms. STABENOW, Mr. TESTER, Mr. THUNE, Mr. UDALL of Colorado, Mr. WICKER, Ms. CANTWELL, Mr. SHELBY, Mr. VITTER, Mr. KIRK, Mr. SESSIONS, Mr. WARNER, Mr. PRYOR, Mr. HATCH, Mr. NELSON of Florida, Mr. TOOMEY, and Mrs. MCCASKILL):

S. 18. A bill to repeal the expansion of information reporting requirements for payments of \$600 or more to corporations and for other purposes; to the Committee on Finance.

By Mr. HATCH (for himself, Mr. JOHANNNS, Mr. WICKER, Mr. BARRASSO, Mr. RISCH, Mr. INHOFE, Mr. BURR, Mr. COBURN, Mr. KIRK, Mr. CRAPO, Mr. PORTMAN, Mr. CHAMBLISS, Mr. KYL, Mr. ENZI, Mrs. HUTCHISON, Mr. ROBERTS, Mr. CORNYN, Mr. COATS, Mr. BLUNT, Mr. MCCAIN, Mr. ISAKSON, Mr. CORKER, and Mr. ENSIGN):

S. 19. A bill to restore American's individual liberty by striking the Federal mandate to purchase insurance; to the Committee on Finance.

By Mr. HATCH (for himself, Mr. JOHANNNS, Mr. WICKER, Mr. BARRASSO, Mr. RISCH, Mr. INHOFE, Mr. BURR, Mr. COBURN, Mr. KIRK, Mr. CRAPO, Mr. PORTMAN, Mr. CHAMBLISS, Mr. KYL, Mr. ENZI, Mrs. HUTCHISON, Mr. ROBERTS, Mr. CORNYN, Mr. COATS, Mr. BLUNT, Mr. MCCAIN, Mr. ISAKSON, Mr. CORKER, and Mr. ALEXANDER):

S. 20. A bill to protect American job creation by striking the job-killing Federal employer mandate; to the Committee on Finance.

By Mr. REID of Nevada (for himself, Mrs. FEINSTEIN, Mr. KERRY, Mr. LEAHY, Mr. LEVIN, Mr. LIEBERMAN, Mr. ROCKEFELLER, and Mr. BINGAMAN):

S. 21. A bill to secure the United States against cyber attack, to enhance American competitiveness and create jobs in the information technology industry, and to protect the identities and sensitive information of American citizens and businesses; to the Committee on Homeland Security and Governmental Affairs.

By Mrs. GILLIBRAND:

S. 22. A bill to amend the Internal Revenue Code of 1986 to permanently extend and expand the additional standard deduction for real property taxes for nonitemizers; to the Committee on Finance.

By Mr. LEAHY (for himself, Mr. HATCH, Mr. GRASSLEY, Ms. KLOBUCHAR, Mr. SESSIONS, Mr. KYL, Mr. LIEBERMAN, and Mr. COONS):

S. 23. A bill to amend title 35, United States Code, to provide for patent reform; to the Committee on the Judiciary.

By Ms. CANTWELL (for herself, Mr. ENSIGN, Mrs. MURRAY, Mr. REID of

Nevada, Mr. ALEXANDER, and Mr. NELSON of Florida):

S. 24. A bill to amend the Internal Revenue Code of 1986 to permanently extend the election to deduct State and local sales taxes; to the Committee on Finance.

By Mrs. SHAHEEN (for herself, Mr. KIRK, and Mr. DURBIN):

S. 25. A bill to phase out the Federal sugar program, and for other purposes; to the Committee on Agriculture, Nutrition, and Forestry.

By Mrs. SHAHEEN:

S. 26. A bill to amend the Internal Revenue Code of 1986 to repeal the percentage depletion allowance for certain hardrock mines, and to use the resulting revenues from such repeal for deficit reduction; to the Committee on Finance.

By Mr. KOHL (for himself, Mr. GRASSLEY, Mr. DURBIN, Ms. COLLINS, Ms. KLOBUCHAR, Mr. FRANKEN, Mr. BROWN of Ohio, and Mr. SANDERS):

S. 27. A bill to prohibit brand name drug companies from compensating generic drug companies to delay the entry of a generic drug into the market; to the Committee on the Judiciary.

By Mr. ROCKEFELLER (for himself, Mr. LAUTENBERG, Mr. NELSON of Florida, Ms. KLOBUCHAR, Mr. CARDIN, and Mr. HARKIN):

S. 28. A bill to amend the Communications Act of 1934 to provide public safety providers an additional 10 megahertz of spectrum to support a national, interoperable wireless broadband network and authorize the Federal Communications Commission to hold incentive auctions to provide funding to support such a network, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. REID of Nevada (for Mrs. FEINSTEIN (for herself and Mrs. BOXER)):

S. 29. A bill to establish the Sacramento-San Joaquin Delta National Heritage Area; to the Committee on Energy and Natural Resources.

By Ms. LANDRIEU (for herself, Mr. VITTER, Mr. COCHRAN, Mr. SHELBY, Mr. BAUCUS, and Mr. WICKER):

S. 30. A bill to amend the Internal Revenue code of 1986 to provide an additional year for the extension of the placed in service date for the low-income housing credit rules applicable to the GO Zone; to the Committee on Finance.

By Mr. FRANKEN:

S. 31. A bill to amend part D of title XVIII of the Social Security Act to authorize the Secretary of Health and Human Services to negotiate for lower prices for Medicare prescription drugs; to the Committee on Finance.

By Mr. LAUTENBERG (for himself, Mrs. FEINSTEIN, Mr. MENENDEZ, Mrs. BOXER, Mr. KERRY, Mr. REED of Rhode Island, Mr. LEVIN, Mr. FRANKEN, Mr. SCHUMER, and Mr. DURBIN):

S. 32. A bill to prohibit the transfer or possession of large capacity ammunition feeding devices, and for other purposes; to the Committee on the Judiciary.

By Mr. LIEBERMAN (for himself, Mr. SANDERS, Mr. REED of Rhode Island, Mrs. BOXER, Mr. UDALL of Colorado, Mr. HARKIN, Mr. BENNET, Mr. KOHL, Mr. UDALL of New Mexico, Mr. CARDIN, Ms. CANTWELL, Mrs. MURRAY, Mr. WHITEHOUSE, Mr. LEAHY, Mrs. FEINSTEIN, Ms. KLOBUCHAR, Mr. KERRY, Mr. DURBIN, Mr. WYDEN, and Mr. LAUTENBERG):

S. 33. A bill to designate a portion of the Arctic National Wildlife Refuge as wilderness; to the Committee on Environment and Public Works.

By Mr. LAUTENBERG (for himself, Mr. MENENDEZ, Mrs. FEINSTEIN, Mr. WHITEHOUSE, Mr. REED of Rhode Island, Mr. LEVIN, Mr. SCHUMER, Mr. DURBIN, and Mrs. BOXER):

S. 34. A bill to increase public safety by permitting the Attorney General to deny the transfer of firearms or the issuance of firearms and explosives licenses to known or suspected dangerous terrorists; to the Committee on the Judiciary.

By Mr. LAUTENBERG (for himself, Mr. REED of Rhode Island, Mr. MENENDEZ, Mr. KERRY, Mrs. FEINSTEIN, Mr. WHITEHOUSE, Mr. LEVIN, Mr. SCHUMER, Mr. DURBIN, Mrs. BOXER, and Mr. WYDEN):

S. 35. A bill to establish background check procedures for gun shows; to the Committee on the Judiciary.

By Mr. INOUE:

S. 36. A bill to amend title XIX of the Social Security Act to provide 100 percent reimbursement for medical assistance provided to a Native Hawaiian through a Federally-qualified health center or a Native Hawaiian health care system; to the Committee on Finance.

By Mr. INOUE:

S. 37. A bill to amend title XVIII of the Social Security Act to remove the restriction that a clinical psychologist or clinical social worker provide services in a comprehensive outpatient rehabilitation facility to a patient only under the care of a physician; to the Committee on Finance.

By Mr. INOUE:

S. 38. A bill to amend title XVIII of the Social Security Act to provide improved reimbursement for clinical social worker services under the Medicare program; to the Committee on Finance.

By Mr. INOUE:

S. 39. A bill to amend title VII of the Public Health Service Act to make certain graduate programs in professional psychology eligible to participate in various health professions loan programs; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE:

S. 40. A bill to amend the Public Health Service Act to promote mental and behavioral health services for underserved populations; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE:

S. 41. A bill to amend the Public Health Service Act to provide for the establishment of a National Office for Social Work Research; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE:

S. 42. A bill to amend title VII of the Public Health Service Act to ensure that social work students or social work schools are eligible for support under certain programs that would assist individuals in pursuing health careers or for grants for training projects in geriatrics, and to establish a social work training program; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE:

S. 43. A bill to amend title VII of the Public Health Service Act to establish a psychology post-doctoral fellowship program, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Ms. KLOBUCHAR (for herself and Mr. BEGICH):

S. 44. A bill to amend part D of title XVIII of the Social Security Act to require the Secretary of Health and Human Services to negotiate covered part D drug prices on behalf of Medicare beneficiaries; to the Committee on Finance.

By Mr. WHITEHOUSE (for himself, Mr. LEAHY, Mr. SANDERS, Mrs. BOXER,

Mr. DURBIN, Mr. BROWN of Ohio, and Mr. HARKIN):

S. 45. A bill to amend the Internal Revenue Code of 1986 to provide for the taxation of income of controlled foreign corporations attributable for imported property; to the Committee on Finance.

By Mr. INOUE (for himself, Mr. ROCKEFELLER, Mr. KERRY, Ms. SNOWE, and Mr. NELSON of Florida):

S. 46. A bill to reauthorize the Coral Reef Conservation Act of 2000, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. INOUE:

S. 47. A bill to amend chapter 81 of title 5, United States Code, to authorize the use of clinical social workers to conduct evaluations to determine work-related emotional and mental illnesses; to the Committee on Homeland Security and Governmental Affairs.

By Mr. INOUE (for himself, Mr. REED of Rhode Island, and Mr. BEGICH):

S. 48. A bill to amend the Public Health Service Act to provide for the participation of pharmacists in National Health Services Corps programs, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Mr. KOHL (for himself, Mr. VITTER, Mr. LEAHY, Mr. HATCH, Ms. KLOBUCHAR, Mr. FRANKEN, and Mr. TESTER):

S. 49. A bill to amend the Federal antitrust laws to provide expanded coverage and to eliminate exemptions from such laws that are contrary to the public interest with respect to railroads; to the Committee on the Judiciary.

By Mr. INOUE (for himself, Ms. SNOWE, and Mr. VITTER):

S. 50. A bill to strengthen Federal consumer product safety programs and activities with respect to commercially-marketed seafood by directing the Secretary of Commerce to coordinate with the Federal Trade Commission and other appropriate Federal agencies to strengthen and coordinate those programs and activities; to the Committee on Commerce, Science, and Transportation.

By Mr. INOUE:

S. 51. A bill to amend the Public Health Service Act to provide health care practitioners in rural areas with training in preventive health care, including both physical and mental care, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE (for himself, Mr. ROCKEFELLER, Mr. KERRY, Ms. SNOWE, and Ms. CANTWELL):

S. 52. A bill to establish uniform administrative and enforcement procedures and penalties for the enforcement of the High Seas Driftnet Fishing Moratorium Protection Act and similar statutes, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. INOUE (for himself and Mr. REED of Rhode Island):

S. 53. A bill to express the sense of the Senate concerning the establishment of Doctor of Nursing Practice and Doctor of Pharmacy dual degree programs; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE:

S. 54. A bill to implement demonstration projects at federally qualified community health centers to promote universal access to family centered, evidence-based behavior health interventions that prevent child maltreatment and promote family well-being by addressing parenting practices and skills for families from diverse socioeconomic, cultural, racial, ethnic, and other backgrounds, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Mr. INOUE:

S. 55. A bill to amend title XIX of the Social Security Act to provide for coverage of services provided by nursing school clinics under State Medicaid programs; to the Committee on Finance.

By Mr. INOUE:

S. 56. A bill to amend title XIX of the Social Security Act to improve access to advanced practice nurses and physician assistants under the Medicaid Program; to the Committee on Finance.

By Mr. INOUE:

S. 57. A bill to amend the Internal Revenue Code of 1986 to modify the application of the tonnage tax on certain vessels; to the Committee on Finance.

By Mr. INOUE:

S. 58. A bill to amend title XVIII of the Social Security Act to provide for patient protection by establishing safe nurse staffing levels at certain Medicare providers, and for other purposes; to the Committee on Finance.

By Mr. INOUE:

S. 59. A bill to treat certain hospital support organizations as qualified organizations for purposes of determining acquisition indebtedness; to the Committee on Finance.

By Mr. INOUE:

S. 60. A bill to provide relief to the Pottawatomi Nation in Canada for settlement of certain claims against the United States; to the Committee on the Judiciary.

By Mr. INOUE (for himself, Ms. MURKOWSKI, and Mr. BEGICH):

S. 61. A bill to establish a Native American Economic Advisory Council, and for other purposes; to the Committee on Indian Affairs.

By Mr. INOUE:

S. 62. A bill to amend the Federal Deposit Insurance Act to modify requirements relating to the location of bank branches on Indian reservations, and for other purposes; to the Committee on Banking, Housing, and Urban Affairs.

By Mr. INOUE:

S. 63. A bill to require the Secretary of the Army to determine the validity of the claims of certain Filipinos that they performed military service on behalf of the United States during World War II; to the Committee on Veterans' Affairs.

By Mr. INOUE:

S. 64. A bill to establish a fact-finding Commission to extend the study of a prior Commission to investigate and determine facts and circumstances surrounding the relocation, internment, and deportation to Axis countries of Latin Americans of Japanese descent from December 1941 through February 1948, and the impact of those actions by the United States, and to recommend appropriate remedies, and for other purposes; to the Committee on Homeland Security and Governmental Affairs.

By Mr. INOUE:

S. 65. A bill to reauthorize the programs of the Department of Housing and Urban Development for housing assistances for Native Hawaiians; to the Committee on Indian Affairs.

By Mr. INOUE:

S. 66. A bill to amend the Native Hawaiian Health Care Improvement Act to revise and extend that Act; to the Committee on Indian Affairs.

By Mr. INOUE:

S. 67. A bill to amend title 10, United States Code, to permit former members of the Armed Forces who have a service-connected disability rated as total to travel on military aircraft in the same manner and to the same extent as retired members of the Armed Forces are entitled to travel on such aircraft; to the Committee on Armed Services.

By Mr. INOUE:

S. 68. A bill to amend title 10, United States Code, to authorize certain disabled former prisoners of war to use Department of Defense commissary and exchange stores; to the Committee on Armed Services.

By Mr. TESTER:

S. 69. A bill to amend the Consumer Product Safety Improvement Act of 2008 to exclude secondary sales, repair services, and certain vehicles from the ban on lead in children's products, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. INOUE:

S. 70. A bill to restore the traditional day of observance of Memorial Day, and for other purposes; to the Committee on the Judiciary.

By Mr. INOUE:

S. 71. A bill to amend the Public Health Service Act to provide for health data regarding Native Hawaiians and other Pacific Islanders; to the Committee on Indian Affairs.

By Mr. BAUCUS (for himself, Mr. REID

of Nevada, Mr. BROWN of Massachusetts, Mr. BROWN of Ohio, Mr. BEGICH, Mr. CARDIN, Ms. LANDRIEU, Mr. NELSON of Nebraska, Ms. STABENOW, Mr. SCHUMER, Ms. KLOBUCHAR, Mrs. SHAHEEN, Mr. MENENDEZ, Mr. ROCKEFELLER, Ms. CANTWELL, Mr. MANCHIN, Mr. COONS, and Mr. FRANKEN):

S. 72. A bill to repeal the expansion of information reporting requirements for payments of \$600 or more to corporations, and for other purposes; to the Committee on Finance.

By Mr. SANDERS (for himself and Mr. LEAHY):

S. 73. A bill to provide for an earlier start for State health care coverage innovation waivers under the Patient Protection and Affordable Care Act, and for other purposes; to the Committee on Finance.

By Ms. CANTWELL (for herself and Mr. FRANKEN):

S. 74. A bill to preserve the free and open nature of the Internet, expand the benefits of broadband, and promote universally available and affordable broadband service; to the Committee on Commerce, Science, and Transportation.

By Mr. KOHL (for himself, Mrs. FEINSTEIN, Mr. DURBIN, Mr. WHITEHOUSE, Ms. KLOBUCHAR, Mr. FRANKEN, and Mr. WYDEN):

S. 75. A bill to restore the rule that agreements between manufacturers and retailers, distributors, or wholesalers to set the minimum price below which the manufacturer's product or service cannot be sold violates the Sherman Act; to the Committee on the Judiciary.

By Mrs. BOXER (for herself and Mr. CRAPO):

S. 76. A bill to direct the Administrator of the Environmental Protection Agency to investigate and address cancer and disease clusters, including in infants and children; to the Committee on Environment and Public Works.

By Mrs. BOXER:

S. 77. A bill to amend the Clean Air Act to reduce pollution and lower costs for building owners; to the Committee on Environment and Public Works.

By Mrs. BOXER:

S. 78. A bill to amend the Safe Drinking Water Act to protect the health of pregnant women, fetuses, infants, and children by requiring a health advisory and drinking water standard for perchlorate; to the Committee on Environment and Public Works.

By Mrs. BOXER (for herself and Mrs. FEINSTEIN):

S. 79. A bill to amend the Safe Drinking Water Act to protect the health of vulner-

able individuals, including pregnant women, infants, and children, by requiring a health advisory and drinking water standard for hexavalent chromium; to the Committee on Environment and Public Works.

By Mrs. HUTCHISON (for herself, Mr. BEGICH, Mr. BARRASSO, Mr. CORNYN, Mr. ALEXANDER, Mr. ENZI, and Mr. THUNE):

S. 80. A bill to provide a permanent deduction for State and local general sales taxes; to the Committee on Finance.

By Mr. ISAKSON (for himself, Mr. NELSON of Nebraska, Mr. JOHANNIS, Mr. BURR, Mr. WICKER, Mr. BARRASSO, Mr. LEE, Ms. COLLINS, Mr. ENZI, Mr. JOHNSON of Wisconsin, Mr. PAUL, Mr. CRAPO, Mr. THUNE, Mr. BROWN of Massachusetts, and Mr. GRAHAM):

S. 81. A bill to direct unused appropriations for Senate Official Personnel and Office Expense Accounts to be deposited in the Treasury and used for deficit reduction or to reduce the Federal debt; to the Committee on Rules and Administration.

By Mr. JOHANNIS (for himself, Ms. KLOBUCHAR, Mrs. HUTCHISON, Mr. BURR, Mr. CASEY, and Mr. THUNE):

S. 82. A bill to repeal the sunset of the Economic Growth and Tax Relief Reconciliation Act of 2001 with respect to the expansion of the adoption credit and adoption assistance programs, to repeal the sunset of the Patient Protection and Affordable Care Act with respect to increased dollar limitations for such credit and programs, and to allow the adoption credit to be claimed in the year expenses are incurred, regardless of when the adoption becomes final; to the Committee on Finance.

By Mr. VITTER:

S. 83. A bill to amend title IV of the Social Security Act to require States to implement a drug testing program for applicants for and recipients of assistance under the Temporary Assistance for Needy Families (TANF) program; to the Committee on Finance.

By Mr. VITTER (for himself and Mr. COCHRAN):

S. 84. A bill to amend the Internal Revenue Code of 1986 to allow refunds of Federal motor fuel excise taxes on fuels used in mobile mammography vehicles; to the Committee on Finance.

By Mr. JOHANNIS:

S. 85. A bill to amend the Internal Revenue Code of 1986 to reduce the maximum rate of tax on the income of corporations to 20 percent; to the Committee on Finance.

By Mr. VITTER:

S. 86. A bill to close the loophole that allowed the 9/11 hijackers to obtain credit cards from United States banks that financed their terrorist activities, to ensure that illegal immigrants cannot obtain credit cards to evade United States immigration laws, and for other purposes; to the Committee on Banking, Housing, and Urban Affairs.

By Mr. ROBERTS (for himself and Mr. MORAN):

S. 87. A bill to authorize and request the President to award the Medal of Honor posthumously to Captain Emil Kapaun of the United States Army for acts of valor during the Korean War; to the Committee on Armed Services.

By Mr. VITTER:

S. 88. A bill to amend the Internal Revenue Code of 1986 to provide a Federal income tax credit for certain stem cell research expenditures; to the Committee on Finance.

By Mr. VITTER (for himself, Mr. BURR, Mr. ISAKSON, Mr. WICKER, and Mr. INHOFE):

S. 89. A bill to repeal the imposition of withholding on certain payments made to vendors by government entities; to the Committee on Finance.

By Mr. CRAPO (for himself and Ms. KLOBUCHAR):

S. 90. A bill to establish the Military Family-Friendly Employer Award for employers that have developed and implemented workplace flexibility policies to assist the working spouses and caregivers of service members, and returning service members, in addressing family and home needs during deployments; to the Committee on Armed Services.

By Mr. WICKER (for himself, Mr. INHOFE, Mr. ENZI, Mr. BURR, Mr. VITTER, Mr. COBURN, Mr. THUNE, Mr. RISCH, Mr. COATS, Mr. BLUNT, Mr. MORAN, Mr. BOOZMAN, and Mr. PAUL):

S. 91. A bill to implement equal protection under the 14th article of amendment to the Constitution for the right to life of each born and unborn human person; to the Committee on the Judiciary.

By Mr. VITTER:

S. 92. A bill to amend the public charter school provisions of the Elementary and Secondary Education Act of 1965, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Mr. VITTER:

S. 93. A bill to amend the Internal Revenue Code of 1986 to allow expenses relating to all home schools to be qualified education expenses for purposes of a Coverdell education savings account; to the Committee on Finance.

By Mr. VITTER:

S. 94. A bill to amend the Internal Revenue Code of 1986 to provide a tax deduction for itemizers and nonitemizers for expenses relating to home schooling; to the Committee on Finance.

By Mr. VITTER:

S. 95. A bill to amend title II of the Social Security Act to provide that wages earned and self-employment income derived by individuals while such individuals were not citizens or nationals of the United States and were illegally in the United States shall not be credited for coverage under the old-age, survivors, and disability insurance program under such title; to the Committee on Finance.

By Mr. VITTER (for himself, Mr. WICKER, Mr. ENZI, Mr. INHOFE, and Mr. JOHANNIS):

S. 96. A bill to amend title X of the Public Health Service Act to prohibit family planning grants from being awarded to any entity that performs abortions; to the Committee on Health, Education, Labor, and Pensions.

By Mr. REID of Nevada (for Mrs. FEINSTEIN (for herself and Mrs. BOXER)):

S. 97. A bill to amend the Federal Water Pollution Control Act to establish a grant program to support the restoration of San Francisco Bay; to the Committee on Environment and Public Works.

By Mr. PORTMAN (for himself and Mr. LIEBERMAN):

S. 98. A bill to renew trade promotion authority, and for other purposes; to the Committee on Finance.

By Mr. BINGAMAN (for himself and Ms. MURKOWSKI):

S. 99. A bill to promote the production of molybdenum-99 in the United States for medical isotope production, and to condition and phase out the export of highly enriched uranium for the production of medical isotopes; to the Committee on Energy and Natural Resources.

By Mr. ENSIGN:

S. 100. A bill to amend the Internal Revenue Code of 1986 to extend and modify the railroad track maintenance credit; to the Committee on Finance.

By Mr. ENSIGN:

S. 101. A bill to amend the Internal Revenue Code of 1986 to improve the operation of



employee stock ownership plans, and for other purposes; to the Committee on Finance.

By Mr. MCCAIN (for himself, Mr. CARPER, Mr. PORTMAN, Mr. UDALL of Colorado, Mr. COATS, Mr. BENNET, Mr. ENZI, Mrs. MCCASKILL, Mr. CRAPO, Ms. KLOBUCHAR, Mr. BROWN of Massachusetts, Mr. JOHANNIS, Mr. ENSIGN, Mr. MORAN, Mr. WHITEHOUSE, Mr. COBURN, Mr. CARDIN, Mr. BEGICH, Mr. RISCH, Mr. LIEBERMAN, Mr. WARNER, Mr. THUNE, and Mrs. GILLIBRAND):

S. 102. A bill to provide an optional fast-track procedure the President may use when submitting rescission requests, and for other purposes; to the Committee on the Budget.

By Mr. VITTER:

S. 103. A bill to amend part B of the individuals with Disabilities Education Act to provide full Federal funding of such part; to the Committee on Health, Education, Labor, and Pensions.

By Mr. JOHANNIS (for himself, Mr. SCHUMER, Mr. GRASSLEY, Mr. CRAPO, Mr. RISCH, Mr. COBURN, Mrs. GILLIBRAND, Mr. MORAN, Ms. KLOBUCHAR, and Mr. FRANKEN):

S. 104. A bill to require the Administrator of the Environmental Protection Agency to finalize a proposed rule to amend the spill prevention, control, and countermeasure rule to tailor and streamline the requirements for the dairy industry, and for other purposes; to the Committee on Environment and Public Works.

By Mr. ENSIGN:

S. 105. A bill to provide for preferential duty treatment to certain apparel articles of the Philippines; to the Committee on Finance.

By Mr. ENSIGN:

S. 106. A bill to amend the Internal Revenue Code of 1986 to repeal the excise tax on telephone and other communications services; to the Committee on Finance.

By Mr. ENSIGN:

S. 107. A bill to amend the Internal Revenue Code of 1986 to treat income earned by mutual funds from exchange-traded funds holding precious metal bullion as qualifying income; to the Committee on Finance.

By Mr. ENSIGN:

S. 108. A bill to amend the Harmonized Tariff Schedule of the United States to modify the tariffs on certain footwear, and for other purposes; to the Committee on Finance.

By Mr. ENSIGN:

S. 109. A bill to amend the Atomic Energy Act of 1954 to require congressional approval of agreements for peaceful nuclear cooperation with foreign countries, and for other purposes; to the Committee on Finance.

By Mr. ENSIGN:

S. 110. A bill to amend the Internal Revenue Code of 1986 to promote charitable donations of qualified vehicles; to the Committee on Finance.

By Mr. ENSIGN:

S. 111. A bill to amend the Help America Vote Act of 2002 to require new voting systems to provide a voter-verified permanent record, to develop better accessible voting machines for individuals with disabilities, and for other purposes; to the Committee on Rules and Administration.

By Ms. COLLINS (for herself, Mr. LEAHY, and Ms. SNOWE):

S. 112. A bill to authorize the application of State law with respect to vehicle weight limitations on the Interstate Highway System in the States of Maine and Vermont; to the Committee on Environment and Public Works.

By Mrs. HUTCHISON:

S. 113. A bill to amend title II of the Social Security Act to repeal the windfall elimi-

nation provision and protect the retirement of public servants; to the Committee on Finance.

By Mrs. HUTCHISON:

S. 114. A bill to authorize the Secretary of the Interior to enter into a cooperative agreement for a park headquarters at San Antonio Missions National Historical Park, to expand the boundary of the Park, to conduct a study of potential land acquisitions, and for other purposes; to the Committee on Energy and Natural Resources.

By Mr. VITTER:

S. 115. A bill to amend the Migratory Bird Treaty Act to authorize hunting under certain circumstances; to the Committee on Environment and Public Works.

By Mr. VITTER (for himself and Mr. BARRASSO):

S. 116. A bill to provide for the establishment, on-going validation, and utilization of an official set of data on the historical temperature record, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. VITTER:

S. 117. A bill to authorize the Moving to Work Charter program to enable public housing agencies to improve the effectiveness of Federal housing assistance, and for other purposes; to the Committee on Banking, Housing, and Urban Affairs.

By Mr. VITTER:

S. 118. A bill to amend title II of the Social Security Act to allow workers who attain age 65 after 1981 and before 1992 to choose either lump sum payments over four years totaling \$5,000 or an improved benefit computation formula under a new 10-year rule governing the transition to the changes in benefit computation rules enacted in the Social Security Amendments of 1977, and for other purposes; to the Committee on Finance.

By Mr. VITTER:

S. 119. A bill to preserve open competition and Federal Government neutrality towards the labor relations of Federal Government contractors on Federal and federally funded construction projects; to the Committee on Homeland Security and Governmental Affairs.

By Mr. VITTER:

S. 120. A bill to direct the General Accountability Office to conduct a full audit of hurricane protection funding and cost estimates associated with post-Katrina hurricane protection; to the Committee on Homeland Security and Governmental Affairs.

By Mr. VITTER:

S. 121. A bill to impose admitting privilege requirements with respect to physicians who perform abortions; to the Committee on Health, Education, Labor, and Pensions.

By Mr. VITTER:

S. 122. A bill to provide for congressional approval of national monuments and restrictions on the use of national monuments; to the Committee on Energy and Natural Resources.

By Mr. VITTER:

S. 123. A bill to establish a procedure to safeguard the Social Security Trust Funds; to the Committee on the Budget.

By Mr. VITTER:

S. 124. A bill to require all public school employees and those employed in connection with a public school to receive FBI background checks prior to being hired, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Mr. VITTER:

S. 125. A bill to ensure efficiency and fairness in the awarding of Federal contracts in connection with natural disaster reconstruction efforts; to the Committee on Homeland Security and Governmental Affairs.

By Mr. VITTER:

S. 126. A bill to reduce the amount of financial assistance provided to the Govern-

ment of Mexico in response to the illegal border crossings from Mexico into the United States, which serve to dissipate the political discontent with the higher unemployment rate within Mexico; to the Committee on Rules and Administration.

By Mrs. HUTCHISON:

S. 127. A bill to establish the Buffalo Bayou National Heritage Area in the State of Texas, and for other purposes; to the Committee on Energy and Natural Resources.

By Mr. VITTER:

S. 128. A bill to amend title 44 of the United States Code, to provide for the suspension of fines under certain circumstances for first-time paperwork violations by small business concerns; to the Committee on Homeland Security and Governmental Affairs.

By Mr. VITTER:

S. 129. A bill to provide for full and open competition for Federal contracts related to natural disaster reconstruction efforts; to the Committee on Homeland Security and Governmental Affairs.

By Mr. VITTER:

S. 130. A bill to prohibit authorized committees and leadership PACs from employing the spouse or immediate family members of any candidate or Federal office holder connected to the committee; to the Committee on Rules and Administration.

By Mr. ENSIGN:

S. 131. A bill to prohibit the use of stimulus funds for signage indicating that a project is being carried out using those funds; to the Committee on Environment and Public Works.

By Mr. LEAHY:

S. 132. A bill to establish an Office of Forensic Science and a Forensic Science Board, to strengthen and promote confidence in the criminal justice system by ensuring consistency and scientific validity in forensic testing, and for other purposes; to the Committee on the Judiciary.

By Mrs. MCCASKILL (for herself, Mr. MCCAIN, Mr. WEBB, Mr. BENNET, Mrs. MURRAY, Mr. BROWN of Ohio, Mr. CASEY, Mr. WHITEHOUSE, Mrs. GILLIBRAND, Mr. AKAKA, Ms. STABENOW, Mr. ROCKEFELLER, and Mr. TESTER):

S. 133. A bill to repeal the provision of law that provides automatic pay adjustments for Members of Congress; to the Committee on Homeland Security and Governmental Affairs.

By Mr. BINGAMAN (for himself and Mr. UDALL of New Mexico):

S. 134. A bill to authorize the Mescalero Apache Tribe to lease adjudicated water rights; to the Committee on Indian Affairs.

By Mr. ENSIGN:

S. 135. A bill to make the moratorium on Internet access taxes and multiple and discriminatory taxes on electronic commerce permanent; to the Committee on Finance.

By Mr. REID of Nevada (for Mrs. FEINSTEIN (for herself, Mr. SCHUMER, Mr. KERRY, Mr. SANDERS, and Mr. FRANKEN)):

S. 136. A bill to establish requirements with respect to bisphenol A; to the Committee on Health, Education, Labor, and Pensions.

By Mr. REID of Nevada (for Mrs. FEINSTEIN (for herself, Mr. INOUE, Mrs. BOXER, Mr. SANDERS, Mr. WHITEHOUSE, Mr. CASEY, and Mr. LAUTENBERG)):

S. 137. A bill to amend the Public Health Service Act to provide protections for consumers against excessive, unjustified, or unfairly discriminatory increases in premium rates; to the Committee on Health, Education, Labor, and Pensions.

By Mr. REID of Nevada (for Mrs. FEINSTEIN):

S. 138. A bill to provide for conservation, enhanced recreation opportunities, and development of renewable energy in the California Desert Conservation Area, and for other purposes; to the Committee on Energy and Natural Resources.

By Mr. BAUCUS (for himself, Mr. GRASSLEY, Mr. LEVIN, Mr. BINGAMAN, Mr. WYDEN, Mr. CONRAD, Mr. ENZI, and Mr. KERRY):

S. 139. A bill to provide that certain tax planning strategies are not patentable, and for other purposes; to the Committee on the Judiciary.

By Mr. LEVIN (for himself and Ms. STABENOW):

S. 140. A bill to designate as wilderness certain land and inland water within the Sleeping Bear Dunes National Lakeshore in the State of Michigan, and for other purposes; to the Committee on Energy and Natural Resources.

By Mr. VITTER:

S. 141. A bill to amend the Internal Revenue Code of 1986 to expand the Coverdell education savings accounts to allow home school education expenses, and for other purposes; to the Committee on Finance.

By Mr. GRASSLEY (for himself and Mr. HARKIN):

S. 142. A bill to direct the Secretary of Agriculture to convey certain federally owned land located in Story County, Iowa; to the Committee on Agriculture, Nutrition, and Forestry.

By Mrs. HUTCHISON (for herself and Mr. CARDIN):

S. 143. A bill to amend the Internal Revenue Code of 1986 to clarify the treatment of church pension plans, and for other purposes; to the Committee on Finance.

By Mr. ENSIGN:

S. 144. A bill to prohibit the further extension or establishment of national monuments in Nevada except by express authorization of Congress; to the Committee on Energy and Natural Resources.

By Mr. KOHL:

S. 145. A bill to promote labor force participation of older Americans with the goals of increasing retirement security, reducing the projected shortage of experienced workers, maintaining future economic growth, and improving the Nation's fiscal outlook; to the Committee on Finance.

By Mr. BAUCUS (for himself, Mr. TESTER, Mr. GRASSLEY, and Mr. BURR):

S. 146. A bill to amend the Internal Revenue Code of 1986 to extend the work opportunity credit to certain recently discharged veterans; to the Committee on Finance.

By Mr. KIRK (for himself and Mr. DURBIN):

S. 147. A bill to amend the Federal Water Pollution Control Act to establish a deadline for restricting sewage dumping into the Great Lakes and to fund programs and activities for improving wastewater discharges into the Great Lakes; to the Committee on Environment and Public Works.

By Mr. VITTER (for himself, Mr. GRASSLEY, Mr. ENSIGN, Mr. BURR, Mr. JOHANNES, and Mr. ENZI):

S. 148. A bill to repeal the provision of law that provides automatic pay adjustments for Members of Congress; to the Committee on Homeland Security and Governmental Affairs.

By Mr. REID of Nevada (for Mrs. FEINSTEIN):

S. 149. A bill to extend the expiring provisions of the USA PATRIOT Improvement and Reauthorization Act of 2005, the Intelligence Reform and Terrorism Prevention Act of 2004, and the FISA Amendments Act of 2008 until December 31, 2013, and for other purposes; to the Committee on the Judiciary.

By Mr. KOHL:

S. 150. A bill to promote labor force participation of older Americans, with the goals of increasing retirement security, reducing the projected shortage of experienced workers, maintaining future economic growth, and improving the Nation's fiscal outlook; to the Committee on Health, Education, Labor, and Pensions.

By Mrs. HUTCHISON (for herself and Mr. CORNYN):

S. 151. A bill to eliminate certain provisions relating to Texas and the Education Jobs Fund; to the Committee on Health, Education, Labor, and Pensions.

By Mr. LUGAR:

S. 152. A bill to amend title 49, United States Code, to ensure the availability of dual fueled automobiles and light duty trucks, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. ROCKEFELLER (for himself, Mr. HARKIN, Mrs. MURRAY, and Mr. MANCHIN):

S. 153. A bill to improve compliance with mine and occupational safety and health laws, empower workers to raise safety concerns, prevent future mine and other workplace tragedies, establish rights of families of victims of workplace accidents, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

By Mr. KOHL (for himself and Mr. BROWN of Ohio):

S. 154. A bill to authorize the Secretary of Education to make grants to support early college high schools and other dual enrollment programs; to the Committee on Health, Education, Labor, and Pensions.

By Mr. KOHL:

S. 155. A bill to amend the Internal Revenue Code of 1986 to provide an enhanced credit for research and development by companies that manufacture products in the United States; to the Committee on Finance.

By Mr. KOHL (for himself, Mr. CORKER, Mr. ALEXANDER, and Mr. PRYOR):

S. 156. A bill to amend the Energy Policy and Conservation Act to provide a uniform efficiency descriptor for covered water heaters; to the Committee on Energy and Natural Resources.

By Mr. KOHL:

S. 157. A bill to amend the Internal Revenue Code of 1986 to provide an investment credit for solar light pipe property, and for other purposes; to the Committee on Finance.

By Mr. ROCKEFELLER (for himself and Mrs. HUTCHISON):

S. 158. A bill to reauthorize the Surface Transportation Board, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. KOHL (for himself, Mr. WYDEN, and Ms. KLOBUCHAR):

S. 159. A bill to improve consumer protections for purchasers of long-term care insurance, and for other purposes; to the Committee on Finance.

By Mrs. BOXER (for herself and Mrs. GILLIBRAND):

S. 160. A bill to amend the Internal Revenue Code of 1986 to increase the credit for employers establishing workplace child care facilities, to increase the child care credit to encourage greater use of quality child care services, to provide incentives for students to earn child care-related degrees and to work in child care facilities, and to increase the exclusion for employer-provided dependent care assistance; to the Committee on Finance.

By Mrs. BOXER (for herself and Mrs. FEINSTEIN):

S. 161. A bill to establish Pinnacles National Park in the State of California as a

unit of the National Park System, and for other purposes; to the Committee on Energy and Natural Resources.

By Mr. PAUL:

S. 162. A bill to cut \$500,000,000 in spending in fiscal year 2011; read the first time.

By Mr. TOOMEY (for himself, Mr. BLUNT, Mr. LEE, Mr. DEMINT, Mr. BARRASSO, Mr. JOHANNES, Mr. ISAKSON, Mr. KIRK, Mr. ENZI, Mr. VITTER, Mr. INHOFE, Mr. JOHNSON of Wisconsin, Mr. PAUL, Mr. ENSIGN, Mr. COBURN, Mr. CHAMBLISS, and Mr. RUBIO):

S. 163. A bill to require that the Government prioritize all obligations on the debt held by the public in the event that the debt limit is reached; read the first time.

By Mr. BROWN of Massachusetts (for himself and Ms. SNOWE):

S. 164. A bill to repeal the imposition of withholding on certain payments made to vendors by government entities; to the Committee on Finance.

By Mr. VITTER (for himself, Mr. COBURN, Mr. ENZI, and Mr. COATS):

S. 165. A bill to amend the Public Health Services Act to prohibit certain abortion-related discrimination in governmental activities; to the Committee on Health, Education, Labor, and Pensions.

By Mr. LUGAR (for himself and Mr. LEAHY):

S. 166. A bill to amend the Internal Revenue Code of 1986 to permanently extend and expand the charitable deduction for contributions of food inventory; to the Committee on Finance.

By Mr. ENSIGN (for himself, Mr. MCCONNELL, Mr. NELSON of Nebraska, Mr. GRASSLEY, Mr. SHELBY, Mr. BURR, Mr. WICKER, Mr. THUNE, Mr. ROBERTS, Mr. CORNYN, Mr. VITTER, and Mr. GRAHAM):

S. 167. A bill to amend title 18, United States Code, to prohibit taking minors across State lines in circumvention of laws requiring the involvement of parents in abortion decisions; to the Committee on the Judiciary.

By Mr. VITTER (for himself, Mr. MCCAIN, and Mr. HATCH):

S. 168. A bill to amend the Help America Vote Act of 2002 to establish standards for the distribution of voter registration application forms and to require organizations to register with the State prior to the distribution of such forms; to the Committee on Rules and Administration.

By Mr. VITTER (for himself, Mr. GRASSLEY, and Mr. COBURN):

S. 169. A bill to prohibit appropriated funds from being used in contravention of section 642(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996; to the Committee on the Judiciary.

By Mrs. BOXER:

S. 170. A bill to provide for the affordable refinancing of mortgages held by Fannie Mae and Freddie Mac; to the Committee on Banking, Housing, and Urban Affairs.

By Mrs. BOXER (for herself, Ms. CANTWELL, Mrs. MURRAY, Mrs. FEINSTEIN, Mr. WYDEN, and Mr. MERKLEY):

S. 171. A bill to amend the Outer Continental Shelf Lands Act to permanently prohibit the conduct of offshore drilling on the Outer Continental Shelf off the coast of California, Oregon, and Washington; to the Committee on Energy and Natural Resources.

By Mrs. BOXER (for herself and Mrs. FEINSTEIN):

S. 172. A bill to amend the National Trails System Act to provide for the study of the Western States Trail; to the Committee on Energy and Natural Resources.

By Mrs. BOXER (for herself and Mrs. FEINSTEIN):

S. 173. A bill to establish the Sacramento River National Recreation Area in the State of California; to the Committee on Energy and Natural Resources.

By Mr. HARKIN:

S. 174. A bill to improve the health of Americans and reduce health care costs by reorienting the Nation's health care system toward prevention, wellness, and health promotion; to the Committee on Finance.

By Mrs. BOXER:

S. 175. A bill to provide enhanced Federal enforcement and assistance in preventing and prosecuting crimes of violence against children; to the Committee on the Judiciary.

By Mrs. BOXER:

S. 176. A bill to establish minimum standards for States that allow the carrying of concealed firearms; to the Committee on the Judiciary.

By Mrs. BOXER:

S. 177. A bill to authorize the Secretary of the Interior to acquire the Gold Hill Ranch in Coloma, California; to the Committee on Energy and Natural Resources.

By Mr. DEMINT:

S. 178. A bill to reduce Federal spending by \$2.5 trillion through fiscal year 2021; to the Committee on Finance.

By Mrs. BOXER (for herself and Mrs. FEINSTEIN):

S. 179. A bill to expand the boundaries of the Gulf of the Farallones National Marine Sanctuary and the Cordell Bank National Marine Sanctuary, and for other purposes; to the Committee on Commerce, Science, and Transportation.

By Mr. ENSIGN:

S. 180. A bill to require a 50-hour workweek for Federal prison inmates, to reform inmate work programs, and for other purposes; to the Committee on the Judiciary.

By Mr. ENSIGN (for himself, Mr. BURR, Mr. ENZI, Mr. BARRASSO, Mr. ROBERTS, and Mr. CORNYN):

S. 181. A bill to amend title II of the Social Security Act to preserve and protect Social Security benefits of American workers and to help ensure greater congressional oversight of the Social Security system by requiring that both Houses of Congress approve a totalization agreement before the agreement, giving foreign workers Social Security benefits, can go into effect; to the Committee on Finance.

By Mr. VITTER:

S. 182. A bill to prohibit the Federal Government from awarding contracts, grants, or other agreements to, providing any other Federal funds to, or engaging in activities that promote the Association of Community Organizations for Reform Now and its shell companies which have been given new names; to the Committee on Homeland Security and Governmental Affairs.

By Mr. ROCKEFELLER (for himself, Mr. SCHUMER, and Mr. WHITEHOUSE):

S. 183. A bill to clarify the applicability of certain maritime laws with respect to the blowout and explosion of the mobile offshore drilling unit Deepwater Horizon; to the Committee on Commerce, Science, and Transportation.

By Mr. ENSIGN:

S. 184. A bill to prohibit taxpayer bailouts of fiscally irresponsible State and local governments; to the Committee on Banking, Housing, and Urban Affairs.

By Mrs. BOXER (for herself, Mr. BURR, Mr. CARDIN, and Mr. BROWN of Massachusetts):

S. 185. A bill to provide United States assistance for the purpose of eradicating severe forms of trafficking in children in eligible countries through the implementation of Child Protection Compacts, and for other purposes; to the Committee on Foreign Relations.

By Mrs. BOXER (for herself, Mr. DURBIN, Mrs. GILLIBRAND, and Mr. BROWN of Ohio):

S. 186. A bill to provide for the safe and responsible redeployment of United States combat forces from Afghanistan; to the Committee on Foreign Relations.

By Mr. HARKIN (for himself, Mr. JOHNSON of South Dakota, Ms. KLOBUCHAR, and Mr. FRANKEN):

S. 187. A bill to provide for the expansion of the biofuels market; to the Committee on Energy and Natural Resources.

By Mr. VITTER:

S.J. Res. 1. A joint resolution proposing an amendment to the Constitution of the United States relative to limiting the number of terms that a Member of Congress may serve; to the Committee on the Judiciary.

By Mr. VITTER (for himself and Mr. PAUL):

S.J. Res. 2. A joint resolution proposing an amendment to the Constitution of the United States relating to United States citizenship; to the Committee on the Judiciary.

#### SUBMISSION OF CONCURRENT AND SENATE RESOLUTIONS

The following concurrent resolutions and Senate resolutions were read, and referred (or acted upon), as indicated:

By Mr. MCCAIN (for himself, Mr. KYL, Mr. REID of Nevada, Mr. MCCONNELL, Mr. AKAKA, Mr. ALEXANDER, Ms. AYOTTE, Mr. BARRASSO, Mr. BAUCUS, Mr. BEGICH, Mr. BENNETT, Mr. BINGAMAN, Mr. BLUMENTHAL, Mr. BLUNT, Mr. BOOZMAN, Mrs. BOXER, Mr. BROWN of Massachusetts, Mr. BROWN of Ohio, Mr. BURR, Ms. CANTWELL, Mr. CARDIN, Mr. CARPER, Mr. CASEY, Mr. CHAMBLISS, Mr. COATS, Mr. COBURN, Mr. COCHRAN, Ms. COLLINS, Mr. CONRAD, Mr. COONS, Mr. CORKER, Mr. CORNYN, Mr. CRAPO, Mr. DEMINT, Mr. DURBIN, Mr. ENSIGN, Mr. ENZI, Mrs. FEINSTEIN, Mr. FRANKEN, Mrs. GILLIBRAND, Mr. GRAHAM, Mr. GRASSLEY, Mrs. HAGAN, Mr. HARKIN, Mr. HATCH, Mr. HOEVEN, Mrs. HUTCHISON, Mr. INHOFE, Mr. INOUE, Mr. ISAKSON, Mr. JOHANNIS, Mr. JOHNSON of Wisconsin, Mr. JOHNSON of South Dakota, Mr. KERRY, Mr. KIRK, Ms. KLOBUCHAR, Mr. KOHL, Ms. LANDRIEU, Mr. LAUTENBERG, Mr. LEAHY, Mr. LEE, Mr. LEVIN, Mr. LIEBERMAN, Mr. LUGAR, Mr. MANCHIN, Mrs. MCCASKILL, Mr. MENENDEZ, Mr. MERKLEY, Ms. MIKULSKI, Mr. MORAN, Ms. MURKOWSKI, Mrs. MURRAY, Mr. NELSON of Nebraska, Mr. NELSON of Florida, Mr. PAUL, Mr. PORTMAN, Mr. PRYOR, Mr. REED of Rhode Island, Mr. RISCH, Mr. ROBERTS, Mr. ROCKEFELLER, Mr. RUBIO, Mr. SANDERS, Mr. SCHUMER, Mr. SESSIONS, Mrs. SHAHEEN, Mr. SHELBY, Ms. SNOWE, Ms. STABENOW, Mr. TESTER, Mr. THUNE, Mr. TOOMEY, Mr. UDALL of Colorado, Mr. UDALL of New Mexico, Mr. VITTER, Mr. WARNER, Mr. WEBB, Mr. WHITEHOUSE, Mr. WICKER, and Mr. WYDEN):

S. Res. 14. A resolution honoring the victims and heroes of the shooting on January 8, 2011 in Tucson, Arizona; ordered held at the desk.

By Mr. INOUE (for himself and Mr. COCHRAN):

S. Res. 15. A resolution designating the week of August 1 through August 7, 2011, as "National Convenient Care Clinic Week", and supporting the goals and ideals of raising awareness of the need for accessible and

cost-effective health care options to complement the traditional health care model; to the Committee on the Judiciary.

By Mr. ENSIGN (for himself, Mr. BURR, Mr. ENZI, Mr. VITTER, Mr. CRAPO, Mr. ISAKSON, Mr. JOHANNIS, Mr. COBURN, and Mr. THUNE):

S. Res. 16. A resolution to require that all legislative matters be available and fully scored by CBO 72 hours before consideration by any subcommittee or committee of the Senate or on the floor of the Senate; to the Committee on Rules and Administration.

By Mr. INOUE:

S. Res. 17. A resolution designating the month of November 2011 as "National Military Family Month"; to the Committee on the Judiciary.

By Mr. VITTER:

S. Res. 18. A resolution expressing support for prayer at school board meetings; to the Committee on Health, Education, Labor, and Pensions.

By Mr. ENSIGN:

S. Res. 19. A resolution to require that a descriptive summary of each provision of any legislative matter be available 72 hours before consideration by any subcommittee or committee of the Senate or on the floor of the Senate; to the Committee on Rules and Administration.

By Mr. JOHANNIS (for himself, Mr. GRASSLEY, Mrs. HUTCHISON, Mr. ROBERTS, Mr. BOOZMAN, Mr. CORNYN, Mr. PORTMAN, Mr. INHOFE, Mr. ENZI, Mr. LUGAR, Mr. WICKER, and Mr. CHAMBLISS):

S. Res. 20. A resolution expressing the sense of the Senate that the United States should immediately approve the United States-Korea Free Trade Agreement, the United States-Colombia Trade Promotion Agreement, and the United States-Panama Trade Promotion Agreement; to the Committee on Finance.

By Mr. MERKLEY (for himself and Mr. UDALL of New Mexico):

S. Res. 21. A resolution to amend the Standing Rules of the Senate to provide procedures for extended debate; submitted and read.

By Mr. MENENDEZ (for himself, Mr. DURBIN, Mr. WHITEHOUSE, Mr. WICKER, Mr. CARDIN, Mr. INHOFE, Mr. LAUTENBERG, Mr. LEVIN, Mr. CASEY, Mr. JOHNSON of South Dakota, Mrs. BOXER, and Mr. KYL):

S. Res. 22. A resolution condemning the New Year's Day attack on the Coptic Christian community in Alexandria, Egypt and urging the Government of Egypt to fully investigate and prosecute the perpetrators of this heinous act; to the Committee on Foreign Relations.

By Mr. INHOFE (for himself and Mr. MCCAIN):

S. Res. 23. A resolution to prohibit unauthorized earmarks; to the Committee on Rules and Administration.

By Mr. MERKLEY (for himself and Mr. UDALL of New Mexico):

S. Res. 24. A resolution to propose a standing order to govern extended debate; submitted and read.

By Mrs. BOXER:

S. Res. 25. A resolution expressing the sense of the Senate that comprehensive tax reform legislation should include incentives for companies to repatriate foreign earnings for the purpose of creating new jobs; to the Committee on Finance.

By Mr. HARKIN (for himself and Mr. GRASSLEY):

S. Con. Res. 3. A concurrent resolution honoring the service and sacrifice of Staff Sergeant Salvatore Giunta, a native of Hiawatha, Iowa, and the first living recipient of the Medal of Honor since the Vietnam War; considered and agreed to.

## ADDITIONAL COSPONSORS

S. RES. 11

At the request of Mr. WYDEN, the names of the Senator from Oklahoma (Mr. INHOFE), the Senator from Nebraska (Mr. JOHANNIS) and the Senator from Montana (Mr. TESTER) were added as cosponsors of S. Res. 11, a resolution to establish as a standing order of the Senate that a Senator publicly disclose a notice of intent to objecting to any measure or matter.

## STATEMENTS ON INTRODUCED BILLS AND JOINT RESOLUTIONS

By Mr. REID (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, Mrs. SHAHEEN, and Mr. AKAKA):

S. 1. A bill to strengthen the economic competitiveness of the United States; to the Committee on Finance.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 1

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "American Competitiveness Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) eliminate tax loopholes that encourage companies to ship American jobs overseas;

(2) expand markets for United States exports by enforcing trade laws, stopping unfair currency manipulation, and opening up new markets for products made in the United States;

(3) promote the development of new, innovative products bearing the inscription "Made in America" by creating tax incentives to support United States industries and funding research and education programs to support and train workers in those newly developed areas;

(4) modernize and improve the highways, bridges, and transit systems of the United States to reduce congestion and the negative impacts of congestion on productivity and the communities of the United States;

(5) modernize and upgrade the rail, levees, dams, and ports of the United States to get commerce flowing farther and faster;

(6) place computers in classrooms to ensure that all children in the United States have the tools they need to be the innovators of tomorrow;

(7) ensure that small businesses and households in the United States have access to high-speed broadband;

(8) invest in critical new infrastructure, such as a national energy grid, to reduce energy waste and promote the use of renewable energy sources; and

(9) streamline regulatory policies that unnecessarily put the United States at a competitive disadvantage.

By Mr. REID (for himself, Mr. DURBIN, Mrs. FEINSTEIN, Mr. BROWN of Ohio, Mr. KERRY, Mrs. GILLIBRAND, Mrs. BOXER, Mr. LAUTENBERG, and Mr. AKAKA):

S. 2. A bill to help middle class families succeed; to the Committee on Finance.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 2

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Middle Class Success Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) support middle class tax relief;

(2) help families afford the cost of college and improve opportunities for a secure retirement;

(3) invest in infrastructure and other measures to create good, well-paying jobs;

(4) help ensure that families have access to affordable child and elder care;

(5) preserve and improve affordable health care;

(6) ensure that all workers earn enough to meet basic living standards and do not live in poverty;

(7) ensure that tax dollars do not support companies that break the law or mistreat their workers;

(8) keep Social Security's promise and block proposals to privatize the program;

(9) ensure that families have access to a healthy and clean environment, including access to safe drinking water;

(10) ensure that workers can secure representation without employer obstruction;

(11) ensure that our streets and communities are safe; and

(12) address the serious housing problems facing many American families.

By Mr. REID (for himself, Mr. DURBIN, Mrs. FEINSTEIN, Mr. BROWN of Ohio, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, and Mrs. SHAHEEN):

S. 3. A bill to promote fiscal responsibility and control spending; to the Committee on Finance.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 3

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Fiscal Responsibility and Spending Control Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) address the growing public concern about our rising national debt and long-term fiscal challenges through a bipartisan agreement that—

(A) significantly corrects our Nation's long-term fiscal imbalances and closes the gap between projected revenues and expenditures;

(B) ensures the economic security of the United States; and

(C) enhances future prosperity and growth for all Americans;

(2) reduce the Federal deficit and stabilize the national debt without damaging the economic recovery;

(3) consider deficit reduction proposals recently developed by leading budget experts, including various members of the National Commission on Fiscal Responsibility and Reform, and establish a plan that can attract broad bipartisan support;

(4) ensure that any plan to address our Nation's long-term fiscal problems is balanced and provides fundamental reform of the Federal tax code along with prudent controls on spending;

(5) lower tax rates and raise Federal revenues by eliminating tax expenditures that only serve special interests, as well as take aggressive measures to close the tax gap and stop cheating;

(6) ensure that the Federal tax code fairly distributes the tax burden and helps American businesses compete in the global marketplace;

(7) extend the solvency of Social Security for its own sake and ensure that no savings are used to meet deficit reduction goals in the remainder of the budget;

(8) achieve savings through the elimination or consolidation of duplicative Federal programs and activities while also modernizing Federal procurement practices in order to reduce waste and leverage better value out of every dollar spent by the Federal Government; and

(9) reject efforts to exempt tax breaks for millionaires and special interests from strong pay-as-you-go budgetary rules.

By Mr. REID (for himself, Mr. BINGAMAN, Mr. BROWN of Ohio, Mr. DURBIN, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, Mr. LAUTENBERG, Mrs. SHAHEEN, and Mr. AKAKA):

S. 4. A bill to make America the world's leader in clean energy; to the Committee on Finance.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 4

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Make America the World's Leader in Clean Energy Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) promote investment in clean energy jobs and industries;

(2) free the United States from dependence on oil, especially foreign oil;

(3) reduce costs and pollution by promoting energy efficiency;

(4) promote clean energy by retooling the infrastructure and workforce of the United States;

(5) ensure the Federal Government is a leader in reducing pollution, promoting the use of clean energy sources, and implementing energy efficient practices;

(6) reduce harmful energy-related air, land, and water pollution; and

(7) eliminate wasteful tax subsidies that promote pollution.

By Mr. REID (for himself, Mr. DURBIN, Mr. WYDEN, Mr. BROWN of Ohio, Mr. BENNET, Mrs.

GILLIBRAND, Mr. COONS, Mr. INOUE, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, Mrs. SHAHEEN, and Mr. AKAKA):

S. 5. A bill to reform schools and give America's children the tools they need to succeed; to the Committee on Health, Education, Labor, and Pensions.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 5

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Reform America's Schools to Educate the Leaders of the Future Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) ensure that all students have equitable access to a high-quality, well-rounded education that prepares them to succeed in college and a career;

(2) fix No Child Left Behind's accountability system while continuing to focus on the success of all students;

(3) provide States and districts the resources to turn around our lowest performing schools;

(4) collaborate with teachers to put in place systems to measure teacher quality and supports to help teachers improve student achievement; and

(5) promote programs that encourage parent engagement, community involvement, and youth development.

By Mr. REID (for himself, Mr. DURBIN, Mr. LEAHY, Mrs. FEINSTEIN, Mr. BROWN of Ohio, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mrs. BOXER, and Mr. LAUTENBERG):

S. 6. A bill to reform America's broken immigration system; to the Committee on the Judiciary.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 6

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Reform America's Broken Immigration System Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) fulfill and strengthen our Nation's commitments regarding border security;

(2) pass legislation to support our national and economic security, such as the DREAM Act, which would allow students who came to America before turning 16 to earn citizenship by attending college or joining the armed forces, and AgJobs, which would help to ensure a stable and legal agricultural workforce and protect the sustainability of the American agricultural industry;

(3) implement a rational legal immigration system to ensure that the best and brightest

minds of the world can come to the United States and create jobs for Americans while, at the same time, safeguarding the rights and wages of American workers;

(4) require all United States workers to obtain secure, tamper-proof identification to prevent employers from hiring people here illegally, and toughen penalties on employers who break labor and immigration laws;

(5) hold people accountable who are currently here illegally by requiring them to either earn legal status through a series of penalties, sanctions, and requirements, or face immediate deportation; and

(6) adopt practical and fair immigration reforms to help ensure that families are able to be together.

By Mr. REID (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mrs. GILLIBRAND, Mrs. BOXER, and Mr. LAUTENBERG):

S. 7. A bill to reform the Federal tax code; to the Committee on Finance.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 7

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Comprehensive and Fair Tax Reform Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) simplify and shrink the tax code to reduce burdens on taxpayers and businesses;

(2) eliminate wasteful tax breaks for special interests and remove corporate tax loopholes;

(3) get rid of extra tax breaks for millionaires and billionaires; and

(4) crack down on cheaters and close the tax gap.

By Mr. REID (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mr. BENNET, Mrs. GILLIBRAND, Mr. COONS, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, and Mr. AKAKA):

S. 8. A bill to strengthen America's national security; to the Committee on Foreign Relations.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 8

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Tough and Smart National Security Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) ensure that members of the Armed Forces, particularly those serving in Afghanistan and Iraq, and veterans get the support they need and deserve;

(2) work with the President to attack al Qaeda and other terrorist groups with a comprehensive military, intelligence, homeland security, law enforcement, and diplomatic strategy;

(3) confront the nuclear threat from Iran and North Korea;

(4) enhance the tools of the United States Government for pursuing key national security interests, including fighting terrorism, preventing failed states, thwarting global pandemics, promoting democracy and development, securing nuclear materials and preventing nuclear proliferation, and combating narco-trafficking and drug-related violence around the world, including along our border with Mexico; and

(5) reform cybersecurity policy to prevent cyber attacks on the United States Government and critical infrastructure, protect privacy and civil liberties, and implement mechanisms necessary to avert and respond to catastrophic cyber incidents.

By Mr. REID (for himself, Mr. DURBIN, Mr. WYDEN, Mr. BROWN of Ohio, Mr. KERRY, Mr. BENNET, Mrs. GILLIBRAND, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, and Mr. AKAKA):

S. 9. A bill to reform America's political system and eliminate gridlock that blocks progress; to the Committee on Rules and Administration.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 9

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Political Reform and Gridlock Elimination Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) pass the DISCLOSE Act to prevent a corporate takeover of our elections and ensure that our democracy is open, transparent, and controlled by the people; and

(2) reform Senate rules and procedures to reduce excessive obstruction and delay, while protecting the legitimate rights of individual Senators and the minority.

By Mr. REID (for himself, Mr. DURBIN, Mr. BROWN of Ohio, Mr. KERRY, Mrs. GILLIBRAND, Mrs. BOXER, Mr. LAUTENBERG, Mr. BEGICH, and Mr. AKAKA):

S. 10. A bill to ensure equity for women and address rising pressures on American families; to the Committee on Health, Education, Labor, and Pensions.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 10

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Family Economic Success Act".

**SEC. 2. SENSE OF THE SENATE.**

It is the sense of the Senate that Congress should—

(1) guarantee pay equity for women;

(2) reward companies that promote flexible work environments for working parents with children, and workers who are caregivers;

(3) guarantee paid family and medical leave and paid sick days; and

(4) improve the quality and affordability of child care.

By Mrs. HUTCHISON (for herself, Mr. VITTER, Mr. ENSIGN, Mr. JOHANN, and Mr. CORNYN):

S. 11. A bill to provide permanent tax relief from the marriage penalty; to the Committee on Finance.

Mrs. HUTCHISON. Mr. President, I am pleased to introduce a bill to provide permanent tax relief from the marriage penalty—the most egregious, anti-family provision in the tax code. One of my highest priorities in the United States Senate has been to relieve American taxpayers of this punitive burden.

We have made important strides to eliminate this unfair tax and provide marriage penalty relief by raising the standard deduction and enlarging the 15 percent tax bracket for married joint filers to twice that of single filers. Before these provisions were changed, 42 percent of married couples paid an average penalty of \$1,400.

Enacting marriage penalty relief was a giant step for tax fairness, but it may be fleeting. Even as married couples use the money they now save to put food on the table and clothes on their children, a tax increase looms in the future. While I am pleased that relief from the marriage penalty was included in the recent agreement to extend the broader tax relief for all Americans, the marriage penalty provisions will only be in effect through 2012. In 2013, marriage will again be a taxable event and a significant number of married couples will again pay more in taxes unless we act decisively. Given the challenges many families face in making ends meet, we must make sure we do not backtrack on this important reform.

The benefits of marriage are well established, yet, without marriage penalty relief, the tax code provides a significant disincentive for people to walk down the aisle. Marriage is a fundamental institution in our society and should not be discouraged by the IRS. Children living in a married household are far less likely to live in poverty or to suffer from child abuse. Research indicates these children are also less likely to be depressed or have developmental problems. Scourges such as adolescent drug use are less common in married families, and married mothers are less likely to be victims of domestic violence.

We should celebrate marriage, not penalize it. The bill I am offering would make marriage penalty relief permanent, because marriage should not be a taxable event. I welcome and appreciate the support of Senators ENSIGN, JOHANN, CORNYN, and VITTER, who have signed on as cosponsors, and I call on the Senate to finish the job we started and make marriage penalty relief permanent today.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 11

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Permanent Marriage Penalty Relief Act of 2011”.

**SEC. 2. REPEAL OF SUNSET ON MARRIAGE PENALTY RELIEF.**

Title IX of the Economic Growth and Tax Relief Reconciliation Act of 2001 (relating to sunset of provisions of such Act) shall not apply to sections 301, 302, and 303(a) of such Act (relating to marriage penalty relief).

By Mr. REID (for himself, Mrs. FEINSTEIN, Mr. KERRY, Mr. LEAHY, Mr. LEVIN, Mr. LIEBERMAN, Mr. ROCKEFELLER, and Mr. BINGAMAN):

S. 21. A bill to secure the United States against cyber attack, to enhance American competitiveness and create jobs in the information technology industry, and to protect the identities and sensitive information of American citizens and businesses; to the Committee on Homeland Security and Governmental Affairs.

Mr. REID. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 21

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Cyber Security and American Cyber Competitiveness Act of 2011”.

**SEC. 2. FINDINGS.**

Congress makes the following findings:

(1) Malicious state, terrorist, and criminal actors exploiting vulnerabilities in information and communications networks and gaps in cyber security pose one of the most serious and rapidly growing threats to both the national security and economy of the United States.

(2) With information technology now the backbone of the United States economy, a critical element of United States national security infrastructure and defense systems, the primary foundation of global communications, and a key enabler of most critical infrastructure, nearly every single American citizen is touched by cyberspace and is threatened by cyber attacks.

(3) Malicious actors in cyberspace have already caused significant damage to the United States Government, the United States economy, and United States citizens: United States Government computer networks are probed millions of times each day; approximately 9,000,000 Americans have their identities stolen each year; cyber crime costs American businesses with 500 or more employees an average of \$3,800,000 per year; and intellectual property worth over \$1,000,000,000,000 has already been stolen from American businesses.

(4) In its 2009 Cyberspace Policy Review, the White House concluded, “Ensuring that cyberspace is sufficiently resilient and trustworthy to support United States goals of economic growth, civil liberties and privacy protections, national security, and the con-

tinued advancement of democratic institutions requires making cybersecurity a national priority.”

(5) An effective solution to the tremendous challenges of cyber security demands cooperation and integration of effort across jurisdictions of multiple Federal, State, local, and tribal government agencies, between the government and the private sector, and with international allies, as well as increased public awareness and preparedness among the American people.

**SEC. 3. SENSE OF CONGRESS.**

It is the sense of Congress that Congress should enact, and the President should sign, bipartisan legislation to secure the United States against cyber attack, to enhance American competitiveness and create jobs in the information technology industry, and to protect the identities and sensitive information of American citizens and businesses by—

(1) enhancing the security and resiliency of United States Government communications and information networks against cyber attack by nation-states, terrorists, and cyber criminals;

(2) incentivizing the private sector to quantify, assess, and mitigate cyber risks to their communications and information networks;

(3) promoting investments in the American information technology sector that create and maintain good, well-paying jobs in the United States and help to enhance American economic competitiveness;

(4) improving the capability of the United States Government to assess cyber risks and prevent, detect, and robustly respond to cyber attacks against the government and the military;

(5) improving the capability of the United States Government and the private sector to assess cyber risk and prevent, detect, and robustly respond to cyber attacks against United States critical infrastructure;

(6) preventing and mitigating identity theft and guarding against abuses or breaches of personally identifiable information;

(7) enhancing United States diplomatic capacity and international cooperation to respond to emerging cyber threats, including promoting security and freedom of access for communications and information networks around the world and battling global cyber crime through focused diplomacy;

(8) protecting and increasing the resiliency of United States’ critical infrastructure and assets, including the electric grid, military assets, the financial sector, and telecommunications networks against cyber attacks and other threats and vulnerabilities;

(9) expanding tools and resources for investigating and prosecuting cyber crimes in a manner that respects privacy rights and civil liberties and promotes American innovation; and

(10) maintaining robust protections of the privacy of American citizens and their online activities and communications.

By Mr. LEAHY (for himself, Mr. HATCH, Mr. GRASSLEY, Ms. KLOBUCHAR, Mr. SESSIONS, Mr. KYL, Mr. LIEBERMAN, and Mr. COONS):

S. 23. A bill to amend title 35, United States Code, to provide for patent reform; to the Committee on the Judiciary.

Mr. LEAHY. Mr. President, the United States of America has long been the world leader in invention and innovation. That leadership has propelled our economic growth, but we cannot



remain complacent while expecting to stay on top.

A Newsweek study last year found that only 41 percent of Americans believe that the United States is staying ahead of China on innovation. A Thompson Reuters analysis has already predicted that China will outpace the United States in patent filings this year. China, in fact, has a specific plan not just to overtake the United States this year in patent applications, but to more than quadruple its patent filings over the next 5 years.

That is astonishing, until considering that China has been modernizing its patent laws and promoting innovation while the United States has failed to keep pace. It has now been nearly 60 years since Congress last acted to reform American patent law. We can no longer wait.

Today, I am reintroducing bipartisan patent reform legislation that is the culmination of three Congresses worth of bipartisan, bicameral work, including eight hearings in the Senate alone. The Patent Reform Act of 2011 is structured on legislation first introduced in the House by Chairman SMITH and Mr. BERMAN in 2005. The legislation will accomplish three important goals, which have been at the center of the patent reform debate: improve the application process by transitioning to a first-inventor-to-file system; improve the quality of patents issued by the USPTO by introducing several quality-enhancement measures; and provide more certainty in litigation.

In many areas that were highly contentious when the patent reform debate began, the courts have stepped in to act. Their decisions reflect the concerns heard in Congress that questionable patents are too easily obtained and too difficult to challenge. The courts have moved the law in a generally positive direction, more closely aligned with the text of the statutes.

Most recently, the Federal Circuit aggressively moved to constrain runaway damage awards, which has plagued the patent system by basing awards on unreliable numbers, untethered to the reality of licensing decisions. As the court continues to move in the right direction, it is more apparent than ever that the gatekeeper compromise on damages we have worked to reach with Senator FEINSTEIN and others is what is needed to ensure an award of a reasonable royalty is not artificially inflated or based on irrelevant factors.

The courts have addressed issues where they can, but in some areas, only Congress can take the necessary steps. The Patent Reform Act will both speed the application process and, at the same time, improve patent quality. It will provide the USPTO with the resources it needs to work through its application backlog, while also providing for greater input from third parties to improve the quality of patents issued and that remain in effect.

High quality patents are the key to our economic growth. They benefit both patent owners and users, who can

be more confident in the validity of issued patents. Patents of low quality and dubious validity, by contrast, enable patent trolls and constitute a drag on innovation. Too many dubious patents also unjustly cast doubt on truly high quality patents.

The Patent Reform Act provides the tools the USPTO needs to separate the inventive wheat from the chaff. It will allow our inventors and innovators to flourish. The Department of Commerce recently issued a report indicating that these reforms will create jobs without adding to the deficit. The Obama administration supports these efforts, as do industries and stakeholders from all sectors of the patent community. Congressional action can no longer be delayed.

Innovation and economic development are not uniquely Democrat or Republican objectives, so we worked together to find the proper balance for America—for our economy, for our inventors, for our consumers.

Thomas Freidman wrote not too long ago in *The New York Times* that the country which “endows its people with more tools and basic research to invent new goods and services [] is the one that will not just survive but thrive down the road. . . . We might be able to stimulate our way back to stability, but we can only invent our way back to prosperity.”

Reforming our patent system will stimulate the American economy through structural changes, rather than taxpayer dollars. I look forward to working with all Senators and our counterparts in the House, who have also made this a bipartisan priority, to ensure that this is the year we make our patent system reward inventors and provide certainty to users.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 23

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

(a) **SHORT TITLE.**—This Act may be cited as the “Patent Reform Act of 2011”.

(b) **TABLE OF CONTENTS.**—The table of contents for this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. First inventor to file.
- Sec. 3. Inventor’s oath or declaration.
- Sec. 4. Damages.
- Sec. 5. Post-grant review proceedings.
- Sec. 6. Patent Trial and Appeal Board.
- Sec. 7. Preissuance submissions by third parties.
- Sec. 8. Venue.
- Sec. 9. Fee setting authority.
- Sec. 10. Supplemental examination.
- Sec. 11. Residency of Federal Circuit judges.
- Sec. 12. Micro entity defined.
- Sec. 13. Funding agreements.
- Sec. 14. Tax strategies deemed within the prior art.
- Sec. 15. Best mode requirement.
- Sec. 16. Technical amendments.
- Sec. 17. Effective date; rule of construction.

**SEC. 2. FIRST INVENTOR TO FILE.**  
(a) **DEFINITIONS.**—Section 102 of title 35, United States Code, is amended by adding at the end the following:

“(f) The term ‘inventor’ means the individual or, if a joint invention, the individuals collectively who invented or discovered the subject matter of the invention.

“(g) The terms ‘joint inventor’ and ‘co-inventor’ mean any 1 of the individuals who invented or discovered the subject matter of a joint invention.

“(h) The term ‘joint research agreement’ means a written contract, grant, or cooperative agreement entered into by 2 or more persons or entities for the performance of experimental, developmental, or research work in the field of the claimed invention.

“(i)(1) The term ‘effective filing date’ of a claimed invention in a patent or application for patent means—

“(A) if subparagraph (B) does not apply, the actual filing date of the patent or the application for the patent containing a claim to the invention; or

“(B) the filing date of the earliest application for which the patent or application is entitled, as to such invention, to a right of priority under section 119, 365(a), or 365(b) or to the benefit of an earlier filing date under section 120, 121, or 365(c).

“(2) The effective filing date for a claimed invention in an application for reissue or reissued patent shall be determined by deeming the claim to the invention to have been contained in the patent for which reissue was sought.

“(j) The term ‘claimed invention’ means the subject matter defined by a claim in a patent or an application for a patent.”

(b) **CONDITIONS FOR PATENTABILITY.**—

(1) **IN GENERAL.**—Section 102 of title 35, United States Code, is amended to read as follows:

**“§ 102. Conditions for patentability; novelty**

“(a) **NOVELTY; PRIOR ART.**—A person shall be entitled to a patent unless—

“(1) the claimed invention was patented, described in a printed publication, or in public use, on sale, or otherwise available to the public before the effective filing date of the claimed invention; or

“(2) the claimed invention was described in a patent issued under section 151, or in an application for patent published or deemed published under section 122(b), in which the patent or application, as the case may be, names another inventor and was effectively filed before the effective filing date of the claimed invention.

“(b) **EXCEPTIONS.**—

“(1) **DISCLOSURES MADE 1 YEAR OR LESS BEFORE THE EFFECTIVE FILING DATE OF THE CLAIMED INVENTION.**—A disclosure made 1 year or less before the effective filing date of a claimed invention shall not be prior art to the claimed invention under subsection (a)(1) if—

“(A) the disclosure was made by the inventor or joint inventor or by another who obtained the subject matter disclosed directly or indirectly from the inventor or a joint inventor; or

“(B) the subject matter disclosed had, before such disclosure, been publicly disclosed by the inventor or a joint inventor or another who obtained the subject matter disclosed directly or indirectly from the inventor or a joint inventor.

“(2) **DISCLOSURES APPEARING IN APPLICATIONS AND PATENTS.**—A disclosure shall not be prior art to a claimed invention under subsection (a)(2) if—

“(A) the subject matter disclosed was obtained directly or indirectly from the inventor or a joint inventor;

“(B) the subject matter disclosed had, before such subject matter was effectively filed

under subsection (a)(2), been publicly disclosed by the inventor or a joint inventor or another who obtained the subject matter disclosed directly or indirectly from the inventor or a joint inventor; or

“(C) the subject matter disclosed and the claimed invention, not later than the effective filing date of the claimed invention, were owned by the same person or subject to an obligation of assignment to the same person.

“(c) COMMON OWNERSHIP UNDER JOINT RESEARCH AGREEMENTS.—Subject matter disclosed and a claimed invention shall be deemed to have been owned by the same person or subject to an obligation of assignment to the same person in applying the provisions of subsection (b)(2)(C) if—

“(1) the subject matter disclosed was developed and the claimed invention was made by, or on behalf of, 1 or more parties to a joint research agreement that was in effect on or before the effective filing date of the claimed invention;

“(2) the claimed invention was made as a result of activities undertaken within the scope of the joint research agreement; and

“(3) the application for patent for the claimed invention discloses or is amended to disclose the names of the parties to the joint research agreement.

“(d) PATENTS AND PUBLISHED APPLICATIONS EFFECTIVE AS PRIOR ART.—For purposes of determining whether a patent or application for patent is prior art to a claimed invention under subsection (a)(2), such patent or application shall be considered to have been effectively filed, with respect to any subject matter described in the patent or application—

“(1) if paragraph (2) does not apply, as of the actual filing date of the patent or the application for patent; or

“(2) if the patent or application for patent is entitled to claim a right of priority under section 119, 365(a), or 365(b), or to claim the benefit of an earlier filing date under section 120, 121, or 365(c), based upon 1 or more prior filed applications for patent, as of the filing date of the earliest such application that describes the subject matter.”

(2) CONFORMING AMENDMENT.—The item relating to section 102 in the table of sections for chapter 10 of title 35, United States Code, is amended to read as follows:

“102. Conditions for patentability; novelty.”

(c) CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER.—Section 103 of title 35, United States Code, is amended to read as follows:

**“§ 103. Conditions for patentability; non-obvious subject matter**

“A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.”

(d) REPEAL OF REQUIREMENTS FOR INVENTIONS MADE ABROAD.—Section 104 of title 35, United States Code, and the item relating to that section in the table of sections for chapter 10 of title 35, United States Code, are repealed.

(e) REPEAL OF STATUTORY INVENTION REGISTRATION.—

(1) IN GENERAL.—Section 157 of title 35, United States Code, and the item relating to that section in the table of sections for chapter 14 of title 35, United States Code, are repealed.

(2) REMOVAL OF CROSS REFERENCES.—Section 111(b)(8) of title 35, United States Code, is amended by striking “sections 115, 131, 135, and 157” and inserting “sections 131 and 135”.

(3) EFFECTIVE DATE.—The amendments made by this subsection shall take effect 1 year after the date of the enactment of this Act, and shall apply to any request for a statutory invention registration filed on or after that date.

(f) EARLIER FILING DATE FOR INVENTOR AND JOINT INVENTOR.—Section 120 of title 35, United States Code, is amended by striking “which is filed by an inventor or inventors named” and inserting “which names an inventor or joint inventor”.

(g) CONFORMING AMENDMENTS.—

(1) RIGHT OF PRIORITY.—Section 172 of title 35, United States Code, is amended by striking “and the time specified in section 102(d)”.

(2) LIMITATION ON REMEDIES.—Section 287(c)(4) of title 35, United States Code, is amended by striking “the earliest effective filing date of which is prior to” and inserting “which has an effective filing date before”.

(3) INTERNATIONAL APPLICATION DESIGNATING THE UNITED STATES: EFFECT.—Section 363 of title 35, United States Code, is amended by striking “except as otherwise provided in section 102(e) of this title”.

(4) PUBLICATION OF INTERNATIONAL APPLICATION: EFFECT.—Section 374 of title 35, United States Code, is amended by striking “sections 102(e) and 154(d)” and inserting “section 154(d)”.

(5) PATENT ISSUED ON INTERNATIONAL APPLICATION: EFFECT.—The second sentence of section 375(a) of title 35, United States Code, is amended by striking “Subject to section 102(e) of this title, such” and inserting “Such”.

(6) LIMIT ON RIGHT OF PRIORITY.—Section 119(a) of title 35, United States Code, is amended by striking “; but no patent shall be granted” and all that follows through “one year prior to such filing”.

(7) INVENTIONS MADE WITH FEDERAL ASSISTANCE.—Section 202(c) of title 35, United States Code, is amended—

(A) in paragraph (2)—

(i) by striking “publication, on sale, or public use,” and all that follows through “obtained in the United States” and inserting “the 1-year period referred to in section 102(b) would end before the end of that 2-year period”; and

(ii) by striking “the statutory” and inserting “that 1-year”; and

(B) in paragraph (3), by striking “any statutory bar date that may occur under this title due to publication, on sale, or public use” and inserting “the expiration of the 1-year period referred to in section 102(b)”.

(h) DERIVED PATENTS.—Section 291 of title 35, United States Code, is amended to read as follows:

**“§ 291. Derived patents**

“(a) IN GENERAL.—The owner of a patent may have relief by civil action against the owner of another patent that claims the same invention and has an earlier effective filing date if the invention claimed in such other patent was derived from the inventor of the invention claimed in the patent owned by the person seeking relief under this section.

“(b) FILING LIMITATION.—An action under this section may only be filed within 1 year after the issuance of the first patent containing a claim to the allegedly derived invention and naming an individual alleged to have derived such invention as the inventor or joint inventor.”

(i) DERIVATION PROCEEDINGS.—Section 135 of title 35, United States Code, is amended to read as follows:

**“§ 135. Derivation proceedings**

“(a) INSTITUTION OF PROCEEDING.—An applicant for patent may file a petition to institute a derivation proceeding in the Office. The petition shall set forth with particularity the basis for finding that an inventor named in an earlier application derived the claimed invention from an inventor named in the petitioner’s application and, without authorization, the earlier application claiming such invention was filed. Any such petition may only be filed within 1 year after the first publication of a claim to an invention that is the same or substantially the same as the earlier application’s claim to the invention, shall be made under oath, and shall be supported by substantial evidence. Whenever the Director determines that a petition filed under this subsection demonstrates that the standards for instituting a derivation proceeding are met, the Director may institute a derivation proceeding. The determination by the Director whether to institute a derivation proceeding shall be final and non-appealable.

“(b) DETERMINATION BY PATENT TRIAL AND APPEAL BOARD.—In a derivation proceeding instituted under subsection (a), the Patent Trial and Appeal Board shall determine whether an inventor named in the earlier application derived the claimed invention from an inventor named in the petitioner’s application and, without authorization, the earlier application claiming such invention was filed. The Director shall prescribe regulations setting forth standards for the conduct of derivation proceedings.

“(c) DEFERRAL OF DECISION.—The Patent Trial and Appeal Board may defer action on a petition for a derivation proceeding until 3 months after the date on which the Director issues a patent that includes the claimed invention that is the subject of the petition. The Patent Trial and Appeal Board also may defer action on a petition for a derivation proceeding, or stay the proceeding after it has been instituted, until the termination of a proceeding under chapter 30, 31, or 32 involving the patent of the earlier applicant.

“(d) EFFECT OF FINAL DECISION.—The final decision of the Patent Trial and Appeal Board, if adverse to claims in an application for patent, shall constitute the final refusal by the Office on those claims. The final decision of the Patent Trial and Appeal Board, if adverse to claims in a patent, shall, if no appeal or other review of the decision has been or can be taken or had, constitute cancellation of those claims, and notice of such cancellation shall be endorsed on copies of the patent distributed after such cancellation.

“(e) SETTLEMENT.—Parties to a proceeding instituted under subsection (a) may terminate the proceeding by filing a written statement reflecting the agreement of the parties as to the correct inventors of the claimed invention in dispute. Unless the Patent Trial and Appeal Board finds the agreement to be inconsistent with the evidence of record, if any, it shall take action consistent with the agreement. Any written settlement or understanding of the parties shall be filed with the Director. At the request of a party to the proceeding, the agreement or understanding shall be treated as business confidential information, shall be kept separate from the file of the involved patents or applications, and shall be made available only to Government agencies on written request, or to any person on a showing of good cause.

“(f) ARBITRATION.—Parties to a proceeding instituted under subsection (a) may, within such time as may be specified by the Director by regulation, determine such contest or any aspect thereof by arbitration. Such arbitration shall be governed by the provisions of title 9, to the extent such title is not inconsistent with this section. The parties

shall give notice of any arbitration award to the Director, and such award shall, as between the parties to the arbitration, be dispositive of the issues to which it relates. The arbitration award shall be unenforceable until such notice is given. Nothing in this subsection shall preclude the Director from determining the patentability of the claimed inventions involved in the proceeding.”

(j) **ELIMINATION OF REFERENCES TO INTERFERENCES.**—(1) Sections 41, 134, 145, 146, 154, 305, and 314 of title 35, United States Code, are each amended by striking “Board of Patent Appeals and Interferences” each place it appears and inserting “Patent Trial and Appeal Board”.

(2)(A) Sections 146 and 154 of title 35, United States Code, are each amended—

(i) by striking “an interference” each place it appears and inserting “a derivation proceeding”; and

(ii) by striking “interference” each additional place it appears and inserting “derivation proceeding”.

(B) The subparagraph heading for section 154(b)(1)(C) of title 35, United States Code, as amended by this paragraph, is further amended by—

(i) striking “OR” and inserting “OF”; and

(ii) striking “SECURITY ORDER” and inserting “SECURITY ORDERS”.

(3) The section heading for section 134 of title 35, United States Code, is amended to read as follows:

**“§ 134. Appeal to the Patent Trial and Appeal Board”.**

(4) The section heading for section 146 of title 35, United States Code, is amended to read as follows:

**“§ 146. Civil action in case of derivation proceeding”.**

(5) Section 154(b)(1)(C) of title 35, United States Code, is amended by striking “INTERFERENCES” and inserting “DERIVATION PROCEEDINGS”.

(6) The item relating to section 6 in the table of sections for chapter 1 of title 35, United States Code, is amended to read as follows:

“6. Patent Trial and Appeal Board.”

(7) The items relating to sections 134 and 135 in the table of sections for chapter 12 of title 35, United States Code, are amended to read as follows:

“134. Appeal to the Patent Trial and Appeal Board.

“135. Derivation proceedings.”

(8) The item relating to section 146 in the table of sections for chapter 13 of title 35, United States Code, is amended to read as follows:

“146. Civil action in case of derivation proceeding.”

(k) **FALSE MARKING.**—

(1) **IN GENERAL.**—Section 292 of title 35, United States Code, is amended—

(A) in subsection (a), by adding at the end the following:

“Only the United States may sue for the penalty authorized by this subsection.”; and

(B) by striking subsection (b) and inserting the following:

“(b) Any person who has suffered a competitive injury as a result of a violation of this section may file a civil action in a district court of the United States for recovery of damages adequate to compensate for the injury.”

(2) **EFFECTIVE DATE.**—The amendments made by this subsection shall apply to all cases, without exception, pending on or after the date of the enactment of this Act.

(l) **STATUTE OF LIMITATIONS.**—

(1) **IN GENERAL.**—Section 32 of title 35, United States Code, is amended by inserting

between the third and fourth sentences the following: “A proceeding under this section shall be commenced not later than the earlier of either 10 years after the date on which the misconduct forming the basis for the proceeding occurred, or 1 year after the date on which the misconduct forming the basis for the proceeding is made known to an officer or employee of the Office as prescribed in the regulations established under section 2(b)(2)(D).”

(2) **REPORT TO CONGRESS.**—The Director shall provide on a biennial basis to the Judiciary Committees of the Senate and House of Representatives a report providing a short description of incidents made known to an officer or employee of the Office as prescribed in the regulations established under section 2(b)(2)(D) of title 35, United States Code, that reflect substantial evidence of misconduct before the Office but for which the Office was barred from commencing a proceeding under section 32 of title 35, United States Code, by the time limitation established by the fourth sentence of that section.

(3) **EFFECTIVE DATE.**—The amendment made by paragraph (1) shall apply in all cases in which the time period for instituting a proceeding under section 32 of title 35, United States Code, had not lapsed prior to the date of the enactment of this Act.

(m) **SMALL BUSINESS STUDY.**—

(1) **DEFINITIONS.**—In this subsection—

(A) the term “Chief Counsel” means the Chief Counsel for Advocacy of the Small Business Administration;

(B) the term “General Counsel” means the General Counsel of the United States Patent and Trademark Office; and

(C) the term “small business concern” has the meaning given that term under section 3 of the Small Business Act (15 U.S.C. 632).

(2) **STUDY.**—

(A) **IN GENERAL.**—The Chief Counsel, in consultation with the General Counsel, shall conduct a study of the effects of eliminating the use of dates of invention in determining whether an applicant is entitled to a patent under title 35, United States Code.

(B) **AREAS OF STUDY.**—The study conducted under subparagraph (A) shall include examination of the effects of eliminating the use of invention dates, including examining—

(i) how the change would affect the ability of small business concerns to obtain patents and their costs of obtaining patents;

(ii) whether the change would create, mitigate, or exacerbate any disadvantage for applicants for patents that are small business concerns relative to applicants for patents that are not small business concerns, and whether the change would create any advantages for applicants for patents that are small business concerns relative to applicants for patents that are not small business concerns;

(iii) the cost savings and other potential benefits to small business concerns of the change; and

(iv) the feasibility and costs and benefits to small business concerns of alternative means of determining whether an applicant is entitled to a patent under title 35, United States Code.

(3) **REPORT.**—Not later than 1 year after the date of enactment of this Act, the Chief Counsel shall submit to the Committee on Small Business and Entrepreneurship and the Committee on the Judiciary of the Senate and the Committee on Small Business and the Committee on the Judiciary of the House of Representatives a report regarding the results of the study under paragraph (2).

(n) **REPORT ON PRIOR USER RIGHTS.**—

(1) **IN GENERAL.**—Not later than 1 year after the date of the enactment of this Act, the Director shall report, to the Committee on

the Judiciary of the Senate and the Committee on the Judiciary of the House of Representatives, the findings and recommendations of the Director on the operation of prior user rights in selected countries in the industrialized world. The report shall include the following:

(A) A comparison between patent laws of the United States and the laws of other industrialized countries, including members of the European Union and Japan, Canada, and Australia.

(B) An analysis of the effect of prior user rights on innovation rates in the selected countries.

(C) An analysis of the correlation, if any, between prior user rights and start-up enterprises and the ability to attract venture capital to start new companies.

(D) An analysis of the effect of prior user rights, if any, on small businesses, universities, and individual inventors.

(E) An analysis of legal and constitutional issues, if any, that arise from placing trade secret law in patent law.

(F) An analysis of whether the change to a first-to-file patent system creates a particular need for prior user rights.

(2) **CONSULTATION WITH OTHER AGENCIES.**—In preparing the report required under paragraph (1), the Director shall consult with the United States Trade Representative, the Secretary of State, and the Attorney General.

(o) **EFFECTIVE DATE.**—

(1) **IN GENERAL.**—Except as otherwise provided by this section, the amendments made by this section shall take effect on the date that is 18 months after the date of the enactment of this Act, and shall apply to any application for patent, and to any patent issuing thereon, that contains or contained at any time—

(A) a claim to a claimed invention that has an effective filing date as defined in section 100(i) of title 35, United States Code, that is 18 months or more after the date of the enactment of this Act; or

(B) a specific reference under section 120, 121, or 365(c) of title 35, United States Code, to any patent or application that contains or contained at any time such a claim.

(2) **INTERFERING PATENTS.**—The provisions of sections 102(g), 135, and 291 of title 35, United States Code, in effect on the day prior to the date of the enactment of this Act, shall apply to each claim of an application for patent, and any patent issued thereon, for which the amendments made by this section also apply, if such application or patent contains or contained at any time—

(A) a claim to an invention having an effective filing date as defined in section 100(i) of title 35, United States Code, earlier than 18 months after the date of the enactment of this Act; or

(B) a specific reference under section 120, 121, or 365(c) of title 35, United States Code, to any patent or application that contains or contained at any time such a claim.

**SEC. 3. INVENTOR'S OATH OR DECLARATION.**

(a) **INVENTOR'S OATH OR DECLARATION.**—

(1) **IN GENERAL.**—Section 115 of title 35, United States Code, is amended to read as follows:

**“§ 115. Inventor's oath or declaration**

“(a) **NAMING THE INVENTOR; INVENTOR'S OATH OR DECLARATION.**—An application for patent that is filed under section 111(a) or commences the national stage under section 371 shall include, or be amended to include, the name of the inventor for any invention claimed in the application. Except as otherwise provided in this section, each individual who is the inventor or a joint inventor of a claimed invention in an application for patent shall execute an oath or declaration in connection with the application.

“(b) REQUIRED STATEMENTS.—An oath or declaration under subsection (a) shall contain statements that—

“(1) the application was made or was authorized to be made by the affiant or declarant; and

“(2) such individual believes himself or herself to be the original inventor or an original joint inventor of a claimed invention in the application.

“(c) ADDITIONAL REQUIREMENTS.—The Director may specify additional information relating to the inventor and the invention that is required to be included in an oath or declaration under subsection (a).

“(d) SUBSTITUTE STATEMENT.—

“(1) IN GENERAL.—In lieu of executing an oath or declaration under subsection (a), the applicant for patent may provide a substitute statement under the circumstances described in paragraph (2) and such additional circumstances that the Director may specify by regulation.

“(2) PERMITTED CIRCUMSTANCES.—A substitute statement under paragraph (1) is permitted with respect to any individual who—

“(A) is unable to file the oath or declaration under subsection (a) because the individual—

“(i) is deceased;

“(ii) is under legal incapacity; or

“(iii) cannot be found or reached after diligent effort; or

“(B) is under an obligation to assign the invention but has refused to make the oath or declaration required under subsection (a).

“(3) CONTENTS.—A substitute statement under this subsection shall—

“(A) identify the individual with respect to whom the statement applies;

“(B) set forth the circumstances representing the permitted basis for the filing of the substitute statement in lieu of the oath or declaration under subsection (a); and

“(C) contain any additional information, including any showing, required by the Director.

“(e) MAKING REQUIRED STATEMENTS IN ASSIGNMENT OF RECORD.—An individual who is under an obligation of assignment of an application for patent may include the required statements under subsections (b) and (c) in the assignment executed by the individual, in lieu of filing such statements separately.

“(f) TIME FOR FILING.—A notice of allowance under section 151 may be provided to an applicant for patent only if the applicant for patent has filed each required oath or declaration under subsection (a) or has filed a substitute statement under subsection (d) or recorded an assignment meeting the requirements of subsection (e).

“(g) EARLIER-FILED APPLICATION CONTAINING REQUIRED STATEMENTS OR SUBSTITUTE STATEMENT.—

“(1) EXCEPTION.—The requirements under this section shall not apply to an individual with respect to an application for patent in which the individual is named as the inventor or a joint inventor and who claims the benefit under section 120, 121, or 365(c) of the filing of an earlier-filed application, if—

“(A) an oath or declaration meeting the requirements of subsection (a) was executed by the individual and was filed in connection with the earlier-filed application;

“(B) a substitute statement meeting the requirements of subsection (d) was filed in the earlier filed application with respect to the individual; or

“(C) an assignment meeting the requirements of subsection (e) was executed with respect to the earlier-filed application by the individual and was recorded in connection with the earlier-filed application.

“(2) COPIES OF OATHS, DECLARATIONS, STATEMENTS, OR ASSIGNMENTS.—Notwith-

standing paragraph (1), the Director may require that a copy of the executed oath or declaration, the substitute statement, or the assignment filed in the earlier-filed application be included in the later-filed application.

“(h) SUPPLEMENTAL AND CORRECTED STATEMENTS; FILING ADDITIONAL STATEMENTS.—

“(1) IN GENERAL.—Any person making a statement required under this section may withdraw, replace, or otherwise correct the statement at any time. If a change is made in the naming of the inventor requiring the filing of 1 or more additional statements under this section, the Director shall establish regulations under which such additional statements may be filed.

“(2) SUPPLEMENTAL STATEMENTS NOT REQUIRED.—If an individual has executed an oath or declaration meeting the requirements of subsection (a) or an assignment meeting the requirements of subsection (e) with respect to an application for patent, the Director may not thereafter require that individual to make any additional oath, declaration, or other statement equivalent to those required by this section in connection with the application for patent or any patent issuing thereon.

“(3) SAVINGS CLAUSE.—No patent shall be invalid or unenforceable based upon the failure to comply with a requirement under this section if the failure is remedied as provided under paragraph (1).

“(i) ACKNOWLEDGMENT OF PENALTIES.—Any declaration or statement filed pursuant to this section shall contain an acknowledgment that any willful false statement made in such declaration or statement is punishable under section 1001 of title 18 by fine or imprisonment of not more than 5 years, or both.”

(2) RELATIONSHIP TO DIVISIONAL APPLICATIONS.—Section 121 of title 35, United States Code, is amended by striking “If a divisional application” and all that follows through “inventor.”

(3) REQUIREMENTS FOR NONPROVISIONAL APPLICATIONS.—Section 111(a) of title 35, United States Code, is amended—

(A) in paragraph (2)(C), by striking “by the applicant” and inserting “or declaration”;

(B) in the heading for paragraph (3), by inserting “OR DECLARATION” after “AND OATH”; and

(C) by inserting “or declaration” after “and oath” each place it appears.

(4) CONFORMING AMENDMENT.—The item relating to section 115 in the table of sections for chapter 11 of title 35, United States Code, is amended to read as follows:

“115. Inventor’s oath or declaration.”

(b) FILING BY OTHER THAN INVENTOR.—

(1) IN GENERAL.—Section 118 of title 35, United States Code, is amended to read as follows:

“§ 118. Filing by other than inventor

“A person to whom the inventor has assigned or is under an obligation to assign the invention may make an application for patent. A person who otherwise shows sufficient proprietary interest in the matter may make an application for patent on behalf of and as agent for the inventor on proof of the pertinent facts and a showing that such action is appropriate to preserve the rights of the parties. If the Director grants a patent on an application filed under this section by a person other than the inventor, the patent shall be granted to the real party in interest and upon such notice to the inventor as the Director considers to be sufficient.”

(2) CONFORMING AMENDMENT.—Section 251 of title 35, United States Code, is amended in the third undesignated paragraph by inserting “or the application for the original patent was filed by the assignee of the entire interest” after “claims of the original patent”.

(c) SPECIFICATION.—Section 112 of title 35, United States Code, is amended—

(1) in the first paragraph—

(A) by striking “The specification” and inserting “(a) IN GENERAL.—The specification”; and

(B) by striking “of carrying out his invention” and inserting “or joint inventor of carrying out the invention”;

(2) in the second paragraph—

(A) by striking “The specification” and inserting “(b) CONCLUSION.—The specification”; and

(B) by striking “applicant regards as his invention” and inserting “inventor or a joint inventor regards as the invention”;

(3) in the third paragraph, by striking “A claim” and inserting “(c) FORM.—A claim”;

(4) in the fourth paragraph, by striking “Subject to the following paragraph,” and inserting “(d) REFERENCE IN DEPENDENT FORMS.—Subject to subsection (e),”;

(5) in the fifth paragraph, by striking “A claim” and inserting “(e) REFERENCE IN MULTIPLE DEPENDENT FORM.—A claim”;

(6) in the last paragraph, by striking “An element” and inserting “(f) ELEMENT IN CLAIM FOR A COMBINATION.—An element”.

(d) CONFORMING AMENDMENTS.—

(1) Sections 111(b)(1)(A) is amended by striking “the first paragraph of section 112 of this title” and inserting “section 112(a)”.

(2) Section 111(b)(2) is amended by striking “the second through fifth paragraphs of section 112,” and inserting “subsections (b) through (e) of section 112,”.

(e) EFFECTIVE DATE.—The amendments made by this section shall take effect 1 year after the date of the enactment of this Act and shall apply to patent applications that are filed on or after that effective date.

#### SEC. 4. DAMAGES.

(a) DAMAGES.—Section 284 of title 35, United States Code, is amended—

(1) by striking “Upon finding” and inserting the following: “(a) IN GENERAL.—Upon finding”;

(2) by striking “fixed by the court” and all that follows through “When the damages” and inserting the following: “fixed by the court. When the damages”;

(3) by striking “shall assess them.” and all that follows through “The court may receive” and inserting the following: “shall assess them. The court may receive”; and

(4) by adding at the end the following:

“(b) PROCEDURE FOR DETERMINING DAMAGES.—

“(1) IN GENERAL.—The court shall identify the methodologies and factors that are relevant to the determination of damages, and the court or jury shall consider only those methodologies and factors relevant to making such determination.

“(2) DISCLOSURE OF CLAIMS.—By no later than the entry of the final pretrial order, unless otherwise ordered by the court, the parties shall state, in writing and with particularity, the methodologies and factors the parties propose for instruction to the jury in determining damages under this section, specifying the relevant underlying legal and factual bases for their assertions.

“(3) SUFFICIENCY OF EVIDENCE.—Prior to the introduction of any evidence concerning the determination of damages, upon motion of either party or sua sponte, the court shall consider whether one or more of a party’s damages contentions lacks a legally sufficient evidentiary basis. After providing a nonmovant the opportunity to be heard, and after any further proffer of evidence, briefing, or argument that the court may deem appropriate, the court shall identify on the record those methodologies and factors as to which there is a legally sufficient evidentiary basis, and the court or jury shall

consider only those methodologies and factors in making the determination of damages under this section. The court shall only permit the introduction of evidence relating to the determination of damages that is relevant to the methodologies and factors that the court determines may be considered in making the damages determination.

“(c) SEQUENCING.—Any party may request that a patent-infringement trial be sequenced so that the trier of fact decides questions of the patent’s infringement and validity before the issues of damages and willful infringement are tried to the court or the jury. The court shall grant such a request absent good cause to reject the request, such as the absence of issues of significant damages or infringement and validity. The sequencing of a trial pursuant to this subsection shall not affect other matters, such as the timing of discovery. This subsection does not authorize a party to request that the issues of damages and willful infringement be tried to a jury different than the one that will decide questions of the patent’s infringement and validity.

“(d) WILLFUL INFRINGEMENT.—

“(1) IN GENERAL.—The court may increase damages up to 3 times the amount found or assessed if the court or the jury, as the case may be, determines that the infringement of the patent was willful. Increased damages under this subsection shall not apply to provisional rights under section 154(d). Infringement is not willful unless the claimant proves by clear and convincing evidence that the accused infringer’s conduct with respect to the patent was objectively reckless. An accused infringer’s conduct was objectively reckless if the infringer was acting despite an objectively high likelihood that his actions constituted infringement of a valid patent, and this objectively-defined risk was either known or so obvious that it should have been known to the accused infringer.

“(2) PLEADING STANDARDS.—A claimant asserting that a patent was infringed willfully shall comply with the pleading requirements set forth under Federal Rule of Civil Procedure 9(b).

“(3) KNOWLEDGE ALONE INSUFFICIENT.—Infringement of a patent may not be found to be willful solely on the basis that the infringer had knowledge of the infringed patent.

“(4) PRE-SUIT NOTIFICATION.—A claimant seeking to establish willful infringement may not rely on evidence of pre-suit notification of infringement unless that notification identifies with particularity the asserted patent, identifies the product or process accused, and explains with particularity, to the extent possible following a reasonable investigation or inquiry, how the product or process infringes one or more claims of the patent.

“(5) CLOSE CASE.—The court shall not increase damages under this subsection if the court determines that there is a close case as to infringement, validity, or enforceability. On the motion of either party, the court shall determine whether a close case as to infringement, validity, or enforceability exists, and the court shall explain its decision. Once the court determines that such a close case exists, the issue of willful infringement shall not thereafter be tried to the jury.

“(6) ACCRUED DAMAGES.—If a court or jury finds that the infringement of patent was willful, the court may increase only those damages that accrued after the infringement became willful.”

(b) DEFENSE TO INFRINGEMENT BASED ON EARLIER INVENTOR.—Section 273(b)(6) of title 35, United States Code, is amended to read as follows:

“(6) PERSONAL DEFENSE.—The defense under this section may be asserted only by

the person who performed or caused the performance of the acts necessary to establish the defense as well as any other entity that controls, is controlled by, or is under common control with such person and, except for any transfer to the patent owner, the right to assert the defense shall not be licensed or assigned or transferred to another person except as an ancillary and subordinate part of a good faith assignment or transfer for other reasons of the entire enterprise or line of business to which the defense relates. Notwithstanding the preceding sentence, any person may, on its own behalf, assert a defense based on the exhaustion of rights provided under paragraph (3), including any necessary elements thereof.”

(c) VIRTUAL MARKING.—Section 287(a) of title 35, United States Code, is amended by inserting “, or by fixing thereon the word ‘patent’ or the abbreviation ‘pat.’ together with an address of a posting on the Internet, accessible to the public without charge for accessing the address, that associates the patented article with the number of the patent” before “, or when”.

(d) ADVICE OF COUNSEL.—Chapter 29 of title 35, United States Code, is amended by adding at the end the following:

“§ 298. Advice of Counsel

“The failure of an infringer to obtain the advice of counsel with respect to any allegedly infringed patent or the failure of the infringer to present such advice to the court or jury may not be used to prove that the accused infringer willfully infringed the patent or that the infringer intended to induce infringement of the patent.”

(e) EFFECTIVE DATE.—The amendments made by this section shall apply to any civil action commenced on or after the date of the enactment of this Act.

SEC. 5. POST-GRANT REVIEW PROCEEDINGS.

(a) INTER PARTES REVIEW.—Chapter 31 of title 35, United States Code, is amended to read as follows:

“CHAPTER 31—INTER PARTES REVIEW

“Sec.

“311. Inter partes review.

“312. Petitions.

“313. Preliminary response to petition.

“314. Institution of inter partes review.

“315. Relation to other proceedings or actions.

“316. Conduct of inter partes review.

“317. Settlement.

“318. Decision of the board.

“319. Appeal.

“§ 311. Inter partes review

“(a) IN GENERAL.—Subject to the provisions of this chapter, a person who is not the patent owner may file with the Office a petition to institute an inter partes review for a patent. The Director shall establish, by regulation, fees to be paid by the person requesting the review, in such amounts as the Director determines to be reasonable, considering the aggregate costs of the review.

“(b) SCOPE.—A petitioner in an inter partes review may request to cancel as unpatentable 1 or more claims of a patent only on a ground that could be raised under section 102 or 103 and only on the basis of prior art consisting of patents or printed publications.

“(c) FILING DEADLINE.—A petition for inter partes review shall be filed after the later of either—

“(1) 9 months after the grant of a patent or issuance of a reissue of a patent; or

“(2) if a post-grant review is instituted under chapter 32, the date of the termination of such post-grant review.

“§ 312. Petitions

“(a) REQUIREMENTS OF PETITION.—A petition filed under section 311 may be considered only if—

“(1) the petition is accompanied by payment of the fee established by the Director under section 311;

“(2) the petition identifies all real parties in interest;

“(3) the petition identifies, in writing and with particularity, each claim challenged, the grounds on which the challenge to each claim is based, and the evidence that supports the grounds for the challenge to each claim, including—

“(A) copies of patents and printed publications that the petitioner relies upon in support of the petition; and

“(B) affidavits or declarations of supporting evidence and opinions, if the petitioner relies on expert opinions;

“(4) the petition provides such other information as the Director may require by regulation; and

“(5) the petitioner provides copies of any of the documents required under paragraphs (2), (3), and (4) to the patent owner or, if applicable, the designated representative of the patent owner.

“(b) PUBLIC AVAILABILITY.—As soon as practicable after the receipt of a petition under section 311, the Director shall make the petition available to the public.

“§ 313. Preliminary response to petition

“(a) PRELIMINARY RESPONSE.—If an inter partes review petition is filed under section 311, the patent owner shall have the right to file a preliminary response within a time period set by the Director.

“(b) CONTENT OF RESPONSE.—A preliminary response to a petition for inter partes review shall set forth reasons why no inter partes review should be instituted based upon the failure of the petition to meet any requirement of this chapter.

“§ 314. Institution of inter partes review

“(a) THRESHOLD.—The Director may not authorize an inter partes review to commence unless the Director determines that the information presented in the petition filed under section 311 and any response filed under section 313 shows that there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition.

“(b) TIMING.—The Director shall determine whether to institute an inter partes review under this chapter within 3 months after receiving a preliminary response under section 313 or, if none is filed, within three months after the expiration of the time for filing such a response.

“(c) NOTICE.—The Director shall notify the petitioner and patent owner, in writing, of the Director’s determination under subsection (a), and shall make such notice available to the public as soon as is practicable. Such notice shall list the date on which the review shall commence.

“(d) NO APPEAL.—The determination by the Director whether to institute an inter partes review under this section shall be final and nonappealable.

“§ 315. Relation to other proceedings or actions

“(a) INFRINGER’S ACTION.—An inter partes review may not be instituted or maintained if the petitioner or real party in interest has filed a civil action challenging the validity of a claim of the patent.

“(b) PATENT OWNER’S ACTION.—An inter partes review may not be instituted if the petition requesting the proceeding is filed more than 3 months after the date on which the petitioner, real party in interest, or his privy is required to respond to a civil action alleging infringement of the patent.

“(c) JOINDER.—If the Director institutes an inter partes review, the Director, in his discretion, may join as a party to that inter

partes review any person who properly files a petition under section 311 that the Director, after receiving a preliminary response under section 313 or the expiration of the time for filing such a response, determines warrants the institution of an inter partes review under section 314.

“(d) MULTIPLE PROCEEDINGS.—Notwithstanding sections 135(a), 251, and 252, and chapter 30, during the pendency of an inter partes review, if another proceeding or matter involving the patent is before the Office, the Director may determine the manner in which the inter partes review or other proceeding or matter may proceed, including providing for stay, transfer, consolidation, or termination of any such matter or proceeding.

“(e) ESTOPPEL.—

“(1) PROCEEDINGS BEFORE THE OFFICE.—The petitioner in an inter partes review under this chapter, or his real party in interest or privy, may not request or maintain a proceeding before the Office with respect to a claim on any ground that the petitioner raised or reasonably could have raised during an inter partes review of the claim that resulted in a final written decision under section 318(a).

“(2) CIVIL ACTIONS AND OTHER PROCEEDINGS.—The petitioner in an inter partes review under this chapter, or his real party in interest or privy, may not assert either in a civil action arising in whole or in part under section 1338 of title 28 or in a proceeding before the International Trade Commission that a claim in a patent is invalid on any ground that the petitioner raised or reasonably could have raised during an inter partes review of the claim that resulted in a final written decision under section 318(a).

#### “§ 316. Conduct of inter partes review

“(a) REGULATIONS.—The Director shall prescribe regulations—

“(1) providing that the file of any proceeding under this chapter shall be made available to the public, except that any petition or document filed with the intent that it be sealed shall be accompanied by a motion to seal, and such petition or document shall be treated as sealed pending the outcome of the ruling on the motion;

“(2) setting forth the standards for the showing of sufficient grounds to institute a review under section 314(a);

“(3) establishing procedures for the submission of supplemental information after the petition is filed;

“(4) in accordance with section 2(b)(2), establishing and governing inter partes review under this chapter and the relationship of such review to other proceedings under this title;

“(5) setting a time period for requesting joinder under section 315(c);

“(6) setting forth standards and procedures for discovery of relevant evidence, including that such discovery shall be limited to—

“(A) the deposition of witnesses submitting affidavits or declarations; and

“(B) what is otherwise necessary in the interest of justice;

“(7) prescribing sanctions for abuse of discovery, abuse of process, or any other improper use of the proceeding, such as to harass or to cause unnecessary delay or an unnecessary increase in the cost of the proceeding;

“(8) providing for protective orders governing the exchange and submission of confidential information;

“(9) allowing the patent owner to file a response to the petition after an inter partes review has been instituted, and requiring that the patent owner file with such response, through affidavits or declarations, any additional factual evidence and expert

opinions on which the patent owner relies in support of the response;

“(10) setting forth standards and procedures for allowing the patent owner to move to amend the patent under subsection (d) to cancel a challenged claim or propose a reasonable number of substitute claims, and ensuring that any information submitted by the patent owner in support of any amendment entered under subsection (d) is made available to the public as part of the prosecution history of the patent;

“(11) providing either party with the right to an oral hearing as part of the proceeding; and

“(12) requiring that the final determination in an inter partes review be issued not later than 1 year after the date on which the Director notices the institution of a review under this chapter, except that the Director may, for good cause shown, extend the 1-year period by not more than 6 months, and may adjust the time periods in this paragraph in the case of joinder under section 315(c).

“(b) CONSIDERATIONS.—In prescribing regulations under this section, the Director shall consider the effect of any such regulation on the economy, the integrity of the patent system, the efficient administration of the Office, and the ability of the Office to timely complete proceedings instituted under this chapter.

“(c) PATENT TRIAL AND APPEAL BOARD.—The Patent Trial and Appeal Board shall, in accordance with section 6, conduct each proceeding authorized by the Director.

“(d) AMENDMENT OF THE PATENT.—

“(1) IN GENERAL.—During an inter partes review instituted under this chapter, the patent owner may file 1 motion to amend the patent in 1 or more of the following ways:

“(A) Cancel any challenged patent claim.

“(B) For each challenged claim, propose a reasonable number of substitute claims.

“(2) ADDITIONAL MOTIONS.—Additional motions to amend may be permitted upon the joint request of the petitioner and the patent owner to materially advance the settlement of a proceeding under section 317, or as permitted by regulations prescribed by the Director.

“(3) SCOPE OF CLAIMS.—An amendment under this subsection may not enlarge the scope of the claims of the patent or introduce new matter.

“(e) EVIDENTIARY STANDARDS.—In an inter partes review instituted under this chapter, the petitioner shall have the burden of proving a proposition of unpatentability by a preponderance of the evidence.

#### “§ 317. Settlement

“(a) IN GENERAL.—An inter partes review instituted under this chapter shall be terminated with respect to any petitioner upon the joint request of the petitioner and the patent owner, unless the Office has decided the merits of the proceeding before the request for termination is filed. If the inter partes review is terminated with respect to a petitioner under this section, no estoppel under section 315(e) shall apply to that petitioner. If no petitioner remains in the inter partes review, the Office may terminate the review or proceed to a final written decision under section 318(a).

“(b) AGREEMENTS IN WRITING.—Any agreement or understanding between the patent owner and a petitioner, including any collateral agreements referred to in such agreement or understanding, made in connection with, or in contemplation of, the termination of an inter partes review under this section shall be in writing and a true copy of such agreement or understanding shall be filed in the Office before the termination of the inter partes review as between the parties. If any party filing such agreement or

understanding so requests, the copy shall be kept separate from the file of the inter partes review, and shall be made available only to Federal Government agencies upon written request, or to any other person on a showing of good cause.

#### “§ 318. Decision of the board

“(a) FINAL WRITTEN DECISION.—If an inter partes review is instituted and not dismissed under this chapter, the Patent Trial and Appeal Board shall issue a final written decision with respect to the patentability of any patent claim challenged by the petitioner and any new claim added under section 316(d).

“(b) CERTIFICATE.—If the Patent Trial and Appeal Board issues a final written decision under subsection (a) and the time for appeal has expired or any appeal has terminated, the Director shall issue and publish a certificate canceling any claim of the patent finally determined to be unpatentable, confirming any claim of the patent determined to be patentable, and incorporating in the patent by operation of the certificate any new or amended claim determined to be patentable.

#### “§ 319. Appeal

“A party dissatisfied with the final written decision of the Patent Trial and Appeal Board under section 318(a) may appeal the decision pursuant to sections 141 through 144. Any party to the inter partes review shall have the right to be a party to the appeal.”

(b) TECHNICAL AND CONFORMING AMENDMENT.—The table of chapters for part III of title 35, United States Code, is amended by striking the item relating to chapter 31 and inserting the following:

“31. Inter Partes Review 311.”

(c) REGULATIONS AND EFFECTIVE DATE.—

(1) REGULATIONS.—The Director shall, not later than the date that is 1 year after the date of the enactment of this Act, issue regulations to carry out chapter 31 of title 35, United States Code, as amended by subsection (a) of this section.

(2) APPLICABILITY.—

(A) IN GENERAL.—The amendments made by subsection (a) shall take effect on the date that is 1 year after the date of the enactment of this Act and shall apply to all patents issued before, on, or after the effective date of subsection (a).

(B) EXCEPTION.—The provisions of chapter 31 of title 35, United States Code, as amended by paragraph (3), shall continue to apply to requests for inter partes reexamination that are filed prior to the effective date of subsection (a) as if subsection (a) had not been enacted.

(C) GRADUATED IMPLEMENTATION.—The Director may impose a limit on the number of inter partes reviews that may be instituted during each of the first 4 years following the effective date of subsection (a), provided that such number shall in each year be equivalent to or greater than the number of inter partes reexaminations that are ordered in the last full fiscal year prior to the effective date of subsection (a).

(3) TRANSITION.—

(A) IN GENERAL.—Chapter 31 of title 35, United States Code, is amended—

(i) in section 312—

(I) in subsection (a)—

(aa) in the first sentence, by striking “a substantial new question of patentability affecting any claim of the patent concerned is raised by the request,” and inserting “the information presented in the request shows that there is a reasonable likelihood that the requester would prevail with respect to at least 1 of the claims challenged in the request,”; and

(bb) in the second sentence, by striking “The existence of a substantial new question



of patentability” and inserting “A showing that there is a reasonable likelihood that the requester would prevail with respect to at least 1 of the claims challenged in the request”; and

(II) in subsection (c), in the second sentence, by striking “no substantial new question of patentability has been raised,” and inserting “the showing required by subsection (a) has not been made.”; and

(ii) in section 313, by striking “a substantial new question of patentability affecting a claim of the patent is raised” and inserting “it has been shown that there is a reasonable likelihood that the requester would prevail with respect to at least 1 of the claims challenged in the request”.

(B) APPLICATION.—The amendments made by this paragraph shall apply to requests for inter partes reexamination that are filed on or after the date of the enactment of this Act, but prior to the effective date of subsection (a).

(d) POST-GRANT REVIEW.—Part III of title 35, United States Code, is amended by adding at the end the following:

**“CHAPTER 32—POST-GRANT REVIEW**

“Sec.

“321. Post-grant review.

“322. Petitions.

“323. Preliminary response to petition.

“324. Institution of post-grant review.

“325. Relation to other proceedings or actions.

“326. Conduct of post-grant review.

“327. Settlement.

“328. Decision of the board.

“329. Appeal.

**“§ 321. Post-grant review**

“(a) IN GENERAL.—Subject to the provisions of this chapter, a person who is not the patent owner may file with the Office a petition to institute a post-grant review for a patent. The Director shall establish, by regulation, fees to be paid by the person requesting the review, in such amounts as the Director determines to be reasonable, considering the aggregate costs of the post-grant review.

“(b) SCOPE.—A petitioner in a post-grant review may request to cancel as unpatentable 1 or more claims of a patent on any ground that could be raised under paragraph (2) or (3) of section 282(b) (relating to invalidity of the patent or any claim).

“(c) FILING DEADLINE.—A petition for a post-grant review shall be filed not later than 9 months after the grant of the patent or issuance of a reissue patent.

**“§ 322. Petitions**

“(a) REQUIREMENTS OF PETITION.—A petition filed under section 321 may be considered only if—

“(1) the petition is accompanied by payment of the fee established by the Director under section 321;

“(2) the petition identifies all real parties in interest;

“(3) the petition identifies, in writing and with particularity, each claim challenged, the grounds on which the challenge to each claim is based, and the evidence that supports the grounds for the challenge to each claim, including—

“(A) copies of patents and printed publications that the petitioner relies upon in support of the petition; and

“(B) affidavits or declarations of supporting evidence and opinions, if the petitioner relies on other factual evidence or on expert opinions;

“(4) the petition provides such other information as the Director may require by regulation; and

“(5) the petitioner provides copies of any of the documents required under paragraphs (2), (3), and (4) to the patent owner or, if applica-

ble, the designated representative of the patent owner.

“(b) PUBLIC AVAILABILITY.—As soon as practicable after the receipt of a petition under section 321, the Director shall make the petition available to the public.

**“§ 323. Preliminary response to petition**

“(a) PRELIMINARY RESPONSE.—If a post-grant review petition is filed under section 321, the patent owner shall have the right to file a preliminary response within 2 months of the filing of the petition.

“(b) CONTENT OF RESPONSE.—A preliminary response to a petition for post-grant review shall set forth reasons why no post-grant review should be instituted based upon the failure of the petition to meet any requirement of this chapter.

**“§ 324. Institution of post-grant review**

“(a) THRESHOLD.—The Director may not authorize a post-grant review to commence unless the Director determines that the information presented in the petition, if such information is not rebutted, would demonstrate that it is more likely than not that at least 1 of the claims challenged in the petition is unpatentable.

“(b) ADDITIONAL GROUNDS.—The determination required under subsection (a) may also be satisfied by a showing that the petition raises a novel or unsettled legal question that is important to other patents or patent applications.

“(c) TIMING.—The Director shall determine whether to institute a post-grant review under this chapter within 3 months after receiving a preliminary response under section 323 or, if none is filed, the expiration of the time for filing such a response.

“(d) NOTICE.—The Director shall notify the petitioner and patent owner, in writing, of the Director’s determination under subsection (a) or (b), and shall make such notice available to the public as soon as is practicable. The Director shall make each notice of the institution of a post-grant review available to the public. Such notice shall list the date on which the review shall commence.

“(e) NO APPEAL.—The determination by the Director whether to institute a post-grant review under this section shall be final and nonappealable.

**“§ 325. Relation to other proceedings or actions**

“(a) INFRINGER’S ACTION.—A post-grant review may not be instituted or maintained if the petitioner or real party in interest has filed a civil action challenging the validity of a claim of the patent.

“(b) PATENT OWNER’S ACTION.—A post-grant review may not be instituted if the petition requesting the proceeding is filed more than 3 months after the date on which the petitioner, real party in interest, or his privy is required to respond to a civil action alleging infringement of the patent.

“(c) JOINDER.—If more than 1 petition for a post-grant review is properly filed against the same patent and the Director determines that more than 1 of these petitions warrants the institution of a post-grant review under section 324, the Director may consolidate such reviews into a single post-grant review.

“(d) MULTIPLE PROCEEDINGS.—Notwithstanding sections 135(a), 251, and 252, and chapter 30, during the pendency of any post-grant review, if another proceeding or matter involving the patent is before the Office, the Director may determine the manner in which the post-grant review or other proceeding or matter may proceed, including providing for stay, transfer, consolidation, or termination of any such matter or proceeding. In determining whether to institute or order a proceeding under this chapter,

chapter 30, or chapter 31, the Director may take into account whether, and reject the petition or request because, the same or substantially the same prior art or arguments previously were presented to the Office.

“(e) ESTOPPEL.—

“(1) PROCEEDINGS BEFORE THE OFFICE.—The petitioner in a post-grant review under this chapter, or his real party in interest or privy, may not request or maintain a proceeding before the Office with respect to a claim on any ground that the petitioner raised or reasonably could have raised during a post-grant review of the claim that resulted in a final written decision under section 328(a).

“(2) CIVIL ACTIONS AND OTHER PROCEEDINGS.—The petitioner in a post-grant review under this chapter, or his real party in interest or privy, may not assert either in a civil action arising in whole or in part under section 1338 of title 28 or in a proceeding before the International Trade Commission that a claim in a patent is invalid on any ground that the petitioner raised during a post-grant review of the claim that resulted in a final written decision under section 328(a).

“(f) PRELIMINARY INJUNCTIONS.—If a civil action alleging infringement of a patent is filed within 3 months of the grant of the patent, the court may not stay its consideration of the patent owner’s motion for a preliminary injunction against infringement of the patent on the basis that a petition for post-grant review has been filed or that such a proceeding has been instituted.

“(g) REISSUE PATENTS.—A post-grant review may not be instituted if the petition requests cancellation of a claim in a reissue patent that is identical to or narrower than a claim in the original patent from which the reissue patent was issued, and the time limitations in section 321(c) would bar filing a petition for a post-grant review for such original patent.

**“§ 326. Conduct of post-grant review**

“(a) REGULATIONS.—The Director shall prescribe regulations—

“(1) providing that the file of any proceeding under this chapter shall be made available to the public, except that any petition or document filed with the intent that it be sealed shall be accompanied by a motion to seal, and such petition or document shall be treated as sealed pending the outcome of the ruling on the motion;

“(2) setting forth the standards for the showing of sufficient grounds to institute a review under subsections (a) and (b) of section 324;

“(3) establishing procedures for the submission of supplemental information after the petition is filed;

“(4) in accordance with section 2(b)(2), establishing and governing a post-grant review under this chapter and the relationship of such review to other proceedings under this title;

“(5) setting forth standards and procedures for discovery of relevant evidence, including that such discovery shall be limited to evidence directly related to factual assertions advanced by either party in the proceeding;

“(6) prescribing sanctions for abuse of discovery, abuse of process, or any other improper use of the proceeding, such as to harass or to cause unnecessary delay or an unnecessary increase in the cost of the proceeding;

“(7) providing for protective orders governing the exchange and submission of confidential information;

“(8) allowing the patent owner to file a response to the petition after a post-grant review has been instituted, and requiring that the patent owner file with such response,

through affidavits or declarations, any additional factual evidence and expert opinions on which the patent owner relies in support of the response;

“(9) setting forth standards and procedures for allowing the patent owner to move to amend the patent under subsection (d) to cancel a challenged claim or propose a reasonable number of substitute claims, and ensuring that any information submitted by the patent owner in support of any amendment entered under subsection (d) is made available to the public as part of the prosecution history of the patent;

“(10) providing either party with the right to an oral hearing as part of the proceeding; and

“(11) requiring that the final determination in any post-grant review be issued not later than 1 year after the date on which the Director notices the institution of a proceeding under this chapter, except that the Director may, for good cause shown, extend the 1-year period by not more than 6 months, and may adjust the time periods in this paragraph in the case of joinder under section 325(c).

“(b) CONSIDERATIONS.—In prescribing regulations under this section, the Director shall consider the effect of any such regulation on the economy, the integrity of the patent system, the efficient administration of the Office, and the ability of the Office to timely complete proceedings instituted under this chapter.

“(c) PATENT TRIAL AND APPEAL BOARD.—The Patent Trial and Appeal Board shall, in accordance with section 6, conduct each proceeding authorized by the Director.

“(d) AMENDMENT OF THE PATENT.—

“(1) IN GENERAL.—During a post-grant review instituted under this chapter, the patent owner may file 1 motion to amend the patent in 1 or more of the following ways:

“(A) Cancel any challenged patent claim.

“(B) For each challenged claim, propose a reasonable number of substitute claims.

“(2) ADDITIONAL MOTIONS.—Additional motions to amend may be permitted upon the joint request of the petitioner and the patent owner to materially advance the settlement of a proceeding under section 327, or upon the request of the patent owner for good cause shown.

“(3) SCOPE OF CLAIMS.—An amendment under this subsection may not enlarge the scope of the claims of the patent or introduce new matter.

“(e) EVIDENTIARY STANDARDS.—In a post-grant review instituted under this chapter, the petitioner shall have the burden of proving a proposition of unpatentability by a preponderance of the evidence.

#### “§ 327. Settlement

“(a) IN GENERAL.—A post-grant review instituted under this chapter shall be terminated with respect to any petitioner upon the joint request of the petitioner and the patent owner, unless the Office has decided the merits of the proceeding before the request for termination is filed. If the post-grant review is terminated with respect to a petitioner under this section, no estoppel under section 325(e) shall apply to that petitioner. If no petitioner remains in the post-grant review, the Office may terminate the post-grant review or proceed to a final written decision under section 328(a).

“(b) AGREEMENTS IN WRITING.—Any agreement or understanding between the patent owner and a petitioner, including any collateral agreements referred to in such agreement or understanding, made in connection with, or in contemplation of, the termination of a post-grant review under this section shall be in writing, and a true copy of such agreement or understanding shall be

filed in the Office before the termination of the post-grant review as between the parties. If any party filing such agreement or understanding so requests, the copy shall be kept separate from the file of the post-grant review, and shall be made available only to Federal Government agencies upon written request, or to any other person on a showing of good cause.

#### “§ 328. Decision of the board

“(a) FINAL WRITTEN DECISION.—If a post-grant review is instituted and not dismissed under this chapter, the Patent Trial and Appeal Board shall issue a final written decision with respect to the patentability of any patent claim challenged by the petitioner and any new claim added under section 326(d).

“(b) CERTIFICATE.—If the Patent Trial and Appeal Board issues a final written decision under subsection (a) and the time for appeal has expired or any appeal has terminated, the Director shall issue and publish a certificate canceling any claim of the patent finally determined to be unpatentable, confirming any claim of the patent determined to be patentable, and incorporating in the patent by operation of the certificate any new or amended claim determined to be patentable.

#### “§ 329. Appeal

“A party dissatisfied with the final written decision of the Patent Trial and Appeal Board under section 328(a) may appeal the decision pursuant to sections 141 through 144. Any party to the post-grant review shall have the right to be a party to the appeal.”.

(e) TECHNICAL AND CONFORMING AMENDMENT.—The table of chapters for part III of title 35, United States Code, is amended by adding at the end the following:

“32. Post-Grant Review ..... 321.”.

(f) REGULATIONS AND EFFECTIVE DATE.—

(1) REGULATIONS.—The Director shall, not later than the date that is 1 year after the date of the enactment of this Act, issue regulations to carry out chapter 32 of title 35, United States Code, as added by subsection (d) of this section.

(2) APPLICABILITY.—The amendments made by subsection (d) shall take effect on the date that is 1 year after the date of the enactment of this Act and shall apply only to patents issued on or after that date. The Director may impose a limit on the number of post-grant reviews that may be instituted during each of the 4 years following the effective date of subsection (d).

(3) PENDING INTERFERENCES.—The Director shall determine the procedures under which interferences commenced before the effective date of subsection (d) are to proceed, including whether any such interference is to be dismissed without prejudice to the filing of a petition for a post-grant review under chapter 32 of title 35, United States Code, or is to proceed as if this Act had not been enacted. The Director shall include such procedures in regulations issued under paragraph (1). For purposes of an interference that is commenced before the effective date of subsection (d), the Director may deem the Patent Trial and Appeal Board to be the Board of Patent Appeals and Interferences, and may allow the Patent Trial and Appeal Board to conduct any further proceedings in that interference. The authorization to appeal or have remedy from derivation proceedings in sections 141(d) and 146 of title 35, United States Code, and the jurisdiction to entertain appeals from derivation proceedings in section 1295(a)(4)(A) of title 28, United States Code, shall be deemed to extend to final decisions in interferences that are commenced before the effective date of subsection (d) and that are not dismissed pursuant to this paragraph.

(g) CITATION OF PRIOR ART AND WRITTEN STATEMENTS.—

(1) IN GENERAL.—Section 301 of title 35, United States Code, is amended to read as follows:

#### “§ 301. Citation of prior art and written statements

“(a) IN GENERAL.—Any person at any time may cite to the Office in writing—

“(1) prior art consisting of patents or printed publications which that person believes to have a bearing on the patentability of any claim of a particular patent; or

“(2) statements of the patent owner filed in a proceeding before a Federal court or the Office in which the patent owner took a position on the scope of any claim of a particular patent.

“(b) OFFICIAL FILE.—If the person citing prior art or written statements pursuant to subsection (a) explains in writing the pertinence and manner of applying the prior art or written statements to at least 1 claim of the patent, the citation of the prior art or written statements and the explanation thereof shall become a part of the official file of the patent.

“(c) ADDITIONAL INFORMATION.—A party that submits a written statement pursuant to subsection (a)(2) shall include any other documents, pleadings, or evidence from the proceeding in which the statement was filed that addresses the written statement.

“(d) LIMITATIONS.—A written statement submitted pursuant to subsection (a)(2), and additional information submitted pursuant to subsection (c), shall not be considered by the Office for any purpose other than to determine the proper meaning of a patent claim in a proceeding that is ordered or instituted pursuant to section 304, 314, or 324. If any such written statement or additional information is subject to an applicable protective order, it shall be redacted to exclude information that is subject to that order.

“(e) CONFIDENTIALITY.—Upon the written request of the person citing prior art or written statements pursuant to subsection (a), that person's identity shall be excluded from the patent file and kept confidential.”.

(2) EFFECTIVE DATE.—The amendment made by this subsection shall take effect 1 year after the date of the enactment of this Act and shall apply to patents issued before, on, or after that effective date.

(h) REEXAMINATION.—

(1) DETERMINATION BY DIRECTOR.—

(A) IN GENERAL.—Section 303(a) of title 35, United States Code, is amended by striking “section 301 of this title” and inserting “section 301 or 302”.

(B) EFFECTIVE DATE.—The amendment made by this paragraph shall take effect 1 year after the date of the enactment of this Act and shall apply to patents issued before, on, or after that effective date.

(2) APPEAL.—

(A) IN GENERAL.—Section 306 of title 35, United States Code, is amended by striking “145” and inserting “144”.

(B) EFFECTIVE DATE.—The amendment made by this paragraph shall take effect on the date of enactment of this Act and shall apply to appeals of reexaminations that are pending before the Board of Patent Appeals and Interferences or the Patent Trial and Appeal Board on or after the date of the enactment of this Act.

#### SEC. 6. PATENT TRIAL AND APPEAL BOARD.

(a) COMPOSITION AND DUTIES.—Section 6 of title 35, United States Code, is amended to read as follows:

#### “§ 6. Patent Trial and Appeal Board

“(a) There shall be in the Office a Patent Trial and Appeal Board. The Director, the Deputy Director, the Commissioner for Patents, the Commissioner for Trademarks, and

the administrative patent judges shall constitute the Patent Trial and Appeal Board. The administrative patent judges shall be persons of competent legal knowledge and scientific ability who are appointed by the Secretary, in consultation with the Director. Any reference in any Federal law, Executive order, rule, regulation, or delegation of authority, or any document of or pertaining to the Board of Patent Appeals and Interferences is deemed to refer to the Patent Trial and Appeal Board.

“(b) The Patent Trial and Appeal Board shall—

“(1) on written appeal of an applicant, review adverse decisions of examiners upon applications for patents pursuant to section 134(a);

“(2) review appeals of reexaminations pursuant to section 134(b);

“(3) conduct derivation proceedings pursuant to section 135; and

“(4) conduct inter partes reviews and post-grant reviews pursuant to chapters 31 and 32.

“(c) Each appeal, derivation proceeding, post-grant review, and inter partes review shall be heard by at least 3 members of the Patent Trial and Appeal Board, who shall be designated by the Director. Only the Patent Trial and Appeal Board may grant rehearings.

“(d) The Secretary of Commerce may, in his discretion, deem the appointment of an administrative patent judge who, before the date of the enactment of this subsection, held office pursuant to an appointment by the Director to take effect on the date on which the Director initially appointed the administrative patent judge. It shall be a defense to a challenge to the appointment of an administrative patent judge on the basis of the judge's having been originally appointed by the Director that the administrative patent judge so appointed was acting as a de facto officer.”

(b) ADMINISTRATIVE APPEALS.—Section 134 of title 35, United States Code, is amended—

(1) in subsection (b), by striking “any reexamination proceeding” and inserting “a reexamination”; and

(2) by striking subsection (c).

(c) CIRCUIT APPEALS.—

(1) IN GENERAL.—Section 141 of title 35, United States Code, is amended to read as follows:

**“§ 141. Appeal to the Court of Appeals for the Federal Circuit**

“(a) EXAMINATIONS.—An applicant who is dissatisfied with the final decision in an appeal to the Patent Trial and Appeal Board under section 134(a) may appeal the Board's decision to the United States Court of Appeals for the Federal Circuit. By filing such an appeal, the applicant waives his right to proceed under section 145.

“(b) REEXAMINATIONS.—A patent owner who is dissatisfied with the final decision in an appeal of a reexamination to the Patent Trial and Appeal Board under section 134(b) may appeal the Board's decision only to the United States Court of Appeals for the Federal Circuit.

“(c) POST-GRANT AND INTER PARTES REVIEWS.—A party to a post-grant or inter partes review who is dissatisfied with the final written decision of the Patent Trial and Appeal Board under section 318(a) or 328(a) may appeal the Board's decision only to the United States Court of Appeals for the Federal Circuit.

“(d) DERIVATION PROCEEDINGS.—A party to a derivation proceeding who is dissatisfied with the final decision of the Patent Trial and Appeal Board on the proceeding may appeal the decision to the United States Court of Appeals for the Federal Circuit, but such appeal shall be dismissed if any adverse

party to such derivation proceeding, within 20 days after the appellant has filed notice of appeal in accordance with section 142, files notice with the Director that the party elects to have all further proceedings conducted as provided in section 146. If the appellant does not, within 30 days after the filing of such notice by the adverse party, file a civil action under section 146, the Board's decision shall govern the further proceedings in the case.”

(2) JURISDICTION.—Section 1295(a)(4)(A) of title 28, United States Code, is amended to read as follows:

“(A) the Patent Trial and Appeal Board of the United States Patent and Trademark Office with respect to patent applications, derivation proceedings, reexaminations, post-grant reviews, and inter partes reviews at the instance of a party who exercised his right to participate in a proceeding before or appeal to the Board, except that an applicant or a party to a derivation proceeding may also have remedy by civil action pursuant to section 145 or 146 of title 35. An appeal under this subparagraph of a decision of the Board with respect to an application or derivation proceeding shall waive the right of such applicant or party to proceed under section 145 or 146 of title 35;”

(3) PROCEEDINGS ON APPEAL.—Section 143 of title 35, United States Code, is amended—

(A) by striking the third sentence and inserting the following: “In an ex parte case, the Director shall submit to the court in writing the grounds for the decision of the Patent and Trademark Office, addressing all of the issues raised in the appeal. The Director shall have the right to intervene in an appeal from a decision entered by the Patent Trial and Appeal Board in a derivation proceeding under section 135 or in an inter partes or post-grant review under chapter 31 or 32.”; and

(B) by repealing the second of the two identical fourth sentences.

(d) EFFECTIVE DATE.—The amendments made by this section shall take effect 1 year after the date of the enactment of this Act and shall apply to proceedings commenced on or after that effective date, except that—

(1) the extension of jurisdiction to the United States Court of Appeals for the Federal Circuit to entertain appeals of decisions of the Patent Trial and Appeal Board in reexaminations under the amendment made by subsection (c)(2) shall be deemed to take effect on the date of enactment of this Act and shall extend to any decision of the Board of Patent Appeals and Interferences with respect to a reexamination that is entered before, on, or after the date of the enactment of this Act;

(2) the provisions of sections 6, 134, and 141 of title 35, United States Code, in effect on the day prior to the date of the enactment of this Act shall continue to apply to inter partes reexaminations that are requested under section 311 prior to the date that is 1 year after the date of the enactment of this Act;

(3) the Patent Trial and Appeal Board may be deemed to be the Board of Patent Appeals and Interferences for purposes of appeals of inter partes reexaminations that are requested under section 311 prior to the date that is 1 year after the date of the enactment of this Act; and

(4) the Director's right under the last sentence of section 143 of title 35, United States Code, as amended by subsection (c)(3), to intervene in an appeal from a decision entered by the Patent Trial and Appeal Board shall be deemed to extend to inter partes reexaminations that are requested under section 311 prior to the date that is 1 year after the date of the enactment of this Act.

**SEC. 7. PREISSUANCE SUBMISSIONS BY THIRD PARTIES.**

(a) IN GENERAL.—Section 122 of title 35, United States Code, is amended by adding at the end the following:

“(e) PREISSUANCE SUBMISSIONS BY THIRD PARTIES.—

“(1) IN GENERAL.—Any third party may submit for consideration and inclusion in the record of a patent application, any patent, published patent application, or other printed publication of potential relevance to the examination of the application, if such submission is made in writing before the earlier of—

“(A) the date a notice of allowance under section 151 is given or mailed in the application for patent; or

“(B) the later of—

“(i) 6 months after the date on which the application for patent is first published under section 122 by the Office, or

“(ii) the date of the first rejection under section 132 of any claim by the examiner during the examination of the application for patent.

“(2) OTHER REQUIREMENTS.—Any submission under paragraph (1) shall—

“(A) set forth a concise description of the asserted relevance of each submitted document;

“(B) be accompanied by such fee as the Director may prescribe; and

“(C) include a statement by the person making such submission affirming that the submission was made in compliance with this section.”

(b) EFFECTIVE DATE.—The amendments made by this section shall take effect 1 year after the date of the enactment of this Act and shall apply to patent applications filed before, on, or after that effective date.

**SEC. 8. VENUE.**

(a) CHANGE OF VENUE.—Section 1400 of title 28, United States Code, is amended by adding at the end the following:

“(c) CHANGE OF VENUE.—For the convenience of parties and witnesses, in the interest of justice, a district court shall transfer any civil action arising under any Act of Congress relating to patents upon a showing that the transferee venue is clearly more convenient than the venue in which the civil action is pending.”

(b) TECHNICAL AMENDMENTS RELATING TO VENUE.—Sections 32, 145, 146, 154(b)(4)(A), and 293 of title 35, United States Code, and section 21(b)(4) of the Act entitled “An Act to provide for the registration and protection of trademarks used in commerce, to carry out the provisions of certain international conventions, and for other purposes”, approved July 5, 1946 (commonly referred to as the “Trademark Act of 1946” or the “Lanham Act”); 15 U.S.C. 1071(b)(4), are each amended by striking “United States District Court for the District of Columbia” each place that term appears and inserting “United States District Court for the Eastern District of Virginia”.

(c) EFFECTIVE DATE.—The amendments made by this section shall take effect upon the date of the enactment of this Act and shall apply to civil actions commenced on or after that date.

**SEC. 9. FEE SETTING AUTHORITY.**

(a) FEE SETTING.—

(1) IN GENERAL.—The Director shall have authority to set or adjust by rule any fee established or charged by the Office under sections 41 and 376 of title 35, United States Code, or under section 31 of the Trademark Act of 1946 (15 U.S.C. 1113), or any other fee established or charged by the Office under any other provision of law, notwithstanding the fee amounts established or charged thereunder, for the filing or processing of

any submission to, and for all other services performed by or materials furnished by, the Office, provided that patent and trademark fee amounts are in the aggregate set to recover the estimated cost to the Office for processing, activities, services and materials relating to patents and trademarks, respectively, including proportionate shares of the administrative costs of the Office.

(2) **SMALL AND MICRO ENTITIES.**—The fees established under paragraph (1) for filing, processing, issuing, and maintaining patent applications and patents shall be reduced by 50 percent with respect to their application to any small entity that qualifies for reduced fees under section 41(h)(1) of title 35, United States Code, and shall be reduced by 75 percent with respect to their application to any micro entity as defined in section 123 of that title.

(3) **REDUCTION OF FEES IN CERTAIN FISCAL YEARS.**—In any fiscal year, the Director—

(A) shall consult with the Patent Public Advisory Committee and the Trademark Public Advisory Committee on the advisability of reducing any fees described in paragraph (1); and

(B) after the consultation required under subparagraph (A), may reduce such fees.

(4) **ROLE OF THE PUBLIC ADVISORY COMMITTEE.**—The Director shall—

(A) submit to the Patent Public Advisory Committee or the Trademark Public Advisory Committee, or both, as appropriate, any proposed fee under paragraph (1) not less than 45 days before publishing any proposed fee in the Federal Register;

(B) provide the relevant advisory committee described in subparagraph (A) a 30-day period following the submission of any proposed fee, on which to deliberate, consider, and comment on such proposal, and require that—

(i) during such 30-day period, the relevant advisory committee hold a public hearing related to such proposal; and

(ii) the Director shall assist the relevant advisory committee in carrying out such public hearing, including by offering the use of Office resources to notify and promote the hearing to the public and interested stakeholders;

(C) require the relevant advisory committee to make available to the public a written report detailing the comments, advice, and recommendations of the committee regarding any proposed fee;

(D) consider and analyze any comments, advice, or recommendations received from the relevant advisory committee before setting or adjusting any fee; and

(E) notify, through the Chair and Ranking Member of the Senate and House Judiciary Committees, the Congress of any final rule setting or adjusting fees under paragraph (1).

(5) **PUBLICATION IN THE FEDERAL REGISTER.**—

(A) **IN GENERAL.**—Any rules prescribed under this subsection shall be published in the Federal Register.

(B) **RATIONALE.**—Any proposal for a change in fees under this section shall—

(i) be published in the Federal Register; and

(ii) include, in such publication, the specific rationale and purpose for the proposal, including the possible expectations or benefits resulting from the proposed change.

(C) **PUBLIC COMMENT PERIOD.**—Following the publication of any proposed fee in the Federal Register pursuant to subparagraph (A), the Director shall seek public comment for a period of not less than 45 days.

(6) **CONGRESSIONAL COMMENT PERIOD.**—Following the notification described in paragraph (3)(E), Congress shall have not more than 45 days to consider and comment on any final rule setting or adjusting fees under

paragraph (1). No fee set or adjusted under paragraph (1) shall be effective prior to the end of such 45-day comment period.

(7) **RULE OF CONSTRUCTION.**—No rules prescribed under this subsection may diminish—

(A) an applicant's rights under title 35, United States Code, or the Trademark Act of 1946; or

(B) any rights under a ratified treaty.

(b) **FEES FOR PATENT SERVICES.**—Division B of Public Law 108-447 is amended in title VIII of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2005—

(1) in subsections (a), (b), and (c) of section 801, by—

(A) striking “During” and all that follows through “2006, subsection” and inserting “Subsection”; and

(B) striking “shall be administered as though that subsection reads” and inserting “is amended to read”;

(2) in subsection (d) of section 801, by striking “During” and all that follows through “2006, subsection” and inserting “Subsection”; and

(3) in subsection (e) of section 801, by—

(A) striking “During” and all that follows through “2006, subsection” and inserting “Subsection”; and

(B) striking “shall be administered as though that subsection”.

(c) **ADJUSTMENT OF TRADEMARK FEES.**—Division B of Public Law 108-447 is amended in title VIII of the Departments of Commerce, Justice and State, the Judiciary and Related Agencies Appropriations Act, 2005, in section 802(a) by striking “During fiscal years 2005, 2006 and 2007”, and inserting “Until such time as the Director sets or adjusts the fees otherwise.”.

(d) **EFFECTIVE DATE, APPLICABILITY, AND TRANSITION PROVISIONS.**—Division B of Public Law 108-447 is amended in title VIII of the Departments of Commerce, Justice and State, the Judiciary and Related Agencies Appropriations Act, 2005, in section 803(a) by striking “and shall apply only with respect to the remaining portion of fiscal year 2005, 2006 and 2007”.

(e) **STATUTORY AUTHORITY.**—Section 41(d)(1)(A) of title 35, United States Code, is amended by striking “, and the Director may not increase any such fee thereafter”.

(f) **RULE OF CONSTRUCTION.**—Nothing in this section shall be construed to affect any other provision of Division B of Public Law 108-447, including section 801(c) of title VIII of the Departments of Commerce, Justice and State, the Judiciary and Related Agencies Appropriations Act, 2005.

(g) **DEFINITIONS.**—In this section, the following definitions shall apply:

(1) **DIRECTOR.**—The term “Director” means the Director of the United States Patent and Trademark Office.

(2) **OFFICE.**—The term “Office” means the United States Patent and Trademark Office.

(3) **TRADEMARK ACT OF 1946.**—The term “Trademark Act of 1946” means an Act entitled “Act to provide for the registration and protection of trademarks used in commerce, to carry out the provisions of certain international conventions, and for other purposes”, approved July 5, 1946 (15 U.S.C. 1051 et seq.) (commonly referred to as the Trademark Act of 1946 or the Lanham Act).

(h) **ELECTRONIC FILING INCENTIVE.**—

(1) **IN GENERAL.**—Notwithstanding any other provision of this section, a fee of \$400 shall be established for each application for an original patent, except for a design, plant, or provisional application, that is not filed by electronic means as prescribed by the Director. The fee established by this subsection shall be reduced 50 percent for small entities that qualify for reduced fees under section 41(h)(1) of title 35, United States Code. All

fees paid under this subsection shall be deposited in the Treasury as an offsetting receipt that shall not be available for obligation or expenditure.

(2) **EFFECTIVE DATE.**—This subsection shall become effective 60 days after the date of the enactment of this Act.

(i) **EFFECTIVE DATE.**—Except as provided in subsection (h), the provisions of this section shall take effect upon the date of the enactment of this Act.

#### SEC. 10. SUPPLEMENTAL EXAMINATION.

(a) **IN GENERAL.**—Chapter 25 of title 35, United States Code, is amended by adding at the end the following:

##### “§257. Supplemental examinations to consider, reconsider, or correct information

“(a) **IN GENERAL.**—A patent owner may request supplemental examination of a patent in the Office to consider, reconsider, or correct information believed to be relevant to the patent. Within 3 months of the date a request for supplemental examination meeting the requirements of this section is received, the Director shall conduct the supplemental examination and shall conclude such examination by issuing a certificate indicating whether the information presented in the request raises a substantial new question of patentability.

“(b) **REEXAMINATION ORDERED.**—If a substantial new question of patentability is raised by 1 or more items of information in the request, the Director shall order reexamination of the patent. The reexamination shall be conducted according to procedures established by chapter 30, except that the patent owner shall not have the right to file a statement pursuant to section 304. During the reexamination, the Director shall address each substantial new question of patentability identified during the supplemental examination, notwithstanding the limitations therein relating to patents and printed publication or any other provision of chapter 30.

“(c) **EFFECT.**—

“(1) **IN GENERAL.**—A patent shall not be held unenforceable on the basis of conduct relating to information that had not been considered, was inadequately considered, or was incorrect in a prior examination of the patent if the information was considered, reconsidered, or corrected during a supplemental examination of the patent. The making of a request under subsection (a), or the absence thereof, shall not be relevant to enforceability of the patent under section 282.

“(2) **EXCEPTIONS.**—

“(A) **PRIOR ALLEGATIONS.**—This subsection shall not apply to an allegation pled with particularity, or set forth with particularity in a notice received by the patent owner under section 505(j)(2)(B)(iv)(II) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(j)(2)(B)(iv)(II)), before the date of a supplemental-examination request under subsection (a) to consider, reconsider, or correct information forming the basis for the allegation.

“(B) **PATENT ENFORCEMENT ACTIONS.**—In an action brought under section 337(a) of the Tariff Act of 1930 (19 U.S.C. 1337(a)), or section 281 of this title, this subsection shall not apply to any defense raised in the action that is based upon information that was considered, reconsidered, or corrected pursuant to a supplemental-examination request under subsection (a) unless the supplemental examination, and any reexamination ordered pursuant to the request, are concluded before the date on which the action is brought.

“(d) **FEES AND REGULATIONS.**—The Director shall, by regulation, establish fees for the submission of a request for supplemental examination of a patent, and to consider each item of information submitted in the request. If reexamination is ordered pursuant

to subsection (a), fees established and applicable to ex parte reexamination proceedings under chapter 30 shall be paid in addition to fees applicable to supplemental examination. The Director shall promulgate regulations governing the form, content, and other requirements of requests for supplemental examination, and establishing procedures for conducting review of information submitted in such requests.

“(e) **RULE OF CONSTRUCTION.**—Nothing in this section shall be construed—

“(1) to preclude the imposition of sanctions based upon criminal or antitrust laws (including section 1001(a) of title 18, the first section of the Clayton Act, and section 5 of the Federal Trade Commission Act to the extent that section relates to unfair methods of competition);

“(2) to limit the authority of the Director to investigate issues of possible misconduct and impose sanctions for misconduct in connection with matters or proceedings before the Office; or

“(3) to limit the authority of the Director to promulgate regulations under chapter 3 relating to sanctions for misconduct by representatives practicing before the Office.”

(b) **EFFECTIVE DATE.**—This section shall take effect 1 year after the date of the enactment of this Act and shall apply to patents issued before, on, or after that date.

#### SEC. 11. RESIDENCY OF FEDERAL CIRCUIT JUDGES.

(a) **RESIDENCY.**—The second sentence of section 44(c) of title 28, United States Code, is repealed.

(b) **FACILITIES.**—Section 44 of title 28, United States Code, is amended by adding at the end the following:

“(e)(1) The Director of the Administrative Office of the United States Courts shall provide—

“(A) a judge of the Federal judicial circuit who lives within 50 miles of the District of Columbia with appropriate facilities and administrative support services in the District of the District of Columbia; and

“(B) a judge of the Federal judicial circuit who does not live within 50 miles of the District of Columbia with appropriate facilities and administrative support services—

“(i) in the district and division in which that judge resides; or

“(ii) if appropriate facilities are not available in the district and division in which that judge resides, in the district and division closest to the residence of that judge in which such facilities are available, as determined by the Director.

“(2) Nothing in this subsection may be construed to authorize or require the construction of new facilities.”

#### SEC. 12. MICRO ENTITY DEFINED.

Chapter 11 of title 35, United States Code, is amended by adding at the end the following new section:

##### “§ 123. Micro entity defined

“(a) **IN GENERAL.**—For purposes of this title, the term ‘micro entity’ means an applicant who makes a certification under either subsection (b) or (c).

“(b) **UNASSIGNED APPLICATION.**—For an unassigned application, each applicant shall certify that the applicant—

“(1) qualifies as a small entity, as defined in regulations issued by the Director;

“(2) has not been named on 5 or more previously filed patent applications;

“(3) has not assigned, granted, or conveyed, and is not under an obligation by contract or law to assign, grant, or convey, a license or any other ownership interest in the particular application; and

“(4) does not have a gross income, as defined in section 61(a) of the Internal Revenue Code (26 U.S.C. 61(a)), exceeding 2.5 times the

average gross income, as reported by the Department of Labor, in the calendar year immediately preceding the calendar year in which the examination fee is being paid.

“(c) **ASSIGNED APPLICATION.**—For an assigned application, each applicant shall certify that the applicant—

“(1) qualifies as a small entity, as defined in regulations issued by the Director, and meets the requirements of subsection (b)(4);

“(2) has not been named on 5 or more previously filed patent applications; and

“(3) has assigned, granted, conveyed, or is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the particular application to an entity that has 5 or fewer employees and that such entity has a gross income, as defined in section 61(a) of the Internal Revenue Code (26 U.S.C. 61(a)), that does not exceed 2.5 times the average gross income, as reported by the Department of Labor, in the calendar year immediately preceding the calendar year in which the examination fee is being paid.

“(d) **INCOME LEVEL ADJUSTMENT.**—The gross income levels established under subsections (b) and (c) shall be adjusted by the Director on October 1, 2009, and every year thereafter, to reflect any fluctuations occurring during the previous 12 months in the Consumer Price Index, as determined by the Secretary of Labor.”

#### SEC. 13. FUNDING AGREEMENTS.

(a) **IN GENERAL.**—Section 202(c)(7)(E)(i) of title 35, United States Code, is amended—

(1) by striking “75 percent” and inserting “15 percent”; and

(2) by striking “25 percent” and inserting “85 percent”.

(b) **EFFECTIVE DATE.**—The amendments made by this section shall take effect on the date of enactment of this Act and shall apply to patents issued before, on, or after that date.

#### SEC. 14. TAX STRATEGIES DEEMED WITHIN THE PRIOR ART.

(a) **IN GENERAL.**—For purposes of evaluating an invention under section 102 or 103 of title 35, United States Code, any strategy for reducing, avoiding, or deferring tax liability, whether known or unknown at the time of the invention or application for patent, shall be deemed insufficient to differentiate a claimed invention from the prior art.

(b) **DEFINITION.**—For purposes of this section, the term “tax liability” refers to any liability for a tax under any Federal, State, or local law, or the law of any foreign jurisdiction, including any statute, rule, regulation, or ordinance that levies, imposes, or assesses such tax liability.

(c) **EFFECTIVE DATE; APPLICABILITY.**—This section shall take effect on the date of enactment of this Act and shall apply to any patent application pending and any patent issued on or after that date.

#### SEC. 15. BEST MODE REQUIREMENT.

(a) **IN GENERAL.**—Section 282 of title 35, United States Code, is amended in its second undesignated paragraph by striking paragraph (3) and inserting the following:

“(3) Invalidation of the patent or any claim in suit for failure to comply with—

“(A) any requirement of section 112, except that the failure to disclose the best mode shall not be a basis on which any claim of a patent may be canceled or held invalid or otherwise unenforceable; or

“(B) any requirement of section 251.”

(b) **CONFORMING AMENDMENT.**—Sections 119(e)(1) and 120 of title 35, United States Code, are each amended by striking “the first paragraph of section 112 of this title” and inserting “section 112(a) (other than the requirement to disclose the best mode)”.

(c) **EFFECTIVE DATE.**—The amendments made by this section shall take effect upon

the date of the enactment of this Act and shall apply to proceedings commenced on or after that date.

#### SEC. 16. TECHNICAL AMENDMENTS.

(a) **JOINT INVENTIONS.**—Section 116 of title 35, United States Code, is amended—

(1) in the first paragraph, by striking “When” and inserting “(a) JOINT INVENTIONS.—When”;

(2) in the second paragraph, by striking “If a joint inventor” and inserting “(b) OMITTED INVENTOR.—If a joint inventor”; and

(3) in the third paragraph—

(A) by striking “Whenever” and inserting “(c) CORRECTION OF ERRORS IN APPLICATION.—Whenever”; and

(B) by striking “and such error arose without any deceptive intent on his part.”

(b) **FILING OF APPLICATION IN FOREIGN COUNTRY.**—Section 184 of title 35, United States Code, is amended—

(1) in the first paragraph—

(A) by striking “Except when” and inserting “(a) FILING IN FOREIGN COUNTRY.—Except when”; and

(B) by striking “and without deceptive intent”;

(2) in the second paragraph, by striking “The term” and inserting “(b) APPLICATION.—The term”; and

(3) in the third paragraph, by striking “The scope” and inserting “(c) SUBSEQUENT MODIFICATIONS, AMENDMENTS, AND SUPPLEMENTS.—The scope”.

(c) **FILING WITHOUT A LICENSE.**—Section 185 of title 35, United States Code, is amended by striking “and without deceptive intent”.

(d) **REISSUE OF DEFECTIVE PATENTS.**—Section 251 of title 35, United States Code, is amended—

(1) in the first paragraph—

(A) by striking “Whenever” and inserting “(a) IN GENERAL.—Whenever”; and

(B) by striking “without any deceptive intention”;

(2) in the second paragraph, by striking “The Director” and inserting “(b) MULTIPLE REISSUED PATENTS.—The Director”;

(3) in the third paragraph, by striking “The provisions” and inserting “(c) APPLICABILITY OF THIS TITLE.—The provisions”; and

(4) in the last paragraph, by striking “No reissued patent” and inserting “(d) REISSUE PATENT ENLARGING SCOPE OF CLAIMS.—No reissued patent”.

(e) **EFFECT OF REISSUE.**—Section 253 of title 35, United States Code, is amended—

(1) in the first paragraph, by striking “Whenever, without any deceptive intention” and inserting “(a) IN GENERAL.—Whenever”; and

(2) in the second paragraph, by striking “in like manner” and inserting “(b) ADDITIONAL DISCLAIMER OR DEDICATION.—In the manner set forth in subsection (a).”

(f) **CORRECTION OF NAMED INVENTOR.**—Section 256 of title 35, United States Code, is amended—

(1) in the first paragraph—

(A) by striking “Whenever” and inserting “(a) CORRECTION.—Whenever”; and

(B) by striking “and such error arose without any deceptive intention on his part”; and

(2) in the second paragraph, by striking “The error” and inserting “(b) PATENT VALID IF ERROR CORRECTED.—The error”.

(g) **PRESUMPTION OF VALIDITY.**—Section 282 of title 35, United States Code, is amended—

(1) in the first undesignated paragraph—

(A) by striking “A patent” and inserting “(a) IN GENERAL.—A patent”; and

(B) by striking the third sentence;

(2) in the second undesignated paragraph, by striking “The following” and inserting “(b) DEFENSES.—The following”; and

(3) in the third undesignated paragraph, by striking “In actions” and inserting “(c) NOTICE OF ACTIONS; ACTIONS DURING EXTENSION OF PATENT TERM.—In actions”.

(h) ACTION FOR INFRINGEMENT.—Section 288 of title 35, United States Code, is amended by striking “, without deceptive intention,”.

(i) REVISER'S NOTES.—

(1) Section 3(e)(2) of title 35, United States Code, is amended by striking “this Act,” and inserting “that Act,”.

(2) Section 202(b)(3) of title 35, United States Code, is amended by striking “the section 203(b)” and inserting “section 203(b)”; and

(3) Section 209(d)(1) of title 35, United States Code, is amended by striking “nontransferable” and inserting “non-transferable”.

(4) Section 287(c)(2)(G) of title 35, United States Code, is amended by striking “any state” and inserting “any State”.

(5) Section 371(b) of title 35, United States Code, is amended by striking “of the treaty” and inserting “of the treaty.”.

(j) UNNECESSARY REFERENCES.—

(1) IN GENERAL.—Title 35, United States Code, is amended by striking “of this title” each place that term appears.

(2) EXCEPTION.—The amendment made by paragraph (1) shall not apply to the use of such term in the following sections of title 35, United States Code:

(A) Section 1(c).

(B) Section 101.

(C) Subsections (a) and (b) of section 105.

(D) The first instance of the use of such term in section 111(b)(8).

(E) Section 157(a).

(F) Section 161.

(G) Section 164.

(H) Section 171.

(I) Section 251(c), as so designated by this section.

(J) Section 261.

(K) Subsections (g) and (h) of section 271.

(L) Section 287(b)(1).

(M) Section 289.

(N) The first instance of the use of such term in section 375(a).

(k) EFFECTIVE DATE.—The amendments made by this section shall take effect 1 year after the date of the enactment of this Act and shall apply to proceedings commenced on or after that effective date.

#### SEC. 17. EFFECTIVE DATE; RULE OF CONSTRUCTION.

(a) EFFECTIVE DATE.—Except as otherwise provided in this Act, the provisions of this Act shall take effect 1 year after the date of the enactment of this Act and shall apply to any patent issued on or after that effective date.

(b) CONTINUITY OF INTENT UNDER THE CREATE ACT.—The enactment of section 102(c) of title 35, United States Code, under section (2)(b) of this Act is done with the same intent to promote joint research activities that was expressed, including in the legislative history, through the enactment of the Cooperative Research and Technology Enhancement Act of 2004 (Public Law 108-453; the “CREATE Act”), the amendments of which are stricken by section 2(c) of this Act. The United States Patent and Trademark Office shall administer section 102(c) of title 35, United States Code, in a manner consistent with the legislative history of the CREATE Act that was relevant to its administration by the United States Patent and Trademark Office.

Mr. HATCH. Mr. President, I rise to express support for the Patent Reform Act of 2011, S. 23, introduced today by Senate Judiciary Committee Chairman PATRICK LEAHY. Senator LEAHY and I, along with a number of our colleagues,

have worked for years to enact much-needed reform to our Nation's patent system.

Last Congress, the Managers' Amendment to the Patent Reform Act of 2009, S. 515, enjoyed strong bipartisan support for Senate floor consideration and passage; the momentum undoubtedly will continue under the leadership of Judiciary Committee Chairman LEAHY and Ranking Minority Member CHARLES GRASSLEY. Similarly, House Judiciary Committee Chairman LAMAR SMITH and Ranking Minority Member JOHN CONYERS are true partners in this important legislation. They share the same desire to streamline our patent system in a way that will improve the clarity and quality of patents issued by the U.S. Patent and Trademark Office, USPTO, which in return will provide greater confidence in their validity and enforcement.

I have said this before, but it bears repeating; we must ensure that our patent system is as strong and vibrant as possible, not only to protect our country's premier position as the world leader in innovation, but also to secure our economic future. Patents encourage technological advancement by providing incentives to invent, invest in, and disclose new technology. Now, more than ever, it is important to ensure efficiency and increased quality in the issuance of patents. This in turn will create an environment that fosters entrepreneurship and the creation of new jobs.

One single deployed patent has positive effects across almost all sectors of our economy. As a result, properly examined patents, promptly issued by the USPTO, creates jobs—jobs that are dedicated to developing and producing new products and services. Unfortunately, the current USPTO backlog of applications now exceeds 700,000 applications. The sheer volume of patent applications not only reflects the vibrant, innovative spirit that has made America a world-wide leader in science, engineering, and technology, but also represents dynamic economic growth waiting to be unleashed.

If enacted, the Patent Reform Act of 2011 would move the United States to a first-inventor-to-file system, which will bring greater harmony and improve our competitiveness. Also, among other things, the bill would improve the system for administratively challenging the validity of a patent at the USPTO; improve patent quality; create a supplemental examination process for patent owners; prevent patents from being issued on claims for tax strategies; and provide fee-setting authority for the USPTO Director to ensure the Office is properly funded.

This bipartisan bill also contains provisions on venue; changes to the best mode; increased incentives for government laboratories to commercialize inventions; restrictions on false marking claims, and removes restrictions on the residency of Federal Circuit judges.

We have been working on this legislation since 2006. Reforming our patent

system is a critical priority whose time has more than come. It is essential to growing our economy, creating jobs and promoting innovation in our Nation. I encourage my colleagues to join in this effort and help move this important legislation forward.

By Mrs. SHAHEEN (for herself, Mr. KIRK, and Mr. DURBIN):

S. 25. A bill to phase out the Federal sugar program, and for other purposes; to the Committee on Agriculture, Nutrition, and Forestry.

Mrs. SHAHEEN. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 25

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the “Stop Unfair Giveaways and Restrictions Act of 2011” or “SUGAR Act of 2011”.

#### SEC. 2. SUGAR PROGRAM.

(a) IN GENERAL.—Section 156 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 7272) is amended—

(1) in subsection (d), by striking paragraph (1) and inserting the following:

“(1) LOANS.—The Secretary shall carry out this section through the use of recourse loans.”;

(2) by redesignating subsection (i) as subsection (j);

(3) by inserting after subsection (h) the following:

“(i) PHASED REDUCTION OF LOAN RATE.—For each of the 2012, 2013, and 2014 crops of sugar beets and sugarcane, the Secretary shall lower the loan rate for each succeeding crop in a manner that progressively and uniformly lowers the loan rate for sugar beets and sugarcane to \$0 for the 2015 crop.”; and

(4) in subsection (j) (as redesignated), by striking “2012” and inserting “2014”.

(b) PROSPECTIVE REPEAL.—Effective beginning with the 2015 crop of sugar beets and sugarcane, section 156 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 7272) is repealed.

#### SEC. 3. ELIMINATION OF SUGAR PRICE SUPPORT AND PRODUCTION ADJUSTMENT PROGRAMS.

(a) IN GENERAL.—Notwithstanding any other provision of law—

(1) a processor of any of the 2015 or subsequent crops of sugarcane or sugar beets shall not be eligible for a loan under any provision of law with respect to the crop; and

(2) the Secretary of Agriculture may not make price support available, whether in the form of a loan, payment, purchase, or other operation, for any of the 2015 and subsequent crops of sugar beets and sugarcane by using the funds of the Commodity Credit Corporation or other funds available to the Secretary.

(b) TERMINATION OF MARKETING QUOTAS AND ALLOTMENTS.—

(1) IN GENERAL.—Part VII of subtitle B of title III of the Agricultural Adjustment Act of 1938 (7 U.S.C. 1359aa et seq.) is repealed.

(2) CONFORMING AMENDMENT.—Section 344(f)(2) of the Agricultural Adjustment Act of 1938 (7 U.S.C. 1344(f)(2)) is amended by striking “sugar cane for sugar, sugar beets for sugar.”.

(c) GENERAL POWERS.—

(1) SECTION 32 ACTIVITIES.—Section 32 of the Act of August 24, 1935 (7 U.S.C. 612c), is



amended in the second sentence of the first paragraph—

(A) in paragraph (1), by inserting “(other than sugar beets and sugarcane)” after “commodities”; and

(B) in paragraph (3), by inserting “(other than sugar beets and sugarcane)” after “commodity”.

(2) POWERS OF COMMODITY CREDIT CORPORATION.—Section 5(a) of the Commodity Credit Corporation Charter Act (15 U.S.C. 714c(a)) is amended by inserting “, sugar beets, and sugarcane” after “tobacco”.

(3) PRICE SUPPORT FOR NONBASIS AGRICULTURAL COMMODITIES.—Section 201(a) of the Agricultural Act of 1949 (7 U.S.C. 1446(a)) is amended by striking “milk, sugar beets, and sugarcane” and inserting “, and milk”.

(4) COMMODITY CREDIT CORPORATION STORAGE PAYMENTS.—Section 167 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 7287) is repealed.

(5) SUSPENSION AND REPEAL OF PERMANENT PRICE SUPPORT AUTHORITY.—Section 171(a)(1) of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 7301(a)(1)) is amended—

(A) by striking subparagraph (E); and

(B) by redesignating subparagraphs (F) through (I) as subparagraphs (E) through (H), respectively.

(6) STORAGE FACILITY LOANS.—Section 1402(c) of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 7971) is repealed.

(7) FEEDSTOCK FLEXIBILITY PROGRAM FOR BIOENERGY PRODUCERS.—Effective beginning with the 2013 crop of sugar beets and sugarcane, section 9010 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8110) is repealed.

(d) TRANSITION PROVISIONS.—This section and the amendments made by this section shall not affect the liability of any person under any provision of law as in effect before the application of this section and the amendments made by this section.

#### SEC. 4. TARIFF-RATE QUOTAS.

(a) ESTABLISHMENT.—Except as provided in subsection (c) and notwithstanding any other provision of law, not later than October 1, 2011, the Secretary of Agriculture shall develop and implement a program to increase the tariff-rate quotas for raw cane sugar and refined sugars for a quota year in a manner that ensures—

(1) a robust and competitive sugar processing industry in the United States; and

(2) an adequate supply of sugar at reasonable prices in the United States.

(b) FACTORS.—In determining the tariff-rate quotas necessary to satisfy the requirements of subsection (a), the Secretary shall consider the following:

(1) The quantity and quality of sugar that will be subject to human consumption in the United States during the quota year.

(2) The quantity and quality of sugar that will be available from domestic processing of sugarcane, sugar beets, and in-process beet sugar.

(3) The quantity of sugar that would provide for reasonable carryover stocks.

(4) The quantity of sugar that will be available from carryover stocks for human consumption in the United States during the quota year.

(5) Consistency with the obligations of the United States under international agreements.

(c) EXEMPTION.—Subsection (a) shall not include specialty sugar.

(d) DEFINITIONS.—In this section, the terms “quota year” and “human consumption” have the meaning such terms had under section 359k of the Agricultural Adjustment Act of 1938 (7 U.S.C. 1359kk) (as in effect on the

day before the date of the enactment of this Act).

#### SEC. 5. APPLICATION.

Except as otherwise provided in this Act, this Act and the amendments made by this Act shall apply beginning with the 2012 crop of sugar beets and sugarcane.

By Mrs. SHAHEEN:

S. 26. A bill to amend the Internal Revenue Code of 1986 to repeal the percentage depletion allowance for certain hardrock mines, and to use the resulting revenues from such repeal for deficit reduction; to the Committee on Finance.

Mrs. SHAHEEN. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 26

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the “Elimination of Double Subsidies for the Hardrock Mining Industry Act of 2011”.

#### SEC. 2. REPEAL OF PERCENTAGE DEPLETION ALLOWANCE FOR CERTAIN HARDROCK MINES.

(a) IN GENERAL.—Section 613(a) of the Internal Revenue Code of 1986 is amended by inserting “(other than hardrock mines located on lands subject to the general mining laws or on land patented under the general mining laws)” after “In the case of the mines”.

(b) GENERAL MINING LAWS DEFINED.—Section 613 of the Internal Revenue Code of 1986 is amended by adding at the end the following:

“(f) GENERAL MINING LAWS.—For purposes of subsection (a), the term ‘general mining laws’ means those Acts which generally comprise chapters 2, 12A, and 16, and sections 161 and 162 of title 30 of the United States Code.”.

(c) EFFECTIVE DATE.—The amendments made by this section shall apply to taxable years beginning after December 31, 2011.

(d) USE OF RESULTING REVENUES FOR DEFICIT REDUCTION.—The revenues resulting from the amendment made by subsection (a) shall not be appropriated or otherwise made available for any fiscal year, resulting in a reduction of the Federal budget deficit for such fiscal year. If in any fiscal year there is no Federal budget deficit (determined without regard to such revenues), such revenues shall be used for reducing the Federal debt in such manner as the Secretary of the Treasury considers appropriate.

By Mr. KOHL (for himself, Mr. GRASSLEY, Mr. DURBIN, Ms. COLLINS, Ms. KLOBUCHAR, Mr. FRANKEN, Mr. BROWN of Ohio, and Mr. SANDERS):

S. 27. A bill to prohibit brand name drug companies from compensating generic drug companies to delay the entry of a generic drug into the market; to the Committee on the Judiciary.

Mr. KOHL. Mr. Chairman, I rise today to introduce the Preserve Access to Affordable Generics Act. This bipartisan legislation will dramatically reduce prescription drug costs by preventing one of the most egregious,

anti-consumer tactics ever devised to keep generic drugs off the market.

This amendment would combat “pay-for-delay” agreements between brand name and generic drug companies which delay entry of low-cost generic competition. These pay-for-delay agreements are estimated by the FTC to cost consumers \$3.5 billion each year, and are estimated by the CBO estimates to cost the federal government more than \$2.8 billion over the next decade in higher drug reimbursement payments.

In 2008, \$235 billion were spent on prescription drugs in the United States. Generic drugs play a crucial role in containing rising prescription drug costs, by offering consumers therapeutically identical alternatives to brand-name drugs, at a significantly reduced cost. Studies have shown that generic competition to brand name drugs can reduce drug prices by as much as 80 percent. However, in recent years generic entry has frequently been blocked by anti-competitive, anti-consumer agreements between brand-name and generic drug manufacturers that limit, delay, or otherwise prevent competition from generic drugs.

In pay-for-delay agreements, a brand-name drug manufacturer settles patent litigation by paying off a generic competitor with large amounts of cash, or other valuable consideration to stay off the market until expiration—or a time close to expiration—of the brand-name patent. For example, in 2006, the CEO of Cephalon, which makes the sleep disorder pill Provigil, praised the deals his company made with four generic drug-makers to keep generic versions of Provigil off the market until 2012. “We were able to get six more years of patent protection,” he said. “That’s \$4 billion in sales that no one expected.” Unfortunately, that \$4 billion came from the pockets of American consumers.

At their core, pay-for-delay agreements permit brand-name drug companies to pay off competitors not to compete. The brand name drug company wins because it reaps the profits from eliminating competition. The generic drug company wins because they get paid millions of dollars to do nothing more than drop their patent challenge. But consumers and the American taxpayer loses, to the tune of billions of dollars in higher drug costs every year.

Agreements between competitors, like these, are the most nefarious type of antitrust violation. Unfortunately, when the FTC has challenged “pay-for-delay” agreements, courts have favored big industry interests over consumers. Courts have wrongly concluded that this type of basic antitrust violation is immune from antitrust law because it involves the settlement of a patent challenge. In other words, it is permissible for competitors to collude to when it involves a patented drug and in order to keep lower cost drugs out of consumers’ medicine cabinets. These misguided court rulings are what make passage of our legislation so vital.

For years, we have seen the use of anticompetitive agreements increase. From 2000 to 2004, there were twenty settlements of drug patent litigation, but we saw no pay-for-delay agreements because drug companies assumed they violated antitrust law. But, these settlements became all too prevalent following three courts of appeals decisions in 2005 which effectively found them to be per se legal and prevented the FTC from taking action on behalf of consumers against these settlements.

In the 2 years following these 2005 court decisions, 28 out of 61 patent settlements had provisions in which the brand name drug company made payments to the generic manufacturer in exchange for the generic manufacturer agreeing to delay entry of generic competition. Clearly, pay-for-delay agreements are not necessary to settle a case because during that same time, 33 cases settled without delaying entry to consumers in exchange for a payment.

Last fall, the FTC released a report which found a record 19 pay-for-delay settlements in fiscal year 2009, the highest ever recorded in a single year. This report convincingly demonstrates the danger these deals pose to consumers. Each of these deals will lead to higher drug costs for millions of consumers. Each of these deals cost the Federal Government large sums in taxpayer money in higher drug reimbursement costs. Each of these deals deprive consumers of needed drug competition. The time for action to stop these anti-consumer, anticompetitive back room deals is now.

Our legislation passed the Judiciary Committee last Congress with a strong bipartisan majority. The Judiciary Committee made several changes to the legislation as it was introduced in the 111th Congress, and the legislation I am introducing today includes all of these changes. I believe the current version of this legislation represents a well balanced approach to this problem. Under my bill, these settlement agreements will be presumed to be illegal. However, the FTC will need to pursue legal action prior to these agreements being found illegal, and the drug companies will have an opportunity to convince the Judge why these agreements are not in fact anticompetitive. If found illegal, the FTC will have the authority to assess civil penalties up to three times the profits gained by the drug companies.

I believe this measure strikes the right balance. By presuming these agreements to be illegal, and armed with strong civil penalties, this bill will deter drug companies from entering into anti-competitive and anti-consumer "pay-for-delay" settlements in the first place. By giving the drug companies a hearing before a neutral tribunal, the drug companies will have their day in court to go forward with those agreements which truly do not harm competition.

The evidence is clear. These "pay-for-delay" agreements between brand

name and generic drug companies deny consumers the benefits of generic drug competition and costs consumers and the Federal Government billions of dollars. My legislation will give the FTC strong remedies to prevent these agreements when it concludes they harm competition. Millions and millions of Americans that struggle to pay their prescription drug costs and who need low priced generic alternatives are awaiting action on this amendment. I urge my colleagues support for the Preserve Access to Affordable Generics Act.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 27

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Preserve Access to Affordable Generics Act".

#### SEC. 2. CONGRESSIONAL FINDINGS AND DECLARATION OF PURPOSES.

(a) FINDINGS.—Congress finds the following:

(1) In 1984, the Drug Price Competition and Patent Term Restoration Act (Public Law 98-417) (referred to in this Act as the "1984 Act"), was enacted with the intent of facilitating the early entry of generic drugs while preserving incentives for innovation.

(2) Prescription drugs make up 10 percent of the national health care spending but for the past decade have been one of the fastest growing segments of health care expenditures.

(3) Until recently, the 1984 Act was successful in facilitating generic competition to the benefit of consumers and health care payers — although 67 percent of all prescriptions dispensed in the United States are generic drugs, they account for only 20 percent of all expenditures.

(4) Generic drugs cost substantially less than brand name drugs, with discounts off the brand price sometimes exceeding 90 percent.

(5) Federal dollars currently account for an estimated 30 percent of the \$235,000,000,000 spent on prescription drugs in 2008, and this share is expected to rise to 40 percent by 2018.

(6)(A) In recent years, the intent of the 1984 Act has been subverted by certain settlement agreements between brand companies and their potential generic competitors that make "reverse payments" which are payments by the brand company to the generic company.

(B) These settlement agreements have unduly delayed the marketing of low-cost generic drugs contrary to free competition, the interests of consumers, and the principles underlying antitrust law.

(C) Because of the price disparity between brand name and generic drugs, such agreements are more profitable for both the brand and generic manufacturers than competition, and will become increasingly common unless prohibited.

(D) These agreements result in consumers losing the benefits that the 1984 Act was intended to provide.

(b) PURPOSES.—The purposes of this Act are—

(1) to enhance competition in the pharmaceutical market by stopping anticompetitive agreements between brand name and generic drug manufacturers that limit, delay, or otherwise prevent competition from generic drugs; and

(2) to support the purpose and intent of antitrust law by prohibiting anticompetitive practices in the pharmaceutical industry that harm consumers.

#### SEC. 3. UNLAWFUL COMPENSATION FOR DELAY.

(a) IN GENERAL.—The Federal Trade Commission Act (15 U.S.C. 44 et seq.) is amended by—

(1) redesignating section 28 as section 29; and

(2) inserting before section 29, as redesignated, the following:

#### "SEC. 28. PRESERVING ACCESS TO AFFORDABLE GENERICS.

"(a) IN GENERAL.—

"(1) ENFORCEMENT PROCEEDING.—The Federal Trade Commission may initiate a proceeding to enforce the provisions of this section against the parties to any agreement resolving or settling, on a final or interim basis, a patent infringement claim, in connection with the sale of a drug product.

"(2) PRESUMPTION.—

"(A) IN GENERAL.—Subject to subparagraph (B), in such a proceeding, an agreement shall be presumed to have anticompetitive effects and be unlawful if—

"(i) an ANDA filer receives anything of value; and

"(ii) the ANDA filer agrees to limit or forego research, development, manufacturing, marketing, or sales of the ANDA product for any period of time.

"(B) EXCEPTION.—The presumption in subparagraph (A) shall not apply if the parties to such agreement demonstrate by clear and convincing evidence that the procompetitive benefits of the agreement outweigh the anticompetitive effects of the agreement.

"(b) COMPETITIVE FACTORS.—In determining whether the settling parties have met their burden under subsection (a)(2)(B), the fact finder shall consider—

"(1) the length of time remaining until the end of the life of the relevant patent, compared with the agreed upon entry date for the ANDA product;

"(2) the value to consumers of the competition from the ANDA product allowed under the agreement;

"(3) the form and amount of consideration received by the ANDA filer in the agreement resolving or settling the patent infringement claim;

"(4) the revenue the ANDA filer would have received by winning the patent litigation;

"(5) the reduction in the NDA holder's revenues if it had lost the patent litigation;

"(6) the time period between the date of the agreement conveying value to the ANDA filer and the date of the settlement of the patent infringement claim; and

"(7) any other factor that the fact finder, in its discretion, deems relevant to its determination of competitive effects under this subsection.

"(c) LIMITATIONS.—In determining whether the settling parties have met their burden under subsection (a)(2)(B), the fact finder shall not presume—

"(1) that entry would not have occurred until the expiration of the relevant patent or statutory exclusivity; or

"(2) that the agreement's provision for entry of the ANDA product prior to the expiration of the relevant patent or statutory exclusivity means that the agreement is procompetitive, although such evidence may be relevant to the fact finder's determination under this section.

"(d) EXCLUSIONS.—Nothing in this section shall prohibit a resolution or settlement of a patent infringement claim in which the consideration granted by the NDA holder to the ANDA filer as part of the resolution or settlement includes only one or more of the following:

“(1) The right to market the ANDA product in the United States prior to the expiration of—

“(A) any patent that is the basis for the patent infringement claim; or

“(B) any patent right or other statutory exclusivity that would prevent the marketing of such drug.

“(2) A payment for reasonable litigation expenses not to exceed \$7,500,000.

“(3) A covenant not to sue on any claim that the ANDA product infringes a United States patent.

“(e) REGULATIONS AND ENFORCEMENT.—

“(1) REGULATIONS.—The Federal Trade Commission may issue, in accordance with section 553 of title 5, United States Code, regulations implementing and interpreting this section. These regulations may exempt certain types of agreements described in subsection (a) if the Commission determines such agreements will further market competition and benefit consumers. Judicial review of any such regulation shall be in the United States District Court for the District of Columbia pursuant to section 706 of title 5, United States Code.

“(2) ENFORCEMENT.—A violation of this section shall be treated as a violation of section 5.

“(3) JUDICIAL REVIEW.—Any person, partnership or corporation that is subject to a final order of the Commission, issued in an administrative adjudicative proceeding under the authority of subsection (a)(1), may, within 30 days of the issuance of such order, petition for review of such order in the United States Court of Appeals for the District of Columbia Circuit or the United States Court of Appeals for the circuit in which the ultimate parent entity, as defined at 16 C.F.R. 801.1(a)(3), of the NDA holder is incorporated as of the date that the NDA is filed with the Secretary of the Food and Drug Administration, or the United States Court of Appeals for the circuit in which the ultimate parent entity of the ANDA filer is incorporated as of the date that the ANDA is filed with the Secretary of the Food and Drug Administration. In such a review proceeding, the findings of the Commission as to the facts, if supported by evidence, shall be conclusive.

“(f) ANTITRUST LAWS.—Nothing in this section shall be construed to modify, impair or supersede the applicability of the antitrust laws as defined in subsection (a) of the 1st section of the Clayton Act (15 U.S.C. 12(a)) and of section 5 of this Act to the extent that section 5 applies to unfair methods of competition. Nothing in this section shall modify, impair, limit or supersede the right of an ANDA filer to assert claims or counterclaims against any person, under the antitrust laws or other laws relating to unfair competition.

“(g) PENALTIES.—

“(1) FORFEITURE.—Each person, partnership or corporation that violates or assists in the violation of this section shall forfeit and pay to the United States a civil penalty sufficient to deter violations of this section, but in no event greater than 3 times the value received by the party that is reasonably attributable to a violation of this section. If no such value has been received by the NDA holder, the penalty to the NDA holder shall be sufficient to deter violations, but in no event greater than 3 times the value given to the ANDA filer reasonably attributable to the violation of this section. Such penalty shall accrue to the United States and may be recovered in a civil action brought by the Federal Trade Commission, in its own name by any of its attorneys designated by it for such purpose, in a district court of the United States against any person, partnership or corporation that violates this section. In such actions, the United

States district courts are empowered to grant mandatory injunctions and such other and further equitable relief as they deem appropriate.

“(2) CEASE AND DESIST.—

“(A) IN GENERAL.—If the Commission has issued a cease and desist order with respect to a person, partnership or corporation in an administrative adjudicative proceeding under the authority of subsection (a)(1), an action brought pursuant to paragraph (1) may be commenced against such person, partnership or corporation at any time before the expiration of one year after such order becomes final pursuant to section 5(g).

“(B) EXCEPTION.—In an action under subparagraph (A), the findings of the Commission as to the material facts in the administrative adjudicative proceeding with respect to such person's, partnership's or corporation's violation of this section shall be conclusive unless—

“(i) the terms of such cease and desist order expressly provide that the Commission's findings shall not be conclusive; or

“(ii) the order became final by reason of section 5(g)(1), in which case such finding shall be conclusive if supported by evidence.

“(3) CIVIL PENALTY.—In determining the amount of the civil penalty described in this section, the court shall take into account—

“(A) the nature, circumstances, extent, and gravity of the violation;

“(B) with respect to the violator, the degree of culpability, any history of violations, the ability to pay, any effect on the ability to continue doing business, profits earned by the NDA holder, compensation received by the ANDA filer, and the amount of commerce affected; and

“(C) other matters that justice requires.

“(4) REMEDIES IN ADDITION.—Remedies provided in this subsection are in addition to, and not in lieu of, any other remedy provided by Federal law. Nothing in this paragraph shall be construed to affect any authority of the Commission under any other provision of law.

“(h) DEFINITIONS.—In this section:

“(1) AGREEMENT.—The term ‘agreement’ means anything that would constitute an agreement under section 1 of the Sherman Act (15 U.S.C. 1) or section 5 of this Act.

“(2) AGREEMENT RESOLVING OR SETTLING A PATENT INFRINGEMENT CLAIM.—The term ‘agreement resolving or settling a patent infringement claim’ includes any agreement that is entered into within 30 days of the resolution or the settlement of the claim, or any other agreement that is contingent upon, provides a contingent condition for, or is otherwise related to the resolution or settlement of the claim.

“(3) ANDA.—The term ‘ANDA’ means an abbreviated new drug application, as defined under section 505(j) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(j)).

“(4) ANDA FILER.—The term ‘ANDA filer’ means a party who has filed an ANDA with the Food and Drug Administration.

“(5) ANDA PRODUCT.—The term ‘ANDA product’ means the product to be manufactured under the ANDA that is the subject of the patent infringement claim.

“(6) DRUG PRODUCT.—The term ‘drug product’ means a finished dosage form (e.g., tablet, capsule, or solution) that contains a drug substance, generally, but not necessarily, in association with 1 or more other ingredients, as defined in section 314.3(b) of title 21, Code of Federal Regulations.

“(7) NDA.—The term ‘NDA’ means a new drug application, as defined under section 505(b) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(b)).

“(8) NDA HOLDER.—The term ‘NDA holder’ means—

“(A) the party that received FDA approval to market a drug product pursuant to an NDA;

“(B) a party owning or controlling enforcement of the patent listed in the Approved Drug Products With Therapeutic Equivalence Evaluations (commonly known as the ‘FDA Orange Book’) in connection with the NDA; or

“(C) the predecessors, subsidiaries, divisions, groups, and affiliates controlled by, controlling, or under common control with any of the entities described in subparagraphs (A) and (B) (such control to be presumed by direct or indirect share ownership of 50 percent or greater), as well as the licensees, licensors, successors, and assigns of each of the entities.

“(9) PATENT INFRINGEMENT.—The term ‘patent infringement’ means infringement of any patent or of any filed patent application, extension, reissue, renewal, division, continuation, continuation in part, reexamination, patent term restoration, patents of addition and extensions thereof.

“(10) PATENT INFRINGEMENT CLAIM.—The term ‘patent infringement claim’ means any allegation made to an ANDA filer, whether or not included in a complaint filed with a court of law, that its ANDA or ANDA product may infringe any patent held by, or exclusively licensed to, the NDA holder of the drug product.

“(11) STATUTORY EXCLUSIVITY.—The term ‘statutory exclusivity’ means those prohibitions on the approval of drug applications under clauses (ii) through (iv) of section 505(c)(3)(E) (5- and 3-year data exclusivity), section 527 (orphan drug exclusivity), or section 505A (pediatric exclusivity) of the Federal Food, Drug, and Cosmetic Act.’

(b) EFFECTIVE DATE.—Section 28 of the Federal Trade Commission Act, as added by this section, shall apply to all agreements described in section 28(a)(1) of that Act entered into after November 15, 2009. Section 28(g) of the Federal Trade Commission Act, as added by this section, shall not apply to agreements entered into before the date of enactment of this Act.

#### SEC. 4. NOTICE AND CERTIFICATION OF AGREEMENTS.

(a) NOTICE OF ALL AGREEMENTS.—Section 1112(c)(2) of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (21 U.S.C. 355 note) is amended by—

(1) striking “the Commission the” and inserting the following: “the Commission—

“(1) the”;

(2) striking the period and inserting “; and”;

(3) inserting at the end the following:

“(2) any other agreement the parties enter into within 30 days of entering into an agreement covered by subsection (a) or (b).”.

(b) CERTIFICATION OF AGREEMENTS.—Section 1112 of such Act is amended by adding at the end the following:

“(d) CERTIFICATION.—The Chief Executive Officer or the company official responsible for negotiating any agreement required to be filed under subsection (a), (b), or (c) shall execute and file with the Assistant Attorney General and the Commission a certification as follows: ‘I declare that the following is true, correct, and complete to the best of my knowledge: The materials filed with the Federal Trade Commission and the Department of Justice under section 1112 of subtitle B of title XI of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, with respect to the agreement referenced in this certification: (1) represent the complete, final, and exclusive agreement between the parties; (2) include any ancillary agreements that are contingent upon, provide a contingent condition for, or are otherwise related to, the referenced agreement; and (3) include

written descriptions of any oral agreements, representations, commitments, or promises between the parties that are responsive to subsection (a) or (b) of such section 1112 and have not been reduced to writing.”.

**SEC. 5. FORFEITURE OF 180-DAY EXCLUSIVITY PERIOD.**

Section 505(j)(5)(D)(i)(V) of the Federal Food, Drug and Cosmetic Act (21 U.S.C. 355(j)(5)(D)(i)(V)) is amended by inserting “section 28 of the Federal Trade Commission Act or” after “that the agreement has violated”.

**SEC. 6. COMMISSION LITIGATION AUTHORITY.**

Section 16(a)(2) of the Federal Trade Commission Act (15 U.S.C. 56(a)(2)) is amended—

(1) in subparagraph (D), by striking “or” after the semicolon;

(2) in subparagraph (E), by inserting “or” after the semicolon; and

(3) inserting after subparagraph (E) the following:

“(F) under section 28;”.

**SEC. 7. STATUTE OF LIMITATIONS.**

The Commission shall commence any enforcement proceeding described in section 28 of the Federal Trade Commission Act, as added by section 3, except for an action described in section 28(g)(2) of the Federal Trade Commission Act, not later than 3 years after the date on which the parties to the agreement file the Notice of Agreement as provided by sections 1112(c)(2) and (d) of the Medicare Prescription Drug Improvement and Modernization Act of 2003 (21 U.S.C. 355 note).

**SEC. 8. SEVERABILITY.**

If any provision of this Act, an amendment made by this Act, or the application of such provision or amendment to any person or circumstance is held to be unconstitutional, the remainder of this Act, the amendments made by this Act, and the application of the provisions of such Act or amendments to any person or circumstance shall not be affected thereby.

By Mr. ROCKEFELLER (for himself, Mr. LAUTENBERG, Mr. NELSON of Florida, Ms. KLOBUCHAR, Mr. CARDIN, and Mr. HARKIN):

S. 28. A bill to amend the Communications Act of 1934 to provide public safety providers an additional 10 megahertz of spectrum to support a national, interoperable wireless broadband network and authorize the Federal Communications Commission to hold incentive auctions to provide funding to support such a network, and for other purposes; to the Committee on Commerce, Science, and Transportation.

Mr. ROCKEFELLER. Mr. President, I rise today to reintroduce the Public Safety Spectrum and Wireless Innovation Act.

Radio spectrum is a tremendous resource. It can grow our economy and put innovative wireless services in the hands of consumers and businesses. It also can enhance our public safety by fostering communications between first responders when the unthinkable occurs. But it is also scarce. That is why we need a forward-thinking spectrum policy that promotes smart use of our airwaves—and provides public safety officials with the wireless resources they need to keep us safe.

For all of these reasons, I believe in the Public Safety Spectrum and Wireless Innovation Act and call on my col-

leagues to join me and support it. I commit to them that I am open to their input and will work tirelessly with the administration, my Senate and House colleagues, and public safety officials to pass this legislation this year.

The Public Safety Spectrum and Wireless Innovation Act does two things.

First, as we approach the tenth anniversary of 9/11, this legislation will provide public safety officials with an additional 10 megahertz of spectrum known as the “D-block.” This spectrum will at long last, support a national, interoperable, wireless broadband network that will help first responders protect us from harm. I believe this is the right thing to do, because we owe those courageous individuals who wear the shield the resources they need to do their job.

Second, this legislation will promote smart spectrum policy and efficient use of our Nation’s wireless airwaves. It will do this by providing the Federal Communications Commission with the authority to hold voluntary incentive auctions. These auctions will help put valuable spectrum into the hands of companies that can create innovative new services for American consumers and businesses. This proposal will not require the return of spectrum from existing commercial users, but instead will provide them with a voluntary opportunity to realize a portion of auction revenues if they wish to facilitate putting spectrum to new and productive uses. Then the remaining revenues from these auctions will provide a revenue stream to assist public safety with the construction and maintenance of their spectrum network.

Marrying together these ideas—good spectrum policy and the right resources for our first responders—makes good sense. It is also the right thing to do. Because the American people deserve to have the best and most innovative uses of wireless networks anywhere. They deserve to know our first responders have access to the airwaves they need when tragedy strikes. So I urge my colleagues to join me and support this important legislation.

Mr. ROCKEFELLER. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 28

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

(a) SHORT TITLE.—This Act may be cited as the “Public Safety Spectrum and Wireless Innovation Act”.

(b) TABLE OF CONTENTS.—The table of contents for this Act is as follows:

Sec. 1. Short title; table of contents.

Sec. 2. Definitions.

**TITLE I—NATIONWIDE INTEROPERABLE PUBLIC SAFETY BROADBAND NETWORK**

Sec. 101. Establishment of network.

Sec. 102. Reallocation of D block to public safety.

Sec. 103. Flexible use of narrowband spectrum.

Sec. 104. Secondary use of public safety spectrum.

Sec. 105. Interoperability.

Sec. 106. Commercial network roaming and priority access.

Sec. 107. Advisory board.

**TITLE II—FUNDING**

Sec. 201. Establishment of funds.

Sec. 202. Public safety interoperable broadband network construction.

Sec. 203. Public safety interoperable broadband maintenance and operation.

Sec. 204. Incentive spectrum auction authority.

Sec. 205. Report on efficient use of public safety spectrum.

Sec. 206. GAO report on satellite broadband.

Sec. 207. Access to GSA schedules.

Sec. 208. Federal infrastructure sharing.

Sec. 209. Audits.

Sec. 210. Antidiversion prohibition.

**SEC. 2. DEFINITIONS.**

In this Act:

(1) 700 MHZ BAND.—The term “700 MHz band” means the portion of the electromagnetic spectrum between the frequencies from 698 megahertz to 806 megahertz.

(2) 700 MHZ D BLOCK SPECTRUM.—The term “700 MHz D block spectrum” means the portion of the electromagnetic spectrum between the frequencies from 758 megahertz to 763 megahertz and between the frequencies from 788 megahertz to 793 megahertz.

(3) ASSISTANT SECRETARY.—The term “Assistant Secretary” means the Assistant Secretary of Commerce for Communications and Information.

(4) COMMISSION.—The term “Commission” means the Federal Communications Commission.

(5) CONSTRUCTION FUND.—The term “construction fund” means the fund established in section 201(a)(1)(A).

(6) EXISTING PUBLIC SAFETY BROADBAND SPECTRUM.—The term “existing public safety broadband spectrum” means the portion of the electromagnetic spectrum between the frequencies from 763 megahertz to 768 megahertz and between the frequencies from 793 megahertz to 798 megahertz.

(7) MAINTENANCE AND OPERATION FUND.—The term “maintenance and operation fund” means the fund established in section 201(a)(2)(A).

(8) NARROWBAND SPECTRUM.—The term “narrowband spectrum” means the portion of the electromagnetic spectrum between the frequencies from 769 megahertz to 775 megahertz and between the frequencies from 799 megahertz to 805 megahertz.

(9) NTIA.—The term “NTIA” means the National Telecommunications and Information Administration.

**TITLE I—NATIONWIDE INTEROPERABLE PUBLIC SAFETY BROADBAND NETWORK**

**SEC. 101. ESTABLISHMENT OF NETWORK.**

(a) IN GENERAL.—The Commission shall take all actions necessary to ensure the deployment of a nationwide public safety interoperable broadband network in the 700 MHz band, including—

(1) developing and implementing nationwide technical and operational requirements for the network;

(2) adopting any rules necessary to achieve interoperability in the network; and

(3) adopting user authentication and encryption requirements for the network.

(b) **COVERAGE.**—The Commission shall ensure that the network is deployed and interoperable in rural, as well as urban, areas, including necessary build out of communications infrastructure in rural areas to accommodate network access and functionality.

**SEC. 102. REALLOCATION OF D BLOCK TO PUBLIC SAFETY.**

(a) **REALLOCATION OF D BLOCK.**—

(1) **IN GENERAL.**—The Commission shall reallocate the 700 MHz D block spectrum for use by public safety entities in accordance with the provisions of this Act.

(2) **SPECTRUM ALLOCATION.**—Section 337(a) of the Communications Act of 1934 (47 U.S.C. 337(a)) is amended—

(A) by striking “24” in paragraph (1) and inserting “34”; and

(B) by striking “36” in paragraph (2) and inserting “26”.

(b) **INTEGRATION WITH EXISTING PUBLIC SAFETY BROADBAND SPECTRUM.**—The Commission shall—

(1) determine the licensing for the 700 MHz D block spectrum reallocated under section 337 of the Communications Act of 1934 (47 U.S.C. 337), as amended by subsection (a);

(2) determine how best to integrate the 700 MHz D block spectrum reallocated with the existing public safety spectrum; and

(3) determine whether the 20 megahertz of public safety broadband spectrum should be licensed on a nationwide, regional, or statewide basis, or some combination thereof, in accordance with the public interest.

**SEC. 103. FLEXIBLE USE OF NARROWBAND SPECTRUM.**

The Commission shall allow the narrowband spectrum to be used in a flexible manner, including usage for public safety broadband communications, subject to such technical and interference protection measures as the Commission may require.

**SEC. 104. SECONDARY USE OF PUBLIC SAFETY SPECTRUM.**

(a) **IN GENERAL.**—Notwithstanding section 337 of the Communications Act of 1934 (47 U.S.C. 337), the Commission may authorize any public safety licensee or licensees to allow access to spectrum licensed to such licensee or licensees to non-public safety governmental users, commercial users, utilities, including organizations providing or operating critical infrastructure, including electric, gas, and water utilities, and other Federal agencies and departments.

(b) **LIMITATIONS AND CONDITIONS.**—The Commission shall—

(1) authorize the provision of access to such spectrum only on a secondary basis;

(2) require secondary access agreements to be in writing and to be submitted to the Commission for review and approval;

(3) require that the public safety entity retain the right to use any such spectrum on a primary, preemptible basis;

(4) consider whether it is in the public interest to require multiple secondary leases per licensee; and

(5) require that all funds received from such secondary access pursuant to such written agreements be reinvested in the public safety interoperable broadband network by using such funds only for constructing, maintaining, improving, or purchasing equipment to be used in conjunction with the network, by deposit into the Maintenance and Operation Fund established by section 201 or otherwise.

**SEC. 105. INTEROPERABILITY.**

(a) **IN GENERAL.**—The Commission shall ensure that the nationwide public safety broadband network is fully interoperable on a nationwide basis.

(b) **TECHNICAL AND OPERATIONAL RULES.**—

(1) **INSURING INTEROPERABILITY.**—The Commission shall establish technical and oper-

ational rules to ensure nationwide interoperability, including rules that—

(A) establish requirements for nationwide roaming ability among any licensee, licensees, lessees, and secondary users;

(B) will ensure the safety of State broadband public safety networks, including requirements for protecting and monitoring the network to protect against cyber-attack;

(C) will promote competition in the device market for public safety communications by requiring devices for use on a public safety network to be—

(i) built to open standards;

(ii) capable of being used by any vendor and across all public safety systems; and

(iii) backward-compatible with existing second and third generation commercial networks;

(D) authorize public safety entities to execute partnerships with other public or private entities to build or operate the State’s public safety broadband network;

(E) encourage public safety entities to utilize, to the greatest extent possible, existing commercial, State, or Federal government infrastructure;

(F) will ensure that the interoperability plan includes integration with 9-1-1 call centers; and

(G) require any licensee or licensees to file annual reports on—

(i) the status of public safety broadband network construction and interoperability; and

(ii) the status and deployment of existing public safety broadband and narrowband systems.

(2) **FACTORS TO BE CONSIDERED.**—In carrying out paragraph (1), the Commission shall, at a minimum, consider—

(A) the extent to which particular technologies and user equipment are, or are likely to be, available in the commercial marketplace;

(B) the availability of necessary technologies and equipment on reasonable and non-discriminatory licensing terms; and

(C) the ability of particular technologies and equipment—

(i) to evolve with technological developments in the commercial marketplace; and

(ii) to accommodate prioritization for public safety transmissions.

(c) **RFP STANDARDS.**—

(1) **IN GENERAL.**—The Commission shall establish procedural and substantive requirements for requests for proposals related to the nationwide public safety broadband network that—

(A) require such requests to meet the technical requirements under subsection (b) that ensure interoperability of the broadband network to which it relates and ensure that nothing will interfere with such interoperability;

(B) limit the authority for issuing such requests to States or multi-State organizations, except to the extent delegated to an agency or political subdivision;

(C) will ensure that the request-for-proposals process is open, transparent, and competitive;

(D) require any such request—

(i) to be issued on a Statewide or multi-State basis and to be coordinated with the appropriate State chief executive or the executive’s designee;

(ii) to demonstrate that the State has a plan for interoperability, with provision for both urban and rural build out; and

(iii) to cover any necessary relocation of incumbent narrowband operations in the existing public safety broadband spectrum;

(E) authorize States to issue requests for proposals that will build on a State broadband network; and

(F) require the term of any contract under the process to be reasonable and, in any event, for less than the term of the underlying license.

(2) **MODEL RFPs.**—The Commission may encourage the use of the requests-for-proposal model or form developed by the Government Accountability Office under section 207 of this Act.

(d) **RURAL BUILD OUT REQUIREMENTS.**—The Commission shall—

(1) establish rural build out targets for the public safety broadband network, including targets for States or smaller areas;

(2) require contracts awarded through the request-for-proposals process in connection with the network to include deployment phases with substantial rural coverage milestones as part of each phase where appropriate; and

(3) in collaboration with the Assistant Secretary, make funding for each build out phase after the first contingent on meeting build out targets for the preceding phase to the extent feasible.

(e) **DEVELOPMENT AND MAINTENANCE OF INTEROPERABILITY, SECURITY, AND FUNCTIONALITY STANDARDS.**—The Commission and through agreements executed with the National Institute of Standards and Technology, shall develop, maintain, and update such requirements and standards as may be necessary to ensure interoperability, security, and functionality.

(f) **AUTHORIZATION OF APPROPRIATIONS.**—There are authorized to be appropriated to the Commission, for use by the Emergency Response and Interoperability Center in carrying out its responsibilities under this Act, \$5,500,000 for each of fiscal years 2013 through 2018.

**SEC. 106. COMMERCIAL NETWORK ROAMING AND PRIORITY ACCESS.**

The Commission may adopt rules, if necessary in the public interest, to improve the ability of public safety networks to roam onto commercial networks and to gain priority access to commercial networks in an emergency if—

(1) the public safety entity equipment is technically compatible with the commercial network;

(2) the commercial network is reasonably compensated; and

(3) it is consistent with the public interest.

**SEC. 107. PUBLIC SAFETY ADVISORY BOARD.**

(a) **IN GENERAL.**—Not later than 90 days after the date of enactment of this Act, the Commission shall establish a public safety advisory board to advise the Commission on—

(1) carrying out its duties under section 101; and

(2) the implementation of improvements to the public safety interoperable broadband network under that section.

(b) **COMPOSITION.**—The Commission shall determine the composition of the advisory board, which shall include, at a minimum, representatives from each of the following:

(1) State, local, and tribal governments.

(2) Public safety organizations.

(3) Providers of commercial mobile service.

(4) Manufacturers of communications equipment.

(c) **REPORTS.**—The Commission shall consult with the advisory board on any study or report on public safety spectrum.

(d) **FACA INAPPLICABLE.**—The Federal Advisory Committee Act (5 U.S.C. App. ) shall not apply to the advisory board.

(e) **TERMINATION.**—The advisory board shall terminate 10 years after the date of enactment of this Act.

**TITLE II—FUNDING**

**SEC. 201. ESTABLISHMENT OF FUNDS.**

(a) **IN GENERAL.**—

(1) CONSTRUCTION FUND.—

(A) ESTABLISHMENT.—There is established in the Treasury of the United States a fund to be known as the Public Safety Interoperable Broadband Network Construction Fund.

(B) PURPOSE.—The Assistant Secretary shall establish and administer the grant program under section 202 using the funds deposited in the Construction Fund.

(C) CREDIT.—

(1) BORROWING AUTHORITY.—The Assistant Secretary may borrow from the general fund of the Treasury beginning on October 1, 2011, such sums as may be necessary, but not to exceed \$2,000,000,000, to implement section 202.

(ii) REIMBURSEMENT.—The Secretary of the Treasury shall reimburse the general fund of the Treasury, without interest, for any amounts borrowed under clause (i) as funds are deposited into the Construction Fund, but in no case later than December 31, 2015.

(2) MAINTENANCE AND OPERATION FUND.—

(A) ESTABLISHMENT.—There is established in the Treasury of the United States a fund to be known as the Public Safety Interoperable Broadband Network Maintenance and Operation Fund.

(B) PURPOSE.—The Commission shall use the funds deposited in the Maintenance and Operation Fund to carry out section 203.

(b) TRANSFER OF FUNDS AT COMPLETION OF CONSTRUCTION.—The Secretary of the Treasury shall transfer to the Maintenance and Operation Fund any funds remaining in the Construction Fund after the date of the completion of the construction phase, as determined by the Assistant Secretary.

(c) TRANSFER OF FUNDS TO THE TREASURY.—The Secretary of the Treasury shall transfer to the general fund of the Treasury any funds remaining in the Maintenance and Operation Fund after the end of the 10-year period that begins after the date of the completion of the construction phase, as determined by the Assistant Secretary.

(d) AUTHORIZATION OF APPROPRIATIONS.—

(1) CONSTRUCTION FUND.—There are authorized to be appropriated to the Assistant Secretary for deposit in the Construction Fund in and after fiscal year 2013 such sums as necessary subject to paragraph (3).

(2) MAINTENANCE AND OPERATION FUND.—There are authorized to be appropriated to the Commission for deposit in the Maintenance and Operation Fund in and after fiscal year 2013 such sums as necessary subject to paragraph (3).

(3) LIMITATION.—The authorization of appropriations under paragraphs (1) and (2) may not exceed a total of \$11,000,000,000.

**SEC. 202. PUBLIC SAFETY INTEROPERABLE BROADBAND NETWORK CONSTRUCTION.**

(a) CONSTRUCTION GRANT PROGRAM ESTABLISHMENT.—The Assistant Secretary, in consultation with the Commission, shall take such action as is necessary to establish a grant program to assist public safety entities to establish a nationwide public safety interoperable broadband network in the 700 MHz band.

(b) PROJECTS.—Grants may be made under this section for the construction of a public safety interoperable broadband network, including improvement of existing commercial and noncommercial networks and facilities and construction of new infrastructure to meet public safety requirements, as defined by the Commission, that operate as part of the public safety interoperable broadband network in the 700 MHz band.

(c) MATCHING REQUIREMENTS.—

(1) FEDERAL SHARE.—

(A) IN GENERAL.—The Federal share of the cost of carrying out a project under this section may not exceed 80 percent of the eligible costs of carrying out a project, as deter-

mined by the Assistant Secretary in consultation with the Commission.

(B) WAIVER.—The Assistant Secretary may waive, in whole or in part, the requirements of subparagraph (A) for good cause shown if it determines that such a waiver is in the public interest.

(2) NON-FEDERAL SHARE.—The non-Federal share of the cost of carrying out a project under this section may be provided through an in-kind contribution.

(d) REQUIREMENTS.—Not later than 6 months after the date of enactment of this Act, the Assistant Secretary, in consultation with the Commission, shall establish grant program requirements including the following:

(1) Demonstrated compliance with applicable Commission request-for-proposal and license terms and service rules, including interoperability and technical rules, construction requirements, and secondary use rules.

(2) Defining entities that are eligible to receive a grant under this section.

(3) Defining eligible costs for purposes of subsection (c)(1).

(4) Determining the scope of network infrastructure eligible for grant funding under this section.

(5) Prioritizing grants for projects that ensure coverage in rural as well as urban areas.

**SEC. 203. PUBLIC SAFETY INTEROPERABLE BROADBAND MAINTENANCE AND OPERATION.**

(a) MAINTENANCE AND OPERATION REIMBURSEMENT PROGRAM.—The Commission shall administer a program through which not more than 50 percent of maintenance and operational expenses associated with the public safety interoperable broadband network may be reimbursed from the Maintenance and Operation Fund for those expenses that are attributable to the maintenance, operation, and improvement of the public safety interoperable broadband network.

(b) REPORT.—Not later than 7 years after the date of enactment of this Act, the Commission shall submit to Congress a report on whether to continue to provide funding for the Maintenance and Operation Fund after the end of the 10-year period that begins after the date of the completion of the construction phase, as determined by the Assistant Secretary.

**SEC. 204. AUCTION OF SPECTRUM.**

(a) IN GENERAL.—

(1) IDENTIFICATION OF SPECTRUM.—Not later than 1 year after the date of enactment of this Act, the Assistant Secretary shall identify, at a minimum, 25 megahertz of contiguous spectrum at frequencies located between 1675 megahertz and 1710 megahertz, inclusive, to be made available for immediate reallocation.

(2) AUCTION.—Not later than January 31, 2014, the Commission shall conduct the auction of the licenses, by commencing the bidding, for the following:

(A) The spectrum between the frequencies of 2155 megahertz and 2180 megahertz, inclusive.

(B) The spectrum identified pursuant to paragraph (1).

(3) PROCEEDS.—The proceeds (including deposits and up front payments from successful bidders) from the auction shall be deposited in the Construction Fund.

(b) INCENTIVE SPECTRUM AUCTION AUTHORITY.—

(1) IN GENERAL.—Paragraph (8) of section 309(j) of the Communications Act of 1934 (47 U.S.C. 309(j)) is amended—

(A) by striking “(B), (D), and (E),” in subparagraph (A) and inserting “(B), (D), (E), and (F),”; and

(B) by adding at the end thereof the following:

“(F) INCENTIVE AUCTION AUTHORITY.—

“(i) AUTHORITY.—The Commission may if the Commission determines that it is consistent with the public interest in utilization of the spectrum for a licensee to relinquish voluntarily some or all of its licensed spectrum usage rights in order to permit the assignment of new initial licenses subject to new service rules, the Commission may disburse to that licensee a portion of the auction proceeds related to the new use that the Commission determines, in its discretion, are attributable to the licensee’s relinquished spectrum usage.

“(ii) PROCEEDS FOR FUNDS.—Notwithstanding subparagraph (A), the proceeds (including deposits and up front payments from successful bidders) from the use of a competitive bidding system under this subsection with respect to relinquished spectrum, after deduction of any amounts disbursed to the relinquishing licensee, shall be deposited as follows:

“(I) All proceeds less than or equal to \$5,500,000,000 shall be deposited in the Construction Fund and shall be made available to the Assistant Secretary without further appropriations.

“(II) Any proceeds exceeding \$5,500,000,000 shall be deposited in the Maintenance and Operation Fund and shall be made available to the Commission without further appropriations.

“(III) Any proceeds exceeding \$11,000,000,000 shall be made available, as provided by appropriation Acts, for growth-enhancing infrastructure projects, including the NextGen aviation navigation system, development of high-speed rail transportation, and Smart Grid electrical power transmission and management technology.”.

(c) EXTENSION OF AUCTION AUTHORITY.—Section 309(j)(11) of the Communications Act of 1934 (47 U.S.C. 309(j)(11)) is amended by striking “2012” and inserting “2020”.

(d) LIMITATION.—

(1) IN GENERAL.—The Commission may not reclaim frequencies licensed to broadcast television licensees or other licensees, directly or indirectly, on an involuntary basis for purposes of section 309(j)(8)(F) of the Communications Act of 1934.

(2) RULE OF CONSTRUCTION.—Nothing in this Act or in the amendments made by this Act shall be construed to permit the Commission to reclaim frequencies of broadcast television licensees or any other licensees directly or indirectly on an involuntary basis for the purpose that section.

**SEC. 205. REPORT ON EFFICIENT USE OF PUBLIC SAFETY SPECTRUM.**

Not later than 5 years after the date of enactment of this Act and every 5 years thereafter, the Commission shall conduct a study and submit a report to the Senate Committee on Commerce, Science, and Transportation and the House of Representatives Committee on Energy and Commerce on the spectrum held by the public safety entities. In the report the Commission shall—

(1) examine how such spectrum is being used;

(2) provide a recommendation for whether more spectrum needs to be made available to meet the needs of public safety entities; and

(3) assess the opportunity for return of any spectrum to the Commission for auction to commercial providers to provide revenue to the Treasury of the United States.

**SEC. 206. GAO REPORT ON SATELLITE BROADBAND.**

Not later than 2 years after the date of enactment of this Act, the Comptroller General of the United States shall conduct a study and submit to Congress a report on the current and future capabilities of fixed and mobile satellite broadband to assist public safety entities during an emergency.



**SEC. 207. ACCESS TO GSA SCHEDULES.**

The Administrator of General Services shall—

(1) establish rules under which public safety entities may access and use the rates offered to the General Services Administration for communications services and devices;

(2) develop and furnish to the Commission a model request-for-proposals form for public safety use under section 105; and

(3) develop a procedure under which public safety entities are authorized to purchase from established GSA schedules.

**SEC. 208. FEDERAL INFRASTRUCTURE SHARING.**

The Administrator of General Services shall establish rules to allow any public safety licensee or licensees to have access to Federal infrastructure to construct and maintain the public safety interoperable broadband network.

**SEC. 209. AUDITS.**

(a) **IN GENERAL.**—Not later than 3 years after the date of enactment of this Act, and every 3 years thereafter, the Comptroller General of the United States shall perform an audit of the financial statements, records, and accounts of the—

(1) Public Safety Interoperable Broadband Network Construction Fund established under section 201(a)(1);

(2) Public Safety Interoperable Broadband Network Maintenance and Operation Fund established under section 201(a)(2);

(3) construction grant program established under section 202; and

(4) maintenance and operation program established under section 203.

(b) **GAAP.**—Each audit required under subsection (a) shall be conducted in accordance with generally acceptable accounting procedures.

(c) **REPORT TO CONGRESS.**—A copy of each audit required under subsection (a) shall be submitted to the appropriate committees of Congress.

**SEC. 210. ANTIDIVERSION PROHIBITION.**

Except as provided in section 309(j)(8)(F)(ii)(III) of the Communications Act of 1934, as added by this Act, no funds made available under this Act or any amendment made by this Act may be used for any purpose other than in support of the nationwide public safety interoperable broadband network to be deployed under this Act, including the acquisition, construction, or reconstruction of infrastructure and facilities, the purchase of equipment and services, including hardware, software, and training, in accordance with rules established by the Commission.

By Mr. REID (for Mrs. FEINSTEIN (for herself and Mrs. BOXER)):

S. 29. A bill to establish the Sacramento-San Joaquin Delta National Heritage Area; to the Committee on Energy and Natural Resources.

Mrs. FEINSTEIN. Mr. President, I rise on behalf of myself and Senator BOXER to introduce legislation to establish a National Heritage Area in the California Sacramento-San Joaquin Delta. This legislation will create the first Heritage Area in California.

I am pleased that I have had the opportunity to work with Senator BOXER, Representative JOHN GARAMENDI, and the County Supervisors from the 5 Delta Counties to prepare this legislation and support their efforts to fully partner with the State, the Federal agencies, and other local governments to improve and care for the Delta.

This bill will establish the Sacramento-San Joaquin Delta as a National Heritage Area.

The Delta Protection Commission, created by California law and responsible to the citizens of the Delta and California, will manage the Heritage Area. It will ensure an open and public process, working with all levels of federal, state, and local government, tribes, local stakeholders, and private property owners as it develops and implements the management plan for the Heritage Area. The goal is to conserve and protect the Delta, its communities, its resources, and its history.

It is also important to understand what this legislation will not do. It will not affect water rights. It will not affect water contracts. It will not affect private property.

Nothing in this bill gives any governmental agency any more regulatory power than it already has, nor does it take away regulatory from agencies that have it.

In short, this bill does not affect water rights or water contracts, nor does it impose any additional responsibilities on local government or residents. Instead, it authorizes Federal assistance to a local process already required by State law that will elevate the Delta, providing a means to conserve and protect its valued communities, resources, and history.

The Sacramento-San Joaquin Delta is the largest estuary on the West Coast. It is the most extensive inland delta in the world, and a unique national treasure.

Today, it is a labyrinth of sloughs, wetlands, and deepwater channels that connect the waters of the high Sierra mountain streams to the Pacific Ocean through the San Francisco Bay. Its approximately 60 islands are protected by 1,100 miles of levees, and are home to 3,500,000 residents, including 2,500 family farmers. The Delta and its farmers produce some of the highest quality specialty crops in the United States.

The Delta offers recreational opportunities to the two million Californians who visit the Delta each year for boating, fishing, hunting, visiting historic sites, and viewing wildlife. It provides habitat for more than 750 species of plants and wildlife. These include sand hill cranes that migrate to the Delta wetland from places as far away as Siberia. The Delta also provides habitat for 55 species of fish, including Chinook salmon—some as large as 60 pounds—that return each year to travel through the Delta to spawn in the tributaries.

These same waterways also channel fresh water to the Federal and State-owned pumps in the South Delta that provide water to 23 million Californians and 3 million acres of irrigated agricultural land elsewhere in the state.

Before the Delta was reclaimed for farmland in the 19th Century, the Delta flooded regularly with snow melt each spring, and provided the rich environment that, by 1492, supported the largest settlement of Native Americans in North America.

The Delta was the gateway to the gold fields in 1849, after which Chinese

workers built hundreds of miles of levees throughout the waterways of the Delta to make its rich peat soils available for farming and to control flooding.

Japanese, Italians, German, Portuguese, Dutch, Greeks, South Asians, and other immigrants began the farming legacy, and developed technologies specifically adapted to the unique environment, including the Caterpillar Tractor, which later contributed to agriculture and transportation internationally.

Delta communities created a river culture befitting their dependence on water transport, a culture which has attracted the attention of authors from Mark Twain and Jack London to Joan Didion.

The Delta is in crisis due to many factors, including invasive species, urban and agricultural run-off, wastewater discharges, channelization, dredging, water export operations, and other stressors.

Many of the islands of the Delta are between 10 and 20 feet below sea level, and the levee system is presently inadequate to provide reliable flood protection for historic communities, significant habitats, agricultural enterprises, water resources, transportation and other infrastructure.

Existing levees have not been engineered to withstand earthquakes. Should levees fail for any reason, a rush of seawater into the interior of the Delta could damage the already fragile ecosystem, contaminate drinking water for many Californians, flood agricultural land, inundate towns, and damage roads, power lines, and water project infrastructure.

The State of California has been working for decades on a resolution to the water supply and ecosystem crisis in the State, and has a long history of partnerships with Federal agencies, working together to resolve challenges to the Delta's historic communities, ecosystem and the water it supplies so many Californians.

The Delta Protection Commission, established under state law, has been tasked by the California State Legislature with providing a forum for Delta residents to engage in decisions regarding actions to recognize and enhance the unique cultural, recreational, agricultural resources, infrastructure and legacy communities of the Delta and to serve as the facilitating agency for the implementation of a National Heritage Area in the Delta.

This legislation will complement the broadly supported State Water Legislation of 2009, which called for a Heritage designation for the Delta.

This legislation authorizes the creation of the Delta Heritage Area and federal assistance to the Delta Protection Commission in implementing the Area. This legislation is just a small part of the commitment the Federal government must make to the Delta. I look forward to continuing to work with my colleagues at every level of

government to restore and sustain the ecosystem in the Delta, to provide for reliable water supply in the State of California, to recover the native species of the Delta, protect communities in the Delta from flood risk, ensure economic sustainability in the Delta, improve water quality in the Delta, and; sustain the unique cultural, historical, recreational, agricultural and economic values of the Delta.

The National Heritage Area designation for the Sacramento-San Joaquin Delta will help local governments develop and implement a plan for a sustainable future by providing Federal recognition, technical assistance and small amounts of funding to a community-based process already underway.

Through the Delta Heritage Area, local communities and citizens will partner with Federal, State and local governments to collaboratively work to promote conservation, community revitalization, and economic development projects.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 29

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Sacramento-San Joaquin Delta National Heritage Area Establishment Act".

#### SEC. 2. DEFINITIONS.

In this Act:

(1) HERITAGE AREA.—The term "Heritage Area" means the Sacramento-San Joaquin Delta Heritage Area established by section 3(a).

(2) HERITAGE AREA MANAGEMENT PLAN.—The term "Heritage Area management plan" means the plan developed and adopted by the management entity under this Act.

(3) MANAGEMENT ENTITY.—The term "management entity" means the management entity for the Heritage Area designated by section 3(d).

(4) SECRETARY.—The term "Secretary" means the Secretary of the Interior.

(5) STATE.—The term "State" means the State of California.

#### SEC. 3. SACRAMENTO-SAN JOAQUIN DELTA HERITAGE AREA.

(a) ESTABLISHMENT.—There is established the "Sacramento-San Joaquin Delta Heritage Area" in the State.

(b) BOUNDARIES.—The boundaries of the Heritage Area shall be in the counties of Contra Costa, Sacramento, San Joaquin, Solano, and Yolo in the State of California, as generally depicted on the map entitled "Sacramento-San Joaquin Delta National Heritage Area Proposed Boundary", numbered T27/105,030, and dated September 2010.

(c) AVAILABILITY OF MAP.—The map described in subsection (b) shall be on file and available for public inspection in the appropriate offices of the National Park Service and the Delta Protection Commission.

(d) MANAGEMENT ENTITY.—The management entity for the Heritage Area shall be the Delta Protection Commission established by section 29735 of the California Public Resources Code.

(e) ADMINISTRATION.—

(1) AUTHORITIES.—For purposes of carrying out the Heritage Area management plan, the

Secretary, acting through the management entity, may use amounts made available under this Act to—

(A) make grants to the State or a political subdivision of the State, nonprofit organizations, and other persons;

(B) enter into cooperative agreements with, or provide technical assistance to, the State or a political subdivision of the State, nonprofit organizations, and other interested parties;

(C) hire and compensate staff, which shall include individuals with expertise in natural, cultural, and historical resources protection, and heritage programming;

(D) obtain money or services from any source including any that are provided under any other Federal law or program;

(E) contract for goods or services; and

(F) undertake to be a catalyst for any other activity that furthers the Heritage Area and is consistent with the approved Heritage Area management plan.

(2) DUTIES.—The management entity shall—

(A) in accordance with subsection (f), prepare and submit a Heritage Area management plan to the Secretary;

(B) assist units of local government, regional planning organizations, and nonprofit organizations in carrying out the approved Heritage Area management plan by—

(i) carrying out programs and projects that recognize, protect, and enhance important resource values in the Heritage Area;

(ii) establishing and maintaining interpretive exhibits and programs in the Heritage Area;

(iii) developing recreational and educational opportunities in the Heritage Area;

(iv) increasing public awareness of, and appreciation for, natural, historical, scenic, and cultural resources of the Heritage Area;

(v) protecting and restoring historic sites and buildings in the Heritage Area that are consistent with Heritage Area themes;

(vi) ensuring that clear, consistent, and appropriate signs identifying points of public access, and sites of interest are posted throughout the Heritage Area; and

(vii) promoting a wide range of partnerships among governments, organizations, and individuals to further the Heritage Area;

(C) consider the interests of diverse units of government, businesses, organizations, and individuals in the Heritage Area in the preparation and implementation of the Heritage Area management plan;

(D) conduct meetings open to the public at least semiannually regarding the development and implementation of the Heritage Area management plan;

(E) for any year that Federal funds have been received under this Act—

(i) submit an annual report to the Secretary that describes the activities, expenses, and income of the management entity (including grants to any other entities during the year that the report is made);

(ii) make available to the Secretary for audit all records relating to the expenditure of the funds and any matching funds;

(iii) require, with respect to all agreements authorizing expenditure of Federal funds by other organizations, that the organizations receiving the funds make available to the Secretary for audit all records concerning the expenditure of the funds; and

(F) encourage by appropriate means economic viability that is consistent with the Heritage Area.

(3) PROHIBITION ON THE ACQUISITION OF REAL PROPERTY.—The management entity shall not use Federal funds made available under this Act to acquire real property or any interest in real property.

(4) COST-SHARING REQUIREMENT.—The Federal share of the cost of any activity carried

out using any assistance made available under this Act shall be 50 percent.

(f) HERITAGE AREA MANAGEMENT PLAN.—

(1) IN GENERAL.—Not later than 3 years after the date of enactment of this Act, the management entity shall submit to the Secretary for approval a proposed Heritage Area management plan.

(2) REQUIREMENTS.—The Heritage Area management plan shall—

(A) incorporate an integrated and cooperative approach to agricultural resources and activities, flood protection facilities, and other public infrastructure;

(B) emphasizes the importance of the resources described in subparagraph (A);

(C) take into consideration State and local plans;

(D) include—

(i) an inventory of—

(I) the resources located in the core area described in subsection (b); and

(II) any other property in the core area that—

(aa) is related to the themes of the Heritage Area; and

(bb) should be preserved, restored, managed, or maintained because of the significance of the property;

(ii) comprehensive policies, strategies and recommendations for conservation, funding, management, and development of the Heritage Area;

(iii) a description of actions that governments, private organizations, and individuals have agreed to take to protect the natural, historical and cultural resources of the Heritage Area;

(iv) a program of implementation for the Heritage Area management plan by the management entity that includes a description of—

(I) actions to facilitate ongoing collaboration among partners to promote plans for resource protection, restoration, and construction; and

(II) specific commitments for implementation that have been made by the management entity or any government, organization, or individual for the first 5 years of operation;

(v) the identification of sources of funding for carrying out the Heritage Area management plan;

(vi) analysis and recommendations for means by which local, State, and Federal programs, including the role of the National Park Service in the Heritage Area, may best be coordinated to carry out this Act; and

(vii) an interpretive plan for the Heritage Area; and

(E) recommend policies and strategies for resource management that consider and detail the application of appropriate land and water management techniques, including the development of intergovernmental and interagency cooperative agreements to protect the natural, historical, cultural, educational, scenic, and recreational resources of the Heritage Area.

(3) RESTRICTIONS.—The Heritage Area management plan submitted under this subsection shall—

(A) ensure participation by appropriate Federal, State, tribal, and local agencies, including the Delta Stewardship Council, special districts, natural and historical resource protection and agricultural organizations, educational institutions, businesses, recreational organizations, community residents, and private property owners; and

(B) not be approved until the Secretary has received certification from the Delta Protection Commission that the Delta Stewardship Council has reviewed the Heritage Area management plan for consistency with the plan adopted by the Delta Stewardship Council pursuant to State law.

(4) **DEADLINE.**—If a proposed Heritage Area management plan is not submitted to the Secretary by the date that is 3 years after the date of enactment of this Act, the management entity shall be ineligible to receive additional funding under this Act until the date that the Secretary receives and approves the Heritage Area management plan.

(5) **APPROVAL OR DISAPPROVAL OF HERITAGE AREA MANAGEMENT PLAN.**—

(A) **IN GENERAL.**—Not later than 180 days after the date of receipt of the Heritage Area management plan under paragraph (1), the Secretary, in consultation with the State, shall approve or disapprove the Heritage Area management plan.

(B) **CRITERIA FOR APPROVAL.**—In determining whether to approve the Heritage Area management plan, the Secretary shall consider whether—

(i) the management entity is representative of the diverse interests of the Heritage Area, including governments, natural and historic resource protection organizations, educational institutions, businesses, and recreational organizations;

(ii) the management entity has afforded adequate opportunity, including public hearings, for public and governmental involvement in the preparation of the Heritage Area management plan; and

(iii) the resource protection and interpretation strategies contained in the Heritage Area management plan, if implemented, would adequately protect the natural, historical, and cultural resources of the Heritage Area.

(C) **ACTION FOLLOWING DISAPPROVAL.**—If the Secretary disapproves the Heritage Area management plan under subparagraph (A), the Secretary shall—

(i) advise the management entity in writing of the reasons for the disapproval;

(ii) make recommendations for revisions to the Heritage Area management plan; and

(iii) not later than 180 days after the receipt of any proposed revision of the Heritage Area management plan from the management entity, approve or disapprove the proposed revision.

(D) **AMENDMENTS.**—

(i) **IN GENERAL.**—The Secretary shall approve or disapprove each amendment to the Heritage Area management plan that the Secretary determines make a substantial change to the Heritage Area management plan.

(ii) **USE OF FUNDS.**—The management entity shall not use Federal funds authorized by this Act to carry out any amendments to the Heritage Area management plan until the Secretary has approved the amendments.

(g) **RELATIONSHIP TO OTHER FEDERAL AGENCIES.**—

(1) **IN GENERAL.**—Nothing in this Act affects the authority of a Federal agency to provide technical or financial assistance under any other law.

(2) **CONSULTATION AND COORDINATION.**—The head of any Federal agency planning to conduct activities that may have an impact on the Heritage Area is encouraged to consult and coordinate the activities with the Secretary and the management entity to the maximum extent practicable.

(3) **OTHER FEDERAL AGENCIES.**—Nothing in this Act—

(A) modifies, alters, or amends any law or regulation authorizing a Federal agency to manage Federal land under the jurisdiction of the Federal agency;

(B) limits the discretion of a Federal land manager to implement an approved land use plan within the boundaries of the Heritage Area; or

(C) modifies, alters, or amends any authorized use of Federal land under the jurisdiction of a Federal agency.

(h) **PRIVATE PROPERTY AND REGULATORY PROTECTIONS.**—

(1) **IN GENERAL.**—Subject to paragraph (2), nothing in this Act—

(A) abridges the rights of any property owner (whether public or private), including the right to refrain from participating in any plan, project, program, or activity conducted within the Heritage Area;

(B) requires any property owner to permit public access (including access by Federal, State, or local agencies) to the property of the property owner, or to modify public access or use of property of the property owner under any other Federal, State, or local law;

(C) alters any duly adopted land use regulation, approved land use plan, or other regulatory authority of any Federal, State or local agency, or conveys any land use or other regulatory authority to the management entity;

(D) authorizes or implies the reservation or appropriation of water or water rights;

(E) diminishes the authority of the State to manage fish and wildlife, including the regulation of fishing and hunting within the Heritage Area; or

(F) creates any liability, or affects any liability under any other law, of any private property owner with respect to any person injured on the private property.

(2) **OPT OUT.**—An owner of private property within the Heritage Area may opt out of participating in any plan, project, program, or activity carried out within the Heritage Area under this Act, if the property owner provides written notice to the management entity.

(i) **EVALUATION; REPORT.**—

(1) **IN GENERAL.**—Not later than 3 years before the date on which authority for Federal funding terminates for the Heritage Area, the Secretary shall—

(A) conduct an evaluation of the accomplishments of the Heritage Area; and

(B) prepare a report in accordance with paragraph (3).

(2) **EVALUATION.**—An evaluation conducted under paragraph (1)(A) shall—

(A) assess the progress of the management entity with respect to—

(i) accomplishing the purposes of this Act for the Heritage Area; and

(ii) achieving the goals and objectives of the approved Heritage Area management plan;

(B) analyze the Federal, State, local, and private investments in the Heritage Area to determine the leverage and impact of the investments; and

(C) review the management structure, partnership relationships, and funding of the Heritage Area for purposes of identifying the critical components for sustainability of the Heritage Area.

(3) **REPORT.**—

(A) **IN GENERAL.**—Based on the evaluation conducted under paragraph (1)(A), the Secretary shall prepare a report that includes recommendations for the future role of the National Park Service, if any, with respect to the Heritage Area.

(B) **REQUIRED ANALYSIS.**—If the report prepared under subparagraph (A) recommends that Federal funding for the Heritage Area be reauthorized, the report shall include an analysis of—

(i) ways in which Federal funding for the Heritage Area may be reduced or eliminated; and

(ii) the appropriate time period necessary to achieve the recommended reduction or elimination.

(C) **SUBMISSION TO CONGRESS.**—On completion of the report, the Secretary shall submit the report to—

(i) the Committee on Energy and Natural Resources of the Senate; and

(ii) the Committee on Natural Resources of the House of Representatives.

(j) **EFFECT OF DESIGNATION.**—Nothing in this Act—

(1) precludes the management entity from using Federal funds made available under other laws for the purposes for which those funds were authorized; or

(2) affects any water rights or contracts.

**SEC. 4. AUTHORIZATION OF APPROPRIATIONS.**

(a) **IN GENERAL.**—There is authorized to be appropriated to carry out this Act \$10,000,000, of which not more than \$1,000,000 may be made available for any fiscal year.

(b) **COST-SHARING REQUIREMENT.**—The Federal share of the total cost of any activity under this Act shall be determined by the Secretary, but shall be not more than 50 percent.

(c) **NON-FEDERAL SHARE.**—The non-Federal share of the total cost of any activity under this Act may be in the form of in-kind contributions of goods or services.

**SEC. 5. TERMINATION OF AUTHORITY.**

(a) **IN GENERAL.**—If a proposed Heritage Area management plan has not been submitted to the Secretary by the date that is 5 years after the date of enactment of this Act, the Heritage Area designation shall be rescinded.

(b) **FUNDING AUTHORITY.**—The authority of the Secretary to provide assistance under this Act terminates on the date that is 15 years after the date of enactment of this Act.

By Mr. FRANKEN:

S. 31. A bill to amend part D of title XVIII of the Social Security Act to authorize the Secretary of Health and Human Services to negotiate for lower prices for Medicare prescription drugs; to the Committee on Finance.

Mr. FRANKEN. Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 31

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Prescription Drug and Health Improvement Act of 2011”.

**SEC. 2. NEGOTIATING FAIR PRICES FOR MEDICARE PRESCRIPTION DRUGS.**

(a) **NEGOTIATING FAIR PRICES.**—

(1) **IN GENERAL.**—Section 1860D–11 of the Social Security Act (42 U.S.C. 1395w–111) is amended by striking subsection (i) (relating to noninterference) and by inserting the following:

“(i) **AUTHORITY TO NEGOTIATE PRICES WITH MANUFACTURERS.**—In order to ensure that beneficiaries enrolled under prescription drug plans and MA–PD plans pay the lowest possible price, the Secretary shall have authority similar to that of other Federal entities that purchase prescription drugs in bulk to negotiate contracts with manufacturers of covered part D drugs, consistent with the requirements and in furtherance of the goals of providing quality care and containing costs under this part.”.

(2) **EFFECTIVE DATE.**—The amendment made by paragraph (1) shall take effect on the date of the enactment of this Act.

(b) **BIANNUAL REPORTS TO CONGRESS.**—Not later than 1 year after the date of the enactment of this Act, and every 6 months thereafter, the Secretary of Health and Human Services shall submit to Congress a report on the negotiations conducted by the Secretary

under section 1860D-11(i) of the Social Security Act (42 U.S.C. 1395w-111(i)), as amended by subsection (a), including a description of how such negotiations are achieving lower prices for covered part D drugs (as defined in section 1860D-2(e) of the Social Security Act (42 U.S.C. 1395w-102(e)) for Medicare beneficiaries.

By Mr. LIEBERMAN (for himself, Mr. SANDERS, Mr. REED, Mrs. BOXER, Mr. UDALL of Colorado, Mr. HARKIN, Mr. BENNET, Mr. KOHL, Mr. UDALL of New Mexico, Mr. CARDIN, Ms. CANTWELL, Mrs. MURRAY, Mr. WHITEHOUSE, Mr. LEAHY, Mrs. FEINSTEIN, Ms. KLOBUCHAR, Mr. KERRY, Mr. DURBIN, Mr. WYDEN, and Mr. LAUTENBERG):

S. 33. A bill to designate a portion of the Arctic National Wildlife Refuge as wilderness; to the Committee on Environment and Public Works.

Mr. LIEBERMAN. Mr. President, today, I introduced legislation to protect the coastal plains region of the Arctic National Wildlife Refuge from oil and gas exploration and drilling. Every Congress since the 101st, I have either introduced or been an original cosponsor of legislation to protect the Refuge, making tomorrow the twelfth time since 1989 that I will mark my unwavering support for reaffirming the original intent of the Refuge: to provide habitat for Alaska's wildlife, by designating 1.5 million acres of the Refuge as Wilderness to be included in the National Wilderness Preservation System.

I have long believed we have a responsibility to future generations to preserve the Arctic National Wildlife Refuge, and I have fought to protect it for as long as I have been in the Senate. The fact is, we do not have to choose between conservation and exploration when it comes to our energy future; we can do both simultaneously while moving toward a sustainable and diverse national energy policy.

The Arctic Refuge is home to 250 species of wildlife. Drilling there would severely harm its abundant populations of polar bears, caribou, musk oxen, and snow geese. Beyond that, the amount of commercially recoverable oil in the Refuge would satisfy only a very small percentage of our Nation's need at any given time and would have no appreciable long-term impact on gasoline prices. The permanent environmental price we would pay for ravaging the Refuge to drain those limited resources is simply too high.

I look forward to working with my colleagues to pass this important legislation.

By Mr. WHITEHOUSE (for himself, Mr. LEAHY, Mr. SANDERS, Mrs. BOXER, Mr. DURBIN, Mr. BROWN of Ohio and Mr. HARKIN):

S. 45. A bill to amend the Internal Revenue Code of 1986 to provide for the taxation of income of controlled foreign corporations attributable for imported property; to the Committee on Finance.

Mr. WHITEHOUSE. Mr. President, from the Recovery Act to the Small Business Jobs Act, in the previous Congress we passed a number of substantial pieces of legislation to preserve, protect, and create American jobs. The Recovery Act alone has supported between 2.7 and 3.7 million jobs, including 12,000 jobs in my home State of Rhode Island. This was vital in stemming the 700,000-per-month job loss rate we faced when the previous administration left office. Without the Recovery Act and the other fiscal stimulus we passed over the past 2 years, the economy would have been much worse.

While the Recovery Act protected our country from what would have been a far worse economic meltdown, the employment market is still weak and families are still hurting. Our national unemployment rate was 9.4 percent in December—an unacceptably high level. And it was higher still in harder hit States such as Rhode Island, where we have had an 11.5-percent unemployment rate in December. As we begin this new Congress, our No. 1 priority must remain job retention and creation.

The manufacturing industry has historically been the engine of growth for the American economy. The manufacturing economy has been especially important in the industrial Northeast, particularly in my State of Rhode Island. From Slater Mill in Pawtucket—one of the first water-powered textile mills in the Nation and the birthplace of the Industrial Revolution—to high-tech modern submarine production at Quonset Point, the manufacturing sector has always been central to Rhode Island's economy.

Unfortunately, as American companies have faced rising production costs and increased—and very often unfair—competition from foreign firms, U.S. manufacturing employment has plummeted. According to the Bureau of Labor Statistics, the number of manufacturing jobs declined by almost a third over the past decade, from 17.2 million people at work in 2000 to 11.7 million people at work in 2010. That is 6 million jobs lost. This decline has been felt most sharply in our old manufacturing centers, such as Rhode Island. In Rhode Island, the loss of manufacturing jobs in the past decade has topped 44 percent. The decline of the manufacturing sector is a primary reason why Rhode Island has had greater difficulty than most other States in recovering from the recent recession.

Over and over I have traveled around Rhode Island to meet with local manufacturers, listening to their frustrations and discussing ideas to help their businesses grow. During these visits, I have heard one theme over and over: Unfair foreign competition is killing domestic industries. One Pawtucket manufacturer I visited last week told me they recently lost 8 percent of their business to a Chinese competitor. It is clear to me that if we want to keep manufacturing jobs in this country and

in Rhode Island, we need to level the playing field for our manufacturing companies with their foreign competitors.

Today I will introduce legislation that will remove one homegrown incentive to move jobs offshore and help to make competition fairer for companies straggling to keep their factory doors open at plants here in the United States. The Offshoring Prevention Act, cosponsored by Senators LEAHY, SANDERS, BOXER, DURBIN, BROWN of Ohio, and HARKIN, would end a perverse tax incentive that actually rewards companies for shipping jobs overseas. Under current law, an American company that manufactures goods in Rhode Island or Montana or Maine must pay Federal income tax on profits in the year the profits are earned. That is standard tax law. But if that same company moves its factory to another country, it is permitted to defer the payment of income taxes from that factory and declare them in a year that is more advantageous—for example, one in which the company has offsetting tax losses.

If an American company moves a plant offshore, it acquires this tax deferral advantage. It makes no sense that our Tax Code allows companies to delay paying income taxes on profits when made through overseas subsidiaries but charges those profits in the year they are made at home. My bill will put a stop to this practice on profits earned on manufactured goods exported to the United States. To put it simply: Our tax system should not reward companies for eliminating American jobs.

The Offshoring Prevention Act is based on legislation Senator Byron Dorgan offered over the past two decades, again and again. We can all remember Senator Dorgan coming to this floor here with pictures of iconic American goods, such as York Peppermint Patties, Radio Flyer red wagons, Fig Newton cookies, and Huffy bicycles, to highlight the fact that the production of these American classic products had moved to Mexico, to China, and elsewhere. On dozens, if not hundreds, of occasions, Senator Dorgan spoke passionately on this floor about the decline of American manufacturing. I am grateful to his leadership on this critical issue and for bringing our attention to an unfair tax advantage that rewards companies for moving manufacturing jobs overseas.

Last year, a version of Senator Dorgan's bill was included in the Creating American Jobs and Ending Offshoring Act. While a majority of this body—53 Senators—voted to begin debate on the bill, we were not able to overcome a filibuster to have a chance to consider and pass this legislation. I am sorry we were not able to pass the bill last year, and I will do my best to bring it up for a vote in this new Congress.

Mr. President, keeping jobs in America and providing a level playing field for American manufacturing should

not be a Democratic or a Republican issue. We all serve here in the Senate to represent the interests of our constituents, and our constituents want us to keep these good-paying manufacturing jobs in America. I hope that all of our colleagues will join me in passing the Offshoring Prevention Act to do just that.

By Mr. INOUE (for himself, Mr. ROCKEFELLER, Mr. KERRY, Ms. SNOWE, and Mr. NELSON of Florida):

S. 46. A bill to reauthorize the Coral Reef Conservation Act of 2000, and for other purposes; to the Committee on Commerce, Science, and Transportation.

Mr. INOUE. Mr. President, I am pleased to introduce the Coral Reef Conservation Amendments Act, which I also introduced in the 111th Congress. This critical bill reauthorizes and strengthens the Coral Reef Conservation Act of 2000, a program that I was pleased to originally sponsor in the 106th Congress establishing the Coral Reef Conservation Program at the National Oceanic and Atmospheric Administration, NOAA.

Coral reefs are among the oldest and most economically and biologically important ecosystems in the world. They provide habitat for more than one million diverse aquatic species, a natural barrier for protection from coastal storms and erosion, and are a potential source of treatment for many of the world's diseases. From a commerce perspective, reef-supported tourism is a \$30 billion industry worldwide, and the commercial value of United States fisheries from coral reefs is more than \$100 million.

However, our coral reef ecosystems face many threats including pollution, climate change and coral bleaching, and overfishing to name a few. Coral reefs cover only one-tenth of one percent of the ocean floor, yet provide habitat for more than twenty-five percent of all marine species.

The original Coral Reef Conservation Act of 2000 recognized the need to preserve, sustain and restore the condition of these valuable coral reef ecosystems. The Coral Reef Conservation Amendments Act of 2011 would strengthen NOAA's ability to comprehensively address threats to coral reefs and empower the agency with tools to ensure that damage to our coral reef ecosystems is prevented or effectively mitigated. It also establishes consistent practices for maintaining data, products, and information, and promotes the widespread availability and dissemination of that environmental information.

Finally, the bill allows the Secretary to further develop partnerships with foreign governments and international organizations—partnerships that are critical not only to the understanding of our coral reef ecosystems, but also to their protection and restoration.

Thank you and I would urge you to support this important legislation to

continue supporting NOAA's leadership role in coral reef conservation.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 46

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

(a) **SHORT TITLE.**—This Act may be cited as the “Coral Reef Conservation Amendments Act of 2011”.

(b) **TABLE OF CONTENTS.**—The table of contents for this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Amendment of Coral Reef Conservation Act of 2000.
- Sec. 3. Purposes.
- Sec. 4. National coral reef action strategy.
- Sec. 5. Coral reef conservation program.
- Sec. 6. Coral reef conservation fund.
- Sec. 7. Agreements; redesignations.
- Sec. 8. Emergency assistance.
- Sec. 9. National program.
- Sec. 10. Study of trade in corals.
- Sec. 11. International coral reef conservation activities.
- Sec. 12. Community-based planning grants.
- Sec. 13. Vessel grounding inventory.
- Sec. 14. Prohibited activities.
- Sec. 15. Destruction of coral reefs.
- Sec. 16. Enforcement.
- Sec. 17. Permits.
- Sec. 18. Regional, State, and Territorial coordination.
- Sec. 19. Regulations.
- Sec. 20. Effectiveness and assessment report.
- Sec. 21. Authorization of appropriations.
- Sec. 22. Judicial review.
- Sec. 23. Definitions.

**SEC. 2. AMENDMENT OF CORAL REEF CONSERVATION ACT OF 2000.**

Except as otherwise expressly provided, whenever in this Act an amendment or repeal is expressed in terms of an amendment to or repeal of a section or other provision, the reference shall be considered to be made to a section or other provision of the Coral Reef Conservation Act of 2000 (16 U.S.C. 6401 et seq.).

**SEC. 3. PURPOSES.**

Section 202 (16 U.S.C. 6401) is amended to read as follows:

**“SEC. 202. PURPOSES.**

“The purposes of this Act are—

- “(1) to preserve, sustain, and restore the condition of coral reef ecosystems;
- “(2) to promote the wise management and sustainable use of coral reef ecosystems to benefit local communities, the Nation, and the world;
- “(3) to develop sound scientific information on the condition of coral reef ecosystems and the threats to such ecosystems;
- “(4) to assist in the preservation of coral reef ecosystems by supporting conservation programs, including projects that involve affected local communities and nongovernmental organizations;
- “(5) to provide financial resources for those programs and projects;
- “(6) to establish a formal mechanism for collecting and allocating monetary donations from the private sector to be used for coral reef conservation projects; and
- “(7) to provide mechanisms to prevent and minimize damage to coral reefs.”.

**SEC. 4. NATIONAL CORAL REEF ACTION STRATEGY.**

Section 203 (16 U.S.C. 6402) is amended to read as follows:

“(a) **IN GENERAL.**—Not later than 180 days after the date of the enactment of the Coral Reef Conservation Amendments Act of 2011, the Secretary shall submit to the Senate Committee on Commerce, Science, and Transportation and to the House of Representatives Committee on Natural Resources and publish in the Federal Register a national coral reef ecosystem action strategy, consistent with the purposes of this title. The Secretary shall periodically review and revise the strategy as necessary. In developing this national strategy, the Secretary may consult the Coral Reef Task Force established under Executive Order 13089 (June 11, 1998).

“(b) **GOALS AND OBJECTIVES.**—The action strategy shall include a statement of goals and objectives as well as an implementation plan, including a description of the funds obligated each fiscal year to advance coral reef conservation. The action strategy and implementation plan shall include discussion of—

- “(1) coastal uses and management, including land-based sources of pollution;
- “(2) climate change;
- “(3) water and air quality;
- “(4) mapping and information management;
- “(5) research, monitoring, and assessment;
- “(6) international and regional issues;
- “(7) outreach and education;
- “(8) local strategies developed by the States or Federal agencies, including regional fishery management councils; and
- “(9) conservation.”.

**SEC. 5. CORAL REEF CONSERVATION PROGRAM.**

(a) **IN GENERAL.**—Section 204 (16 U.S.C. 6403) is amended—

(1) by striking “Secretary, through the Administrator and” in subsection (a) and inserting “Secretary.”;

(2) by striking subsection (c) and inserting the following:

“(c) **ELIGIBILITY.**—Any natural resource management authority of a State or other government authority with jurisdiction over coral reef ecosystems, or whose activities directly or indirectly affect coral reef ecosystems, or educational or nongovernmental institutions with demonstrated expertise in the conservation of coral reef ecosystems, may submit a coral conservation proposal to the Secretary under subsection (e).”;

(3) by striking “GEOGRAPHIC AND BIOLOGICAL” in the heading for subsection (d) and inserting “PROJECT”;

(4) by striking paragraph (3) of subsection (d) and inserting the following:

“(3) Remaining funds shall be awarded for—

“(A) projects (with priority given to community-based local action strategies) that address emerging priorities or threats, including international and territorial priorities, or threats identified by the Secretary; and

“(B) other appropriate projects, as determined by the Secretary, including monitoring and assessment, research, pollution reduction, education, and technical support.”;

(5) by striking subsection (g) and inserting the following:

“(g) **CRITERIA FOR APPROVAL.**—The Secretary may not approve a project proposal under this section unless the project is consistent with the coral reef action strategy under section 203 and will enhance the conservation of coral reef ecosystems nationally or internationally by—

- “(1) implementing coral conservation programs which promote sustainable development and ensure effective, long-term conservation of coral reef ecosystems and biodiversity;

“(2) addressing the conflicts arising from the use of environments near coral reef ecosystems or from the use of corals, species associated with coral reef ecosystems, and coral products;

“(3) enhancing compliance with laws that prohibit or regulate the taking of coral products or species associated with coral reef ecosystems or regulate the use and management of coral reef ecosystems;

“(4) developing sound scientific information on the condition of coral reef ecosystems or the threats to such ecosystems and their biodiversity, including factors that cause coral disease, ocean acidification, and bleaching;

“(5) promoting and assisting the implementation of cooperative coral reef ecosystem conservation projects that involve affected local communities, nongovernmental organizations, or others in the private sector;

“(6) increasing public knowledge and awareness of coral reef ecosystems and issues regarding their long-term conservation, including how they function to protect coastal communities;

“(7) mapping the location, distribution, and biodiversity of coral reef ecosystems;

“(8) developing and implementing techniques to monitor and assess the status and condition of coral reef ecosystems and biodiversity;

“(9) developing and implementing cost-effective methods to restore degraded coral reef ecosystems and biodiversity;

“(10) responding to, or taking action to help mitigate the effects of, coral disease, ocean acidification, and bleaching events;

“(11) promoting activities designed to prevent or minimize damage to coral reef ecosystems, including the promotion of ecologically sound navigation and anchorages; or

“(12) promoting and assisting entities to work with local communities, and all appropriate governmental and nongovernmental organizations, to support community-based planning and management initiatives for the protection of coral reef systems.”; and

(6) by striking “coral reefs” in subsection (j) and inserting “coral reef ecosystems”.

(b) **CONFORMING AMENDMENTS.**—Subsections (b), (d), (e), (f), (h), (i), and (j) of section 204 (16 U.S.C. 6403) are each amended by striking “Administrator” each place it appears and inserting “Secretary”.

#### **SEC. 6. CORAL REEF CONSERVATION FUND.**

Section 205 (16 U.S.C. 6404) is amended—

(1) by striking subsection (a) and inserting the following:

“(a) **FUND.**—The Secretary may enter into agreements with nonprofit organizations promoting coral reef ecosystem conservation by authorizing such organizations to receive, hold, and administer funds received pursuant to this section. Such organizations shall invest, reinvest, and otherwise administer the funds and maintain such funds and any interest or revenues earned in a separate interest-bearing account (referred to in section 219(a) as the Fund) established by such organizations solely to support partnerships between the public and private sectors that further the purposes of this title and are consistent with the national coral reef action strategy under section 203.”;

(2) by striking “the grant program” in subsection (c) and inserting “any grant program”; and

(3) by striking “Administrator” in subsections (c) and (d) and inserting “Secretary”.

#### **SEC. 7. AGREEMENTS; REDESIGNATIONS.**

The Act (16 U.S.C. 6401 et seq.) is amended—

(1) by redesignating section 206 (16 U.S.C. 6405) as section 207;

(2) by redesignating section 207 (16 U.S.C. 6406) as section 208;

(3) by redesignating section 208 (16 U.S.C. 6407) as section 218;

(4) by redesignating section 209 (16 U.S.C. 6408) as section 219;

(5) by redesignating section 210 (16 U.S.C. 6409) as section 221; and

(6) by inserting after section 205 (16 U.S.C. 6404) the following:

#### **“SEC. 206. AGREEMENTS.**

“(a) **IN GENERAL.**—The Secretary may execute and perform such contracts, leases, grants, cooperative agreements, or other transactions as may be necessary to carry out the purposes of this title.

“(b) **COOPERATIVE AGREEMENTS.**—In addition to the general authority provided by subsection (a), the Secretary may enter into, extend, or renegotiate agreements with universities and research centers with national or regional coral reef research institutes to conduct ecological research and monitoring explicitly aimed at building capacity for more effective resource management. Pursuant to any such agreements these institutes shall—

“(1) collaborate directly with governmental resource management agencies, nonprofit organizations, and other research organizations;

“(2) build capacity within resource management agencies to establish research priorities, plan interdisciplinary research projects and make effective use of research results; and

“(3) conduct public education and awareness programs for policy makers, resource managers, and the general public on coral reef ecosystems, best practices for coral reef and ecosystem management and conservation, their value, and threats to their sustainability.

“(c) **USE OF OTHER AGENCIES’ RESOURCES.**—For purposes related to the conservation, preservation, protection, restoration, or replacement of coral reefs or coral reef ecosystems and the enforcement of this title, the Secretary is authorized to use, with their consent and with or without reimbursement, the land, services, equipment, personnel, and facilities of any Department, agency, or instrumentality of the United States, or of any State, local government, tribal government, Territory or possession, or of any political subdivision thereof, or of any foreign government or international organization.

“(d) **AUTHORITY TO UTILIZE GRANT FUNDS.**—

“(1) Except as provided in paragraph (2), the Secretary may apply for, accept, and obligate research grant funding from any Federal source operating competitive grant programs where such funding furthers the purpose of this title.

“(2) The Secretary may not apply for, accept, or obligate any grant funding under paragraph (1) for which the granting agency lacks authority to grant funds to Federal agencies, or for any purpose or subject to conditions that are prohibited by law or regulation.

“(3) Appropriated funds may be used to satisfy a requirement to match grant funds with recipient agency funds, except that no grant may be accepted that requires a commitment in advance of appropriations.

“(4) Funds received from grants shall be deposited in the National Oceanic and Atmospheric Administration account for the purpose for which the grant was awarded.

“(e) **TRANSFER OF FUNDS.**—Under an agreement entered into pursuant to subsection (a), and subject to the availability of funds, the Secretary may transfer funds to, and may accept transfers of funds from, Federal agencies, instrumentalities and laboratories,

State and local governments, Indian tribes (as defined in section 4 of the Indian Self-Determination and Educational Assistance Act (25 U.S.C. 450(b)), organizations and associations representing Native Americans, native Hawaiians, and Native Pacific Islanders, educational institutions, nonprofit organizations, commercial organizations, and other public and private persons or entities, except that no more than 5 percent of funds appropriated to carry out this section may be transferred. The 5 percent limitation shall not apply to section 204 or section 210.”.

#### **SEC. 8. EMERGENCY ASSISTANCE.**

Section 207 (formerly 16 U.S.C. 6405), as redesignated by section 7 of this Act, is amended to read as follows:

#### **“SEC. 207. EMERGENCY ASSISTANCE.**

“The Secretary, in cooperation with the Federal Emergency Management Agency, as appropriate, may provide assistance to any State, local, or territorial government agency with jurisdiction over coral reef ecosystems to address any unforeseen or disaster-related circumstance pertaining to coral reef ecosystems.”.

#### **SEC. 9. NATIONAL PROGRAM.**

Section 208 (formerly 16 U.S.C. 6406), as redesignated by section 7 of this Act, is amended to read as follows:

#### **“SEC. 208. NATIONAL PROGRAM.**

“(a) **IN GENERAL.**—Subject to the availability of appropriations, the Secretary may conduct activities, including with local, State, regional, or international programs and partners, as appropriate, to conserve coral reef ecosystems, that are consistent with this title, the National Marine Sanctuaries Act, the Coastal Zone Management Act of 1972, the Magnuson-Stevens Fishery Conservation and Management Act, the Endangered Species Act of 1973, and the Marine Mammal Protection Act of 1972.

“(b) **AUTHORIZED ACTIVITIES.**—Activities authorized under subsection (a) include—

“(1) mapping, monitoring, assessment, restoration, socioeconomic and scientific research that benefit the understanding, sustainable use, biodiversity, and long-term conservation of coral reef ecosystems;

“(2) enhancing public awareness, education, understanding, and appreciation of coral reef ecosystems;

“(3) removing, and providing assistance to States in removing, abandoned fishing gear, marine debris, and abandoned vessels from coral reef ecosystems to conserve living marine resources;

“(4) responding to incidents and events that threaten and damage coral reef ecosystems;

“(5) conservation and management of coral reef ecosystems;

“(6) centrally archiving, managing, and distributing data sets and providing coral reef ecosystem assessments and services to the general public with local, regional, or international programs and partners; and

“(7) activities designed to prevent or minimize damage to coral reef ecosystems, including those activities described in section 212 of this title.

“(c) **DATA ARCHIVE, ACCESS, AND AVAILABILITY.**—The Secretary, in coordination with similar efforts at other Departments and agencies shall provide for the long-term stewardship of environmental data, products, and information via data processing, storage, and archive facilities pursuant to this title. The Secretary may—

“(1) archive environmental data collected by Federal, State, local agencies, and tribal organizations and federally funded research;

“(2) promote widespread availability and dissemination of environmental data and information through full and open access and exchange to the greatest extent possible, including in electronic format on the Internet;



“(3) develop standards, protocols, and procedures for sharing Federal data with State and local government programs and the private sector or academia; and

“(4) develop metadata standards for coral reef ecosystems in accordance with Federal Geographic Data Committee guidelines.

“(d) EMERGENCY RESPONSE, STABILIZATION, AND RESTORATION.—

“(1) ESTABLISHMENT OF ACCOUNT.—The Secretary shall establish an account (to be called the Emergency Response, Stabilization, and Restoration Account) in the Damage Assessment Restoration Revolving Fund established by the Department of Commerce Appropriations Act, 1991 (33 U.S.C. 2706 note), for implementation of this subsection for emergency actions. Amounts appropriated for the Account under section 219, and funds authorized by sections 213(d)(1)(C)(ii) and 214(f)(3)(B), shall be deposited into the Account and made available for use by the Secretary as specified in sections 213 and 214.

“(2) DEPOSIT AND INVESTMENT OF CERTAIN FUNDS.— Any amounts received by the United States pursuant to sections 213(d)(1)(C)(ii) and 212(f)(3)(B) shall be deposited into the Emergency Response, Stabilization and Restoration Account established under paragraph (1). The Secretary of Commerce may request the Secretary of the Treasury to invest such portion of the Damage Assessment Restoration Revolving Fund as is not, in the judgment of the Secretary of Commerce, required to meet the current needs of the fund. Such investments shall be made by the Secretary of the Treasury in public debt securities, with maturities suitable to the needs of the fund, as determined by the Secretary of Commerce and bearing interest at rates determined by the Secretary of the Treasury, taking into consideration current market yields on outstanding marketable obligations of the United States of comparable maturity. Interest earned by such investments shall be available for use by the Secretary without further appropriation and remain available until expended.”

#### SEC. 10. STUDY OF TRADE IN CORALS.

(a) IN GENERAL.—The Secretary of Commerce, in consultation with the Secretary of the Interior, shall conduct a study on the economic, social, and environmental values and impacts of the United States market in corals and coral products.

(b) CONTENTS.—The study shall—

(1) assess the economic and other values of the United States market in coral and coral products, including import and export trade;

(2) identify primary coral species used in the coral and coral product trade and locations of wild harvest;

(3) assess the environmental impacts associated with wild harvest of coral;

(4) assess the effectiveness of current public and private programs aimed at promoting conservation in the coral and coral product trade;

(5) identify economic and other incentives for coral reef conservation as part of the coral and coral product trade; and

(6) identify additional actions, if necessary, to ensure that the United States market in coral and coral products does not contribute to the degradation of coral reef ecosystems.

(c) REPORT.—Not later than 30 months after the date of enactment of this Act, the Secretary shall submit to the Senate Committee on Commerce, Science, and Transportation and the House of Representatives Committee on Natural Resources a report of the study.

(d) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to the Secretary to carry out this section \$100,000.

#### SEC. 11. INTERNATIONAL CORAL REEF CONSERVATION ACTIVITIES.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 208, as redesignated by section 7 of this Act, the following:

##### “SEC. 209. INTERNATIONAL CORAL REEF CONSERVATION ACTIVITIES.

“(a) INTERNATIONAL CORAL REEF CONSERVATION ACTIVITIES.—

“(1) IN GENERAL.—The Secretary shall carry out international coral reef conservation activities consistent with the purposes of this Act with respect to coral reef ecosystems in waters outside the United States jurisdiction. The Secretary shall develop and implement an international coral reef ecosystem strategy pursuant to subsection (b).

“(2) COORDINATION.—In carrying out this subsection, the Secretary shall consult with the Secretary of State, the Administrator of the Agency for International Development, the Secretary of the Interior, and other relevant Federal agencies, and relevant United States stakeholders, and shall take into account coral reef ecosystem conservation initiatives of other nations, international agreements, and intergovernmental and non-governmental organizations so as to provide effective cooperation and efficiencies in international coral reef conservation. The Secretary may consult with the Coral Reef Task Force in carrying out this subsection.

“(b) INTERNATIONAL CORAL REEF ECOSYSTEM STRATEGY.—

“(1) IN GENERAL.—Not later than 1 year after the date of enactment of the Coral Reef Conservation Amendments Act of 2011, the Secretary shall submit to the Senate Committee on Commerce, Science, and Transportation and the House of Representatives Committee on Natural Resources, and publish in the Federal Register, an international coral reef ecosystem strategy, consistent with the purposes of this Act and the national strategy required pursuant to section 203(a). The Secretary shall periodically review and revise this strategy as necessary.

“(2) CONTENTS.—The strategy developed by the Secretary under paragraph (1) shall—

“(A) identify coral reef ecosystems throughout the world that are of high value for United States marine resources, that support high-seas resources of importance to the United States such as fisheries, or that support other interests of the United States;

“(B) summarize existing activities by Federal agencies and entities described in subsection (a)(2) to address the conservation of coral reef ecosystems identified pursuant to subparagraph (A);

“(C) establish goals, objectives, and specific targets for conservation of priority international coral reef ecosystems;

“(D) describe appropriate activities to achieve the goals and targets for international coral reef conservation, in particular those that leverage activities already conducted under this Act;

“(E) develop a plan to coordinate implementation of the strategy with entities described in subsection (a)(2) in order to leverage current activities under this Act and other conservation efforts globally;

“(F) identify appropriate partnerships, grants, or other funding and technical assistance mechanisms to carry out the strategy; and

“(G) develop criteria for prioritizing partnerships under subsection (c).

“(c) INTERNATIONAL CORAL REEF ECOSYSTEM PARTNERSHIPS.—

“(1) IN GENERAL.—The Secretary shall establish an international coral reef ecosystem partnership program to provide support, including funding and technical assistance, for activities that implement the strategy developed pursuant to subsection (b).

“(2) MECHANISMS.—The Secretary shall provide such support through existing authorities, working in collaboration with the entities described in subsection (a)(2).

“(3) AGREEMENTS.—The Secretary may execute and perform such contracts, leases, grants, cooperative agreements, or other transactions as may be necessary to carry out the purposes of this section.

“(4) TRANSFER OF FUNDS.—To implement this section and subject to the availability of funds, the Secretary may transfer funds to a foreign government or international organization, and may accept transfers of funds from such entities, except that no more than 5 percent of funds appropriated to carry out this section may be transferred.

“(5) CRITERIA FOR APPROVAL.—The Secretary may not approve a partnership proposal under this section unless the partnership is consistent with the international coral reef conservation strategy developed pursuant to subsection (b), and meets the criteria specified in that strategy.”

#### SEC. 12. COMMUNITY-BASED PLANNING GRANTS.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 209, as added by section 11 of this Act, the following:

##### “SEC. 210. COMMUNITY-BASED PLANNING GRANTS.

“(a) IN GENERAL.—The Secretary may make grants to entities that have received grants under section 204 to provide additional funds to such entities to work with local communities and through appropriate Federal and State entities to prepare and implement plans for the increased protection of coral reef areas identified by the community and scientific experts as high priorities for focused attention. The plans shall—

“(1) support attainment of 1 or more of the criteria described in section 204(g);

“(2) be developed at the community level;

“(3) utilize watershed-based approaches;

“(4) provide for coordination with Federal and State experts and managers; and

“(5) build upon local approaches, strategies, or models, including traditional or island-based resource management concepts.

“(b) TERMS AND CONDITIONS.—The provisions of subsections (b), (d), (f), and (h) of section 204 apply to grants under subsection (a), except that, for the purpose of applying section 204(b)(1) to grants under this section, ‘75 percent’ shall be substituted for ‘50 percent’.”

#### SEC. 13. VESSEL GROUNDING INVENTORY.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 210, as added by section 12 of this Act, the following:

##### “SEC. 211. VESSEL GROUNDING INVENTORY.

“(a) IN GENERAL.—The Secretary may maintain an inventory of all vessel grounding incidents involving coral reefs, including a description of—

“(1) the impacts to affected coral reef ecosystems;

“(2) vessel and ownership information, if available;

“(3) the estimated cost of removal, mitigation, or restoration;

“(4) the response action taken by the owner, the Secretary, the Commandant of the Coast Guard, or other Federal or State agency representatives;

“(5) the status of the response action, including the dates of vessel removal and mitigation or restoration and any actions taken to prevent future grounding incidents; and

“(6) recommendations for additional navigational aids or other mechanisms for preventing future grounding incidents.

“(b) IDENTIFICATION OF AT-RISK REEFS.—The Secretary may—

“(1) use information from any inventory maintained under subsection (a) or any other available information source to identify

coral reef ecosystems that have a high incidence of vessel impacts, including groundings and anchor damage;

“(2) identify appropriate measures, including the acquisition and placement of aids to navigation, moorings, designated anchorage areas, fixed anchors and other devices, to reduce the likelihood of such impacts; and

“(3) develop a strategy and timetable to implement such measures, including cooperative actions with other government agencies and non-governmental partners.”.

#### SEC. 14. PROHIBITED ACTIVITIES.

(a) IN GENERAL.—The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 211, as added by section 13 of this Act, the following:

#### “SEC. 212. PROHIBITED ACTIVITIES AND SCOPE OF PROHIBITIONS.

“(a) PROVISIONS AS COMPLEMENTARY.—The provisions of this section are in addition to, and shall not affect the operation of, other Federal, State, or local laws or regulations providing protection to coral reef ecosystems.

“(b) DESTRUCTION, LOSS, TAKING, OR INJURY.—

“(1) IN GENERAL.—Except as provided in paragraph (2), it is unlawful for any person to destroy, take, cause the loss of, or injure any coral reef or any component thereof.

“(2) EXCEPTIONS.—The destruction, loss, taking, or injury of a coral reef or any component thereof is not unlawful if it—

“(A) was caused by the use of fishing gear used in a manner permitted under the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801 et seq.) or other Federal or State law;

“(B) was caused by an activity that is authorized or allowed by Federal or State law (including lawful discharges from vessels, such as graywater, cooling water, engine exhaust, ballast water, or sewage from marine sanitation devices), unless the destruction, loss, or injury resulted from actions such as vessel groundings, vessel scrapings, anchor damage, excavation not authorized by Federal or State permit, or other similar activities;

“(C) was the necessary result of bona fide marine scientific research (including marine scientific research activities approved by Federal, State, or local permits), other than excessive sampling or collecting, or actions such as vessel groundings, vessel scrapings, anchor damage, excavation, or other similar activities;

“(D) was caused by a Federal Government agency—

“(i) during—

“(I) an emergency that posed an unacceptable threat to human health or safety or to the marine environment;

“(II) an emergency that posed a threat to national security; or

“(III) an activity necessary for law enforcement or search and rescue; and could not reasonably be avoided; or

“(E) was caused by an action taken by the master of the vessel in an emergency situation to ensure the safety of the vessel or to save a life at sea.

“(c) INTERFERENCE WITH ENFORCEMENT.—It is unlawful for any person to interfere with the enforcement of this title by—

“(1) refusing to permit any officer authorized to enforce this title to board a vessel (other than a vessel operated by the Department of Defense or United States Coast Guard) subject to such person’s control for the purposes of conducting any search or inspection in connection with the enforcement of this title;

“(2) resisting, opposing, impeding, intimidating, harassing, bribing, interfering with, or forcibly assaulting any person authorized

by the Secretary to implement this title or any such authorized officer in the conduct of any search or inspection performed under this title; or

“(3) submitting false information to the Secretary or any officer authorized to enforce this title in connection with any search or inspection conducted under this title.

“(d) VIOLATIONS OF TITLE, PERMIT, OR REGULATION.—It is unlawful for any person to violate any provision of this title, any permit issued pursuant to this title, or any regulation promulgated pursuant to this title.

“(e) POSSESSION AND DISTRIBUTION.—It is unlawful for any person to possess, sell, deliver, carry, transport, or ship by any means any coral taken in violation of this title.”.

(b) EMERGENCY ACTION REGULATIONS.—The Secretary of Commerce shall initiate a rulemaking proceeding to prescribe the circumstances and conditions under which the exception in section 212(b)(2)(E) of the Coral Reef Conservation Act of 2000, as amended by subsection (a), applies and shall issue a final rule pursuant to that rulemaking as soon as practicable but not later than 1 year after the date of enactment of this Act. Nothing in this subsection shall be construed to require the issuance of such regulations before the exception provided by that section is in effect.

#### SEC. 15. DESTRUCTION OF CORAL REEFS.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 212, as added by section 14 of this Act, the following:

#### “SEC. 213. DESTRUCTION, LOSS, OR TAKING OF, OR INJURY TO, CORAL REEFS.

“(a) LIABILITY.—

“(1) LIABILITY TO THE UNITED STATES.—Except as provided in subsection (f), all persons who engage in an activity that is prohibited under subsections (b) or (d) of section 212, or create an imminent risk thereof, are liable, jointly and severally, to the United States for an amount equal to the sum of—

“(A) response costs and damages resulting from the destruction, loss, taking, or injury, or imminent risk thereof, including damages resulting from the response actions;

“(B) costs of seizure, forfeiture, storage, and disposal arising from liability under this section; and

“(C) interest on that amount calculated in the manner described in section 1005 of the Oil Pollution Act of 1990 (33 U.S.C. 2705).

“(2) LIABILITY IN REM.—

“(A) Any vessel used in an activity that is prohibited under subsection (b) or (d) of section 212, or creates an imminent risk thereof, shall be liable in rem to the United States for an amount equal to the sum of—

“(i) response costs and damages resulting from such destruction, loss, or injury, or imminent risk thereof, including damages resulting from the response actions;

“(ii) costs of seizure, forfeiture, storage, and disposal arising from liability under this section; and

“(iii) interest on that amount calculated in the manner described in section 1005 of the Oil Pollution Act of 1990 (33 U.S.C. 2705).

“(B) The amount of liability shall constitute a maritime lien on the vessel and may be recovered in an action in rem in any district court of the United States that has jurisdiction over the vessel.

“(3) DEFENSES.—A person or vessel is not liable under this subsection if that person or vessel establishes that the destruction, loss, taking, or injury was caused solely by an act of God, an act of war, or an act or omission of a third party (other than an employee or agent of the defendant or one whose act or omission occurs in connection with a contractual relationship, existing directly or indirectly with the defendant), and the person or master of the vessel acted with due care.

“(4) NO LIMIT TO LIABILITY.—Nothing in sections 30501 through 30512 or section 30706 of title 46, United States Code, shall limit liability to any person under this title.

“(b) RESPONSE ACTIONS AND DAMAGE ASSESSMENT.—

“(1) RESPONSE ACTIONS.—The Secretary may undertake or authorize all necessary actions to prevent or minimize the destruction, loss, or taking of, or injury to, coral reefs, or components thereof, or to minimize the risk or imminent risk of such destruction, loss, or injury.

“(2) DAMAGE ASSESSMENT.—

“(A) The Secretary shall assess damages (as defined in section 221(8)) to coral reefs and shall consult with State officials regarding response and damage assessment actions undertaken for coral reefs within State waters.

“(B) There shall be no double recovery under this chapter for coral reef damages, including the cost of damage assessment, for the same incident.

“(c) COMMENCEMENT OF CIVIL ACTION FOR RESPONSE COSTS AND DAMAGES.—

“(1) COMMENCEMENT.—The Attorney General, upon the request of the Secretary, may commence a civil action against any person or vessel that may be liable under subsection (a) of this section for response costs, seizure, forfeiture, storage, or disposal costs, and damages, and interest on that amount calculated in the manner described in section 1005 of the Oil Pollution Act of 1990 (33 U.S.C. 2705). The Secretary, acting as trustee for coral reefs for the United States, shall submit a request for such an action to the Attorney General whenever a person or vessel may be liable for such costs or damages.

“(2) VENUE IN CIVIL ACTIONS.—A civil action under this title may be brought in the United States district court for any district in which—

“(A) the defendant is located, resides, or is doing business, in the case of an action against a person;

“(B) the vessel is located, in the case of an action against a vessel;

“(C) the destruction, loss, or taking of, or injury to a coral reef, or component thereof, occurred or in which there is an imminent risk of such destruction, loss, or injury; or

“(D) where some or all of the coral reef or component thereof that is the subject of the action is not within the territory covered by any United States district court, such action may be brought either in the United States district court for the district closest to the location where the destruction, loss, injury, or risk of injury occurred, or in the United States District Court for the District of Columbia.

“(d) USE OF RECOVERED AMOUNTS.—

“(1) IN GENERAL.—Any costs, including response costs and damages recovered by the Secretary under this section shall—

“(A) be deposited into an account or accounts in the Damage Assessment Restoration Revolving Fund established by the Department of Commerce Appropriations Act, 1991 (33 U.S.C. 2706 note), or the Natural Resource Damage Assessment and Restoration Fund established by the Department of the Interior and Related Agencies Appropriations Act, 1992 (43 U.S.C. 1474b), as appropriate given the location of the violation;

“(B) be available for use by the Secretary without further appropriation and remain available until expended; and

“(C) be for use, as the Secretary considers appropriate—

“(i) to reimburse the Secretary or any other Federal or State agency that conducted activities under subsection (a) or (b) of this section for costs incurred in conducting the activity;

“(ii) to be transferred to the Emergency Response, Stabilization and Restoration Account established under section 208(d) to reimburse that account for amounts used for authorized emergency actions; and

“(iii) after reimbursement of such costs, to restore, replace, or acquire the equivalent of any coral reefs, or components thereof, including the reasonable costs of monitoring, or to minimize or prevent threats of equivalent injury to, or destruction of coral reefs, or components thereof.

“(2) RESTORATION CONSIDERATIONS.—In development of restoration alternatives under paragraph (1)(C), the Secretary shall consider State and territorial preferences and, if appropriate, shall prioritize restoration projects with geographic and ecological linkages to the injured resources.

“(e) STATUTE OF LIMITATIONS.—An action for response costs or damages under subsection (c) shall be barred unless the complaint is filed within 3 years after the date on which the Secretary completes a damage assessment and restoration plan for the coral reefs, or components thereof, to which the action relates.

“(f) FEDERAL GOVERNMENT ACTIVITIES.—In the event of threatened or actual destruction of, loss of, or injury to a coral reef or component thereof resulting from an incident caused by a component of any Department or agency of the United States Government, the cognizant Department or agency shall satisfy its obligations under this section by promptly, in coordination with the Secretary, taking appropriate actions to respond to and mitigate the harm and restoring or replacing the coral reef or components thereof and reimbursing the Secretary for all assessment costs.

“(g) UNIFORMED SERVICE OFFICERS AND EMPLOYEES.—No officer or employee of a uniformed service (as defined in section 101 of title 10, United States Code) shall be held liable under this section, either in such officer's or employee's personal or official capacity, for any violation of section 212 occurring during the performance of the officer's or employee's official governmental duties.

“(h) CONTRACT EMPLOYEES.—No contract employee of a uniformed service (as so defined), serving as vessel master or crew member, shall be liable under this section for any violation of section 212 if that contract employee—

“(1) is acting as a contract employee of a uniformed service under the terms of an operating contract for a vessel owned by a uniformed service, or a time charter for pre-positioned vessels, special mission vessels, or vessels exclusively transporting military supplies and materials; and

“(2) is engaged in an action or actions over which such employee has been given no discretion (e.g., anchoring or mooring at one or more designated anchorages or buoys, or executing specific operational elements of a special mission activity), as determined by the uniformed service controlling the contract.”.

#### SEC. 16. ENFORCEMENT.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 213, as added by section 15 of this Act, the following:

#### “SEC. 214. ENFORCEMENT.

“(a) IN GENERAL.—The Secretary shall conduct enforcement activities to carry out this title.

“(b) POWERS OF AUTHORIZED OFFICERS.—

“(1) IN GENERAL.—Any person who is authorized to enforce this title may—

“(A) board, search, inspect, and seize any vessel or other conveyance suspected of being used to violate this title, any regulation promulgated under this title, or any permit issued under this title, and any equipment, stores, and cargo of such vessel, except

that such authority shall not exist with respect to vessels owned or time chartered by a uniformed service (as defined in section 101 of title 10, United States Code) as warships or naval auxiliaries;

“(B) seize wherever found any component of coral reef taken or retained in violation of this title, any regulation promulgated under this title, or any permit issued under this title;

“(C) seize any evidence of a violation of this title, any regulation promulgated under this title, or any permit issued under this title;

“(D) execute any warrant or other process issued by any court of competent jurisdiction;

“(E) exercise any other lawful authority; and

“(F) arrest any person, if there is reasonable cause to believe that such person has committed an act prohibited by section 212.

“(2) NAVAL AUXILIARY DEFINED.—In this subsection, the term ‘naval auxiliary’ means a vessel, other than a warship, that is owned by or under the exclusive control of a uniformed service and used at the time of the destruction, take, loss or injury for government, non-commercial service, including combat logistics force vessels, pre-positioned vessels, special mission vessels, or vessels exclusively used to transport military supplies and materials.

#### “(c) CIVIL ENFORCEMENT AND PERMIT SANCTIONS.—

“(1) CIVIL ADMINISTRATIVE PENALTY.—Any person subject to the jurisdiction of the United States who violates this title or any regulation promulgated or permit issued hereunder, shall be liable to the United States for a civil administrative penalty of not more than \$200,000 for each such violation, to be assessed by the Secretary. Each day of a continuing violation shall constitute a separate violation. In determining the amount of civil administrative penalty, the Secretary shall take into account the nature, circumstances, extent, and gravity of the prohibited acts committed and, with respect to the violator, the degree of culpability, and any history of prior violations, and such other matters as justice may require. In assessing such penalty, the Secretary may also consider information related to the ability of the violator to pay.

“(2) PERMIT SANCTIONS.—For any person subject to the jurisdiction of the United States who has been issued or has applied for a permit under this title, and who violates this title or any regulation or permit issued under this title, the Secretary may deny, suspend, amend, or revoke in whole or in part any such permit. For any person who has failed to pay or defaulted on a payment agreement of any civil penalty or criminal fine or liability assessed pursuant to any natural resource law administered by the Secretary, the Secretary may deny, suspend, amend or revoke in whole or in part any permit issued or applied for under this title.

“(3) IMPOSITION OF CIVIL JUDICIAL PENALTIES.—Any person who violates any provision of this title, any regulation promulgated or permit issued thereunder, shall be subject to a civil judicial penalty not to exceed \$250,000 for each such violation. Each day of a continuing violation shall constitute a separate violation. The Attorney General, upon the request of the Secretary, may commence a civil action in an appropriate district court of the United States, and such court shall have jurisdiction to award civil penalties and such other relief as justice may require. In determining the amount of a civil penalty, the court shall take into account the nature, circumstances, extent, and gravity of the prohibited acts committed and, with respect to the violator,

the degree of culpability, any history of prior violations, and such other matters as justice may require. In imposing such penalty, the district court may also consider information related to the ability of the violator to pay.

“(4) NOTICE.—No penalty or permit sanction shall be assessed under this subsection until after the person charged has been given notice and an opportunity for a hearing.

“(5) IN REM JURISDICTION.—A vessel used in violating this title, any regulation promulgated under this title, or any permit issued under this title, shall be liable in rem for any civil penalty assessed for such violation. Such penalty shall constitute a maritime lien on the vessel and may be recovered in an action in rem in the district court of the United States having jurisdiction over the vessel.

“(6) COLLECTION OF PENALTIES.—If any person fails to pay an assessment of a civil penalty under this section after it has become a final and unappealable order, or after the appropriate court has entered final judgment in favor of the Secretary, the Secretary shall refer the matter to the Attorney General, who shall recover the amount assessed in any appropriate district court of the United States (plus interest at current prevailing rates from the date of the final order). In such action, the validity and appropriateness of the final order imposing the civil penalty shall not be subject to review. Any person who fails to pay, on a timely basis, the amount of an assessment of a civil penalty shall be required to pay, in addition to such amount and interest, attorney's fees and costs for collection proceedings and a quarterly nonpayment penalty for each quarter during which such failure to pay persists. Such nonpayment penalty shall be in an amount equal to 20 percent of the aggregate amount of such person's penalties and nonpayment penalties that are unpaid as of the beginning of such quarter.

“(7) COMPROMISE OR OTHER ACTION BY SECRETARY.—The Secretary may compromise, modify, or remit, with or without conditions, any civil administrative penalty or permit sanction which is or may be imposed under this section and that has not been referred to the Attorney General for further enforcement action.

“(8) JURISDICTION.—The several district courts of the United States shall have jurisdiction over any actions brought by the United States arising under this section. For the purpose of this section, American Samoa shall be included within the judicial district of the District Court of the United States for the District of Hawaii. Each violation shall be a separate offense and the offense shall be deemed to have been committed not only in the district where the violation first occurred, but also in any other district as authorized by law.

“(d) FORFEITURE.—

“(1) CRIMINAL FORFEITURE.—A person who is convicted of an offense in violation of this title shall forfeit to the United States—

“(A) any property, real or personal, constituting or traceable to the gross proceeds taken, obtained, or retained, in connection with or as a result of the offense, including, without limitation, any coral reef or coral reef component (or the fair market value thereof); and

“(B) any property, real or personal, used or intended to be used, in any manner, to commit or facilitate the commission of the offense, including, without limitation, any vessel (including the vessel's equipment, stores, catch and cargo), vehicle, aircraft, or other means of transportation.

Pursuant to section 2461(c) of title 28, United States Code, the provisions of section 413 of

the Controlled Substances Act (21 U.S.C. 853) other than subsection (d) thereof shall apply to criminal forfeitures under this section.

“(2) CIVIL FORFEITURE.—The property set forth below shall be subject to forfeiture to the United States in accordance with the provisions of chapter 46 of title 18, United States Code, and no property right shall exist in it:

“(A) Any property, real or personal, constituting or traceable to the gross proceeds taken, obtained, or retained, in connection with or as a result of a violation of this title, including, without limitation, any coral reef or coral reef component (or the fair market value thereof).

“(B) Any property, real or personal, used or intended to be used, in any manner, to commit or facilitate the commission of a violation of this title, including, without limitation, any vessel (including the vessel's equipment, stores, catch and cargo), vehicle, aircraft, or other means of transportation.

“(3) APPLICATION OF THE CUSTOMS LAWS.—All provisions of law relating to seizure, summary judgment, and judicial forfeiture and condemnation for violation of the customs laws, the disposition of the property forfeited or condemned or the proceeds from the sale thereof, the remission or mitigation of such forfeitures, and the compromise of claims shall apply to seizures and forfeitures incurred, or alleged to have been incurred, under the provisions of this title, insofar as applicable and not inconsistent with the provisions hereof. For seizures and forfeitures of property under this section by the Secretary, such duties as are imposed upon the customs officer or any other person with respect to the seizure and forfeiture of property under the customs law may be performed by such officers as are designated by the Secretary or, upon request of the Secretary, by any other agency that has authority to manage and dispose of seized property.

“(4) PRESUMPTION.—For the purposes of this section there is a rebuttable presumption that all coral reefs, or components thereof, found on board a vessel that is used or seized in connection with a violation of this title or of any regulation promulgated under this title were taken, obtained, or retained in violation of this title or of a regulation promulgated under this title.

“(e) PAYMENT OF STORAGE, CARE, AND OTHER COSTS.—Any person assessed a civil penalty for a violation of this title or of any regulation promulgated under this title and any claimant in a forfeiture action brought for such a violation, shall be liable for the reasonable costs incurred by the Secretary in storage, care, and maintenance of any property seized in connection with the violation.

“(f) EXPENDITURES.—

“(1) Notwithstanding section 3302 of title 31, United States Code, or section 311 of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1861), amounts received by the United States as civil penalties under subsection (c) of this section, forfeitures of property under subsection (d) of this section, and costs imposed under subsection (e) of this section, shall—

“(A) be placed into an account;

“(B) be available for use by the Secretary without further appropriation; and

“(C) remain available until expended.

“(2) Amounts received under this section for forfeitures under subsection (d) and costs imposed under subsection (e) shall be used to pay the reasonable and necessary costs incurred by the Secretary to provide temporary storage, care, maintenance, and disposal of any property seized in connection with a violation of this title or any regulation promulgated under this title.

“(3) Amounts received under this section as civil penalties under subsection (c) of this section and any amounts remaining after the operation of paragraph (2) of this subsection shall—

“(A) be used to stabilize, restore, or otherwise manage the coral reef with respect to which the violation occurred that resulted in the penalty or forfeiture;

“(B) be transferred to the Emergency Response, Stabilization, and Restoration Account established under section 208(d) or an account described in section 213(d)(1) of this title, to reimburse such account for amounts used for authorized emergency actions;

“(C) be used to conduct monitoring and enforcement activities;

“(D) be used to conduct research on techniques to stabilize and restore coral reefs;

“(E) be used to conduct activities that prevent or reduce the likelihood of future damage to coral reefs;

“(F) be used to stabilize, restore or otherwise manage any other coral reef; or

“(G) be used to pay a reward to any person who furnishes information leading to an assessment of a civil penalty, or to a forfeiture of property, for a violation of this title or any regulation promulgated under this title.

“(g) CRIMINAL ENFORCEMENT.—

“(1) Any person (other than a foreign government or any entity of such government) who knowingly commits any act prohibited by section 212(c) of this title shall be imprisoned for not more than 5 years and shall be fined not more than \$500,000 for individuals or \$1,000,000 for an organization; except that if in the commission of any such offense the individual uses a dangerous weapon, engages in conduct that causes bodily injury to any officer authorized to enforce the provisions of this title, or places any such officer in fear of imminent bodily injury, the maximum term of imprisonment is not more than 10 years.

“(2) Any person (other than a foreign government or any entity of such government) who knowingly violates subsection (b), (d), or (e) of section 212 shall be fined under title 18, United States Code, or imprisoned not more than 5 years or both.

“(3) Any person (other than a foreign government or any entity of such government) who violates subsection (b), (d), or (e) of section 212, and who, in the exercise of due care should know that such person's conduct violates subsection (b), (d), or (e) of section 212, shall be fined under title 18, United States Code, or imprisoned not more than 1 year, or both.

“(4) The several district courts of the United States shall have jurisdiction over any actions brought by the United States arising under this subsection. For the purpose of this subsection, American Samoa shall be included within the judicial district of the District Court of the United States for the District of Hawaii. Each violation shall be a separate offense and the offense shall be deemed to have been committed not only in the district where the violation first occurred, but also in any other district as authorized by law. Any offenses not committed in any district are subject to the venue provisions of section 3238 of title 18, United States Code.

“(h) SUBPOENAS.—In the case of any investigation or hearing under this section or any other natural resource statute administered by the National Oceanic and Atmospheric Administration which is determined on the record in accordance with the procedures provided for under section 554 of title 5, United States Code, the Secretary may issue subpoenas for the attendance and testimony of witnesses and the production of relevant papers, books, electronic files, and documents, and may administer oaths.

“(i) COAST GUARD AUTHORITY NOT LIMITED.—Nothing in this section shall be considered to limit the authority of the Coast Guard to enforce this or any other Federal law under section 89 of title 14, United States Code.

“(j) INJUNCTIVE RELIEF.—

“(1) If the Secretary determines that there is an imminent risk of destruction or loss of or injury to a coral reef, or that there has been actual destruction or loss of, or injury to, a coral reef which may give rise to liability under section 213 of this title, the Attorney General, upon request of the Secretary, shall seek to obtain such relief as may be necessary to abate such risk or actual destruction, loss, or injury, or to restore or replace the coral reef, or both. The district courts of the United States shall have jurisdiction in such a case to order such relief as the public interest and the equities of the case may require.

“(2) Upon the request of the Secretary, the Attorney General may seek to enjoin any person who is alleged to be in violation of any provision of this title, or any regulation or permit issued under this title, and the district courts shall have jurisdiction to grant such relief.

“(k) AREA OF APPLICATION AND ENFORCEABILITY.—The area of application and enforceability of this title includes the internal waters of the United States, the territorial sea of the United States, as described in Presidential Proclamation 5928 of December 27, 1988, the Exclusive Economic Zone of the United States as described in Presidential Proclamation 5030 of March 10, 1983, and the continental shelf, consistent with international law.

“(l) NATIONWIDE SERVICE OF PROCESS.—In any action by the United States under this title, process may be served in any district where the defendant is found, resides, transacts business, or has appointed an agent for the service of process, and for civil cases may also be served in a place not within the United States in accordance with rule 4 of the Federal Rules of Civil Procedure.

“(m) VENUE IN CIVIL ACTIONS.—A civil action under this title may be brought in the United States district court for any district in which—

“(1) the defendant is located, resides, or is doing business, in the case of an action against a person;

“(2) the vessel is located, in the case of an action against a vessel;

“(3) the destruction of, loss of, or injury to a coral reef, or component thereof, occurred or in which there is an imminent risk of such destruction, loss, or injury; or

“(4) where some or all of the coral reef or component thereof that is the subject of the action is not within the territory covered by any United States district court, such action may be brought either in the United States district court for the district closest to the location where the destruction, loss, injury, or risk of injury occurred, or in the United States District Court for the District of Columbia.

“(n) UNIFORMED SERVICE OFFICERS AND EMPLOYEES.—No officer or employee of a uniformed service (as defined in section 101 of title 10, United States Code) shall be held liable under this section, either in such officer's or employee's personal or official capacity, for any violation of section 212 occurring during the performance of the officer's or employee's official governmental duties.

“(o) CONTRACT EMPLOYEES.—No contract employee of a uniformed service (as so defined), serving as vessel master or crew member, shall be liable under this section for any violation of section 212 if that contract employee—

“(1) is acting as a contract employee of a uniformed service under the terms of an operating contract for a vessel owned by a uniformed service, or a time charter for pre-positioned vessels, special mission vessels, or vessels exclusively transporting military supplies and materials; and

“(2) is engaged in an action or actions over which such employee has been given no discretion (e.g., anchoring or mooring at one or more designated anchorages or buoys, or executing specific operational elements of a special mission activity), as determined by the uniformed service controlling the contract.”

#### SEC. 17. PERMITS.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 214, as added by section 16 of this Act, the following:

##### “SEC. 215. PERMITS.

“(a) IN GENERAL.—The Secretary may allow for the conduct of—

“(1) bona fide research, and

“(2) activities that would otherwise be prohibited by this title or regulations issued thereunder,

through issuance of coral reef conservation permits in accordance with regulations issued under this title.

“(b) LIMITATION OF NON-RESEARCH ACTIVITIES.—The Secretary may not issue a permit for activities other than for bona fide research unless the Secretary finds—

“(1) the activity proposed to be conducted is compatible with one or more of the purposes in section 202(b) of this title;

“(2) the activity conforms to the provisions of all other laws and regulations applicable to the area for which such permit is to be issued; and

“(3) there is no practicable alternative to conducting the activity in a manner that destroys, causes the loss of, or injures any coral reef or any component thereof.

“(c) TERMS AND CONDITIONS.—The Secretary may place any terms and conditions on a permit issued under this section that the Secretary deems reasonable.

“(d) FEES.—

“(1) ASSESSMENT AND COLLECTION.—Subject to regulations issued under this title, the Secretary may assess and collect fees as specified in this subsection.

“(2) AMOUNT.—Any fee assessed shall be equal to the sum of—

“(A) all costs incurred, or expected to be incurred, by the Secretary in processing the permit application, including indirect costs; and

“(B) if the permit is approved, all costs incurred, or expected to be incurred, by the Secretary as a direct result of the conduct of the activity for which the permit is issued, including costs of monitoring the conduct of the activity and educating the public about the activity and coral reef resources related to the activity.

“(3) USE OF FEES.—Amounts collected by the Secretary in the form of fees under this section shall be collected and available for use only to the extent provided in advance in appropriations Acts and may be used by the Secretary for issuing and administering permits under this section.

“(4) WAIVER OR REDUCTION OF FEES.—For any fee assessed under paragraph (2) of this subsection, the Secretary may—

“(A) accept in-kind contributions in lieu of a fee; or

“(B) waive or reduce the fee.

“(e) FISHING.—Nothing in this section shall be considered to require a person to obtain a permit under this section for the conduct of any fishing activities not prohibited by this title or regulations issued thereunder.”

#### SEC. 18. REGIONAL, STATE, AND TERRITORIAL COORDINATION.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 215, as added by section 17 of this Act, the following:

##### “SEC. 216. REGIONAL, STATE, AND TERRITORIAL COORDINATION.

“(a) REGIONAL COORDINATION.—The Secretary and other Federal members of the Coral Reef Task Force shall work in coordination and collaboration with other Federal agencies, States, and United States territorial governments to implement the strategies developed under section 203, including regional and local strategies, to address multiple threats to coral reefs and coral reef ecosystems.

“(b) RESPONSE AND RESTORATION ACTIVITIES.—The Secretary shall enter into written agreements with any States in which coral reefs are located regarding the manner in which response and restoration activities will be conducted within the affected State’s waters. Nothing in this subsection shall be construed to limit Federal response and restoration activity authority before any such agreement is final.

“(c) COOPERATIVE ENFORCEMENT AGREEMENTS.—All cooperative enforcement agreements in place between the Secretary and States affected by this title shall be updated to include enforcement of this title where appropriate.”

##### SEC. 19. REGULATIONS.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 216, as added by section 18, the following:

##### “SEC. 217. REGULATIONS.

“The Secretary may issue such regulations as are necessary and appropriate to carry out the purposes of this title. This title and any regulations promulgated under this title shall be applied in accordance with international law. No restrictions shall apply to or be enforced against a person who is not a citizen, national, or resident alien of the United States (including foreign flag vessels) unless in accordance with international law.”

##### SEC. 20. EFFECTIVENESS AND ASSESSMENT REPORT.

Section 218 (formerly 16 U.S.C. 6407), as redesignated by section 7 of this Act, is amended to read as follows:

##### “SEC. 218. EFFECTIVENESS AND ASSESSMENT REPORT.

“(a) EFFECTIVENESS REPORT.—Not later than March 1, 2010, and every 3 years thereafter, the Secretary shall submit to the Senate Committee on Commerce, Science, and Transportation and the House of Representatives Committee on Natural Resources a report describing all activities undertaken to implement the strategy, including—

“(1) a description of the funds obligated by each participating Federal agency to advance coral reef conservation during each of the 3 fiscal years next preceding the fiscal year in which the report is submitted;

“(2) a description of Federal interagency and cooperative efforts with States and United States territories to prevent or address overharvesting, coastal runoff, or other anthropogenic impacts on coral reefs, including projects undertaken with the Department of Interior, Department of Agriculture, the Environmental Protection Agency, and the United States Army Corps of Engineers;

“(3) a summary of the information contained in the vessel grounding inventory established under section 210, including additional authorization or funding, needed for response and removal of such vessels; and

“(4) a description of Federal disaster response actions taken pursuant to the National Response Plan to address damage to coral reefs and coral reef ecosystems.

“(b) ASSESSMENT REPORT.—Not later than March 1, 2013, and every 5 years thereafter, the Secretary will submit to the Senate Committee on Commerce, Science, and Transportation and the House of Representatives Committee on Natural Resources an assessment of the conditions of U.S. coral reefs, accomplishments under this Act, and the effectiveness of management actions to address threats to coral reefs.”

##### SEC. 21. AUTHORIZATION OF APPROPRIATIONS.

Section 219 (formerly 16 U.S.C. 6408), as redesignated by section 7 of this Act, is amended—

(1) by striking “\$16,000,000 for each of fiscal years 2001, 2002, 2003, and 2004,” in subsection (a) and inserting “\$34,000,000 for fiscal year 2012, \$36,000,000 for fiscal year 2013, \$38,000,000 for fiscal year 2014, and \$40,000,000 for each of fiscal years 2015 through 2016, of which no less than 24 percent per year (for each of fiscal years 2012 through 2016) shall be used for the grant program under section 204, no less than 6 percent shall be used for Fishery Management Councils, and up to 10 percent per year shall be used for the Fund established under section 205(a);”

(2) by striking “\$1,000,000” in subsection (b) and inserting “\$2,000,000”;

(3) by striking subsection (c) and inserting the following:

“(c) COMMUNITY-BASED PLANNING GRANTS.—There are authorized to be appropriated to the Secretary to carry out section 210 \$10,000,000 for fiscal years 2012 through 2016, to remain available until expended.”;

(4) by striking subsection (d) and inserting the following:

“(d) INTERNATIONAL CORAL REEF CONSERVATION PROGRAM.—There are authorized to be appropriated to the Secretary to carry out section 209 \$8,000,000 for each of fiscal years 2012 through 2016, to remain available until expended.”

##### SEC. 22. JUDICIAL REVIEW.

The Act (16 U.S.C. 6401 et seq.) is amended by inserting after section 219, as redesignated by section 7 of this Act, the following:

##### “SEC. 220. JUDICIAL REVIEW.

“(a) IN GENERAL.—Chapter 7 of title 5, United States Code, is not applicable to any action taken by the Secretary under this title, except that—

“(1) review of any final agency action of the Secretary taken pursuant to sections 214(c)(1) and 214(c)(2) may be had only by the filing of a complaint by an interested person in the United States District Court for the appropriate district; any such complaint must be filed within 30 days of the date such final agency action is taken; and

“(2) review of any final agency action of the Secretary taken pursuant to section 215 may be had by the filing of a petition for review by an interested person in the Circuit Court of Appeals of the United States for the federal judicial district in which such person resides or transact business which is directly affected by the action taken; such petition shall be filed within 120 days from the date such final agency action is taken.

“(b) NO REVIEW IN ENFORCEMENT PROCEEDINGS.—Final agency action with respect to which review could have been obtained under subsection (a)(2) shall not be subject to judicial review in any civil or criminal proceeding for enforcement.

“(c) COST OF LITIGATION.—In any judicial proceeding under subsection (a), the court may award costs of litigation (including reasonable attorney and expert witness fees) to any prevailing party whenever it determines that such award is appropriate.”

##### SEC. 23. DEFINITIONS.

Section 221 (formerly 16 U.S.C. 6409), as redesignated by section 7 of this Act, is amended to read as follows:

**“SEC. 221. DEFINITIONS.**

“In this title:

“(1) **BIODIVERSITY.**—The term ‘biodiversity’ means the variability among living organisms from all sources including, inter alia, terrestrial, marine, and other aquatic ecosystems and the ecological complexes of which they are part, including diversity within species, between species, and of ecosystems.

“(2) **BONA FIDE RESEARCH.**—The term ‘bona fide research’ means scientific research on corals, the results of which are likely—

“(A) to be eligible for publication in a referred scientific journal;

“(B) to contribute to the basic knowledge of coral biology or ecology; or

“(C) to identify, evaluate, or resolve conservation problems.

“(3) **CORAL.**—The term ‘coral’ means species of the phylum Cnidaria, including—

“(A) all species of the orders Antipatharia (black corals), Scleractinia (stony corals), Gorgonacea (horny corals), Stolonifera (organpipe corals and others), Alcyonacea (soft corals), and Helioporacea (blue coral) of the class Anthozoa; and

“(B) all species of the families Milleporidae (fire corals) and Stylasteridae (stylasterid hydrocorals) of the class Hydrozoa.

“(4) **CORAL REEF.**—The term ‘coral reef’ means limestone structures composed in whole or in part of living corals, as described in paragraph (3), their skeletal remains, or both, and including other corals, associated sessile invertebrates and plants, and associated seagrasses.

“(5) **CORAL REEF COMPONENT.**—The term ‘coral reef component’ means any part of a coral reef, including individual living or dead corals, associated sessile invertebrates and plants, and any adjacent or associated seagrasses.

“(6) **CORAL REEF ECOSYSTEM.**—The term ‘coral reef ecosystem’ means the system of coral reefs and geographically associated species, habitats, and environment, including any adjacent or associated mangroves and seagrass habitats, and the processes that control its dynamics.

“(7) **CORAL PRODUCTS.**—The term ‘coral products’ means any living or dead specimens, parts, or derivatives, or any product containing specimens, parts, or derivatives, of any species referred to in paragraph (3).

“(8) **DAMAGES.**—The term ‘damages’ includes—

“(A) compensation for—

“(i) the cost of replacing, restoring, or acquiring the equivalent of the coral reef, or component thereof; and

“(ii) the lost services of, or the value of the lost use of, the coral reef or component thereof, or the cost of activities to minimize or prevent threats of, equivalent injury to, or destruction of coral reefs or components thereof, pending restoration or replacement or the acquisition of an equivalent coral reef or component thereof;

“(B) the reasonable cost of damage assessments under section 213;

“(C) the reasonable costs incurred by the Secretary in implementing section 208(d);

“(D) the reasonable cost of monitoring appropriate to the injured, restored, or replaced resources;

“(E) the reasonable cost of curation, conservation and loss of contextual information of any coral encrusted archaeological, historical, and cultural resource;

“(F) the cost of legal actions under section 213, undertaken by the United States, associated with the destruction or loss of, or injury to, a coral reef or component thereof, including the costs of attorney time and expert witness fees; and

“(G) the indirect costs associated with the costs listed in subparagraphs (A) through (F) of this paragraph.

“(9) **EMERGENCY ACTIONS.**—The term ‘emergency actions’ means all necessary actions to prevent or minimize the additional destruction or loss of, or injury to, coral reefs or components thereof, or to minimize the risk of such additional destruction, loss, or injury.

“(10) **EXCLUSIVE ECONOMIC ZONE.**—The term ‘Exclusive Economic Zone’ means the waters of the Exclusive Economic Zone of the United States under Presidential Proclamation 5030, dated March 10, 1983.

“(11) **PERSON.**—The term ‘person’ means any individual, private or public corporation, partnership, trust, institution, association, or any other public or private entity, whether foreign or domestic, private person or entity, or any officer, employee, agent, Department, agency, or instrumentality of the Federal Government, of any State or local unit of government, or of any foreign government.

“(12) **RESPONSE COSTS.**—The term ‘response costs’ means the costs of actions taken or authorized by the Secretary to minimize destruction or loss of, or injury to, a coral reef, or component thereof, or to minimize the imminent risks of such destruction, loss, or injury, including costs related to seizure, forfeiture, storage, or disposal arising from liability under section 213.

“(13) **SECRETARY.**—The term ‘Secretary’ means—

“(A) for purposes of sections 201 through 211, sections 218 through 220 (except as otherwise provided in subparagraph (B)), and the other paragraphs of this section, the Secretary of Commerce, acting through the Administrator of the National Oceanic and Atmospheric Administration; and

“(B) for purposes of sections 212 through 220—

“(i) the Secretary of the Interior for any coral reef or component thereof located in (I) the National Wildlife Refuge System, (II) the National Park System, and (III) the waters surrounding Wake Island under the jurisdiction of the Secretary of the Interior, as set forth in Executive Order 11048 (27 Fed. Reg. 8851 (September 4, 1962)); or

“(ii) the Secretary of Commerce for any coral reef or component thereof located in any area not described in clause (i).

“(14) **SERVICE.**—The term ‘service’ means functions, ecological or otherwise, performed by a coral reef or component thereof.

“(15) **STATE.**—The term ‘State’ means any State of the United States that contains a coral reef ecosystem within its seaward boundaries, American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the Virgin Islands, and any other territory or possession of the United States, or separate sovereign in free association with the United States, that contains a coral reef ecosystem within its seaward boundaries.

“(16) **TERRITORIAL SEA.**—The term ‘Territorial Sea’ means the waters of the Territorial Sea of the United States under Presidential Proclamation 5928, dated December 27, 1988.”.

By Mr. INOUYE (for himself, Mr. REED, and Mr. BEGICH):

S. 48. A bill to amend the Public Health Service Act to provide for the participation of pharmacists in National Health Services Corps programs, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

Mr. INOUYE. Mr. President, today I rise to recognize the need for inclusion

of pharmacists in the National Health Services Corps, NHSC, student loan repayment program. It is imperative that our Nation focus its efforts on increased access to affordable, high quality healthcare for our Nation’s underserved communities. Today’s pharmacist graduates with a professional doctorate degree. My home State of Hawaii is home to our only school of pharmacy program located at the University of Hawaii at Hilo and this year will mark the school’s very first graduating class. Pharmacists are vital to our intent of increasing access to patient-centered, team-based healthcare for all individuals. They collaborate with providers across the continuum of care to improve medication-use related outcomes, provides access to prevention and wellness screening that, among others, can reduce tobacco use and increase immunization rates all of which support provider effectiveness and organizational efficiencies. The integration of the pharmacist across the continuum of care helps increase access to primary and preventive care and allows for better management of chronic disease. Pharmacists support prescribers by focusing on the management of medications preventing adverse events that lead to avoidable emergency room visits and hospital admissions. This collaborative effort among healthcare providers helps improve clinical and economic outcomes and increases patient satisfaction with their care.

The current approach of recruiting and retaining primary care practitioners may limit access to robust patient-centered, team-based care by patients in underserved communities. Today over 88 percent of pharmacy students borrow over \$107,000 to help them pay for their education. The incorporation of comprehensive pharmacy services in these particular communities is a primary objective of the Health Resources and Services Administration patient-safety and clinical pharmacy services collaborative. Making pharmacists eligible to participate in NHSC loan repayment program will ensure that the reorganization of our healthcare system envisioned in legislation, federal action, and community-based models all benefit from patient-centered, team-based models of care that integrate comprehensive pharmacy services.

I urge you to consider the benefits of including pharmacists in the NHSC student loan repayment program.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 48

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Pharmacist Student Loan Repayment Eligibility Act of 2011”.



**SEC. 2. NATIONAL HEALTH SERVICE CORPS; PARTICIPATION OF PHARMACISTS IN LOAN REPAYMENT PROGRAM.**

(a) NATIONAL HEALTH SERVICE CORPS.—Section 331(b) of the Public Health Service Act (42 U.S.C. 254d(b)) is amended—

(1) in paragraph (1), by striking “nursing and other schools of the health professions,” and inserting “nursing, pharmacy, and other schools of the health professions,”; and

(2) in paragraph (2), by striking “and physician assistants who have an interest and a commitment to providing primary health care,” and inserting “physician assistants, and pharmacists who have an interest and commitment to providing primary health care.”.

(b) NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT PROGRAM.—Section 338B of the Public Health Service Act (42 U.S.C. 254l-1) is amended—

(1) in subsection (a)(1), by striking “and physician assistants” and inserting “physician assistants, and pharmacists”; and

(2) in subsection (b)(1)—

(A) in subparagraph (A), by striking “dentistry, or another health profession,” and inserting “dentistry, pharmacy, or another health profession,”; and

(B) in subparagraph (C)(ii), by striking “dentistry, or other health profession” and inserting “dentistry, pharmacy, or other health profession”.

(c) CORPS PERSONNEL.—Section 333(e) of the Public Health Service Act (42 U.S.C. 254f(e)) is amended by striking “dentistry, or any other health profession” and inserting “dentistry, pharmacy, or any other health profession”.

By Mr. KOHL (for himself, Mr. VITTER, Mr. LEAHY, Mr. HATCH, Ms. KLOBUCHAR, Mr. FRANKEN, and Mr. TESTER):

S. 49. A bill to amend the Federal antitrust laws to provide expanded coverage and to eliminate exemptions from such laws that are contrary to the public interest with respect to railroads; to the Committee on the Judiciary.

Mr. KOHL. Mr. President, I rise today to introduce legislation essential to restoring competition to the nation's crucial freight railroad sector. Freight railroads are essential to shipping a myriad of vital goods, everything from coal used to generate electricity to grain used for basic foodstuffs. But for decades the freight railroads have been insulated from the normal rules of competition followed by almost all other parts of our economy by an outmoded and unwarranted antitrust exemption. So today I am introducing, along with my colleagues, the Railroad Antitrust Enforcement Act of 2011. This bipartisan legislation will eliminate the obsolete antitrust exemptions that protect freight railroads from competition. This legislation is identical to the legislation that was reported out of the Judiciary Committee in the last Congress by a unanimous 15-0 vote.

Our legislation will eliminate unwarranted and outmoded antitrust exemptions that protect freight railroads from competition and result in higher prices to millions of consumers every day. Consolidation in the railroad industry in recent years has resulted in only four Class I railroads providing

nearly 90 percent of the Nation's freight rail transportation, as measured by revenue. The harmful result of this industry concentration for railroad shippers is well documented. A 2006 General Accounting Office Report found that shippers in many geographic areas “may be paying excessive rates due to a lack of competition in these markets.” These unjustified cost increases cause consumers to suffer higher electricity bills because a utility must pay for the high cost of transporting coal, result in higher prices for goods produced by manufacturers who rely on railroads to transport raw materials, and reduce earnings for American farmers who ship their products by rail and raise food prices paid by consumers.

A recent staff report, issued September 15, 2010, of the Committee on Commerce, Science, and Transportation also makes clear how railroads have benefited from the unique combination of deregulation and large-scale antitrust immunity, to the detriment of rail shippers and consumers. This Report—titled “The Current State of the Class I Freight Rail Industry”—stated that “[t]he four Class I railroads that today dominate the U.S. rail shipping market are achieving returns on revenue and operating ratios that rank them among the most profitable businesses in the U.S. economy.” The four largest railroads nearly doubled their collective profit margins in the last decade to 13 percent ranking the railroad industry the fifth most profitable industry as ranking by Fortune magazine.

Increased concentration and lack of antitrust scrutiny have had clear price effects—according to the Commerce Committee Report, since 2004, “Class I railroads have been raising prices by an average of 5 percent a year above inflation.” The recent Commerce Committee Report concluded that “Class I freight railroads have regained the pricing power they lacked in the 1980s, and are now some of the most highly profitable businesses in the U.S. economy.” Given the industry's concentration and pricing power, the case for full fledged application of the antitrust laws is plain.

The ill-effects of railroad industry consolidation are exemplified in the case of “captive shippers”—industries served by only one railroad. Over the past several years, these captive shippers have faced spiking rail rates. They are the victims of monopolistic practices and price gouging by the single railroad that serves them, price increases which they are forced to pass along into the price of their products, and ultimately, to consumers. And in many cases, the ordinary protections of antitrust law are unavailable to these captive shippers—instead, the railroads are protected by a series of outmoded exemptions from the normal rules of antitrust law to which all other industries must abide.

These unwarranted antitrust exemptions have put the American consumer

at risk, and in Wisconsin, victims of a lack of railroad competition abound. From Dairyland Power Cooperative in La Crosse to Wolf River Lumber in New London, companies in my state are feeling the crunch of years of railroad consolidation. To help offset a 93 percent increase in shipping rates in 2006, Dairyland Power Cooperative had to raise electricity rates by 20 percent. The reliability, efficiency, and affordability of freight rail have all declined, and Wisconsin consumers feel the pinch.

And similar stories exist across the country. We held a hearing at the Antitrust Subcommittee in the 110th Congress which detailed numerous instances of anti-competitive conduct by the dominant freight railroads and at which railroad shippers testified as to the need to repeal the outmoded and unwarranted antitrust exemptions which left them without remedies. Dozens of organizations, unions and trade groups affected by monopolistic railroad conduct endorsed the Railroad Antitrust Enforcement Act in the last Congress. Supporters of the legislation include 20 state Attorneys General, the National Association of Regulatory Utility Commissioners, NARUC, the Consumers Federation of America, Consumers Union, the American Farm Bureau Federation, American Chemistry Council, the American Corn Growers Association, the American Forest and Paper Association, the American Public Power Association, and the American Bar Association Antitrust Section.

The current antitrust exemptions protect a wide range of railroad industry conduct from scrutiny by governmental antitrust enforcers. Railroad mergers and acquisitions are exempt from antitrust law and are reviewed solely by the Surface Transportation Board. Railroads that engage in collective ratemaking are also exempt from antitrust law. Railroads subject to the regulation of the Surface Transportation Board are also exempt from private antitrust lawsuits seeking the termination of anti-competitive practices via injunctive relief. Our bill will eliminate these exemptions.

No good reason exists for them. While railroad legislation in recent decades—including most notably the Staggers Rail Act of 1980—deregulated much railroad rate setting from the oversight of the Surface Transportation Board, these obsolete antitrust exemptions remained in place, insulating a consolidating industry from obeying the rules of fair competition. And there is no reason to treat railroads any differently from dozens of other regulated industries in our economy that are fully subject to antitrust law—whether the telecommunications sector regulated by the FCC, or the aviation industry regulation by the Department of Transportation, just name just two examples.

Our bill will bring railroad mergers and acquisitions under the purview of

the Clayton Act, allowing the federal government, state attorneys general and private parties to file suit to enjoin anti-competitive mergers and acquisitions. It will restore the review of these mergers to the agencies where they belong—the Justice Department's Antitrust Division and the Federal Trade Commission. It will eliminate the exemption that prevents FTC's scrutiny of railroad common carriers. It will eliminate the antitrust exemption for railroad collective ratemaking. It will allow state attorneys general and other private parties to sue railroads for treble damages and injunctive relief for violations of the antitrust laws, including collusion that leads to excessive and unreasonable rates. This legislation will force railroads to play by the rules of free competition like all other businesses.

Significantly, our bill will not affect in any way the jurisdiction of the Surface Transportation Board to regulate freight railroads. It will in no way limit or alter the authority of the STB; the STB will continue to exercise full jurisdiction over the railroad industry.

In sum, by clearing out this thicket of outmoded antitrust exemptions, railroads will be subject to the same laws as the rest of the economy. Government antitrust enforcers will finally have the tools to prevent anti-competitive transactions and practices by railroads. Likewise, private parties will be able to utilize the antitrust laws to deter anti-competitive conduct and to seek redress for their injuries.

It is time to put an end to the abusive practices of the Nation's freight railroads. On the Antitrust Subcommittee, we have seen that in industry after industry, vigorous application of our Nation's antitrust laws is the best way to eliminate barriers to competition, to end monopolistic behavior, to keep prices low and quality of service high. The railroad industry is no different. All those who rely on railroads to ship their products—whether it is an electric utility for its coal, a farmer to ship grain, or a factory to acquire its raw materials or ship out its finished product—deserve the full application of the antitrust laws to end the anti-competitive abuses all too prevalent in this industry today. I urge my colleagues support the Railroad Antitrust Enforcement Act of 2011.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 49

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Railroad Antitrust Enforcement Act of 2011".

#### SEC. 2. INJUNCTIONS AGAINST RAILROAD COMMON CARRIERS.

The proviso in section 16 of the Clayton Act (15 U.S.C. 26) ending with "Code." is

amended to read as follows: "Provided, That nothing herein contained shall be construed to entitle any person, firm, corporation, or association, except the United States, to bring suit for injunctive relief against any common carrier that is not a railroad subject to the jurisdiction of the Surface Transportation Board under subtitle IV of title 49, United States Code."

#### SEC. 3. MERGERS AND ACQUISITIONS OF RAILROADS.

The sixth undesignated paragraph of section 7 of the Clayton Act (15 U.S.C. 18) is amended to read as follows:

"Nothing contained in this section shall apply to transactions duly consummated pursuant to authority given by the Secretary of Transportation, Federal Power Commission, Surface Transportation Board (except for transactions described in section 11321 of that title), the Securities and Exchange Commission in the exercise of its jurisdiction under section 10 (of the Public Utility Holding Company Act of 1935), the United States Maritime Commission, or the Secretary of Agriculture under any statutory provision vesting such power in the Commission, Board, or Secretary."

#### SEC. 4. LIMITATION OF PRIMARY JURISDICTION.

The Clayton Act is amended by adding at the end thereof the following:

"SEC. 29. In any civil action against a common carrier railroad under section 4, 4C, 15, or 16 of this Act, the district court shall not be required to defer to the primary jurisdiction of the Surface Transportation Board."

#### SEC. 5. FEDERAL TRADE COMMISSION ENFORCEMENT.

(a) CLAYTON ACT.—Section 11(a) of the Clayton Act (15 U.S.C. 21(a)) is amended by striking "subject to jurisdiction" and all that follows through the first semicolon and inserting "subject to jurisdiction under subtitle IV of title 49, United States Code (except for agreements described in section 10706 of that title and transactions described in section 11321 of that title)";

(b) FTC ACT.—Section 5(a)(2) of the Federal Trade Commission Act (15 U.S.C. 45(a)(2)) is amended by striking "common carriers subject" and inserting "common carriers, except for railroads, subject".

#### SEC. 6. EXPANSION OF TREBLE DAMAGES TO RAIL COMMON CARRIERS.

Section 4 of the Clayton Act (15 U.S.C. 15) is amended by—

- (1) redesignating subsections (b) and (c) as subsections (c) and (d), respectively; and
- (2) inserting after subsection (a) the following:

"(b) Subsection (a) shall apply to a common carrier by railroad subject to the jurisdiction of the Surface Transportation Board under subtitle IV of title 49, United States Code, without regard to whether such railroads have filed rates or whether a complaint challenging a rate has been filed."

#### SEC. 7. TERMINATION OF EXEMPTIONS IN TITLE 49.

(a) IN GENERAL.—Section 10706 of title 49, United States Code, is amended—

- (1) in subsection (a)—
  - (A) in paragraph (2)(A), by striking " , and the Sherman Act (15 U.S.C. 1 et seq.)," and all that follows through "or carrying out the agreement" in the third sentence;
  - (B) in paragraph (4)—
    - (i) by striking the second sentence; and
    - (ii) by striking "However, the" in the third sentence and inserting "The"; and
  - (C) in paragraph (5)(A), by striking " , and the antitrust laws set forth in paragraph (2) of this subsection do not apply to parties and other persons with respect to making or carrying out the agreement"; and
- (2) by striking subsection (e) and inserting the following:

"(e) APPLICATION OF ANTITRUST LAWS.—

"(1) IN GENERAL.—Nothing in this section exempts a proposed agreement described in subsection (a) from the application of the Sherman Act (15 U.S.C. 1 et seq.), the Clayton Act (15 U.S.C. 12, 14 et seq.), the Federal Trade Commission Act (15 U.S.C. 41 et seq.), section 73 or 74 of the Wilson Tariff Act (15 U.S.C. 8 and 9), or the Act of June 19, 1936 (15 U.S.C. 13, 13a, 13b, 21a).

"(2) ANTITRUST ANALYSIS TO CONSIDER IMPACT.—In reviewing any such proposed agreement for the purpose of any provision of law described in paragraph (1), the Board shall take into account, among any other considerations, the impact of the proposed agreement on shippers, on consumers, and on affected communities."

(b) COMBINATIONS.—Section 11321 of title 49, United States Code, is amended—

- (1) in subsection (a)—
  - (A) by striking "The authority" in the first sentence and inserting "Except as provided in sections 4 (15 U.S.C. 15), 4C (15 U.S.C. 15c), section 15 (15 U.S.C. 25), and section 16 (15 U.S.C. 26) of the Clayton Act (15 U.S.C. 21(a)), the authority"; and
  - (B) by striking "is exempt from the antitrust laws and from all other law," in the third sentence and inserting "is exempt from all other law (except the antitrust laws referred to in subsection (c))"; and
- (2) by adding at the end the following:

"(c) APPLICATION OF ANTITRUST LAWS.—

"(1) IN GENERAL.—Nothing in this section exempts a transaction described in subsection (a) from the application of the Sherman Act (15 U.S.C. 1 et seq.), the Clayton Act (15 U.S.C. 12, 14 et seq.), the Federal Trade Commission Act (15 U.S.C. 41 et seq.), section 73 or 74 of the Wilson Tariff Act (15 U.S.C. 8–9), or the Act of June 19, 1936 (15 U.S.C. 13, 13a, 13b, 21a). The preceding sentence shall not apply to any transaction relating to the pooling of railroad cars approved by the Surface Transportation Board or its predecessor agency pursuant to section 11322 of title 49, United States Code.

"(2) ANTITRUST ANALYSIS TO CONSIDER IMPACT.—In reviewing any such transaction for the purpose of any provision of law described in paragraph (1), the Board shall take into account, among any other considerations, the impact of the transaction on shippers and on affected communities."

(c) CONFORMING AMENDMENTS.—

- (1) The heading for section 10706 of title 49, United States Code, is amended to read as follows: "**Rate agreements**".
- (2) The item relating to such section in the chapter analysis at the beginning of chapter 107 of such title is amended to read as follows:

"10706. Rate agreements."

**SEC. 8. EFFECTIVE DATE.**

(a) IN GENERAL.—Subject to the provisions of subsection (b), this Act shall take effect on the date of enactment of this Act.

(b) CONDITIONS.—

(1) PREVIOUS CONDUCT.—A civil action under section 4, 15, or 16 of the Clayton Act (15 U.S.C. 15, 25, 26) or complaint under section 5 of the Federal Trade Commission Act (15 U.S.C. 45) may not be filed with respect to any conduct or activity that occurred prior to the date of enactment of this Act that was previously exempted from the antitrust laws as defined in section 1 of the Clayton Act (15 U.S.C. 12) by orders of the Interstate Commerce Commission or the Surface Transportation Board issued pursuant to law.

(2) GRACE PERIOD.—A civil action or complaint described in paragraph (1) may not be filed earlier than 180 days after the date of enactment of this Act with respect to any previously exempted conduct or activity or

previously exempted agreement that is continued subsequent to the date of enactment of this Act.

By Mr. INOUE (for himself, Ms. SNOWE, and Mr. VITTER):

S. 50. A bill to strengthen Federal consumer product safety programs and activities with respect to commercially-marketed seafood by directing the Secretary of Commerce to coordinate with the Federal Trade Commission and other appropriate Federal agencies to strengthen and coordinate those programs and activities; to the Committee on Commerce, Science, and Transportation.

Mr. INOUE. I am pleased to introduce my Commercial Seafood Consumer Protection Act, Seafood Safety Act. The Seafood Safety Act will strengthen the partnership between the Secretary of Commerce, the Secretary of Health and Human Services, HHS, the Secretary of the Department of Homeland Security, DHS, the Federal Trade Commission, FTC, and other appropriate Federal agencies, to coordinate Federal activities for ensuring that commercially distributed seafood in the United States meets the food quality and safety requirements of Federal law. The bill provides for no new jurisdiction and does not alter any existing jurisdiction given to FDA or any other agency. The bill does not include any authorization of appropriations, but seeks only to strengthen existing partnerships and share information.

The bill remains largely unchanged since I first introduced it in the 110th Congress, but this version, like the one I introduced in the 111th, incorporates the FTC as an additional partner since they have broad existing authority for consumer and interstate commerce fraud issues.

Specifically, the bill requires the Secretaries of Commerce, HHS, DHS, and the FTC to enter into agreements as necessary to strengthen cooperation on seafood safety, seafood labeling, and seafood fraud. Those agreements must address seafood testing and inspection; data standardization for seafood names; data coordination for the exportation, transportation, sale, harvest, or trade of seafood; seafood labeling compliance assurance; and information-sharing for observed non-compliance. The bill also increases the number of laboratories certified to inspection standards of the FDA and allows the Secretary of Commerce to increase the number and capacity of NOAA laboratories responsible for seafood safety testing. It allows for an increase in the percentage of seafood import shipments tested and inspected to improve detection of violations. Finally, the bill allows the Secretary of HHS to refuse entry of seafood imports from countries with known violations, and also allows the Secretary to permit individual seafood shipments from recognized and properly certified exporters.

For the safety of the American people, I remain committed to the Seafood

Safety Act and look forward to continuing to work to ensure its passage.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 50

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Commercial Seafood Consumer Protection Act".

**SEC. 2. COMMERCIALLY-MARKETED SEAFOOD CONSUMER PROTECTION SAFETY NET.**

(a) IN GENERAL.—The Secretary of Commerce shall, in coordination with the Federal Trade Commission and other appropriate Federal agencies, and consistent with the international obligations of the United States, strengthen Federal consumer protection activities for ensuring that commercially-distributed seafood in the United States meets the food quality and safety requirements of applicable Federal laws.

(b) INTERAGENCY AGREEMENTS.—

(1) IN GENERAL.—Within 180 days after the date of enactment of this Act, the Secretary and other appropriate Federal agencies shall execute memoranda of understanding or other agreements to strengthen interagency cooperation on seafood safety, seafood labeling, and seafood fraud.

(2) SCOPE OF AGREEMENTS.—The agreements shall include provisions, as appropriate for each such agreement, for—

(A) cooperative arrangements for examining and testing seafood imports that leverage the resources, capabilities, and authorities of each party to the agreement;

(B) coordination of inspections of foreign facilities to increase the percentage of imported seafood and seafood facilities inspected;

(C) standardizing data on seafood names, inspection records, and laboratory testing to improve interagency coordination;

(D) coordination of the collection, storage, analysis, and dissemination of all applicable information, intelligence, and data related to the importation, exportation, transportation, sale, harvest, processing, or trade of seafood in order to detect and investigate violations under applicable Federal laws, and to carry out the provisions of this Act;

(E) developing a process for expediting imports of seafood into the United States from foreign countries and exporters that consistently adhere to the highest standards for ensuring seafood safety;

(F) coordination to track shipments of seafood in the distribution chain within the United States;

(G) enhancing labeling requirements and methods of assuring compliance with such requirements to clearly identify species and prevent fraudulent practices;

(H) a process by which officers and employees of the National Oceanic and Atmospheric Administration may be commissioned by the head of any other appropriate Federal agency to conduct or participate in seafood examinations and investigations under applicable Federal laws administered by such other agency;

(I) the sharing of information concerning observed non-compliance with United States seafood requirements domestically and in foreign countries and new regulatory decisions and policies that may affect regulatory outcomes;

(J) conducting joint training on subjects that affect and strengthen seafood inspection effectiveness by Federal authorities;

(K) sharing, to the maximum extent allowable by law, all applicable information, intelligence, and data related to the importation, exportation, transportation, sale, harvest, processing, or trade of seafood in order to detect and investigate violations under applicable Federal laws, or otherwise to carry out the provisions of this Act; and

(L) outreach to private testing laboratories, seafood industries, and the public on Federal efforts to enhance seafood safety and compliance with labeling requirements, including education on Federal requirements for seafood safety and labeling and information on how these entities can work with appropriate Federal agencies to enhance and improve seafood inspection and assist in detecting and preventing seafood fraud and mislabeling.

(3) ANNUAL REPORTS ON IMPLEMENTATION OF AGREEMENTS.—The Secretary, the Chairman of the Federal Trade Commission, and the heads of other appropriate Federal agencies that are parties to agreements executed under paragraph (1) shall submit, jointly or severally, an annual report to the Congress concerning—

(A) specific efforts taken pursuant to the agreements;

(B) the budget and personnel necessary to strengthen seafood safety and labeling and prevent seafood fraud; and

(C) any additional authorities necessary to improve seafood safety and labeling and prevent seafood fraud.

(c) MARKETING, LABELING, AND FRAUD REPORT.—Within 1 year after the date of enactment of this Act, the Secretary and the Chairman of the Federal Trade Commission shall submit a joint report to the Congress on consumer protection and enforcement efforts with respect to seafood marketing and labeling in the United States. The report shall include—

(1) findings with respect to the scope of seafood fraud and deception in the United States market and its impact on consumers;

(2) information on how the National Oceanic and Atmospheric Administration and the Federal Trade Commission can work together more effectively to address fraud and unfair or deceptive acts or practices with respect to seafood;

(3) detailed information on the enforcement and consumer outreach activities undertaken by the National Oceanic and Atmospheric Administration and the Federal Trade Commission during the preceding year pursuant to this Act; and

(4) an examination of the scope of unfair or deceptive acts or practices in the United States market with respect to foods other than seafood and whether additional enforcement authority or activity is warranted.

(d) NOAA SEAFOOD INSPECTION AND MARKING COORDINATION.—

(1) DECEPTIVE MARKETING AND FRAUD.—The National Oceanic and Atmospheric Administration shall report deceptive seafood marketing and fraud to the Federal Trade Commission pursuant to an agreement under subsection (b).

(2) APPLICATION WITH EXISTING AGREEMENTS.—Nothing in this Act shall be construed to impede, minimize, or otherwise affect any agreement or agreements regarding cooperation and information sharing in the inspection of fish and fishery products and establishments between the Department of Commerce and the Department of Health and Human Services in effect on the date of enactment of this Act. Within 6 months after the date of enactment of this Act, the Secretary of Commerce and the Secretary of Health and Human Services shall submit a joint report to the Congress on implementation of any such agreement or agreements, including the extent to which the Food and

Drug Administration has taken into consideration information resulting from inspections conducted by the Department of Commerce in making risk-based determinations such as the establishment of inspection priorities for domestic and foreign facilities and the examination and testing of imported seafood.

(3) **COORDINATION WITH SEA GRANT PROGRAM.**—The Administrator of the National Oceanic and Atmospheric Administration shall ensure that the NOAA Seafood Inspection Program is coordinated with the Sea Grant Program to provide outreach to States, consumers, and the seafood industry on seafood testing, seafood labeling, and seafood substitution, and strategies to combat mislabeling and fraud.

### SEC. 3. CERTIFIED LABORATORIES.

Within 180 days after the date of enactment of this Act, the Secretary, in consultation with the Secretary of Health and Human Services, shall increase the number of laboratories certified to the standards of the Food and Drug Administration in the United States and in countries that export seafood to the United States for the purpose of analyzing seafood and ensuring that the laboratories, including Federal, State, and private facilities, comply with applicable Federal laws. Within 1 year after the date of enactment of this Act, the Secretary of Commerce shall publish in the Federal Register a list of certified laboratories. The Secretary shall update and publish the list no less frequently than annually.

### SEC. 4. NOAA LABORATORIES.

In any fiscal year beginning after the date of enactment of this Act, the Secretary may increase the number and capacity of laboratories operated by the National Oceanic and Atmospheric Administration involved in carrying out testing and other activities under this Act to the extent that the Secretary determines that increased laboratory capacity is necessary to carry out the provisions of this Act and as provided for in appropriations Acts.

### SEC. 5. CONTAMINATED SEAFOOD.

(a) **REFUSAL OF ENTRY.**—The Secretary of Health and Human Services may issue an order refusing admission into the United States of all imports of seafood or seafood products originating from a country or exporter if the Secretary determines that shipments of such seafood or seafood products do not meet the requirements established under applicable Federal law.

(b) **INCREASED TESTING.**—If the Secretary of Health and Human Services determines that seafood imports originating from a country may not meet the requirements of Federal law, and determines that there is a lack of adequate certified laboratories to provide for the entry of shipments pursuant to section 3, then the Secretary may order an increase in the percentage of shipments tested of seafood originating from such country to improve detection of potential violations of such requirements.

(c) **ALLOWANCE OF INDIVIDUAL SHIPMENTS FROM EXPORTING COUNTRY OR EXPORTER.**—Notwithstanding an order under subsection (a) with respect to seafood originating from a country or exporter, the Secretary may permit individual shipments of seafood originating in that country or from that exporter to be admitted into the United States if—

(1) the exporter presents evidence from a laboratory certified by the Secretary that a shipment of seafood meets the requirements of applicable Federal laws; and

(2) the Secretary, or other agent of a Federal agency authorized to conduct inspections of seafood, has inspected the shipment and has found that the shipment and the conditions of manufacturing meet the requirements of applicable Federal laws.

(d) **CANCELLATION OF ORDER.**—The Secretary may cancel an order under subsection (a) with respect to seafood exported from a country or exporter if all shipments into the United States under subsection (c) of seafood originating in that country or from that exporter more than 1 year after the date on which the Secretary issued the order have been found, under the procedures described in subsection (c), to meet the requirements of Federal law. If the Secretary determines that an exporter has failed to comply with the requirements of an order under subsection (a), the 1-year period in the preceding sentence shall run from the date of that determination rather than the date on which the order was issued.

(e) **EFFECT.**—This section shall be in addition to, and shall have no effect on, the authority of the Secretary of Health and Human Services under the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.) with respect to seafood, seafood products, or any other product.

### SEC. 6. INSPECTION TEAMS.

(a) **INSPECTION OF FOREIGN SITES.**—The Secretary, in cooperation with the Secretary of Health and Human Services, may send 1 or more inspectors to a country or exporter from which seafood exported to the United States originates. The inspection team shall assess practices and processes being used in connection with the farming, cultivation, harvesting, preparation for market, or transportation of such seafood and may provide technical assistance related to the requirements established under applicable Federal laws to address seafood fraud and safety. The inspection team shall prepare a report for the Secretary of Commerce with its findings. The Secretary of Commerce shall make a copy of the report available to the country or exporter that is the subject of the report and provide a 30-day period during which the country or exporter may provide a rebuttal or other comments on the findings to the Secretary.

(b) **DISTRIBUTION AND USE OF REPORT.**—The Secretary shall provide the report to the Secretary of Health and Human Services as information for consideration in making risk-based determinations such as the establishment of inspection priorities of domestic and foreign facilities and the examination and testing of imported seafood. The Secretary shall provide the report to the Executive Director of the Federal Trade Commission for consideration in making recommendations to the Chairman of the Federal Trade Commission regarding consumer protection to prevent fraud, deception, and unfair business practices in the marketplace.

### SEC. 7. SEAFOOD IDENTIFICATION.

(a) **STANDARDIZED LIST OF NAMES FOR SEAFOOD.**—The Secretary and the Secretary of Health and Human Services shall initial a joint rulemaking proceeding to develop and make public a list of standardized names for seafood identification purposes at distribution, marketing, and consumer retail stages. The list of standardized names shall take into account taxonomy, current labeling regulations, international law and custom, market value, and naming precedence for all commercially-distributed seafood distributed in interstate commerce in the United States and may not include names, whether similar to existing or commonly used names for species, that are likely to confuse or mislead consumers.

(b) **PUBLICATION OF LIST.**—The list of standardized names shall be made available to the public on Department of Health and Human Services and the Department of Commerce websites, shall be open to public review and comment, and shall be updated annually.

### SEC. 8. DEFINITIONS.

In this Act:

(1) **APPLICABLE FEDERAL LAWS.**—The term “applicable laws and regulations” means Federal statutes, regulations, and international agreements pertaining to the importation, exportation, transportation, sale, harvest, processing, or trade of seafood, including the Magnuson-Stevens Fishery Conservation and Management Act, section 801 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 381), section 203 of the Food Allergen Labeling and Consumer Protection Act of 2004 (21 U.S.C. 374a), and the Seafood Hazard Analysis and Critical Control Point regulations in part 123 of title 21, Code of Federal Regulations.

(2) **APPROPRIATE FEDERAL AGENCIES.**—The term “appropriate Federal agencies” includes the Department of Health and Human Services, the Federal Food and Drug Administration, the Department of Homeland Security, and the Department of Agriculture.

(3) **SECRETARY.**—The term “Secretary” means the Secretary of Commerce.

By Mr. INOUE (for himself, Mr. ROCKEFELLER, Mr. KERRY, Ms. SNOWE, and Ms. CANTWELL):

S. 52. A bill to establish uniform administrative and enforcement procedures and penalties for the enforcement of the High Seas Driftnet Fishing Moratorium Protection Act and similar statutes, and for other purposes; to the Committee on Commerce, Science, and Transportation.

Mr. INOUE. Mr. President, I am pleased to introduce the International Fisheries Stewardship and Enforcement Act, which I also introduced in the 111th. This bill would harmonize the enforcement provisions of the U.S. statutes for implementing international fisheries agreements to strengthen international fisheries enforcement.

Specifically it would grant the National Oceanic and Atmospheric Administration, NOAA, and the U.S. Coast Guard authority to implement international fisheries laws, expand their authorities in carrying out investigations and enforcement activities, and establish interference with investigations as a prohibited act. It would also amend the enforcement provisions of statutes for implementing international fisheries agreements to conform to the Magnuson-Stevens Fishery Conservation and Management Act, while increasing both civil and criminal penalties for violating international fisheries laws.

The bill also authorizes the Secretary of Commerce to maintain and make public a list of vessels engaged in illegal, unregulated, and unreported, IUU, fishing and authorize appropriate action against listed vessels, which will hopefully allow for strong strides in our fight against illegal activity.

Finally, by creating an International Cooperation and Assistance Program that will provide assistance for international capacity building efforts, training, outreach, and education, it is my hope that we are able to more-successfully combat IUU fishing and promote international marine conservation.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There be no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 52

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

(a) **SHORT TITLE.**—This Act may be cited as the “International Fisheries Stewardship and Enforcement Act”.

(b) **TABLE OF CONTENTS.**—The table of contents for this Act is as follows:

Sec. 1. Short title; table of contents.

**TITLE I—ADMINISTRATION AND ENFORCEMENT OF CERTAIN FISHERY AND RELATED STATUTES.**

Sec. 101. Authority of the Secretary to enforce statutes.

Sec. 102. Conforming, minor, and technical amendments.

Sec. 103. Illegal, unreported, or unregulated fishing.

Sec. 104. Liability.

**TITLE II—LAW ENFORCEMENT AND INTERNATIONAL OPERATIONS**

Sec. 201. International fisheries enforcement program.

Sec. 202. International cooperation and assistance program.

**TITLE III—MISCELLANEOUS AMENDMENTS**

Sec. 301. Atlantic Tunas Convention Act of 1975.

Sec. 302. Data Sharing.

Sec. 303. Permits under the High Seas Fishing Compliance Act of 1995.

Sec. 304. Committee on Scientific Cooperation for Pacific Salmon Agreement.

Sec. 305. Reauthorizations.

**TITLE IV—IMPLEMENTATION OF ANTIGUA CONVENTION**

Sec. 401. Short title.

Sec. 402. Amendment of the Tuna Conventions Act of 1950.

Sec. 403. Definitions.

Sec. 404. Commissioners; number, appointment, and qualifications.

Sec. 405. General advisory committee and scientific advisory subcommittee.

Sec. 406. Rulemaking.

Sec. 407. Prohibited acts.

Sec. 408. Enforcement.

Sec. 409. Reduction of bycatch.

Sec. 410. Repeal of Eastern Pacific Tuna Licensing Act of 1984.

**TITLE I—ADMINISTRATION AND ENFORCEMENT OF CERTAIN FISHERY AND RELATED STATUTES.**

**SEC. 101. AUTHORITY OF THE SECRETARY TO ENFORCE STATUTES.**

(a) **IN GENERAL.**—

(1) **ENFORCEMENT OF STATUTES.**—The Secretary of Commerce and the Secretary of the department in which the Coast Guard is operating shall enforce the statutes to which this section applies in accordance with the provisions of this section.

(2) **UTILIZATION OF NONDEPARTMENTAL RESOURCES.**—The Secretary may, by agreement, on a reimbursable basis or otherwise, utilize the personnel services, equipment (including aircraft and vessels), and facilities of any other Federal agency, including all elements of the Department of Defense, and of any State agency, in carrying out this section.

(3) **STATUTES TO WHICH APPLICABLE.**—This section applies to—

(A) the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826d et seq.);

(B) the Pacific Salmon Treaty Act of 1985 (16 U.S.C. 3631 et seq.);

(C) the Dolphin Protection Consumer Information Act (16 U.S.C. 1385);

(D) the Tuna Conventions Act of 1950 (16 U.S.C. 951 et seq.);

(E) the North Pacific Anadromous Stocks Act of 1992 (16 U.S.C. 5001 et seq.);

(F) the South Pacific Tuna Act of 1988 (16 U.S.C. 973 et seq.);

(G) the Antarctic Marine Living Resources Convention Act of 1984 (16 U.S.C. 2431 et seq.);

(H) the Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971 et seq.);

(I) the Northwest Atlantic Fisheries Convention Act of 1995 (16 U.S.C. 5601 et seq.);

(J) the Western and Central Pacific Fisheries Convention Implementation Act (16 U.S.C. 6901 et seq.);

(K) the Northern Pacific Halibut Act of 1982 (16 U.S.C. 773 et seq.);

(L) any other Act in pari materia, so designated by the Secretary after notice and an opportunity for a hearing; and

(M) the Antigua Convention Implementing Act of 2011.

(b) **ADMINISTRATION AND ENFORCEMENT.**—The Secretary shall prevent any person from violating any Act to which this section applies in the same manner, by the same means, and with the same jurisdiction, powers, and duties as though sections 307 through 311 of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1857 through 1861) were incorporated into and made a part of each such Act. Except as provided in subsection (c), any person that violates any Act to which this section applies is subject to the penalties, and entitled to the privileges and immunities, provided in the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801 et seq.) in the same manner and by the same means as though sections 307 through 311 of that Act were incorporated into and made a part of each such Act.

(c) **SPECIAL RULES.**—

(1) **IN GENERAL.**—Notwithstanding the incorporation by reference of certain sections of the Magnuson-Stevens Fishery Conservation and Management Act under subsection (b), if there is a conflict between a provision of this subsection and the corresponding provision of any section of the Magnuson-Stevens Fishery Conservation and Management Act so incorporated, the provision of this subsection shall apply.

(2) **CIVIL ADMINISTRATIVE ENFORCEMENT.**—The amount of the civil penalty for a violation of any Act to which this section applies shall not exceed \$250,000 for each violation. Each day of a continuing violation shall constitute a separate violation.

(3) **CIVIL JUDICIAL ENFORCEMENT.**—The Attorney General, upon the request of the Secretary, may commence a civil action in an appropriate district court of the United States to enforce this Act and any Act to which this section applies, and such court shall have jurisdiction to award civil penalties or such other relief as justice may require, including a permanent or temporary injunction. The amount of the civil penalty for a violation of any Act to which this section applies shall not exceed \$250,000 for each violation. Each day of a continuing violation shall constitute a separate violation. In determining the amount of a civil penalty, the court shall take into account the nature, circumstances, extent, and gravity of the prohibited acts committed and, with respect to the violator, the degree of culpability, any history of prior violations and such other matters as justice may require. In imposing such penalty, the district court may also consider information related to the ability of the violator to pay.

(4) **CRIMINAL FINES AND PENALTIES.**—

(A) **INDIVIDUALS.**—In the case of an individual, any offense described in subsection (e)(2), (3), (4), (5), or (6) is punishable by a

fine of not more than \$500,000, imprisonment for not more than 5 years, or both. If, in the commission of such offense, an individual uses a dangerous weapon, engages in conduct that causes bodily injury to any officer authorized to enforce the provisions of this Act, or places any such officer in fear of imminent bodily injury the maximum term of imprisonment is 10 years.

(B) **OTHER PERSONS.**—In the case of any other person, any offense described in subsection (e)(2), (3), (4), (5), or (6) is punishable by a fine of not more than \$1,000,000.

(5) **OTHER CRIMINAL VIOLATIONS.**—Any person (other than a foreign government or any entity of such government) who knowingly violates any provision of subsection (e) of this section, or any provision of any regulation promulgated pursuant to this Act, is guilty of a criminal offense punishable—

(A) in the case of an individual, by a fine of not more than \$500,000, imprisonment for not more than 5 years, or both; and

(B) in the case of any other person, by a fine of not more than \$1,000,000.

(6) **CRIMINAL FORFEITURES.**—

(A) **IN GENERAL.**—A person found guilty of an offense described in subsection (e), or who is convicted of a criminal violation of any Act to which this section applies, shall forfeit to the United States—

(i) any property, real or personal, constituting or traceable to the gross proceeds obtained, or retained, as a result of the offense including any marine species (or the fair market value thereof) taken or retained in connection with or as a result of the offense; and

(ii) any property, real or personal, used or intended to be used to commit or to facilitate the commission of the offense, including any shoreside facility, including its conveyances, structure, equipment, furniture, appurtenances, stores, and cargo.

(B) **PROCEDURE.**—Pursuant to section 2461(c) of title 28, United States Code, the provisions of section 413 of the Controlled Substances Act (21 U.S.C. 853), other than subsection (d) thereof, shall apply to criminal forfeitures under this section.

(7) **ADDITIONAL ENFORCEMENT AUTHORITY.**—In addition to the powers of officers authorized pursuant to subsection (b), any officer who is authorized by the Secretary, or the head of any Federal or State agency that has entered into an agreement with the Secretary under subsection (a) to enforce the provisions of any Act to which this section applies may, with the same jurisdiction, powers, and duties as though section 311 of the Magnuson-Stevens fishery Conservation and Management Act (16 U.S.C. 1861) were incorporated into and made a part of each such Act—

(A) search or inspect any facility or conveyance used or employed in, or which reasonably appears to be used or employed in, the storage, processing, transport, or trade of fish or fish products;

(B) inspect records pertaining to the storage, processing, transport, or trade of fish or fish products;

(C) detain, for a period of up to 14 days, any shipment of fish or fish product imported into, landed on, introduced into, exported from, or transported within the jurisdiction of the United States, or, if such fish or fish product is deemed to be perishable, sell and retain the proceeds therefrom for a period of up to 14 days; and

(D) make an arrest, in accordance with any guidelines which may be issued by the Attorney General, for any offense under the laws of the United States committed in the person's presence, or for the commission of any felony under the laws of the United States, if the person has reasonable grounds to believe that the person to be arrested has committed

or is committing a felony; may search and seize, in accordance with any guidelines which may be issued by the Attorney General and may execute and serve any subpoena, arrest warrant, search warrant issued in accordance with rule 41 of the Federal Rules of Criminal Procedure, or other warrant or civil or criminal process issued by any officer or court of competent jurisdiction.

(8) SUBPOENAS.—In addition to any subpoena authority pursuant to subsection (b), the Secretary may, for the purposes of conducting any investigation under this section, or any other statute administered by the Secretary, issue subpoenas for the production of relevant papers, photographs, records, books, and documents in any form, including those in electronic, electrical, or magnetic form.

(d) DISTRICT COURT JURISDICTION.—The several district courts of the United States shall have jurisdiction over any actions arising under this section. For the purpose of this section, American Samoa shall be included within the judicial district of the District Court of the United States for the District of Hawaii. Each violation shall be a separate offense and the offense shall be deemed to have been committed not only in the district where the violation first occurred, but also in any other district as authorized by law. Any offenses not committed in any district are subject to the venue provisions of section 3238 of title 18, United States Code.

(e) PROHIBITED ACTS.—It is unlawful for any person—

(1) to violate any provision of this section or any Act to which this section applies or any regulation promulgated thereunder;

(2) to refuse to permit any authorized enforcement officer to board, search, or inspect a vessel, conveyance, or shoreside facility that is subject to the person's control for purposes of conducting any search, investigation, or inspection in connection with the enforcement of this section or any Act to which this section applies or any regulation promulgated thereunder;

(3) to forcibly assault, resist, oppose, impede, intimidate, or interfere with any such authorized officer in the conduct of any search, investigation, or inspection described in paragraph (2);

(4) to resist a lawful arrest for any act prohibited by this section or any Act to which this section applies;

(5) to interfere with, delay, or prevent, by any means, the apprehension, arrest, or detection of another person, knowing that such person has committed any act prohibited by this section or any Act to which this section applies;

(6) to forcibly assault, resist, oppose, impede, intimidate, sexually harass, bribe, or interfere with any observer on a vessel under this section or any Act to which this section applies, or any data collector employed by or under contract to the National Marine Fisheries Service to carry out responsibilities under this section or any Act to which this section applies;

(7) to import, export, transport, sell, receive, acquire, or purchase in interstate or foreign commerce any fish or fish product taken, possessed, transported, or sold in violation of any treaty or binding conservation measure adopted pursuant to an international agreement or organization to which the United States is a party; or

(8) to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, of-

ferred for sale, purchased, or received in interstate or foreign commerce.

(f) REGULATIONS.—The Secretary may promulgate such regulations, in accordance with section 553 of title 5, United States Code, as may be necessary to carry out this section or any Act to which this section applies.

#### SEC. 102. CONFORMING, MINOR, AND TECHNICAL AMENDMENTS.

(a) HIGH SEAS DRIFTNET FISHING MORATORIUM PROTECTION ACT.—

(1) Section 606 of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826g) is amended—

(A) by inserting “(a) DETECTING, MONITORING, AND PREVENTING VIOLATIONS.—” before “The President”; and

(B) by adding at the end thereof the following:

“(b) ENFORCEMENT.—This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”

(2) Section 607(2) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826h(2)) is amended by striking “whose vessels” and inserting “that”.

(3) Section 609(a) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(a)) is amended to read as follows:

“(a) IDENTIFICATION.—

“(1) IN GENERAL.—The Secretary shall identify, and list in the report under section 607, a nation if that nation is engaged, or has been engaged at any time during the preceding 3 years, in illegal, unreported, or unregulated fishing and—

“(A) such fishing undermines the effectiveness of measures required under the relevant international fishery management organization;

“(B) the relevant international fishery management organization has failed to implement effective measures to end the illegal, unreported, or unregulated fishing activity by vessels of that nation, or the nation is not a party to, or does not maintain cooperating status with, such organization; or

“(C) there is no international fishery management organization with a mandate to regulate the fishing activity in question.

“(2) OTHER IDENTIFYING ACTIVITIES.—The Secretary shall also identify, and list in the report under section 607, a nation if—

“(A) it is violating, or has violated at any time during the preceding 3 years, conservation and management measures required under an international fishery management agreement to which the United States is a party and the violations undermine the effectiveness of such measures, taking into account the factors described in paragraph (1); or

“(B) it is failing, or has failed at any time during the preceding 3 years, to effectively address or regulate illegal, unreported, or unregulated fishing in areas described in paragraph (1)(C).

“(3) TREATMENT OF CERTAIN ENTITIES AS IF THEY WERE NATIONS.—Where the provisions of this Act apply to the act, or failure to act, of a nation, they shall also be applicable, as appropriate, to any other entity that is competent to enter into an international fishery management agreement.”

(4) Section 609(d)(1) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(d)(1)) is amended by striking “of its fishing vessels” each place it appears.

(5) Section 609(d)(2) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(d)(2)) is amended—

(A) by striking “procedure for certification,” and inserting “procedure,”;

(B) by striking “basis of fish” and inserting “basis, for allowing importation of fish”; and

(C) by striking “harvesting nation not certified under paragraph (1)” and inserting “nation issued a negative certification under paragraph (1)”.

(6) Section 610(a)(1) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826k(a)(1)) is amended—

(A) by striking “calendar year” and inserting “3 years”; and

(B) by striking “practices;” and inserting “practices—”.

(b) DOLPHIN PROTECTION CONSUMER INFORMATION ACT.—Section 901 of the Dolphin Protection Consumer Information Act (16 U.S.C. 1385) is amended—

(1) by adding at the end of subsection (d) the following:

“(4) It is a violation of section 101 of the International Fisheries Stewardship and Enforcement Act for any person to assault, resist, oppose, impede, intimidate, or interfere with and authorized officer in the conduct of any search, investigation or inspection under this Act.”; and

(2) by striking subsection (e) and inserting the following:

“(e) ENFORCEMENT.—This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”

(c) TUNA CONVENTIONS ACT OF 1950.—Section 8 of the Tuna Conventions Act of 1950 (16 U.S.C. 957) is amended—

(1) by striking “regulations.” in subsection (a) and inserting “regulation or for any person to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including the false identification of species, harvesting vessel or nation or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”;

(2) by striking subsection (d) and inserting the following:

“(d) It shall be unlawful for any person—

“(1) to refuse to permit any officer authorized to enforce the provisions of this Act to board a fishing vessel subject to such person's control for purposes of conducting any search, investigation, or inspection in connection with the enforcement of this Act or any regulation promulgation or permit issued under this Act;

“(2) to forcibly assault, resist, oppose, impede, intimidate, or interfere with any such authorized officer in the conduct of any search, investigation or inspection described in paragraph (1);

“(3) to resist a lawful arrest for any act prohibited by this section; or

“(4) to interfere with, delay, or prevent, by any means, the apprehension or arrest of another person, knowing that such other person has committed any act prohibited by this section.”;

(3) by striking subsections (e) through (g) and redesignating subsection (h) as subsection (f); and

(4) by inserting after subsection (d) the following:

“(e) ENFORCEMENT.—This section shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”

(d) NORTHERN PACIFIC ANADROMOUS STOCKS ACT OF 1992.—

(1) UNLAWFUL ACTIVITIES.—Section 810 of the Northern Pacific Anadromous Stocks Act of 1992 (16 U.S.C. 5009) is amended—

(A) by striking “purchases” in paragraph (5) and inserting “purposes”;

(B) by striking “search or inspection” in paragraph (5) and inserting “search, investigation, or inspection”;

(C) by striking “search or inspection” in paragraph (6) and inserting “search, investigation, or inspection”;



(D) by striking “or” after the semicolon in paragraph (8);

(E) by striking “title.” in paragraph (9) and inserting “title; or”; and

(F) by adding at the end thereof the following:

“(10) for any person to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”.

(2) ADMINISTRATION AND ENFORCEMENT.—Section 811 of the Northern Pacific Anadromous Stocks Act of 1992 (16 U.S.C. 5010) is amended to read as follows:

“**SEC. 811. ADMINISTRATION AND ENFORCEMENT.**  
“This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

(e) PACIFIC SALMON TREATY ACT OF 1985.—Section 8 of the Pacific Salmon Treaty Act of 1985 (16 U.S.C. 3637) is amended—

(1) by striking “search or inspection” in subsection (a)(2) and inserting “search, investigation, or inspection”;

(2) by striking “search or inspection” in subsection (a)(3) and inserting “search, investigation, or inspection”;

(3) by striking “or” after the semicolon in subsection (a)(5);

(4) by striking “section.” in subsection (a)(6) and inserting “section; or”;

(5) by adding at the end of subsection (a) the following:

“(7) for any person to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”; and

(6) by striking subsections (b) through (f) and inserting the following:

“(b) ADMINISTRATION AND ENFORCEMENT.—This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

(f) SOUTH PACIFIC TUNA ACT OF 1988.—

(1) PROHIBITED ACTS.—Section 5(a) of the South Pacific Tuna Act of 1988 (16 U.S.C. 973c(a)) is amended—

(A) by striking “search or inspection” in paragraph (8) and inserting “search, investigation, or inspection”;

(B) by striking “search or inspection” in paragraph (10)(A) and inserting “search, investigation, or inspection”;

(C) by striking “or” after the semicolon in paragraph (12);

(D) by striking “retained.” in paragraph (13) and inserting “retained; or”; and

(E) by adding at the end thereof the following:

“(14) for any person to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”.

(2) ADMINISTRATION AND ENFORCEMENT.—The South Pacific Tuna Act of 1988 (16 U.S.C. 973 et seq.) is amended by striking sections 7 and 8 (16 U.S.C. 973e and 973f) and inserting the following:

“**SEC. 7. ADMINISTRATION AND ENFORCEMENT.**

“This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

(g) ANTARCTIC MARINE LIVING RESOURCES CONVENTION ACT OF 1984.—

(1) UNLAWFUL ACTIVITIES.—Section 306 of the Antarctic Marine Living Resources Convention Act (16 U.S.C. 2435) is amended—

(A) by striking “which he knows, or reasonably should have known, was” in paragraph (3);

(B) by striking “search or inspection” in paragraph (4) and inserting “search, investigation, or inspection”;

(C) by striking “search or inspection” in paragraph (5) and inserting “search, investigation, or inspection”;

(D) by striking “or” after the semicolon in paragraph (6);

(E) by striking “section.” in paragraph (7) and inserting “section; or”; and

(F) by adding at the end thereof the following:

“(8) to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”.

(2) REGULATIONS.—Section 307 of the Antarctic Marine Living Resources Convention Act (16 U.S.C. 2436) is amended by inserting after “title.” the following: “Notwithstanding the provisions of subsections (b), (c), and (d) of section 553 of title 5, United States Code, the Secretary of Commerce may publish in the Federal Register a final rule to implement conservation measures, described in section 305(a) of this Act, that are in effect for 12 months or less, adopted by the Commission, and not objected to by the United States within the time period allotted under Article IX of the Convention. Upon publication in the Federal Register, such conservation measures shall be in force with respect to the United States.”.

(3) PENALTIES AND ENFORCEMENT.—The Antarctic Marine Living Resources Convention Act (16 U.S.C. 2431 et seq.) is amended—

(A) by striking sections 308 and 309 (16 U.S.C. 2437 and 2438);

(B) by striking subsection (b), (c), and (d) of section 310 (16 U.S.C. 2439) and redesignating subsection (e) as subsection (c); and

(C) by inserting after subsection (a) the following:

“(b) ADMINISTRATION AND ENFORCEMENT.—This title shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

(h) ATLANTIC TUNAS CONVENTION ACT OF 1975.—

(1) VIOLATIONS.—Section 7 of the Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971e) is amended—

(A) by striking subsections (e) and (f) and redesignating subsection (g) as subsection (f); and

(B) by inserting after subsection (d) the following:

“(e) MISLABELING.—It shall be unlawful for any person to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including the false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”.

(2) ENFORCEMENT.—Section 8 of the Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971f) is amended—

(A) by striking subsections (a) and (c);

(B) by striking “(b) INTERNATIONAL ENFORCEMENT.—” in subsection (b) and inserting “This Act shall be enforced under section

101 of the International Fisheries Stewardship and Enforcement Act.”; and

(C) by striking “shall have the authority to carry out the enforcement activities specified in section 8(a) of this Act” each place it appears and inserting “shall enforce this Act”.

(i) NORTHWEST ATLANTIC FISHERIES CONVENTION ACT OF 1995.—Section 207 of the Northwest Atlantic Fisheries Convention Act of 1995 (16 U.S.C. 5606) is amended—

(1) by striking “**AND PENALTIES.**” in the section caption and inserting “**AND ENFORCEMENT.**”;

(2) by striking “search or inspection” in subsection (a)(2) and inserting “search, investigation, or inspection”;

(3) by striking “search or inspection” in subsection (a)(3) and inserting “search, investigation, or inspection”;

(4) by striking “or” after the semicolon in subsection (a)(5);

(5) by striking “section.” in subsection (a)(6) and inserting “section; or”;

(6) by adding at the end of subsection (a) the following:

“(7) to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”; and

(7) by striking subsection (b) through (f) and inserting the following:

“(b) ADMINISTRATION AND ENFORCEMENT.—This title shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

(j) WESTERN AND CENTRAL PACIFIC FISHERIES CONVENTION IMPLEMENTATION ACT.—

(1) ADMINISTRATION AND ENFORCEMENT.—Section 506(c) of the Western and Central Pacific Fisheries Convention Implementation Act (16 U.S.C. 6905(c)) is amended to read as follows:

“(c) ADMINISTRATION AND ENFORCEMENT.—This title shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

(2) PROHIBITED ACTS.—Section 507(a) of the Western and Central Pacific Fisheries Convention Implementation Act (16 U.S.C. 6906(a)) is amended—

(A) by striking “suspension, on” in paragraph (2) and inserting “suspension of”;

(B) by striking “title.” in paragraph (14) and inserting “title; or”; and

(C) by adding at the end thereof the following:

“(15) to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”.

(k) NORTHERN PACIFIC HALIBUT ACT OF 1982.—

(1) PROHIBITED ACTS.—Section 7 of the Northern Pacific Halibut Act of 1982 (16 U.S.C. 773e) is amended—

(A) by redesignating subdivisions (a) and (b) as paragraphs (1) and (2), respectively, and subdivisions (1) through (6) of paragraph (1), as redesignated, as subparagraphs (A) through (F);

(B) by striking “search or inspection” in paragraph (1)(B), as redesignated, and inserting “search, investigation, or inspection”;

(C) by striking “search or inspection” in paragraph (1)(C), as redesignated, and inserting “search, investigation, or inspection”;

(D) by striking “or” after the semicolon in paragraph (1)(E), as redesignated;

(E) by striking “section.” in paragraph (1)(F), as redesignated, and inserting “section;” and

(F) by adding at the end of paragraph (1), as redesignated, the following:

“(G) to make or submit any false record, account, or label for, or any false identification of, any fish or fish product (including false identification of the species, harvesting vessel or nation, or the location where harvested) which has been, or is intended to be imported, exported, transported, sold, offered for sale, purchased, or received in interstate or foreign commerce.”.

(2) ADMINISTRATION AND ENFORCEMENT.—The Northern Pacific Halibut Act of 1982 (16 U.S.C. 773 et seq.) is amended—

(A) by striking sections 3, 9, and 10 (16 U.S.C. 773f, 773g, and 773h); and

(B) by striking subsections (b) through (f) of section 11 (16 U.S.C. 773i) and inserting the following:

“(b) ADMINISTRATION AND ENFORCEMENT.—This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

**SEC. 103. ILLEGAL, UNREPORTED, OR UNREGULATED FISHING.**

(a) IN GENERAL.—Section 608 of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826i), as amended by section 302(a) of this Act, is further amended by adding at the end thereof the following:

“(c) VESSELS AND VESSEL OWNERS ENGAGED IN ILLEGAL, UNREPORTED, OR UNREGULATED FISHING.—The Secretary may—

“(1) develop, maintain, and make public a list of vessels and vessel owners engaged in illegal, unreported, or unregulated fishing, including vessels or vessel owners identified by an international fishery management organization or arrangement made pursuant to an international fishery agreement, whether or not the United States is a party to such organization or arrangement;

“(2) take appropriate action against listed vessels and vessel owners, including action against fish, fish parts, or fish products from such vessels, in accordance with applicable United States law and consistent with applicable international law, including principles, rights, and obligations established in applicable international fishery management and trade agreements; and

“(3) provide notification to the public of vessels and vessel owners identified by international fishery management organizations or arrangements made pursuant to an international fishery agreement as having been engaged in illegal, unreported, or unregulated fishing, as well as any measures adopted by such organizations or arrangements to address illegal, unreported, or unregulated fishing.

“(d) RESTRICTIONS ON PORT ACCESS OR USE.—Action taken by the Secretary under subsection (c)(2) that includes measures to restrict use of or access to ports or port services shall apply to all ports of the United States and its territories.

“(e) REGULATIONS.—The Secretary may promulgate regulations to implement subsections (c) and (d).”.

(b) ADDITIONAL MEASURES.—

(1) AMENDMENT OF THE HIGH SEAS DRIFTNET FISHING MORATORIUM PROTECTION ACT.—

(A) Section 609(d)(3) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(d)(3)) is amended by striking “that has not been certified by the Secretary under this subsection, or” in subparagraph (A)(i).

(B) Section 610(c)(5) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826k(c)(5)) is amended by striking “that has not been certified by the Secretary under this subsection, or”.

(2) AMENDMENT OF THE HIGH SEAS DRIFTNET FISHERIES ENFORCEMENT ACT.—

(A) Section 101 of the High Seas Driftnet Fisheries Enforcement Act (16 U.S.C. 1826a) is amended—

(i) by striking subsection (a)(2) and inserting the following:

“(2) DENIAL OF PORT PRIVILEGES.—The Secretary of the Treasury shall, in accordance with recognized principles of international law—

“(A) withhold or revoke the clearance required by section 60105 of title 46, United States Code, for—

“(i) any large-scale driftnet fishing vessel that is documented under the law of the United States or of a nation included on a list published under paragraph (1); or

“(ii) any fishing vessel of a nation that receives a negative certification under section 609(d) or 610(c) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(d) or 1826k(c)); and

“(B) deny entry of that vessel to any place in the United States and to the navigable waters of the United States, except for the purpose of inspecting the vessel, conducting an investigation, or taking other appropriate enforcement action.”;

(ii) by striking “or illegal, unreported, or unregulated fishing” each place it appears in subsection (b)(1) and (2);

(iii) by striking “or” after the semicolon in subsection (b)(3)(A)(i);

(iv) by striking “nation.” in subsection (b)(3)(A)(ii) and inserting “nation; or”;

(v) by adding at the end of subsection (b)(3)(A) the following:

“(iii) upon receipt of notification of a negative certification under section 609(d)(1) or 610(c)(1) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(d)(1) or 1826k(c)(1)).”;

(vi) by inserting “or after issuing a negative certification under section 609(d)(1) or 610(c)(1) of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j(d)(1) or 1826k(c)(1))” after “paragraph (1),” in subsection (b)(4)(A); and

(vii) by striking subsection (b)(4)(A)(i) and inserting the following:

“(i) any prohibition established under paragraph (3) is insufficient to cause that nation—

“(I) to terminate large-scale driftnet fishing conducted by its nationals and vessels beyond the exclusive economic zone of any nation;

“(II) to address illegal, unreported, or unregulated fishing activities for which a nation has been identified under section 609 of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j); or

“(III) to address bycatch of a protected living marine resource for which a nation has been identified under section 610 of such Act (16 U.S.C. 1826k); or”.

(B) Section 102 of the High Seas Driftnet Fisheries Enforcement Act (16 U.S.C. 1826b) is amended by striking “such nation has terminated large-scale driftnet fishing or illegal, unreported, or unregulated fishing by its nationals and vessels beyond the exclusive economic zone of any nation.” and inserting “such nation has—

“(1) terminated large-scale driftnet fishing by its nationals and vessels beyond the exclusive economic zone of any nation;

“(2) addressed illegal, unreported, or unregulated fishing activities for which a nation has been identified under section 609 of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826j); or

“(3) addressed bycatch of a protected living marine resource for which a nation has been identified under section 610 of that Act (16 U.S.C. 1826k).”.

**SEC. 104. LIABILITY.**

Any claims arising from the actions of any officer, authorized by the Secretary to enforce the provisions of this Act or any Act to which this Act applies, taken pursuant to any scheme for at-sea boarding and inspection authorized under any international agreement to which the United States is a party may be pursued under chapter 171 of title 28, United States Code, or such other legal authority as may be pertinent.

**TITLE II—LAW ENFORCEMENT AND INTERNATIONAL OPERATIONS.**

**SEC. 201. INTERNATIONAL FISHERIES ENFORCEMENT PROGRAM.**

(a) ESTABLISHMENT.—

(1) IN GENERAL.—Within 12 months after the date of the enactment of this Act, the Secretary shall, subject to the availability of appropriations, establish an International Fisheries Enforcement Program within the Office of Law Enforcement of the National Marine Fisheries Service.

(2) PURPOSE.—The Program shall be an interagency program established and administered by the Secretary in coordination with the heads of other departments and agencies for the purpose of detecting and investigating illegal, unreported, or unregulated fishing activity and enforcing the provisions of this Act.

(3) STAFF.—The Program shall be staffed with representation from the Coast Guard, Customs and Border Protection, the Food and Drug Administration, and any other department or agency determined by the Secretary to be appropriate and necessary to detect and investigate illegal, unreported, or unregulated fishing activity and enforce the provisions of this Act.

(b) PROGRAM ACTIONS.—

(1) STAFFING AND OTHER RESOURCES.—At the request of the Secretary, the heads of other departments and agencies providing staff for the Program shall—

(A) by agreement, on a reimbursable basis or otherwise, participate in staffing the Program;

(B) by agreement, on a reimbursable basis or otherwise, share personnel, services, equipment (including aircraft and vessels), and facilities with the Program; and

(C) to the extent possible, and consistent with other applicable law, extend the enforcement authorities provided by their enabling legislation to the other departments and agencies participating in the Program for the purposes of conducting joint operations to detect and investigate illegal, unreported or unregulated fishing activity and enforcing the provisions of this Act.

(2) BUDGET.—The Secretary and the heads of other departments and agencies providing staff for the Program, may, at their discretion, develop interagency plans and budgets and engage in interagency financing for such purposes.

(3) 5-YEAR PLAN.—Within 180 days after the date on which the Program is established under subsection (a), the Secretary shall develop a 5-year strategic plan for guiding interagency and intergovernmental international fisheries enforcement efforts to carry out the provisions of this Act. The Secretary shall update the plan periodically as necessary, but at least once every 5 years.

(4) COOPERATIVE ACTIVITIES.—The Secretary, in coordination with the heads of other departments and agencies providing staff for the Program, may—

(A) create and participate in task forces, committees, or other working groups with other Federal, State or local governments as well as with the governments of other nations for the purposes of detecting and investigating illegal, unreported, or unregulated fishing activity and carrying out the provisions of this Act; and

(B) enter into agreements with other Federal, State, or local governments as well as with the governments of other nations, on a reimbursable basis or otherwise, for such purposes.

(C) POWERS OF AUTHORIZED OFFICERS.—Notwithstanding any other provision of law, while operating under an agreement with the Secretary entered into under section 101 of this Act, and conducting joint operations as part of the Program for the purposes of detecting and investigating illegal, unreported or unregulated fishing activity and enforcing the provisions of this Act, authorized officers shall have the powers and authority provided in that section.

(D) INFORMATION COLLECTION, MAINTENANCE AND USE.—

(1) IN GENERAL.—The Secretary and the heads of other departments and agencies providing staff for the Program shall, to the maximum extent allowable by law, share all applicable information, intelligence and data, related to the harvest, transportation or trade of fish and fish product in order to detect and investigate illegal, unreported, or unregulated fishing activity and to carry out the provisions of this Act.

(2) COORDINATION OF DATA.—The Secretary, through the Program, shall coordinate the collection, storage, analysis, and dissemination of all applicable information, intelligence, and data related to the harvest, transportation, or trade of fish and fish product collected or maintained by the member agencies of the Program.

(3) CONFIDENTIALITY.—The Secretary, through the Program, shall ensure the protection and confidentiality required by law for information, intelligence, and data related to the harvest, transportation, or trade of fish and fish product obtained by the Program.

(4) DATA STANDARDIZATION.—The Secretary and the heads of other departments and agencies providing staff for the Program shall, to the maximum extent practicable, develop data standardization for fisheries related data for Program agencies and with international fisheries enforcement databases as appropriate.

(5) ASSISTANCE FROM INTELLIGENCE COMMUNITY.—Upon request of the Secretary, elements of the intelligence community (as defined in section 3(4) of the National Security Act of 1947 (50 U.S.C. 401a(4))) shall collect information related to illegal, unreported, or unregulated fishing activity outside the United States about individuals who are not United States persons (as defined in section 105A(c)(2) of such Act (50 U.S.C. 403-5a(c)(2))). Such elements of the intelligence community shall collect and share such information with the Secretary through the Program for law enforcement purposes in order to detect and investigate illegal, unreported, or unregulated fishing activities and to carry out the provisions of this Act. All collection and sharing of information shall be in accordance with the National Security Act of 1947 (50 U.S.C. 401 et seq.).

(6) INFORMATION SHARING.—The Secretary, through the Program, shall have authority to share fisheries-related data with other Federal or State government agency, foreign government, the Food and Agriculture Organization of the United Nations, or the secretariat or equivalent of an international fisheries management organization or arrangement made pursuant to an international fishery agreement, if—

(A) such governments, organizations, or arrangements have policies and procedures to safeguard such information from unintended or unauthorized disclosure; and

(B) the exchange of information is necessary—

(i) to ensure compliance with any law or regulation enforced or administered by the Secretary;

(ii) to administer or enforce treaties to which the United States is a party;

(iii) to administer or enforce binding conservation measures adopted by any international organization or arrangement to which the United States is a party;

(iv) to assist in investigative, judicial, or administrative enforcement proceedings in the United States; or

(v) to assist in any fisheries or living marine resource related law enforcement action undertaken by a law enforcement agency of a foreign government, or in relation to a legal proceeding undertaken by a foreign government.

(E) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated \$30,000,000 to the Secretary for each of fiscal years 2012 through 2017 to carry out this section.

#### SEC. 202. INTERNATIONAL COOPERATION AND ASSISTANCE PROGRAM.

(A) INTERNATIONAL COOPERATION AND ASSISTANCE PROGRAM.—The Secretary may establish an international cooperation and assistance program, including grants, to provide assistance for international capacity building efforts.

(B) AUTHORIZED ACTIVITIES.—In carrying out the program, the Secretary may—

(1) provide funding and technical expertise to other nations to assist them in addressing illegal, unreported, or unregulated fishing activities;

(2) provide funding and technical expertise to other nations to assist them in reducing the loss and environmental impacts of derelict fishing gears, reducing the bycatch of living marine resources, and promoting international marine resource conservation;

(3) provide funding, technical expertise, and training, in cooperation with the International Fisheries Enforcement Program under section 201 of this Act, to other nations to aid them in building capacity for enhanced fisheries management, fisheries monitoring, catch and trade tracking activities, enforcement, and international marine resource conservation;

(4) establish partnerships with other Federal agencies, as appropriate, to ensure that fisheries development assistance to other nations is directed toward projects that promote sustainable fisheries; and

(5) conduct outreach and education efforts in order to promote public and private sector awareness of international fisheries sustainability issues, including the need to combat illegal, unreported, or unregulated fishing activity and to promote international marine resource conservation.

(C) GUIDELINES.—The Secretary may establish guidelines necessary to implement the program.

(D) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to the Secretary \$5,000,000 for each of fiscal years 2012 through 2017 to carry out this section. —

### TITLE III—MISCELLANEOUS AMENDMENTS

#### SEC. 301. ATLANTIC TUNAS CONVENTION ACT OF 1975.

(A) ELIMINATION OF ANNUAL REPORT.—Section 11 of the Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971j) is repealed.

(B) CERTAIN REGULATIONS.—Section 971d(c)(2) of the Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971d(c)(2)) is amended—

(1) by inserting “(A)” after “(2)”;

(2) by striking “(A) submission” and inserting “the presentation”;

(3) by striking “arguments, and (B) oral presentation at a public hearing. Such” and

inserting “written or oral statements at a public hearing. After consideration of such presentations, the”; and

(4) by adding at the end thereof the following:

“(B) The Secretary may issue final regulations to implement Commission recommendations referred to in paragraph (1) of this subsection concerning trade restrictive measures against nations or fishing entities without regard to the requirements of subparagraph (A) of this paragraph and subsections (b) and (c) of section 553 of title 5, United States Code.”

#### SEC. 302. DATA SHARING.

(A) HIGH SEAS DRIFTNET FISHING MORATORIUM PROTECTION ACT.—Section 608 of the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826i) is amended—

(1) by inserting “(a) IN GENERAL.—” before “The Secretary.”;

(2) by striking “organizations” the first place it appears and inserting, “organizations, or arrangements made pursuant to an international fishery agreement (as defined in section 3(24) of the Magnuson-Stevens Fishery Conservation and Management Act).”;

(3) by striking “and” after the semicolon in paragraph (2)(C);

(4) by striking “territories.” in paragraph (3) and inserting “territories; and”;

(5) by adding at the end thereof the following:

“(4) urging other nations, through the regional fishery management organizations of which the United States is a member, bilaterally and otherwise to seek and foster the sharing of accurate, relevant, and timely information—

“(A) to improve the scientific understanding of marine ecosystems;

“(B) to improve fisheries management decisions;

“(C) to promote the conservation of protected living marine resources;

“(D) to combat illegal, unreported, and unregulated fishing; and

“(E) to improve compliance with conservation and management measures in international waters.

(b) INFORMATION SHARING.—In carrying out this section, the Secretary may disclose, as necessary and appropriate, information to the Food and Agriculture Organization of the United Nations, international fishery management organizations (as so defined), or arrangements made pursuant to an international fishery agreement, if such organizations or arrangements have policies and procedures to safeguard such information from unintended or unauthorized disclosure.”

(B) CONFORMING AMENDMENT.—Section 402(b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1881a(b)(1)) is amended—

(1) by striking “or” after the semicolon in subparagraph (G);

(2) by redesignating subparagraph (H) as subparagraph (J); and

(3) by inserting after subparagraph (G) the following:

“(H) to the Food and Agriculture Organization of the United Nations, international fishery management organizations, or arrangements made pursuant to an international fishery agreement as provided for in the High Seas Driftnet Fishing Moratorium Protection Act (16 U.S.C. 1826i(b));

“(I) to any other Federal or State government agency, foreign government, the Food and Agriculture Organization of the United Nations, or the secretariat or equivalent of an international fisheries management organization or arrangement made pursuant to an international fishery agreement, as provided in section 201(d)(6) of the International

Fisheries Stewardship and Enforcement Act; or”.

**SEC. 303. PERMITS UNDER THE HIGH SEAS FISHING COMPLIANCE ACT OF 1995.**

Section 104(f) of the High Seas Fishing Compliance Act (16 U.S.C. 5503(f)) is amended to read as follows:

“(f) **VALIDITY.**—A permit issued under this section is void if—

“(1) 1 or more permits or authorizations required for a vessel to fish, in addition to a permit issued under this section, expire, are revoked, or are suspended; or

“(2) the vessel is no longer eligible for United States documentation, such documentation is revoked or denied, or the vessel is deleted from such documentation.”.

**SEC. 304. COMMITTEE ON SCIENTIFIC COOPERATION FOR PACIFIC SALMON AGREEMENT.**

Section 11 of the Pacific Salmon Treaty Act of 1985 (16 U.S.C. 3640) is amended by redesignating subsections (c) and (d) as subsections (d) and (e), respectively, and inserting after subsection (b) the following:

“(c) **SCIENTIFIC COOPERATION COMMITTEE.**—Members of the Committee on Scientific Cooperation who are not State or Federal employees shall receive compensation at a rate equivalent to the rate payable for level IV of the Executive Schedule under section 5315 of title 5, United States Code, when engaged in actual performance of duties for the Commission.”.

**SEC. 305. REAUTHORIZATIONS.**

(a) **INTERNATIONAL DOLPHIN CONSERVATION PROGRAM.**—Section 304(c)(1) of the Marine Mammal Protection Act (16 U.S.C. 1414a(c)(1)) is amended by adding at the end thereof the following:

“(E) \$1,000,000 for each of fiscal years 2009 through 2013.”.

(b) **PACIFIC SALMON TREATY ACT OF 1985.**—Section 16(d)(2)(A) of the Pacific Salmon Treaty Act of 1985 (16 U.S.C. 3645(d)(2)(A)) is amended by striking “and 2009,” and inserting “2009, 2010, 2011, 2012, and 2013.”.

(c) **SOUTH PACIFIC TUNA ACT OF 1988.**—Section 20(a) of the South Pacific Tuna Act of 1988 (16 U.S.C. 973r(a)) is amended by striking “1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000, 2001, and 2002,” each place it appears and inserting “2009 through 2013”.

**TITLE IV—IMPLEMENTATION OF THE ANTIGUA CONVENTION**

**SEC. 401. SHORT TITLE.**

This title may be cited as the “Antigua Convention Implementing Act of 2011”.

**SEC. 402. AMENDMENT OF THE TUNA CONVENTIONS ACT OF 1950.**

Except as otherwise expressly provided, whenever in this title an amendment or repeal is expressed in terms of an amendment to, or repeal of, a section or other provision, the reference shall be considered to be made to a section or other provision of the Tuna Conventions Act of 1950 (16 U.S.C. 951 et seq.).

**SEC. 403. DEFINITIONS.**

Section 2 (16 U.S.C. 951) is amended to read as follows:

**“SEC. 2. DEFINITIONS.**

“In this Act:

“(1) **ANTIGUA CONVENTION.**—The term ‘Antigua Convention’ means the Convention for the Strengthening of the Inter-American Tropical Tuna Commission Established by the 1949 Convention Between the United States of America and the Republic of Costa Rica, signed at Washington, November 14, 2003.

“(2) **COMMISSION.**—The term ‘Commission’ means the Inter-American Tropical Tuna Commission provided for by the Convention.

“(3) **CONVENTION.**—The term ‘Convention’ means—

“(A) the Convention for the Establishment of an Inter-American Tropical Tuna Commission, signed at Washington, May 31, 1949, by the United States of America and the Republic of Costa Rica;

“(B) the Antigua Convention, upon its entry into force for the United States, and any amendments thereto that are in force for the United States; or

“(C) both such Conventions, as the context requires.

“(4) **IMPORT.**—The term ‘import’ means to land on, bring into, or introduce into, or attempt to land on, bring into, or introduce into, any place subject to the jurisdiction of the United States, whether or not such landing, bringing, or introduction constitutes an importation within the meaning of the customs laws of the United States.

“(5) **PERSON.**—The term ‘person’ means an individual, partnership, corporation, or association subject to the jurisdiction of the United States.

“(6) **UNITED STATES.**—The term ‘United States’ includes all areas under the sovereignty of the United States.

“(7) **U.S. COMMISSIONERS.**—The term ‘U.S. commissioners’ means the members of the commission.

“(8) **U.S. SECTION.**—The term ‘U.S. section’ means the U.S. Commissioners to the Commission and a designee of the Secretary of State.”.

**SEC. 404. COMMISSIONERS; NUMBER, APPOINTMENT, AND QUALIFICATIONS.**

Section 3 (16 U.S.C. 952) is amended to read as follows:

**“SEC. 3. COMMISSIONERS.**

“(a) **COMMISSIONERS.**—The United States shall be represented on the Commission by 5 United States Commissioners. The President shall appoint individuals to serve on the Commission at the pleasure of the President. In making the appointments, the President shall select Commissioners from among individuals who are knowledgeable or experienced concerning highly migratory fish stocks in the eastern tropical Pacific Ocean, one of whom shall be an officer or employee of the Department of Commerce, one of whom shall be the chairman or a member of the Western Pacific Fishery Management Council, and one of whom shall be the chairman or a member of the Pacific Fishery Management Council. Not more than 2 Commissioners may be appointed who reside in a State other than a State whose vessels maintain a substantial fishery in the area of the Convention.

“(b) **ALTERNATE COMMISSIONERS.**—The Secretary of State, in consultation with the Secretary, may designate from time to time and for periods of time deemed appropriate Alternate United States Commissioners to the Commission. Any Alternate United States Commissioner may exercise, at any meeting of the Commission or of the General Advisory Committee or Scientific Advisory Subcommittee established pursuant to section 4(b), all powers and duties of a United States Commissioner in the absence of any Commissioner appointed pursuant to subsection (a) of this section for whatever reason. The number of such Alternate United States Commissioners that may be designated for any such meeting shall be limited to the number of United States Commissioners appointed pursuant to subsection (a) of this section who will not be present at such meeting.

“(c) **ADMINISTRATIVE MATTERS.**—

“(1) **EMPLOYMENT STATUS.**—Individuals serving as such Commissioners, other than officers or employees of the United States Government, shall not be considered Federal employees except for the purposes of injury compensation or tort claims liability as pro-

vided in chapter 81 of title 5, United States Code, and chapter 171 of title 28, United States Code.

“(2) **COMPENSATION.**—The United States Commissioners or Alternate Commissioners, although officers of the United States while so serving, shall receive no compensation for their services as such Commissioners or Alternate Commissioners.

“(3) **TRAVEL EXPENSES.**—

“(A) The Secretary of State shall pay the necessary travel expenses of United States Commissioners and Alternate United States Commissioners to meetings of the IATTC and other meetings the Secretary deems necessary to fulfill their duties, in accordance with the Federal Travel Regulations and sections 5701, 5702, 5704 through 5708, and 5731 of title 5, United States Code.

“(B) The Secretary may reimburse the Secretary of State for amounts expended by the Secretary of State under this subsection.”.

**SEC. 405. GENERAL ADVISORY COMMITTEE AND SCIENTIFIC ADVISORY SUBCOMMITTEE.**

Section 4 (16 U.S.C. 953) is amended—

(1) by striking subsection (a) and inserting the following:

“(a) **GENERAL ADVISORY COMMITTEE.**—

“(1) **APPOINTMENTS; PUBLIC PARTICIPATION; COMPENSATION.**—

“(A) The Secretary, in consultation with the Secretary of State, shall appoint a General Advisory Committee which shall consist of not more than 25 individuals who shall be representative of the various groups concerned with the fisheries covered by the Convention, including nongovernmental conservation organizations, providing to the maximum extent practicable an equitable balance among such groups. Members of the General Advisory Committee will be eligible to participate as members of the U.S. delegation to the Commission and its working groups to the extent the Commission rules and space for delegations allow.

“(B) The chair of the Pacific Fishery Management Council’s Advisory Subpanel for Highly Migratory Fisheries and the chair of the Western Pacific Fishery Management Council’s Advisory Committee shall be members of the General Advisory Committee by virtue of their positions in those Councils;

“(C) Each member of the General Advisory Committee appointed under subparagraph (A) shall serve for a term of 3 years and is eligible for reappointment.

“(D) The General Advisory Committee shall be invited to attend all non-executive meetings of the United States Section and at such meetings shall be given opportunity to examine and to be heard on all proposed programs of investigation, reports, recommendations, and regulations of the Commission.

“(E) The General Advisory Committee shall determine its organization, and prescribe its practices and procedures for carrying out its functions under this chapter, the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801 et seq.), and the Convention. The General Advisory Committee shall publish and make available to the public a statement of its organization, practices and procedures. Meetings of the General Advisory Committee, except when in executive session, shall be open to the public, and prior notice of meetings shall be made public in timely fashion. The General Advisory Committee shall not be subject to the Federal Advisory Committee Act (5 U.S.C. App.).

“(2) **INFORMATION SHARING.**—The Secretary and the Secretary of State shall furnish the General Advisory Committee with relevant information concerning fisheries and international fishery agreements.

“(3) **ADMINISTRATIVE MATTERS.**—

“(A) The Secretary shall provide to the General Advisory Committee in a timely manner such administrative and technical support services as are necessary for its effective functioning.

“(B) Individuals appointed to serve as a member of the General Advisory Committee—

“(i) shall serve without pay, but while away from their homes or regular places of business to attend meetings of the General Advisory Committee shall be allowed travel expenses, including per diem in lieu of subsistence, in the same manner as persons employed intermittently in the Government service are allowed expenses under section 5703 of title 5, United States Code; and

“(ii) shall not be considered Federal employees except for the purposes of injury compensation or tort claims liability as provided in chapter 81 of title 5, United States Code, and chapter 171 of title 28, United States Code.”; and

(2) by striking so much of subsection (b) as precedes paragraph (2) and inserting the following:

“(b) **SCIENTIFIC ADVISORY COMMITTEE.**—(1) The Secretary, in consultation with the Secretary of State, shall appoint a Scientific Advisory Subcommittee of not less than 5 nor more than 15 qualified scientists with balanced representation from the public and private sectors, including nongovernmental conservation organizations.”.

**SEC. 406. RULEMAKING.**

Section 6 (16 U.S.C. 955) is amended—

(1) by striking the section caption and inserting the following:

“**SEC. 6. RULEMAKING.**”; and

(2) by striking subsections (a) and (b) and inserting the following:

“(a) **REGULATIONS.**—The Secretary, in consultation with the Secretary of State and, with respect to enforcement measures, the Secretary of the Department in which the Coast Guard is operating, may promulgate such regulations as may be necessary to carry out the United States international obligations under the Convention and this Act, including recommendations and decisions adopted by the Commission. In cases where the Secretary has discretion in the implementation of one or more measures adopted by the Commission that would govern fisheries under the authority of a Regional Fishery Management Council, the Secretary may, to the extent practicable within the implementation schedule of the Convention and any recommendations and decisions adopted by the Commission, promulgate such regulations in accordance with the procedures established by the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801 et seq.).

“(b) **JURISDICTION.**—The Secretary may promulgate regulations applicable to all vessels and persons subject to the jurisdiction of the United States, including United States flag vessels wherever they may be operating, on such date as the Secretary shall prescribe.”.

**SEC. 407. PROHIBITED ACTS.**

Section 8 (16 U.S.C. 957) is amended to read as follows:

“**SEC. 8. PROHIBITED ACTS.**

“It is unlawful for any person—

“(1) to violate any provision of this chapter or any regulation or permit issued pursuant to this Act;

“(2) to use any fishing vessel to engage in fishing after the revocation, or during the period of suspension, of an applicable permit issued pursuant to this Act;

“(3) to refuse to permit any officer authorized to enforce the provisions of this Act (as provided for in section 10) to board a fishing vessel subject to such person’s control for

the purposes of conducting any search, investigation or inspection in connection with the enforcement of this Act or any regulation, permit, or the Convention;

“(4) to forcibly assault, resist, oppose, impede, intimidate, sexually harass, bribe, or interfere with any such authorized officer in the conduct of any search, investigations or inspection in connection with the enforcement of this Act or any regulation, permit, or the Convention;

“(5) to resist a lawful arrest for any act prohibited by this Act;

“(6) to ship, transport, offer for sale, sell, purchase, import, export, or have custody, control, or possession of, any fish taken or retained in violation of this Act or any regulation, permit, or agreement referred to in paragraph (1) or (2);

“(7) to interfere with, delay, or prevent, by any means, the apprehension or arrest of another person, knowing that such other person has committed any act prohibited by this section;

“(8) to knowingly and willfully submit to the Secretary false information regarding any matter that the Secretary is considering in the course of carrying out this Act;

“(9) to forcibly assault, resist, oppose, impede, intimidate, sexually harass, bribe, or interfere with any observer on a vessel under this Act, or any data collector employed by the National Marine Fisheries Service or under contract to any person to carry out responsibilities under this Act;

“(10) to engage in fishing in violation of any regulation adopted pursuant to section 6(c) of this Act;

“(11) to ship, transport, purchase, sell, offer for sale, import, export, or have in custody, possession, or control any fish taken or retained in violation of such regulations;

“(12) to fail to make, keep, or furnish any catch returns, statistical records, or other reports as are required by regulations adopted pursuant to this Act to be made, kept, or furnished;

“(13) to fail to stop a vessel upon being hailed and instructed to stop by a duly authorized official of the United States;

“(14) to import, in violation of any regulation adopted pursuant to section 6(c) of this Act, any fish in any form of those species subject to regulation pursuant to a recommendation, resolution, or decision of the Commission, or any tuna in any form not under regulation but under investigation by the Commission, during the period such fish have been denied entry in accordance with the provisions of section 6(c) of this Act, unless such person provides such proof as the Secretary of Commerce may require that a fish described in this paragraph offered for entry into the United States is not ineligible for such entry under the terms of section 6(c) of this Act.”.

**SEC. 408. ENFORCEMENT.**

Section 10 (16 U.S.C. 959) is amended to read as follows:

“**SEC. 10. ENFORCEMENT.**

“This Act shall be enforced under section 101 of the International Fisheries Stewardship and Enforcement Act.”.

**SEC. 409. REDUCTION OF BYCATCH.**

Section 15 (16 U.S.C. 962) is amended by striking “vessel” and inserting “vessels”.

**SEC. 410. REPEAL OF EASTERN PACIFIC TUNA LICENSING ACT OF 1984.**

The Eastern Pacific Tuna Licensing Act of 1984 (16 U.S.C. 972 et seq.) is repealed.

By Mr. INOUE:

S. 57. A bill to amend the Internal Revenue Code of 1986 to modify the application of the tonnage tax on certain vessels; to the Committee on Finance.

Mr. INOUE. Mr. President, foreign registered ships now carry 97 percent of the imports and exports moving in United States international trade. These foreign vessels are held to lower standards than United States registered ships, and are virtually untaxed. Their costs of operation are, therefore, lower than United States ship operating costs, which explains their 97 percent market share.

Seven years ago, in order to help level the playing field for United States-flag ships that compete in international trade, Congress enacted, under the American Jobs Creation Act of 2004, Public Law 108-357, Subchapter R, a “tonnage tax” that is based on the tonnage of a vessel, rather than taxing international income at a 35 percent corporate income tax rate. However, during the House and Senate conference, language was included, which states that a United States vessel cannot use the tonnage tax on international income if that vessel also operates in United States domestic commerce for more than 30 days per year.

This 30-day limitation dramatically limits the availability of the tonnage tax for those United States ships that operate in both domestic and international trade and, accordingly, severely hinders their competitiveness in foreign commerce. It is important to recognize that ships operating in United States domestic trade already have significant cost disadvantages. Specifically, they are built in higher priced United States shipyards; do not receive Maritime Security Payments, even when operated in international trade; and are owned by United States-based American corporations. The inability of these domestic operators to use the tonnage tax for their international service is a further, unnecessary burden on their competitive position in foreign commerce.

When windows of opportunity present themselves in international trade, American tax policy and maritime policy should facilitate the participation of these American-built ships. Instead, the 30-day limit makes them ineligible to use the tonnage tax, and further handicaps American vessels when competing for international cargo. Denying the tonnage tax to coastwise qualified ships further stymies the operation of American built ships in international commerce, and further exacerbates America’s 97 percent reliance on foreign ships to carry its international cargo.

These concerns were of sufficient importance that in December 2006 Congress repealed the 30-day limit on domestic trading—but only for approximately 50 ships operating in the Great Lakes. These ships primarily operate in domestic trade on the Great Lakes, but also carry cargo between the United States and Canada in international trade, Section 415 of P.L. 109-432, the Tax Relief and Health Care Act of 2006.

The identifiable universe of remaining ships other than the Great Lakes

ships that operate in domestic trade, but that may also operate temporarily in international trade, totals 13 United States flag vessels. These 13 ships normally operate in domestic trades that involve Washington, Oregon, California, Hawaii, Alaska, Florida, Mississippi, and Louisiana. In the interest of providing tax equity to the United States corporations that own and operate these 13 vessels, my bill would repeal the tonnage tax 30-day limit on domestic operations and enable these vessels to utilize the tonnage tax on their international income so they receive the same treatment as other United States flag international operations. I stress that, under my bill, these ships will continue to pay the normal 35 percent United States corporate tax rate on their domestic income.

Repeal of the tonnage tax's 30-day limit on domestic operations is a necessary step toward providing tax equity between United States flag and foreign flag vessels. I strongly urge the tax writing committees of the U.S. Congress to give this legislation their expedited consideration and approval.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 57

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. MODIFICATION OF THE APPLICATION OF THE TONNAGE TAX ON VESSELS OPERATING IN THE DUAL UNITED STATES DOMESTIC AND FOREIGN TRADES.**

(a) IN GENERAL.—Subsection (f) of section 1355 of the Internal Revenue Code of 1986 (relating to definitions and special rules) is amended to read as follows:

“(f) EFFECT OF OPERATING A QUALIFYING VESSEL IN THE DUAL UNITED STATES DOMESTIC AND FOREIGN TRADES.—For purposes of this subchapter—

“(1) an electing corporation shall be treated as continuing to use a qualifying vessel in the United States foreign trade during any period of use in the United States domestic trade, and

“(2) gross income from such United States domestic trade shall not be excluded under section 1357(a), but shall not be taken into account for purposes of section 1353(b)(1)(B) or for purposes of section 1356 in connection with the application of section 1357 or 1358.”.

(b) REGULATORY AUTHORITY FOR ALLOCATION OF CREDITS, INCOME, AND DEDUCTIONS.—Section 1358 of the Internal Revenue Code of 1986 (relating to allocation of credits, income, and deductions) is amended—

(1) by striking “in accordance with this subsection” in subsection (c) and inserting “to the extent provided in such regulations as may be prescribed by the Secretary”, and

(2) by adding at the end the following new subsection:

“(d) REGULATIONS.—The Secretary shall prescribe regulations consistent with the provisions of this subchapter for the purpose of allocating gross income, deductions, and credits between or among qualifying shipping activities and other activities of a taxpayer.”.

(c) CONFORMING AMENDMENTS.—

(1) Section 1355(a)(4) of the Internal Revenue Code of 1986 is amended by striking “exclusively”.

(2) Section 1355(b)(1)(B) of such Code is amended by striking “as a qualifying vessel” and inserting “in the transportation of goods or passengers”.

(3) Section 1355 of such Code is amended—

(A) by striking subsection (g), and

(B) by redesignating subsection (h) as subsection (g).

(d) EFFECTIVE DATE.—The amendments made by this section shall apply to taxable years beginning after the date of the enactment of this Act.

By Mr. INOUE:

S. 59. A bill to treat certain hospital support organizations as qualified organizations for purposes of determining acquisition indebtedness; to the Committee on Finance.

Mr. INOUE. Mr. President, the legislation I am reintroducing today will extend to qualified teaching hospital support organizations the existing debt-financed safe harbor rule. Congress enacted that rule to support the public service activities of tax-exempt schools, universities, pension funds, and consortia of such institutions. Our teaching hospitals require similar support.

As a result, for-profit hospitals are moving from older areas to affluent locations where residents can afford to pay for treatment. These private hospitals typically have no mandate for community service. In contrast, non-profit hospitals must fulfill a community service requirement. They must stretch their resources to provide increased charitable care, update their facilities, and maintain skilled staffing resulting in closures of non-profit hospitals due to this financial strain.

The problem is particularly severe for teaching hospitals. Non-profit hospitals provide nearly all the post-graduate medical education in the United States. Post-graduate medical instruction is by nature not profitable. Instruction in the treatment of mental disorders and trauma is especially costly.

Despite their financial problem, the Nation's non-profit hospitals strive to deliver a very high level of service. A study in the December 2006 issue of *Archives of International Medicine* had surveyed hospital's quality of care in four areas of treatment. It found that non-profit hospitals consistently outperformed for-profit hospitals. The study also found that teaching hospitals had a higher level of performance in treatment and diagnosis, and that investments in technology and staffing leads to better care. In addition, it recommended that alternative payments and sources of payments be considered to finance these improvements.

The success and financial constraints of non-profit teaching hospitals is evident in work of the Queen's Health Systems in my State. This 151-year-old organization maintains the largest, private, nonprofit hospital in Hawaii. The Queen's Health Systems serve as

the primary clinical teaching facility for the University of Hawaii's medical residency program in medicine, general surgery, orthopedic surgery, pathology, psychiatry, and is a clinical teaching facility for obstetrics-gynecology. It conducts educational and training programs for nurses and allied health personnel. The Queen's Health Systems operate the only trauma unit as well as the chief behavioral health program in the State. It maintains clinics throughout Hawaii, health programs, for Native Hawaiians, and a small hospital in the rural, economically depressed island of Molokai. Furthermore, the Queen's Health Systems annually provides millions of dollars in uncompensated health services. To help pay for these community benefits, the Queen's Health Systems, as other nonprofit teaching hospitals, relies significantly on income from its endowment.

In the past, the Congress has allowed tax-exempt schools, colleges, universities, and pension funds to invest their endowment in real estate so as to better meet their financial needs. Under the tax code, these organizations can incur debt for real estate investments without triggering the tax on unrelated business activities.

If the Queen's Health Systems were part of a university, it could borrow without incurring an unrelated business income tax. Not being part of a university, however, a teaching hospital and its support organization run into the tax code's debt financing prohibition. Non-profit teaching hospitals have the same if not more pressing needs as that of universities, schools, and pension trusts. The same safe harbor rule should be extended to teaching hospitals.

My bill would allow the support organizations for qualified teaching hospitals to engage in limited borrowing to enhance their endowment income. The proposal for teaching hospitals is actually more restricted than current law for schools, universities and pension trusts. Under safeguards developed by the Joint Committee on Taxation staff, a support organization for a teaching hospital cannot buy and develop land on a commercial basis. The proposal is tied directly to the organization endowment. The staff's revenue estimates show that the provision with its general application will help a number of teaching hospitals.

The U.S. Senate has several times before acted favorably on this proposal. The Senate adopted a similar provision in H.R. 1836, the Economic Growth and Tax Relief Act of 2001. The House conferees on that bill, however, objected that the provision was unrelated to the bill's focus on individual tax relief and the conference deleted the provision from the final legislation. Subsequently, the Finance Committee included the provision in H.R. 7, the CARE Act of 2002, and in S. 476, the CARE Act of 2003, which the Senate passed. In a previous Congress' S. 6, the



Marriage, Opportunity, Relief, and Empowerment Act of 2005, which the Senate leadership introduced, also included the proposal.

As the Senate Finance Committee's hearings show, substantial health needs would go unmet if not for our charitable hospitals. It is time for the Congress to assist the Nation's teaching hospitals in their charitable, educational service.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 59

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. TREATMENT OF CERTAIN HOSPITAL SUPPORT ORGANIZATIONS AS QUALIFIED ORGANIZATIONS FOR PURPOSES OF DETERMINING ACQUISITION INDEBTEDNESS.**

(a) IN GENERAL.—Subparagraph (C) of section 514(c)(9) of the Internal Revenue Code of 1986 (relating to real property acquired by a qualified organization) is amended by striking “or” at the end of clause (iii), by striking the period at the end of clause (iv) and inserting “; or”, and by adding at the end the following new clause:

“(v) a qualified hospital support organization (as defined in subparagraph (I)).”

(b) QUALIFIED HOSPITAL SUPPORT ORGANIZATIONS.—Paragraph (9) of section 514(c) of the Internal Revenue Code of 1986 is amended by adding at the end the following new subparagraph:

“(I) QUALIFIED HOSPITAL SUPPORT ORGANIZATIONS.—For purposes of subparagraph (C)(iv), the term ‘qualified hospital support organization’ means, with respect to any eligible indebtedness (including any qualified refinancing of such eligible indebtedness), a support organization (as defined in section 509(a)(3)) which supports a hospital described in section 119(d)(4)(B) and with respect to which—

“(i) more than half of its assets (by value) at any time since its organization—

“(I) were acquired, directly or indirectly, by testamentary gift or devise, and

“(II) consisted of real property, and

“(ii) the fair market value of the organization's real estate acquired, directly or indirectly, by gift or devise, exceeded 25 percent of the fair market value of all investment assets held by the organization immediately prior to the time that the eligible indebtedness was incurred.

For purposes of this subparagraph, the term ‘eligible indebtedness’ means indebtedness secured by real property acquired by the organization, directly or indirectly, by gift or devise, the proceeds of which are used exclusively to acquire any leasehold interest in such real property or for improvements on, or repairs to, such real property. A determination under clauses (i) and (ii) of this subparagraph shall be made each time such an eligible indebtedness (or the qualified refinancing of such an eligible indebtedness) is incurred. For purposes of this subparagraph, a refinancing of such an eligible indebtedness shall be considered qualified if such refinancing does not exceed the amount of the refinanced eligible indebtedness immediately before the refinancing.”

(c) EFFECTIVE DATE.—The amendments made by this section shall apply to indebtedness incurred on or after the date of the enactment of this Act.

By Mr. INOUE:

S. 60. A bill to provide relief to the Pottawatomi Nation in Canada for settlement of certain claims against the United States; to the Committee on the Judiciary.

Mr. INOUE. Mr. President, nearly 16 years ago I stood before you to introduce a bill “to provide an opportunity for the Pottawatomi Nation in Canada to have the merits of their claims against the United States determined by the United States Court of Federal Claims.”

That bill was introduced as Senate Resolution 223, which referred the Pottawatomi's claim to the Chief Judge of the U.S. Court of Federal Claims and required the Chief Judge to report back to the Senate and provide sufficient findings of fact and conclusions of law to enable the Congress to determine whether the claim of the Pottawatomi Nation in Canada is legal or equitable in nature, and the amount of damages, if any, which may be legally or equitably due from the United States.

Over a decade ago, the Chief Judge of the Court of Federal Claims reported back that the Pottawatomi Nation in Canada has a legitimate and credible legal claim. Thereafter, by settlement stipulation, the United States has taken the position that it would be “fair, just and equitable” to settle the claims of the

Pottawatomi Nation in Canada for the sum of \$1,830,000. This settlement amount was reached by the parties after seven years of extensive, fact-intensive litigation. Independently, the court concluded that the settlement amount is “not a gratuity” and that the “settlement was predicated on a credible legal claim.” *Pottawatomi Nation in Canada, et al. v. United States*, Cong. Ref. 94-1037X at 28, Ct. Fed. Cl., September 15, 2000, Report of Hearing Officer.

The bill I introduce today is to authorize the appropriation of those funds that the United States has concluded would be “fair, just and equitable” to satisfy this legal claim. If enacted, this bill will finally achieve a measure of justice for a tribal nation that has for far too long been denied.

For the information of our colleagues, this is the historical background that informs the underlying legal claim of the Canadian Pottawatomi.

The members of the Pottawatomi Nation in Canada are one of the descendant groups—successors-in-interest—of the historical Pottawatomi Nation and their claim originates in the latter part of the 18th century. The historical Pottawatomi Nation was aboriginal to the United States. They occupied and possessed a vast expanse in what is now the States of Ohio, Michigan, Indiana, Illinois, and Wisconsin. From 1795 to 1833, the United States annexed most of the traditional land of the Pottawatomi Nation through a series of treaties of cession—many of these

cessions were made under extreme duress and the threat of military action. In exchange, the Pottawatomi were repeatedly made promises that the remainder of their lands would be secure and, in addition, that the United States would pay certain annuities to the Pottawatomi.

In 1829, the United States formally adopted a Federal policy of removal; an effort to remove all Indian tribes from their traditional lands east of the Mississippi River to the west. As part of that effort, the government increasingly pressured the Pottawatomi to cede the remainder of their traditional lands, some five million acres in and around the city of Chicago, and remove their nation west. For years, the Pottawatomi steadfastly refused to cede the remainder of their tribal territory. Then in 1833, the United States, pressed by settlers seeking more land, sent a Treaty Commission to the Pottawatomi with orders to extract a cession of the remaining lands. The Treaty Commissioners spent 2 weeks using extraordinarily coercive tactics—including threats of war—in an attempt to get the Pottawatomi to agree to cede their territory. Finally, those Pottawatomi who were present relented and on September 26, 1833, they ceded their remaining tribal estate through what would be known as the Treaty of Chicago. Seventy-seven members of the Pottawatomi Nation signed the Treaty of Chicago. Members of the “Wisconsin Band” were not present and did not assent to the cession.

In exchange for their land, the Treaty of Chicago provided that the United States would give to the Pottawatomi 5 million acres of comparable land in what is now Missouri. The Pottawatomi were familiar with the Missouri land, aware that it was similar to their homeland. However, the Senate refused to ratify that negotiated agreement and unilaterally switched the land to five million acres in Iowa. The Treaty Commissioners were sent back to acquire Pottawatomi assent to the Iowa land. All but seven of the original 77 signatories refused to accept the change even with promises that if they were dissatisfied “justice would be done.”

Nevertheless, the Treaty of Chicago was ratified as amended by the Senate in 1834. Subsequently, the Pottawatomi sent a delegation to evaluate the land in Iowa. The delegation reported back that the land was “not fit for snakes to live on.”

While some Pottawatomi moved westward, many of the Pottawatomi, particularly the Wisconsin Band, whose leaders never agreed to the Treaty, refused to do so. By 1836, the United States began to forcefully remove Pottawatomi who remained in the east with devastating consequences. As is true with many other American Indian tribes, the forced removal westward came at great human cost. Many of the Pottawatomi were forcefully removed

by mercenaries who were paid on a per capita basis government contract. Over one-half of the Indians removed by these means died en route. Those who reached Iowa were almost immediately removed further to inhospitable parts of Kansas against their will and without their consent.

After learning of these conditions, many of the Pottawatomi, including most of the Wisconsin Band, vigorously resisted forced removal. To avoid Federal troops and mercenaries, much of the Wisconsin Band ultimately found it necessary to flee to Canada. They were often pursued to the border by government troops, government-paid mercenaries or both. Official files of the Canadian and United States governments disclose that many Pottawatomi were forced to leave their homes without their horses or any of their possessions other than the clothes on their backs.

By the late 1830s, the government refused payment of annuities to any Pottawatomi groups that had not removed west. In the 1860s, members of the Wisconsin Band—those still in their traditional territory and those forced to flee to Canada—petitioned Congress for the payment of their treaty annuities promised under the Treaty of Chicago and all other cession treaties. By the Act of June 25, 1864, 13 Stat. 172, Congress declared that the Wisconsin Band did not forfeit their annuities by not removing and directed that the share of the Pottawatomi Indians who had refused to relocate to the west should be retained for their use in the United States Treasury. H.R. Rep. No. 470, 64th Cong., p. 5, as quoted on page 3 of memo dated October 7, 1949. Nevertheless, much of the money was never paid to the Wisconsin Band.

In 1903, the Wisconsin Band—most of whom now resided in three areas, the States of Michigan and Wisconsin and the Province of Ontario—petitioned the Senate once again to pay them their fair portion of annuities as required by the law and treaties, Sen. Doc. No. 185, 57th Cong., 2d Sess. By the act of June 21, 1906, 34 Stat. 380, Congress directed the Secretary of the Interior to investigate claims made by the Wisconsin Band and establish a roll of the Wisconsin Band Pottawatomi that still remained in the east. In addition, Congress ordered the Secretary to determine “the [Wisconsin Bands] proportionate shares of the annuities, trust funds, and other moneys paid to or expended for the tribe to which they belong in which the claimant Indians have not shared, [and] the amount of such monies retained in the Treasury of the United States to the credit of the clamant Indians as directed the provision of the Act of June 25, 1864.”

In order to carry out the 1906 Act, the Secretary of Interior directed Dr. W.M. Wooster to conduct an enumeration of Wisconsin Band Pottawatomi in both the United States and Canada. Dr. Wooster documented 2,007 Wisconsin Pottawatomi: 457 in Wisconsin and Michigan and 1,550 in Canada. He also

concluded that the proportionate share of annuities for the Pottawatomi in Wisconsin and Michigan was \$477,339 and that the proportionate share of annuities due the Pottawatomi Nation in Canada was \$1,517,226. Congress thereafter enacted a series of appropriation Acts from June 30, 1913 to May 29, 1928 to satisfy most of the money owed to those Wisconsin Band Pottawatomi residing in the United States. However, the Wisconsin Band Pottawatomi who resided in Canada were never paid their share of the tribal funds.

Since that time, the Pottawatomi Nation in Canada has diligently and continuously sought to enforce their treaty rights, although until this Congressional reference, they had never been provided their day in court. In 1910, the United States and Great Britain entered into an agreement for the purpose of dealing with claims between both countries, including claims of Indian tribes within their respective jurisdictions, by creating the Pecuniary Claims Tribunal. From 1910 to 1938, the Pottawatomi Nation in Canada diligently sought to have their claim heard in this international forum. Overlooked for more pressing international matters of the period, including the intervention of World War I, the Pottawatomi then came to the U.S. Congress for redress of their claim.

In 1946, the Congress waived its sovereign immunity and established the Indian Claims Commission for the purpose of granting tribes their long-delayed day in court. The Indian Claims Commission Act, ICCA, granted the Commission jurisdiction over claims such as the type involved here. In 1948, the Wisconsin Band Pottawatomi from both sides of the border brought suit together in the Indian Claims Commission for recovery of damages. *Hannahville Indian Community v. U.S.*, No. 28 (Ind. Cl. Comm. Filed May 4, 1948). Unfortunately, the Indian Claims Commission dismissed Pottawatomi Nation in Canada’s part of the claim ruling that the Commission had no jurisdiction to consider claims of Indians living outside territorial limits of the United States. *Hannahville Indian Community v. U.S.*, 115 Ct. Cl. 823, 1950. The claim of the Wisconsin Band residing in the United States that was filed in the Indian Claims Commission was finally decided in favor of the Wisconsin Band by the U.S. Claims Court in 1983. *Hannahville Indian Community v. United States*, 4 Ct. Cl. 445, 1983. The Court of Claims concluded that the Wisconsin Band was owed a member’s proportionate share of unpaid annuities from 1838 through 1907 due under various treaties, including the Treaty of Chicago and entered judgment for the American Wisconsin Band Pottawatomi for any monies not paid. Still the Pottawatomi Nation in Canada was excluded because of the jurisdictional limits of the ICCA.

Undaunted, the Pottawatomi Nation in Canada came to the Senate, and after careful consideration, we finally

gave them their long-awaited day in court through the Congressional reference process. The court has now reported back to us that their claim is meritorious and that the payment that this bill would make constitutes a “fair, just and equitable” resolution to this claim.

The Pottawatomi Nation in Canada has sought justice for over 150 years. They have done all that we asked in order to establish their claim. Now it is time for us to finally live up to the promise our government made so many years ago. It will not correct all the wrongs of the past, but it is a demonstration that this government is willing to admit when it has left an unfulfilled obligation, and that the United States is willing to do what we can to see that justice, so long delayed, is not now denied.

Finally, I would just note that the claim of the Pottawatomi Nation in Canada is supported through specific resolutions by the National Congress of American Indians, the oldest, largest and most-representative tribal organization here in the United States, the Assembly of First Nations, which includes all recognized tribal entities in Canada, and each and every of the Pottawatomi tribal groups that remain in the United States today.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 60

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SETTLEMENT OF CERTAIN CLAIMS.**

(a) AUTHORIZATION FOR PAYMENT.—Notwithstanding any other provision of law, subject to subsection (b), the Secretary of the Treasury shall pay to the Pottawatomi Nation in Canada \$1,830,000 from amounts appropriated under section 1304 of title 31, United States Code.

(b) PAYMENT IN ACCORDANCE WITH STIPULATION FOR RECOMMENDATION OF SETTLEMENT.—The payment under subsection (a) shall—

(1) be made in accordance with the terms and conditions of the Stipulation for Recommendation of Settlement dated May 22, 2000, entered into between the Pottawatomi Nation in Canada and the United States (referred to in this section as the “Stipulation for Recommendation of Settlement”); and

(2) be included in the report of the Chief Judge of the United States Court of Federal Claims regarding Congressional Reference No. 94-1037X, submitted to the Senate on January 4, 2001, in accordance with sections 1492 and 2509 of title 28, United States Code.

(c) FULL SATISFACTION OF CLAIMS.—The payment under subsection (a) shall be in full satisfaction of all claims of the Pottawatomi Nation in Canada against the United States that are referred to or described in the Stipulation for Recommendation of Settlement.

(d) NONAPPLICABILITY.—Notwithstanding any other provision of law, the Indian Tribal Judgment Funds Use or Distribution Act (25 U.S.C. 1401 et seq.) does not apply to the payment under subsection (a).

By Mr. INOUE (for himself, Ms. MURKOWSKI, and Mr. BEGICH):

S. 61. A bill to establish a Native American Economic Advisory Council, and for other purposes; to the Committee on Indian Affairs.

Mr. INOUE. Mr. President, I rise to introduce a bill that would establish a Native American Economic Advisory Council. This Council's primary duties would be to consult, coordinate, and make recommendations to Federal agencies for the purpose of improving the substandard economic conditions that exist in our Native communities.

Currently, there is no Council, and despite the Federal Government's "trust" relationship with Native American tribes, Native Americans themselves continue to rank lowest in quality of life standings. As a nation we need to preserve our Native communities as they are rich with cultural significance and living history.

Native communities are considered "emerging economies" that have stalled because of the current economic situation. This bill is an attempt to keep these communities moving by educating, empowering, and encouraging our future Native American leaders to create sustainable economic growth programs in their own communities.

In Hawaii, the cost of living ranges from 30 percent to 60 percent higher than the national average. We have to start planning for economic stability in the future and this bill provides an opportunity to do so. I look forward to working with my colleagues on reinvesting in our Nation's future.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 61

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Native American Economic Advisory Council Act of 2011".

#### SEC. 2. FINDINGS.

Congress finds—

(1) the United States has a special political and legal relationship and responsibility to promote the welfare of the Native American people of the United States;

(2) evaluations of indicators and criteria of social well-being, education, health, unemployment, housing, income, rates of poverty, justice systems, and nutrition by agencies of government and others have consistently found that Native American communities rank below other groups of United States citizens and many are at or near the bottom in those evaluations;

(3) Native Americans, like other people in the United States, have been hit hard by the deepest recession of the United States economy in over 50 years, causing a significant decline in employment and economic activity across the United States;

(4) Native American communities have been described as "emerging economies" and consequently have been stalled in the efforts of the communities to build sustainable growing economies for the people of the communities and are being adversely affected faster than the rest of the United States;

(5) economic stimulus programs to help Native American communities generate jobs and stronger economic performance will require United States financial and tax incentives to increase both local and expanded investment that is tailored to the unique needs and circumstances of Native American communities;

(6) the impacts of the ongoing recession and the near collapse of the financial and banking systems require a review of assumptions about the future, the need for new growth strategies, and a focus on laying the groundwork for economic success in the 21st century;

(7) there is a continuing need for direct economic stimulus, including needs for improving rural infrastructure and alternative energy in rural and Native American communities of the United States and providing Native Americans leaders with the tools to create jobs and improve economic conditions;

(8) in light of the role of Native American communities as emerging markets within the United States, there are opportunities and needs that should be addressed, including consideration of United States support for the pooling of resources to create an Indigenous Sovereign Wealth Fund that is similar to those Funds created around the world to diversify revenue streams, attract more resources, invest more wisely, and create jobs;

(9) Native Americans should be participants when major economic decisions are made that affect the property, lives, and future of Native Americans; and

(10) Native Americans should fully participate in rebuilding Native American communities and have necessary tools and resources.

#### SEC. 3. PURPOSE.

The purpose of this Act is to authorize and establish a Native American Economic Advisory Council to consult, coordinate with, and make recommendations to the Executive Office of the President, Cabinet officers, and Federal agencies—

(1) to improve the focus, effectiveness, and delivery of Federal economic aid and development programs to Native Americans and, as a result, improve substandard economic conditions in Native American communities;

(2) to build and expand on the capacity of leaders in Native American organizations and communities to take positive and innovative steps—

(A) to create jobs;

(B) to establish stable and profitable business enterprises;

(C) to enhance economic conditions; and

(D) to use Native American-owned resources for the benefit of members; and

(3) to achieve the long-term goal of improving the quality of Native American life and living conditions and access to basic public services to the levels enjoyed by the average citizen and community of the United States by the year 2025.

#### SEC. 4. ESTABLISHMENT OF NATIVE AMERICAN ECONOMIC ADVISORY COUNCIL.

(a) IN GENERAL.—There is established a Native American Economic Advisory Council (referred to in this Act as the "Council") to advise and assist the Executive Office of the President and Federal agencies to ensure that Native Americans (including Native American members, communities and organizations) have—

(1) the means and capacity to generate and benefit from economic stimulus and growth; and

(2) fair access to, and reasonable opportunities to participate in, Federal economic development and job growth programs.

(b) MEMBERS.—

(1) IN GENERAL.—The Council shall consist of 5 members appointed by the President.

(2) INITIAL APPOINTMENTS.—Not later than 180 days after the date of enactment of this Act, the President shall appoint the initial members of the Council.

(3) COMPOSITION.—Of the members of the Council—

(A) 1 member shall be an Alaska Native;

(B) 1 member shall be a Hawaiian Native; and

(C) 3 members shall represent American Native groups and organizations from other States.

(4) CHAIRPERSON.—The President shall designate 1 of the members of the Council to serve as Chairperson.

(c) EXPERIENCE.—Each member of the Council shall be a Native American who, as a result of work experience, training, and attainment, is well qualified—

(1) to identify, analyze, and understand the attributes and background of successful business enterprises and economic programs in Native American communities and cultures;

(2) to appraise the economic development programs and activities of Federal agencies in the context of the goals and purposes of this Act; and

(3) to recommend programs, policies, and needed program modifications to improve access to and effectiveness in the delivery of economic development programs in Native American communities.

(d) VACANCIES.—A vacancy on the Council—

(1) shall not affect the authority of the Commission; and

(2) shall be filled in the same manner as the initial appointments to the Council.

(e) EXPENSES.—Each Member of the Council shall be allowed travel expenses, including per diem in lieu of subsistence, at the rate authorized for employees of agencies under subchapter I of chapter 57 of title 5, United States Code, while away from the homes or regular places of business of the employees in the performance of services for the Council.

(f) STAFF.—

(1) IN GENERAL.—The Council may, without regard to the civil service laws (including regulations), appoint and terminate an executive director and such other staff as are necessary to enable the Council to perform the duties required under this Act.

(2) COMPENSATION.—

(A) IN GENERAL.—Subject to subparagraph (B), the Council may fix the compensation of the executive director and other personnel without regard to the provisions of chapter 51 and subchapter III of chapter 53 of title 5, United States Code, relating to classification of positions and General Schedule pay rates.

(B) MAXIMUM AMOUNT.—The rate of pay for the executive director and other personnel of the Council shall not exceed the rate payable for level V of the Executive Schedule under section 5316 of title 5, United States Code.

(g) DETAIL OF EMPLOYEES.—

(1) IN GENERAL.—An employee of the Federal Government may be detailed to the Council without reimbursement.

(2) CIVIL SERVICE STATUS.—The detail of an employee shall be without interruption or loss of civil service status or privilege.

(h) TEMPORARY SERVICES.—The Council may procure temporary and intermittent services in accordance with section 3109(b) of title 5, United States Code, at rates for individuals that do not exceed the daily equivalent of the annual rate of basic pay prescribed for level V of the Executive Schedule under section 5316 of that title.

(i) ADMINISTRATIVE SERVICES.—The Secretary of Commerce shall provide necessary office space and administrative services for the Council (including staff of the Council).

**SEC. 5. DUTIES.**

(a) IN GENERAL.—The Council shall advise and make recommendations to Federal agencies on—

(1) proposing sustainable economic growth and poverty reduction policies in a manner that promotes self-determination, self-sufficiency, and independence in urban and remote Native American communities while preserving the traditional cultural values of those communities;

(2) ensuring that Native Americans (including Native American communities and organizations) have equal access to Federal economic aid, training, and assistance programs;

(3) developing economic growth strategies, finance, and tax policies that will enable Native American organizations to stimulate the local economies of Native Americans and create meaningful new jobs in Native American communities;

(4) increasing the effectiveness of Federal programs to address the economic, employment, medical, and social needs of Native American communities;

(5) administering Federal economic development assistance programs with an understanding of the unique needs of Native American communities with the objectives of—

(A) making Native American leaders knowledgeable about best business practices and successful economic and job growth strategies;

(B) promoting investment and economic growth and reducing unemployment and poverty in Native American communities;

(C) enhancing governance, entrepreneurship, and self-determination in Native American communities; and

(D) fostering demonstrations of transformational changes in economic conditions in remote Native American communities through the use of innovative technology, targeted investments, and the use of Native American-owned natural and scenic resources;

(6) improving the effectiveness of economic development assistance programs through the integration and coordination of assistance to Native American communities;

(7) recommending educational and business training programs for Native Americans that increase the capacity of Native Americans for economic well-being and to further the purposes of this Act; and

(8) initiating proposals, as needed, for fellowship and mentoring programs to meet the economic development needs of Native American communities.

(b) ADDITIONAL DUTIES.—The Council shall—

(1) prepare a compilation of successful business enterprises and joint ventures conducted by Native American organizations, including tribal enterprises and the commercial ventures of Native Corporations (as defined in section 102 of the Alaska National Interest Lands Conservation Act (16 U.S.C. 3102)) in the State of Alaska; and

(2) periodically sponsor and arrange conferences and training workshops on Native American business activities, including providing mentors, resource people, and speakers to address financing, management, marketing, resource development, and best business practices in Native American business enterprises.

**SEC. 6. ASSESSMENT OF IMPACTS OF LEGISLATIVE PROPOSALS ON NATIVE AMERICAN ECONOMIC PROSPECTS AND OPPORTUNITY.**

In preparing and communicating the comments and recommendations of the President on proposed legislation to committees and leadership of Congress, the Director of the Office of Management and Budget and the head of a Federal agency shall include an as-

essment of the impacts of the proposed legislation on the economic and employment prospects and opportunities provided in the proposed legislation to improve the quality of living conditions of Native American communities, organizations, and members to the levels enjoyed by most people of the United States.

**SEC. 7. REPORTS.**

The Council shall—

(1) prepare periodic reports on the activities of the Council; and

(2) make the reports available to—

(A) Native American communities, organizations, and members;

(B) the General Services Administration;

(C) the Office of Management and Budget;

(D) the Domestic Policy Council;

(E) the National Economic Council;

(F) the Council of Economic Advisers;

(G) the Secretary of the Treasury;

(H) the Secretary of Commerce;

(I) the Secretary of Labor;

(J) the Secretary of the Interior;

(K) the Secretary of Energy; and

(L) members of the public.

**SEC. 8. AUTHORIZATION OF APPROPRIATIONS.**

There are authorized to be appropriated to carry out this Act such sums as are necessary.

By Mr. INOUE:

S. 62. A bill to amend the Federal Deposit Insurance Act to modify requirements relating to the location of bank branches on Indian reservations, and for other purposes; to the Committee on Banking, Housing, and Urban Affairs.

Mr. INOUE. Mr. President, I rise to introduce a bill that would provide authority for the establishment of branch banking facilities on Indian reservations so that the Federally-chartered Native American Bank could enable access to financial services to Indian tribes and their citizens.

Many years ago, as part of my service as Chairman of the Senate Indian Affairs Committee, I met with tribal leaders to discuss the challenges of economic development in Indian country. At that time, I suggested that they might give consideration to a means by which tribal governments could pool their resources and thereby provide the capital that other tribal governments could employ on a short-term loan basis to undertake reservation-based projects that held the potential of stimulating economic growth in their tribal communities.

The tribal leaders with whom I met were very interested in this idea, and in the ensuing years, went forward and established the Native American Bank—which is headquartered in Denver—but continues to manage its first affiliated bank on the Blackfeet Indian Reservation in Montana.

As my colleagues know, there are few financial institutions located either on or near Indian reservations, and sadly, there is evidence that some financial institutions have found it apparently necessary to either charge very high rates that they associate with the risk of doing business in Indian country, or to deny financial assistance altogether.

The Native American Bank has stepped into that latter void and has

been providing meaningful financial services to tribal governments and their citizens for a number of years.

This bill contains amendments to the McFadden Act that have been carefully sculpted to address only this narrow expansion of capacity on the part of financial institutions serving Indian country.

Mr. President I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 62

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Indian Reservation Bank Branch Act of 2009”.

**SEC. 2. REGULATIONS GOVERNING INSURED DEPOSITORY INSTITUTIONS.**

Section 18(d) of the Federal Deposit Insurance Act (12 U.S.C. 1828(d)) is amended by adding at the end the following:

“(5) ELECTION BY INDIAN TRIBES TO PERMIT BRANCHING OF BANKS ON INDIAN RESERVATIONS.—

“(A) DEFINITIONS.—In this paragraph, the following definitions shall apply:

“(i) DE NOVO BRANCH.—The term ‘de novo branch’ means a branch of a State bank that—

“(I) is originally established by the State bank as a branch; and

“(II) does not become a branch of the State bank as a result of—

“(aa) the acquisition by the State bank of an insured depository institution (or a branch of an insured depository institution); or

“(bb) the conversion, merger, or consolidation of any such institution or branch.

“(ii) HOME STATE.—

“(I) IN GENERAL.—The term ‘home State’ means the State in which the main office of a State bank is located.

“(II) BRANCHES ON INDIAN RESERVATIONS.—The term ‘home State’ with respect to a State bank, the main office of which is located within the boundaries of an Indian reservation (in a case in which State law permits the chartering of such a main office on an Indian reservation), means—

“(aa) the State in which the Indian reservation is located; or

“(bb) for an Indian reservation that is located in more than 1 State, the State in which the portion of the Indian reservation containing the main office of the State bank is located.

“(iii) HOST RESERVATION.—The term ‘host reservation’, with respect to a bank, means an Indian reservation located in a State other than the home State of the bank in which the bank maintains, or seeks to establish and maintain, a branch.

“(iv) INDIAN RESERVATION.—

“(I) IN GENERAL.—The term ‘Indian reservation’ means land subject to the jurisdiction of an Indian tribe.

“(II) INCLUSIONS.—The term ‘Indian reservation’ includes—

“(aa) any public domain Indian allotment;

“(bb) any land area located within the outer geographic boundaries recognized as an Indian reservation by a Federal treaty, Federal regulation, decision or order of the Bureau of Indian Affairs or any successor agency thereto, or statute in force with respect to a federally recognized tribal nation;

“(cc) any former Indian reservation in the State of Oklahoma; and

“(dd) any land held by a Native village, Native group, Regional Corporation, or Village Corporation under the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.).

“(v) INDIAN TRIBE.—The term ‘Indian tribe’ has the same meaning as in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b).

“(vi) TRIBAL GOVERNMENT.—

“(I) IN GENERAL.—The term ‘tribal government’ means the business council, tribal council, or similar legislative or governing body of an Indian tribe—

“(aa) the members of which are representatives elected by the members of the Indian tribe; and

“(bb) that is empowered to enact laws applicable within the Indian reservation of the Indian tribe.

“(II) MULTITRIBAL RESERVATIONS.—The term ‘tribal government’, with respect to an Indian reservation within the boundaries of which are located more than 1 Indian tribe, each of which has a separate council, means a joint business council or similar intertribal governing council that includes representatives of each applicable Indian tribe.

“(III) INCLUSION.—The term ‘tribal government’ includes a governing body of any Regional Corporation or Village Corporation (as defined in section 3 of the Alaska Native Claims Settlement Act (43 U.S.C. 1602)).

“(B) APPROVAL BY CORPORATION.—Subject to subparagraph (C), in addition to any other authority under this section to approve an application to establish a branch within the boundaries of an Indian reservation, the Corporation may approve an application of a State bank to establish and operate a de novo branch within the boundaries of 1 or more Indian reservations (regardless of whether the Indian reservations are located within the home State of the State bank), if there is in effect within the host reservation a law enacted by the tribal government of the host reservation that—

“(i) applies with equal effect to all banks located within the host reservation; and

“(ii) specifically permits any in-State or out-of-State bank to establish within the host reservation a de novo branch.

“(C) CONDITIONS.—

“(i) ESTABLISHMENT.—An application by a State bank to establish and operate a de novo branch within a host reservation shall not be subject to the requirements and conditions applicable to an application for an interstate merger transaction under paragraphs (1), (3), and (4) of section 44(b).

“(ii) OPERATION.—Subsections (c) and (d)(2) of section 44 shall not apply with respect to a branch of a State bank that is established and operated pursuant to an application approved under this paragraph.

“(iii) PROHIBITION.—

“(I) IN GENERAL.—Except as provided in subclause (II), no State nonmember bank that establishes or operates a branch on 1 or more Indian reservations solely pursuant to paragraph (5) may establish any additional branch outside of such Indian reservation in any State in which the Indian reservation is located.

“(II) EXCEPTION.—Subclause (I) shall not apply if a State nonmember bank described in that subclause would be permitted to establish and operate an additional branch under any other provision of this section, without regard to the establishment or operation by the State nonmember bank of a branch on the subject Indian reservation.”.

### SEC. 3. BRANCH BANKS.

Section 5155 of the Revised Statutes of the United States (12 U.S.C. 36) is amended by inserting after subsection (g) the following:

“(h) ELECTION BY INDIAN TRIBES TO PERMIT BRANCHING OF NATIONAL BANKS ON INDIAN RESERVATIONS.—

“(1) DEFINITIONS.—In this subsection, the following definitions shall apply:

“(A) DE NOVO BRANCH.—The term ‘de novo branch’ means a branch of a national bank that—

“(i) is originally established by the national bank as a branch; and

“(ii) does not become a branch of the national bank as a result of—

“(I) the acquisition by the national bank of an insured depository institution (or a branch of an insured depository institution); or

“(II) the conversion, merger, or consolidation of any such institution or branch.

“(B) HOME STATE.—

“(i) IN GENERAL.—The term ‘home State’ means the State in which the main office of a national bank is located.

“(ii) BRANCHES ON INDIAN RESERVATIONS.—The term ‘home State’, with respect to a national bank, the main office of which is located within the boundaries of an Indian reservation, means—

“(I) the State in which the Indian reservation is located; or

“(II) if an Indian reservation that is located in more than 1 State, the State in which the portion of the Indian reservation containing the main office of the national bank is located.

“(C) HOST RESERVATION.—The term ‘host reservation’, with respect to a national bank, means an Indian reservation located in a State other than the home State of the bank in which the bank maintains, or seeks to establish and maintain, a branch.

“(D) INDIAN RESERVATION.—

“(i) IN GENERAL.—The term ‘Indian reservation’ means land subject to the jurisdiction of an Indian tribe.

“(ii) INCLUSIONS.—The term ‘Indian reservation’ includes—

“(I) any public domain Indian allotment;

“(II) any land area located within the outer geographic boundaries recognized as an Indian reservation by a Federal treaty, Federal regulation, decision or order of the Bureau of Indian Affairs or any successor agency thereto, or statute in force with respect to a federally recognized tribal nation;

“(III) any former Indian reservation in the State of Oklahoma; and

“(IV) any land held by a Native village, Native group, Regional Corporation, or Village Corporation under the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.).

“(E) INDIAN TRIBE.—The term ‘Indian tribe’ has the same meaning as in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b).

“(F) TRIBAL GOVERNMENT.—

“(i) IN GENERAL.—The term ‘tribal government’ means the business council, tribal council, or similar legislative or governing body of an Indian tribe—

“(I) the members of which are representatives elected by the members of the Indian tribe; and

“(II) that is empowered to enact laws applicable within the Indian reservation of the Indian tribe.

“(ii) MULTITRIBAL RESERVATIONS.—The term ‘tribal government’, with respect to an Indian reservation within the boundaries of which are located more than 1 Indian tribe, each of which has a separate council, means a joint business council or similar intertribal governing council that includes representatives of each applicable Indian tribe.

“(iii) INCLUSION.—The term ‘tribal government’ includes a governing body of any Regional Corporation or Village Corporation (as defined in section 3 of the Alaska Native Claims Settlement Act (43 U.S.C. 1602)).

“(2) APPROVAL BY COMPTROLLER.—Subject to paragraph (3), in addition to any other au-

thority under this section to approve an application to establish a national bank branch within the boundaries of an Indian reservation, the Comptroller may approve an application of a national bank to establish and operate a de novo branch within the boundaries of an Indian reservation (regardless of whether the Indian reservation is located within the home State of the national bank), if there is in effect within the host reservation a law enacted by the tribal government of the host reservation that—

“(A) applies with equal effect to all banks located within the host reservation; and

“(B) specifically permits any in-State or out-of-State bank to establish within the host reservation a de novo branch.

“(3) CONDITIONS.—

“(A) ESTABLISHMENT.—An application by a national bank to establish and operate a de novo branch within a host reservation shall not be subject to the requirements and conditions applicable to an application for an interstate merger transaction under paragraphs (1), (3), and (4) of section 44(b) of the Federal Deposit Insurance Act (12 U.S.C. 1831u(b)).

“(B) OPERATION.—Subsections (c) and (d)(2) of section 44 of that Act (12 U.S.C. 1831u) shall not apply with respect to a branch of a national bank that is established and operated pursuant to an application approved under this subsection.

“(C) PROHIBITION.—

“(i) IN GENERAL.—Except as provided in clause (ii), no national bank that establishes or operates a branch on 1 or more Indian reservations solely pursuant to subsection (h) may establish any additional branch outside of such Indian reservation in the State in which the Indian reservation is located.

“(ii) EXCEPTION.—Clause (i) shall not apply if a national bank described in that clause would be permitted to establish and operate an additional branch under any other provision of this section or other applicable law, without regard to the establishment or operation by the national bank of a branch on the subject Indian reservation.”.

By Mr. INOUE.

S. 63. A bill to require the Secretary of the Army to determine the validity of the claims of certain Filipinos that they performed military service on behalf of the United States during World War II; to the Committee on Veterans' Affairs.

Mr. INOUE. Mr. President, I am reintroducing legislation today that would direct the Secretary of the Army to determine whether certain nationals of the Philippine Islands performed military service on behalf of the United States during World War II.

Our Filipino veterans fought side by side with Americans and sacrificed their lives on behalf of the United States. This legislation would confirm the validity of their claims and further allow qualified individuals the opportunity to apply for military and veterans benefits that, I believe, they are entitled to. As this population becomes older, it is important for our Nation to extend its firm commitment to the Filipino veterans and their families who participated in making us the great Nation that we are today.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 63

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. DETERMINATIONS BY THE SECRETARY OF THE ARMY.**

(a) IN GENERAL.—Upon the written application of any person who is a national of the Philippine Islands, the Secretary of the Army shall determine whether such person performed any military service in the Philippine Islands in aid of the Armed Forces of the United States during World War II which qualifies such person to receive any military, veterans', or other benefits under the laws of the United States.

(b) INFORMATION TO BE CONSIDERED.—In making a determination for the purpose of subsection (a), the Secretary shall consider all information and evidence (relating to service referred to in subsection (a)) that is available to the Secretary, including information and evidence submitted by the applicant, if any.

**SEC. 2. CERTIFICATE OF SERVICE.**

(a) ISSUANCE OF CERTIFICATE OF SERVICE.—The Secretary of the Army shall issue a certificate of service to each person determined by the Secretary to have performed military service described in section 1(a).

(b) EFFECT OF CERTIFICATE OF SERVICE.—A certificate of service issued to any person under subsection (a) shall, for the purpose of any law of the United States, conclusively establish the period, nature, and character of the military service described in the certificate.

**SEC. 3. APPLICATIONS BY SURVIVORS.**

An application submitted by a surviving spouse, child, or parent of a deceased person described in section 1(a) shall be treated as an application submitted by such person.

**SEC. 4. LIMITATION PERIOD.**

The Secretary of the Army may not consider for the purpose of this Act any application received by the Secretary more than two years after the date of the enactment of this Act.

**SEC. 5. PROSPECTIVE APPLICATION OF DETERMINATIONS BY THE SECRETARY OF THE ARMY.**

No benefits shall accrue to any person for any period before the date of the enactment of this Act as a result of the enactment of this Act.

**SEC. 6. REGULATIONS.**

The Secretary of the Army shall prescribe regulations to carry out sections 1, 3, and 4.

**SEC. 7. RESPONSIBILITIES OF THE SECRETARY OF VETERANS AFFAIRS.**

Any entitlement of a person to receive veterans' benefits by reason of this Act shall be administered by the Department of Veterans Affairs pursuant to regulations prescribed by the Secretary of Veterans Affairs.

**SEC. 8. DEFINITION.**

In this Act, the term "World War II" means the period beginning on December 7, 1941, and ending on December 31, 1946.

By Mr. INOUE.

S. 64. A bill to establish a fact-finding Commission to extend the study of a prior Commission to investigate and determine facts and circumstances surrounding the relocation, internment, and deportation to Axis countries of Latin Americans of Japanese descent from December 1941 through February 1948, and the impact of those actions by the United States, and to recommend

appropriate remedies, and for other purposes; to the Committee on Homeland Security and Governmental Affairs.

Mr. INOUE. Mr. President, I rise today in support of the Commission on Wartime Relocation and Internment of Latin Americans of Japanese Descent Act.

The story of U.S. citizens taken from their homes on the west coast and confined in camps is a story that was made known after a fact-finding study by a Commission that Congress authorized in 1980. That study was followed by a formal apology by President Reagan and a bill for reparations. Far less known, and indeed, I myself did not initially know, is the story of Latin Americans of Japanese descent taken from their homes in Latin America, stripped of their passports, brought to the U.S., and interned in American camps.

This is a story about the U.S. government's act of reaching its arm across international borders, into a community that did not pose an immediate threat to our Nation, in order to use them, devoid of passports or any other proof of citizenship, for exchange with Americans with Japan. Between the years 1941 and 1945, our Government, with the help of Latin American officials, arbitrarily arrested persons of Japanese descent from streets, homes, and workplaces. Approximately 2,300 undocumented persons were brought to camp sites in the U.S., where they were held under armed watch, and then held in reserve for prisoner exchange. Those used in an exchange were sent to Japan, a foreign country that many had never set foot on since their ancestors' immigration to Latin America.

Despite their involuntary arrival, Latin American internees of Japanese descent were considered by the Immigration and Naturalization Service as illegal entrants. By the end of the war, some Japanese Latin Americans had been sent to Japan. Those who were not used in a prisoner exchange were cast out into a new and English-speaking country, and subject to deportation proceedings. Some returned to Latin America. Others remained in the U.S., because their country of origin in Latin America refused their re-entry, because they were unable to present a passport.

When I first learned of the wartime experiences of Japanese Latin Americans, it seemed unbelievable, but indeed, it happened. It is a part of our national history, and it is a part of the living histories of the many families whose lives are forever tied to internment camps in our country.

The outline of this story was sketched out in a book published by the Commission on Wartime Relocation and Internment of Civilians formed in 1980. This Commission had set out to learn about Japanese Americans. Towards the close of their investigations, the Commissioners stumbled upon this extraordinary effort by the

U.S. Government to relocate, intern, and deport Japanese persons formerly living in Latin America. Because this finding surfaced late in its study, the Commission was unable to fully uncover the facts, but found them significant enough to include in its published study, urging a deeper investigation.

I rise today to introduce the Commission on Wartime Relocation and Internment of Latin Americans of Japanese Descent Act, which would establish a fact-finding Commission to extend the study of the 1980 Commission. This Commission's task would be to determine facts surrounding the U.S. government's actions in regards to Japanese Latin Americans subject to a program of relocation, internment, and deportation. I believe that examining this extraordinary program would give finality to, and complete the account of Federal actions to detain and intern civilians of Japanese ancestry.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 64

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Commission on Wartime Relocation and Internment of Latin Americans of Japanese Descent Act".

**SEC. 2. FINDINGS AND PURPOSE.**

(a) FINDINGS.—Based on a preliminary study published in December 1982 by the Commission on Wartime Relocation and Internment of Civilians, Congress finds the following:

(1) During World War II, the United States—

(A) expanded its internment program and national security investigations to conduct the program and investigations in Latin America; and

(B) financed relocation to the United States, and internment, of approximately 2,300 Latin Americans of Japanese descent, for the purpose of exchanging the Latin Americans of Japanese descent for United States citizens held by Axis countries.

(2) Approximately 2,300 men, women, and children of Japanese descent from 13 Latin American countries were held in the custody of the Department of State in internment camps operated by the Immigration and Naturalization Service from 1941 through 1948.

(3) Those men, women, and children either—

(A) were arrested without a warrant, hearing, or indictment by local police, and sent to the United States for internment; or

(B) in some cases involving women and children, voluntarily entered internment camps to remain with their arrested husbands, fathers, and other male relatives.

(4) Passports held by individuals who were Latin Americans of Japanese descent were routinely confiscated before the individuals arrived in the United States, and the Department of State ordered United States consuls in Latin American countries to refuse to issue visas to the individuals prior to departure.

(5) Despite their involuntary arrival, Latin American internees of Japanese descent were



considered to be and treated as illegal entrants by the Immigration and Naturalization Service. Thus, the internees became illegal aliens in United States custody who were subject to deportation proceedings for immediate removal from the United States. In some cases, Latin American internees of Japanese descent were deported to Axis countries to enable the United States to conduct prisoner exchanges.

(6) Approximately 2,300 men, women, and children of Japanese descent were relocated from their homes in Latin America, detained in internment camps in the United States, and in some cases, deported to Axis countries to enable the United States to conduct prisoner exchanges.

(7) The Commission on Wartime Relocation and Internment of Civilians studied Federal actions conducted pursuant to Executive Order 9066 (relating to authorizing the Secretary of War to prescribe military areas). Although the United States program of interning Latin Americans of Japanese descent was not conducted pursuant to Executive Order 9066, an examination of that extraordinary program is necessary to establish a complete account of Federal actions to detain and intern civilians of enemy or foreign nationality, particularly of Japanese descent. Although historical documents relating to the program exist in distant archives, the Commission on Wartime Relocation and Internment of Civilians did not research those documents.

(8) Latin American internees of Japanese descent were a group not covered by the Civil Liberties Act of 1988 (50 U.S.C. App. 1989b et seq.), which formally apologized and provided compensation payments to former Japanese Americans interned pursuant to Executive Order 9066.

(b) PURPOSE.—The purpose of this Act is to establish a fact-finding Commission to extend the study of the Commission on Wartime Relocation and Internment of Civilians to investigate and determine facts and circumstances surrounding the relocation, internment, and deportation to Axis countries of Latin Americans of Japanese descent from December 1941 through February 1948, and the impact of those actions by the United States, and to recommend appropriate remedies, if any, based on preliminary findings by the original Commission and new discoveries.

### SEC. 3. ESTABLISHMENT OF THE COMMISSION.

(a) IN GENERAL.—There is established the Commission on Wartime Relocation and Internment of Latin Americans of Japanese descent (referred to in this Act as the "Commission").

(b) COMPOSITION.—The Commission shall be composed of 9 members, who shall be appointed not later than 60 days after the date of enactment of this Act, of whom—

(1) 3 members shall be appointed by the President;

(2) 3 members shall be appointed by the Speaker of the House of Representatives, on the joint recommendation of the majority leader of the House of Representatives and the minority leader of the House of Representatives; and

(3) 3 members shall be appointed by the President pro tempore of the Senate, on the joint recommendation of the majority leader of the Senate and the minority leader of the Senate.

(c) PERIOD OF APPOINTMENT; VACANCIES.—Members shall be appointed for the life of the Commission. A vacancy in the Commission shall not affect its powers, but shall be filled in the same manner as the original appointment was made.

(d) MEETINGS.—

(1) FIRST MEETING.—The President shall call the first meeting of the Commission not later than the later of—

(A) 60 days after the date of enactment of this Act; or

(B) 30 days after the date of enactment of legislation making appropriations to carry out this Act.

(2) SUBSEQUENT MEETINGS.—Except as provided in paragraph (1), the Commission shall meet at the call of the Chairperson.

(e) QUORUM.—Five members of the Commission shall constitute a quorum, but a lesser number of members may hold hearings.

(f) CHAIRPERSON AND VICE CHAIRPERSON.—The Commission shall elect a Chairperson and Vice Chairperson from among its members. The Chairperson and Vice Chairperson shall serve for the life of the Commission.

### SEC. 4. DUTIES OF THE COMMISSION.

(a) IN GENERAL.—The Commission shall—

(1) extend the study of the Commission on Wartime Relocation and Internment of Civilians, established by the Commission on Wartime Relocation and Internment of Civilians Act—

(A) to investigate and determine facts and circumstances surrounding the United States' relocation, internment, and deportation to Axis countries of Latin Americans of Japanese descent from December 1941 through February 1948, and the impact of those actions by the United States; and

(B) in investigating those facts and circumstances, to review directives of the United States Armed Forces and the Department of State requiring the relocation, detention in internment camps, and deportation to Axis countries of Latin Americans of Japanese descent; and

(2) recommend appropriate remedies, if any, based on preliminary findings by the original Commission and new discoveries.

(b) REPORT.—Not later than 1 year after the date of the first meeting of the Commission pursuant to section 3(d)(1), the Commission shall submit a written report to Congress, which shall contain findings resulting from the investigation conducted under subsection (a)(1) and recommendations described in subsection (a)(2).

### SEC. 5. POWERS OF THE COMMISSION.

(a) HEARINGS.—The Commission or, at its direction, any subcommittee or member of the Commission, may, for the purpose of carrying out this Act—

(1) hold such public hearings in such cities and countries, sit and act at such times and places, take such testimony, receive such evidence, and administer such oaths as the Commission or such subcommittee or member considers advisable; and

(2) require, by subpoena or otherwise, the attendance and testimony of such witnesses and the production of such books, records, correspondence, memoranda, papers, documents, tapes, and materials as the Commission or such subcommittee or member considers advisable.

(b) ISSUANCE AND ENFORCEMENT OF SUBPOENAS.—

(1) ISSUANCE.—Subpoenas issued under subsection (a) shall bear the signature of the Chairperson of the Commission and shall be served by any person or class of persons designated by the Chairperson for that purpose.

(2) ENFORCEMENT.—In the case of contumacy or failure to obey a subpoena issued under subsection (a), the United States district court for the judicial district in which the subpoenaed person resides, is served, or may be found may issue an order requiring such person to appear at any designated place to testify or to produce documentary or other evidence. Any failure to obey the order of the court may be punished by the court as a contempt of that court.

(c) WITNESS ALLOWANCES AND FEES.—Section 1821 of title 28, United States Code, shall apply to witnesses requested or subpoenaed to appear at any hearing of the Commission. The per diem and mileage allowances for witnesses shall be paid from funds available to pay the expenses of the Commission.

(d) INFORMATION FROM FEDERAL AGENCIES.—The Commission may secure directly from any Federal department or agency such information as the Commission considers necessary to perform its duties. Upon request of the Chairperson of the Commission, the head of such department or agency shall furnish such information to the Commission.

(e) POSTAL SERVICES.—The Commission may use the United States mails in the same manner and under the same conditions as other departments and agencies of the Federal Government.

### SEC. 6. PERSONNEL AND ADMINISTRATIVE PROVISIONS.

(a) COMPENSATION OF MEMBERS.—Each member of the Commission who is not an officer or employee of the Federal Government shall be compensated at a rate equal to the daily equivalent of the annual rate of basic pay prescribed for level IV of the Executive Schedule under section 5315 of title 5, United States Code, for each day (including travel time) during which such member is engaged in the performance of the duties of the Commission. All members of the Commission who are officers or employees of the United States shall serve without compensation in addition to that received for their services as officers or employees of the United States.

(b) TRAVEL EXPENSES.—The members of the Commission shall be allowed travel expenses, including per diem in lieu of subsistence, at rates authorized for employees of agencies under subchapter I of chapter 57 of title 5, United States Code, while away from their homes or regular places of business in the performance of services for the Commission.

(c) STAFF.—

(1) IN GENERAL.—The Chairperson of the Commission may, without regard to the civil service laws and regulations, appoint and terminate the employment of such personnel as may be necessary to enable the Commission to perform its duties.

(2) COMPENSATION.—The Chairperson of the Commission may fix the compensation of the personnel without regard to chapter 51 and subchapter III of chapter 53 of title 5, United States Code, relating to classification of positions and General Schedule pay rates, except that the rate of pay for the personnel may not exceed the rate payable for level V of the Executive Schedule under section 5316 of such title.

(d) DETAIL OF GOVERNMENT EMPLOYEES.—Any Federal Government employee may be detailed to the Commission without reimbursement, and such detail shall be without interruption or loss of civil service status or privilege.

(e) PROCUREMENT OF TEMPORARY AND INTERMITTENT SERVICES.—The Chairperson of the Commission may procure temporary and intermittent services under section 3109(b) of title 5, United States Code, at rates for individuals that do not exceed the daily equivalent of the annual rate of basic pay prescribed for level V of the Executive Schedule under section 5316 of such title.

(f) OTHER ADMINISTRATIVE MATTERS.—The Commission may—

(1) enter into agreements with the Administrator of General Services to procure necessary financial and administrative services;

(2) enter into contracts to procure supplies, services, and property; and

(3) enter into contracts with Federal, State, or local agencies, or private institutions or organizations, for the conduct of research or surveys, the preparation of reports,

and other activities necessary to enable the Commission to perform its duties.

#### SEC. 7. TERMINATION.

The Commission shall terminate 90 days after the date on which the Commission submits its report to Congress under section 4(b).

#### SEC. 8. AUTHORIZATION OF APPROPRIATIONS.

(a) IN GENERAL.—There are authorized to be appropriated such sums as may be necessary to carry out this Act.

(b) AVAILABILITY.—Any sums appropriated under the authorization contained in this section shall remain available, without fiscal year limitation, until expended.

By Mr. INOUIE.

S. 65. A bill to reauthorize the programs of the Department of Housing and Urban Development for housing assistance for Native Hawaiians; to the Committee on Indian Affairs.

Mr. INOUIE. Mr. President, I rise to introduce a bill to reauthorize Title VIII of the Native American Housing Assistance and Self-Determination Act. Title VIII provides authority for the appropriation of funds for the construction of low-income housing for Native Hawaiians and further provides authority for access to loan guarantees associated with the construction of housing to serve Native Hawaiians.

Three studies have documented the acute housing needs of Native Hawaiians—which include the highest rates of overcrowding and homelessness in the State of Hawaii. Those same studies indicate that inadequate housing rates for Native Hawaiians are amongst the highest in the Nation.

The reauthorization of Title VIII will support the continuation of efforts to assure that the native people of Hawaii may one day have access to housing opportunities that are comparable to those now enjoyed by other Americans.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 65

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the “Hawaiian Homeownership Opportunity Act of 2011”.

#### SEC. 2. AUTHORIZATION OF APPROPRIATIONS FOR HOUSING ASSISTANCE.

Section 824 of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4243) is amended by striking “fiscal years” and all that follows and inserting the following: “fiscal years 2011, 2012, 2013, 2014, and 2015.”

#### SEC. 3. LOAN GUARANTEES FOR NATIVE HAWAIIAN HOUSING.

Section 184A of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z–13b) is amended—

(1) in subsection (b), by striking “or as a result of a lack of access to private financial markets”;

(2) in subsection (c), by striking paragraph (2) and inserting the following:

“(2) ELIGIBLE HOUSING.—The loan will be used to construct, acquire, refinance, or rehabilitate 1- to 4-family dwellings that are—  
“(A) standard housing; and

“(B) located on Hawaiian Home Lands.”; and

(3) in subsection (j)(7), by striking “fiscal years” and all that follows through the end of the paragraph and inserting the following: “fiscal years 2011, 2012, 2013, 2014, and 2015.”

#### SEC. 4. ELIGIBILITY OF DEPARTMENT OF HAWAIIAN HOME LANDS FOR TITLE VI LOAN GUARANTEES.

Title VI of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4191 et seq.) is amended—

(1) in the title heading, by inserting “AND NATIVE HAWAIIAN” after “TRIBAL”;

(2) in section 601 (25 U.S.C. 4191)—

(A) in subsection (a)—

(i) by striking “or tribally designated housing entities with tribal approval” and inserting “, by tribally designated housing entities with tribal approval, or by the Department of Hawaiian Home Lands,”; and

(ii) by inserting “or 810, as applicable,” after “section 202”; and

(B) in subsection (c), by inserting “or title VIII, as applicable” before the period at the end;

(3) in section 602 (25 U.S.C. 4192)—

(A) in subsection (a)—

(i) in the matter preceding paragraph (1), by striking “or housing entity” and inserting “, housing entity, or Department of Hawaiian Home Lands”; and

(ii) in paragraph (3)—

(I) by inserting “or Department” after “tribe”;

(II) by inserting “or title VIII, as applicable,” after “title I”; and

(III) by inserting “or 811(b), as applicable” before the semicolon at the end; and

(B) in subsection (b)(2), by striking “or housing entity” and inserting “, housing entity, or the Department of Hawaiian Home Lands”;

(4) in the first sentence of section 603 (25 U.S.C. 4193), by striking “or housing entity” and inserting “, housing entity, or the Department of Hawaiian Home Lands”; and

(5) in section 605(b) (25 U.S.C. 4195(b)), by striking “2009 through 2013” and inserting “2011 through 2015”.

By Mr. INOUIE:

S. 67. A bill to amend title 10, United States Code, to permit former members of the Armed Forces who have a service-connected disability rated as total to travel on military aircraft in the same manner and to the same extent as retired members of the Armed Forces are entitled to travel on such aircraft; to the Committee on Armed Services.

Mr. INOUIE. Mr. President, today I am reintroducing a bill which is of great importance to a group of patriotic Americans. This legislation is designed to end space-available travel privileges on military aircraft to those who have been totally disabled in the service of our country.

Currently, retired members of the Armed Services are permitted to travel on a space-available basis on non-scheduled military flights within the continental United States, and on scheduled overseas flights operated by the Military Airlift Command. My bill would provide the same benefits for veterans with 100 percent service-connected disabilities.

We owe these heroic men and women who have given so much to our country a debt of gratitude. Of course, we can never repay them for the sacrifices they have made on behalf of our Na-

tion, but we can surely try to make their lives more pleasant and fulfilling. One way in which we can help is to extend military travel privileges to these distinguished American veterans. I have received numerous letters from all over the country attesting to the importance attached to this issue by veterans. Therefore, I ask that my colleagues show their concern and join me in saying “thank you” by supporting this legislation.

Mr. President, I ask unanimous consent that the text of my bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 67

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. TRAVEL ON MILITARY AIRCRAFT OF CERTAIN DISABLED FORMER MEMBERS OF THE ARMED FORCES.

(a) IN GENERAL.—Chapter 53 of title 10, United States Code, is amended by inserting after section 1060b the following new section:

“§ 1060c. Travel on military aircraft: certain disabled former members of the armed forces

“The Secretary of Defense shall permit any former member of the armed forces who is entitled to compensation under the laws administered by the Secretary of Veterans Affairs for a service-connected disability rated as total to travel, in the same manner and to the same extent as retired members of the armed forces, on unscheduled military flights within the continental United States and on scheduled overseas flights operated by the Air Mobility Command. The Secretary of Defense shall permit such travel on a space-available basis.”

(b) CLERICAL AMENDMENT.—The table of sections at the beginning of chapter 53 of such title is amended by inserting after the item relating to section 1060b the following new item:

“1060c. Travel on military aircraft: certain disabled former members of the armed forces.”.

By Mr. INOUIE:

S. 68. A bill to amend title 10, United States Code, to authorize certain disabled former prisoners of war to use Department of Defense commissary and exchange stores; to the Committee on Armed Services.

Mr. INOUIE. Mr. President, today I am reintroducing legislation to enable those former prisoners of war who have been separated honorably from their respective services and who have been rated as having a 30 percent service-connected disability to have the use of both the military commissary and post exchange privileges. While I realize it is impossible to adequately compensate one who has endured long periods of incarceration at the hands of our Nation's enemies, I do feel this gesture is both meaningful and important to those concerned because it serves as a reminder that our nation has not forgotten their sacrifices.

Mr. President, I ask unanimous consent that the text of my bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 68

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. USE OF COMMISSARY AND EXCHANGE STORES BY CERTAIN DISABLED FORMER PRISONERS OF WAR.**

(a) IN GENERAL.—Chapter 54 of title 10, United States Code, is amended by inserting after section 1064 the following new section:

**“§ 1064a. Use of commissary and exchange stores: certain disabled former prisoners of war**

“(a) IN GENERAL.—Under regulations prescribed by the Secretary of Defense, former prisoners of war described in subsection (b) may use commissary and exchange stores.

“(b) COVERED INDIVIDUALS.—Subsection (a) applies to any former prisoner of war who—

“(1) separated from active duty in the armed forces under honorable conditions; and

“(2) has a service-connected disability rated by the Secretary of Veterans Affairs at 30 percent or more.

“(c) DEFINITIONS.—In this section:

“(1) The term ‘former prisoner of war’ has the meaning given that term in section 101(32) of title 38.

“(2) The term ‘service-connected’ has the meaning given that term in section 101(16) of title 38.”.

(b) CLERICAL AMENDMENT.—The table of sections at the beginning of chapter 54 of such title is amended by inserting after the item relating to section 1064 the following new item:

“1064a. Use of commissary and exchange stores: certain disabled former prisoners of war.”.

By Mr. TESTER:

S. 69. A bill to amend the Consumer Product Safety Improvement Act of 2008 to exclude secondary sales, repair services, and certain vehicles from the ban on lead in children’s products, and for other purposes; to the Committee on Commerce, Science, and Transportation.

Mr. TESTER. Mr. President, I rise today to introduce the Common Sense in Consumer Product Safety Act of 2011 on behalf of the folks across America who are outdoor enthusiasts and budding sportsman and women. This bill will bring a common sense approach to restrictions we place upon access to children’s products.

In 2008, in response to the high lead paint content found in a number of toys and products intended for children, the Congress passed legislation to limit children’s access to these dangerous products. Many of these products were imports from China and other places where consumer protection is weak or non-existent. I supported this legislation, as did 78 of my colleagues.

Any product sold that is intended to be used by children up to the age of 12 must be tested and certified to not contain more than the allowable level of lead. However, it became clear that the Consumer Product Safety Improvement Act has had some unintended consequences.

While the goal is admirable, it is important to inject a little common sense into the process. I want our kids and

grandkids to be safe and protected from harmful toys, but we all know that most kids who are past the teething stage do not chew on their toys. It is important to strike a balance—to enact responsible safety requirements while at the same time recognizing that overzealous restrictions can interfere with a way of life enjoyed by not just Montanans, but outdoor enthusiasts across America.

As Chairman of the Congressional Sportsmen’s Caucus, I am proud to stand up for Montana’s outdoor heritage at every chance. The consumer protection law goes too far and limits younger Montanans’ opportunities to participate in those traditions.

My bill will protect small businesses and allow families safer access to the outdoors.

The consumer protection law covers all products intended for the use of children through the age of 12. This includes ATVs, dirt bikes and other vehicles built specifically for the use of older kids and adults. However, because of the way the vehicles are built, parts that may include lead are not exclusively internal components and therefore don’t pass the inaccessibility standard required by law. As a result of this requirement, a number of ATV sales and retail establishments have halted the sale of all ATVs for kids. In an abundance of caution, they have also refused to repair any equipment intended for kids use.

I have heard from many Montanans—consumers and retail sales people alike—expressing their concern about the impact of the legislation upon outdoor motor sports. A few years ago I worked with the Consumer Product Safety Commission to successfully provide a two year waiver for child-sized motorized vehicles. However, that stay of enforcement expires this May. Therefore today, I am reintroducing this bill to provide a permanent exception for vehicles intended to be used by children between the ages of 6 and 12.

In addition to manufacturers and merchants, thrift stores, and other retail establishments are also implicated because of the wide-reaching scope of the legislation. It is possible that even holding a yard sale can lead folks astray from the new law. Therefore, my bill also removes liability for lead paint content in any product that is repaired or is resold by thrift stores, flea markets or at yard sales. The liability in place at the time of primary sale of these products is sufficient and it could cripple the profitability of the secondary merchants if they were to be liable for testing the products they resell or repair.

In this tough economy, second-hand resellers simply can’t afford the third-party testing requirement put in place by the bill. At the same time, more and more of Montana’s families are finding their budgets tighten and are relying upon thrift and resale stores for toys, children’s clothing and other household goods. I want to make sure that laws

intended to keep our kids safe end up doing more harm than good.

This a very important bill, bringing a dose of common sense to the very important goal of protecting our kids from lead paint and other substances that will harm their health. I urge my colleagues to join me in this effort.

By Mr. INOUYE:

S. 70. A bill to restore the traditional day of observance of Memorial Day, and for other purposes; to the Committee on the Judiciary.

Mr. INOUYE. Mr. President, in our effort to accommodate many Americans by making Memorial Day the last Monday in May, we have lost sight of the significance of this day to our nation. My bill would restore Memorial Day to May 30 and authorize our flag to fly at half mast on that day. In addition, this legislation would authorize the President to issue a proclamation designating Memorial Day and Veterans Day as days for prayer and ceremonies. This legislation would help restore the recognition our veterans deserve for the sacrifices they have made on behalf of our Nation.

Mr. President, I ask unanimous consent that the text of my bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 70

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. RESTORATION OF TRADITIONAL DAY OF OBSERVANCE OF MEMORIAL DAY.**

(a) DESIGNATION OF LEGAL PUBLIC HOLIDAY.—Section 6103(a) of title 5, United States Code, is amended by striking “Memorial Day, the last Monday in May.” and inserting the following:

“Memorial Day, May 30.”.

(b) OBSERVANCES AND CEREMONIES.—Section 116 of title 36, United States Code, is amended—

(1) in subsection (a), by striking “The last Monday in May” and inserting “May 30”; and

(2) in subsection (b)—

(A) by striking “and” at the end of paragraph (3);

(B) by redesignating paragraph (4) as paragraph (5); and

(C) by inserting after paragraph (3) the following:

“(4) calling on the people of the United States to observe Memorial Day as a day of ceremonies to show respect for United States veterans of wars and other military conflicts; and”.

(c) DISPLAY OF FLAG.—

(1) TIME AND OCCASIONS FOR FLAG DISPLAY.—Section 6(d) of title 4, United States Code, is amended by striking “the last Monday in May;” and inserting “May 30;”.

(2) NATIONAL LEAGUE OF FAMILIES POW/MIA FLAG.—Section 902(c)(1)(B) of title 36, United States Code, is amended by striking “the last Monday in May” and inserting “May 30”.

By Ms. CANTWELL (for herself and Mr. FRANKEN):

S. 74. A bill to preserve the free and open nature of the Internet, expand the benefits of broadband, and promote

universally available and affordable broadband service; to the Committee on Commerce, Science, and Transportation.

Ms. CANTWELL. Mr. President, I rise today to introduce legislation that will preserve the free and open Internet that has led to the growth of broadband.

The broadband Internet is integral to U.S. job creation, economic growth, education, civic engagement, and innovation.

The network design principles fostering the development of the broadband Internet to date, an end-to-end design, layered architecture, and open standards, promotes innovation at the edge of the network and gives end users choice and control of their online activities.

These network design principles have led to the network neutrality of the Internet, where there are no paid-for premium fast lanes and best-effort slow lanes.

Today, broadband providers have access to technology and an economic incentive to favor their own or affiliated services, content, and applications; and discriminate against other providers of services content, and applications.

If our Nation is to achieve the ambitious broadband goals put forward in the National Broadband Plan, the U.S. needs a clear Federal policy that preserves the historically free and open nature of the Internet.

The policy must apply to all broadband Internet access service providers regardless of the means by which they reach the end user.

As you know, the FCC released its net neutrality rules last fall.

I consider the Commission's actions to be completely within the bounds of its authority.

The Chevron deference courts give agencies is rather broad.

A quick read of the 2005 U.S. Supreme Court's *Brand X* decision tells you all you need to know.

Former FCC Chairman Powell was very creative in his approach to de-regulating broadband over cable modem in 2002.

As you remember, one of the most conservative justices on the Supreme Court, Justice Scalia, voted against the FCC action saying more or less that what Chairman Powell did was an overreach.

Even so, the final decision was six to three in favor of the FCC. That is how broad the Chevron deference is.

And because of the meticulous way Chairman Genachowski conducted the Commission's process, in the end, I am confident the court system will uphold its actions.

My issue with the Commission's net neutrality rules is that I do not think the Chairman was bold enough.

The Commission should have issued one set of rules that covered broadband delivered over wireline, wireless, or some combination of the two. Everyone realizes that the future of broadband is

wireless. And with the rollout of 4-G wireless services, that future is with us now.

The Commission should not have kept open the door for any pay-for-priority schemes. It will lead to a tiered Internet, where broadband Internet service providers have the incentive to create artificial bandwidth shortages to maximize profits, rather than invest in new capacity.

The Commission also needed to get the definitions of broadband and reasonable network management right. One was too broad and one too narrow. The wording in definitions is negotiated over fiercely because, if not crafted properly, it can lead to loopholes that severely undercut the effectiveness of the rules.

More fundamentally, the Commission should have reclassified broadband Internet access into Title II of the communications act and forebear from regulation all of the elements more appropriate to Title I. It would have taken the Commission a lot more time and resources, but getting net neutrality right is that important, because this is the foundation that all broadband rules and regulations will be built on going forward.

It is surprising that as weak as these rules are they have stirred up so much vitriol.

I know this body will be taking up this matter another day.

My legislation puts in statute strong net neutrality protections, takes steps to promote broadband adoption, and provides consumer protection for broadband end users.

First I want to acknowledge the leadership of our former colleague Senator Dorgan on this issue.

The bill builds on what we started working together on last fall.

It also borrows some of the good ideas of Mr. MARKEY and Ms. ESHOO in the House.

At a high level my legislation creates a new section in Title II of the Communications Act that codifies the six new neutrality principles in the FCC's November 2009 notice of proposed rule-making for preserving the open Internet.

My legislation adds a few things to the FCC's list. For example, my legislation also prohibits broadband operators from requiring content, service, or application providers from paying for prioritized delivery of their IP packets; more commonly referred to as pay-for-priority. It also requires broadband providers to interconnect with middle-mile broadband providers on just and reasonable terms and conditions.

All of this is subject to reasonable network management as defined. And it applies to all broadband Internet platforms—wireline and wireless.

My legislation takes several steps to promote the adoption of broadband, steps such as requiring broadband providers to provide service upon reasonable request by an end user; and requiring broadband providers to offer stand-

alone broadband at reasonable rates, terms and conditions.

My legislation increases consumer protections because all charges, practices, classifications, regulations, for and in connection with the broadband Internet access service must be just and reasonable.

My legislation directs the FCC to come up with enforcement mechanisms. End users, who include individuals, businesses of all sizes, non-for-profit organization, and others, can file a complaint either at the FCC or at a U.S. District Court, but not both. Additionally, State Attorneys General can file on behalf of their residents and seek either to enforce the act or to seek civil penalties.

My legislation supports continued broadband investment, innovation, and jobs.

Let me explain.

First innovation. With the Internet's end-to-end design, innovation is at the edge of the network in the hands of the end users. New ideas for online content, application, and services do not need the permission of the centralized network operator to become successful. Without net neutrality protections, I foresee situations arising that will chill innovation.

For example, if a broadband provider has a partnership with company A to provide end users a certain on-line service, and new company B comes up with a better value proposition for providing that same on-line service, how many believe that the broadband provider will allow company B get access to its end users with the same bandwidth or quality-of-service assurances, particularly if Company A gives a portion of its revenues from that on-line service to the broadband provider.

Experience has taught me that the most promising path to developing an innovation into a new on-line product or service is hard to predict, if one can do it at all. If broadband Internet access service provider end up on the critical path for successful commercialization of on-line innovations, the path to success will be all the much harder. The language in my bill tries to prevent these types of situations from happening.

This leads to my second point, the chilling of investment without effective net neutrality rules.

Take the situation where an early stage online company is seeking venture capital investments. The first question any responsible VC will ask is whether the following list of large broadband providers are on-board with the online product or service. Because if there is a situation, as in my example on innovation, where the large broadband provider has a partnership with the early stage companies' entrenched competitor, it is going to be difficult, if not impossible to raise funds. Basically, the blessing of broadband providers will become essential to obtaining VC investment of any magnitude. How to get large broadband

providers on board will become a key part of every business plan. Broadband providers would then become gatekeepers to online innovation and investment.

Broadband investment can also be chilled a second way. The logical extension of pay-for-priority is a tiered Internet with premium fast lanes and best effort slow lanes. With a tiered Internet, it becomes more profitable to create an artificial bandwidth shortage rather than in investing to increase broadband capacity of the local network.

The reason is that it is easier to adjust pricing policies than forecast the optimum level of investment and be able to finance it at favorable rates. Recall the Internet bubble about a decade ago. That is why I believe that if pay-for-priority exists, it will ultimately lead to a lower level of broadband investment that would occur otherwise.

I agree with the need for broadband providers to upgrade the quality of their network and increase the available bandwidth to meet the anticipated market demand. If end users want more bandwidth or quality-of-service assurances they should be willing to pay for it. It is that simple. I have no issue with allowing broadband providers explore different pricing options for consumers. My bill doesn't prevent that.

Third jobs. Since the advent of the broadband age, there have been more high-value-added, high-paying jobs created by companies operating at the edge of the network than companies at the center of the network. And because of chilled investment and other restrictions, without net neutrality rules, I believe we will experience a lower rate of growth of broadband-enabled jobs.

Let me close by saying that I bring a unique perspective to the policy discussion over net neutrality by virtue of working in the tech industry during the dial-up age and early years of broadband.

To put things in perspective, the ideas and language that became the Telecommunications Act of 1996 was coming together around the time Netscape 1.0 was being introduced commercially.

Whether intentionally or unintentionally, that 1996 Telecom Act accelerated the roll out of broadband, even though the word Internet appeared less than one dozen times. It set the wheels in motion by allowing local competition to the offspring of Ma Bell, allowing telecom companies to offer video programming, and allowing cable companies to offer telecom service.

Cable companies responded to this competitive threat, and that from the satellite TV companies due to the Satellite Home Viewing Act, by making infrastructure investments that allowed them to offer new broadband service over cable modem.

Competitive Local Exchange Carriers, taking advantage of their new

ability to line share and access unbundled network elements, also saw the competitive benefits of offering broadband service.

The traditional telecom companies, well, at the time they seemed focused on trying to reassemble Ma Bell and having us all buy an extra, dedicated landline or two for dial up service.

Eventually, the competitive pressure did drive them to make the necessary investment to offer broadband.

The business models for delivering broadband Internet access differed than that of dial-up. In their heyday, ISPs such as AOL, CompuServe, and Prodigy did not own their own infrastructure; they leased telecom transmission capacity from third parties telecom companies. With broadband, for a number of reasons, there came the much greater vertical integration of the ISP and transmission capacity.

Looking back, broadband over cable modem flourished under Title II through 2002, until the FCC deregulated it. Similarly, broadband over landlines flourished under Title II through 2005, until Chairman Martin's deregulated it in the wake of the Brand X decision.

As Senator Dorgan used to say, having broadband under Title II ensured that there was a broadband cop on the beat.

If there were functioning local markets for broadband services, consumers would have true choices, and I might think differently about the need for legislation. Unfortunately end users in most communities have a limited number of choices at best when it comes to broadband Internet access services.

At its most basic, that is why we need to return that broadband cop to the beat. My bill will do that, and do that without regulating the Internet.

It will achieve the regulatory certainty industry seems to clamoring for by having the net neutrality protection in statute rather than left to agency rule and the politics of each succeeding administration.

I don't claim that this bill is a perfect bill. It lays down a marker for where we should start the discussion.

Given the complexity of the Internet ecosystem, any legislation will have to be worked through by the Commerce Committee. There are always details, details, and more details with respect to business models and usage cases that need to be considered. For these reasons I recognize that the Commission will need some flexibility in implementing the statute and I believe my language will provide them with just enough.

My bill will preserve an open and free Internet, allow for broadband's continued growth, and the economic growth and jobs that it will create.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 74

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Internet Freedom, Broadband Promotion, and Consumer Protection Act of 2011".

**SEC. 2. FINDINGS.**

The Congress finds the following:

(1) Two-way communications networks constitute basic infrastructure that is as essential to our national economy as roads and electricity.

(2) The broadband Internet constitutes the most important two-way communications infrastructure of our time.

(3) Access to the broadband Internet is critical for job creation, economic growth, and technological innovation.

(4) Access to the broadband Internet creates opportunity for more direct civic engagement, increased educational attainment, and enables free speech.

(5) The network design principles fostering the development of the broadband Internet to date, an end-to-end design, layered architecture, and open standards, promotes innovation at the edge of the network and gives end users choice and control of their online activities.

(6) These network design principles have led to the network neutrality of the Internet, where there are no paid for premium fast lanes and best effort slow lanes.

(7) According to the Federal Communications Commission in 2009, technologies now allow network operators to distinguish different classes of Internet traffic, to offer different qualities-of-service, and to charge different prices to each class of Internet traffic.

(8) Broadband Internet access service providers have an economic interest to discriminate in favor of their own or affiliated services, content, and applications and against other providers of such services, content, and applications.

(9) Broadband Internet access service providers have an economic interest in, and the ability to adopt, pay-for-priority schemes to the detriment of job creation, economic growth, innovation, and consumer protections.

(10) The market for broadband today demonstrates substantial obstacles to effective competition, to the protection of users, and to the continued viability of a free and open Internet.

(11) These obstacles impede the universal deployment and adoption of broadband, impede meeting the goals set forth in the National Broadband Plan, and perpetuate a digital divide.

(12) The United States needs clear Federal policy that preserves the historically free and open nature of the Internet, expands the benefits of broadband, and promotes universally available and affordable broadband service that does not chill innovation or speech within the content, applications, and services available online.

(13) The Federal policy to ensure that the Internet remains free and open must apply equally to all broadband Internet access services, regardless of whether those services use wire, radio, or some combination of those means to reach the end user.

**SEC. 3. INTERNET FREEDOM.**

Title II of the Communications Act of 1934 (47 U.S.C. 201 et seq.) is amended by adding at the end the following:

**"SEC. 280. INTERNET FREEDOM AND BROADBAND PROMOTION.**

"(a) PURPOSES.—The purposes of this section are—

"(1) to promote increased availability and adoption of broadband for all Americans;

“(2) to promote consumer choice and competition among broadband Internet access service providers and among providers of lawful content, applications, and services; and

“(3) to protect consumers, innovators and entrepreneurs from harmful, discriminatory, or anti-competitive behavior by providers of broadband Internet access service.

“(b) BROADBAND INTERNET ACCESS SERVICE AND CHARGES.—

“(1) It shall be the duty of every broadband Internet access service provider to furnish such broadband Internet access service to end users upon reasonable request.

“(2) Broadband Internet access service providers shall not require end users to purchase voice grade telephone service, commercial mobile radio voice services, or multichannel-video programming distribution services or other specialized services as a condition on the purchase of any broadband Internet access service.

“(3) All charges, practices, classifications, and regulations for and in connection with broadband Internet access service shall be just and reasonable.

“(4) If a broadband Internet access service provider allows its end users to request quality-of-service assurances for the transmission of Internet protocol packets associated with its own applications, services, or content or that of its affiliates, then—

“(A) the broadband Internet access service provider shall permit such assurances for all Internet Protocol packets chosen by the end user, without regard to the content, applications, or services involved; and

“(B) any quality-of-service assurance shall not block, interfere with, or degrade, any other end user's access to the content, applications, and services of their choice.

“(c) ENSURING OPEN ACCESS TO THE BROADBAND INTERNET.—A broadband Internet access service provider may not unjustly or unreasonably—

“(1) block, interfere with, or degrade an end user's ability to access, use, send, post, receive, or offer lawful content (including fair use), applications, or services of the user's choice;

“(2) block, interfere with, or degrade an end user's ability to connect and use the end user's choice of legal devices that do not harm the network;

“(3) prevent or interfere with competition among network, applications, service or content providers;

“(4) engage in discrimination against any lawful Internet content, application, service, or service provider with respect to network management practices, network performance characteristics, or commercial terms and conditions;

“(5) give preference to affiliated content, applications, or services with respect to network management practices, network performance characteristics, or commercial terms and conditions;

“(6) charge a content, application, or service provider for access to the broadband Internet access service providers' end users based on differing levels of quality of service or prioritized delivery of Internet protocol packets;

“(7) prioritize among or between content, applications, and services, or among or between different types of content, applications, and services unless the end user requests to have such prioritization;

“(8) install or utilize network features, functions, or capabilities that prevent or interfere with compliance with the requirements of this section; or

“(9) refuse to interconnect on just and reasonable terms and conditions.

“(d) REASONABLE NETWORK MANAGEMENT.—

“(1) IN GENERAL.—Nothing in this section shall prohibit a broadband Internet access service provider from engaging in reasonable network management.

“(2) REASONABLENESS PRESUMPTION.—For purposes of this section, a network management practice is presumed to be reasonable for a broadband Internet access service provider only if it is—

“(A) essential for a legitimate network management purpose assuring the operation of the network;

“(B) appropriate for achieving the stated purpose;

“(C) narrowly tailored; and

“(D) among the least restrictive, least discriminatory, and least constricting of consumer choice available.

“(3) FACTORS TO BE CONSIDERED.—In determining whether a network management practice is reasonable, the Commission shall take into account the particular network architecture and any technology and operational limitations of the broadband Internet access service provider.

“(4) LIMITATION.—A network management practice may not be considered to be a reasonable network management if the broadband Internet access service provider charges content, applications, or other online service providers for differing levels of quality of service or prioritized delivery of Internet Protocol packets.

“(e) OTHER REGULATED SERVICES.—This section shall not be construed to prevent broadband Internet access service providers from offering interconnected Voice over Internet Protocol (VoIP) services or multichannel-video programming distribution services regulated under title VI of this Act on transmission capacity also used by broadband Internet access services.

“(f) TRANSPARENCY.—

“(1) IN GENERAL.—A provider of broadband Internet access service—

“(A) shall disclose publicly on its external website and at the point of sale accurate information regarding the network management practices, network performance, and commercial terms of its broadband Internet access service in plain language sufficient for end users to make informed choices regarding use of such services, and for content, application, service, and device providers to develop, market, and maintain Internet offerings; and

“(B) shall disclose publicly on its external website and at the point of sale any other practices that affect communications between a user and a content, application, or service provider in the ordinary, routine use of such broadband service.

“(2) EXEMPTIONS.—The Commission may exempt certain kinds of information from disclosure on the grounds that it is competitively sensitive or could compromise network security. Within 90 days after the date of enactment of the Internet Freedom, Broadband Promotion, and Consumer Protection Act of 2011, the Commission shall conclude a rulemaking proceeding to implement this subsection.

“(g) STAND-ALONE INTERNET ACCESS SERVICE.—

“(1) IN GENERAL.—Within 180 days after the date of enactment of the Internet Freedom, Broadband Promotion, and Consumer Protection Act of 2011, the Commission shall promulgate rules to ensure that broadband Internet access providers do not require the purchase of voice grade telephone service, commercial mobile radio voice services, or multichannel-video programming distribution services as a condition of purchasing any broadband Internet access service, and that the rates, terms, and conditions for providing such service are just and reasonable.

“(2) REPORT.—In the report required by section 706 of the Telecommunications Act of 1996 (47 U.S.C. 1302), the Commission shall collect information on the availability, promotion, average speed, and average pricing of stand-alone broadband Internet access service offered by broadband Internet access providers.

“(3) ELIGIBILITY TO ACCESS ANY UNIVERSAL SERVICE FUND FOR BROADBAND.—If the Commission establishes a universal service fund for broadband Internet services, only broadband Internet access service providers that offer stand-alone broadband service shall be eligible to participate in the fund.

“(h) ENFORCEMENT, LIABILITY, AND RECOVERY OF DAMAGES.—

“(1) EXPEDITED COMPLAINT PROCESS.—Within 180 days after the date of enactment of the Internet Freedom, Broadband Promotion, and Consumer Protection Act of 2011, the Commission shall prescribe rules to permit any aggrieved person to file a complaint with the Commission concerning a violation of subsections (b), (c), or (g) of this section, and establish enforcement and expedited adjudicatory review procedures including the resolution of complaints not later than 90 days after such complaint was filed, except for good cause shown.

“(2) LIABILITY OF BROADBAND INTERNET ACCESS SERVICE PROVIDERS FOR DAMAGES.—If a broadband Internet access service provider does, or causes or permits to be done, any act, matter, or thing that is prohibited under this section, or fails to do any act, matter, or thing required by this section to be done, the provider shall be liable to the person or persons injured thereby for the full amount of damages sustained in consequence of any such violation of the provisions of this section, together with a reasonable counsel or attorney's fee, as determined by the Commission.

“(3) VENUE.—Any person claiming to be damaged by any broadband Internet access provider subject to the provisions of this section may either make a complaint to the Commission as provided for in paragraph (1), or may bring suit for the recovery of the damages in a district court of the United States that meets applicable requirements relating to venue under section 1391 of title 28, United States Code. A claimant may not bring an action in a Federal district court if the claimant has filed a complaint with the Commission under paragraph (1) with respect to the same violation.

“(i) ENFORCEMENT BY STATES.—

“(1) IN GENERAL.—The chief legal officer of a State, or any other State officer authorized by law to bring actions on behalf of the residents of a State, may bring a civil action, as parens patriae, on behalf of the residents of that State in an appropriate district court of the United States to enforce this section or to impose civil penalties for violation of this section, whenever the chief legal officer or other State officer has reason to believe that the interests of the residents of the State have been or are being threatened or adversely affected by a violation of this section.

“(2) NOTICE.—The chief legal officer or other State officer shall serve written notice on the Commission of any civil action under paragraph (1) prior to initiating such civil action. The notice shall include a copy of the complaint to be filed to initiate such civil action, except that if it is not feasible for the State to provide such prior notice, the State shall provide such notice immediately upon instituting such civil action.

“(3) AUTHORITY TO INTERVENE.—Upon receiving the notice required by paragraph (2), the Commission shall have the right—

“(A) to intervene in the action;



“(B) upon so intervening, to be heard on all matters arising therein; and

“(C) to file petitions for appeal.

“(4) **RULE OF CONSTRUCTION.**—For purposes of bringing any civil action under paragraph (1), nothing in this subsection shall prevent the chief legal officer or other State officer from exercising the powers conferred on that officer by the laws of such State to conduct investigations or to administer oaths or affirmations or to compel the attendance of witnesses or the production of documentary and other evidence

“(5) **VENUE; SERVICE OF PROCESS.**—

“(A) **VENUE.**—An action brought under paragraph (1) shall be brought in a district court of the United States that meets applicable requirements relating to venue under section 1391 of title 28, United States Code.

“(B) **SERVICE OF PROCESS.**—In an action brought under paragraph (1)—

“(i) process may be served without regard to the territorial limits of the district or of the State in which the action is instituted; and

“(ii) a person who participated in an alleged violation that is being litigated in the civil action may be joined in the civil action without regard to the residence of the person.

“(j) **COMMISSION AUTHORITY.**—The Commission may perform any and all acts, make such rules and regulations and issue such orders, not inconsistent with this section, as may be necessary to implement the purposes of this section.

“(k) **OTHER LAWS AND CONSIDERATIONS.**—

“(1) Nothing in this section supersedes any obligation or authorization a provider or broadband Internet access service may have to address the needs of emergency communications or law enforcement, public safety, or national security authorities, consistent with or as permitted by applicable law, or limits the provider's ability to do so.

“(2) Nothing in this section authorizes a provider of broadband Internet access service to address copyright infringement or other unlawful activity of providers, subscribers, or users, beyond its obligations under the Digital Millennium Copyright Act (17 U.S.C. 101 note), the amendments made by that Act, and consistent other applicable laws.

“(1) **STUDIES.**—Within one-year after the date of enactment of this Act the Government Accountability Office shall complete and submit reports to the Senate Committee on Commerce, Science, and Transportation, and the House Committee on Energy and Commerce, on the evolution of commercial and other arrangements by which broadband Internet access service providers interconnect to Internet backbone providers and intermediary networks, and assess whether, as the volume and mix of Internet Protocol traffic requested by and transported to and from the customers of broadband Internet access service providers has changed over time, there is a market failure with respect to the existing market mechanisms of transit contracts and non-settlement peering agreements.

“(m) **DEFINITIONS.**—In this section:

“(1) **AFFILIATED.**—The term ‘affiliated’ includes—

“(A) a person that (directly or indirectly) owns or controls, is owned or controlled by, or is under common ownership or control with another person; and

“(B) a person that has a contract or other arrangement with a content, application, or service provider relating to access to or distribution of such content, application or services over the Internet.

“(2) **BROADBAND INTERNET ACCESS.**—The term ‘broadband Internet access’—

“(A) means the ability for an end user to transmit and receive data to the Internet

using Internet Protocol at peak download data transfer rates in excess of 200 kilobits per second, through an always-on connection; but

“(B) does not include dial-up access requiring an end user to initiate a call across the public switched telephone network to establish a connection.

“(3) **BROADBAND INTERNET ACCESS SERVICE.**—The term ‘broadband Internet access service’ means any communications service by wire or radio that provides broadband Internet access directly to the public, or to such classes of users as to be effectively available directly to the public.

“(4) **BROADBAND INTERNET ACCESS SERVICE PROVIDER.**—The term ‘broadband Internet access service provider’ means a person or entity that operates or resells and controls any facility used to provide an Internet access service directly to the public, whether provided for a fee or for free, and whether provided via wire or radio, except when such service is offered as an incidental component of a noncommunications contractual relationship.

“(5) **END USER.**—The term ‘end user’ means any person who, by way of a broadband service, takes and utilizes Internet services, whether provided for a fee, in exchange for an explicit benefit, or for free.”

“(6) **INTERNET.**—The term ‘Internet’ means a system of interconnected networks that use the Internet Protocol for communications with resources or endpoints reachable, directly or through a proxy, via a globally unique Internet address assigned by the Internet Assigned Numbers Authority or any successor or designee; or any technology the Commission shall find to be functionally equivalent.

“(7) **INTERCONNECTED VOICE OVER INTERNET PROTOCOL (VOIP) SERVICE.**—The term ‘Interconnected VoIP service’ means a service that enables real-time, two-way voice communications; requires a broadband connection from the user's location; requires Internet protocol compatible customer premises equipment; and permits users generally to receive calls that originate on the public switched telephone network and to terminate calls to the public switched telephone network subject to section 9.3 of the Commission's regulations (47 C.F.R. 9.3).

By Mr. KOHL (for himself, Mrs. FEINSTEIN, Mr. DURBIN, Mr. WHITEHOUSE, Ms. KLOBUCHAR, Mr. FRANKEN, and Mr. WYDEN):

S. 75. A bill to restore the rule that agreements between manufacturers and retailers, distributors, or wholesalers to set the minimum price below which the manufacturer's product or service cannot be sold violates the Sherman Act; to the Committee on the Judiciary.

Mr. KOHL. Mr. President, I rise today to introduce legislation essential to consumers receiving the best prices on every product from electronics to clothing to groceries. My bill, the Discount Pricing Consumer Protection Act, will restore the nearly century old rule that it is illegal under antitrust law for a manufacturer to set a minimum price below which a retailer cannot sell the manufacturer's product, a practice known as “resale price maintenance” or “vertical price fixing.” This bill will ensure that consumers can obtain discount prices at the very time they need them the most.

In June 2007, overturning a 96-year-old precedent, a narrow 5-4 Supreme

Court majority in the Leegin case turned the Sherman Act on its head to overturn this basic rule of the marketplace which has served consumers well for nearly a century. My bill—identical to legislation I introduced in the last two Congresses—will correct this misinterpretation of antitrust law and restore the per se ban on vertical price fixing. My bill has been endorsed by the National Association of Attorneys General, 38 state attorneys general, as well as numerous antitrust experts, including former FTC Chairman Pitofsky and former FTC Commissioner Harbour, and the leading consumer groups, including Consumers Union, the Consumers Federation of America, and the American Antitrust Institute. This legislation passed the Judiciary Committee last year.

The reasons for this legislation are compelling. Allowing manufacturers to set minimum retail prices will threaten the very existence of discounting and discount stores, and lead to higher prices for consumers. For nearly a century the rule against vertical price fixing permitted discounters to sell goods at the most competitive price. Many credit this rule with the rise of today's low price, discount retail giants—stores like Target, Best Buy, Walmart, and the internet sites Amazon and eBay, which offer consumers a wide array of highly desired products at discount prices.

Ample evidence exists of the pernicious effect of allowing vertical price fixing. For nearly 40 years until 1975 when Congress passed the Consumer Goods Pricing Act, Federal law permitted States to enact so-called “fair trade” laws legalizing vertical price fixing. Studies the Department of Justice conducted in the late 1960s indicated that prices were between 18-27 percent higher in the States that allowed vertical price fixing than the States that had not passed such “fair trade” laws, costing consumers at least \$2.1 billion per year at that time.

Given the tremendous economic growth in the intervening decades, the likely harm to consumers if vertical price fixing were permitted is even greater today. In his dissenting opinion in the Leegin case, Justice Breyer estimated that if only 10 percent of manufacturers engaged in vertical price fixing, the volume of commerce affected today would be \$300 billion, translating into retail bills that would average \$750 to \$1,000 higher for the average family of four every year.

The experience of the last three years since the Leegin decision has begun to confirm our fears regarding the dangers from permitting vertical price fixing. The Wall Street Journal has reported that more than 5,000 companies have implemented minimum pricing policies. A new business—known as “internet monitors”—has materialized for companies that scour the Internet in search of retailers selling products at a bargain. When such bargain sellers are detected, the manufacturer is alerted so that they can demand the seller

end its discounting. There have been many reports of everything from consumer electronics and video games to baby products and toys, rental cars and bicycles being subject to minimum retail pricing policies.

Defenders of the Leegin decision argue that today's giant retailers such as Wal-Mart, Best Buy or Target can "take care of themselves" and have sufficient market power to fight manufacturer efforts to impose retail prices. Whatever the merits of that argument, I am particularly worried about the effect of this new rule permitting minimum vertical price fixing on the next generation of discount retailers. If new discount retailers can be prevented from selling products at a discount at the behest of an established retailer worried about the competition, we will imperil an essential element of retail competition so beneficial to consumers.

In overturning the per se ban on vertical price fixing, the Supreme Court in Leegin announced this practice should instead be evaluated under what is known as the "rule of reason." Under the rule of reason, a business practice is illegal only if it imposes an "unreasonable" restraint on competition. The burden is on the party challenging the practice to prove in court that the anti-competitive effects of the practice outweigh its justifications. In the words of the Supreme Court, the party challenging the practice must establish the restraint's "history, nature and effect." Whether the businesses involved possess market power "is a further, significant consideration" under the rule of reason.

In short, establishing that any specific example of vertical price fixing violates the rule of reason is an onerous and difficult burden for a plaintiff in an antitrust case. Parties complaining about vertical price fixing are likely to be small discount stores or consumers with limited resources to engage in lengthy and complicated antitrust litigation. These plaintiffs are unlikely to possess the facts and complicated economic evidence necessary to make the extensive showing necessary to prove a case under the "rule of reason." In the words of former FTC Commissioner Pamela Jones Harbour, applying the rule of reason to vertical price fixing "is a virtual euphemism for per se legality."

Our Antitrust Subcommittee conducted two extensive hearings into the Leegin decision and the likely effects of abolishing the ban on vertical price fixing in the last two Congresses. Both former FTC Chairman Robert Pitofsky and former FTC Commissioner Harbour strongly endorsed restoring the ban on vertical price fixing. Marcy Syms, CEO of the Syms discount clothing stores, and a senior executive of the Burlington Coat Factory discount chain testified as well, both citing the likely dangers to the ability of discounters such as Syms to survive after abolition of the rule against vertical price fixing.

Ms. Syms also stated that "it would be very unlikely for her to bring an anti-trust suit" challenging vertical price fixing under the rule of reason because her company "would not have the resources, knowledge or a strong enough position in the market place to make such action prudent." Our examination of this issue has produced compelling evidence for the continued necessity of a ban on vertical price fixing to protect discounting and low prices for consumers.

The Discount Pricing Consumer Protection Act will accomplish this goal. My legislation is quite simple and direct. It would simply add one sentence to Section 1 of the Sherman Act—the basic provision addressing combinations in restraint of trade—a statement that any agreement with a retailer, wholesaler or distributor setting a price below which a product or service cannot be sold violates the law. No balancing or protracted legal proceedings will be necessary. Should a manufacturer enter into such an agreement it will unquestionably violate antitrust law. The uncertainty and legal impediments to antitrust enforcement of vertical price fixing will be replaced by simple and clear legal rule—a legal rule that will promote low prices and discount competition to the benefit of consumers every day.

In the last few decades, millions of consumers have benefited from an explosion of retail competition from new large discounters in virtually every product, from clothing to electronics to groceries, in both "big box" stores and on the Internet. Our legislation will correct the Supreme Court's abrupt change to antitrust law, and will ensure that today's vibrant competitive retail marketplace and the savings gained by American consumers from discounting will not be jeopardized by the abolition of the ban on vertical price fixing. I urge my colleagues to support this bill.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 75

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Discount Pricing Consumer Protection Act".

**SEC. 2. STATEMENT OF FINDINGS AND DECLARATION OF PURPOSES.**

(a) FINDINGS.—Congress finds the following:

(1) From 1911 in the *Dr. Miles* decision until June 2007 in the *Leegin* decision, the Supreme Court had ruled that the Sherman Act forbid in all circumstances the practice of a manufacturer setting a minimum price below which any retailer, wholesaler or distributor could not sell the manufacturer's product (the practice of "resale price maintenance" or "vertical price fixing").

(2) The rule of per se illegality forbidding resale price maintenance promoted price competition and the practice of discounting

all to the substantial benefit of consumers and the health of the economy.

(3) Many economic studies showed that the rule against resale price maintenance led to lower prices and promoted consumer welfare.

(4) Abandoning the rule against resale price maintenance will likely lead to higher prices paid by consumers and substantially harms the ability of discount retail stores to compete. For 40 years prior to 1975, Federal law permitted states to enact so-called "fair trade" laws allowing vertical price fixing. Studies conducted by the Department of Justice in the late 1960s indicated that retail prices were between 18 and 27 percent higher in states that allowed vertical price fixing than those that did not. Likewise, a 1983 study by the Bureau of Economics of the Federal Trade Commission found that, in most cases, resale price maintenance increased the prices of products sold.

(5) The 5-4 decision of the Supreme Court majority in *Leegin* incorrectly interpreted the Sherman Act and improperly disregarded 96 years of antitrust law precedent in overturning the per se rule against resale price maintenance.

(b) PURPOSES.—The purposes of this Act are—

(1) to correct the Supreme Court's mistaken interpretation of the Sherman Act in the *Leegin* decision; and

(2) to restore the rule that agreements between manufacturers and retailers, distributors or wholesalers to set the minimum price below which the manufacturer's product or service cannot be sold violates the Sherman Act.

**SEC. 3. PROHIBITION ON VERTICAL PRICE FIXING.**

(a) AMENDMENT TO THE SHERMAN ACT.—Section 1 of the Sherman Act (15 U.S.C. 1) is amended by adding after the first sentence the following: "Any contract, combination, conspiracy or agreement setting a minimum price below which a product or service cannot be sold by a retailer, wholesaler, or distributor shall violate this Act."

(b) EFFECTIVE DATE.—The amendment made by subsection (a) shall take effect 90 days after the date of enactment of this Act.

By Mrs. HUTCHISON (for herself, Mr. BEGICH, Mr. BARRASSO, Mr. CORNYN, Mr. ALEXANDER, and Mr. THUNE):

S. 80. A bill to provide a permanent deduction for State and local general sales taxes; to the Committee on Finance.

Mrs. HUTCHISON. Mr. President, I am pleased to introduce a bill to permanently correct an injustice in the tax code that has harmed citizens in many States of this great Nation.

State and local governments have various alternatives for raising revenue. Some levy income taxes, some use sales taxes, and others use a combination of the two. The citizens who pay State and local income taxes have been able to offset some of their Federal income taxes by receiving a deduction for those State and local income taxes. Before 1986, taxpayers also had the ability to deduct their sales taxes.

The philosophy behind these deductions is simple: people should not have to pay taxes on their taxes. The money that people must give to one level of government should not also be taxed by another level of government.

Unfortunately, citizens of some States were treated differently after

1986 when the deduction for State and local sales taxes was eliminated. This discriminated against those living in States, such as my home State of Texas, with no income taxes. It is important to remember the lack of an income tax does not mean citizens in these States do not pay State taxes; revenues are simply collected differently.

It is unfair to give citizens from some States a deduction for the revenue they provide their State and local governments, while not doing the same for citizens from other States. Federal tax law should not treat people differently on the basis of State residence and differing tax collection methods, and it should not provide an incentive for States to establish income taxes over sales taxes.

This discrepancy has a significant impact on Texas. According to the Texas Comptroller, extending the deduction would save Texans a projected \$1.2 billion a year, or an average of \$520 per filer claiming the deduction. The Texas Comptroller also estimates continuing the deduction is associated with 15,700 to 25,700 Texas jobs and \$1.1 billion to \$1.4 billion in gross state product.

Recognizing the inequity in the tax code, Congress reinstated the sales tax deduction in 2004 and authorized it for 2 years. Congress further extended the sales tax deduction in 2006 and 2008, respectively. On January 1, 2010, however, the sales tax deduction expired, and, for much of this past year, many Americans once again faced the prospect of paying Federal income taxes on their State and local sales taxes.

Fortunately, under the recent agreement to extend the broader tax relief for all Americans, Congress staved off the return of the sales tax deduction by extending it for 2 years, retroactive to January 1, 2010. However, this deduction is only in effect through 2011, and we must act to prevent the inequity from returning.

The legislation I am offering today will fix this problem for good by making the State and local sales tax deduction permanent. This will permanently end the discrimination suffered by my fellow Texans and citizens of other States who do not have the option of an income tax deduction.

This legislation is about reestablishing equity to the tax code and defending the important principle of eliminating taxes on taxes. I hope my fellow Senators will support this effort and pass this legislation, and I appreciate the backing of Senators BARRASSO, BEGICH, CORNYN, ALEXANDER, ENZI and THUNE who have already signed on as co-sponsors.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 80

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. PERMANENT EXTENSION OF DEDUCTION FOR STATE AND LOCAL GENERAL SALES TAXES.**

(a) IN GENERAL.—Subparagraph (I) of section 164(b)(5) of the Internal Revenue Code of 1986, as amended by section 722 of the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, is amended by striking “, and before January 1, 2012”.

(b) EFFECTIVE DATE.—The amendment made by this section shall apply to taxable years beginning after December 31, 2011.

By Mr. REID (for Mrs. FEINSTEIN (for herself and Mrs. BOXER):—

S. 97. A bill to amend the Federal Water Pollution Control Act to establish a grant program to support the restoration of San Francisco Bay; to the Committee on Environment and Public Works.

Mr. REID for Mrs. FEINSTEIN, Mr. President, I rise on behalf of myself and Senator BOXER to introduce legislation to further the restoration of the San Francisco Bay.

There are many areas in the country in which restoration is done, and I am pleased to introduce an authorization for restoration work in the San Francisco Bay with Senator BOXER, Chairwoman of the Senate Environment and Public Works Committee. Companion legislation will also be introduced in the U.S. House of Representatives by Congresswoman JACKIE SPEIER.

As Chair of the Appropriations Subcommittee on Interior, Environment, and Related Agencies, I secured \$17 million in Federal funding for ecosystem restoration and water quality work in the San Francisco Bay in the last three years. I also secured \$15 million since 2006 for the Fish and Wildlife Service to restore salt ponds to tidal wetlands in the Bay.

It is necessary to ensure that these funds continue to be appropriated and are spent on the most important projects for the ecosystem and public benefit.

To that end, this legislation will prioritize funding for projects that will protect and restore vital estuarine habitat for migratory waterfowl, shorebirds, and wildlife; improve and restore water quality and rearing habitat for fish; and ensure public benefits.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 97

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “San Francisco Bay Restoration Act”.

**SEC. 2. SAN FRANCISCO BAY RESTORATION GRANT PROGRAM.**

Title I of the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.) is amended by adding at the end the following:

**“SEC. 123. SAN FRANCISCO BAY RESTORATION GRANT PROGRAM.**

“(a) DEFINITIONS.—In this section:

“(1) ANNUAL PRIORITY LIST.—The term ‘annual priority list’ means the annual priority list compiled under subsection (b).

“(2) COMPREHENSIVE PLAN.—The term ‘comprehensive plan’ means—

“(A) the comprehensive conservation and management plan approved under section 320 for the San Francisco Bay estuary; and

“(B) any amendments to that plan.

“(3) ESTUARY PARTNERSHIP.—The term ‘Estuary Partnership’ means the San Francisco Estuary Partnership, the entity that is designated as the management conference under section 320.

“(b) ANNUAL PRIORITY LIST.—

“(1) IN GENERAL.—After providing public notice, the Administrator shall annually compile a priority list identifying and prioritizing the activities, projects, and studies intended to be funded with the amounts made available under subsection (c).

“(2) INCLUSIONS.—The annual priority list compiled under paragraph (1) shall include—

“(A) activities, projects, or studies, including restoration projects and habitat improvement for fish, waterfowl, and wildlife, that advance the goals and objectives of the approved comprehensive plan;

“(B) information on the activities, projects, programs, or studies specified under subparagraph (A), including a description of—

“(i) the identities of the financial assistance recipients; and

“(ii) the communities to be served; and

“(C) the criteria and methods established by the Administrator for selection of activities, projects, and studies.

“(3) CONSULTATION.—In developing the priority list under paragraph (1), the Administrator shall consult with and consider the recommendations of—

“(A) the Estuary Partnership;

“(B) the State of California and affected local governments in the San Francisco Bay estuary watershed; and

“(C) any other relevant stakeholder involved with the protection and restoration of the San Francisco Bay estuary that the Administrator determines to be appropriate.

“(c) GRANT PROGRAM.—

“(1) IN GENERAL.—Pursuant to section 320, the Administrator may provide funding through cooperative agreements, grants, or other means to State and local agencies, special districts, and public or nonprofit agencies, institutions, and organizations, including the Estuary Partnership, for activities, studies, or projects identified on the annual priority list.

“(2) MAXIMUM AMOUNT OF GRANTS; NON-FEDERAL SHARE.—

“(A) MAXIMUM AMOUNT OF GRANTS.—Amounts provided to any individual or entity under this section for a fiscal year shall not exceed an amount equal to 75 percent of the total cost of any eligible activities that are to be carried out using those amounts.

“(B) NON-FEDERAL SHARE.—The non-Federal share of the total cost of any eligible activities that are carried out using amounts provided under this section shall be—

“(i) not less than 25 percent; and

“(ii) provided from non-Federal sources.

“(d) FUNDING.—

“(1) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to the Administrator to carry out this section such sums as are necessary for each of fiscal years 2012 through 2021.

“(2) ADMINISTRATIVE EXPENSES.—Of the amount made available to carry out this section for a fiscal year, the Administrator

shall use not more than 5 percent to pay administrative expenses incurred in carrying out this section.

“(3) RELATIONSHIP TO OTHER FUNDING.—Nothing in this section limits the eligibility of the Estuary Partnership to receive funding under section 320(g).

“(4) PROHIBITION.—No amounts made available under subsection (c) may be used for the administration of a management conference under section 320.”.

By Mr. BINGAMAN (for himself and Ms. MURKOWSKI):

S. 99. A bill to promote the production of molybdenum-99 in the United States for medical isotope production, and to condition and phase out the export of highly enriched uranium for the production of medical isotopes; to the Committee on Energy and Natural Resources.

Mr. BINGAMAN. Mr. President, today I am introducing the American Medical Isotopes Production Act of 2011. The purpose of the bill is to provide certainty in developing a domestic supply of molybdenum-99, which is used to produce technetium-99m, one of the most widely used medical isotopes in the United States. Right now we import all of our molybdenum-99 from outside the United States, primarily Canada and the Netherlands, from reactors that are old and that will most likely be shut down within the next 10 years. In addition, this bill moves us away from using highly enriched bomb-grade uranium targets to those that are low-enriched; that is, that are less than 20 percent in the fissile isotope uranium-235. I think this is a very important nonproliferation goal because the world is currently in discussion with Iran on replacing fuel and targets from their medical isotopes reactor; we should lead by example in dealing in this area with countries like Iran that can now enrich nuclear fuel.

The Committee on Energy and Natural Resources held a very detailed hearing on this topic last Congress. The bill we reported unanimously had a wide body of support among the medical isotopes and non-proliferation communities. I am attaching several letters from the last Congress as evidence of the wide support for this bill.

The new bill that I am introducing today is identical to the bill reported by the Committee in the last Congress, H.R. 3276, as amended. There are only two differences between this bill and the one from the last Congress. The authorization level has been lowered by \$20 million to account for the fact that we are in fiscal year 2011 and not fiscal year 2010, and technical PAYGO language has been added.

Mr. President, I ask unanimous consent that the text of the bill and letters of support be printed in the RECORD.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

S. 99

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the “American Medical Isotopes Production Act of 2011”.

#### SEC. 2. IMPROVING THE RELIABILITY OF DOMESTIC MEDICAL ISOTOPE SUPPLY.

##### (a) MEDICAL ISOTOPE DEVELOPMENT PROJECTS.—

(1) IN GENERAL.—The Secretary of Energy shall establish a technology-neutral program—

(A) to evaluate and support projects for the production in the United States, without the use of highly enriched uranium, of significant quantities of molybdenum-99 for medical uses;

(B) to be carried out in cooperation with non-Federal entities; and

(C) the costs of which shall be shared in accordance with section 988 of the Energy Policy Act of 2005 (42 U.S.C. 16352).

(2) CRITERIA.—Projects shall be judged against the following primary criteria:

(A) The length of time necessary for the proposed project to begin production of molybdenum-99 for medical uses within the United States.

(B) The capability of the proposed project to produce a significant percentage of United States demand for molybdenum-99 for medical uses.

(C) The cost of the proposed project.

(3) EXEMPTION.—An existing reactor fueled with highly enriched uranium shall not be disqualified from the program if the Secretary of Energy determines that—

(A) there is no alternative nuclear reactor fuel, enriched in the isotope U-235 to less than 20 percent, that can be used in that reactor;

(B) the reactor operator has provided assurances that, whenever an alternative nuclear reactor fuel, enriched in the isotope U-235 to less than 20 percent, can be used in that reactor, it will use that alternative in lieu of highly enriched uranium; and

(C) the reactor operator has provided a current report on the status of its efforts to convert the reactor to an alternative nuclear reactor fuel enriched in the isotope U-235 to less than 20 percent, and an anticipated schedule for completion of conversion.

(4) PUBLIC PARTICIPATION AND REVIEW.—The Secretary of Energy shall—

(A) develop a program plan and annually update the program plan through public workshops; and

(B) use the Nuclear Science Advisory Committee to conduct annual reviews of the progress made in achieving the program goals.

(5) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to the Secretary of Energy for carrying out the program under paragraph (1) \$143,000,000 for the period encompassing fiscal years 2011 through 2014.

(b) DEVELOPMENT ASSISTANCE.—The Secretary of Energy shall establish a program to provide assistance for—

(1) the development of fuels, targets, and processes for domestic molybdenum-99 production that do not use highly enriched uranium; and

(2) commercial operations using the fuels, targets, and processes described in paragraph (1).

(c) URANIUM LEASE AND TAKE BACK.—The Secretary of Energy shall establish a program to make low enriched uranium available, through lease contracts, for irradiation for the production of molybdenum-99 for medical uses. The lease contracts shall provide for the Secretary to retain responsibility for the final disposition of radioactive waste created by the irradiation, processing, or purification of leased uranium. The lease contracts shall also provide for compensation in cash amounts equivalent to pre-

vailing market rates for the sale of comparable uranium products and for compensation in cash amounts equivalent to the net present value of the cost to the Federal Government for the final disposition of such radioactive waste, provided that the discount rate used to determine the net present value of such costs shall be no greater than the average interest rate on marketable Treasury securities. The Secretary shall not barter or otherwise sell or transfer uranium in any form in exchange for services related to final disposition of the radioactive waste from such leased uranium.

#### SEC. 3. EXPORTS.

Section 134 of the Atomic Energy Act of 1954 (42 U.S.C. 2160d) is amended by striking subsections b. and c. and inserting in lieu thereof the following:

“b. Effective 7 years after the date of enactment of the American Medical Isotopes Production Act of 2011, the Commission may not issue a license for the export of highly enriched uranium from the United States for the purposes of medical isotope production.

“c. The period referred to in subsection b. may be extended for no more than 6 years if, no earlier than 6 years after the date of enactment of the American Medical Isotopes Production Act of 2011, the Secretary of Energy certifies to the Committee on Energy and Commerce of the House of Representatives and the Committee on Energy and Natural Resources of the Senate that—

“(1) there is insufficient global supply of molybdenum-99 produced without the use of highly enriched uranium available to satisfy the domestic United States market; and

“(2) the export of United States-origin highly enriched uranium for the purposes of medical isotope production is the most effective temporary means to increase the supply of molybdenum-99 to the domestic United States market.

“d. To ensure public review and comment, the development of the certification described in subsection c. shall be carried out through announcement in the Federal Register.

“e. At any time after the restriction of export licenses provided for in subsection b. becomes effective, if there is a critical shortage in the supply of molybdenum-99 available to satisfy the domestic United States medical isotope needs, the restriction of export licenses may be suspended for a period of no more than 12 months, if—

“(1) the Secretary of Energy certifies to the Congress that the export of United States-origin highly enriched uranium for the purposes of medical isotope production is the only effective temporary means to increase the supply of molybdenum-99 necessary to meet United States medical isotope needs during that period; and

“(2) the Congress enacts a Joint Resolution approving the temporary suspension of the restriction of export licenses.

“f. As used in this section—

“(1) the term ‘alternative nuclear reactor fuel or target’ means a nuclear reactor fuel or target which is enriched to less than 20 percent in the isotope U-235;

“(2) the term ‘highly enriched uranium’ means uranium enriched to 20 percent or more in the isotope U-235;

“(3) a fuel or target ‘can be used’ in a nuclear research or test reactor if—

“(A) the fuel or target has been qualified by the Reduced Enrichment Research and Test Reactor Program of the Department of Energy; and

“(B) use of the fuel or target will permit the large majority of ongoing and planned experiments and isotope production to be conducted in the reactor without a large percentage increase in the total cost of operating the reactor; and

“(4) the term ‘medical isotope’ includes molybdenum-99, iodine-131, xenon-133, and other radioactive materials used to produce a radiopharmaceutical for diagnostic, therapeutic procedures or for research and development.”

#### SEC. 4. REPORT ON DISPOSITION OF EXPORTS.

Not later than 1 year after the date of the enactment of this Act, the Chairman of the Nuclear Regulatory Commission, after consulting with other relevant agencies, shall submit to the Congress a report detailing the current disposition of previous United States exports of highly enriched uranium, including—

- (1) their location;
- (2) whether they are irradiated;
- (3) whether they have been used for the purpose stated in their export license;
- (4) whether they have been used for an alternative purpose and, if so, whether such alternative purpose has been explicitly approved by the Commission;
- (5) the year of export, and reimportation, if applicable;
- (6) their current physical and chemical forms; and
- (7) whether they are being stored in a manner which adequately protects against theft and unauthorized access.

#### SEC. 5. DOMESTIC MEDICAL ISOTOPE PRODUCTION.

(a) IN GENERAL.—Chapter 10 of the Atomic Energy Act of 1954 (42 U.S.C. 2131 et seq.) is amended by adding at the end the following new section:

“SEC. 112. DOMESTIC MEDICAL ISOTOPE PRODUCTION.— a. The Commission may issue a license, or grant an amendment to an existing license, for the use in the United States of highly enriched uranium as a target for medical isotope production in a nuclear reactor, only if, in addition to any other requirement of this Act—

- “(1) the Commission determines that—
- “(A) there is no alternative medical isotope production target, enriched in the isotope U-235 to less than 20 percent, that can be used in that reactor; and
- “(B) the proposed recipient of the medical isotope production target has provided assurances that, whenever an alternative medical isotope production target can be used in that reactor, it will use that alternative in lieu of highly enriched uranium; and
- “(2) the Secretary of Energy has certified that the United States Government is actively supporting the development of an alternative medical isotope production target that can be used in that reactor.
- “b. As used in this section—
- “(1) the term ‘alternative medical isotope production target’ means a nuclear reactor target which is enriched to less than 20 percent of the isotope U-235;
- “(2) a target ‘can be used’ in a nuclear research or test reactor if—
- “(A) the target has been qualified by the Reduced Enrichment Research and Test Reactor Program of the Department of Energy; and
- “(B) use of the target will permit the large majority of ongoing and planned experiments and isotope production to be conducted in the reactor without a large percentage increase in the total cost of operating the reactor;
- “(3) the term ‘highly enriched uranium’ means uranium enriched to 20 percent or more in the isotope U-235; and
- “(4) the term ‘medical isotope’ includes molybdenum-99, iodine-131, xenon-133, and other radioactive materials used to produce a radiopharmaceutical for diagnostic, therapeutic procedures or for research and development.”

(b) TABLE OF CONTENTS.—The table of contents for the Atomic Energy Act of 1954 is

amended by inserting the following new item at the end of the items relating to chapter 10 of title I:

“Sec. 112. Domestic medical isotope production.”

#### SEC. 6. ANNUAL DEPARTMENT OF ENERGY REPORTS.

The Secretary of Energy shall report to Congress no later than one year after the date of enactment of this Act, and annually thereafter for 5 years, on Department of Energy actions to support the production in the United States, without the use of highly enriched uranium, of molybdenum-99 for medical uses. These reports shall include the following:

- (1) For medical isotope development projects—
  - (A) the names of any recipients of Department of Energy support under section 2 of this Act;
  - (B) the amount of Department of Energy funding committed to each project;
  - (C) the milestones expected to be reached for each project during the year for which support is provided;
  - (D) how each project is expected to support the increased production of molybdenum-99 for medical uses;
  - (E) the findings of the evaluation of projects under section 2(a)(2) of this Act; and
  - (F) the ultimate use of any Department of Energy funds used to support projects under section 2 of this Act.
- (2) A description of actions taken in the previous year by the Secretary of Energy to ensure the safe disposition of radioactive waste from used molybdenum-99 targets.

#### SEC. 7. NATIONAL ACADEMY OF SCIENCES REPORT.

The Secretary of Energy shall enter into an arrangement with the National Academy of Sciences to conduct a study of the state of molybdenum-99 production and utilization, to be provided to the Congress not later than 5 years after the date of enactment of this Act. This report shall include the following:

- (1) For molybdenum-99 production—
  - (A) a list of all facilities in the world producing molybdenum-99 for medical uses, including an indication of whether these facilities use highly enriched uranium in any way;
  - (B) a review of international production of molybdenum-99 over the previous 5 years, including—
    - (i) whether any new production was brought online;
    - (ii) whether any facilities halted production unexpectedly; and
    - (iii) whether any facilities used for production were decommissioned or otherwise permanently removed from service; and
  - (C) an assessment of progress made in the previous 5 years toward establishing domestic production of molybdenum-99 for medical uses, including the extent to which other medical isotopes that have been produced with molybdenum-99, such as iodine-131 and xenon-133, are being used for medical purposes.
- (2) An assessment of the progress made by the Department of Energy and others to eliminate all worldwide use of highly enriched uranium in reactor fuel, reactor targets, and medical isotope production facilities.

SEC. 8. DEFINITIONS.

In this Act the following definitions apply:

- (1) HIGHLY ENRICHED URANIUM.—The term “highly enriched uranium” means uranium enriched to 20 percent or greater in the isotope U-235.
- (2) LOW ENRICHED URANIUM.—The term “low enriched uranium” means uranium enriched to less than 20 percent in the isotope U-235.

#### SEC. 9. BUDGETARY EFFECTS.

The budgetary effects of this Act, for the purpose of complying with the Statutory

Pay-As-You-Go-Act of 2010, shall be determined by reference to the latest statement titled “Budgetary Effects of PAYGO Legislation” for this Act, submitted for printing in the Congressional Record by the Chairman of the Senate Budget Committee, provided that such statement has been submitted prior to the vote on passage.

SNM,  
July 21, 2010.

Hon. HARRY REID,  
*Senate Majority Leader, U.S. Senate, U.S. Capitol, S-221, Washington, DC.*

Hon. JEFF BINGAMAN,  
*Chairman, Senate Committee on Energy and Natural Resources, U.S. Senate, Washington, DC.*

Hon. MITCH MCCONNELL,  
*Senate Minority Leader, U.S. Senate, U.S. Capitol, S-231, Washington, DC.*

Hon. LISA MURKOWSKI,  
*Ranking Member, Senate Committee on Energy and Natural Resources, U.S. Senate, Washington, DC.*

DEAR MAJORITY LEADER REID, MINORITY LEADER MCCONNELL, CHAIRMAN BINGAMAN, AND RANKING MEMBER MURKOWSKI: The Society of Nuclear Medicine (SNM), a leading, multidisciplinary international scientific and professional organization with more than 17,000 physician, technologist, and scientist members dedicated to promoting the science, technology, and practical applications of molecular imaging and nuclear medicine, respectfully requests that the Senate to take up and pass the American Medical Isotopes Production Act of 2009 (H.R. 3276) as a stand-alone bill or as an amendment to an appropriate legislative vehicle. Recent disruptions in the international supply of Molybdenum-99 (Mo-99) have highlighted the urgent need to ensure a domestic supply for the U.S. H.R. 3276 would help to ensure a domestic supply of Mo-99 over the long term and curtail the use of highly-enriched uranium (HEU) in radionuclide production as a non-proliferation strategy to deter terrorism.

As you know, the House of Representatives approved this bill by an overwhelming vote of 400–17 on November 5, 2009 and the Senate Energy and Natural Resources Committee reported this bill favorably with amendments on January 28, 2010. SNM believes that rapid passage of this legislation is essential to ensure Americans’ access to vital medical radionuclides and give patients timely access to appropriate heart and cancer testing.

Molybdenum-99 (Mo-99) decays into Technetium-99m (Tc-99m), which is used in approximately 16 million nuclear medicine procedures each year in the U.S. Tc-99m is used in the detection and staging of cancer, detection of heart disease, detection of thyroid disease, study of brain and kidney function, and imaging of stress fractures. In addition to pinpointing the underlying cause of disease, physicians can actually see how a disease is affecting other functions in the body. Imaging with Tc-99m is an important part of patient care. SNM, along with thousands of nuclear medicine physicians in the U.S., has, over the course of the last two years, been disturbed about supply interruptions of Mo-99 from foreign vendors and the lack of a reliable supplier of Mo-99 in the U.S. Due to these recent shutdowns in Canada, numerous nuclear medicine professionals across the country have delayed or had to cancel imaging procedures. Because Mo-99 is produced through the fission of uranium and has a half-life of 66 hours, it cannot be produced and then stored for long periods of time. Unlike traditional pharmaceuticals, which are dispensed by pharmacists or sold over-the-

counter, nuclear reactors produce radioactive isotopes that are processed and provided to hospitals and other nuclear medicine facilities based on demand. Any disruption to the supply chain can wreak havoc on patient access to important medical imaging procedures.

In order to ensure that patient needs are not compromised, a continuous reliable supply of medical radioisotopes is essential.

Currently there are no facilities in the U.S. that are dedicated to manufacturing Mo-99 for Mo-99/Tc-99m generators. The United States must develop domestic capabilities to produce Mo-99 and not rely solely on foreign suppliers. The legislation encourages domestic production of Mo-99 for medical isotopes without HEU in two different ways. First, it would facilitate the operation of new facilities by granting the government the ability "to retain responsibility for the final disposition of radioactive waste" under uranium-lease agreements. The Department of Energy (DoE) does not currently have this ability and cannot assume the responsibility for domestic producers' radioactive waste. The bill also authorizes government cost-sharing which would subsidize construction of production facilities. Without the multi-year authorization that is included in H.R. 3276, investments in domestic productive facilities will be prohibitively uncertain.

There is significant support for passing this piece of legislation, which has been endorsed by a variety of organizations. Further, at a House Energy and Environment Subcommittee on September 9, 2009, Parrish Staples, the U.S. official who oversees medical isotope production at DoE's National Nuclear Security Administration (NNSA) testified as follows:

"NNSA is working on several Cooperative Agreements to potential commercial Mo-99 producers, whose projects are in the most advanced stages of development, accelerating their efforts to begin producing Mo-99 in quantities adequate to the U.S. medical community's demand by the end of 2013. . . . The American Medical Isotopes Production Act of 2009 is crucial to ensuring the success of these efforts to accelerate development of a domestic supply of Mo-99 with the use of HEU.

At the subsequent Senate hearing, Dr. Staples stated:

"Currently, we are working or we would intend to work that we would develop four independent technologies, each capable of supplying up to 50 percent of the U.S. demand. Obviously, in theory, that means that if each of these are successful, we could supply the global requirement for this isotope"—roughly twice the U.S. domestic demand. In other words, under the legislation, the projected U.S. domestic production capacity could satisfy US demand prior to the cutoff of HEU exports, even if only half of the four main projects succeeded."

Passage of this legislation is necessary to help address the future needs of patients by promoting the production of Mo-99 in the United States. We thank you for your efforts and look forward to continuing to work with you on this important issue. Should you have any further questions, please contact Cindy Tomlinson, Associate Director, Health Policy and Regulatory Affairs at either [ctomlinson@snm.org](mailto:ctomlinson@snm.org) or 703.326.1187.

Sincerely,

DOMINIQUE DELBEKE,  
*President.*

HEALTH PHYSICS SOCIETY,  
*November 30, 2009.*

Hon. JEFF BINGAMAN, *Chair*  
Hon. LISA MURKOWSKI, *Ranking Member*  
*Energy and Natural Resources Committee, U.S. Senate, Washington, DC.*

DEAR SENATORS BINGAMAN AND MURKOWSKI: On behalf of the Health Physics Society (HPS), I urge the Senate Energy and Natural Resources Committee to give full support to and take timely action on H.R. 3276, the "American Medical Isotope Production Act of 2009."

The Health Physics Society, a nonprofit scientific organization of approximately 5000 radiation safety professionals, has joined with eight other professional organizations in a coalition to address two concerns of national importance: (1) an inherent need for reliable domestic suppliers of Molybdenum-99 (Mo-99); and, (2) efforts to curtail the use of high-enriched uranium (HEU) in radio-nuclide production as a non-proliferation strategy and to deter terrorism. A discussion of these concerns with recommendations for action by the United States is contained in a white paper by the coalition of professional organizations titled "Reliable Domestic & Global Supplier of Molybdenum-99 (Mo-99) and Switch from Highly Enriched Uranium (HEU) to Low-Enriched Uranium (LEU) to Produce Mo-99." The white paper is accessible at [http://hps.org/documents/isotopes\\_white-paper\\_multiorganization.pdf](http://hps.org/documents/isotopes_white-paper_multiorganization.pdf).

A national effort to address these concerns requires (1) a commitment by the administration to have a coordinated inter-agency program with the specific responsibility to achieve reliable domestic independence in the production of Mo-99, (2) continued appropriations by Congress to provide the financial investment needed by the administration's program, and (3) support of the Congress through authorizing legislation that will serve as the basis for the continuation of the administration's program until its goals are achieved.

The Obama administration has made a commitment to achieve domestic independence in the production of Mo-99. The HPS believes the initiative being led by the National Nuclear Security Administration through the Global Threat Reduction Initiative with oversight and interagency coordination by the Office of Science and Technology Policy has the capability to achieve the establishment of a reliable domestic production of Mo-99 within the next ten years. The Congress has appropriated sufficient support for fiscal year 2010. The remaining task is to obtain congressional support through authorizing legislation that will serve as the support and basis for the administration's program into the future.

The HPS believes H.R. 3276 provides the needed congressional support for the administration's program.

We understand there may be some concern about the provisions in H.R. 3276 for imposing a ban on export of HEU at a fixed time in the future. HPS's interest in the issue of domestic production of radioisotopes is related to the radiation safety implications of the issue, including the implications of exporting HEU for this purpose. In 2005, the HPS did not support the inclusion of an HEU export ban provision in the Energy Policy Act of 2005. The HPS felt that the controls under which HEU was exported were rigorous enough to make the export acceptably safe when compared to the prospect of not having a supply of Mo-99. This position was influenced by the lack of any administration program or congressional support for a program dedicated to the domestic production of radioisotopes. The HPS still considers the controls for export of HEU for production of radioisotopes to be rigorous enough to make

the risk of diversion for terrorism, or other malicious use of the HEU to be speculative. However, we feel that with appropriate congressional support, the initiative to establish reliable domestic production of Mo-99 will be successful within the next ten years, making the need to export HEU unnecessary. Therefore, we feel the export ban provisions will prove to be extraneous and, therefore, do not form a basis for not supporting H.R. 3276.

I hope this letter is helpful in your considered deliberation of action on H.R. 3276. Please do not hesitate to contact me if you have any questions about this letter or HPS support for H.R. 3276.

Sincerely,

HOWARD W. DICKSON.

FEBRUARY 23, 2010.

Hon. JEFF BINGAMAN,  
*Chairman,*  
*Washington, DC.*  
Hon. LISA MURKOWSKI,  
*Ranking Member,*  
*Washington, DC.*

DEAR CHAIRMAN BINGAMAN AND RANKING MEMBER MURKOWSKI: As a coalition made up of the Society of Nuclear Medicine (SNM), American Association of Physicists in Medicine (AAPM), American College of Radiology (ACR), American Nuclear Society (ANS), American Society of Nuclear Cardiology (ASNC), American Society for Radiation Oncology (ASTRO), Health Physics Society (HPS), Nuclear Energy Institute (NEI), Academy of Molecular Imaging (AMI), the non-proliferation community, Union of Concerned Scientists (UCS), National Association of Nuclear Pharmacies (NANP) and the Council on Radionuclides and Radiopharmaceuticals (CORAR), we ask that you support the timely passage of H.R. 3276, the American Medical Isotope Production Act of 2009. The Senate Energy and Natural Resources Committee held a hearing on the bill December 3, 2009, and unanimously approved the bill with an amendment on December 16, 2009. We understand it is currently on the Senate calendar but we are asking for your assistance in bringing this legislation forward for action by the Senate.

H.R. 3276 is urgently needed legislation that would provide the U.S. Department of Energy the authority to aid in the domestic development of essential medical isotope production. H.R. 3276 is intended to help ensure that U.S. patients have a stable and reliable supply of diagnostic and therapeutic medical isotopes within the next ten years, while converting the production process to avoid highly enriched uranium (HEU), in keeping with U.S. non-proliferation policy.

The legislation would facilitate the adequate production of isotopes without HEU prior to the restriction of HEU exports. In the unexpected event that conversion were delayed, the legislation provides for a waiver to permit continued HEU exports to avoid a "critical shortage" of isotopes. The legislation thus ensures both the supply of isotopes and the timely phase out of HEU exports.

Moreover, as you may know, on November 5, 2009, the House passed H.R. 3276 by a vote of 400-17. Sponsored by Representative Edward Markey (D-Mass.) and Representative Fred Upton (R-Mich.), the Act is balanced, bipartisan legislation that addresses the current shortfall in the availability of critical medical isotopes that has had a high negative impact on patients in the U.S.

Molybdenum-99 (Mo-99) is a critical medical radioisotope whose decay product Technetium-99m (Tc-99m) is used in more than 16 million nuclear medicine procedures annually across the nation. Physicians who use Tc-99m for the diagnosis of common cancers, heart and other diseases, fully rely upon a steady and predictable supply. The very



short six-hour half-life of Tc-99m, while beneficial to patients and health care professionals, precludes any efforts to maintain an inventory. In addition, the domestic supply of Mo-99 (to produce Tc-99m-generators) is entirely dependent upon aging foreign reactors that have faced extended shutdowns for repair and maintenance.

As a consequence, the U.S. supply has been repeatedly and significantly disrupted. Many patients who need imaging with Tc-99m-based radiopharmaceuticals are now facing lengthy delays in the availability of nuclear medicine imaging, or being forced to resort to alternative diagnostic and therapeutic procedures that may involve the potential of more invasive procedures (with possible higher clinical risks to patients), greater radiation dosage, lower accuracy, and higher costs.

Additionally, the reliance on foreign reactors for the supply of Mo-99 requires the U.S. to ship highly enriched uranium, material of interest for use in nuclear terrorism, out of the country. Domestic production of Mo-99 will eliminate the risk that this nuclear material can be diverted for terrorists' use, thus increasing the effectiveness of the U.S. program for non-proliferation of nuclear materials.

The coalition believes the initiative being led by the National Nuclear Security Administration through the Global Threat Reduction Initiative with oversight and interagency coordination by the Office of Science and Technology Policy has the capability to achieve the establishment of a reliable domestic production of Mo-99 within the next ten years. The Congress has appropriated sufficient support for fiscal year 2010. The remaining task is to obtain congressional support through authorizing legislation that will serve as the support and basis for the administration's program into the future.

In order to avoid compromising patient care and increasing medical costs, a continuous and reliable supply of medical radioisotopes is clearly essential. It is also critical that domestic production capability for Mo-99 be developed. H.R. 3276 provides the needed support to accelerate the process of conversion so that the industry can move even more aggressively in this direction and be able to meet the time frame highlighted in this bill.

Senator, we hope you will join the patients, physicians, nuclear non-proliferation community, radioisotope manufacturers, and our coalition of professional organizations to quickly enact H.R. 3276. We would welcome the opportunity to answer any question you or your staff may have about the bill or the medical isotope industry. Thank you.

Sincerely,

Michael M. Graham, MD, President, SNM; Michael G. Herman, Ph.D., FAAPM, FACMP, President, The American Association of Physicists in Medicine, AAPM; James H. Thrall, MD, FACR, Chair, Board of Chancellors, American College of Radiology, ACR; Thomas Sanders, PhD, President, American Nuclear Society, ANS; Mylan C. Cohen, MD, MPH, President, American Society of Nuclear Cardiology, ASNC; Laura Thevenot, CAE, Chief Executive Officer, American Society for Radiation Oncology, ASTRO; Howard W. Dickson, CHP, President, Health Physics Society, HPS; Marvin S. Fertel, President and Chief Executive Officer, Nuclear Energy Institute, NEI; Timothy McCarthy, President, Academy of Molecular Imaging, AMI; Alan J. Kuperman, Ph.D., Director, Nuclear Proliferation Prevention Program, University of Texas at Austin; Edwin S. Lyman, Senior Staff Sci-

entist, Union of Concerned Scientists; Jeff Norenberg, PharmD, Executive Director, National Association of Nuclear Pharmacies, NANP; Franklin B. Yeager, Chairman, Council on Radio-nuclides & Radiopharmaceuticals, CORAR.

By Ms. COLLINS (for herself, Mr. LEAHY, and Ms. SNOWE):

S. 112. A bill to authorize the application of State law with respect to vehicle weight limitations on the Interstate Highway System in the States of Maine and Vermont; to the Committee on Environment and Public Works.

Ms. COLLINS. Mr. President, improving public safety, growing our economy, increasing energy independence, and protecting the environment have always been among my top priorities as a Senator. Today, the very first bill I am introducing in this new Congress will advance all of those goals by allowing the heaviest trucks to travel on our Federal interstate highways in Maine rather than being forced to use secondary roads and downtown streets.

I am delighted to have the senior Senator from Vermont, PATRICK LEAHY, as my Democratic cosponsor, and my good friend and colleague from Maine, OLYMPIA SNOWE, also as an original cosponsor. Vermont has the same problem as we do in Maine. Thus the bill I am introducing applies to our two States.

In 2009, I authored a law to establish a 1-year pilot project that allowed trucks weighing up to 100,000 pounds to travel on Maine's Federal interstates—I-95, 195, 295, and 395. According to the results of a preliminary study by the Maine Department of Transportation, this pilot project, which ran until mid-December of last year, helped to preserve and create jobs by allowing Maine's businesses to receive raw materials and to ship their products more economically.

Also important, the pilot program improved safety, saved energy, and reduced carbon emissions. Let me give a specific example. On a trip from Hampden to Houlton, ME, the benefits are obvious. A truck traveling on I-95 rather than on Route 2 avoids more than 270 intersections, 9 school crossings, 30 traffic lights, and 86 crosswalks. In addition, the driver also saves more than \$30 on fuel. Given the cost of diesel, it is probably even higher than that now. Additionally, 50 minutes is saved by traveling on Interstate 95 rather than on the secondary road of Route 2.

Unfortunately, despite the clear success of this pilot project and the strong support of the administration and many of my colleagues in the Senate, the House of Representatives failed to include my provision making the pilot permanent in the Federal funding bill. As a result, for both Maine and Vermont, the program expired on December 17 and the heavy trucks are once again unable to use our most modern, safe, and efficient highways.

It is important to emphasize that our legislation does not increase the size or

the weight of trucks in our States. Maine law already allows trucks weighing up to 100,000 pounds to operate on State and municipal roads. Heavy trucks already operate on some 22,500 miles of non-Interstate roads in Maine, in addition to the approximately 167 miles of the Maine turnpike. But the nearly 260 miles of non-turnpike interstates that are the major economic corridors in my State are off limits. This simply makes no sense.

Furthermore, trucks weighing up to 100,000 pounds are already permitted on many Federal interstates in New Hampshire, Massachusetts, New York, and the neighboring provinces in Canada. So that puts Maine and Vermont at a distinct competitive disadvantage. All around us, the States and our Canadian counterparts allow the heavier trucks to use the Federal interstates, but unfortunately Maine and Vermont have been excluded. That is why my friend from Vermont, Senator LEAHY, has joined me in this effort to help provide a level playing field for our States.

Here are a few more important points about our bill.

The 100,000-pound trucks are no larger or wider than 80,000-pound trucks. This change would remove an estimated 7.8 million truck miles from our local roads and streets. Increasing the truck payloads by 35 percent would reduce the overall number of trucks needed. In addition to saving fuel by traveling fewer miles, the steady pace of interstate driving improves the fuel economy of trucks by 14 to 21 percent. And the Maine Department of Transportation's engineers say they are confident our interstate bridges are safe and can handle the additional weight in the State of Maine.

Countless Maine small business owners have told me how this change would improve their competitiveness. For example, at a recent press conference, Keith Van Scotter discussed the savings his company accrued under the pilot project. Under the pilot project, his company Lincoln Paper and Tissue was able to save 1.1 million billable truck miles, a 28 percent decrease from the year before. These savings are the equivalent of the company being 220 miles closer to its primary market. Also, the owner-operator of a logging business in Penobscot County said that being able to transport his pulpwood to the mill on I-95 rather than on secondary roads would save his company at least 118 gallons of fuel each week. That benefits not only this small business but also our Nation as we seek to reduce our overall fuel consumption and reduce carbon emissions.

The pilot program has also made a dramatic improvement for some of our communities. According to the Maine DOT, before the pilot program began last December of 2009, more than 200 heavy trucks heading north on Route 201 crawled through downtown Vassalboro a small town of about 4,000—each day even though I-95 runs

parallel just a few miles away. During the span of the pilot program, the number of northbound trucks on Route 201 decreased by roughly 90 percent. These trucks were using the interstate where they belong.

I will tell you that since the pilot project expired, so many of my constituents have talked to me about the return of these heavy trucks to the residential neighborhoods in which they live, to downtown Portland, Orono, Brewer, Freeport, and other towns throughout our State. The fact is, this kind of road congestion caused by diverting these heavy trucks into downtowns and along secondary roads can lead to tragedy. A study conducted by a nationally recognized traffic consulting firm found that the crash rate of semitrailer trucks on Maine's secondary roads were 7 to 10 times higher than on the turnpike. It estimated that allowing these trucks to stay on the interstates could result in three fewer fatal crashes each year. Public safety agencies in Maine, including the Maine State Police, have long supported my efforts to bring about this change. In fact, Bangor's police chief joined me at a press conference last week where he spoke eloquently about the safety implications for downtown Bangor.

In 2010, as a result of this pilot project, people throughout our State saw their roads less congested, our States safer, our air cleaner, and, most important, our businesses more competitive. That is why I am so committed to ensuring that these improvements are allowed to continue and are made permanent.

This legislation simply is common sense. It will benefit our economy as well as lower fuel costs and make our roads safer for most tourists and pedestrians. Most important, we now have the concrete evidence from this pilot project showing why this bill should become law.

I am grateful for the support and leadership of my colleague from Vermont and the steadfast support from Maine's senior Senator as well. I urge its swift passage. This is the highest priority I have for the State of Maine this year.

Mr. President, I ask unanimous consent to have printed in the RECORD a number of letters I have received endorsing this bill. These letters are from the Maine Motor Transport Association, the City of Bangor's chief of police, the Professional Logging Contractors, the Northeast Region for the Forestry Resources Association, and from a well-known trucking firm in Maine, H.O. Bouchard.

In addition, I expect to have a letter from the Governor of Maine later today that I will also ask unanimous consent to have printed in the RECORD.

MAINE MOTOR TRANSPORT ASSOCIATION,

*Augusta, Maine, January 21, 2011.*

Hon. SUSAN COLLINS,  
U.S. Senate, Dirksen Senate Office Building,  
Washington, DC.

DEAR SENATOR COLLINS: Your introduction of the bill to permanently increase the truck

weight limit on Maine highways comes as great news for the trucking industry, for shippers and consumers who rely on efficient transportation of goods and for the people of our state who utilize these roads. We have heard from many of our members who were thrilled to operate on the entire interstate system in Maine under the recently-expired pilot project, as well as hearing from citizens who live along the previously traveled truck routes who were happy to have them off Maine's secondary roads. Your support for this common sense solution has been tremendous and we very much appreciate your continued efforts to educate your peers in the Senate.

As you know, when Federal Highway froze interstate weight limits in 1998 and allowed the Maine Turnpike and southern portions of I-95 to be grandfathered, there was much concern about the same things that concern some people from other states now—safety and the impact on our infrastructure. Results in Maine have shown these concerns were unnecessary as there is ample proof of the improved safety and infrastructure costs and all we ask is for Maine to close the donut hole that puts us at a competitive disadvantage with our neighbors all around us. New Hampshire, Massachusetts and Canada already have permanently higher weight limits on their entire interstate system which put our businesses at a disadvantage, a fact not lost on the hundreds of small trucking companies hauling raw materials to the few mills still left in this state. A strong argument can be made that this is an economic development issue with many jobs at stake for the mills that rely on efficient transportation with both their inbound freight and the outbound movement of goods to markets outside Maine.

Your proposal to allow for a more productive vehicle configuration makes sense for both state and federal roads. More efficient configurations mean fewer trucks on the road. Fewer trucks on the road reduce engine emissions and promote fuel conservation, all while lessening our dependence on foreign oil. The whole notion that heavier trucks will use more fuel and pollute more is inherently false, especially since it would take approximately three trucks operating at 80,000 pounds to replace two trucks operating at 100,000 pounds to haul the same amount of freight.

In fact, a study by the American Transportation Research Institute (ATRI) commissioned by the Maine DOT found that the fuel efficiency of these rigs would improve up to 21 percent by allowing state weight limits on the entire highway system and emissions would decrease from 6 to 11 percent. Extrapolating their findings over an entire week resulted in savings of as much as 675 gallons of fuel, up to 6.8 metric tons of CO2 and almost 94 grams of Particulate Matter. Yes, that's each week and only from trucks shifting from Route 9 to I-95 once the weight limit exemption pilot project went into effect. This efficiency has gone away now that the pilot project has expired.

Safety, however, is the most important reason to embrace this pilot project and we are proud that the safety record of the trucking industry continues to improve. Federal Highway Administration statistics tracking truck-involved crashes has shown consistent improvement by the trucking industry, with current crash rates at the lowest levels since the U.S. Department of Transportation began tracking large truck safety records in 1975. Not resting on our accomplishments, the trucking industry is actively working on ways we can improve highway safety by improving driver performance with rigorous licensing and training, focusing on equipment improvements and by giv-

ing carriers access to the proper tools that are critical for them to fulfill their responsibility to the safety of the motoring public.

Allowing these trucks to use the safer interstate system would also decrease the interactions with other vehicles and pedestrians if they are able to avoid secondary roads and having to go past driveways and through towns to deliver their goods that move the Maine economy. A four lane divided highway with all traffic going in the same direction at relatively the same speed has been statistically proven to be the safer road for all vehicles—not just trucks.

It's hard to find a topic that garners widespread and bipartisan support these days when partisan bickering and political polarization are the norm. This issue is not only strongly supported by groups you would expect like the trucking, oil dealers and forest products industries, but it also finds support from the Maine Legislature, municipalities, the Maine DOT, Maine Department of Public Safety as well as the Maine State Police and many local and regional chambers of commerce. We all may not see eye-to-eye on every public policy issue, but we are in lock step on this one.

There may never be a better opportunity than now to enact a permanent solution relative to vehicle productivity. The Maine Motor Transport Association, our members and our partner trade associations will work diligently to provide you with additional statistics and information as they become available. Your work on this issue, especially getting the pilot project implemented last year, has not gone unnoticed by our members and we continue to appreciate your efforts to address it in your recently proposed bill.

If Maine is going to be able to compete in a regional and global economy, it is essential that we encourage efficient, effective and safe transportation solutions such as the one you have proposed. Thank you.

Sincerely,

BRIAN D. PARKE,  
*President and CEO.*

CITY OF BANGOR, MAINE,  
POLICE DEPARTMENT,  
*January 24, 2011.*

Hon. SUSAN COLLINS,  
*Dirksen Senate Office Building,  
Washington, DC.*

DEAR SENATOR COLLINS: First and foremost, thank you again for being a champion for the effort to increase the truck weight limits on Maine's interstate highways. Without your diligence and dedication to this extremely important matter, any further progress to correct the inconceivable injustice of the current law would be most assuredly abandoned for the foreseeable future. Your legislation, which would allow trucks weighing up to 100,000 pounds on all of Maine's Interstate highways, would correct this injustice once and for all.

I would like to reiterate what I have previously stated regarding the present law that forces trucks weighing over 80,000 pounds off Maine's interstate highways. These trucks do not belong on Maine's city streets and secondary roads, just as they do not belong on those of New Hampshire, Massachusetts, and New York. I, along with other Maine chiefs of police across the state, believe that these trucks pose a significant risk to the safety of citizens as they travel upon the populated city streets and narrow and winding rural roads of Maine's cities and towns. We have seen, first hand, the dangers these trucks pose to Maine citizens as they travel on our secondary roads. The constant changing of speeds and their repeated starts and stops cause regular disruption to the flow of local traffic, and their presence have resulted in traffic accidents and tragedies.

During the winter months, Maine's secondary roads become much narrower, rural roads are more slippery, and speed limits are reduced, thereby increasing the danger to pedestrians and other drivers. No matter how experienced the truck driver may be, they cannot stop these trucks on a dime; they cannot anticipate every situation that can occur in heavily populated areas; and they cannot prevent the shifting of their heavy loads from occurring.

It is important to do everything possible to insure safety for the public. Therefore, I offer my utmost support for your legislation that will keep these heavy loads on Maine's interstate highways where they belong. I continue to encourage you and others, like Senator Leahy of Vermont, to continue your efforts to keep these 100,000 pound trucks on interstate highways, and off our local streets and rural roads.

Sincerely,

RONALD K. GASTIA,  
*Chief of Police.*

PROFESSIONAL LOGGING CONTRACTORS,  
*New Gloucester, ME, January 24, 2011.*

Hon. SUSAN COLLINS,  
*U.S. Senate, Dirksen Senate Office Building,  
Washington, DC.*

DEAR SENATOR COLLINS: I am writing to express the Professional Logging Contractors of Maine's full support for your proposed legislation to permanently allow trucks weighing up to 100,000 pounds to use federal Interstate highways in Maine and Vermont.

Our logger members rely on trucks to deliver their logs, chips and biomass to market. We are surrounded by states and provinces which allow higher Interstate truck weights, putting loggers in rural Maine at a significant competitive disadvantage. Many of our members are small business owners for whom the increased costs of being forced to make longer, less efficient trips on secondary roads could make the difference between profitability and unprofitability. This could lead some business owners to exit the market place, costing jobs and placing an additional strain on wood supplies.

Interstate highways are designed and built to handle higher truck weights and wherever possible trucks should be able to utilize this system, taking unnecessary traffic off of state and local highways and out of our communities. PLC of Maine believes each state should have the right to adjust the weight limits on Interstates within its borders to meet the needs of its people.

Last year's pilot project in Maine, allowing 100,000 pound trucks to access Interstate highways, was tremendously successful. The loss of the pilot in December was a real blow to our loggers, the forest products industry, and our rural communities as well.

Restoring the terms of the pilot is one action Congress can take that would immediately benefit industry and the public, without imposing new burdens on taxpayers. The benefits of the increased weight limits are clear:

Safety—Fewer miles travelled, on safer roads, with reduced contact with pedestrians, automobiles, rail crossings and school zones;

Environmental—Reduced fuel consumption, reduced emissions from start and stops; and

Economic—Reduced secondary road and bridge wear, improved truck efficiency for loggers.

Please let me know if there is anything the Professional Logging Contractors of Maine can do to promote your legislation. Thank you again for your continued support for Maine's loggers.

Sincerely,

MICHAEL A. BEARDSLEY,  
*Executive Director.*

FOREST RESOURCES  
ASSOCIATION, INC.,  
*Holden, ME, January 21, 2011.*

Hon. SUSAN COLLINS,  
*U.S. Senate, Dirksen Senate Office Building,  
Washington, DC.*

DEAR SENATOR COLLINS: I am writing to express the Forest Resources Association's full support for your proposed legislation which would permanently allow trucks weighing up to 100,000 pounds to use federal Interstate highways in Maine and Vermont.

Our members—forest landowners, loggers, truckers, wood-using mills, and associated businesses, as well as our families and neighbors—all rely on safe and efficient transportation of goods and services by truck for our livelihoods.

Our industry relies on trucks to deliver raw materials from the forest to our mills and shipment of finished product to market. We are surrounded by states and provinces which allow higher Interstate truck weights, putting our industry in rural Maine at a significant disadvantage.

The federal Interstate system is designed and built to handle these loads, as are Maine highways and wherever possible trucks should be able to utilize this system, taking unnecessary traffic off of state and local highways and out of communities. FRA believes that, within reasonable guidelines, each state should have the right to adjust weight limits on Interstates within its borders to conform with its needs.

By all accounts, last year's pilot project in Maine and Vermont allowing these trucks to access Interstate highways was tremendously successful. Attached is a Forest Resources Association Technical Release presenting testimony on the pilot's benefits. The loss of the pilot in December was a real blow to our industry and rural communities.

Restoring the terms of the pilot is one action Congress can take which immediately benefits both industry and the public without imposing new burdens on taxpayers. The benefits are clear:

Safety Benefits—Fewer miles travelled, on safer roads, with fewer exposures.

Environmental Benefits—Reduced fuel usage, reduced emissions.

Economic Benefits—Reduced wear on secondary roads, improved efficiency for haulers.

Please let me know if there is anything FRA can do to promote your legislation—and thanks again for your continued support for Maine's forest products community.

Sincerely,

JOEL SWANTON,  
*Region Manager.*

H.O. BOUCHARD  
TRANSPORTATION SERVICES,  
*Hampden, ME, January 21, 2011.*

Hon. SUSAN COLLINS,  
*Dirksen Senate Office Building,  
Washington, DC.*

DEAR SENATOR COLLINS: I am writing on behalf of H.O. Bouchard in favor of allowing trucks weighing up to 100,000 pounds gross vehicle weight on Interstates in Maine. We are a major motor carrier in Maine whose fleet is made up of 6-axle units transporting heavy bulk products throughout Maine, Canada, New Hampshire, Massachusetts, Rhode Island and New York. These products include: cement powder, liquid asphalt, fuel oil, road salt, raw forest products, chemicals, logs and machinery. We have done this safely for 27 years.

I ask that you help those who are not from this area to understand that the whole New England area (with the exception of Vermont), New York and Canada allow up to at least 99,000 pounds on 6 axle combination units. New York allows more than 100,000

pounds and Canada allows more than 109,000 lbs. on 6 axles. The only areas that do not are a very small slice of Maine that is Interstates 95, 295, 395 and interstates in Vermont. Presently the freight moves on 6 axle units, but on secondary roads. Commerce to and from Bangor to Aroostook County must travel on secondary Route 2, rather than I-95, which runs parallel. To go the same distance takes 50 minutes longer at a cost of approximately \$70.00 more. This is multiplied by hundreds of trips daily of fuels, logs, lumber and many other consumer commodities. This commercial traffic is very noticeable in all of the small towns where the trucks must constantly stop and start for RR crossings, crosswalks, school buses and emergency vehicles. That same truck traffic was not even noticeable when it was on the interstate, a road that can handle much more traffic with ease. We have paid for the best roads and cannot use them.

The future of our nation must include increased transportation productivity to keep from clogging highways and slowing the economic recovery. Using 2 trucks to haul the freight of 3 is a simple, safe, cost effective way to accomplish this. Your proposal to allow 6-axle vehicles weighing up to 100,000 pounds to use the interstate system in Maine and Vermont (99,000) is all benefit at no cost. It is simply good business.

Thank you for your support in helping with this important legislation.

Sincerely,

BRIAN BOUCHARD,  
*President.*

Mr. LEAHY, Mr. President, I rise today with my good friends and neighbors from New England—Senators SUSAN COLLINS and OLYMPIA SNOWE from Maine—to introduce a bill that would allow Vermont and Maine to set the appropriate truck-weight standards on the interstates in their states.

For too long, Vermont and Maine have been at a competitive disadvantage while our next-door neighbors in New York, New Hampshire, Massachusetts, and Quebec have enjoyed the economic benefits that come with higher highway truck weight limits. Due to these restrictions, the heaviest truck traffic in Vermont and Maine must travel over smaller and narrower roadways, creating significant safety concerns for pedestrians and motorists and putting pressure on our already overburdened secondary roads and bridges.

That is why Senator COLLINS and I included language in the 2010 transportation funding bill to implement pilot programs that allowed heavier trucks on interstates in Vermont and Maine for one year and studied the impacts of this policy change on highway safety, bridge and road durability, commerce, truck volumes, and energy use in Vermont.

During the past year I have heard from a number of Vermont truckers, business owners, and state and local officials who support extending the pilot program because of the economic and safety benefits they saw when the trucks were on the Interstates. Most importantly, many Vermonters reported a significant reduction of heavy truck traffic in our downtowns and villages.

Unfortunately, last month the leadership on the other side of the aisle

blocked consideration of an omnibus budget bill that included a provision Senator COLLINS and I authored to extend the Vermont and Maine truck weight pilot programs for another year. This sudden and senseless reversal of a previous commitment to support the bill led to the end of the Vermont and Maine pilot programs in December.

As a result the heaviest trucks in our states have been forced to divert back to secondary roads—and the negative economic impact of these trucks is once again being felt in downtowns and villages throughout Vermont and Maine.

I am pleased to join with Senators COLLINS and SNOWE in introducing this bipartisan bill today. It will stop overweight trucks from having to rumble through our historic villages and downtowns, and it will better protect our citizens and our ailing transportation infrastructure.

I appreciate the support this legislation has received from the State of Vermont, the Vermont League of Cities and Towns, the Vermont Truck and Bus Association, the Vermont Petroleum Association, the Vermont Fuel Dealers Association, and many individual businesses and municipalities throughout Vermont.

By Mr. LEAHY:

S. 132. A bill to establish an Office of Forensic Science and a Forensic Science Board, to strengthen and promote confidence in the criminal justice system by ensuring consistency and scientific validity in forensic testing, and for other purposes; to the Committee on the Judiciary.

Mr. LEAHY. Mr. President, I am proud today to introduce the Criminal Justice and Forensic Science Reform Act of 2011. This legislation is an important first step toward guaranteeing the effectiveness and scientific integrity of forensic evidence used in criminal cases, and in ensuring that Americans can have faith in their criminal justice system.

In March of 2009, the Senate Judiciary Committee began its examination of serious issues concerning forensic science, which is at the heart of our criminal justice system. The Committee has studied the problem exhaustively, and has worked with a wide array of experts and stakeholders. The legislation I introduce today is a product of this process. It seeks to strengthen our confidence in the criminal justice system, and the evidence it relies upon, by ensuring that forensic evidence and testimony is accurate, credible, and scientifically grounded.

The National Academy of Science published a report in February 2009 asserting that the field of forensic science has significant problems that urgently need to be addressed. The report suggested that basic research establishing the scientific validity of many forensic science disciplines has never been done in a comprehensive

way. It suggested that the forensic sciences lack uniform and unassailable standards governing the accreditation of laboratories, the certification of forensic practitioners, and the testing and analysis of evidence.

The National Academy of Science's report was an urgent call to action. It has been hailed and widely cited since its release. It has also been criticized by many. I did not view the Academy's report as the final word on this issue, but rather as the starting point for a searching review of the state of forensic science in this country.

Last Congress, the Judiciary Committee held two hearings on the issue. Committee members and staff spent countless hours talking to prosecutors, defense attorneys, law enforcement officers, judges, forensic practitioners, academic experts, and many, many others to learn as much as we could about what is happening in the forensic sciences and what needs to be done.

As this effort has progressed, I have been disturbed to learn about still more cases in which innocent people may have been convicted, and perhaps even executed, in part due to faulty forensic evidence. It is a double tragedy when an innocent person is convicted. An innocent person suffers, and a guilty person remains free, leaving us all less safe. We must do everything we can to avoid that untenable outcome.

At the same time, through the course of this inquiry, it has become abundantly clear that the men and women who test and analyze forensic evidence do tremendous work that is vital to our criminal justice system. I remember their important contributions and hard work from my days as a prosecutor, when some of the forensic disciplines we have now did not even exist. Their work is even more important today, and we need to strengthen the field of forensics—and the justice system's confidence in it—so that their hard work can be consistently relied upon, as it should be.

It is beyond question that everyone recognizes the need for forensic evidence that is accurate and reliable. Prosecutors and law enforcement officers want evidence that can be relied upon to determine guilt and prove it beyond a reasonable doubt in a court of law. Defense attorneys want strong evidence that can be used to exclude innocent people from suspicion. Forensic science practitioners want their work to have as much certainty as possible and to be given deserved deference. All scientists and all attorneys who care about these issues want the science that is admitted as evidence in the courtroom to match the science that is proven through rigorous testing and research in the laboratory.

There is also general agreement that the forensic sciences can be improved through strong and unassailable research to test and establish the validity of the forensic disciplines, as well as the application of consistent and regular standards in the field. There is

a dire need for well managed and appropriately directed funding for research, development, training, and technical assistance. It is a good investment, as it will lead to fewer trials and appeals, and will reduce crime by ensuring that those who commit serious offenses are promptly captured and convicted.

There is also broad consensus that all forensic laboratories should be required to meet rigorous accreditation standards and that forensic practitioners should be required to obtain meaningful certification.

The bill I introduce today seeks to address these widely recognized needs. It requires that all forensic science laboratories that receive Federal funding or Federal business be accredited according to rigorous standards. It requires all relevant personnel who perform forensic work for any laboratory or agency that gets Federal money to become certified in their fields, which will mean meeting basic proficiency, education, and training requirements.

The bill sets up a rigorous process to determine the most serious needs for research to establish the basic validity of the forensic disciplines, and establishes grant programs to provide for peer-reviewed scientific research to answer fundamental questions and promote innovation. It also sets up a process for this research to lead to appropriate standards and best practices in each discipline. The bill funds research into new technologies and techniques that will allow forensic testing to be done more quickly, more efficiently, and more accurately. I believe these are proposals that will be widely supported by those on all sides of this issue.

There have been of course some areas of disagreement, particularly as to who should oversee these vital reforms to the field of forensics. Some have argued that, because the purpose of forensic science is primarily to produce evidence to be used in the investigation and prosecution of criminal cases, it is vital that those regulating and evaluating forensics must have expertise in criminal justice. They have said that at the Federal level, the Department of Justice is the natural place for an office to examine and oversee the forensic sciences and have emphasized the need for forensic science practitioners to have substantial input in evaluating research and standards.

Others have argued that, for forensic science to truly engender our trust and confidence, its validity must be established by independent scientific research, and standards must be determined by scientists with no possible conflict of interest. They have argued for protections to ensure independent scientific decision making, as well as the significant involvement of Federal scientific agencies.

I find both of these arguments persuasive. I know firsthand the importance of understanding how the criminal justice system works when evaluating the needs and practices in forensic science. I also understand that it is absolutely essential that forensic science be grounded in independent scientific research in order to avoid any question of convictions being based on faulty forensic work.

This legislation attempts to address both of these concerns with a hybrid structure that ensures both criminal justice expertise and scientific independence. It establishes an Office of Forensic Science in the Office of the Deputy Attorney General within the Department of Justice. That office will have a Director who will make all final decisions about research priorities, standards, and structure and who will implement and enforce the systems set up by the legislation.

It also establishes a Forensic Science Board composed of forensic and academic scientists, prosecutors and defense attorneys, and other key stakeholders. The Board will have a careful balance, and a majority of its members will be scientists. It will recommend all research priorities and standards and other key definitions and structures before the Director of the Office of Forensic Science makes a decision. The bill will include important protections to encourage the Director to defer to the recommendations of the Board and to ensure that he or she explains to Congress and to the public, with opportunities for comment, any decision to disregard the Board's recommendations.

The bill also establishes committees of scientists to examine each individual forensic science discipline to determine research needs and standards. It includes protections to ensure that the committees' recommendations receive significant deference, and the committees will be overseen by the National Institute of Standards and Technology, NIST, a respected scientific agency. NIST will also implement grant programs for research into the forensic sciences premised on the research priorities established by the Forensic Science Board and the Office of Forensic Science. The National Science Foundation will help to ensure that the grant programs are run properly, with rigorous scientific peer review and without any bias.

This bill aims to carefully balance the competing considerations that are so important to getting a review of forensics right. It also capitalizes on existing expertise and structures, rather than calling for the creation of a costly new agency. It seeks to proceed modestly and cost effectively, with ample oversight, checks, and controls. I am committed to exploring ways to use existing resources so that this urgent work will not negatively impact the budget. Ultimately, improvements in the forensic sciences will save money, reduce the number of costly ap-

peals, shorten investigations and trials, and help to eliminate wrongful imprisonments.

I understand that sweeping forensic reform and criminal justice reform legislation not only should, but must, be bipartisan. There is no reason for a partisan divide on this issue; fixing this problem does not advance the interests of only prosecutors or defendants, or of Democrats or Republicans, but the interests of justice. I have worked closely with interested Republican Senators on this vital issue. I will continue to work diligently with Senators on both sides of the aisle to ensure that this becomes the consensus bipartisan legislation that it ought to be. I hope many will cosponsor this legislation, and work with me to ensure its passage.

I want to thank the forensic science practitioners, experts, advocates, law enforcement personnel, judges, and so many others whose input forms the basis for this legislation. Your passion for this issue and for getting it right gives me confidence that we will work together successfully to make much needed progress.

I hope all Senators will join me in advancing this important legislation to bolster confidence in the forensic sciences and the criminal justice system.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 132

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

(a) **SHORT TITLE.**—This Act may be cited as the “Criminal Justice and Forensic Science Reform Act of 2011”.

(b) **TABLE OF CONTENTS.**—The table of contents for this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Definitions.
- Sec. 3. Purpose.

**TITLE I—STRUCTURE AND OVERSIGHT**

- Sec. 101. Office of Forensic Science.
- Sec. 102. Forensic Science Board.
- Sec. 103. Committees.
- Sec. 104. Authorization of appropriations.

**TITLE II—ACCREDITATION OF FORENSIC SCIENCE LABORATORIES**

- Sec. 201. Accreditation of forensic science laboratories.
- Sec. 202. Standards for laboratory accreditation.
- Sec. 203. Administration and enforcement of accreditation program.

**TITLE III—CERTIFICATION OF FORENSIC SCIENCE PERSONNEL**

- Sec. 301. Definitions.
- Sec. 302. Certification of forensic science personnel.
- Sec. 303. Standards for certification.
- Sec. 304. Administration and review of certification program.
- Sec. 305. Grants and technical assistance.

**TITLE IV—RESEARCH**

- Sec. 401. Research strategy and priorities.
- Sec. 402. Research grants.
- Sec. 403. Oversight and review.
- Sec. 404. Public-private collaboration.

**TITLE V—STANDARDS AND BEST PRACTICES**

- Sec. 501. Development of standards and best practices.
- Sec. 502. Establishment and dissemination of standards and best practices.
- Sec. 503. Review and oversight.

**TITLE VI—ADDITIONAL RESPONSIBILITIES OF THE OFFICE OF FORENSIC SCIENCE AND THE FORENSIC SCIENCE BOARD**

- Sec. 601. Forensic science training and education for judges, attorneys, and law enforcement personnel.
- Sec. 602. Educational programs in the forensic sciences.
- Sec. 603. Medical-legal death examination.
- Sec. 604. Inter-governmental coordination.
- Sec. 605. Anonymous reporting.
- Sec. 606. Interoperability of databases and technologies.
- Sec. 607. Code of ethics.

**SEC. 2. DEFINITIONS.**

In this Act—

(1) the term “Board” means the Forensic Science Board established under section 102(a);

(2) the term “Committee” means a committee established under section 103(a)(2);

(3) the term “Deputy Director” means the Deputy Director of the Office;

(4) the term “Director” means the Director of the Office;

(5) the term “forensic science discipline” shall have the meaning given that term by the Director in accordance with section 102(h);

(6) the term “forensic science laboratory” shall have the meaning given that term by the Director in accordance with section 201(c);

(7) the term “Office” means the Office of Forensic Science established under section 101(a); and

(8) the term “relevant personnel” shall have the meaning given that term by the Director in accordance with section 301(b).

**SEC. 3. PURPOSE.**

The purpose of this Act is to strengthen and promote confidence in the criminal justice system by promoting standards and best practices and ensuring consistency, scientific validity, and accuracy with respect to forensic testing, analysis, identification, and comparisons, the results of which may be interpreted, presented, or otherwise used during the course of a criminal investigation or criminal court proceeding.

**TITLE I—STRUCTURE AND OVERSIGHT**

**SEC. 101. OFFICE OF FORENSIC SCIENCE.**

(a) **IN GENERAL.**—There is established an Office of Forensic Science within the Office of the Deputy Attorney General in the Department of Justice.

(b) **OFFICERS AND STAFF.**—

(1) **IN GENERAL.**—The Office shall include—

- (A) a Director, who shall be appointed by the Attorney General;
- (B) a Deputy Director, who shall be—

- (i) an employee of the National Institute of Standards and Technology;
- (ii) selected by the Director of the National Institute of Standards and Technology; and
- (iii) detailed to the Office on a reimbursable basis;

(C) such additional staff detailed on a reimbursable basis from the National Institute of Standards and Technology as the Deputy Director, in consultation with the Director and subject to the approval of the Director of the National Institute of Standards and Technology, determines appropriate; and

(D) such other officers and staff as the Deputy Attorney General, the Director, and the Deputy Director determine appropriate.

(2) **DEADLINE.**—Not later than 180 days after the date of enactment of this Act, the

initial appointments, selections, and detailing under paragraph (1) shall be made.

(c) **VACANCY.**—In the event of a vacancy in the position of Director—

(1) the Attorney General shall designate an acting Director; and

(2) during any period of vacancy before designation of an acting Director, the Deputy Attorney General shall serve as acting Director.

(d) **LIAISON.**—The Director of the National Science Foundation, in consultation with the Director and the Deputy Director, shall designate a liaison at the National Science Foundation to facilitate communication between the Office and the National Science Foundation.

(e) **DUTIES AND AUTHORITY.**—

(1) **IN GENERAL.**—The Office shall—

(A) assist the Board in carrying out all the functions of the Board under this Act and such other related functions as are necessary to perform the functions; and

(B) evaluate and act upon the recommendations of the Board in accordance with paragraph (4).

(2) **SPECIFIC RESPONSIBILITIES.**—The Director, in consultation with the Deputy Director, shall—

(A) establish, implement, and enforce accreditation and certification standards under titles II and III;

(B) establish a comprehensive strategy for scientific research in the forensic sciences under title IV;

(C) establish and implement standards and best practices for forensic science disciplines under title V;

(D) define the term “forensic science discipline” for the purposes of this Act in accordance with section 102(h);

(E) establish and maintain a list of forensic science disciplines in accordance with section 102(h);

(F) establish Committees in accordance with section 103;

(G) define the term “forensic science laboratory” for the purposes of this Act in accordance with section 201(c); and

(H) perform all other functions of the Office under this Act and such other related functions as are necessary to perform the functions of the Office described in this Act.

(3) **ADDITIONAL RESPONSIBILITIES OF DEPUTY DIRECTOR.**—The Deputy Director, in consultation with the Director of the National Institute of Standards and Technology, shall oversee—

(A) the implementation of any standard, protocol, definition, or other material established or amended based on a recommendation by a Committee; and

(B) the work of the Committees.

(4) **CONSIDERATION OF RECOMMENDATIONS.**—

(A) **IN GENERAL.**—Upon receiving a recommendation from the Board, the Director shall—

(i) give substantial deference to the recommendation; and

(ii) not later than 90 days after the date on which the Director receives the recommendation, determine whether to adopt, modify, or reject the recommendation.

(B) **MODIFICATION.**—

(i) **IN GENERAL.**—If the Director determines to substantially modify a recommendation under subparagraph (A), the Director shall immediately notify the Board of the proposed modification.

(ii) **BOARD RECOMMENDATION.**—Not later than 30 days after the date on which the Director provides notice to the Board under clause (i), the Board shall submit to the Director a recommendation on whether the proposed modification should be adopted.

(iii) **ACCEPTANCE OF MODIFICATION.**—If the Board recommends that a proposed modification should be adopted under clause (ii), the

Director may implement the modified recommendation.

(iv) **REJECTION OF MODIFICATION.**—If the Board recommends that a proposed modification should not be adopted under clause (ii), the Director shall, not later than 10 days after the date on which the Board makes the recommendation—

(I) provide notice and an explanation of the modification proposed to the Committee on the Judiciary and the Committee on Commerce, Science, and Transportation of the Senate and the Committee on the Judiciary and the Committee on Science and Technology of the House of Representatives; and

(II) begin a rulemaking on the record after opportunity for an agency hearing.

(C) **REJECTION.**—Not later than 30 days after the date on which the Director determines to reject a recommendation under subparagraph (A), the Director shall—

(i) provide notice and an explanation of the decision to the Committee on the Judiciary and the Committee on Commerce, Science, and Transportation of the Senate and the Committee on the Judiciary and the Committee on Science and Technology of the House of Representatives; and

(ii) begin a rulemaking on the record after opportunity for an agency hearing.

(f) **WEBSITE.**—The Director shall—

(1) establish a website that is publicly accessible; and

(2) publish recommendations of the Board and all standards, protocols, definitions, and other materials established, or amended, by the Director under this Act on the website.

#### **SEC. 102. FORENSIC SCIENCE BOARD.**

(a) **IN GENERAL.**—There is established a Forensic Science Board to serve as an advisory board regarding forensic science in order to strengthen and promote confidence in the criminal justice system by promoting standards and best practices and ensuring consistency, scientific validity, and accuracy with respect to forensic testing, analysis, identification, and comparisons, the results of which may be interpreted, presented, or otherwise used during the course of a criminal investigation or criminal court proceeding.

(b) **APPOINTMENT.**—

(1) **IN GENERAL.**—The Board shall be composed of 19 members, who shall—

(A) be appointed by the President not later than 180 days after the date of enactment of this Act; and

(B) come from professional communities that have expertise relevant to and significant interest in the field of forensic science.

(2) **CONSIDERATION AND CONSULTATION.**—In making an appointment under paragraph (1), the President shall—

(A) consider the need for the Board to exercise independent scientific judgment;

(B) consider, among other factors, recommendations from leading scientific organizations and leading professional organizations in the field of forensic science and other relevant fields; and

(C) consult with the Chairman and Ranking Member of the—

(i) Committee on the Judiciary and the Committee on Commerce, Science, and Transportation of the Senate; and

(ii) the Committee on the Judiciary and the Committee on Science and Technology of the House of Representatives.

(3) **REQUIREMENTS.**—The Board shall include—

(A) not fewer than 10 members who have comprehensive scientific backgrounds, of which—

(i) not fewer than 5 members have extensive experience or background in scientific research; and

(ii) not fewer than 5 members have extensive experience or background in forensic science; and

(B) not fewer than 1 member from each category described in paragraph (4).

(4) **CATEGORIES.**—The categories described in this paragraph are—

(A) judges;

(B) Federal Government officials;

(C) State and local government officials;

(D) prosecutors;

(E) law enforcement officers;

(F) criminal defense attorneys;

(G) organizations that represent people who may have been wrongly convicted;

(H) practitioners in forensic laboratories;

(I) physicians with relevant expertise; and

(J) State laboratory directors.

(5) **FULFILLMENT OF MULTIPLE REQUIREMENTS.**—An individual may fulfill more than 1 requirement described in paragraph (3) or (4).

(6) **EX OFFICIO MEMBERS.**—The Director and the Deputy Director shall serve as ex officio and nonvoting members of the Board.

(c) **TERMS.**—

(1) **IN GENERAL.**—A member of the Board shall be appointed for a term of 6 years.

(2) **EXCEPTION.**—Of the members first appointed to the Board—

(A) 6 members shall serve a term of 2 years;

(B) 6 members shall serve a term of 4 years; and

(C) 7 members shall serve a term of 6 years.

(3) **RENEWABLE TERM.**—A member of the Board may be appointed for not more than a total of 2 terms, including an initial term described in paragraph (2).

(4) **VACANCIES.**—

(A) **IN GENERAL.**—In the event of a vacancy, the President may appoint a member to fill the remainder of the term.

(B) **ADDITIONAL TERM.**—A member appointed under subparagraph (A) may be reappointed for 1 additional term.

(5) **HOLDOVERS.**—If a successor has not been appointed at the conclusion of the term of a member of the Board, the member of the Board may continue to serve until—

(A) a successor is appointed; or

(B) the member of the Board is reappointed.

(d) **RESPONSIBILITIES.**—The Board shall—

(1) make recommendations to the Director relating to research priorities and needs, accreditation and certification standards, standards and protocols for forensic science disciplines, and any other issue consistent with this Act;

(2) monitor and evaluate—

(A) the administration of accreditation, certification, and research programs and procedures established under this Act; and

(B) the operation of the Committees;

(3) review and update, as appropriate, any recommendations made under paragraph (1); and

(4) perform all other functions of the Board under this Act and such other related functions as are necessary to perform the functions of the Board.

(e) **CONSULTATION.**—The Board shall consult as appropriate with the Deputy Attorney General, the Director of the National Institute of Standards and Technology, the Director of the National Science Foundation, the Director of the National Institute of Justice, the Director of the Centers for Disease Control and Prevention, senior officials from other relevant Federal agencies, and relevant officials of State and local government.

(f) **MEETINGS.**—

(1) **IN GENERAL.**—The Board shall hold not fewer than 4 meetings of the full Board each year.

(2) **REQUIREMENTS.**—

(A) **NOTICE.**—The Board shall provide public notice of any meeting of the Board a reasonable period in advance of the meeting.



(B) OPEN MEETINGS.—A meeting of the Board shall be open to the public.

(C) QUORUM.—A majority of the members of the Board shall be present for a quorum to conduct business.

(g) VOTES.—

(1) IN GENERAL.—Decisions of the Board shall be made by an affirmative vote of not less than  $\frac{2}{3}$  of the members of the Board voting.

(2) VOTING PROCEDURES.—

(A) RECORDED.—All votes of the Board shall be recorded.

(B) REMOTE AND PROXY VOTING.—If necessary, a member of the Board may cast a vote—

(i) over the phone or through electronic mail or other electronic means if the vote is scheduled to take place during a time other than a full meeting of the Board; and

(ii) over the phone or by proxy if the vote is scheduled to take place during a full meeting of the Board.

(h) DEFINITION OF FORENSIC SCIENCE DISCIPLINE.—

(1) IN GENERAL.—Not later than 18 months after the date of enactment of this Act, the Board shall—

(A) develop a recommended definition of the term “forensic science discipline” for purposes of this Act, which shall encompass disciplines with a sufficient scientific basis that involve forensic testing, analysis, identification, or comparisons, the results of which may be interpreted, presented, or otherwise used during the course of a criminal investigation or criminal court proceeding;

(B) develop a recommended list of forensic science disciplines for purposes of this Act; and

(C) submit the recommended definition and proposed list of forensic science disciplines to the Director.

(2) CONSIDERATION.—In developing a recommended list of forensic science disciplines under paragraph (1)(B), the Board shall consider each field from which courts in criminal cases hear forensic testimony or admit forensic evidence.

(3) EXCLUSION FROM LIST.—If the Board recommends that a field should not be included on the list submitted under paragraph (1) because the field has insufficient scientific basis on the date of the recommendation of the Board, the Board shall publish an explanation of the recommendation, which—

(A) shall be published on the website of the Board; and

(B) may include a finding that a field could be recognized as a forensic science discipline, based on additional research.

(4) ESTABLISHMENT.—After the Director receives the recommendation of the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), establish a definition for the term “forensic science discipline”, and shall establish a list of forensic science disciplines.

(5) ANNUAL EVALUATION.—On an annual basis, the Board shall—

(A) evaluate—

(i) whether any field should be added to the list of forensic science disciplines established under paragraph (4); and

(ii) whether any field on the list of forensic science disciplines established under paragraph (4) should be modified or removed; and

(B) submit the evaluation conducted under subparagraph (A), including any recommendations, to the Director.

(i) STAFF.—

(1) IN GENERAL.—The Board may, without regard to the civil service laws and regulations, appoint and terminate an executive director and such other additional personnel as may be necessary to enable the Board to perform the duties of the Board.

(2) COMPENSATION.—The Board may fix the compensation of the executive director and other personnel appointed under paragraph (1) without regard to the provisions of chapter 51 and subchapter III of chapter 53 of title 5, United States Code, relating to classification of positions and General Schedule pay rates, except that the rate of pay for the executive director and other personnel may not exceed the rate payable for level V of the Executive Schedule under section 5316 of such title.

(3) PERSONNEL AS FEDERAL EMPLOYEES.—

(A) IN GENERAL.—Any personnel of the Board who are employees shall be employees under section 2105 of title 5, United States Code, for purposes of chapters 63, 81, 83, 84, 24 85, 87, 89, 89A, 89B, and 90 of that title.

(B) MEMBERS OF THE BOARD.—Subparagraph (A) shall not be construed to apply to members of the Board.

(4) PROCUREMENT OF TEMPORARY AND INTERMITTENT SERVICES.—The Board may procure temporary and intermittent services under section 3109(b) of title 5, United States Code, at rates for individuals which do not exceed the daily equivalent of the annual rate of basic pay prescribed for level V of the Executive Schedule under section 5316 of such title.

(5) VOLUNTARY SERVICES.—Notwithstanding section 1342 of title 31, United States Code, the Board may accept and use voluntary and uncompensated services for the Board as the Board determines necessary.

(j) REPORTS TO CONGRESS.—Not later than 2 years after the date of enactment of this Act, and every 2 years thereafter, the Board shall submit to Congress a report describing the work of the Board and the work of each Committee, which shall include a description of any recommendations, decisions, and other significant materials generated during the 2-year period.

(k) APPLICABILITY OF THE FEDERAL ADVISORY COMMITTEE ACT.—

(1) IN GENERAL.—Subject to paragraphs (2) and (3), the Federal Advisory Committee Act (5 U.S.C. App.) shall apply to the Board.

(2) TERMINATION PROVISION.—Section 14(a)(2) of the Federal Advisory Committee Act (5 U.S.C. App.) shall not apply to the Board.

(3) COMPENSATION OF MEMBERS.—Members of the Board shall serve without compensation for services performed for the Board.

(4) TRAVEL EXPENSES.—The members of the Board shall be allowed travel expenses, including per diem in lieu of subsistence, at rates authorized for employees of agencies under subchapter I of chapter 57 of title 5, United States Code, while away from their homes or regular places of business in the performance of services for the Board.

(5) DESIGNATED FEDERAL OFFICER.—In accordance with the Federal Advisory Committee Act (5 U.S.C. App.), the Director shall—

(A) serve as the designated Federal officer; and

(B) designate a committee management officer for the Board.

#### SEC. 103. COMMITTEES.

(a) ESTABLISHMENT AND MAINTENANCE OF COMMITTEES.—

(1) IN GENERAL.—Not later than 18 months after the date of enactment of this Act, the Board shall issue recommendations to the Director relating to—

(A) the number of Committees that shall be established to examine research needs, standards and best practices, and certification standards for the forensic science disciplines, which shall be—

(i) not fewer than 1; and

(ii) sufficient to allow the Committees to function effectively;

(B) the scope of responsibility for each Committee recommended to be established, which shall ensure that each forensic science discipline is addressed by a Committee;

(C) what the relationship should be between the Committees and any scientific working group or technical working group that has a similar scope of responsibility; and

(D) whether any Committee should consider any field not recognized as a forensic science discipline for the purpose of determining whether there is research that could be conducted and used to form the basis for establishing the field as a forensic science discipline.

(2) ESTABLISHMENT.—After the Director receives the recommendations of the Board under paragraph (1), the Director, in coordination with the Deputy Director, shall—

(A) in accordance with section 101(e)(4), establish—

(i) Committees to examine research needs, standards, and best practices, and certification standards for the forensic science disciplines, which shall be not fewer than 1; and

(ii) a clear scope of responsibility for each Committee; and

(B) publish a list of the Committees and the scope of responsibility for each Committee on the website for the Office.

(3) ANNUAL EVALUATION.—The Board, on an annual basis, shall—

(A) evaluate—

(i) whether any new Committees should be established;

(ii) whether the scope of responsibility for any Committee should be modified; and

(iii) whether any Committee should be discontinued;

(B) submit any recommendations relating to the evaluation conducted under subparagraph (A) to the Director and Deputy Director.

(4) UPDATES.—Upon receipt of any recommendations from the Board under paragraph (3), the Director shall, in accordance with section 101(e)(4), determine whether to establish, modify the scope of, or discontinue any Committee.

(b) MEMBERSHIP.—

(1) IN GENERAL.—Each Committee shall—

(A) consist of not more than 21 members—

(i) each of whom shall be a scientist with knowledge relevant to a forensic science discipline addressed by the Committee; and

(ii) not less than 50 percent of whom shall have extensive experience or background in scientific research;

(B) have a number of members who have extensive experience or background in the forensic sciences sufficient to ensure that the Committee has an adequate understanding of the factors and needs unique to the forensic sciences; and

(C) have a membership that represents a variety of scientific disciplines, including the forensic sciences.

(2) DEFINITION.—In this subsection, the term “scientist” includes—

(A) a statistician with a scientific background; and

(B) a physician with expertise in forensic sciences.

(c) APPOINTMENT.—

(1) IN GENERAL.—The Deputy Director, in consultation with the Board, shall appoint the members of each Committee.

(2) CONSIDERATION.—In appointing members to a Committee under paragraph (1), the Deputy Director shall consider—

(A) the importance of analysis from scientists with academic backgrounds; and

(B) the importance of input from experienced forensic practitioners.

(3) VACANCIES.—In the event of a vacancy, the Deputy Director, in consultation with

the Board, may appoint a member to fill the remainder of the term.

(4) **HOLDOVERS.**—If a successor has not been appointed at the conclusion of the term of a member of the Committee, the member of the Committee may continue to serve until—

(A) a successor is appointed; or  
(B) the member of the Committee is reappointed.

(d) **TERMS.**—A member of a Committee shall serve for renewable terms of 4 years.

(e) **SUPPORT AND OVERSIGHT.**—

(1) **IN GENERAL.**—The National Institute of Standards and Technology shall provide support and staff for each Committee as needed.

(2) **DUTIES AND OVERSIGHT.**—The Deputy Director shall—

(A) perform periodic oversight of each Committee; and

(B) report any concerns about the performance or functioning of a Committee to the Board and the Director.

(3) **FAILURE TO COMPLY.**—If a Committee fails to produce recommendations within the time periods required under this Act, the Deputy Director and the Director of the National Institute of Standards and Technology shall work with the Committee to assist the Committee in producing the required recommendations in a timely manner.

(f) **DUTIES.**—

(1) **IN GENERAL.**—A Committee shall have the duties and responsibilities set out in this Act, and shall perform any other functions determined appropriate by the Board and the Deputy Director.

(2) **COMMITTEE DECISIONS AND RECOMMENDATIONS.**—

(A) **IN GENERAL.**—A Committee shall submit recommendations and all recommended standards, protocols, or other materials developed by the Committee to the Board for evaluation.

(B) **PROHIBITION OF MODIFICATION OF DECISIONS AND RECOMMENDATIONS.**—Any recommendations of a Committee and any recommended standards, protocols, or other materials developed by a Committee may be approved or disapproved by the Board, but may not be modified by the Board.

(C) **APPROVAL OF DECISIONS AND RECOMMENDATIONS.**—If the Board approves a recommendation or recommended standard, protocol, or other material submitted by a Committee under subparagraph (A), the Board shall submit the recommendation or recommended standard, protocol, or other material as a recommendation of the Board, to the Director and Deputy Director for consideration in accordance with section 101(e)(4).

(D) **DISAPPROVAL OF DECISIONS AND RECOMMENDATIONS.**—If the Board disapproves of any recommendation of a Committee or recommended standard, protocol, or other material developed by a Committee—

(i) the Board shall provide in writing the reason for the disapproval of the recommendation or recommended standard, protocol, or other material;

(ii) the Committee shall withdraw the recommendation or recommended standard, protocol, or other material developed by the Committee; and

(iii) the Committee may submit a revised recommendation or recommended standard, protocol, or other material.

(g) **MEETINGS.**—

(1) **IN GENERAL.**—A Committee shall hold not fewer than 4 meetings of the full Committee each year.

(2) **REQUIREMENTS.**—

(A) **NOTICE.**—A Committee shall provide public notice of any meeting of the Committee a reasonable period in advance of the meeting.

(B) **OPEN MEETINGS.**—A meeting of a Committee shall be open to the public.

(C) **QUORUM.**—A majority of members of a Committee shall be present for a quorum to conduct business.

(h) **VOTES.**—

(1) **IN GENERAL.**—Decisions of a Committee shall be made by an affirmative vote of not less than  $\frac{2}{3}$  of the members of the Committee voting.

(2) **VOTING PROCEDURES.**—

(A) **RECORDED.**—All votes taken by a Committee shall be recorded.

(B) **REMOTE AND PROXY VOTING.**—If necessary, a member of the Committee may cast a vote—

(i) over the phone or through electronic mail if the vote is scheduled to take place during a time other than a full meeting of the Committee; and

(ii) over the phone or by proxy if the vote is scheduled to take place during a full meeting of the Committee.

(i) **APPLICABILITY OF THE FEDERAL ADVISORY COMMITTEE ACT.**—

(1) **IN GENERAL.**—The Federal Advisory Committee Act (5 U.S.C. App.) shall not apply to a Committee.

(2) **COMPENSATION OF MEMBERS.**—Members of a Committee shall serve without compensation for services performed for the Committee.

(3) **TRAVEL EXPENSES.**—The members of a Committee shall be allowed travel expenses, including per diem in lieu of subsistence, at rates authorized for employees of agencies under subchapter I of chapter 57 of title 5, United States Code, while away from their homes or regular places of business in the performance of services for the Committee.

**SEC. 104. AUTHORIZATION OF APPROPRIATIONS.**

There are authorized to be appropriated—

(1) \$15,000,000 for each of fiscal years 2012 through 2016 for the operation and staffing of the Office;

(2) \$5,000,000 for each of fiscal years 2012 through 2016 for the operation and staffing of the Board;

(3) \$15,000,000 for each of fiscal years 2012 through 2016 for the operation and staffing of the Committees; and

(4) \$5,000,000 for each of fiscal years 2012 through 2016 to the National Institute of Standards and Technology for the oversight, support, and staffing of the Committees.

## TITLE II—ACCREDITATION OF FORENSIC SCIENCE LABORATORIES

### SEC. 201. ACCREDITATION OF FORENSIC SCIENCE LABORATORIES.

(a) **IN GENERAL.**—On and after the date established under subsection (b)(2)(D), a forensic science laboratory may not receive, directly or indirectly, any Federal funds, unless the Director has verified that the laboratory has been accredited in accordance with the standards and procedures established under this title.

(b) **PROCEDURES FOR ACCREDITATION.**—

(1) **RECOMMENDATIONS.**—Not later than 3 years after the date of enactment of this Act, the Board shall submit to the Director—

(A) recommended procedures for the accreditation of forensic science laboratories that are consistent with the recommended standards and criteria developed by the Board under section 202;

(B) recommended procedures for the periodic review and updating of the accreditation status of forensic science laboratories;

(C) recommended procedures for the Director to verify that laboratories have been accredited in accordance with the standards and procedures established under this title, which shall include procedures to implement, administer, and coordinate enforcement of the program for the accreditation of forensic science laboratories; and

(D) a recommendation regarding the date by which forensic science laboratories should—

(i) begin the process of laboratory accreditation; and

(ii) obtain verification of laboratory accreditation to be eligible to receive Federal funds.

(2) **ESTABLISHMENT.**—After the Director receives the recommendations of the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), establish—

(A) procedures for the accreditation of a forensic science laboratory;

(B) procedures for the Director to verify that laboratories have been accredited in accordance with the standards and procedures established under this title;

(C) the date by which a forensic science laboratory shall begin the process of accreditation; and

(D) the date by which a forensic science laboratory shall obtain verification of laboratory accreditation to be eligible to receive Federal funds.

(c) **DEFINITION.**—

(1) **IN GENERAL.**—Not later than 1 year after the date of enactment of this Act, the Board shall recommend to the Director a definition of the term “forensic science laboratory” for purposes of this Act, which shall include any laboratory that conducts forensic testing, analysis, identification, or comparisons, the results of which may be interpreted, presented, or otherwise used during the course of a criminal investigation or criminal court proceeding.

(2) **ESTABLISHMENT.**—After the Director receives the recommendation of the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), establish a definition for the term “forensic science laboratory”.

(d) **APPLICABILITY TO FEDERAL AGENCIES.**—On and after the date established by the Director under subsection (b)(2)(D), a Federal agency may not use any forensic science laboratory during the course of a criminal investigation or criminal court proceeding unless the forensic science laboratory meets the standards of accreditation and certification established by the Office under this Act.

### SEC. 202. STANDARDS FOR LABORATORY ACCREDITATION.

(a) **STANDARDS.**—

(1) **RECOMMENDATIONS.**—Not later than 18 months after the date of enactment of this Act, the Board shall, in consultation with qualified professional organizations, submit to the Director recommendations regarding standards for the accreditation of forensic science laboratories, including quality assurance standards, to ensure the quality, integrity, and accuracy of any testing, analysis, identification, or comparisons performed by a forensic science laboratory for use during the course of a criminal investigation or criminal court proceeding.

(2) **ESTABLISHMENT.**—After the Director receives the recommendations of the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), establish standards for the accreditation of forensic science laboratories.

(3) **REQUIREMENTS.**—In recommending or establishing standards under paragraph (1) or (2) the Board and the Director shall—

(A) consider—

(i) whether any relevant national accreditation standards that were in effect before the date of enactment of this Act would be sufficient for the accreditation of forensic science laboratories under this Act; and

(ii) whether any relevant national accreditation standards that were in effect before the date of enactment of this Act would be sufficient for the accreditation of forensic science laboratories under this Act with supplemental standards; and

(B) include—

(i) educational and training requirements for relevant laboratory personnel;

(ii) proficiency and competency testing requirements for relevant laboratory personnel; and

(iii) maintenance and auditing requirements for accredited forensic science laboratories.

(b) REVIEW OF STANDARDS.—

(1) IN GENERAL.—Not less frequently than once every 5 years—

(A) the Board shall—

(i) review the scope and effectiveness of the accreditation standards established under subsection (a);

(ii) submit recommendations to the Director relating to whether, and if so, how to update the standards as necessary to—

(I) account for developments in relevant scientific research and technological advances;

(II) ensure adherence to the standards and best practices established under title V; and

(III) address any other issue identified during the course of the review conducted under clause (i); and

(B) the Director shall, as necessary and in accordance with section 101(e)(4), update the accreditation standards established under subsection (a).

(2) PROCEDURES FOR OPEN AND TRANSPARENT REVIEW OF STANDARDS.—The Director, in consultation with the Board, shall establish procedures to ensure that the process for developing, reviewing, and updating accreditation standards under this section—

(A) is open and transparent to the public; and

(B) includes an opportunity for the public to comment on proposed standards with sufficient prior notice.

### SEC. 203. ADMINISTRATION AND ENFORCEMENT OF ACCREDITATION PROGRAM.

(a) ADMINISTRATION AND ENFORCEMENT OF ACCREDITATION PROGRAM.—

(1) IN GENERAL.—The Director shall determine whether a forensic science laboratory is eligible to receive, directly or indirectly, Federal funds under section 201(a).

(2) ADMINISTRATION.—

(A) IN GENERAL.—The Director may identify 1 or more qualified accrediting entities with experience and expertise relevant to the accreditation of forensic science laboratories, the accreditation of a forensic science laboratory by which shall constitute accreditation for purposes of section 201(a).

(B) OVERSIGHT.—The Director shall periodically reevaluate whether accreditation by a qualified accrediting entity identified under subparagraph (A) is adequate to ensure compliance with the standards and procedures established under this title.

(C) REPORTING.—The Director shall provide regular reports to the Board regarding the accreditation of forensic science laboratories by qualified accrediting entities identified under subparagraph (A) and reevaluations of accreditation by qualified accrediting entities under subparagraph (B), which shall be published on the website of the Office.

(b) REVIEW OF ELIGIBILITY.—Not less frequently than once every 5 years, the Director shall evaluate whether a forensic science laboratory that has been determined to be eligible to receive Federal funds under section 201(a) remains eligible to receive Federal funds, including whether any accreditation of the forensic science laboratory by a qualified accrediting entity identified under subparagraph (A) is still in effect.

(c) WEBSITE.—The Director shall develop and maintain on the website of the Office an updated list of—

(1) the forensic science laboratories that are eligible for Federal funds under section 201(a);

(2) the forensic science laboratories that have been determined to be ineligible to receive Federal funds under section 201(a); and

(3) the forensic science laboratories that are awaiting a determination regarding eligibility to receive Federal funds under section 201(a).

## TITLE III—CERTIFICATION OF FORENSIC SCIENCE PERSONNEL

### SEC. 301. DEFINITIONS.

(a) COVERED ENTITY.—In this title, the term “covered entity” means an entity that—

(1) is not a forensic science laboratory; and

(2) conducts forensic testing, analysis, identification, or comparisons, the results of which may be interpreted, presented, or otherwise used during the course of a criminal investigation or criminal court proceeding.

(b) RELEVANT PERSONNEL.—

(1) RECOMMENDATION.—Not later than 1 year after the date of enactment of this Act, the Board shall submit to the Director a recommended definition of the term “relevant personnel”, which shall include individuals who—

(A) conduct forensic testing, analysis, identification, or comparisons, the results of which may be interpreted, presented, or otherwise used during the course of a criminal investigation or criminal court proceeding; or

(B) testify about evidence prepared by an individual described in paragraph (A).

(2) DEFINITION.—After the Director receives the recommendation of the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), define the term “relevant personnel” for purposes of this title.

### SEC. 302. CERTIFICATION OF FORENSIC SCIENCE PERSONNEL.

Except as provided in section 304(c)(2), on and after the date established under section 304(c)(1), a forensic science laboratory or covered entity may not receive, directly or indirectly, any Federal funds, unless all relevant personnel of the forensic science laboratory or covered entity are certified under this title.

### SEC. 303. STANDARDS FOR CERTIFICATION.

(a) RECOMMENDED STANDARDS.—

(1) IN GENERAL.—Not later than 2 years after the date on which all members of a Committee have been appointed, the Committee shall make recommendations to the Board relating to standards for the certification of relevant personnel in each forensic science discipline addressed by the Committee.

(2) REQUIREMENTS.—In developing recommended standards under paragraph (1), a Committee shall—

(A) consult with qualified professional organizations;

(B) consider relevant certification standards and best practices developed by qualified professional or scientific organizations;

(C) consider any standards or best practices established under title V; and

(D) consider—

(i) whether certain minimum standards should be established for the education and training of relevant personnel;

(ii) whether there should be an alternative process to enable relevant personnel who were hired before the date established under section 304(c)(1), to obtain certifications, including—

(I) testing that demonstrates proficiency in a specific forensic science discipline that is equal to or greater than the level of proficiency required by the standards for certification; and

(II) a waiver of certain educational and training requirements;

(iii) whether and under what conditions relevant personnel should be allowed to per-

form an activity described in subparagraph (A) or (B) of section 301(b)(1) for a forensic science laboratory or covered entity while the individual obtains the training and education required for certification under the standards developed under this title; and

(iv) whether certification by recognized and relevant medical boards should be sufficient for relevant personnel to meet the standards developed under this title.

(b) APPROVAL OR DENIAL OF RECOMMENDATIONS.—The Board shall approve or deny any recommendation submitted by a Committee under subsection (a) in accordance with section 103(f)(2).

(c) ESTABLISHMENT OF STANDARDS.—After the Director receives recommendations from the Board under subsection (b), the Director shall, in accordance with section 101(e)(4), establish standards for the certification of relevant personnel.

(d) REVIEW OF STANDARDS.—

(1) IN GENERAL.—Not less frequently than once every 5 years, a Committee shall—

(A) review the standards for certification established under subsection (c) for each forensic science discipline within the responsibility of the Committee; and

(B) submit to the Board recommendations regarding updates, if any, to the standards for certification as necessary—

(i) to account for developments in relevant scientific research, technological advances, or changes in the law; and

(ii) to ensure adherence to the uniform standards and best practices established under title V.

(2) BOARD REVIEW.—Not later than 180 days after the date on which a Committee submits recommendations under paragraph (1)(B), the Board shall, in accordance with section 103(f)(2)—

(A) consider the recommendations; and

(B) submit to the Director recommendations of uniform standards and best practices for each forensic science discipline.

(3) UPDATES.—After the Director receives recommendations from the Board under paragraph (2), the Director shall, in accordance with section 101(e)(4), update the standards for certification of relevant personnel.

(e) PUBLIC COMMENT.—The Director, in consultation with the Board, shall establish procedures to ensure that the process for establishing, reviewing, and updating standards for certification of relevant personnel under this section—

(1) is open and transparent to the public; and

(2) includes an opportunity for the public to comment on proposed standards with sufficient prior notice.

### SEC. 304. ADMINISTRATION AND REVIEW OF CERTIFICATION PROGRAM.

(a) IN GENERAL.—

(1) DETERMINATION.—The Director shall determine whether a forensic science laboratory or covered entity is eligible to receive, directly or indirectly, Federal funds under section 302.

(2) PROCEDURES.—Not later than 1 year after the date of enactment of this Act, the Director shall establish policies and procedures to implement, administer, and coordinate enforcement of the certification requirements established under this title, including requiring the periodic recertification of relevant personnel.

(b) ADMINISTRATION.—

(1) IN GENERAL.—After consultation with the Board, the Director may identify 1 or more qualified professional organizations with experience and expertise relevant to the certification of individuals in a particular forensic science discipline, the certification of an individual by which shall constitute certification for purposes of section 302.

(2) OVERSIGHT.—The Director shall periodically reevaluate whether certification by a

qualified professional organizations identified under paragraph (1) is adequate to ensure compliance with the standards established under this title.

(3) REPORTING.—The Director shall provide regular reports to the Board regarding the certification of relevant personnel by qualified professional organizations identified under paragraph (1) and reevaluations of certification by qualified professional organizations under paragraph (2), which shall be published on the website of the Office.

(c) IMPLEMENTATION OF CERTIFICATION REQUIREMENTS.—

(1) IN GENERAL.—After consultation with the Board, the Director shall establish the date on which forensic science laboratories and covered entities shall be in compliance with the certification requirements of this title.

(2) GRADUAL IMPLEMENTATION.—The Director shall, in consultation with the Board and each Committee, establish policies and procedures to enable the gradual implementation of the certification requirements that—

(A) include a reasonable schedule to allow relevant personnel to obtain certifications; and

(B) allow for partial compliance with the requirements of section 302 for a reasonable period of time after the date established under paragraph (1).

(d) REVIEW OF CERTIFICATION REQUIREMENTS.—The Director shall establish policies and procedures for the periodic review of the implementation, administration, and enforcement of the certification requirements established under this title.

#### SEC. 305. GRANTS AND TECHNICAL ASSISTANCE.

(a) IN GENERAL.—The Director of the National Institute of Justice, in consultation with the Director, may make grants and provide technical assistance to forensic science laboratories and other entities subject to the requirements under this title and title II to ensure that forensic science laboratories and covered entities are able to effectively fulfill the responsibilities of the laboratories or entities during the process of—

(1) seeking accreditation under title II; and

(2) obtaining certifications for relevant personnel under this title.

(b) AUTHORIZATION OF APPROPRIATIONS.—

(1) IN GENERAL.—There is authorized to be appropriated \$10,000,000 for each of fiscal years 2012 through 2016 to the National Institute of Justice for the grant program and technical assistance described in subsection (a).

(2) REQUIREMENT.—Not less than 75 percent of funds appropriated pursuant to paragraph (1) shall be used for grants under this section.

(c) REPORT.—The Director of the National Institute of Justice shall, on an annual basis, submit to the Board and the Director a report that describes—

(1) the application process for grants under this section;

(2) each grant made under this section during the fiscal year before the fiscal year in which the report is submitted; and

(3) as appropriate, the status and results of any grants previously described in a report submitted under this subsection.

#### TITLE IV—RESEARCH

##### SEC. 401. RESEARCH STRATEGY AND PRIORITIES.

(a) COMPREHENSIVE RESEARCH STRATEGY AND AGENDA.—

(1) RECOMMENDATION.—Not later than 18 months after the date of enactment of this Act, the Board shall recommend to the Director a comprehensive strategy for fostering and improving peer-reviewed scientific research relating to the forensic science disciplines, including research addressing issues of accuracy, reliability, and validity in the forensic science disciplines.

(2) ESTABLISHMENT.—After the Director receives recommendations from the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), establish a comprehensive strategy for fostering and improving peer-reviewed scientific research relating to the forensic science disciplines.

(3) REVIEW.—

(A) BOARD REVIEW.—Not less frequently than once every 5 years, the Board shall—

(i) review the comprehensive strategy established under paragraph (2); and

(ii) recommend any necessary updates to the comprehensive strategy.

(B) UPDATES.—After the Director receives recommendations from the Board under subparagraph (A), the Director shall, in accordance with section 101(e)(4), update the comprehensive strategy as necessary and appropriate.

(b) RESEARCH FUNDING PRIORITIES.—

(1) RECOMMENDATION.—Not later than 18 months after the date of enactment of this Act, the Board shall recommend to the Director a list of priorities for forensic science research funding.

(2) ESTABLISHMENT.—After the Director receives the list from the Board under paragraph (1), the Director shall, in accordance with section 101(e)(4), establish a list of priorities for forensic science research funding.

(3) REVIEW.—Not less frequently than once every 2 years, the Board shall—

(A) review—

(i) the list of priorities established under paragraph (2); and

(ii) the findings of the relevant Committees made under subsection (c); and

(B) recommend any necessary updates to the list of priorities, incorporating, as appropriate, the findings of the Committees under subsection (c).

(4) UPDATES.—After the Director receives the recommendations under paragraph (3), the Director shall, in accordance with section 101(e)(4), update as necessary the list of research funding priorities.

(c) EVALUATION OF RESEARCH NEEDS.—Not later than 2 years after the date on which all members of a Committee have been appointed under section 103, and periodically thereafter, the Committee shall—

(1) examine and evaluate the scientific research in each forensic science discipline within the responsibility of the Committee;

(2) conduct comprehensive surveys of scientific research relating to each forensic science discipline within the responsibility of the Committee;

(3) examine the research needs in each forensic science discipline within the responsibility of the Committee and identify key areas in which further scientific research is needed; and

(4) develop and submit to the Board a list of research needs and priorities.

(d) CONSIDERATION.—In developing the initial research strategy, research priorities, and surveys required under this section, the Board and the Director shall consider any findings, surveys, and analyses relating to research in forensic science disciplines, including those made by the Subcommittee on Forensic Science of the National Science and Technology Council.

##### SEC. 402. RESEARCH GRANTS.

(a) COMPETITIVE GRANTS.—

(1) DEFINITION.—In this subsection, the term “eligible entity” means—

(A) a nonprofit academic or research institution; and

(B) any other entity designated by the Director of the National Institute of Standards and Technology.

(2) PEER-REVIEW RESEARCH GRANTS.—

(A) IN GENERAL.—The Director of the National Institute of Standards and Technology

may, on a competitive basis, make grants to eligible entities to conduct peer-reviewed scientific research.

(B) CONSIDERATION.—In making grants under this paragraph, the Director of the National Institute of Standards and Technology shall—

(i) ensure that grants made under this paragraph are for peer-reviewed scientific research in areas that are consistent with the research priorities established by the Director under section 401(b); and

(ii) take into consideration the research needs identified by the Committees under section 401(c).

(3) DEVELOPMENT OF NEW TECHNOLOGIES.—The Director of the National Institute of Standards and Technology may, on a competitive basis, make grants to eligible entities to conduct peer-reviewed scientific research to develop new technologies and processes to increase the efficiency, effectiveness, and accuracy of forensic testing procedures.

(4) COORDINATION WITH DIRECTOR.—In making grants under this subsection, the Director of the National Institute of Standards and Technology shall—

(A) coordinate with the Director; and

(B) consider the plan established under section 404.

(5) COORDINATION WITH THE NATIONAL SCIENCE FOUNDATION.—The Director of the National Institute of Standards and Technology shall consult and coordinate with the National Science Foundation to ensure—

(A) the integrity of the process for reviewing funding proposals and awarding grants under this subsection; and

(B) that the grant-making process is not subject to any undue bias or influence.

(b) REPORT.—

(1) IN GENERAL.—

(A) SUBMISSION.—The Director of the National Institute of Standards and Technology shall, on an annual basis, submit to the Board and the Director a report that describes—

(i) the application process for grants under this section;

(ii) each grant made under this section in the fiscal year before the report is submitted; and

(iii) as appropriate, the status and results of grants previously described in a report submitted under this subsection.

(B) PUBLICATION.—The Director shall publish the report submitted under subparagraph (A) on the website of the Office.

(2) EVALUATION.—The Board and the Director shall evaluate each report submitted under paragraph (1) and consider the information provided in each report in reviewing the research strategy and priorities established under section 401.

(c) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated—

(1) \$75,000,000 to the National Institute of Standards and Technology for each of fiscal years 2012 through 2016 for grants under subsection (a)(2); and

(2) \$15,000,000 to the National Institute of Standards and Technology for each of fiscal years 2012 through 2016 for grants under subsection (a)(3).

##### SEC. 403. OVERSIGHT AND REVIEW.

(a) REPORTS.—Not later than 3 years after the date on which the first grant is awarded under paragraph (2) or (3) of section 402(a), and not later than 2 years after the date on which the first report under this subsection is submitted, the Inspector General of the Department of Justice, in coordination with the Inspector General of the Department of Commerce, shall submit to Congress a report on the administration and effectiveness of the grant programs described in section 402(a).

(b) REQUIREMENTS.—Each report submitted under this section shall evaluate—

(1) whether any undue biases or influences affected the integrity of the solicitation, award, or administration of research grants; and

(2) whether there was any unnecessary duplication, waste, fraud, or abuse in the grant-making process.

#### SEC. 404. PUBLIC-PRIVATE COLLABORATION.

(a) RECOMMENDATION.—Not later than 2 years after the date of enactment of this Act, the Board shall submit to the Director a recommended plan for encouraging collaboration among universities, nonprofit research institutions, State and local forensic science laboratories, private forensic science laboratories, private forensic science laboratories, private corporations, and the Federal Government to develop and perform cost-effective and reliable research in the forensic sciences, consistent with the research priorities established under section 401(b)(2).

(b) REQUIREMENTS.—The plan recommended under subsection (a) shall include—

(1) incentives for nongovernmental entities to invest significant resources into conducting necessary research in the forensic sciences;

(2) procedures for ensuring the research described in paragraph (1) will be conducted with sufficient scientific rigor that the research can be relied upon by—

(A) the Committees in developing standards under this Act; and

(B) forensic science personnel; and

(3) clearly defined requirements for disclosure of the sources of funding by nongovernmental entities for forensic science research conducted in collaboration with governmental entities and safeguards to prevent conflicts of interest or undue bias or influence.

(c) ESTABLISHMENT AND IMPLEMENTATION.—After receiving the recommended plan of the Board under subsection (a), the Director shall establish, in accordance with section 101(e)(4), and implement a plan for encouraging collaboration among universities, nonprofit research institutions, State and local forensic science laboratories, private forensic science laboratories, private corporations, and the Federal Government to develop and perform cost-effective and reliable research in the forensic sciences, consistent with the research priorities established under section 401(b)(2).

(d) OVERSIGHT.—The Director, in consultation with the Board, shall periodically evaluate and, as necessary, update the plan established under subsection (c).

#### TITLE V—STANDARDS AND BEST PRACTICES

##### SEC. 501. DEVELOPMENT OF STANDARDS AND BEST PRACTICES.

(a) COMMITTEE RECOMMENDATIONS.—

(1) IN GENERAL.—Not later than 2 years after the date on which all members of a Committee have been appointed under section 103, the Committee shall develop and recommend to the Board uniform standards and best practices for each forensic science discipline addressed by the Committee, including—

(A) standard protocols;

(B) quality assurance standards; and

(C) standard terminology for use in reporting, including reports of identifications, analyses, or comparisons of forensic evidence that may be used during a criminal investigation or criminal court proceeding.

(2) REQUIREMENTS.—In developing the uniform standards and best practices under paragraph (1), a Committee shall—

(A) as appropriate, consult with qualified professional organizations; and

(B) develop uniform standards and best practices that are designed to ensure the

quality and scientific integrity of data, results, conclusions, analyses, and reports that are generated for use in the criminal justice system.

(b) BOARD RECOMMENDATIONS.—Not later than 180 days after the date on which a Committee submits recommended uniform standards and best practices under subsection (a), the Board shall, in accordance with section 103(f)(2)—

(1) consider the recommendations; and

(2) submit to the Director recommendations of uniform standards and best practices.

##### SEC. 502. ESTABLISHMENT AND DISSEMINATION OF STANDARDS AND BEST PRACTICES.

(a) IN GENERAL.—After the Board submits uniform standards or best practices for a forensic science discipline under section 501(b), the Director shall, in accordance with section 101(e)(4), establish and disseminate uniform standards and best practices for the forensic science discipline.

(b) PUBLICATION.—The Director shall publish the uniform standards and best practices established under subsection (a) on the website of the Office.

##### SEC. 503. REVIEW AND OVERSIGHT.

(a) REVIEW BY COMMITTEES.—

(1) IN GENERAL.—Not less frequently than once every 3 years, each Committee shall review and, as necessary, recommend to the Board updates to the uniform standards and best practices established under section 502 for each forensic science discipline within the responsibility of the Committee.

(2) CONSIDERATIONS.—In reviewing, and developing recommended updates to, the uniform standards and best practices under paragraph (1), a Committee shall consider—

(A) input from qualified professional organizations;

(B) research published after the date on which the uniform standards and best practices were established, including research conducted under title IV; and

(C) any changes to relevant law made after the date on which the uniform standards and best practices were established.

(b) BOARD RECOMMENDATIONS.—Not later than 180 days after the date on which a Committee submits recommended updates to the uniform standards and best practices under subsection (a), the Board shall, in accordance with section 103(f)(2)—

(1) consider the recommendations; and

(2) recommend to the Director any updates, as necessary, to the uniform standards and best practices established under section 502.

(c) UPDATES.—After the Director receives recommended updates, if any, under subsection (b), the Director shall, in accordance with section 101(e)(4), update and disseminate the uniform standards and best practices for each forensic science discipline as necessary.

(d) PROCEDURES.—The Director, in consultation with the Board, shall establish procedures to ensure that the process for developing, reviewing, and updating the uniform standards and best practices—

(1) is open and transparent to the public; and

(2) includes an opportunity for the public to comment on proposed standards with sufficient prior notice.

#### TITLE VI—ADDITIONAL RESPONSIBILITIES OF THE OFFICE OF FORENSIC SCIENCE AND THE FORENSIC SCIENCE BOARD

##### SEC. 601. FORENSIC SCIENCE TRAINING AND EDUCATION FOR JUDGES, ATTORNEYS, AND LAW ENFORCEMENT PERSONNEL.

(a) IN GENERAL.—

(1) RECOMMENDATION.—Not later than 2 years after the date of enactment of this Act, the Board shall submit to the Director a recommended plan for—

(A) supporting the education and training of judges, attorneys, and law enforcement personnel in the forensic sciences and fundamental scientific principles, which shall include education on the competent use and evaluation of forensic science evidence; and

(B) developing a standardized curriculum for education and training described in subparagraph (A).

(2) ESTABLISHMENT.—Upon receipt of the recommendation from the Board under paragraph (1), the Director shall establish, in accordance with section 101(e)(4), and implement a plan for—

(A) supporting the education and training of judges, attorneys, and law enforcement personnel in the forensic sciences and fundamental scientific principles, which shall include education on the competent use and evaluation of forensic science evidence; and

(B) developing a standardized curriculum for education and training described in subparagraph (A).

(3) OVERSIGHT.—The Director, in consultation with the Board, shall periodically evaluate and, as necessary, update the plan established under paragraph (2).

(b) GRANT PROGRAM.—

(1) IN GENERAL.—The Director of the National Institute of Justice may, in consultation with the Director—

(A) provide technical assistance directly or indirectly to judges, attorneys, and law enforcement personnel in the forensic sciences and fundamental scientific principles, including the competent use and evaluation of forensic science evidence; and

(B) make grants to States and units of local government and nonprofit organizations or institutions to provide training to judges, attorneys, and law enforcement personnel about the forensic sciences and fundamental scientific principles, including the competent use and evaluation of forensic science evidence.

(2) REQUIREMENT.—On and after the date on which the Director establishes the plan for supporting the education and training of judges, attorneys, and law enforcement personnel in the forensic sciences and fundamental scientific principles under subsection (a)(2), the Director of the National Institute of Justice shall administer the grant program described in paragraph (1) in accordance with the plan.

(3) AUTHORIZATION OF APPROPRIATIONS.—

(A) IN GENERAL.—There is authorized to be appropriated to the Director of the National Institute of Justice \$10,000,000 for each of fiscal years 2012 through 2016 for grants and technical assistance under this subsection.

(B) REQUIREMENT.—Not less than 75 percent of the funds appropriated pursuant to this paragraph shall be used for grants under this subsection.

##### SEC. 602. EDUCATIONAL PROGRAMS IN THE FORENSIC SCIENCES.

(a) RECOMMENDATIONS.—Not later than 3 years after the date of enactment of this Act, the Board shall submit to the Director—

(1) a recommended plan for supporting the development of undergraduate and graduate educational programs in the forensic science disciplines and related fields; and

(2) recommendations as to whether the development of standards or requirements for educational programs in the forensic science disciplines and related fields is appropriate.

(b) ESTABLISHMENT AND IMPLEMENTATION.—Upon receipt of the recommendation from the Board under subsection (a), the Director shall establish, in accordance with section 101(e)(4), and implement—

(1) a plan for supporting the development of undergraduate and graduate educational programs in the forensic science disciplines and related fields; and

(2) any standards or requirements for education programs in the forensic science disciplines and related fields determined by the Director to be appropriate.

(c) OVERSIGHT.—The Director, in consultation with the Board, shall—

(1) oversee the implementation of any standards or requirements established under subsection (b); and

(2) periodically evaluate and, as necessary, update the plan, standards, or requirements established under subsection (b).

**SEC. 603. MEDICAL-LEGAL DEATH EXAMINATION.**

(a) RECOMMENDATIONS.—Not later than 3 years after the date of enactment of this Act, the Board shall submit to the Director—

(1) a recommended plan to encourage the Federal Government and State and local governments to implement systems to ensure that qualified individuals perform medical-legal death examinations and to encourage qualified individuals to enter the field of medical-legal death examination; and

(2) recommendations on whether and how the requirements, standards and regulations established under this Act should apply to individuals who perform medical-legal death examinations.

(b) ESTABLISHMENT AND IMPLEMENTATION.—Upon receipt of the recommendations from the Board under subsection (a), the Director shall establish, in accordance with section 101(e)(4), and implement—

(1) a plan to encourage the Federal Government and State and local governments to implement systems to ensure that qualified individuals perform medical-legal death examinations and to encourage qualified individuals to enter the field of medical-legal death examination; and

(2) any specific or additional standards or requirements for individuals who perform medical-legal death examinations determined by the Director to be appropriate.

(c) OVERSIGHT.—The Director, in consultation with the Board, shall—

(1) oversee the implementation of any standards or requirements established under subsection (b)(2); and

(2) periodically evaluate and, as necessary, update the plan, standards, and requirements established under subsection (b).

**SEC. 604. INTER-GOVERNMENTAL COORDINATION.**

The Board and the Director shall regularly—

(1) coordinate with relevant Federal agencies, including the National Science Foundation, the Department of Defense, and the National Institutes of Health, as appropriate, to make efficient and appropriate use of research expertise and funding; and

(2) coordinate with the Department of Homeland Security and other relevant Federal agencies to determine ways in which the forensic science disciplines may assist in homeland security and emergency preparedness.

**SEC. 605. ANONYMOUS REPORTING.**

Not later than 3 years after the date of enactment of this Act, the Director shall develop a system for any individual to provide information relating to compliance, or lack of compliance, with the requirements, standards, and regulations established under this Act, which may include a hotline or website that has appropriate guarantees of anonymity and confidentiality and protections for whistleblowers.

**SEC. 606. INTEROPERABILITY OF DATABASES AND TECHNOLOGIES.**

(a) RECOMMENDATIONS.—Not later than 3 years after the date of enactment of this

Act, the Board shall submit to the Director a recommended plan to require interoperability among databases and technologies in each of the forensic science disciplines among all levels of Government, in all States, and with the private sector

(b) ESTABLISHMENT AND IMPLEMENTATION.—Upon receipt of the recommendation from the Board under subsection (a), the Director shall establish, in accordance with section 101(e)(4), and implement a plan to encourage interoperability among databases and technologies in each of the forensic science disciplines among all levels of Government, in all States, and with the private sector.

(c) OVERSIGHT.—The Director, in consultation with the Board, shall evaluate and, as necessary, update the plan established under subsection (b).

**SEC. 607. CODE OF ETHICS.**

(a) RECOMMENDATIONS.—

(1) IN GENERAL.—Not later than 3 years after the date of enactment of this Act, the Board shall submit to the Director a recommended code of ethics for the forensic science disciplines.

(2) REQUIREMENTS.—In developing a recommended code of ethics under paragraph (1), the Board shall—

(A) consult with relevant qualified professional organizations; and

(B) consider any recommendations relating to a code of ethics or code of professional responsibility developed by the Subcommittee on Forensic Science of the National Science and Technology Council.

(b) ESTABLISHMENT AND INCORPORATION.—Upon receipt of the recommendation from the Board under subsection (a), the Director shall—

(1) in accordance with section 101(e)(4), establish a code of ethics for the forensic science disciplines; and

(2) as appropriate, incorporate the code of ethics into the standards for accreditation of forensic science laboratories and certification of relevant personnel established under this Act.

(c) OVERSIGHT.—The Director, in consultation with the Board, shall periodically evaluate and, as necessary, update the code of ethics established under subsection (b).

By Mr. BINGAMAN (for himself and Mr. UDALL of New Mexico):

S. 134. A bill to authorize the Mescalero Apache Tribe to lease adjudicated water rights; to the Committee on Indian Affairs.

Mr. BINGAMAN. Mr. President, today I am introducing a bill entitled the Mescalero Apache Tribe Leasing Authorization Act to allow the Mescalero Apache Tribe in New Mexico to lease certain adjudicated water rights to other communities in need of water. My colleague Senator TOM UDALL is co-sponsoring this measure and I am looking forward to working with him on this issue.

As competition for limited water supplies increases and water supplies become more uncertain as a result of a changing climate, more flexibility in water management strategies is essential. This bill will enable the Mescalero Apache Tribe to lease certain unused water rights adjudicated to the Tribe to other communities in New Mexico that have significant water needs. Through this bill, communities including the Village of Ruidoso, the Village of Cloudcroft and the City of Alamogordo would be able to negotiate

with the Mescalero Apache Tribe to lease water through a process overseen by the New Mexico State Engineer. These mutually beneficial transactions will provide additional water to communities in times of need and will provide economic benefits to the Tribe. Allowing these types of transactions to occur will also help to strengthen the relationship between Indian and non-Indian communities that co-exist in many parts of New Mexico.

This bill will greatly benefit the Mescalero Apache Tribe and its surrounding neighbors and it is my hope that my colleagues will ultimately support its enactment.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 134

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Mescalero Apache Tribe Leasing Authorization Act”.

**SEC. 2. DEFINITIONS.**

In this Act:

(1) ADJUDICATED WATER RIGHTS.—The term “adjudicated water rights” means water rights that were adjudicated to the Tribe in *State v. Lewis*, 116 N.M. 194, 861 P. 2d 235 (1993).

(2) SECRETARY.—The term “Secretary” means the Secretary of the Interior.

(3) STATE.—The term “State” means the State of New Mexico.

(4) TRIBE.—The term “Tribe” means the Mescalero Apache Tribe.

**SEC. 3. AUTHORIZATION TO LEASE ADJUDICATED WATER RIGHTS.**

(a) IN GENERAL.—Notwithstanding any other provision of law, subject to subsections (b) and (c), the Tribe may lease, enter into a contract with respect to, or otherwise transfer to another party, for another purpose, or to another place of use in the State, all or any portion of the adjudicated water rights.

(b) STATE LAW.—In carrying out any action under subsection (a), the Tribe shall comply with all laws (including regulations) of the State with respect to the leasing or transfer of water rights.

(c) ALIENATION; MAXIMUM TERM.—

(1) ALIENATION.—The Tribe shall not permanently alienate any adjudicated water rights.

(2) MAXIMUM TERM.—The term of any water use lease, contract, or other agreement under this section (including a renewal of such an agreement) shall be not more than 99 years.

(d) LIABILITY.—The Secretary shall not be liable to the Tribe or any other person for any loss or other detriment resulting from a lease, contract, or other arrangement entered into pursuant to this section.

(e) PURCHASES OR GRANTS OF LAND FROM INDIANS.—The authorization provided by this Act for the leasing, contracting, and transfer of the adjudicated water rights shall be considered to satisfy any requirement for authorization of the action by treaty or convention imposed by section 2116 of the Revised Statutes (25 U.S.C. 177).

(f) PROHIBITION ON FORFEITURE.—The non-use of all or any portion of the adjudicated water rights by a lessee or contractor shall not result in the forfeiture, abandonment, relinquishment, or other loss of all or any portion of the adjudicated water rights.



By Mr. REID (for Mrs. FEINSTEIN (for herself, Mr. SCHUMER, Mr. KERRY, Mr. SANDERS, and Mr. FRANKEN)):

S. 136. A bill to establish requirements with respect to bisphenol A; to the Committee on Health, Education, Labor, and Pensions.

Mrs. FEINSTEIN. Mr. President, today I am introducing the “Ban Poisonous Additives Act of 2011,” a bill that would ban the chemical Bisphenol A, known as BPA, from all children’s feeding products. I thank my cosponsors Senators SCHUMER, KERRY, SANDERS, and FRANKEN for their support.

I vowed in the last Congress not to give up, and this is why I am introducing a bill that bans the use of BPA in baby bottles, sippy cups, infant formula, and baby food containers: the products used to provide food and beverages to the most vulnerable.

I have a deep, abiding concern regarding the presence of toxins and chemicals in the daily lives of Americans. BPA is an endocrine disruptor, which means that it interferes with the way hormones work in the body.

The evidence against BPA is mounting, especially its harmful effects on babies and children who are still developing.

I believe we have an obligation to safeguard babies and children from unnecessary exposure to this chemical that is linked to so many health problems.

Over 200 scientific studies show that even at low doses, BPA is linked to serious health problems including: Cancer, Diabetes, Heart Disease, Early puberty, Behavioral problems, Obesity.

This chemical is so widespread it has been found in 93 percent of Americans.

Babies and children are particularly at risk to the exposure of BPA because when they are developing, any small change can cause dramatic consequences.

It may not surprise you that the chemical industry continues to insist that BPA is not harmful. According to at least one study, there is reason to be skeptical about research coming from chemical companies.

In 2006, the journal *Environmental Research* published an article comparing the results of government funded studies on BPA to BPA studies funded by industry.

The difference is glaring.

Ninety-two percent of the government funded studies found that exposure to BPA caused health problems.

Overwhelmingly, government studies found harm. None of the industry funded studies identified health problems as a result of BPA exposure. Not one.

Clearly, serious questions are raised about the validity of the chemical industry’s studies. The results also illustrate why our nation’s regulatory agencies should not and cannot solely rely on chemical companies to conduct research on their own products.

The fact that so many adverse health effects are linked to this chemical, the

fact that this chemical is so present in our bodies, and the fact that babies are more at risk from its harmful effects leads me to believe that there is no good reason to expose our children to BPA.

This is why we are introducing legislation that protects all babies across the country, no matter which state they happen to live.

This bill will ensure that parents no longer have to wonder whether products they buy for their babies and children will harm them now or later in life.

This bill: Bans the use of BPA in baby bottles and sippy cups within 6 months; Bans the use of BPA in baby food within 1 year; Bans the use of BPA in infant formula within 18 months; Requires that the FDA issue a revised safety assessment on BPA by December 1, 2012; and Includes a savings clause to allow states to enact their own legislation.

This bill makes sense. It’s a reasonable step forward to protecting our children’s health.

Major manufacturers are already phasing out BPA from their food and beverage products for children.

Food and beverage products for children all have safe, alternative, BPA-free packaging available right now.

Major baby food and formula manufacturers offer BPA-free alternatives including: Nestle’s GOOD START, Similac powdered infant formula, Enfamil powdered infant formula, Nestle liquid formula, and Similac liquid formula.

At least 14 manufacturers of baby bottles either offer some BPA-free alternatives or have completely banned its use. They are: Avent, Born Free, Disney First Years, Dr. Brown’s, Evenflo, Gerber, Green to Grow, Klean Kanteen, Medala, Munchkin, Nuby Sippy Cups, Playtex, Think Baby, and Weil Baby.

Many major retailers have taken action and sell BPA-free baby bottles and cups: CVS, Kmart, Kroger, Rite Aid, Safeway, Sears, Toys “R” Us and Babies “R” Us, Wal-Mart, Wegmans, and Whole Foods.

Eight states have already enacted laws banning BPA from children’s products: Connecticut, Maryland, Massachusetts, Minnesota, New York, Vermont, Washington, and Wisconsin.

Other countries have already moved forward to restrict this chemical. Canada declared BPA a toxic substance, and banned it from all baby bottles and sippy cups. Denmark and France have national bans on BPA in certain children’s products.

The European Commission banned BPA from baby bottles, protecting consumers in the European Union.

Clearly, the problem has been recognized and steps are being taken by countries, states, companies, and retailers to remove this harmful chemical.

Let me briefly explain what BPA is. BPA is a synthetic estrogen. As I stated previously, it is a hormone

disruptor and interferes with how hormones work in the body. This chemical is used in thousands of consumer products to harden plastics, line tin cans, and make CDs. It is even used to coat airline tickets, grocery store receipts, and to make dental sealants.

It is one of the most pervasive chemicals in modern life. And, as with so many other chemicals in consumer products, BPA has been added to our products without us knowing whether it was safe or not.

Alternatives exist because there is growing concern about the harmful effects of BPA. The chemical industry continues to try to quiet criticism by reassuring consumers that BPA is safe. I don’t buy it.

As I previously stated, over 200 scientific studies show that exposure to BPA, particularly during prenatal development and early infancy, are linked to a wide range of adverse health effects in later life.

Because of their smaller size and stage of development, babies and children are particularly at risk from the harmful health effects of BPA.

These serious effects include: increased risk of breast and prostate cancer; genital abnormalities in males; infertility in men; sexual dysfunction; early puberty in girls; metabolic disorders such as insulin resistant Type 2 diabetes and obesity; and behavioral problems such as attention deficit hyperactivity disorder, ADHD.

It continues to astound me how, even with this extensive list of potentially serious health effects, we continue to allow this chemical to be put in our products.

Moreover, additional science continues to be released, confirming the potential for BPA to cause severe problems:

Recently, the University of California, San Francisco published a small scale study finding that human exposure to BPA may compromise the quality of a woman’s eggs retrieved for in vitro fertilization, IVF.

A study of over 200 Chinese factory workers found evidence that high levels of BPA exposure to adversely affect sperm quality in humans.

Researchers at the University of Nebraska Medical Center recently published a study concluding that BPA has biochemical properties similar to human carcinogens.

I want to underscore the importance and the urgency of withdrawing BPA from these children’s products.

Well-known and respected organizations and Federal agencies also have expressed concern about BPA:

The President’s Cancer Panel Annual Report released in April 2010 concluded that there is growing evidence of a link between BPA and several diseases, such as cancer.

The Panel recommended using BPA-free containers to limit chemical exposure.

A 2008 study by the American Medical Association suggested links between exposure to BPA and diabetes,

heart disease and liver problems in humans.

The National Health and Nutrition Examination Survey (NHANES) linked BPA in high concentrations to cardiovascular disease, and Type II diabetes.

Given these conclusions, it is critical we act now to protect the most vulnerable, our infants and toddlers from this chemical.

Children receive no benefit by having a baby bottle or cup coated with BPA.

In the last Congress, I vowed not to give up in my fight to ban BPA. After working hard for many months to reach an agreement with Senator ENZI on a more limited ban, I was sincerely disappointed that this agreement was blocked by the chemical industry from being included in the food safety bill.

I want to reiterate the importance of this legislation. I strongly believe we need to take action on this.

I don't think we can take a chance with our children's health.

BPA has been linked to developmental disorders, cancer, cardiovascular complications, and diabetes by credible scientific bodies. The evidence that BPA is unacceptably dangerous is mounting. Yet it remains in thousands of household and food products.

This is a reasonable, common sense bill.

Now, the time comes again for this body to take a stand and move forward to protect the health of America's children.

I urge my colleagues to join me in supporting my legislation, the Ban Poisonous Additives Act of 2011.

I look forward to working with my colleagues on this important issue.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 136

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Ban Poisonous Additives Act of 2011".

#### SEC. 2. REQUIREMENTS WITH RESPECT TO BISPHENOL A.

(a) BAN ON USE OF BISPHENOL A IN FOOD AND BEVERAGE CONTAINERS FOR CHILDREN.—

(1) BABY FOOD; UNFILLED BABY BOTTLES AND CUPS.—Section 402 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 342) is amended by adding at the end the following:

"(j)(1) If it is a food intended for children 3 years of age or younger, the container of which (including the lining of such container) is composed, in whole or in part, of bisphenol A.

"(2) If it is a baby bottle or cup that is composed, in whole or in part, of bisphenol A."

(2) DEFINITION.—Section 201 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321) is amended by adding at the end the following:

"(FF) BABY BOTTLE OR CUP.—For purposes of section 402(j), the term 'baby bottle or cup' means a bottle or cup that—

"(1) is intended to aid in the feeding or providing of drink to children 3 years of age or younger; and

"(2) does not contain a food when such bottle or cup is sold or distributed at retail."

(3) EFFECTIVE DATES.—

(A) BABY FOOD.—Section 402(j)(1) of the Federal Food, Drug, and Cosmetic Act, as added by paragraph (1), shall take effect 1 year after the date of enactment of this Act.

(B) UNFILLED BABY BOTTLES AND CUPS.—Section 402(j)(2) of the Federal Food, Drug, and Cosmetic Act, as added by paragraph (1), shall take effect 180 days after the date of enactment of this Act.

(b) BAN ON USE OF BISPHENOL A IN INFANT FORMULA CONTAINERS.—

(1) IN GENERAL.—Section 412(a) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 350a(a)) is amended—

(A) in paragraph (2), by striking ", or" and inserting ",";

(B) in paragraph (3), by striking the period at the end and inserting ", or"; and

(C) by adding at the end the following:

"(4) the container of such infant formula (including the lining of such container and, in the case of infant formula powder, excluding packaging on the outside of the container that does not come into contact with the infant formula powder) is composed, in whole or in part, of bisphenol A."

(2) EFFECTIVE DATE.—The amendments made by paragraph (1) shall take effect 18 months after the date of enactment of this Act.

(c) REGULATION OF OTHER CONTAINERS COMPOSED OF BISPHENOL A.—

(1) SAFETY ASSESSMENT OF PRODUCTS COMPOSED OF BPA.—Not later than December 1, 2012, the Secretary of Health and Human Services (referred to in this Act as the "Secretary") shall issue a revised safety assessment for food containers composed, in whole or in part, of bisphenol A, taking into consideration different types of such food containers and the use of such food containers with respect to different foods, as appropriate.

(2) SAFETY STANDARD.—Through the safety assessment described in paragraph (1), and taking into consideration the requirements of section 409 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 348) and section 170.3(i) of title 21, Code of Federal Regulations, the Secretary shall determine whether there is a reasonable certainty that no harm will result from aggregate exposure to bisphenol A through food containers or other items composed, in whole or in part, of bisphenol A, taking into consideration potential adverse effects from low dose exposure, and the effects of exposure on vulnerable populations, including pregnant women, infants, children, the elderly, and populations with high exposure to bisphenol A.

(3) APPLICATION OF SAFETY STANDARD TO ALTERNATIVES.—The Secretary shall use the safety standard described under paragraph (2) to evaluate the proposed uses of alternatives to bisphenol A.

(4) SAVINGS PROVISION.—Nothing in this section shall affect the right of a State, political subdivision of a State, or Indian Tribe to adopt or enforce any regulation, requirement, liability, or standard of performance that is more stringent than a regulation, requirement, liability, or standard of performance under this section or that—

(1) applies to a product category not described in this section; or

(2) requires the provision of a warning of risk, illness, or injury associated with the use of food containers composed, in whole or in part, of bisphenol A.

(e) DEFINITION.—For purposes of this section, the term "container" includes the lining of a container.

By Mr. REID (for Mrs. FEINSTEIN (for herself, Mr. INOUE, Mrs. BOXER, Mr. SANDERS, Mr. WHITEHOUSE, Mr. CASEY, and Mr. LAUTENBERG)):

S. 137. A bill to amend the Public Health Service Act to provide protections for consumers against excessive, unjustified, or unfairly discriminatory increases in premium rates; to the Committee on Health, Education, Labor, and Pensions.

Mrs. FEINSTEIN. Mr. President, in passing the Patient Protection and Affordable Care Act, PPACA, on March 23, 2010, the 111th Congress made great strides towards protecting consumers from egregious health insurance company practices. However, despite the passage of this historic legislation, the urgent need to protect Americans from unfair health insurance rate increases remains.

Health insurance premiums have been spiraling upwards nationally at out-of-control rates—10, 20, 30 percent every year—all while big national insurance companies enjoy increasing profits.

Without further legislative action, health insurance companies will continue to do what they have done for far too long: put their profits ahead of people.

Over the past decade, family health insurance premiums have more than doubled, growing a shocking 130 percent, while workers' hourly earnings rose by only 38 percent, and inflation rose just 29 percent.

From 2000–2008, individuals in the employer-sponsored market saw premiums increase an average of 90 percent.

The cost of health insurance continues to outpace income and inflation for other goods and services, and these rapidly escalating costs strain businesses, families, and individuals.

In 2009, 57 percent of people attempting to purchase insurance in the individual market found it difficult or impossible to afford coverage.

All the while, in the third quarter of 2010, the six-largest investor-owned health insurance companies (Aetna, Coventry Health, United Health, Humana, WellPoint, and Cigna) saw a 22 percent increase in combined net income, putting them on pace to break their own profit record.

The problem is that the health reform law did not go far enough to control these unfair premium increases, it leaves a loophole.

Simply stated, there is no federal authority to do anything about these rate increases, even if they are unfair.

We need to close this loophole.

This is why today I am introducing, with Senators BOXER and INOUE, the Health Insurance Rate Review Act of 2011. Representative SCHAKOWSKY is introducing companion legislation in the House of Representatives.

This legislation creates a federal fallback rate review process, and grants regulatory authority to block or modify rate increases that are excessive, unjustified, or unfairly discriminatory.

This legislation is a simple, common-sense solution: for States where the insurance commissioner does not have or

use authority to block unfair rate increases, the Secretary of Health and Human Services can do so.

On March 4, 2010, I introduced similar legislation to what I am introducing today. I worked with the Administration and the Finance Committee in putting it together, and with Representative SCHAKOWSKY.

President Obama included it in his health reform proposal, but unfortunately, it did not meet the criteria for reconciliation.

The time has come now to take action.

This legislation is necessary in order to protect consumers from the egregious abuses of insurance companies, especially before the majority of the consumer protections included in health reform are fully in place in 2014.

It is disturbing that year after year, health insurance premiums spiral out of control, all while insurance companies enjoy increasing profits.

Insurance premiums make up a higher percentage of household income than ever before, meaning that more and more families have to choose between health care and daily living expenses, saving for retirement, and education.

This is unacceptable, and more must be done to protect consumers.

Everyone by now is familiar with the increases that Anthem/Blue Cross, a subsidiary of WellPoint, was set to impose—as much as 39 percent—for 800,000 Californians.

It turns out that Anthem Blue Cross used flawed data to calculate health insurance premium increases to hundreds of thousands of policyholders in California, resulting in increases that were larger than necessary.

According to an independent analysis, the 25 percent average increase proposed by Anthem should have only been 15.2 percent.

What is most disturbing is that Anthem's case is not an aberration. Far from it.

This is not a problem unique to California. In the spring of 2010, health insurance companies pursued rate hikes in a number of States: as much as 60 percent in Illinois; 72 percent in Georgia; 50 percent in New Jersey; and 40 percent in Virginia, to name a few.

The White House reports that premium rates have been rising across the Nation, with substantial geographic variation.

For employer-sponsored family coverage, premiums increased 88 percent in Michigan over the past decade compared to a 145 percent increase in Alaska.

A report by the Center for American Progress Action Fund found that this summer, WellPoint pursued double digit increases in the individual market for 10 other States: Colorado, Connecticut, Georgia, Indiana, Maine, Nevada, New Hampshire, New York, Virginia, and Wisconsin.

The reporting requirements in the health reform law will improve the in-

formation available, but right now, comprehensive data on the premium increases insurers are imposing does not exist.

In 2009, despite the worst economic downturn since the Great Depression, the five largest for-profit health insurance companies, WellPoint Inc., United Health Group Inc., Aetna Inc., Humana Inc., and Cigna Corp., set a full-year profit record. These companies saw a 56 percent increase in profits from 2008 to 2009, from \$7.7 billion to \$12.1 billion.

Furthermore, when many Americans were experiencing double-digit premium increases in 2009, high unemployment, and an average wage growth of only 2 percent, insurance CEOs gave themselves a 167 percent raise.

CEO pay for the 10 largest for-profit health insurance companies was \$228.1 million in 2009, up from \$85.5 million in 2008.

This doesn't even include the tens of millions more dollars in exercised stock options, and means that these CEOs raked in nearly \$1 billion in total compensation.

In the first three months of 2010, the five largest for-profit health insurance companies, WellPoint Inc., United Health Group Inc., Aetna Inc., Humana Inc., and Cigna Corp., recorded a combined net income of \$3.2 billion—a 31 percent jump over the same period in 2009.

Meanwhile, large insurance companies now insure 2.8 million fewer Americans than they did on December 31, 2008. An estimated 59.1 million Americans were uninsured in the first quarter of 2010.

The California HealthCare Foundation reported that 6.8 million California residents lack health coverage.

That is 20 percent of the State's residents who are not able to afford health insurance.

All the while, insurance companies have been reducing the amount they spend on actual health care. As profits and CEO pay increased, the amount of money insurers spent on medical care went down.

The top six insurers drove down the portion of premiums spent on medical care. For example, the share of premium dollars that CIGNA spent on medical care decreased 6.4 percent in the second quarter of 2010 compared to the prior year, and Humana's decreased 7.4 percent.

Now, because of legislation in the health reform law, insurance companies have to spend 80–85 percent of premiums on medical care and quality improvement services, not on profits.

This will go a long way to keeping insurance company greed in check, but we need to go farther.

Clearly without additional legislative requirements, health insurance companies are not going to change.

The Department of Health and Human Services recently published proposed rules defining the rate review process. These regulations are a first step towards protecting consumers and keeping insurers in check.

But they fall short of creating a strong rate review system, and rely too heavily on the notion that public disclosure of rates will cause insurance companies to change their behavior.

The regulations do not grant explicit regulatory authority—either State or Federal—to deny, modify, or block rate increases that are excessive, unjustified, or unfairly discriminatory.

The health reform law requires insurance companies to provide justification for unreasonable premium increases to the Secretary of Health and Human Services and post them on their Web sites.

The regulations subject rate increases of 10 percent or greater to additional scrutiny and review, but the State-specific thresholds in 2012 could sanction increases higher than 10 percent.

Transparency and increased scrutiny are steps forward, but there is still this loophole where there is no authority to block or modify even excessive, unjustified, and unfairly discriminatory increases.

This is why I am again introducing my rate review legislation, which will grant this authority.

I believe there needs to be a Federal fallback in States that lack the legal authority, capacity, or resources to conduct strong rate review.

This legislation gives the Secretary of Health and Human Services the authority to block premium or other rate increases that are excessive, unjustified, or unfairly discriminatory.

In some States, insurance commissioners already have that authority, and that is fine. The bill doesn't touch them.

In Maine, for example, the State superintendent of insurance was able to block Anthem's proposed 18.5-percent increase last year. She approved only a 10.9-percent increase.

In at least 17 States, including my own—California—companies are not required to receive prior approval for rate increases before they take effect.

In these States, the Secretary would review potentially excessive, unjustified, or unfairly discriminatory rate increases and take corrective action. This could include blocking an increase, providing rebates to consumers, or adjusting an increase.

Under this proposal, the Secretary would work with the National Association of Insurance Commissioners to implement the rate review process. States already doing this work will continue to do so unabated and unfettered. The legislation would not affect them.

However, for the consumers in the other 17 States with no authority, such as California, protection from unfair rate hikes would be provided.

Given the variation in State rate review authority and process, I think this proposal strikes the right balance.

There is no need for involvement in States with insurance commissioners that are able to protect consumers. So the legislation I am introducing simply

provides Federal protection for consumers who are currently at the mercy of large health insurance companies whose top priority is their bottom line.

This legislation is particularly important given a recent report by the Kaiser Family Foundation showing that many States lack the capacity and resources to conduct adequate rate review, regardless of the State's statutory authority to review rates.

I strongly believe that we need to take action on this. The health reform law made great strides towards holding companies and shareholders accountable for providing health care at a reasonable rate.

However, there is this loophole.

So this bill becomes very necessary. Premiums are increasing every day, and people in many States have no recourse, and no way to know if a particular increase is unfair.

There needs to be a Federal fallback in States that lack the legal authority, capacity, or resources to conduct strong rate review. In States where the Insurance Commissioner is not equipped to review, modify, and block unreasonable rates, my legislation would grant the Secretary of Health and Human Services the authority to do so.

I urge my colleagues to join me in supporting this legislation, the Health Insurance Rate Review Act of 2011, which will close this loophole.

I look forward to working with my colleagues on this important issue.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 137

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Health Insurance Rate Review Act".

#### SEC. 2. PROTECTION OF CONSUMERS FROM EXCESSIVE, UNJUSTIFIED, OR UNFAIRLY DISCRIMINATORY RATES.

(a) PROTECTION FROM EXCESSIVE, UNJUSTIFIED, OR UNFAIRLY DISCRIMINATORY RATES.—The first section 2794 of the Public Health Service Act (42 U.S.C. 300gg-94), as added by section 1003 of the Patient Protection and Affordable Care Act (Public Law 111-148), is amended by adding at the end the following new subsection:

“(e) PROTECTION FROM EXCESSIVE, UNJUSTIFIED, OR UNFAIRLY DISCRIMINATORY RATES.—

“(1) AUTHORITY OF STATES.—Nothing in this section shall be construed to prohibit a State from imposing requirements (including requirements relating to rate review standards and procedures and information reporting) on health insurance issuers with respect to rates that are in addition to the requirements of this section and are more protective of consumers than such requirements.

“(2) CONSULTATION IN RATE REVIEW PROCESS.—In carrying out this section, the Secretary shall consult with the National Association of Insurance Commissioners and consumer groups.

“(3) DETERMINATION OF WHO CONDUCTS REVIEWS FOR EACH STATE.—The Secretary shall

determine, after the date of enactment of this section and periodically thereafter, the following:

“(A) In which States the State insurance commissioner or relevant State regulator shall undertake the corrective actions under paragraph (4), as a condition of the State receiving the grant in subsection (c), based on the Secretary's determination that the State is adequately prepared to undertake and is adequately undertaking such actions.

“(B) In which States the Secretary shall undertake the corrective actions under paragraph (4), in cooperation with the relevant State insurance commissioner or State regulator, based on the Secretary's determination that the State is not adequately prepared to undertake or is not adequately undertaking such actions.

“(4) CORRECTIVE ACTION FOR EXCESSIVE, UNJUSTIFIED, OR UNFAIRLY DISCRIMINATORY RATES.—In accordance with the process established under this section, the Secretary or the relevant State insurance commissioner or State regulator shall take corrective actions to ensure that any excessive, unjustified, or unfairly discriminatory rates are corrected prior to implementation, or as soon as possible thereafter, through mechanisms such as—

“(A) denying rates;

“(B) modifying rates; or

“(C) requiring rebates to consumers.”.

(b) CLARIFICATION OF REGULATORY AUTHORITY.—Such section is further amended—

(1) in subsection (a)—

(A) in the heading, by striking “PREMIUM” and inserting “RATE”;

(B) in paragraph (1), by striking “unreasonable increases in premiums” and inserting “potentially excessive, unjustified, or unfairly discriminatory rates, including premiums,”; and

(C) in paragraph (2)—

(i) by striking “an unreasonable premium increase” and inserting “a potentially excessive, unjustified, or unfairly discriminatory rate”;

(ii) by striking “the increase” and inserting “the rate”;

(iii) by striking “such increases” and inserting “such rates”;

(2) in subsection (b)—

(A) by striking “premium increases” each place it appears and inserting “rates”; and

(B) in paragraph (2)(B), by striking “premium” and inserting “rate”; and

(3) in subsection (c)(1)—

(A) in the heading, by striking “PREMIUM” and inserting “RATE”;

(B) by inserting “that satisfy the condition under subsection (e)(3)(A)” after “award grants to States”; and

(C) in subparagraph (A), by striking “premium increases” and inserting “rates”.

(c) CONFORMING AMENDMENT.—Title XXVII of the Public Health Service Act (42 U.S.C. 300gg et seq.) is amended—

(1) in section 2723 (42 U.S.C. 300gg-22), as redesignated by the Patient Protection and Affordable Care Act—

(A) in subsection (a)—

(i) in paragraph (1), by inserting “and section 2794” after “this part”; and

(ii) in paragraph (2), by inserting “or section 2794” after “this part”; and

(B) in subsection (b)—

(i) in paragraph (1), by inserting “and section 2794” after “this part”; and

(ii) in paragraph (2)—

(I) in subparagraph (A), by inserting “or section 2794 that is” after “this part”; and

(II) in subparagraph (C)(ii), by inserting “or section 2794” after “this part”; and

(2) in section 2761 (42 U.S.C. 300gg-61)—

(A) in subsection (a)—

(i) in paragraph (1), by inserting “and section 2794” after “this part”; and

(ii) in paragraph (2)—

(I) by inserting “or section 2794” after “set forth in this part”; and

(II) by inserting “and section 2794” after “the requirements of this part”; and

(B) in subsection (b)—

(i) by inserting “and section 2794” after “this part”; and

(ii) by inserting “and section 2794” after “part A”.

(d) APPLICABILITY TO GRANDFATHERED PLANS.—Section 1251(a)(4)(A) of the Patient Protection and Affordable Care Act (Public Law 111-148), as added by section 2301 of the Health Care and Education Reconciliation Act of 2010 (Public Law 111-152), is amended by adding at the end the following:

“(v) Section 2794 (relating to reasonableness of rates with respect to health insurance coverage).”.

(e) EFFECTIVE DATE.—The amendments made by this section shall take effect on the date of enactment of this Act.

By Mr. REID (for Mrs. FEINSTEIN):

S. 138. A bill to provide for conservation, enhanced recreation opportunities, and development of renewable energy in the California Desert Conservation Area, and for other purposes; to the Committee on Energy and Natural Resources.

Mrs. FEINSTEIN. Mr. President, I rise today to introduce the California Desert Protection Act of 2011.

This bill is an effort to plan for the competing uses—such as conservation, off-highway vehicle recreation, development, and military training—that are now being proposed for the desert. These uses of our public lands can co-exist through comprehensive planning, but in the absence of such planning, it's quite possible that none will thrive.

During the previous Congress I introduced similar legislation to help preserve pristine desert lands that were donated to the Federal Government for permanent conservation a decade ago, but that more recently have come under threat of development because of a flawed bureaucratic process that failed to protect them.

Over the last year the bill was endorsed by more than 100 organizations and agencies, and it had a hearing in the Energy and Natural Resources Committee.

I am grateful to Senator BINGAMAN and his staff for working with me to prepare the bill for further action in the Energy and Natural Resources Committee. I believe we can revise the bill to address further the needs of renewable energy developers, the Department of Defense, off-road recreation enthusiasts, local government and others, and I look forward to continuing that effort in the new Congress.

I strongly believe that conservation, renewable energy development and recreation can and must co-exist in the California Desert—and this legislation strikes a carefully conceived balance between these sometimes competing concerns.

The key provisions of this bill would designate two new national monuments—the Mojave Trails and the Sand

to Snow National Monuments; add adjacent lands to the Joshua Tree and Death Valley National Parks and the Mojave National Preserve; designate 5 new BLM wilderness areas and protect 4 important waterways—including the Amargosa River and Deep Creek—as Wild and Scenic Rivers; and enhance recreational opportunities in the desert and ensure that the training needs of the military are met.

This bill is the product of painstaking discussions with key stakeholders including environmental groups, local and State government, off-highway recreation enthusiasts, hunters, cattle ranchers, mining interests, the Department of Defense, wind and solar energy companies, California's public utility companies, and many others. I am grateful for all of their efforts.

The previous version of my bill proposed specific improvements to the Department of the Interior's rules governing the development of renewable energy on public lands. I'm pleased that the Department has instituted a number of new policies over the last year which have greatly improved the process. Consequently, the current bill focuses primarily on conservation, recreation and other important uses of the California desert.

However, I intend to work with my colleagues from the West on separate legislation to further expedite the development of wind and solar energy in California and the West.

The California Desert Protection Act, which was enacted in 1994, was a sweeping piece of legislation aimed at conserving some of the most beautiful and ecologically significant lands in my home State.

The law created Death Valley National Park, Joshua Tree National Park and the Mojave National Preserve, as well as 69 desert wilderness areas managed by the Bureau of Land Management, BLM.

Collectively, it protected more than 7 million acres of desert lands, making it the largest land conservation bill in the lower 48 States in U.S. history.

To this day, it remains one of my proudest accomplishments since joining this body.

Much has changed since the passage of the California Desert Protection Act. Many of the impediments that prevented conservation of other pristine desert lands in the area no longer exist.

For example the Department of Defense concerns with designating some wilderness areas near Fort Irwin have been resolved; many mining areas inside national parks and potential wilderness have closed; grazing allotments on both BLM and National Park Service land have been retired by willing sellers; hundreds of thousands of acres of privately owned land have been donated to or acquired by the Federal Government.

Yet even as these issues were resolved, new challenges have emerged.

There are now competing demands over how best to manage hundreds of thousands of acres of public lands in the desert.

Some believe the lands should be used for large-scale solar and wind facilities and transmission lines. Others would like to conserve critical habitat for threatened and endangered species.

Some would like more acreage available for grazing or for off-road recreation.

Finally, some would like to see additional lands made available for military training and base expansion.

Two years ago, I learned that BLM had accepted applications to build vast solar and wind energy projects on former railroad lands previously owned by the Catellus Corporation. These lands had been donated to the Federal Government or acquired with taxpayer funds with the explicit goal of conservation.

Approximately \$45 million of private donations—including a \$5 million land discount from Catellus Corporation—and \$18 million in Federal Land and Water Conservation grants was spent to purchase these lands, with the intent of conserving them in perpetuity.

As the sponsor of the legislative provisions that helped secure the deal to acquire the roughly 600,000 acres of former private land, I found the BLM's actions unacceptable.

We have an obligation to honor our commitment to conserve these lands—and I believe we can still accomplish that goal while also fulfilling California's commitment to develop a clean energy portfolio.

I believe the development of these new cleaner energy sources is vital to addressing climate change, yet we must be careful about selecting where these facilities are located.

I plan to work with senators from Western States to improve the renewable energy permitting process to allow quicker development of renewable energy projects on private and disturbed public land. This effort likely requires separate legislation and improved regulation.

I applaud the Department of the Interior's efforts over the last year to address this problem, especially Interior's proposed designation of 24 solar energy zones encompassing 677,000 acres of public land in 6 Western States. By designating these zones in appropriate areas and streamlining the permitting process for projects proposed there, the Department has helped ensure that sensitive areas of the desert can be preserved.

As BLM finalizes the creation of these Zones and its new Solar Energy Program, I will push BLM to create a development zone in the West Mojave, conduct sufficient study of zones to ensure projects in these locations can be permitted quickly, and establish the program's rules as expeditiously as possible.

I will continue to suggest ways that the U.S. Fish and Wildlife Service can

improve permitting on private lands, the Defense Department can welcome development on its bases, and the Forest Service can utilize its own lands. These matters may require legislation.

There is enough land in California's desert to protect the most precious areas of the Mojave and aggressively develop renewable resources where permitting will be rapid. California must develop 15,000 to 20,000 megawatts of renewable power to meet its climate goals by 2020, and the current permitting process will need to vastly improve for the state to meet this goal.

First, this bill will ensure that hundreds of thousands of acres of land donated to the federal government for conservation will be protected by creating the Mojave Trails National Monument. This new monument would cover approximately 941,000 acres of federal land, which includes approximately 266,000 acres of the former Catellus-owned railroad lands along historic Route 66. I visited the area and was amazed by the beauty of the massive valleys, pristine dry lakes, and rugged mountains.

In addition to its iconic sweeping desert vistas and majestic mountain ranges, this area of the Eastern Mojave also contains critical wildlife corridors linking Joshua Tree National Park and the Mojave National Preserve. It also encompasses hundreds of thousands of acres designated as areas of critical environmental concern, critical habitat for the threatened desert tortoise, and ancient lava bed flows and craters. It is surrounded by more than a dozen BLM wilderness areas.

The BLM would be given the authority to both conserve the monument lands, and also to maintain existing recreational uses, including hunting, vehicular travel on open roads and trails, camping, horseback riding and rockhounding.

The bill also creates an advisory committee to help develop and oversee the implementation of the monument management plan. It would be comprised of representatives from local, state and federal government, conservation and recreation groups, and local Native American tribes.

Before I go on to the other conservation provisions in the bill, I would like to address one important issue—and that is what should be done about some of the proposed renewable energy development projects proposed for lands included in this monument.

Although it is true that the monument will prevent further consideration of some applications to develop solar and wind energy projects on former Catellus lands or adjoining lands in the monument, it is important to note that of the proposals in question, not a single one has been granted a permit, nor is a single one under review at the California Energy Commission or under formal NEPA, National Environmental Policy Act, review at BLM.

To ensure that creation of the monument does not unnecessarily harm the

firms that worked in good faith and invested substantial time and resources to produce renewable energy in California, the legislation will offer these companies an opportunity to relocate their projects to federal renewable energy zones currently being developed by the Department of the Interior.

Additionally, the monument would not prevent the construction or expansion of necessary transmission lines critical to linking renewable energy generation facilities with the electricity grid.

Second, the bill would establish the "Sand to Snow National Monument," encompassing 134,000 acres of land from the desert floor in the Coachella Valley up to the top of Mount San Geronio, the highest peak in Southern California.

The boundaries of this second, smaller new monument would include two Areas of Critical Environmental Concern: Big Morongo Canyon and White-water Canyon, the BLM and U.S. Forest Service San Geronio Wilderness, the Wildlands Conservancy's Pipe's Canyon and Mission Creek Preserves, and additional public and private conservation lands, including two wildlife movement corridor areas connecting the Peninsular Ranges with the Transverse Ranges.

This area is truly remarkable, and would arguably be the most environmentally diverse national monument in the country. It serves as the intersection of three converging ecological systems—the Mojave Desert, the Colorado Desert, and the San Bernardino mountains—and is one of the most important wildlife corridors in Southern California.

This monument designation would protect 23.6 miles of the Pacific Crest Trail and the habitat for approximately 240 species of migrating and breeding birds, the second highest density of nesting birds in the United States. It also serves as a home and a crucial migration corridor for animals traveling between Joshua Tree National Park, the oasis at Big Morongo, and the higher elevations of the San Bernardino Mountains.

I'd like to make one additional point, and that is that despite its ecological significance, this area is not particularly well-known—largely because it is managed by a number of distinct entities, including the BLM, Forest Service, National Park Service and private preserves and conservation agencies. So, the monument designation would help to attract more attention to one of California's natural gems.

Third, the bill establishes new wilderness areas and allows more appropriate use of lands currently designated as Wilderness Study Areas.

The 1994 California Desert Protection Act extended wilderness protection to many areas in the desert, yet several areas near Fort Irwin were designated as wilderness study areas in order to allow the base to expand.

Now that Fort Irwin's expansion is complete, it is time to consider these

areas for permanent wilderness designation.

The bill protects approximately 250,000 acres of BLM land as wilderness in five areas. These areas contain some of the most pristine and rugged landscapes in the California desert.

Beyond Fort Irwin, the bill also expands wilderness areas in Death Valley National Park, 90,000 acres, and the San Bernardino National Forest, 4,300 acres, inside the Sand to Snow National Monument created by this bill.

The bill also releases 126,000 acres of land from their existing wilderness study area designation in response to requests from local government and recreation users. This will allow the land to be made available for other purposes, including recreational off-highway vehicle use on designated routes.

Fourth, this bill would create the Vinagre Wash Special Management Area.

The agreed-upon designation for this area in Imperial County, near the Colorado River, was reached after careful discussion with key stakeholders.

Although the land possesses some wilderness characteristics, there are also competing interests. The Navy Seals currently use some of this area for occasional training. Additionally, many local residents enjoy touring the rolling hills in the area by jeep.

Through the combined efforts of conservation groups, local residents and county government, and the Department of Defense, a compromise conservation designation was developed.

For the land known as the Vinagre Wash, the bill will create a "special management area" covering 76,000 acres, including 12,000 acres of former railroad lands donated to the federal government.

Of these, 49,000 acres are designated as potential wilderness and only become permanent wilderness if and when the Department of Defense determines these lands are no longer needed for Navy Seal training.

This designation will permit the area to continue to be accessed by vehicles and be used for camping, hiking, mountain biking, sightseeing, and off-highway vehicle use on designated routes and protect tribal cultural assets in the area.

Fifth, the bill adds to or designates four new Wild and Scenic Rivers, totaling 76 miles in length. These designations will ensure the rivers remain clean and free-flowing and that their immediate environments are preserved. These beautiful waterways are Deep Creek and the Whitewater River in and near the San Bernardino National Forest, as well as the Amargosa River and Surprise Canyon Creek near Death Valley National Park.

Sixth, the bill adds approximately 74,000 acres of adjacent lands to the three National Parks established by the 1994 California Desert Protection Act: 41,000 acres in Death Valley National Park. This includes former min-

ing areas where the claims have been retired and a narrow strip of BLM land between National Park and Defense Department boundaries that has made BLM management difficult; almost 30,000 acres in the Mojave National Preserve. This land was not included in the original Monument because of the former Viceroy gold mine. However, the mining operations ceased several years ago and the reclamation process is nearly complete. Additionally, a 2007 analysis by the Interior Department recommended that this area would be suitable to add to the Preserve; 2,900 acres in Joshua Tree National Park. This includes multiple small parcels of BLM land identified for disposal on its periphery. Transferring this land to the Park Service would help protect Joshua Tree by preserving these undeveloped areas that border residential communities.

Seventh, the bill designates new lands as Off-Highway Vehicle Recreation Areas.

One of the key goals I have strived for in this bill is to find balance to ensure that the many different needs and uses in the desert are accommodated with the least possible conflict. Some of the most frequent visitors to the desert are the off-highway recreation enthusiasts.

In California alone, there are over 1 million registered off-highway vehicles, many of which can be found exploring thousands of miles of desert trails or BLM designated open areas.

However, in order to meet military training needs, the Marine Corps is studying the potential expansion of Marine Corps Air Ground Combat Center at Twentynine Palms into Johnson Valley, the largest OHV area in the country. I strongly support providing our troops with the best possible training, but if the Marines need to expand the base into Johnson Valley, this could have potentially resulted in the loss of tens of thousands of acres of OHV recreation lands.

In 2009 I met with Major General Eugene Payne, Assistant Deputy Commandant for Installations and Logistics, and Brigadier General Melvin Spiese, Commanding General, Training and Education Command, to discuss this issue, and I am very grateful for their efforts to consider base expansion options that would preserve much of Johnson Valley for recreation.

As the result of those meetings, the Marine Corps has committed to studying an alternative that would allow for a portion of Johnson Valley to be used exclusively for military training, another portion to be used exclusively for continued OHV recreation and a third area for joint use. While the environmental review process must first be completed, I am hopeful that this option will prevail for the benefit of the Marines and recreational users of Johnson Valley.

The lesson learned from Johnson Valley is that, despite the vast size of the California desert, there are relatively



few areas dedicated to OHV recreation, and even those areas face increasing competition from other types of uses. These areas are important not only to the hundreds of thousands visitors who enjoy them, but also to the local economy that depends on their tourist dollars. Additionally, by protecting these areas, we also protect conservation areas by providing appropriate places for OHV recreation.

This bill will designate five existing OHV areas in the Mojave desert as permanent OHV areas, providing off-highway groups some certainty that these uses will be protected as much as conservation areas. Collectively, these areas could be as much as 314,000 acres, depending on what, if any, of Johnson Valley is ultimately needed by the Marines.

This section of the bill also requires the Secretary of the Interior to conduct a study to determine which, if any, lands adjacent to these recreation areas would be suitable for addition. This will help make up for some of the lost acres in Johnson Valley should the Marines decide to expand there.

Finally, this bill includes other key provisions that address various challenges and opportunities in the California desert, including state land exchanges. There are currently about 370,000 acres of state lands spread across the California desert in isolated 640 acre parcels. Because many of these acres are inside national parks, wilderness, the proposed monuments or conservation areas, they are largely unusable. The bill seeks to remedy that problem by requiring the Department of the Interior to develop and implement a plan with the state to complete the exchange of these lands for other BLM or GSA owned property in the next ten years. These land exchanges will help consolidate the state lands into larger, more usable areas that could potentially provide the state with viable sites for renewable energy development, off-highway vehicle recreation or other commercial purposes.

Military activities. The bill ensures the right of the Department of Defense to conduct low-level overflights over wilderness, national parks and national monuments.

Climate change and wildlife corridors. The bill requires the Department of the Interior to study the impact of climate change on California desert species migration, incorporate the study's results and recommendations into land use management plans, and consider the study's findings when making decisions granting rights of way for projects on public lands.

Tribal uses and interests. The bill requires the Secretary to ensure access for tribal cultural activities within national parks, monuments, wilderness and other areas designated within the bill. It also requires the Secretary to develop a cultural resources management plan to protect a sacred tribal trail along the Colorado River between

southern Nevada and the California-Baja border.

Prohibited uses of donated and acquired lands. In order to ensure that donated and acquired Catellus lands outside the Mojave Trails National Monument are maintained for conservation, the bill prohibits their use for development, mining, off-highway vehicle use, except designated routes, grazing, military training and other surface disturbing activities. The Secretary of the Interior is authorized to make limited exceptions in cases where it is deemed in the public interest, but comparable lands would have to be purchased and donated to the federal government as mitigation for lost acreage.

All of these provisions, when taken together, would serve to complement the lasting conservation established by the California Desert Protection Act—while ensuring that other important local uses are maintained in appropriate areas.

Though I have lived in or near San Francisco for most of my life, over the years I have come to truly appreciate California's sweeping desert landscapes.

I remember my first visits to the desert years ago. It was treated like a waste dump. It was full of abandoned cars. Old appliances littered the landscape.

But we have worked very hard to clean it up.

We have worked to make sure that the vast vistas and pristine desert habitat are respected by humanity, and that we give to our children a healthier, more beautiful desert than we inherited.

But if we are to remain successful in the long run, we must not only protect the desert land itself, we must also protect the broader environment from the ravages of climate change, and we must offer economic opportunity to those who live in these areas.

That is the purpose of this legislation. There are many places in the California desert where development and employment are essential and appropriate.

But there are also places that future generations will thank us for setting aside.

I have worked painstakingly with stakeholders to ensure that this legislation balances sometimes competing needs.

This bill, if enacted, will have a positive and enduring impact on the landscape of the Southern California desert by conserving pristine areas while meeting the needs of all desert stakeholders.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 138

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

## SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

(a) SHORT TITLE.—This Act may be cited as the “California Desert Protection Act of 2011”.

(b) TABLE OF CONTENTS.—The table of contents of this Act is as follows:

Sec. 1. Short title; table of contents.

Sec. 2. Amendments to the California Desert Protection Act of 1994.

### “TITLE XIII—MOJAVE TRAILS NATIONAL MONUMENT

“Sec. 1301. Definitions.

“Sec. 1302. Establishment of the Mojave Trails National Monument.

“Sec. 1303. Management of the Monument.

“Sec. 1304. Uses of the monument.

“Sec. 1305. Acquisition of land.

“Sec. 1306. Advisory Committee.

“Sec. 1307. Renewable energy right-of-way applications.

### “TITLE XIV—SAND TO SNOW NATIONAL MONUMENT

“Sec. 1401. Definitions.

“Sec. 1402. Establishment of the Sand to Snow National Monument.

“Sec. 1403. Management of the Monument.

“Sec. 1404. Uses of the Monument.

“Sec. 1405. Acquisition of land.

“Sec. 1406. Advisory Committee.

### “TITLE XV—WILDERNESS

“Sec. 1501. Designation of wilderness areas.

“Sec. 1502. Management.

“Sec. 1503. Release of wilderness study areas.

### “TITLE XVI—DESIGNATION OF SPECIAL MANAGEMENT AREA

“Sec. 1601. Definitions.

“Sec. 1602. Establishment of the Vinagre Wash Special Management Area.

“Sec. 1603. Management.

“Sec. 1604. Potential wilderness.

### “TITLE XVII—NATIONAL PARK SYSTEM ADDITIONS

“Sec. 1701. Death Valley National Park boundary revision.

“Sec. 1702. Mojave National Preserve.

“Sec. 1703. Joshua Tree National Park boundary revision.

“Sec. 1704. Authorization of appropriations.

### “TITLE XVIII—OFF-HIGHWAY VEHICLE RECREATION AREAS

“Sec. 1801. Designation of off-highway vehicle recreation areas.

### “TITLE XIX—MISCELLANEOUS

“Sec. 1901. State land transfers and exchanges.

“Sec. 1902. Military activities.

“Sec. 1903. Climate change and wildlife corridors.

“Sec. 1904. Prohibited uses of donated and acquired land.

“Sec. 1905. Tribal uses and interests.

Sec. 3. Designation of wild and scenic rivers.

## SEC. 2. AMENDMENTS TO THE CALIFORNIA DESERT PROTECTION ACT OF 1994.

(a) IN GENERAL.—Public Law 103-433 (16 U.S.C. 410aaa et seq.) is amended by adding at the end the following:

### “TITLE XIII—MOJAVE TRAILS NATIONAL MONUMENT

#### “SEC. 1301. DEFINITIONS.

“In this title:

“(1) MAP.—The term ‘map’ means the map entitled ‘Boundary Map, Mojave Trails National Monument’ and dated November 19, 2009.

“(2) MONUMENT.—The term ‘Monument’ means the Mojave Trails National Monument established by section 1302(a).

“(3) STUDY AREA.—The term ‘study area’ means the land that—

“(A) is described in—

“(i) the notice of the Bureau of Land Management of September 15, 2008 entitled ‘Notice of Proposed Legislative Withdrawal and Opportunity for Public Meeting; California’ (73 Fed. Reg. 53269); or

“(ii) any subsequent notice in the Federal Register that is related to the notice described in clause (i); and

“(B) has been segregated by the Director of the Bureau of Land Management.

**“SEC. 1302. ESTABLISHMENT OF THE MOJAVE TRAILS NATIONAL MONUMENT.**

“(a) ESTABLISHMENT.—There is designated in the State the Mojave Trails National Monument.

“(b) PURPOSES.—The purposes of the Monument are—

“(1) to preserve the nationally significant biological, cultural, recreational, geological, educational, historic, scenic, and scientific values—

“(A) in the Central and Eastern Mojave Desert; and

“(B) along historic Route 66; and

“(2) to secure the opportunity for present and future generations to experience and enjoy the magnificent vistas, wildlife, land forms, and natural and cultural resources of the Monument.

“(c) BOUNDARIES.—

“(1) IN GENERAL.—Except as provided in paragraph (2), the Monument shall consist of the Federal land and Federal interests in land within the boundaries depicted on the map.

“(2) EXCLUSIONS.—

“(A) STUDY AREA.—Subject to subparagraph (B), the study area shall be excluded from the Monument to permit the Secretary of the Navy to study the land within the study area for—

“(i) withdrawal in accordance with the Act of February 28, 1958 (43 U.S.C. 155 et seq.); and

“(ii) potential inclusion into the Marine Corps Air Ground Combat Center at Twentynine Palms, California, for national defense purposes.

“(B) INCORPORATION IN MONUMENT.—After action by the Secretary of Defense and Congress regarding the withdrawal under subparagraph (A), any land within the study area that is not withdrawn shall be incorporated into the Monument.

“(d) MAP; LEGAL DESCRIPTIONS.—

“(1) LEGAL DESCRIPTION.—As soon as practicable after the date of enactment of this title, the Secretary shall submit to the Committee on Natural Resources of the House of Representatives and the Committee on Energy and Natural Resources of the Senate legal descriptions of the Monument, based on the map.

“(2) CORRECTIONS.—The map and legal descriptions of the Monument shall have the same force and effect as if included in this title, except that the Secretary may correct clerical and typographical errors in the map and legal descriptions.

“(3) AVAILABILITY OF MAP.—The map shall be on file and available for public inspection in the appropriate offices of the Bureau of Land Management.

**“SEC. 1303. MANAGEMENT OF THE MONUMENT.**

“(a) IN GENERAL.—The Secretary shall—

“(1) only allow uses of the Monument that—

“(A) further the purposes described in section 1302(b);

“(B) are included in the management plan developed under subsection (g); and

“(C) do not interfere with the utility rights-of-way or corridors authorized under section 1304(f); and

“(2) subject to valid existing rights, manage the Monument to protect the resources of the Monument, in accordance with—

“(A) this Act;

“(B) the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701 et seq.); and

“(C) any other applicable provisions of law.

“(b) COOPERATION AGREEMENTS; GENERAL AUTHORITY.—Consistent with the management plan and existing authorities applicable to the Monument, the Secretary may enter into cooperative agreements and shared management arrangements (including special use permits with any person (including educational institutions and Indian tribes)), for the purposes of interpreting, researching, and providing education on the resources of the Monument.

“(c) ADMINISTRATION OF SUBSEQUENTLY ACQUIRED LAND.—Any land or interest in land within the boundaries of the Monument that is acquired by the Secretary after the date of enactment of this title shall be managed by the Secretary in accordance with this title.

“(d) LIMITATIONS.—

“(1) PROPERTY RIGHTS.—The establishment of the Monument does not—

“(A) affect—

“(i) any property rights of an Indian reservation, individually held trust land, or any other Indian allotments;

“(ii) any land or interests in land held by the State, any political subdivision of the State, or any special district; or

“(iii) any private property rights within the boundaries of the Monument; or

“(B) grant to the Secretary any authority on or over non-Federal land not already provided by law.

“(2) AUTHORITY.—The authority of the Secretary under this title extends only to Federal land and Federal interests in land included in the Monument.

“(e) ADJACENT MANAGEMENT.—

“(1) IN GENERAL.—Nothing in this title creates any protective perimeter or buffer zone around the Monument.

“(2) ACTIVITIES OUTSIDE MONUMENT.—The fact that an activity or use on land outside the Monument can be seen or heard within the Monument shall not preclude the activity or use outside the boundary of the Monument.

“(3) NO ADDITIONAL REGULATION.—Nothing in this title requires additional regulation of activities on land outside the boundary of the Monument.

“(f) AIR AND WATER QUALITY.—Nothing in this title affects the standards governing air or water quality outside the boundary of the Monument.

“(g) MANAGEMENT PLAN.—

“(1) IN GENERAL.—The Secretary shall—

“(A) not later than 3 years after the date of enactment of this title, complete a management plan for the conservation and protection of the Monument; and

“(B) on completion of the management plan—

“(i) submit the management plan to—

“(I) the Committee on Natural Resources of the House of Representatives; and

“(II) the Committee on Energy and Natural Resources of the Senate; and

“(ii) make the management plan available to the public.

“(2) INCLUSIONS.—The management plan shall include provisions that—

“(A) provide for the conservation and protection of the Monument;

“(B) authorize the continued recreational uses of the Monument (including hiking, camping, hunting, mountain biking, sight-seeing, off-highway vehicle recreation on designated routes, rockhounding, and horseback riding), if the recreational uses are consistent with this section and any other applicable law;

“(C) address the need for and, as necessary, establish plans for, the installation, construction, and maintenance of public utility energy transport facilities within rights-of-way in the Monument, including provisions that require that the activities be conducted in a manner that minimizes the impact on Monument resources (including resources relating to the ecological, cultural, historic, and scenic viewshed of the Monument), in accordance with any other applicable law;

“(D) address the designation and maintenance of roads, trails, and paths in the Monument;

“(E) address regional fire management planning and coordination between the Director of the Bureau of Land Management, the Director of the National Park Service, and San Bernardino County; and

“(F) address the establishment of a visitor center to serve the Monument and adjacent public land.

“(3) PREPARATION AND IMPLEMENTATION.—

“(A) APPLICABLE LAW.—The Secretary shall prepare and implement the management plan in accordance with the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and any other applicable laws.

“(B) CONSULTATION.—In preparing and implementing the management plan, the Secretary shall periodically consult with—

“(i) the advisory committee established under section 1306;

“(ii) interested private property owners and holders of valid existing rights located within the boundaries of the Monument; and

“(iii) representatives of the Fort Mojave Indian tribe, the Colorado River Indian Tribe, the Chemehuevi Indian tribe, and other Indian tribes with historic or cultural ties to land within, or adjacent to, the Monument regarding the management of portions of the Monument containing sacred sites or cultural importance to the Indian tribes.

“(4) INTERIM MANAGEMENT.—Except as otherwise provided in this Act, pending completion of the management plan for the Monument, the Secretary shall manage any Federal land and Federal interests in land within the boundary of the Monument—

“(A) consistent with the existing permitted uses of the land;

“(B) in accordance with the general guidelines and authorities of the existing management plans of the Bureau of Land Management for the land; and

“(C) in a manner consistent with—

“(i) the purposes described in section 1302(b);

“(ii) the provisions of the management plan under paragraph (2); and

“(iii) applicable Federal law.

“(h) EFFECT OF SECTION.—Nothing in this section diminishes or alters existing authorities applicable to Federal land included in the Monument.

**“SEC. 1304. USES OF THE MONUMENT.**

“(a) USE OF OFF-HIGHWAY VEHICLES.—

“(1) IN GENERAL.—The use of off-highway vehicles in the Monument (including the use of off-highway vehicles for commercial touring) shall be permitted to continue on designated routes, subject to all applicable law and authorized by the management plan.

“(2) NONDESIGNATED ROUTES.—Off-highway vehicle access shall be permitted on nondesignated routes and trails in the Monument—

“(A) for administrative purposes;

“(B) to respond to an emergency; or

“(C) as authorized under the management plan.

“(3) INVENTORY.—Not later than 2 years after the date of enactment of this title, the Director of the Bureau of Land Management shall complete an inventory of all existing routes in the Monument.

“(b) HUNTING, TRAPPING, AND FISHING.—

“(1) IN GENERAL.—Except as provided in paragraph (2), the Secretary shall permit hunting, trapping, and fishing within the Monument in accordance with applicable Federal and State laws (including regulations) in effect as of the date of enactment of this title.

“(2) TRAPPING.—No amphibians or reptiles may be collected within the Monument.

“(3) REGULATIONS.—The Secretary, after consultation with the California Department of Fish and Game, may issue regulations designating zones where, and establishing periods during which, no hunting, trapping, or fishing shall be permitted in the Monument for reasons of public safety, administration, resource protection, or public use and enjoyment.

“(c) GRAZING.—

“(1) IN GENERAL.—Nothing in this title terminates any valid existing grazing allotment within the Monument.

“(2) EFFECT ON BLAIR PERMIT.—Nothing in this title affects the Lazy Daisy grazing permit (permittee number 9076) on land included in the Monument, including the transfer of title to the grazing permit to the Secretary or to a private party.

“(3) PERMIT RETIREMENT.—The Secretary may acquire base property and associated grazing permits within the Monument for purposes of permanently retiring the permit if—

“(A) the permittee is a willing seller;

“(B) the permittee and Secretary reach an agreement concerning the terms and conditions of the acquisition; and

“(C) termination of the allotment would further the purposes of the Monument described in section 1302(b).

“(d) ACCESS TO STATE AND PRIVATE LAND.—The Secretary shall provide adequate access to each owner of non-Federal land or interests in non-Federal land within the boundary of the Monument to ensure the reasonable use and enjoyment of the land or interest by the owner.

“(e) LIMITATIONS.—

“(1) COMMERCIAL ENTERPRISES.—Except as provided in paragraphs (2) and (3), or as required for the maintenance, upgrade, expansion, or development of energy transport facilities in the corridors described in subsection (g), no commercial enterprises shall be authorized within the boundary of the Monument after the date of enactment of this title.

“(2) AUTHORIZED EXCEPTIONS.—The Secretary may authorize exceptions to paragraph (1) if the Secretary determines that the commercial enterprises would further the purposes described in section 1302(b).

“(3) APPLICABILITY.—This subsection does not apply to—

“(A) transmission and telecommunication facilities that are owned or operated by a utility subject to regulation by the Federal Government or a State government or a State utility with a service obligation (as those terms are defined in section 217 of the Federal Power Act (16 U.S.C. 824q)); or

“(B) commercial vehicular touring enterprises within the Monument that operate on designated routes.

“(f) UTILITY RIGHTS-OF-WAY.—

“(1) IN GENERAL.—Nothing in this title precludes, prevents, or inhibits the maintenance, upgrade, expansion, or development of energy transport facilities within the Monument that are critical to reducing the effects of climate change on the environment.

“(2) AUTHORIZATION.—The Secretary shall, to the maximum extent practicable—

“(A) permit rights-of-way and alignments that best protect the values and resources of the Monument described in section 1302(b); and

“(B) ensure that existing rights-of-way and utility corridors within the Monument are fully utilized before permitting new rights-of-way or designating new utility corridors within the Monument.

“(3) EFFECT ON EXISTING FACILITIES AND RIGHTS-OF-WAY.—Nothing in this section terminates or limits—

“(A) any valid right-of-way within the Monument in existence on the date of enactment of this title (including customary operation, maintenance, repair, or replacement activities in a right-of-way); or

“(B) a right-of-way authorization issued on the expiration of an existing right-of-way authorization described in subparagraph (A).

“(4) UPGRADING AND EXPANSION OF EXISTING RIGHTS-OF-WAY.—Nothing in this subsection prohibits the upgrading (including the construction or replacement), expansion, or assignment of an existing utility transmission line for the purpose of increasing the capacity of—

“(A) a transmission line in existing rights-of-way; or

“(B) a right-of-way issued, granted, or permitted by the Secretary that is contiguous or adjacent to existing transmission line rights-of-way.

“(5) INTERSTATE 40 TRANSPORTATION CORRIDOR.—For purposes of underground utility rights-of-way under this subsection, the Secretary shall consider the Interstate 40 transportation corridor to be equivalent to an existing utility right-of-way corridor.

“(6) NEW RIGHTS-OF-WAY.—

“(A) IN GENERAL.—Any new rights-of-way or new uses within existing rights-of-way shall—

“(i) only be permitted in energy corridors or expansions of energy corridors that are designated as of the date of enactment of this title; and

“(ii) subject to subparagraph (B), require review and approval under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.).

“(B) APPROVAL.—New rights-of-way or uses or expansions of existing corridors under subparagraph (A) shall only be approved if the head of the applicable lead Federal agency, in consultation with other agencies as appropriate, determines that the new rights-of-way, uses, or expansions are consistent with—

“(i) this title;

“(ii) other applicable laws;

“(iii) the purposes of the Monument described in section 1302(b); and

“(iv) the management plan for the Monument.

“(g) WEST WIDE ENERGY CORRIDOR.—

“(1) ALTERNATIVE ALIGNMENT.—Subject to paragraph (2), to further the purposes of the Monument described in section 1302(b), the Secretary may require a realignment of the energy right-of-way corridor numbered 27-41 and designated under the energy corridor planning process established by section 368 of the Energy Policy Act of 2005 (42 U.S.C. 15926) if an alternative alignment within the Monument—

“(A) provides substantially similar energy transmission capacity and reliability;

“(B) does not impair other existing rights-of-way; and

“(C) is compatible with military training requirements.

“(2) CONSULTATION.—Before establishing an alternative alignment of the energy right-of-way corridor under paragraph (1), the Secretary shall consult with—

“(A) the Secretary of Energy;

“(B) the Secretary of Defense;

“(C) the State, including the transmission permitting agency of the State;

“(D) units of local government in the State; and

“(E) any entities possessing valid existing rights-of-way within—

“(i) the energy corridor described in paragraph (1); or

“(ii) any potential alternative energy corridor.

“(3) EFFECT ON ENERGY TRANSPORT CORRIDORS.—Nothing in this subsection diminishes the utility of energy transport corridors located within the Monument and identified under section 368 of the Energy Policy Act of 2005 (42 U.S.C. 15926), Energy Corridors E or I (as designated in the California Desert Conservation Area Plan), or energy corridors numbered 27-41 and 27-225 and designated by a record of decision—

“(A) to provide locations for—

“(i) electric transmission facilities that improve reliability, relieve congestion, and enhance the national grid; and

“(ii) oil, gas, and hydrogen pipelines; and

“(B) to provide locations for electric transmission facilities that—

“(i) promote renewable energy generation;

“(ii) otherwise further the interest of the United States if the transmission facilities are identified as critical—

“(I) in a Federal law; or

“(II) through a regional transmission planning process; or

“(iii) consist of high-voltage transmission facilities critical to the purposes described in clause (i) or (ii).

“(4) LAND USE PLANNING.—In conducting land use planning for the Monument, the Secretary—

“(A) shall consider the existing locations of the corridors described in paragraph (3); and

“(B) subject to paragraph (5), may amend the location of any energy corridors to comply with purposes of the Monument if the amended corridor—

“(i) provides connectivity across the landscape that is equivalent to the connectivity provided by the existing location;

“(ii) meets the criteria established by—

“(I) section 368 of the Energy Policy Act of 2005 (42 U.S.C. 15926); and

“(II) the record of decision for the applicable corridor; and

“(iii) does not impair or restrict the uses of existing rights-of-way.

“(5) CONSULTATION REQUIRED.—Before amending a corridor under paragraph (4)(B), the Secretary shall consult with all interested parties (including the persons identified in section 368(a) of the Energy Policy Act of 2005 (42 U.S.C. 15926(a))), in accordance with applicable laws (including regulations).

“(h) OVERFLIGHTS.—Nothing in this title or the management plan restricts or precludes—

“(1) overflights (including low-level overflights) of military, commercial, and general aviation aircraft that can be seen or heard within the Monument;

“(2) the designation or creation of new units of special use airspace; or

“(3) the establishment of military flight training routes over the Monument.

“(i) WITHDRAWALS.—

“(1) IN GENERAL.—Subject to valid existing rights and except as provided in paragraph (2), the Federal land and interests in Federal land included within the Monument are withdrawn from—

“(A) all forms of entry, appropriation, or disposal under the public land laws;

“(B) location, entry, and patent under the public land mining laws;

“(C) operation of the mineral leasing, geothermal leasing, and mineral materials laws; and

“(D) energy development and power generation.

“(2) EXCHANGE.—Paragraph (1) does not apply to an exchange that the Secretary determines would further the protective purposes of the Monument.

“(j) ACCESS TO RENEWABLE ENERGY FACILITIES.—

“(1) IN GENERAL.—On a determination that no reasonable alternative access exists and subject to paragraph (2), the Secretary may allow new right-of-ways within the Monument to provide vehicular access to renewable energy project sites outside the boundaries of the Monument.

“(2) RESTRICTIONS.—To the maximum extent practicable, the rights-of-way shall be designed and sited to be consistent with the purposes of the Monument described in section 1302(b).

**“SEC. 1305. ACQUISITION OF LAND.**

“(a) IN GENERAL.—The Secretary may acquire for inclusion in the Monument any land or interests in land within the boundary of the Monument owned by the State, units of local government, Indian tribes, or private individuals only by—

“(1) donation;

“(2) exchange with a willing party; or

“(3) purchase from a willing seller for fair market value.

“(b) USE OF EASEMENTS.—To the maximum extent practicable and only with the approval of the landowner, the Secretary may use permanent conservation easements to acquire an interest in land in the Monument rather than acquiring fee simple title to the land.

“(c) INCORPORATION OF ACQUIRED LAND AND INTERESTS IN LAND.—Any land or interest in land within the boundaries of the Monument that is acquired by the United States after the date of enactment of this title shall be added to and administered as part of the Monument.

“(d) DONATED AND ACQUIRED LAND.—

“(1) IN GENERAL.—All land within the boundary of the Monument donated to the United States or acquired using amounts from the land and water conservation fund established under section 2 of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601–5) before, on, or after the date of enactment of this title—

“(A) is withdrawn from mineral entry;

“(B) shall be managed in accordance with section 1904; and

“(C) shall be managed consistent with the purposes of the Monument described in section 1302(b).

“(2) EFFECT ON MONUMENT.—Land within the boundary of the Monument that is contiguous to land donated to the United States or acquired using amounts from the land and water conservation fund established under section 2 of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601–5) shall be managed in a manner consistent with conservation purposes, subject to applicable law.

**“SEC. 1306. ADVISORY COMMITTEE.**

“(a) IN GENERAL.—The Secretary shall establish an advisory committee for the Monument, the purpose of which is to advise the Secretary with respect to the preparation and implementation of the management plan required by section 1303(g).

“(b) MEMBERSHIP.—To the extent practicable, the advisory committee shall include the following members, to be appointed by the Secretary:

“(1) A representative with expertise in natural science and research selected from a regional university or research institute.

“(2) A representative of the California Natural Resources Agency.

“(3) A representative of the California Public Utilities Commission.

“(4) A representative of the County of San Bernardino, California.

“(5) A representative of each of the cities of Barstow, Needles, Twentynine Palms, and Yucca Valley, California.

“(6) A representative of each of the Colorado River, Fort Mojave, and the Chemehuevi Indian tribes.

“(7) A representative from the Department of Defense.

“(8) A representative of the Wildlands Conservancy.

“(9) A representative of a local conservation organization.

“(10) A representative of a historical preservation organization.

“(11) A representative from each of the following recreational activities:

“(A) Off-highway vehicles.

“(B) Hunting.

“(C) Rockhounding.

“(c) TERMS.—

“(1) IN GENERAL.—In appointing members under paragraphs (1) through (11) of subsection (b), the Secretary shall appoint 1 primary member and 1 alternate member that meets the qualifications described in each of those paragraphs.

“(2) VACANCY.—

“(A) PRIMARY MEMBER.—A vacancy on the advisory committee with respect to a primary member shall be filled by the applicable alternate member.

“(B) ALTERNATE MEMBER.—The Secretary shall appoint a new alternate member in the event of a vacancy with respect to an alternate member of the advisory committee.

“(3) TERMINATION.—

“(A) IN GENERAL.—The term of all members of the advisory committee shall terminate on the termination of the advisory committee under subsection (g).

“(B) NEW ADVISORY COMMITTEE.—At the discretion of the Secretary, the Secretary may establish a new advisory committee on the termination of the advisory committee under subsection (g) to provide ongoing recommendations on the management of the Monument.

“(d) QUORUM.—A quorum of the advisory committee shall consist of a majority of the primary members.

“(e) CHAIRPERSON AND PROCEDURES.—

“(1) IN GENERAL.—The advisory committee shall select a chairperson and vice chairperson from among the primary members of the advisory committee.

“(2) DUTIES.—The chairperson and vice chairperson selected under paragraph (1) shall establish any rules and procedures for the advisory committee that the chairperson and vice-chairperson determine to be necessary or desirable.

“(f) SERVICE WITHOUT COMPENSATION.—Members of the advisory committee shall serve without pay.

“(g) TERMINATION.—The advisory committee shall cease to exist on—

“(1) the date on which the management plan is officially adopted by the Secretary; or

“(2) at the discretion of the Secretary, a later date established by the Secretary.

**“SEC. 1307. RENEWABLE ENERGY RIGHT-OF-WAY APPLICATIONS.**

“(a) IN GENERAL.—Applicants for rights-of-way for the development of solar energy facilities that have been terminated by the establishment of the Monument shall be granted the right of first refusal to apply for replacement sites that—

“(1) have not previously been encumbered by right-of-way applications; and

“(2) are located within the Solar Energy Zones designated by the Solar Energy Programmatic Environmental Impact Statement of the Department of the Interior and the Department of Energy.

“(b) ELIGIBILITY.—To be eligible for a right of first refusal under subsection (a), an appli-

cant shall have, on or before December 1, 2009—

“(1) submitted an application for a right-of-way to the Bureau of Land Management;

“(2) completed a plan of development to develop a solar energy facility on land within the Monument;

“(3) submitted cost recovery funds to the Bureau of Land Management to assist with the costs of processing the right-of-way application;

“(4) successfully submitted an application for an interconnection agreement with an electrical grid operator that is registered with the North American Electric Reliability Corporation; and

“(5)(A) secured a power purchase agreement; or

“(B) a financially and technically viable solar energy facility project, as determined by the Director of the Bureau of Land Management.

“(c) EQUIVALENT ENERGY PRODUCTION.—Each right-of-way for a replacement site granted under this section shall—

“(1) authorize the same energy production at the replacement site as had been applied for at the site that had been the subject of the terminated application; and

“(2) have—

“(A) appropriate solar insolation and geotechnical attributes; and

“(B) adequate access to existing transmission or feasible new transmission.

“(d) EXISTING RIGHTS-OF-WAY APPLICATIONS.—Nothing in this section alters, affects, or displaces primary rights-of-way applications within the Solar Energy Study Areas unless the applications are otherwise altered, affected, or displaced as a result of the Solar Energy Programmatic Environmental Impact Statement of the Department of the Interior and the Department of Energy.

“(e) DEADLINES.—A right of first refusal granted under this section shall only be exercisable by the later of—

“(1) the date that is 180 days after the date of enactment of this title; or

“(2) the date that is 180 days after the date of the designation of the Solar Energy Zones under the Solar Energy Programmatic Environmental Impact Statement.

“(f) EXPEDITED APPLICATION PROCESSING.—The Secretary shall expedite the review of replacement site applications from eligible applicants, as described in subsection (b).

**“TITLE XIV—SAND TO SNOW NATIONAL MONUMENT**

**“SEC. 1401. DEFINITIONS.**

“In this title:

“(1) MAP.—The term ‘map’ means the map entitled ‘Boundary Map, Sand to Snow National Monument’ and dated October 26, 2009.

“(2) MONUMENT.—The term ‘Monument’ means the Sand to Snow National Monument established by section 1402(a).

“(3) SECRETARIES.—The term ‘Secretaries’ means the Secretary of the Interior and the Secretary of Agriculture, acting jointly.

**“SEC. 1402. ESTABLISHMENT OF THE SAND TO SNOW NATIONAL MONUMENT.**

“(a) ESTABLISHMENT.—There is designated in the State the Sand to Snow National Monument.

“(b) PURPOSES.—The purposes of the Monument are—

“(1) to preserve the nationally significant biological, cultural, educational, geological, historic, scenic, and recreational values at the convergence of the Mojave and Colorado Desert and the San Bernardino Mountains; and

“(2) to secure the opportunity for present and future generations to experience and enjoy the magnificent vistas, wildlife, land forms, and natural and cultural resources of the Monument.

“(c) BOUNDARIES.—The Monument shall consist of the Federal land and Federal interests in land within the boundaries depicted on the map.

“(d) MAP; LEGAL DESCRIPTIONS.—

“(1) LEGAL DESCRIPTION.—As soon as practicable after the date of enactment of this title, the Secretary shall submit to the Committee on Natural Resources of the House of Representatives and the Committee on Energy and Natural Resources of the Senate legal descriptions of the Monument, based on the map.

“(2) CORRECTIONS.—The map and legal descriptions of the Monument shall have the same force and effect as if included in this title, except that the Secretary may correct clerical and typographical errors in the map and legal descriptions.

“(3) AVAILABILITY OF MAP.—The map shall be on file and available for public inspection in appropriate offices of the Bureau of Land Management.

**“SEC. 1403. MANAGEMENT OF THE MONUMENT.**

“(a) IN GENERAL.—The Secretary shall—

“(1) only allow uses of the Monument that—

“(A) further the purposes described in section 1402(b);

“(B) are included in the management plan developed under subsection (g); and

“(C) do not interfere with the utility rights-of-way authorized under section 1405(e); and

“(2) subject to valid existing rights, manage the Monument to protect the resources of the Monument, in accordance with—

“(A) this title;

“(B) the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701 et seq.); and

“(C) any other applicable provisions of law.

“(b) COOPERATION AGREEMENTS; GENERAL AUTHORITY.—Consistent with the management plan and existing authorities applicable to the Monument, the Secretary may enter into cooperative agreements and shared management arrangements (including special use permits with any person (including educational institutions and Indian tribes)), for the purposes of interpreting, researching, and providing education on the resources of the Monument.

“(c) ADMINISTRATION OF SUBSEQUENTLY ACQUIRED LAND.—Any land or interest in land within the boundaries of the Monument that is acquired by the Secretary of the Interior or the Secretary of Agriculture after the date of enactment of this title shall be managed by the Secretary of Agriculture or the Secretary of the Interior, respectively, in accordance with this title.

“(d) LIMITATIONS.—

“(1) PROPERTY RIGHTS.—The establishment of the Monument does not—

“(A) affect—

“(i) any property rights of an Indian reservation, individually held trust land, or any other Indian allotments;

“(ii) any land or interests in land held by the State, any political subdivision of the State, or any special district; or

“(iii) any private property rights within the boundaries of the Monument; or

“(B) grant to the Secretary any authority on or over non-Federal land not already provided by law.

“(2) AUTHORITY.—The authority of the Secretary under this title extends only to Federal land and Federal interests in land included in the Monument.

“(e) ADJACENT MANAGEMENT.—

“(1) IN GENERAL.—Nothing in this title creates any protective perimeter or buffer zone around the Monument.

“(2) ACTIVITIES OUTSIDE MONUMENT.—The fact that an activity or use on land outside the Monument can be seen or heard within

the Monument shall not preclude the activity or use outside the boundary of the Monument.

“(3) NO ADDITIONAL REGULATION.—Nothing in this title requires additional regulation of activities on land outside the boundary of the Monument.

“(f) AIR AND WATER QUALITY.—Nothing in this title affects the standards governing air or water quality outside the boundary of the Monument.

“(g) MANAGEMENT PLAN.—

“(1) IN GENERAL.—The Secretaries shall—

“(A) not later than 3 years after the date of enactment of this title, complete a management plan for the conservation and protection of the Monument; and

“(B) on completion of the management plan—

“(i) submit the management plan to—

“(I) the Committee on Natural Resources of the House of Representatives; and

“(II) the Committee on Energy and Natural Resources of the Senate; and

“(ii) make the management plan available to the public.

“(2) INCLUSIONS.—The management plan shall include provisions that—

“(A) provide for the conservation and protection of the Monument;

“(B) authorize the continued recreational uses of the Monument (including hiking, camping, hunting, mountain biking, sightseeing, off-highway vehicle recreation on designated routes, rockhounding, and horseback riding), if the recreational uses are consistent with this title and any other applicable law;

“(C) address the need for and, as necessary, establish plans for, the installation, construction, and maintenance of public utility energy transport facilities within rights-of-way in the Monument outside of designated wilderness areas, including provisions that require that—

“(i) the activities be conducted in a manner that minimizes the impact on Monument resources (including resources relating to the ecological, cultural, historic, and scenic viewshed of the Monument), in accordance with any other applicable law; and

“(ii) the facilities are consistent with this section and any other applicable law;

“(D) address the designation and maintenance of roads, trails, and paths in the Monument;

“(E) address regional fire management planning and coordination between the Director of the Bureau of Land Management, the Chief of the Forest Service, Riverside County, and San Bernardino County; and

“(F) address the establishment of a visitor center to serve the Monument and adjacent public land.

“(3) PREPARATION AND IMPLEMENTATION.—

“(A) APPLICABLE LAW.—The Secretary shall prepare and implement the management plan in accordance with the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and any other applicable laws.

“(B) CONSULTATION.—In preparing and implementing the management plan, the Secretary shall periodically consult with—

“(i) the advisory committee established under section 1406;

“(ii) interested private property owners and holders of valid existing rights located within the boundaries of the Monument; and

“(iii) representatives of the Morongo Band of Mission Indians and other Indian tribes with historic or cultural ties to land within, or adjacent to, the Monument regarding the management of portions of the Monument that are of cultural importance to the Indian tribes.

“(4) INTERIM MANAGEMENT.—Except as otherwise prohibited by this Act, pending completion of the management plan for the

Monument, the Secretary shall manage any Federal land and Federal interests in land within the boundary of the Monument—

“(A) consistent with the existing permitted uses of the land;

“(B) in accordance with the general guidelines and authorities of the existing management plans of the Bureau of Land Management and the Forest Service for the land; and

“(C) in a manner consistent with—

“(i) the purposes described in section 1402(b);

“(ii) the provisions of the management plan under paragraph (2); and

“(iii) applicable Federal law.

“(5) EFFECT OF SECTION.—Nothing in this section diminishes or alters existing authorities applicable to Federal land included in the Monument.

**“SEC. 1404. USES OF THE MONUMENT.**

“(a) USE OF OFF-HIGHWAY VEHICLES.—

“(1) IN GENERAL.—The use of off-highway vehicles in the Monument (including the use of off-highway vehicles for commercial touring) shall be permitted to continue on designated routes, subject to all applicable law and authorized by the management plan.

“(2) NONDESIGNATED ROUTES.—Off-highway vehicle access shall be permitted on nondesignated routes and trails in the Monument—

“(A) for administrative purposes;

“(B) to respond to an emergency; or

“(C) as authorized under the management plan.

“(3) INVENTORY.—Not later than 2 years after the date of enactment of this title, the Director of the Bureau of Land Management shall complete an inventory of all existing routes in the Monument.

“(b) HUNTING, TRAPPING, AND FISHING.—

“(1) IN GENERAL.—Except as provided in paragraph (2), the Secretary shall permit hunting, trapping, and fishing within the Monument in accordance with applicable Federal and State laws (including regulations) as of the date of enactment of this title.

“(2) TRAPPING.—No amphibians or reptiles may be collected within the Monument.

“(3) REGULATIONS.—The Secretary, after consultation with the California Department of Fish and Game, may issue regulations designating zones where, and establishing periods during which, no hunting, trapping, or fishing shall be permitted in the Monument for reasons of public safety, administration, resource protection, or public use and enjoyment.

“(c) ACCESS TO STATE AND PRIVATE LAND.—The Secretary shall provide adequate access to each owner of non-Federal land or interests in non-Federal land within the boundary of the Monument to ensure the reasonable use and enjoyment of the land or interest by the owner.

“(d) LIMITATIONS.—

“(1) COMMERCIAL ENTERPRISES.—Except as provided in paragraphs (2) and (3), or as required for the maintenance, upgrade, expansion, or development of energy transport facilities in the corridors described in subsection (e), no commercial enterprises shall be authorized within the boundary of the Monument after the date of enactment of this title.

“(2) AUTHORIZED EXCEPTIONS.—The Secretary may authorize exceptions to paragraph (1) if the Secretary determines that the commercial enterprises would further the purposes described in section 1402(b).

“(3) TRANSMISSION AND TELECOMMUNICATION FACILITIES.—This subsection does not apply to—

“(A) transmission and telecommunication facilities that are owned or operated by a utility subject to regulation by the Federal

Government or a State government or a State utility with a service obligation (as those terms are defined in section 217 of the Federal Power Act (16 U.S.C. 824q)); or

“(B) commercial vehicular touring enterprises within the Monument that operate on designated routes.

“(e) UTILITY RIGHTS-OF-WAY.—

“(1) IN GENERAL.—Nothing in this Act precludes, prevents, or inhibits the maintenance, upgrade, expansion, or development of energy transport facilities within the Monument that are critical to reducing the effects of climate change on the environment.

“(2) RIGHT-OF-WAY.—To the maximum extent practicable—

“(A) the Secretary shall permit rights of way and alignments that best protect the values and resources of the Monument described in section 1402(b); and

“(B) the Secretary shall ensure that existing rights-of-way and utility corridors within the Monument are fully utilized before permitting new rights-of-way or designating new utility corridors within the Monument.

“(3) EFFECT ON EXISTING FACILITIES AND RIGHTS-OF-WAY.—Nothing in this section terminates or limits—

“(A) any valid right-of-way in existence within the Monument on the date of enactment of this title (including customary operation, maintenance, repair, or replacement activities in a right-of-way); or

“(B) a right-of-way authorization issued on the expiration or the assignment of an existing right-of-way authorization described in subparagraph (A).

“(4) UPGRADING AND EXPANSION OF EXISTING RIGHTS-OF-WAY.—Nothing in this subsection prohibits the upgrading (including the construction or replacement), expansion, or assignment of an existing utility transmission line for the purpose of increasing the capacity of—

“(A) a transmission line in existing rights-of-way; or

“(B) a right-of-way issued, granted, or permitted by the Secretary that is contiguous or adjacent to existing transmission line rights-of-way.

“(5) NEW RIGHTS-OF-WAY.—

“(A) IN GENERAL.—Any new rights-of-way or new uses within existing rights-of-way shall, subject to subparagraph (B), require review and approval under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.).

“(B) APPROVAL.—New uses under subparagraph (A) shall only be approved if the head of the applicable lead Federal agency, in consultation with other applicable agencies, determine that the uses are consistent with—

“(i) this title;

“(ii) other applicable laws;

“(iii) the purposes of the Monument described in section 1402(b); and

“(iv) the management plan for the Monument.

“(6) EFFECT ON ENERGY TRANSPORT CORRIDORS.—Nothing in this subsection diminishes the utility of energy transport corridors located within the Monument designated by a record of decision—

“(A) to provide locations for—

“(i) electric transmission facilities that improve reliability, relieve congestion, and enhance the national grid; and

“(ii) oil, gas, and hydrogen pipelines; and

“(B) to provide locations for electric transmission facilities that—

“(i) promote renewable energy generation;

“(ii) otherwise further the interest of the United States if the transmission facilities are identified as critical in law or through a regional transmission planning process; or

“(iii) consist of high-voltage transmission facilities critical to the purposes described in clause (i) or (ii).

“(7) LAND USE PLANNING.—In conducting land use planning for the Monument, the Secretary—

“(A) shall consider the existing locations of the corridors described in paragraph (6); and

“(B) subject to paragraph (8), may amend the location of any energy corridors to comply with purposes of the Monument if the amended corridor—

“(i) provides connectivity across the landscape that is equivalent to the connectivity provided by the existing location;

“(ii) meets the criteria established by—

“(I) section 368 of the Energy Policy Act of 2005 (42 U.S.C. 15926); and

“(II) the record of decision for the applicable corridor; and

“(iii) does not impair or restrict the uses of existing rights-of-way.

“(8) CONSULTATION REQUIRED.—Before amending a corridor under paragraph (7)(B), the Secretary shall consult with all interested parties (including the persons identified in section 368(a) of the Energy Policy Act of 2005 (42 U.S.C. 15926(a))), in accordance with applicable laws (including regulations).

“(f) OVERFLIGHTS.—Nothing in this title or the management plan restricts or precludes—

“(1) overflights (including low-level overflights) of military, commercial, and general aviation aircraft that can be seen or heard within the Monument;

“(2) the designation or creation of new units of special use airspace; or

“(3) the establishment of military flight training routes over the Monument.

“(g) WITHDRAWALS.—

“(1) IN GENERAL.—Subject to valid existing rights and except as provided in paragraph (2), the Federal land and interests in Federal land included within the Monument are withdrawn from—

“(A) all forms of entry, appropriation, or disposal under the public land laws;

“(B) location, entry, and patent under the public land mining laws;

“(C) operation of the mineral leasing, geothermal leasing, and mineral materials laws; and

“(D) energy development and power generation.

“(2) EXCHANGE.—Paragraph (1) does not apply to an exchange that the Secretary determines would further the protective purposes of the Monument.

“(h) ACCESS TO RENEWABLE ENERGY FACILITIES.—

“(1) IN GENERAL.—Subject to paragraph (2), the Secretary may allow new right-of-ways within the Monument to provide reasonable vehicular access to renewable energy project sites outside the boundaries of the Monument.

“(2) RESTRICTIONS.—To the maximum extent practicable, the rights-of-way shall be designed and sited to be consistent with the purposes of the Monument described in section 1402(b).

“SEC. 1405. ACQUISITION OF LAND.

“(a) IN GENERAL.—The Secretary may acquire for inclusion in the Monument any land or interests in land within the boundary of the Monument owned by the State, units of local government, Indian tribes, or private individuals only by—

“(1) donation;

“(2) exchange with a willing party; or

“(3) purchase from a willing seller for fair market value.

“(b) USE OF EASEMENTS.—To the maximum extent practicable and only with the approval of the landowner, the Secretary may use permanent conservation easements to acquire an interest in land in the Monument rather than acquiring fee simple title to the land.

“(c) INCORPORATION OF ACQUIRED LAND AND INTERESTS IN LAND.—Any land or interest in land within the boundaries of the Monument that is acquired by the United States after the date of enactment of this title shall be added to and administered as part of the Monument.

“(d) DONATED AND ACQUIRED LAND.—

“(1) IN GENERAL.—All land within the boundary of the Monument donated to the United States or acquired using amounts from the land and water conservation fund established under section 2 of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601–5) before, on, or after the date of enactment of this title—

“(A) is withdrawn from mineral entry;

“(B) shall be managed in accordance with section 1904; and

“(C) shall be managed consistent with the purposes of the Monument described in section 1402(b).

“(2) EFFECT ON MONUMENT.—Land within the boundary of the Monument that is contiguous to land donated to the United States or acquired using amounts from the land and water conservation fund established under section 2 of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601–5) shall be managed in a manner consistent with conservation purposes, subject to applicable law.

“SEC. 1406. ADVISORY COMMITTEE.

“(a) IN GENERAL.—The Secretary shall establish an advisory committee for the Monument, the purpose of which is to advise the Secretary with respect to the preparation and implementation of the management plan required by section 1403(g).

“(b) MEMBERSHIP.—To the extent practicable, the advisory committee shall include the following members, to be appointed by the Secretary:

“(1) A representative with expertise in natural science and research selected from a regional university or research institute.

“(2) A representative of the Department of Defense.

“(3) A representative of the California Natural Resources Agency.

“(4) A representative of each of San Bernardino and Riverside Counties, California.

“(5) A representative of each of the cities of Desert Hot Springs and Yucca Valley, California.

“(6) A representative of the Morongo Band of Mission Indians.

“(7) A representative of the Friends of Big Morongo Preserve.

“(8) A representative of the Wildlands Conservancy.

“(9) A representative of the Coachella Valley Mountains Conservancy.

“(10) A representative of the San Geronio Wilderness Association.

“(11) A representative of the Morongo Basin Community Services District.

“(12) A representative from each of the following recreational activities:

“(A) Off-highway vehicles.

“(B) Hunting.

“(C) Rockhounding.

“(c) TERMS.—

“(1) IN GENERAL.—In appointing members under paragraphs (1) through (12) of subsection (b), the Secretary shall appoint 1 primary member and 1 alternate member that meets the qualifications described in each of those paragraphs.

“(2) VACANCY.—

“(A) PRIMARY MEMBER.—A vacancy on the advisory committee with respect to a primary member shall be filled by the applicable alternate member.

“(B) ALTERNATE MEMBER.—The Secretary shall appoint a new alternate members in the event of a vacancy with respect to an alternate member of the advisory committee.



## “(3) TERMINATION.—

“(A) IN GENERAL.—The term of all members of the advisory committee shall terminate on the termination of the advisory committee under subsection (g).

“(B) NEW ADVISORY COMMITTEE.—At the discretion of the Secretary, the Secretary may establish a new advisory committee on the termination of the advisory committee under subsection (g) to provide ongoing recommendations on the management of the Monument.

“(d) QUORUM.—A quorum of the advisory committee shall consist of a majority of the primary members.

## “(e) CHAIRPERSON AND PROCEDURES.—

“(1) IN GENERAL.—The advisory committee shall select a chairperson and vice chairperson from among the primary members of the advisory committee.

“(2) DUTIES.—The chairperson and vice chairperson selected under paragraph (1) shall establish any rules and procedures for the advisory committee that the chairperson and vice-chairperson determine to be necessary or desirable.

“(f) SERVICE WITHOUT COMPENSATION.—Members of the advisory committee shall serve without pay.

“(g) TERMINATION.—The advisory committee shall cease to exist on—

“(1) the date on which the management plan is officially adopted by the Secretary; or

“(2) at the discretion of the Secretary, a later date established by the Secretary.

## “TITLE XV—WILDERNESS

## “SEC. 1501. DESIGNATION OF WILDERNESS AREAS.

“(a) DESIGNATION OF WILDERNESS AREAS TO BE ADMINISTERED BY THE BUREAU OF LAND MANAGEMENT.—In accordance with the Wilderness Act (16 U.S.C. 1131 et seq.) and sections 601 and 603 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1781, 1782), the following land in the State is designated as wilderness areas and as components of the National Wilderness Preservation System:

“(1) AVAWATZ MOUNTAINS WILDERNESS.—Certain land in the Conservation Area administered by the Director of the Bureau of Land Management, comprising approximately 86,614 acres, as generally depicted on the map entitled ‘Avawatz Mountains Proposed Wilderness’ and dated July 15, 2009, to be known as the ‘Avawatz Mountains Wilderness’.

“(2) GOLDEN VALLEY WILDERNESS.—Certain land in the Conservation Area administered by the Director of the Bureau of Land Management, comprising approximately 21,633 acres, as generally depicted on the map entitled ‘Golden Valley Proposed Wilderness’ and dated July 15, 2009, which shall be considered to be part of the ‘Golden Valley Wilderness’.

## “(3) GREAT FALLS BASIN WILDERNESS.—

“(A) IN GENERAL.—Certain land in the Conservation Area administered by the Director of the Bureau of Land Management, comprising approximately 7,871 acres, as generally depicted on the map entitled ‘Great Falls Basin Proposed Wilderness’ and dated October 26, 2009, to be known as the ‘Great Falls Basin Wilderness’.

“(B) LIMITATIONS.—Designation of the wilderness under subparagraph (A) shall not establish a Class I Airshed under the Clean Air Act (42 U.S.C. 7401 et seq.).

“(4) KINGSTON RANGE WILDERNESS.—Certain land in the Conservation Area administered by the Bureau of Land Management, comprising approximately 53,321 acres, as generally depicted on the map entitled ‘Kingston Range Proposed Wilderness Additions’ and dated July 15, 2009, which shall be considered to be a part of as the ‘Kingston Range Wilderness’.

“(5) SODA MOUNTAINS WILDERNESS.—Certain land in the Conservation Area, administered by the Bureau of Land Management, comprising approximately 79,376 acres, as generally depicted on the map entitled ‘Soda Mountains Proposed Wilderness’ and dated October 26, 2009, to be known as the ‘Soda Mountains Wilderness’.

“(b) DESIGNATION OF WILDERNESS AREAS TO BE ADMINISTERED BY THE NATIONAL PARK SERVICE.—In accordance with the Wilderness Act (16 U.S.C. 1131 et seq.) and sections 601 and 603 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1781, 1782), the following land in the State is designated as wilderness areas and as components of the National Wilderness Preservation System:

“(1) DEATH VALLEY NATIONAL PARK WILDERNESS ADDITIONS.—Certain land in the Conservation Area administered by the Director of the National Park Service, comprising approximately 59,264 acres, as generally depicted on the map entitled ‘Death Valley National Park Additions’ and dated October 1, 2009, which shall be considered to be a part of the Death Valley National Park Wilderness.

“(2) BOWLING ALLEY WILDERNESS.—Certain land in the Conservation Area administered by the Director of the Bureau of Land Management, comprising approximately 30,888 acres, as generally depicted on the map entitled ‘Death Valley National Park Proposed Wilderness Area’, numbered 143/100080, and dated June 2009, which shall be considered to be a part of the Death Valley National Park Wilderness.

“(c) DESIGNATION OF WILDERNESS AREA TO BE ADMINISTERED BY THE FOREST SERVICE.—

“(1) IN GENERAL.—In accordance with the Wilderness Act (16 U.S.C. 1131 et seq.) and sections 601 and 603 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1781, 1782), the land in the State described in paragraph (2) is designated as a wilderness area and as a component of the National Wilderness Preservation System.

“(2) DESCRIPTION OF LAND.—The land referred to in paragraph (1) is certain land in the San Bernardino National Forest, comprising approximately 7,141 acres, as generally depicted on the map entitled ‘Proposed Sand to Snow National Monument’ and dated October 26, 2009, which shall be considered to be a part of the San Geronio Wilderness.

## “SEC. 1502. MANAGEMENT.

“(a) ADJACENT MANAGEMENT.—

“(1) IN GENERAL.—Nothing in this title creates any protective perimeter or buffer zone around the wilderness areas designated by section 1501.

“(2) ACTIVITIES OUTSIDE WILDERNESS AREAS.—

“(A) IN GENERAL.—The fact that an activity (including military activities) or use on land outside a wilderness area designated by section 1501 can be seen or heard within the wilderness area shall not preclude or restrict the activity or use outside the boundary of the wilderness area.

“(B) EFFECT ON NONWILDERNESS ACTIVITIES.—

“(i) IN GENERAL.—In any permitting proceeding (including a review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.)) conducted with respect to a project described in clause (ii) that is formally initiated through a notice in the Federal Register before December 31, 2013, the consideration of any visual, noise, or other impacts of the project on a wilderness area designated by section 1501 shall be conducted based on the status of the area before designation as wilderness.

“(ii) DESCRIPTION OF PROJECTS.—A project referred to in clause (i) is a renewable energy project—

“(I) for which the Bureau of Land Management has received a right-of-way use application on or before the date of enactment of this Act; and

“(II) that is located outside the boundary of a wilderness area designated by section 1501.

“(3) NO ADDITIONAL REGULATION.—Nothing in this title requires additional regulation of activities on land outside the boundary of the wilderness areas.

“(4) EFFECT ON MILITARY OPERATIONS.—Nothing in this Act alters any authority of the Secretary of Defense to conduct any military operations at desert installations, facilities, and ranges of the State that are authorized under any other provision of law.

“(b) MAPS; LEGAL DESCRIPTIONS.—

“(1) IN GENERAL.—As soon as practicable after the date of enactment of this title, the Secretary shall file a map and legal description of each wilderness area and wilderness addition designated by section 1501 with—

“(A) the Committee on Natural Resources of the House of Representatives; and

“(B) the Committee on Energy and Natural Resources of the Senate.

“(2) FORCE OF LAW.—A map and legal description filed under paragraph (1) shall have the same force and effect as if included in this title, except that the Secretary may correct errors in the maps and legal descriptions.

“(3) PUBLIC AVAILABILITY.—Each map and legal description filed under paragraph (1) shall be filed and made available for public inspection in the appropriate office of the Secretary.

“(c) ADMINISTRATION.—Subject to valid existing rights, the land designated as wilderness or as a wilderness addition by section 1501 shall be administered by the Secretary in accordance with this Act and the Wilderness Act (16 U.S.C. 1131 et seq.), except that any reference in that Act to the effective date shall be considered to be a reference to the date of enactment of this title.

## “SEC. 1503. RELEASE OF WILDERNESS STUDY AREAS.

“(a) FINDING.—Congress finds that, for purposes of section 603 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1782), any portion of a wilderness study area described in subsection (b) that is not designated as a wilderness area or wilderness addition by section 1501 or any other Act enacted before the date of enactment of this title has been adequately studied for wilderness.

“(b) DESCRIPTION OF STUDY AREAS.—The study areas referred to in subsection (a) are—

“(1) the Cady Mountains Wilderness Study Area;

“(2) the Great Falls Basin Wilderness Study Area; and

“(3) the Soda Mountains Wilderness Study Area.

“(c) RELEASE.—Any portion of a wilderness study area described in subsection (b) that is not designated as a wilderness area or wilderness addition by section 1501 is no longer subject to section 603(c) of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1782(c)).

## “TITLE XVI—DESIGNATION OF SPECIAL MANAGEMENT AREA

## “SEC. 1601. DEFINITIONS.

“In this title:

“(1) MANAGEMENT AREA.—The term ‘Management Area’ means the Vinagre Wash Special Management Area.

“(2) MAP.—The term ‘map’ means the map entitled ‘Vinagre Wash Special Management Area-Proposed’ and dated November 10, 2009.

“(3) PUBLIC LAND.—The term ‘public land’ has the meaning given the term ‘public

lands' in section 103 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1702).

“(4) SECRETARY.—The term ‘Secretary’ means the Secretary of the Interior.

**“SEC. 1602. ESTABLISHMENT OF THE VINAGRE WASH SPECIAL MANAGEMENT AREA.**

“(a) ESTABLISHMENT.—There is established the Vinagre Wash Special Management Area in the State, to be managed by the El Centro Field Office and the Yuma Field Office of the Bureau of Land Management.

“(b) PURPOSE.—The purpose of the Management Area is to conserve, protect, and enhance—

“(1) the plant and wildlife values of the Management Area; and

“(2) the outstanding and nationally significant ecological, geological, scenic, recreational, archaeological, cultural, historic, and other resources of the Management Area.

“(c) BOUNDARIES.—The Management Area shall consist of the public land in Imperial County, California, comprising approximately 74,714 acres, as generally depicted on the map.

“(d) MAP; LEGAL DESCRIPTION.—

“(1) IN GENERAL.—As soon as practicable, but not later than 3 years, after the date of enactment of this title, the Secretary shall submit a map and legal description of the Management Area to—

“(A) the Committee on Natural Resources of the House of Representatives; and

“(B) the Committee on Energy and Natural Resources of the Senate.

“(2) EFFECT.—The map and legal description submitted under paragraph (1) shall have the same force and effect as if included in this title, except that the Secretary may correct any errors in the map and legal description.

“(3) AVAILABILITY.—Copies of the map submitted under paragraph (1) shall be on file and available for public inspection in—

“(A) the Office of the Director of the Bureau of Land Management; and

“(B) the appropriate office of the Bureau of Land Management in the State.

**“SEC. 1603. MANAGEMENT.**

“(a) IN GENERAL.—The Secretary shall allow hiking, camping, hunting, and sight-seeing and the use of motorized vehicles, mountain bikes, and horses on designated routes in the Management Area in a manner that—

“(1) is consistent with the purpose of the Management Area described in section 1602(b);

“(2) ensures public health and safety; and

“(3) is consistent with applicable law.

“(b) OFF-HIGHWAY VEHICLE USE.—

“(1) IN GENERAL.—Subject to paragraphs (2) and (3) and all other applicable laws, the use of off-highway vehicles shall be permitted on routes in the Management Area generally depicted on the map.

“(2) CLOSURE.—The Secretary may temporarily close or permanently reroute a portion of a route described in paragraph (1)—

“(A) to prevent, or allow for restoration of, resource damage;

“(B) to protect tribal cultural resources, including the resources identified in the tribal cultural resources management plan developed under section 1905(c);

“(C) to address public safety concerns; or

“(D) as otherwise required by law.

“(3) DESIGNATION OF ADDITIONAL ROUTES.—During the 3-year period beginning on the date of enactment of this title, the Secretary—

“(A) shall accept petitions from the public regarding additional routes for off-highway vehicles; and

“(B) may designate additional routes that the Secretary determines—

“(i) would provide significant or unique recreational opportunities; and

“(ii) are consistent with the purposes of the Management Area.

“(c) WITHDRAWAL.—Subject to valid existing rights, all Federal land within the Management Area is withdrawn from—

“(1) all forms of entry, appropriation, or disposal under the public land laws;

“(2) location, entry, and patent under the mining laws; and

“(3) right-of-way, leasing, or disposition under all laws relating to—

“(A) minerals; or

“(B) solar, wind, and geothermal energy.

“(d) NO BUFFERS.—The establishment of the Management Area shall not—

“(1) create a protective perimeter or buffer zone around the Management Area; or

“(2) preclude uses or activities outside the Management Area that are permitted under other applicable laws, even if the uses or activities are prohibited within the Management Area.

“(e) NOTICE OF AVAILABLE ROUTES.—The Secretary shall ensure that visitors to the Management Area have access to adequate notice relating to the availability of designated routes in the Management Area through—

“(1) the placement of appropriate signage along the designated routes;

“(2) the distribution of maps, safety education materials, and other information that the Secretary determines to be appropriate; and

“(3) restoration of areas that are not designated as open routes, including vertical mulching.

“(f) STEWARDSHIP.—The Secretary, in consultation with Indian tribes and other interests, shall develop a program to provide opportunities for monitoring and stewardship of the Management Area to minimize environmental impacts and prevent resource damage from recreational use, including volunteer assistance with—

“(1) route signage;

“(2) restoration of closed routes;

“(3) protection of Management Area resources; and

“(4) recreation education.

“(g) PROTECTION OF TRIBAL CULTURAL RESOURCES.—Not later than 2 years after the date of enactment of this title, the Secretary, in accordance with the National Historic Preservation Act (16 U.S.C. 470 et seq.) and any other applicable law, shall—

“(1) prepare and complete a tribal cultural resources survey of the Management Area; and

“(2) consult with the Quechan Indian Nation and other Indian tribes demonstrating ancestral, cultural, or other ties to the resources within the Management Area on the development and implementation of the tribal cultural resources survey under paragraph (1).

**“SEC. 1604. POTENTIAL WILDERNESS.**

“(a) PROTECTION OF WILDERNESS CHARACTER.—

“(1) IN GENERAL.—The Secretary shall manage the Federal land in the Management Area described in paragraph (2) in a manner that preserves the character of the land for the eventual inclusion of the land in the National Wilderness Preservation System.

“(2) DESCRIPTION OF LAND.—The Federal land described in this paragraph is—

“(A) the approximately 9,160 acres of land, as generally depicted on the map entitled ‘Indian Pass Wilderness Additions-Proposed’ and dated November 10, 2009;

“(B) the approximately 17,436 acres of land, as generally depicted on the map entitled ‘Milpitas Wash Wilderness Area-Proposed’ and dated November 10, 2009;

“(C) the approximately 13,647 acres of land, as generally depicted on the map entitled ‘Buzzard Peak Wilderness Area-Proposed’ and dated November 10, 2009; and

“(D) the approximately 8,090 acres of land, as generally depicted on the map entitled ‘Palo Verde Mountain Wilderness Additions-Proposed’ and dated November 10, 2009.

“(3) USE OF LAND.—

“(A) MILITARY USES.—The Secretary shall manage the Federal land in the Management Area described in paragraph (2) in a manner that is consistent with the Wilderness Act (16 U.S.C. 1131 et seq.), except that the Secretary may authorize use of the land by the Secretary of the Navy for Naval Special Warfare Tactical Training, including long-range small unit training and navigation, vehicle concealment, and vehicle sustainment training, in accordance with applicable Federal laws.

“(B) PROHIBITED USES.—The following shall be prohibited on the Federal land described in paragraph (2):

“(i) Permanent roads.

“(ii) Commercial enterprises.

“(iii) Except as necessary to meet the minimum requirements for the administration of the Federal land and to protect public health and safety—

“(I) the use of mechanized vehicles; and

“(II) the establishment of temporary roads.

“(4) WILDERNESS DESIGNATION.—

“(A) IN GENERAL.—The Federal land described in paragraph (2) shall be designated as wilderness and as a component of the National Wilderness Preservation System on the date on which the Secretary, in consultation with the Secretary of Defense, publishes a notice in the Federal Register that all activities on the Federal land that are incompatible with the Wilderness Act (16 U.S.C. 1131 et seq.) have terminated.

“(B) DESIGNATION.—On designation of the Federal land under clause (i)—

“(i) the land described in paragraph (2)(A) shall be incorporated in, and shall be considered to be a part of, the Indian Pass Wilderness;

“(ii) the land described in paragraph (2)(B) shall be designated as the ‘Milpitas Wash Wilderness’;

“(iii) the land described in paragraph (2)(C) shall be designated as the ‘Buzzard Peak Wilderness’; and

“(iv) the land described in paragraph (2)(D) shall be incorporated in, and shall be considered to be a part of, the Palo Verde Mountains Wilderness.

“(b) ADMINISTRATION OF WILDERNESS.—Subject to valid existing rights, the land designated as wilderness or as a wilderness addition by this title shall be administered by the Secretary in accordance with this Act and the Wilderness Act (16 U.S.C. 1131 et seq.).

**“TITLE XVII—NATIONAL PARK SYSTEM ADDITIONS**

**“SEC. 1701. DEATH VALLEY NATIONAL PARK BOUNDARY REVISION.**

“(a) IN GENERAL.—The boundary of Death Valley National Park is adjusted to include—

“(1) the approximately 33,041 acres of Bureau of Land Management land abutting the southern end of the Death Valley National Park that lies between Death Valley National Park to the north and Ft. Irwin Military Reservation to the south and which runs approximately 34 miles from west to east, as depicted on the map entitled ‘Death Valley National Park Proposed Boundary Addition’, numbered 143/100,080, and dated June 2009;

“(2) the approximately 6,379 acres of Bureau of Land Management land in Inyo County, California, located in the northeast area

of Death Valley National Park that is within, and surrounded by, land under the jurisdiction of the Director of the National Park Service, as depicted on the map entitled 'Proposed Crater Mine Area Addition to Death Valley National Park', numbered 143/100,079, and dated June 2009; and

"(3)(A) on transfer of title to the private land to the National Park Service, the approximately 280 acres of private land in Inyo County, California, located adjacent to the southeastern boundary of Death Valley National Park, as depicted on the map entitled 'Proposed Ryan Camp Addition to Death Valley National Park', numbered 143/100,097, and dated June 2009; and

"(B) the approximately 1,040 acres of Bureau of Land Management land contiguous to the private land described in subparagraph (A), as depicted on the map entitled 'Proposed Ryan Camp Addition to Death Valley National Park', numbered 143/100,097, and dated June 2009.

"(b) AVAILABILITY OF MAP.—The maps described in paragraphs (1), (2), and (3) of subsection (a) shall be on file and available for public inspection in the appropriate offices of the National Park Service.

"(c) ADMINISTRATION.—The Secretary of the Interior (referred to in this section as the 'Secretary') shall—

"(1) administer any land added to Death Valley National Park under subsection (a)—

"(A) as part of Death Valley National Park; and

"(B) in accordance with applicable laws (including regulations); and

"(2) not later than 180 days after the date of enactment of this title, develop a memorandum of understanding with Inyo County, California, permitting ongoing access and use to existing gravel pits along Saline Valley Road within Death Valley National Park for road maintenance and repairs in accordance with applicable laws (including regulations).

**"SEC. 1702. MOJAVE NATIONAL PRESERVE.**

"(a) IN GENERAL.—The boundary of the Mojave National Preserve is adjusted to include—

"(1) the 29,221 acres of Bureau of Land Management land that is surrounded by the Mojave National Preserve to the northwest, west, southwest, south, and southeast and by the Nevada State line on the northeast boundary, as depicted on the map entitled 'Proposed Castle Mountain Addition to the Mojave National Preserve', numbered 170/100,075, and dated August 2009; and

"(2) the 25 acres of Bureau of Land Management land in Baker, California, as depicted on the map entitled 'Mojave National Preserve—Proposed Boundary Addition', numbered 170/100,199, and dated August 2009.

"(b) AVAILABILITY OF MAPS.—The maps described in subsection (a) shall be on file and available for public inspection in the appropriate offices of the National Park Service.

"(c) ADMINISTRATION.—The Secretary shall administer any land added to Mojave National Preserve under subsection (a)—

"(1) as part of the Mojave National Preserve; and

"(2) in accordance with applicable laws (including regulations).

**"SEC. 1703. JOSHUA TREE NATIONAL PARK BOUNDARY REVISION.**

"(a) IN GENERAL.—The boundary of the Joshua Tree National Park is adjusted to include the 2,879 acres of land managed by Director of the Bureau of Land Management that are contiguous at several different places to the northern boundaries of Joshua Tree National Park in the northwest section of the Park, as depicted on the map entitled 'Joshua Tree National Park Proposed Boundary Additions', numbered 156/100,007, and dated June 2009.

"(b) AVAILABILITY OF MAP.—The map described in subsection (a) and the map depicting the 25 acres described in subsection (c)(2) shall be on file and available for public inspection in the appropriate offices of the National Park Service.

"(c) ADMINISTRATION.—

"(1) IN GENERAL.—The Secretary shall administer any land added to the Joshua Tree National Park under subsection (a) and the additional land described in paragraph (2)—

"(A) as part of Joshua Tree National Park; and

"(B) in accordance with applicable laws (including regulations).

"(2) DESCRIPTION OF ADDITIONAL LAND.—The additional land referred to in paragraph (1) is the 25 acres of land—

"(A) depicted on the map entitled 'Joshua Tree National Park Boundary Adjustment Map', numbered 156/80,049, and dated April 1, 2003;

"(B) added to Joshua Tree National Park by the notice of the Department Interior of August 28, 2003 (68 Fed. Reg. 51799); and

"(C) more particularly described as lots 26, 27, 28, 33, and 34 in sec. 34, T. 1 N., R. 8 E., San Bernardino Meridian.

**"SEC. 1704. AUTHORIZATION OF APPROPRIATIONS.**

"There are authorized to be appropriated such sums as are necessary to carry out this title.

**"TITLE XVIII—OFF-HIGHWAY VEHICLE RECREATION AREAS**

**"SEC. 1801. DESIGNATION OF OFF-HIGHWAY VEHICLE RECREATION AREAS.**

"(a) DESIGNATION.—In accordance with the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701 et seq.) and resource management plans developed under this title and subject to valid existing rights, the following land within the Conservation Area in San Bernardino County, California, is designated as Off-Highway Vehicle Recreation Areas:

"(1) EL MIRAGE OFF-HIGHWAY VEHICLE RECREATION AREA.—Certain Bureau of Land Management land in the Conservation Area, comprising approximately 25,600 acres, as generally depicted on the map entitled 'El Mirage Off-Highway Vehicle Recreation Area' and dated July 15, 2009, which shall be known as the 'El Mirage Off-Highway Vehicle Recreation Area'.

"(2) JOHNSON VALLEY OFF-HIGHWAY VEHICLE RECREATION AREA.—

"(A) IN GENERAL.—Certain Bureau of Land Management land in the Conservation Area, comprising approximately 180,000 acres, as generally depicted on the map entitled 'Johnson Valley Off-Highway Vehicle Recreation Area' and dated July 15, 2009, which shall be known as the 'Johnson Valley Off-Highway Vehicle Recreation Area'.

"(B) EXCLUSIONS.—

"(i) IN GENERAL.—Subject to clause (iii), the land described in clause (ii) shall be excluded from the Johnson Valley Off-Highway Vehicle Recreation Area to permit the Secretary of the Navy to study the land for—

"(I) withdrawal in accordance with the Act of February 28, 1958 (43 U.S.C. 155 et seq.); and

"(II) potential inclusion in the Marine Corps Air Ground Combat Center at Twentynine Palms, California, for national defense purposes.

"(ii) STUDY AREA.—The land referred to in clause (i) is the land that—

"(I) is described in—

"(aa) the notice of the Bureau of Land Management of September 15, 2008 entitled 'Notice of Proposed Legislative Withdrawal and Opportunity for Public Meeting; California' (73 Fed. Reg. 53269); or

"(bb) any subsequent notice in the Federal Register that is related to the notice described in item (aa); and

"(II) has been segregated by the Director of the Bureau of Land Management.

"(iii) INCORPORATION IN OFF-HIGHWAY VEHICLE RECREATION AREA.—After action by the Secretary of Defense and Congress regarding the withdrawal under subparagraph (A), any land within the study area that is not withdrawn shall be incorporated into the Johnson Valley Off-Highway Vehicle Recreation Area.

"(C) JOINT USE OF CERTAIN LAND.—The Secretary of Defense shall consider a potential joint use area within the Johnson Valley Off-Highway Vehicle Recreation Area as part of the environmental impact statement of the Department of Defense that would allow for continued recreational opportunities on the joint use area during periods in which—

"(i) the joint use area is not needed for military training activities; and

"(ii) public safety can be ensured.

"(D) MILITARY ACCESS FOR ADMINISTRATIVE PURPOSES.—In cooperation with the Secretary of the Interior, the Secretary of the Navy may, after notifying the Secretary of the Interior, access the Johnson Valley Off-Highway Vehicle Recreation Area for national defense purposes supporting military training (including military range management and exercise control activities).

"(3) RASOR OFF-HIGHWAY VEHICLE RECREATION AREA.—Certain Bureau of Land Management land in the Conservation Area, comprising approximately 22,400 acres, as generally depicted on the map entitled 'Rasor Off-Highway Vehicle Recreation Area' and dated July 15, 2009, which shall be known as the 'Rasor Off-Highway Vehicle Recreation Area'.

"(4) SPANGLER HILLS OFF-HIGHWAY VEHICLE RECREATION AREA.—Certain Bureau of Land Management land in the Conservation Area, comprising approximately 62,080 acres, as generally depicted on the map entitled 'Spangler Hills Off-Highway Vehicle Recreation Area' and dated July 15, 2009, which shall be known as the 'Spangler Off-Highway Vehicle Recreation Area'.

"(5) STODDARD VALLEY OFF-HIGHWAY VEHICLE RECREATION AREA.—Certain Bureau of Land Management land in the Conservation Area, comprising approximately 54,400 acres, as generally depicted on the map entitled 'Stoddard Valley Off-Highway Vehicle Recreation Area' and dated July 15, 2009, which shall be known as the 'Stoddard Valley Off-Highway Vehicle Recreation Area'.

"(b) PURPOSE.—The purpose of the off-highway vehicle recreation areas designated under subsection (a) is to preserve and enhance the recreational opportunities within the Conservation Area (including opportunities for off-highway vehicle recreation), while conserving the wildlife and other natural resource values of the Conservation Area.

"(c) MAPS AND DESCRIPTIONS.—

"(1) PREPARATION AND SUBMISSION.—As soon as practicable after the date of enactment of this title, the Secretary shall file a map and legal description of each off-highway vehicle recreation area designated by subsection (a) with—

"(A) the Committee on Natural Resources of the House of Representatives; and

"(B) the Committee on Energy and Natural Resources of the Senate.

"(2) LEGAL EFFECT.—The map and legal descriptions of the off-highway vehicle recreation areas filed under paragraph (1) shall have the same force and effect as if included in this title, except that the Secretary may correct errors in the map and legal descriptions.

"(3) PUBLIC AVAILABILITY.—Each map and legal description filed under paragraph (1) shall be filed and made available for public

inspection in the appropriate offices of the Bureau of Land Management.

“(d) USE OF THE LAND.—

“(1) RECREATIONAL ACTIVITIES.—

“(A) IN GENERAL.—The Secretary shall continue to authorize, maintain, and enhance the recreational uses of the off-highway vehicle recreation areas designated by subsection (a), including off-highway recreation, hiking, camping, hunting, mountain biking, sightseeing, rockhounding, and horseback riding, as long as the recreational use is consistent with this section and any other applicable law.

“(B) OFF-HIGHWAY VEHICLE AND OFF-HIGHWAY RECREATION.—To the extent consistent with applicable Federal law (including regulations) and this section, any authorized recreation activities and use designations in effect on the date of enactment of this title and applicable to the off-highway vehicle recreation areas designated by subsection (a) shall continue, including casual off-highway vehicular use, racing, competitive events, rock crawling, training, and other forms of off-highway recreation.

“(2) WILDLIFE GUZZLERS.—Wildlife guzzlers shall be allowed in the off-highway vehicle recreation areas designated by subsection (a) in accordance with applicable Bureau of Land Management guidelines.

“(3) PROHIBITED USES.—Residential and commercial development (including development of mining and energy facilities, but excluding transmission line rights-of-way and related telecommunication facilities) shall be prohibited in the off-highway vehicle recreation areas designated by subsection (a) if the Secretary determines that the development is incompatible with the purpose described in subsection (b).

“(e) ADMINISTRATION.—

“(1) IN GENERAL.—The Secretary shall administer the off-highway vehicle recreation areas designated by subsection (a) in accordance with—

“(A) this title;

“(B) the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701 et seq.); and

“(C) any other applicable laws (including regulations).

“(2) MANAGEMENT PLAN.—

“(A) IN GENERAL.—As soon as practicable, but not later than 3 years after the date of enactment of this title, the Secretary shall—

“(i) amend existing resource management plans applicable to the land designated as off-highway vehicle recreation areas under subsection (a); or

“(ii) develop new management plans for each off-highway vehicle recreation area designated under that subsection.

“(B) REQUIREMENTS.—All new or amended plans under subparagraph (A) shall be designed to preserve and enhance safe off-highway vehicle and other recreational opportunities within the applicable recreation area consistent with—

“(i) the purpose described in subsection (b); and

“(ii) any applicable laws (including regulations).

“(C) INTERIM PLANS.—Pending completion of a new management plan under subparagraph (A), the existing resource management plans shall govern the use of the applicable off-highway vehicle recreation area.

“(f) STUDY.—

“(1) IN GENERAL.—As soon as practicable, but not later than 2 years, after the date of enactment of this title, the Secretary shall complete a study to identify Bureau of Land Management land adjacent to the off-highway vehicle recreation areas designated by subsection (a) that is suitable for addition to the off-highway vehicle recreation areas.

“(2) REQUIREMENTS.—In preparing the study under paragraph (1), the Secretary shall—

“(A) seek input from stakeholders, including—

“(i) the State;

“(ii) San Bernardino County, California;

“(iii) the public;

“(iv) recreational user groups; and

“(v) conservation organizations;

“(B) explore the feasibility of expanding the southern boundary of the off-highway vehicle recreation area described in subsection (a)(4) to include previously disturbed land;

“(C) identify and exclude from consideration any land that—

“(i) is managed for conservation purposes;

“(ii) may be suitable for renewable energy development; or

“(iii) may be necessary for energy transmission; and

“(D) not recommend or approve expansion areas that collectively would exceed the total acres administratively designated for off-highway recreation within the Conservation Area as of the date of enactment of this title.

“(3) APPLICABLE LAW.—The Secretary shall consider the information and recommendations of the study completed under paragraph (1) to determine the impacts of expanding off-highway vehicle recreation areas designated by subsection (a) on the Conservation Area, in accordance with—

“(A) the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.);

“(B) the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.); and

“(C) any other applicable law.

“(4) SUBMISSION TO CONGRESS.—On completion of the study under paragraph (1), the Secretary shall submit the study to—

“(A) the Committee on Natural Resources of the House of Representatives; and

“(B) the Committee on Energy and Natural Resources of the Senate.

“(5) AUTHORIZATION FOR EXPANSION.—

“(A) IN GENERAL.—On completion of the study under paragraph (1) and in accordance with all applicable laws (including regulations), the Secretary shall authorize the expansion of the off-highway vehicle recreation areas recommended under the study.

“(B) MANAGEMENT.—Any land within the expanded areas under subparagraph (A) shall be managed in accordance with this section.

#### “TITLE XIX—MISCELLANEOUS

##### “SEC. 1901. STATE LAND TRANSFERS AND EXCHANGES.

“(a) TRANSFER OF LAND TO ANZA-BORREGO DESERT STATE PARK.—

“(1) IN GENERAL.—On termination of all mining claims to the land described in paragraph (2), the Secretary shall transfer the land described in that paragraph to the State.

“(2) DESCRIPTION OF LAND.—The land referred to in paragraph (1) is certain Bureau of Land Management land in San Diego County, California, comprising approximately 934 acres, as generally depicted on the 2 maps entitled ‘Anza-Borrego Desert State Park Additions-Table Mountain Wilderness Study Area’ and dated July 15, 2009.

“(3) MANAGEMENT.—

“(A) IN GENERAL.—The land transferred under paragraph (1) shall be managed in accordance with the provisions of the California Wilderness Act (California Public Resources Code sections 5093.30–5093.40).

“(B) WITHDRAWAL.—Subject to valid existing rights, the land transferred under paragraph (1) is withdrawn from—

“(i) all forms of entry, appropriation, or disposal under the public land laws;

“(ii) location, entry, and patent under the mining laws; and

“(iii) disposition under all laws relating to mineral and geothermal leasing.

“(C) REVERSION.—If the State ceases to manage the land transferred under paragraph (1) as part of the State Park System or in a manner inconsistent with the California Wilderness Act (California Public Resources Code sections 5093.30–5093.40), the land shall revert to the Secretary, to be managed as a Wilderness Study Area.

“(b) LAND EXCHANGES.—

“(1) IN GENERAL.—The Secretary shall, in consultation and cooperation with the California State Lands Commission (referred to in this section as the ‘Commission’), develop a process to exchange isolated parcels of State land within the Conservation Area for Federal land located in the Conservation Area or other Federal land in the State that—

“(A) is consistent with the plans described in paragraph (2); and

“(B) ensures that the conservation goals and objectives identified in those plans are not adversely impacted.

“(2) DESCRIPTION OF PLANS.—The plans referred to in paragraph (1) are—

“(A) the California Desert Renewable Energy Conservation Plan;

“(B) the California Desert Conservation Area Plan;

“(C) the Northern and Eastern Colorado Desert Plan; and

“(D) any other applicable plans.

“(3) REQUIREMENTS.—The process developed under paragraph (1) shall—

“(A) apply to all State land within the Conservation Area that is under the jurisdiction of the Commission;

“(B) prioritize the elimination of State land from units of the National Park System, national monuments, and wilderness areas;

“(C) provide the Commission with consolidated land holdings sufficient to make the land viable for commercial or recreation uses, including renewable energy development, off-highway vehicle recreation, or State infrastructure or resource needs;

“(D) establish methods to ensure that—

“(i) not later than 1 year after the date of enactment of this title, the Secretary and the Commission complete an inventory of Federal land and State land in the Conservation Area under the jurisdiction of the Secretary and the Commission, respectively, and any other Federal land and property outside the Conservation Area that is determined to be suitable for exchange consistent with paragraph (1);

“(ii) there is a public comment period of not less than 90 days with respect to—

“(I) the inventory of land under clause (i); and

“(II) any proposed land exchange under this section that involves more than 5,000 acres of Federal land;

“(iii) in preparing the inventory of Federal land suitable for exchange under clause (i), the Secretary shall use best efforts to give priority to—

“(I) land that has the potential for commercial development, including renewable energy development, such as wind and solar energy development;

“(II) the land described in section 707(b)(2); and

“(III) land located outside the boundaries of the Conservation Area (including closed military base land and land identified as surplus by the Administrator of the General Services Administration) to avoid, to the maximum extent feasible, conflicts with conservation of desert land;

“(iv) the inventory under clause (i) is updated annually by the Secretary and resubmitted to the Commission; and

“(v) the land exchanges are completed by the date that is 10 years after the date of enactment of this title; and

“(E) provide for the submission of annual reports to Congress that—

“(i) describe any progress or impediments to accomplishing the goal described in subparagraph (D)(v); and

“(ii) any recommendations for legislation to accomplish the goal.

“(4) VALUATION.—Notwithstanding paragraphs (2) through (5) of subsection (d) of section 206 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1716(d)), if, within 180 days after the submission of an appraisal under subsection (d)(1) of that section, the Secretary and the Commission cannot agree to accept the findings of the appraisal—

“(A) the Secretary and the Commission shall mutually agree to employ a process of bargaining or some other process to determine the values of the land involved in the exchange;

“(B) the appraisal shall be submitted to an arbiter appointed by the Secretary from a list of arbitrators submitted to the Secretary by the American Arbitration Association for arbitration; and

“(C) although the decision of the arbiter under subparagraph (B) shall be nonbinding, the decision may be used by the Secretary and the Commission as a valid appraisal for—

“(i) a period of 2 years; and

“(ii) on mutual agreement of the Secretary and the Commission, an additional 2-year period; or

“(D) on mutual agreement of the Secretary and the Commission, the valuation process shall be suspended or modified.

“(5) TREATMENT OF LAND USE RESTRICTIONS AND PENDING APPLICATIONS.—For the purposes of this title—

“(A) the Secretary shall not exclude parcels from exchanges because the parcels are subject to designations or pending land use applications, including applications for the development of renewable energy;

“(B) all Federal land and State land proposed for exchange or sale shall be valued—

“(i) according to fair market value;

“(ii) in accordance with section 206(d) of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1716(d)); and

“(iii) without regard to—

“(I) pending land use applications;

“(II) renewable energy designations; or

“(III) any land use restrictions on adjacent land.

“(6) COOPERATION AGREEMENTS.—The Secretary may—

“(A) enter into such joint agreements with the General Services Administration and the Commission as the Secretary determines to be necessary to facilitate land exchanges, including agreements that establish accounting mechanisms—

“(i) to be used for tracking the differential in dollar value of land conveyed in a series of transactions; and

“(ii) that, notwithstanding part 2200 of title 43, Code of Federal Regulations (or successor regulations), may carry outstanding cumulative credit balances until the completion of the land exchange process developed under paragraph (1); and

“(B) to the extent that the agreement does not conflict with this section, continue using the agreement entitled ‘Memorandum of Agreement Between California State Lands Commission, General Services Administration, and the Department of the Interior Regarding: Implementation of the California Desert Protection Act’, which became effective on November 7, 1995.

“(7) EXISTING LAW.—Except as otherwise provided in this section, nothing in this section supersede or limits section 707.

“(8) STATE LAND LEASES.—

“(A) IN GENERAL.—The Secretary shall manage any State land described in subparagraph (B) in accordance with the terms and conditions of the applicable State lease agreement for the duration of the lease, subject to applicable laws (including regulations).

“(B) DESCRIPTION OF STATE LAND.—The State land referred to in subparagraph (A) is any State land within the Conservation Area that is subject to a lease or permit on the date of enactment of this title that is transferred to the Federal Government.

“(C) EXPIRATION OF LEASE.—On the expiration of a State lease referred to in subparagraph (A), the Secretary shall provide lessees with the opportunity to seek Federal permits to continue the existing use of the State land without further action otherwise required under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.).

“(D) APPLICABLE LAW.—Except as otherwise provided in this section, any State land transferred to the United States under this section shall be managed in accordance with all laws (including regulations) and rules applicable to the public land adjacent to the transferred State land.

“(c) TWENTYNINE PALMS MARINE CORP BASE.—

“(1) IN GENERAL.—The Secretary and the Secretary of Defense, in consultation and in cooperation with the California State Lands Commission, shall develop a process to purchase or exchange parcels of State land within the area of expansion and land use restrictions planned for the Twentynine Palms Marine Corp Base.

“(2) REQUIREMENTS.—The process developed under paragraph (1) for exchanged parcels of State land shall provide the California State Lands Commission with consolidated land holdings sufficient to make the land viable for commercial or recreational uses, including renewable energy development, off-highway vehicle recreation, or State infrastructure or resource needs.

“(3) APPLICABLE LAW.—An exchange of land under this subsection shall be subject to the requirements of subsection (b).

“(d) HOLTVILLE AIRPORT, IMPERIAL COUNTY.—

“(1) IN GENERAL.—On the submission of an application by Imperial County, California, the Secretary of Transportation shall, in accordance with section 47125 of title 49, United States Code, and section 2641.1 of title 43, Code of Federal Regulations (or successor regulations) seek a conveyance from the Secretary of approximately 3,500 acres of Bureau of Land Management land adjacent to the Imperial County Holtville Airport (L04) for the purposes of airport expansion.

“(2) SEGREGATION.—The Secretary (acting through the Director of the Bureau of Land Management) shall, with respect to the land to be conveyed under paragraph (1)—

“(A) segregate the land; and

“(B) prohibit the appropriation of the land until—

“(i) the date on which a notice of realty action terminates the application; or

“(ii) the date on which a document of conveyance is published.

“(e) NEEDLES SOLAR RESERVE, SAN BERNARDINO COUNTY.—

“(1) IN GENERAL.—The Secretary shall grant to the Commission a right of first refusal to exchange the State land described in paragraph (2) for Bureau of Land Management land identified for disposal.

“(2) SECONDARY RIGHT OF REFUSAL.—If the Commission declines to exchange State land for Bureau of Land Management land identi-

fied for disposal within the city limits of Needles, California, the City of Needles shall have a secondary right of refusal to acquire the land.

“SEC. 1902. MILITARY ACTIVITIES.

“Nothing in this Act—

“(1) restricts or precludes Department of Defense motorized access by land or air—

“(A) to respond to an emergency within a wilderness area designated by this Act; or

“(B) to control access to the emergency site;

“(2) prevents nonmechanized military training activities previously conducted on wilderness areas designated by this title that are consistent with—

“(A) the Wilderness Act (16 U.S.C. 1131 et seq.); and

“(B) all applicable laws (including regulations);

“(3) restricts or precludes low-level overflights of military aircraft over the areas designated as wilderness, national monuments, special management areas, or recreation areas by this Act, including military overflights that can be seen or heard within the designated areas;

“(4) restricts or precludes flight testing and evaluation in the areas described in paragraph (3);

“(5) restricts or precludes the designation or creation of new units of special use airspace, or the establishment of military flight training routes, over the areas described in paragraph (3); or

“SEC. 1903. CLIMATE CHANGE AND WILDLIFE CORRIDORS.

“(a) IN GENERAL.—The Secretary shall—

“(1) assess the impacts of climate change on the Conservation Area; and

“(2) establish policies and procedures to ensure the preservation of wildlife corridors and facilitate species migration likely to occur due to climate change.

“(b) STUDY.—

“(1) IN GENERAL.—As soon as practicable, but not later than 2 years, after the date of enactment of this title, the Secretary shall complete a study regarding the impact of global climate change on the Conservation Area.

“(2) COMPONENTS.—The study under paragraph (1) shall—

“(A) identify the species migrating, or likely to migrate, due to climate change;

“(B) examine the impacts and potential impacts of climate change on—

“(i) plants, insects, and animals;

“(ii) soil;

“(iii) air quality;

“(iv) water quality and quantity; and

“(v) species migration and survival;

“(C) identify critical wildlife and species migration corridors recommended for preservation; and

“(D) include recommendations for ensuring the biological connectivity of public land managed by the Secretary and the Secretary of Defense throughout the Conservation Area.

“(3) RIGHTS-OF-WAY.—The Secretary shall consider the information and recommendations of the study under paragraph (1) to determine the individual and cumulative impacts of rights-of-way for projects in the Conservation Area, in accordance with—

“(A) the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.);

“(B) the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.); and

“(C) any other applicable law.

“(c) LAND MANAGEMENT PLANS.—The Secretary shall incorporate into all land management plans applicable to the Conservation Area the findings and recommendations of the study completed under subsection (b).

**“SEC. 1904. PROHIBITED USES OF DONATED AND ACQUIRED LAND.**

“(a) DEFINITIONS.—In this section:

“(1) ACQUIRED LAND.—The term ‘acquired land’ means any land acquired for the Conservation Area using amounts from the Land and Water Conservation Fund established under section 2 of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-5).

“(2) DONATED LAND.—The term ‘donated land’ means any private land donated to the United States for conservation purposes in the Conservation Area.

“(3) DONOR.—The term ‘donor’ means an individual or entity that donates private land within the Conservation Area to the United States.

“(4) SECRETARY.—The term ‘Secretary’ means the Secretary of the Interior, acting through the Director of the Bureau of Land Management.

“(b) PROHIBITIONS.—Except as provided in subsection (c), there shall be prohibited with respect to donated land or acquired land—

“(1) disposal; or

“(2) any land use authorization that would result in appreciable damage or disturbance to the public lands, including—

“(A) rights-of-way;

“(B) leases;

“(C) livestock grazing;

“(D) infrastructure development;

“(E) mineral entry;

“(F) off-highway vehicle use, except on—

“(i) designated routes;

“(ii) off-highway vehicle areas designated by law; and

“(iii) administratively-designated open areas; and

“(G) any other activities that would create impacts contrary to the conservation purposes for which the land was donated or acquired.

“(c) EXCEPTIONS.—

“(1) AUTHORIZATION BY SECRETARY.—Subject to paragraph (2), the Secretary may authorize limited exceptions to prohibited uses of donated land or acquired land in the Conservation Area if—

“(A) an applicant has submitted a right-of-way use application to the Bureau of Land Management proposing renewable energy development on the donated land or acquired land on or before December 1, 2009; or

“(B) after the completion of an analysis under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.), including full public participation in the analysis, the Secretary has determined that—

“(i) the use of the donated land or acquired land is in the public interest;

“(ii) the impacts of the use are fully and appropriately mitigated; and

“(iii) the land was donated or acquired on or before December 1, 2009.

“(2) CONDITIONS.—

“(A) IN GENERAL.—If the Secretary grants an exception to the prohibition under paragraph (1), the Secretary shall require the permittee to acquire and donate comparable private land to the United States to mitigate the use.

“(B) APPROVAL.—The private land to be donated under subparagraph (A) shall be approved by the Secretary after consultation, to the maximum extent practicable, with the donor of the private land proposed for non-conservation uses.

“(d) EXISTING AGREEMENTS.—Nothing in this section affects permitted or prohibited uses of donated land or acquired land in the Conservation Area established in any easements, deed restrictions, memoranda of understanding, or other agreements in existence on the date of enactment of this title.

“(e) DEED RESTRICTIONS.—The Secretary may accept deed restrictions requested by donors for land donated to the United States

within the Conservation Area after the date of enactment of this title.

**“SEC. 1905. TRIBAL USES AND INTERESTS.**

“(a) ACCESS.—The Secretary shall ensure access to areas designated under this Act by members of Indian tribes for traditional cultural and religious purposes, consistent with applicable law, including Public Law 95-341 (commonly known as the ‘American Indian Religious Freedom Act’) (42 U.S.C. 1996).

“(b) TEMPORARY CLOSURE.—

“(1) IN GENERAL.—In accordance with applicable law, including Public Law 95-341 (commonly known as the ‘American Indian Religious Freedom Act’) (42 U.S.C. 1996), and subject to paragraph (2), the Secretary, on request of an Indian tribe or Indian religious community, shall temporarily close to general public use any portion of an area designated as a national monument, special management area, wild and scenic river, or National Park System unit under this Act (referred to in this subsection as a ‘designated area’) to protect the privacy of traditional cultural and religious activities in the designated area by members of the Indian tribe or Indian religious community.

“(2) LIMITATION.—In closing a portion of a designated area under paragraph (1), the Secretary shall limit the closure to the smallest practicable area for the minimum period necessary for the traditional cultural and religious activities.

“(c) TRIBAL CULTURAL RESOURCES MANAGEMENT PLAN.—

“(1) IN GENERAL.—Not later than 2 years after the date of enactment of this title, the Secretary of the Interior shall develop and implement a tribal cultural resources management plan to identify, protect, and conserve cultural resources of Indian tribes associated with the Xam Kwatchan Trail network extending from Avikwaame (Spirit Mountain, Nevada) to Avikwial (Pilot Knob, California).

“(2) CONSULTATION.—The Secretary shall consult on the development and implementation of the tribal cultural resources management plan under paragraph (1) with—

“(A) each of—

“(i) the Chemehuevi Indian Tribe;

“(ii) the Hualapai Tribal Nation;

“(iii) the Fort Mojave Indian Tribe;

“(iv) the Colorado River Indian Tribes;

“(v) the Quechan Indian Tribe; and

“(vi) the Cocopah Indian Tribe; and

“(B) the Advisory Council on Historic Preservation.

“(3) RESOURCE PROTECTION.—The tribal cultural resources management plan developed under paragraph (1) shall be—

“(A) based on a completed tribal cultural resources survey; and

“(B) include procedures for identifying, protecting, and preserving petroglyphs, ancient trails, intaglios, sleeping circles, artifacts, and other resources of cultural, archaeological, or historical significance in accordance with all applicable laws and policies, including—

“(i) the National Historic Preservation Act (16 U.S.C. 470 et seq.);

“(ii) Public Law 95-341 (commonly known as the ‘American Indian Religious Freedom Act’) (42 U.S.C. 1996);

“(iii) the Archaeological Resources Protection Act of 1979 (16 U.S.C. 470aa et seq.);

“(iv) the Native American Graves Protection and Repatriation Act (25 U.S.C. 3001 et seq.); and

“(v) Public Law 103-141 (commonly known as the ‘Religious Freedom Restoration Act of 1993’) (42 U.S.C. 2000bb et seq.).

“(d) WITHDRAWAL.—Subject to valid existing rights, all Federal land within the area administratively withdrawn and known as the ‘Indian Pass Withdrawal Area’ is permanently withdrawn from—

“(1) all forms of entry, appropriation, or disposal under the public laws;

“(2) location, entry, and patent under the mining laws; and

“(3) right-of-way leasing and disposition under all laws relating to mineral, solar, wind, and geothermal energy.”.

(b) CONFORMING AMENDMENTS.—

(1) SHORT TITLE.—Section 1 of the California Desert Protection Act of 1994 (16 U.S.C. 410aaa note) is amended by striking “1 and 2, and titles I through IX” and inserting “1, 2, and 3, titles I through IX, and titles XIII through XIX”.

(2) DEFINITIONS.—The California Desert Protection Act of 1994 (Public Law 103-433; 108 Stat. 4481) is amended by inserting after section 2 the following:

**“SEC. 3. DEFINITIONS.**

“In titles XIII through XIX:

“(1) CONSERVATION AREA.—The term ‘Conservation Area’ means the California Desert Conservation Area.

“(2) SECRETARY.—The term ‘Secretary’ means—

“(A) with respect to land under the jurisdiction of the Secretary of the Interior, the Secretary of the Interior; and

“(B) with respect to land under the jurisdiction of the Secretary of Agriculture, the Secretary of Agriculture.

“(3) STATE.—The term ‘State’ means the State of California.”.

(3) ADMINISTRATION OF WILDERNESS AREAS.—Section 103 of the California Desert Protection Act of 1994 (Public Law 103-433; 108 Stat. 4481) is amended—

(A) by striking subsection (d) and inserting the following:

“(d) NO BUFFER ZONES.—

“(1) IN GENERAL.—Congress does not intend for the designation of wilderness areas by this Act—

“(A) to require the additional regulation of land adjacent to the wilderness areas; or

“(B) to lead to the creation of protective perimeters or buffer zones around the wilderness areas.

“(2) NONWILDERNESS ACTIVITIES.—Any non-wilderness activities (including renewable energy projects, mining, camping, hunting, and military activities) in areas immediately adjacent to the boundary of a wilderness area designated by this Act shall not be restricted or precluded by this Act, regardless of any actual or perceived negative impacts of the nonwilderness activities on the wilderness area, including any potential indirect impacts of nonwilderness activities conducted outside the designated wilderness area on the viewshed, ambient noise level, or air quality of wilderness area.”.

(B) in subsection (f), by striking “designated by this title and” inserting “, potential wilderness areas, special management areas, and national monuments designated by this title or titles XIII through XIX”; and

(C) in subsection (g), by inserting “, a potential wilderness area, a special management areas, or national monument” before “by this Act”.

(4) MOJAVE NATIONAL PRESERVE.—Title V of the California Desert Protection Act of 1994 (16 U.S.C. 410aaa-41 et seq.) is amended by adding at the end the following:

**“SEC. 520. NATIVE GROUNDWATER SUPPLIES.**

“The Director of the Bureau of Land Management shall not access or process any application for a right-of-way for development projects that propose to use native groundwater from aquifers adjacent to the Mojave National Preserve that individually or collectively, in combination with proposed or anticipated projects on private land, require the use of native groundwater in excess of the estimated recharge rate as determined by the United States Geological Survey.”.



(5) AMENDMENTS TO THE CALIFORNIA MILITARY LANDS WITHDRAWAL AND OVERFLIGHTS ACT OF 1994.—

(A) FINDINGS.—Section 801(b)(2) of the California Military Lands Withdrawal and Overflights Act of 1994 (16 U.S.C. 410aaa-82 note) is amended by inserting “, national monuments, special management areas, potential wilderness areas,” before “and wilderness areas”.

(B) OVERFLIGHTS; SPECIAL AIRSPACE.—Section 802 of the California Military Lands Withdrawal and Overflights Act of 1994 (16 U.S.C. 410aaa-82) is amended—

(i) in subsection (a), by inserting “, national monuments, or special management areas” before “designated by this Act”;

(ii) in subsection (b), by inserting “, national monuments, or special management areas” before “designated by this Act”; and

(iii) by adding at the end the following:

“(d) DEPARTMENT OF DEFENSE FACILITIES.—Nothing in this Act alters any authority of the Secretary of Defense to conduct military operations at installations and ranges within the California Desert Conservation Area that are authorized under any other provision of law.”.

### SEC. 3. DESIGNATION OF WILD AND SCENIC RIVERS.

Section 3(a) of the Wild and Scenic Rivers Act (16 U.S.C. 1274(a)) is amended—

(1) in paragraph (196), by striking subparagraph (A) and inserting the following:

“(A)(i) The approximately 1.4-mile segment of the Amargosa River in the State of California, from the private property boundary in sec. 19, T. 22 N., R. 7 E., to 100 feet downstream of Highway 178, to be administered by the Secretary of the Interior as a scenic river as an addition to the Amargosa Wild and Scenic River on publication by the Secretary of the Interior of a notice in the Federal Register that sufficient inholdings within the boundaries of the segment have been acquired as scenic easements or in fee title to establish a manageable addition to the Amargosa Wild and Scenic River.

“(ii) The approximately 6.1-mile segment of the Amargosa River in the State of California, from 100 feet downstream of the State Highway 178 crossing to 100 feet upstream of the Tecopa Hot Springs Road crossing, to be administered by the Secretary of the Interior as a scenic river.”; and

(2) by adding at the end the following:

“(208) SURPRISE CANYON CREEK, CALIFORNIA.—

“(A) IN GENERAL.—The following segments of Surprise Canyon Creek in the State of California, to be administered by the Secretary of the Interior:

“(i) The approximately 5.3 miles of Surprise Canyon Creek from the confluence of Frenchman’s Canyon and Water Canyon to 100-feet upstream of Chris Wicht Camp, as a wild river.

“(ii) The approximately 1.8 miles of Surprise Canyon Creek from 100 feet upstream of Chris Wicht Camp to the southern boundary of sec. 14, T. 21 N., R. 44 E., as a recreational river.

“(B) EFFECT ON HISTORIC MINING STRUCTURES.—Nothing in this paragraph affects the historic mining structures associated with the former Panamint Mining District.

“(209) DEEP CREEK, CALIFORNIA.—

“(A) IN GENERAL.—The following segments of Deep Creek in the State of California, to be administered by the Secretary of Agriculture:

“(i) The approximately 6.5-mile segment from 0.125 mile downstream of the Rainbow Dam site in sec. 33, T. 2 N., R. 2 W., to 0.25-miles upstream of the Road 3N34 crossing, as a wild river.

“(ii) The 0.5-mile segment from 0.25 mile upstream of the Road 3N34 crossing to 0.25

mile downstream of the Road 3N34 crossing, as a scenic river.

“(iii) The 2.5-mile segment from 0.25 miles downstream of the Road 3 N. 34 crossing to 0.25 miles upstream of the Trail 2W01 crossing, as a wild river.

“(iv) The 0.5-mile segment from 0.25 miles upstream of the Trail 2W01 crossing to 0.25 mile downstream of the Trail 2W01 crossing, as a scenic river.

“(v) The 10-mile segment from 0.25 miles downstream of the Trail 2W01 crossing to the upper limit of the Mojave dam flood zone in sec. 17, T. 3 N., R. 3 W., as a wild river.

“(vi) The 11-mile segment of Holcomb Creek from 100 yards downstream of the Road 3N12 crossing to .25 miles downstream of Holcomb Crossing, as a recreational river.

“(vii) The 3.5-mile segment of the Holcomb Creek from 0.25 miles downstream of Holcomb Crossing to the Deep Creek confluence, as a wild river.

“(B) EFFECT ON SKI OPERATIONS.—Nothing in this paragraph affects—

“(i) the operations of the Snow Valley Ski Resort; or

“(ii) the State regulation of water rights and water quality associated with the operation of the Snow Valley Ski Resort.

“(210) WHITEWATER RIVER, CALIFORNIA.—The following segments of the Whitewater River in the State of California, to be administered by the Secretary of Agriculture and the Secretary of the Interior, acting jointly:

“(A) The 5.8-mile segment of the North Fork Whitewater River from the source of the River near Mt. San Geronio to the confluence with the Middle Fork, as a wild river.

“(B) The 6.4-mile segment of the Middle Fork Whitewater River from the source of the River to the confluence with the South Fork, as a wild river.

“(C) The 1-mile segment of the South Fork Whitewater River from the confluence of the River with the East Fork to the section line between sections 32 and 33, T. 1 S., R. 2 E., as a wild river.

“(D) The 1-mile segment of the South Fork Whitewater River from the section line between sections 32 and 33, T. 1 S., R. 2 E., to the section line between sections 33 and 34, T. 1 S., R. 2 E., as a recreational river.

“(E) The 4.9-mile segment of the South Fork Whitewater River from the section line between sections 33 and 34, T. 1 S., R. 2 E., to the confluence with the Middle Fork, as a wild river.

“(F) The 5.4-mile segment of the main stem of the Whitewater River from the confluence of the South and Middle Forks to the San Geronio Wilderness boundary, as a wild river.

“(G) The 2.7-mile segment of the main stem of the Whitewater River from the San Geronio Wilderness boundary to the southern boundary of section 26, T. 2 S., R. 3 E., as a recreational river.”.

By Mr. BAUCUS (for himself, Mr. GRASSLEY, Mr. LEVIN, Mr. BINGAMAN, Mr. WYDEN, Mr. CONRAD, Mr. ENZI, and Mr. KERRY):

S. 139. A bill to provide that certain tax planning strategies are not patentable, and for other purposes; to the Committee on the Judiciary.

Mr. BAUCUS. Mr. President, American judge and judicial philosopher Learned Hand once wrote: “Any one may so arrange his affairs that his taxes shall be as low as possible; he is not bound to choose that pattern which will best pay the Treasury.”

Judge Hand would probably have been surprised to learn that, through

the use of patents, certain individuals have acquired monopolies on methods of arranging one’s affairs to lower taxes.

That is precisely what patenting a tax strategy does: it gives the holder the exclusive right to exclude others from a particular transaction or financial arrangement without permission or payment of a royalty.

And patents have been granted on ideas as simple as funding a certain type of tax-favored trust with a specific type of financial product or calculating the ways to minimize the tax burden of converting to an alternative retirement plan.

These commonsense tax planning approaches should be available to everyone. No one should be able to patent those techniques.

Let’s first assume that the tax planning technique is legitimate under the Tax Code and does, indeed, reduce taxes.

In that case, every taxpayer should be able to plan in a way that they can lower their taxes without paying royalties or worrying that they are violating patent law while filing their tax returns. This is a matter of fairness and uniform application of the tax laws.

Conversely, there are tax planning techniques that are not legitimate under the Tax Code, say, for example, a tax shelter designed to illegally evade taxes.

No taxpayer should be using those strategies. A patent on those ideas may mislead unknowing taxpayers into believing that the strategy is valid under the tax law.

Today, we have gathered a coalition of Senators to introduce legislation to prevent patents from being issued on claims of tax strategies.

Our bill, the “Equal Access to Tax Planning Act,” makes it clear that any strategy for reducing, avoiding, or deferring tax liability relies on the provisions of the Tax Code to work, will not be considered a new or nonobvious idea and therefore not be eligible for a patent.

In the lingo of the patent law, the Tax Code is “prior art”—which is just another way of saying it isn’t novel and nonobvious—and methods of complying with the Code cannot be patented. This would be the result under patent law whenever an invention was not found to be novel or nonobvious.

This legislation does not hinder patent protection for otherwise novel, non-tax driven inventions but only stops the patenting of the tax strategy claims.

Where a patent is indeed granted—for example, where an application advances multiple claims—the taxpayer has certainty that what is not patented is a strategy for applying the Tax Code.

It is encouraging that our bill has been incorporated into the larger patent bill that is being introduced by Senators GRASSLEY and LEAHY today.

I strongly believe in the importance of patents. America is a land that fosters innovation and competitiveness by

allowing inventors to benefit from their creative ideas.

Intellectual property drives our exports and our economy. But patents cannot be used to upset the fair and uniform application of the Tax Code.

Our tax system relies on the voluntary compliance of millions of taxpayers and the Tax Code cannot and should not be co-opted for private gain.

Mr. GRASSLEY. Mr. President, Senator BAUCUS and I first introduced a bill to ban patents for tax inventions in the 110th Congress. Since then, we have worked with the leaders of the Judiciary Committee, the Patent and Trademark Office, the American Institute of Certified Public Accountants, industry, and members of the patent bar to perfect the language. I am pleased to introduce this new and improved bill today with Senators BAUCUS, LEVIN, WYDEN, BINGAMAN, CONRAD, ENZI, and KERRY.

There are strong policy reasons to ban tax strategy patents. Tax strategy patents may lead to the marketing of aggressive tax shelters or otherwise mislead taxpayers about expected results. Tax strategy patents encumber the ability of taxpayers and their advisers to use the tax law freely, interfering with the voluntary tax compliance system. If firms or individuals were able to hold patents for these strategies, some taxpayers could face fees simply for complying with the Tax Code. And, tax patents provide windfalls to lawyers and patent holders by granting them exclusive rights to use tax loopholes, which could provide some businesses with an unfair advantage.

Tax strategy patents are unlikely to be novel given the public nature of the Tax Code. Moreover, tax strategy patents may undermine the fairness of the Federal tax system by removing from the public domain particular ways of satisfying a taxpayer's legal obligations. The Equal Access to Tax Planning Act expressly provides that a strategy for reducing, avoiding or deferring tax liability cannot be considered a new or nonobvious idea, and therefore, a patent on a tax strategy cannot be obtained. This ensures that all taxpayers will have equal access to strategies to comply with the Tax Code. I encourage support for this bill.

By Mr. KIRK (for himself and Mr. DURBIN):

S. 147. A bill to amend the Federal Water Pollution Control Act to establish a deadline for restricting sewage dumping into the Great Lakes and to fund programs and activities for improving wastewater discharges into the Great Lakes; to the Committee on Environment and Public Works.

Mr. KIRK. Mr. President, today I am pleased to join with Senator DURBIN to introduce the Great Lakes Water Protection Act. This bipartisan legislation would set a date certain to end sewage dumping in America's largest supply of fresh water, the Great Lakes. More

than thirty million Americans depend on the Great Lakes for their drinking water, food, jobs, and recreation. We need to put a stop to the poisoning of our water supply. Cities along the Great Lakes must become environmental stewards of our country's most precious freshwater ecosystem.

The Great Lakes Water Protection Act gives cities until 2031 to build the full infrastructure needed to prevent sewage dumping into the Great Lakes. Those who violate EPA sewage dumping regulations after that federal deadline will be subject to fines up to \$100,000 for each day a violation occurs. These fines will be directed to a newly established Great Lakes Clean-Up Fund within the Clean Water State Revolving Fund. Penalties collected would go into this fund and be reallocated to the states surrounding the Great Lakes. From there, the funds will be spent on wastewater treatment options, with a special focus on greener solutions such as habitat protection and wetland restoration.

This legislation is sorely needed. Many major cities along the Great Lakes do not have the infrastructure needed to divert sewage overflows during times of heavy rainfall. More than twenty-four billion gallons of sewage are dumped into the Lakes each year; Detroit alone dumps an estimated 13 billion gallons of sewage into the Great Lakes annually. EPA estimates show there is a total of 347 combined sewer outflows that discharge into the Lake Michigan basin alone. This development is echoed throughout the Great Lakes region and is one we need to reverse.

These disastrous practices result in thousands of annual beach closing for the region's 815 freshwater beaches. Illinois faced 628 beach closures or contamination advisories in 2009 alone, up 17 percent from 2008. This greatly affects the health of our children and families—a recent University of Chicago study showed swim bans at Chicago's beaches due to E. coli levels cost the local economy \$2.4 million in lost revenue every year.

Protecting our Great Lakes is one of my top priorities in the Congress. As an original sponsor of the Great Lakes Restoration Act, I favor a broad approach to addressing needs in the region. However, we must also move forward with tailored approaches to fix specific problems as we continue to push for more comprehensive reform. I am proud to introduce this important legislation that addresses a key problem facing our Great Lakes, and hope my colleagues will support me in ensuring that these important resources become free from the threat of sewage pollution.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 147

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the "Great Lakes Water Protection Act".

**SEC. 2. PROHIBITION ON SEWAGE DUMPING INTO THE GREAT LAKES.**

Section 402 of the Federal Water Pollution Control Act (33 U.S.C. 1342) is amended by adding at the end the following:

“(s) PROHIBITION ON SEWAGE DUMPING INTO THE GREAT LAKES.—

“(1) DEFINITIONS.—In this subsection:

“(A) BYPASS.—The term ‘bypass’ means an intentional diversion of waste streams to bypass any portion of a treatment facility which results in a discharge into the Great Lakes.

“(B) GREAT LAKES.—The term ‘Great Lakes’ has the meaning given the term in section 118(a)(3).

“(C) TREATMENT FACILITY.—The term ‘treatment facility’ includes all wastewater treatment units used by a publicly owned treatment works to meet secondary treatment standards or higher, as required to attain water quality standards, under any operating conditions.

“(D) TREATMENT WORKS.—The term ‘treatment works’ has the meaning given the term in section 212.

“(2) PROHIBITION.—A publicly owned treatment works is prohibited from intentionally diverting waste streams to bypass any portion of a treatment facility at the treatment works if the diversion results in a discharge into the Great Lakes unless—

“(A)(i) the bypass is unavoidable to prevent loss of life, personal injury, or severe property damage;

“(ii) there is not a feasible alternative to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime; and

“(iii) the treatment works provides notice of the bypass in accordance with this subsection; or

“(B) the bypass does not cause effluent limitations to be exceeded, and the bypass is for essential maintenance to ensure efficient operation of the treatment facility.

“(3) LIMITATION.—The requirement of paragraph (2)(A)(ii) is not satisfied if—

“(A) adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent the bypass; and

“(B) the bypass occurred during normal periods of equipment downtime or preventive maintenance.

“(4) NOTICE REQUIREMENTS.—A publicly owned treatment works shall provide to the Administrator (or to the State, in the case of a State that has a permit program approved under this section)—

“(A) prior notice of an anticipated bypass; and

“(B) notice of an unanticipated bypass by not later than 24 hours after the time at which the treatment works first becomes aware of the bypass.

“(5) FOLLOW-UP NOTICE REQUIREMENTS.—In the case of an unanticipated bypass for which a publicly owned treatment works provides notice under paragraph (4)(B), the treatment works shall provide to the Administrator (or to the State in the case of a State that has a permit program approved under this section), not later than 5 days following the date on which the treatment works first becomes aware of the bypass, a follow-up notice containing a description of—

“(A) the cause of the bypass;

“(B) the reason for the bypass;  
 “(C) the period of bypass, including the exact dates and times;  
 “(D) if the bypass has not been corrected, the anticipated time the bypass is expected to continue;  
 “(E) the volume of the discharge resulting from the bypass;  
 “(F) any public access areas that may be impacted by the bypass; and  
 “(G) steps taken or planned to reduce, eliminate, and prevent reoccurrence of the bypass.

“(6) PUBLIC AVAILABILITY OF NOTICES.—A publicly owned treatment works providing a notice under this subsection, and the Administrator (or the State, in the case of a State that has a permit program approved under this section) receiving such a notice, shall each post the notice, by not later than 48 hours after providing or receiving the notice (as the case may be), in a searchable database accessible on the Internet.

“(7) SEWAGE BLENDING.—Bypasses prohibited by this section include bypasses resulting in discharges from a publicly owned treatment works that consist of effluent routed around treatment units and thereafter blended together with effluent from treatment units prior to discharge.

“(8) IMPLEMENTATION.—Not later than 180 days after the date of enactment of this subsection, the Administrator shall establish procedures to ensure that permits issued under this section (or under a State permit program approved under this section) to a publicly owned treatment works include requirements to implement this subsection.

“(9) INCREASE IN MAXIMUM CIVIL PENALTY FOR VIOLATIONS OCCURRING AFTER JANUARY 1, 2031.—Notwithstanding section 309, in the case of a violation of this subsection occurring on or after January 1, 2031, or any violation of a permit limitation or condition implementing this subsection occurring after such date, the maximum civil penalty that shall be assessed for the violation shall be \$100,000 per day for each day the violation occurs.

“(10) APPLICABILITY.—This subsection shall apply to a bypass occurring after the last day of the 1-year period beginning on the date of enactment of this subsection.”.

### SEC. 3. ESTABLISHMENT OF GREAT LAKES CLEANUP FUND.

(a) IN GENERAL.—Title V of the Federal Water Pollution Control Act (33 U.S.C. 1361 et seq.) is amended—

(1) by redesignating section 519 (33 U.S.C. 1251 note) as section 520; and

(2) by inserting after section 518 (33 U.S.C. 1377) the following:

#### “SEC. 519. ESTABLISHMENT OF GREAT LAKES CLEANUP FUND.

“(a) DEFINITIONS.—In this section:

“(1) FUND.—The term ‘Fund’ means the Great Lakes Cleanup Fund established by subsection (b).

“(2) GREAT LAKES; GREAT LAKES STATES.—The terms ‘Great Lakes’ and ‘Great Lakes States’ have the meanings given the terms in section 118(a)(3).

“(b) ESTABLISHMENT OF FUND.—There is established in the Treasury of the United States a trust fund to be known as the ‘Great Lakes Cleanup Fund’ (in this section referred to as the ‘Fund’).

“(c) TRANSFERS TO FUND.—Effective January 1, 2031, there are authorized to be appropriated to the Fund amounts equivalent to the penalties collected for violations of section 402(s).

“(d) ADMINISTRATION OF FUND.—The Administrator shall administer the Fund.

“(e) USE OF FUNDS.—The Administrator shall—

“(1) make the amounts in the Fund available to the Great Lakes States for use in car-

rying out programs and activities for improving wastewater discharges into the Great Lakes, including habitat protection and wetland restoration; and

“(2) allocate those amounts among the Great Lakes States based on the proportion that—

“(A) the amount attributable to a Great Lakes State for penalties collected for violations of section 402(s); bears to

“(B) the total amount of those penalties attributable to all Great Lakes States.

“(f) PRIORITY.—In selecting programs and activities to be funded using amounts made available under this section, a Great Lakes State shall give priority consideration to programs and activities that address violations of section 402(s) resulting in the collection of penalties.”.

(b) CONFORMING AMENDMENT TO STATE REVOLVING FUND PROGRAM.—Section 607 of the Federal Water Pollution Control Act (33 U.S.C. 1387) is amended—

(1) by inserting “(a) IN GENERAL.—” before “There is”; and

(2) by adding at the end the following:

“(b) TREATMENT OF GREAT LAKES CLEANUP FUND.—For purposes of this title, amounts made available from the Great Lakes Cleanup Fund under section 519 shall be treated as funds authorized to be appropriated to carry out this title and as funds made available under this title, except that the funds shall be made available to the Great Lakes States in accordance with section 519.”.

Mr. DURBIN. Mr. President, today I am introducing the Great Lakes Water Protection Act with my colleague, Senator MARK KIRK.

We face many challenges in protecting the Great Lakes—from contaminated sediment to industrial pollutants to invasive species. This legislation tackles another significant threat to the water system municipal sewage.

A recent report found that from January 2009 through January 2010, five U.S. cities dumped a combined 41 billion gallons of waste water into the Great Lakes. Sewage and storm water discharges have been associated with elevated levels of bacterial pollutants. For the 40 million people who depend on the Great Lakes for their drinking water, that is no small matter.

When bacterial counts go too high, beaches have to be closed. In Illinois, we have 52 public beaches along the Lake Michigan shoreline. People use these beaches for swimming, boating, fishing—and many communities generate revenue from the public beaches.

Our legislation will quadruple fines for municipalities that dump raw sewage in the Great Lakes and direct the revenue from these penalties to projects that improve water quality. The bill also includes new reporting requirements that will provide a more complete understanding of the frequency and impact of sewage dumping on this critical water system.

The Great Lakes are a national treasure. Illinoisans know that. They want to protect Lake Michigan, and they are willing to fight for the lake. Three and a half years ago, when we learned that BP was planning to increase the pollutants it puts into Lake Michigan—the people of Illinois stood up and said: No, polluting our lake further is not an option.

Senator KIRK and I happen to agree with that message. Protecting the Great Lakes is not a partisan issue, and this is not a partisan bill. We intend to work together to ensure that this national treasure is around for generations, providing drinking water, recreation, and commerce for Illinois and other Great Lakes States.

By Mr. REID (for Mrs. FEINSTEIN):

S. 149. A bill to extend the expiring provisions of the USA PATRIOT Improvement and Reauthorization Act of 2005, the Intelligence Reform and Terrorism Prevention Act of 2004, and the FISA Amendments Act of 2008 until December 31, 2013, and for other purposes; to the Committee on the Judiciary.

Mrs. FEINSTEIN. Mr. President, today I am introducing the FISA Sunsets Extension Act of 2011 to extend the three expiring provisions of the Foreign Intelligence Surveillance Act—the authority to conduct, subject to court order, so-called “roving wiretaps,” “lone wolf” surveillance, and collection of business records. This legislation will extend these three authorities, otherwise set to expire on February 28, to December 31, 2013.

The bill will also change the expiration date of the intelligence collection authorities provided in the FISA Amendments Act of 2008 so they, too, last until the end of 2013.

I firmly believe that the United States Government needs these authorities to help prevent against future terrorist attacks against our nation and to collect vital intelligence insights into the capabilities and intentions of our adversaries. We remain a nation under threat and need to remain vigilant in our defense.

Let me briefly describe the three expiring provisions.

First, court-ordered roving authority is directed against foreign intelligence targets who attempt to thwart FISA surveillance by such actions as rapidly changing cell phones. In a September 2009 letter, the Department of Justice reported to Congress that this authority “has proven an important intelligence-gathering tool in a small but significant subset of FISA electronic surveillance orders.”

Second, lone wolf authority allows for court-ordered collection against non-U.S. persons who engage in international terrorism but for whom an association with a specific international terrorist group has not yet been identified. In the last Congress, when the Department of Justice advised that it had not yet been necessary for the Government to use this authority, the Department stated that it could foresee circumstances in which a terrorist target had not actually contacted a terrorist group or was known to have severed his association from a terrorist group.

From the events of the last several years, we have all become aware that we may be attacked by a lone, unaffiliated terrorist—or one whose links to

terrorist groups are only clear after an individual is apprehended.

Third, the collection of business records pursuant to court orders. This provision allows the Government to require the production of “tangible things” in order to obtain foreign intelligence information as part of an investigation. In the September 2009 letter, the Department of Justice urged reauthorization of that authority because “[t]he absence of such authority could force the FBI to sacrifice key intelligence opportunities.”

I cannot elaborate into the use of these authorities in this unclassified context. I can say, however, that as the Chairman of the Senate Select Committee on Intelligence and as one who reviews the intelligence on the threats we face, we remain a nation under attack. Providing the authorities to collect intelligence to identify and prevent terrorist attacks on the homeland remains necessary.

It is also important to allow Congress, in the future, to conduct a complete review of FISA provisions. By synchronizing the dates when different pieces of the law expire, Congress can consider changes to FISA at once, prior to the end of 2013.

In closing, I would like to assure all Members of the Senate and the American public that extending these sunsets does not shield them from oversight. There is a system of review and oversight in place that consists of the FISA Court, Inspectors General in the Department of Justice and in the intelligence community, regular oversight reviews by the National Security Division at the Department of Justice, a new Director of Compliance at the National Security Agency, and reporting to the Senate and House Intelligence and Judiciary Committees. As Chairman of the Senate Select Committee and as a member of the Judiciary Committee, I can assure colleagues that the Senate has placed, and will continue to place, oversight of the Government’s surveillance authorities as a major priority.

I urge my colleagues to support this legislation.

By Mr. ROCKEFELLER (for himself, Mr. HARKIN, Mrs. MURRAY, and Mr. MANCHIN):

S. 153. A bill to improve compliance with mine and occupational safety and health laws, empower workers to raise safety concerns, prevent future mine and other workplace tragedies, establish rights of families of victims of workplace accidents, and for other purposes; to the Committee on Health, Education, Labor, and Pensions.

Mr. ROCKEFELLER. Mr. President, today I am proud to introduce the Robert C. Byrd Mine and Workplace Safety and Health Act of 2011. This legislation is identical to the bill I introduced last Congress with Senator Carte Goodwin and will afford miners in West Virginia and employees across the country the safest possible workplace, which is

what they deserve. As I have mentioned before, this legislation is a tribute to all miners who have lost their lives and also to my dear friend and colleague, the late Senator Robert Byrd, who devoted his career to improving the working conditions of West Virginia’s miners and worked diligently with me to develop this bill.

I am also very pleased that Senators TOM HARKIN, PATTY MURRAY, and JOE MANCHIN are joining me in cosponsoring this legislation. Chairman HARKIN and Senator MURRAY are strong advocates for America’s workforce and worked closely with me to draft this bill. Their contributions and expertise on this issue are immeasurable. Senator MANCHIN and I also have a history of working together, when he was Governor, to improve the safety of West Virginia’s mining community. We were there with the families after the Sago, Aracoma, and Upper Big Branch tragedies, and I know that he shares my commitment to keeping miners safe.

I firmly believe that every American deserves a safe and healthy work environment. No family should have to experience the sadness and grief that is felt by the families of Upper Big Branch victims. Sadly, the Upper Big Branch families are still waiting. They are waiting for answers regarding this horrible tragedy. And, they are waiting for Congress to do even more to strengthen the mine safety laws of the land.

The Upper Big Branch tragedy and several other high-profile workplace accidents around the country last year serve as stark reminders of the need to make sure that all workers can return home to their loved ones at the end of the day. Yet, these types of tragedies are far too common. Each year, thousands of employees die on the job and millions more are injured or become ill. These fatalities, injuries, and illnesses result not only in loss of life and quality of life, but also substantial costs for employers. It is in everyone’s interest to improve the safety and health of America’s workforce.

I also know that improving the safety of our workforce will require hard work and dedication by everyone involved including state and federal officials, businesses, unions, employees, and safety experts. Here in the Senate, I am committed to working with my colleagues on both sides of the aisle—there is no question that we must work together to find real solutions that will save lives in mining and other industries in our country. I have no doubt that we will continue to learn more about the Upper Big Branch disaster as the investigations move forward. But I also know that there are several areas of the law that we can work to fix right now. These improvements will make us more proactive in identifying hazards before they become fatal, foster cooperation between employers and employees to keep everyone safe, improve the efficiency and effectiveness of our regulators, and increase the account-

ability for those responsible for keeping our workforce safe.

The Robert C. Byrd Mine and Workplace Safety and Health Act of 2011 takes important steps to empower miners to report safety concerns and keep themselves and their coworkers safe. Specifically, it gives whistleblowers up to 180 days to file a complaint if they have been retaliated against, permits the assessment of punitive damages and criminal penalties against operators that retaliate against miners who report safety problems, makes sure that miners do not lose a paycheck when their mines are shut down for safety reasons, and allows miners to give private interviews to MSHA without the operator or union representative present, so that they can speak openly about investigations.

Our legislation allows MSHA to be more effective and efficient in its enforcement of our mine safety laws, while also increasing accountability and making sure that the agency is doing everything in its power to keep miners safe. Importantly, it expands MSHA’s authority to subpoena documents and testimony, seek injunctions to stop dangerous acts, and implement additional safety training at unsafe mines. It also creates an independent panel to determine MSHA’s role in serious accidents, and requires that MSHA conduct its inspections in a way that protects every miner regardless of when the miner’s shift occurs.

Another key piece of this bill is the section that reforms the broken “pattern of violations” process and requires MSHA to focus on rehabilitating unsafe mines. The original pattern of violations process was meant to allow MSHA to take additional action against mines that repeatedly violate our laws, but unfortunately it has never been effectively implemented. This bill requires unsafe mines to adopt safety plans, undergo additional safety inspections, and meet specific safety improvement benchmarks. To make sure that MSHA’s pattern of violations criteria accurately identifies unsafe mines, the Government Accountability Office will evaluate the implementation of MSHA’s new criteria.

I know that Secretary Hilda Solis and Assistant Secretary Joe Main have made mine safety a priority, and I deeply appreciate their work. They are currently examining proposals to administratively change how the pattern of violations process is used, and I support them in those efforts. But ultimately, there is only so much that MSHA can do under existing statute, which is why I believe that Congress must address this matter legislatively.

We also know that workplace disasters are not confined to the mining industry, which is why our bill provides important, protections for workers across all industries under the jurisdiction of the Occupational Safety and

Health Administration. This legislation allows employees to refuse to perform unsafe life-threatening work, updates civil penalties that have not been increased in two decades, gives victims and their families a voice in the investigation and enforcement process, requires employers to immediately correct hazardous conditions in the workplace, and improves whistleblower protections for employees.

With these common-sense reforms, we can keep workers safe on the job, while also reducing the costs associated with occupational injuries and illnesses. By doing so, we can save lives, help employers save money, improve productivity, and increase the competitiveness of our workforce.

I hope that my colleagues will carefully consider this legislation and that we can work together on a bipartisan basis to pass meaningful mine and workplace safety legislation this Congress. After the Sago and Aracoma disasters, the Senate passed the MINER Act with strong bipartisan support. We showed then that we can get the job done, and I am confident that we can do it again.

By Mr. KOHL (for himself and Mr. BROWN of Ohio):

S. 154. A bill to authorize the Secretary of Education to make grants to support early college high schools and other dual enrollment programs; to the Committee on Health, Education, Labor, and Pensions.

Mr. KOHL. Mr. President, today I am reintroducing the Fast Track to College Act, a bill to support the expansion of dual enrollment programs and Early College High Schools. Such programs allow young people to earn up to two years of college credit while also earning their high school diploma.

I believe the key to our country's economic recovery is a strong investment in our young people. By investing in education, we ensure that today's students are well prepared to compete in a global economy.

Far too many of our students are falling behind in school, and as students struggle with their studies or drop out of school altogether, their futures and the health of our workforce are at risk. Young people who drop out of high school are at increased risk for negative outcomes such as unemployment and incarceration, as well as reliance on public assistance for healthcare, housing, and other basic needs—outcomes that have high costs for their communities and our economy. Conversely, adults who earn bachelor's degrees earn on average two-thirds more than high school graduates and \$1 million more than high school dropouts over their working lives.

Studies show many youth drop out because they don't see a practical reason to complete high school or go on to get a college degree. Maybe they don't think they can get into college, don't think they can afford to go, or just don't see the point in going. Dual en-

rollment programs and Early College High Schools address these issues by showing students that they can succeed in college courses while saving time and money. They don't drop out because they can see that they are on track to a degree—and ultimately a job. By earning college credit, and possibly even an Associate's Degree, students are better prepared after high school to continue their education or pursue career training.

That is why I ask my colleagues to support this bill, which provides competitive grant funding for Early College High Schools and other dual enrollment programs that allow low-income students to earn college credit and a high school diploma at the same time. These programs put students on the fast track to college and increase the odds that they will not only graduate, but also go on to continue their education and secure higher-paying jobs.

This bill authorizes \$140,000,000 for competitive 6-year grants to schools, with priority given to schools that serve low-income students. The funding will help defray the costs of implementing new programs, strengthening existing programs, and providing students and teachers with the resources they need to succeed in early college high schools and other dual enrollment programs. The bill also includes \$10 million for states to provide support for these programs, as well as an evaluation component so we can measure the program's effectiveness.

I am proud to sponsor this legislation, with the support of Senator BROWN of Ohio, because I believe this investment in our schools will help solve the dropout crisis and secure America's future by ensuring that all young people can compete in today's global economy. Further, I believe that all children, regardless of income or other factors, deserve equal opportunities to fulfill their potential, and it is both morally and fiscally responsible for this Congress to invest in high-quality educational programs that help our youth reach their potential.

I urge my colleagues to support this important legislation.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 154

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE.

This Act may be cited as the "Fast Track to College Act of 2011".

#### SEC. 2. PURPOSE.

The purpose of this Act is to increase secondary school graduation rates and the percentage of students who complete a recognized postsecondary credential by the age of 26, including among low-income students and students from other populations underrepresented in higher education.

#### SEC. 3. DEFINITIONS.

In this Act:

(1) DUAL ENROLLMENT PROGRAM.—The term "dual enrollment program" means an academic program through which a secondary school student is able simultaneously to earn credit toward a secondary school diploma and a postsecondary degree or credential.

(2) EARLY COLLEGE HIGH SCHOOL.—The term "early college high school" means a public secondary school, as defined in section 9101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7801), that provides a course of study that enables a student to earn a secondary school diploma and either an associate's degree or 1 to 2 years of postsecondary credit toward a postsecondary degree or credential.

(3) ELIGIBLE ENTITY.—The term "eligible entity" means a local educational agency in a collaborative partnership with an institution of higher education. Such partnership also may include other entities, such as a nonprofit organization with experience in youth development.

(4) INSTITUTION OF HIGHER EDUCATION.—The term "institution of higher education" has the meaning given such term in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001).

(5) LOCAL EDUCATIONAL AGENCY.—The term "local educational agency" has the meaning given such term in section 9101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7801).

(6) SECRETARY.—The term "Secretary" means the Secretary of Education.

(7) LOW-INCOME STUDENT.—The term "low-income student" means a student who meets a measure of poverty described in section 1113(a)(5) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6313(a)(5)).

#### SEC. 4. AUTHORIZATION OF APPROPRIATIONS; RESERVATIONS.

(a) IN GENERAL.—To carry out this Act, there are authorized to be appropriated \$150,000,000 for fiscal year 2012 and such sums as may be necessary for each of fiscal years 2013-2017.

(b) EARLY COLLEGE HIGH SCHOOLS.—The Secretary shall reserve not less than 45 percent of the funds appropriated under subsection (a) to support early college high schools under section 5.

(c) OTHER DUAL ENROLLMENT PROGRAMS.—The Secretary shall reserve not less than 45 percent of such funds to support other dual enrollment programs (not including early college high schools) under section 5.

(d) STATE GRANTS.—The Secretary shall reserve 10 percent of such funds, or \$10,000,000, whichever is less, for grants to States under section 9.

#### SEC. 5. AUTHORIZED PROGRAM.

(a) IN GENERAL.—The Secretary is authorized to award, on a competitive basis, 6-year grants to eligible entities seeking to establish a new, or support an existing, early college high school or other dual enrollment program.

(b) GRANT AMOUNT.—The Secretary shall ensure that each grant under this section is of sufficient size to enable grantees to carry out all required activities and otherwise meet the purposes of this Act, except that a grant under this section may not exceed \$2,000,000.

(c) MATCHING REQUIREMENT.—

(1) IN GENERAL.—An eligible entity shall contribute matching funds toward the costs of the early college high school or other dual enrollment program to be supported under this section, of which not less than half shall be from non-Federal sources, which funds shall represent not less than the following:

(A) 20 percent of the grant amount received in each of the first and second years of the grant.

(B) 30 percent in each of the third and fourth years.

(C) 40 percent in the fifth year.

(D) 50 percent in the sixth year.

(2) DETERMINATION OF AMOUNT CONTRIBUTED.—The Secretary shall allow an eligible entity to satisfy the requirements of this subsection through in-kind contributions.

(d) SUPPLEMENT, NOT SUPPLANT.—An eligible entity shall use a grant received under this section only to supplement funds that would, in the absence of such grant, be made available from non-Federal funds for support of the activities described in the eligible entity's application under section 7, and not to supplant such funds.

(e) PRIORITY.—In awarding grants under this section, the Secretary shall give priority to applicants—

(1) that propose to establish or support an early college high school or other dual enrollment program that will serve a student population of which 40 percent or more are students counted under section 1113(a)(5) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6313(a)(5)); and

(2) from States that provide assistance to early college high schools or other dual enrollment programs, such as assistance to defray the costs of higher education, such as tuition, fees, and textbooks.

(f) GEOGRAPHIC DISTRIBUTION.—The Secretary shall, to the maximum extent practicable, ensure that recipients of grants under this section are from a representative cross-section of urban, suburban, and rural areas.

#### SEC. 6. USE OF FUNDS.

(a) MANDATORY ACTIVITIES.—An eligible entity shall use grant funds received under section 5 to support the activities described in its application under section 7, including the following:

(1) PLANNING YEAR.—In the case of a new early college high school or other dual enrollment program, during the first year of the grant—

(A) hiring a principal and staff, as appropriate;

(B) designing the curriculum and sequence of courses in collaboration with, at a minimum, teachers from the local educational agency and faculty from the partner institution of higher education;

(C) informing parents and the community about the school or program and opportunities to become actively involved in the school or program;

(D) establishing a course articulation process for defining and approving courses for secondary school credit and credit toward a postsecondary degree or credential;

(E) outreach programs to ensure that secondary school students and their families are aware of the school or program;

(F) liaison activities among partners in the eligible entity; and

(G) coordinating secondary and postsecondary support services, academic calendars, and transportation.

(2) IMPLEMENTATION PERIOD.—During the remainder of the grant period—

(A) academic and social support services, including counseling;

(B) liaison activities among partners in the eligible entity;

(C) data collection and use of such data for student and instructional improvement and program evaluation;

(D) outreach programs to ensure that secondary school students and their families are aware of the early college high school or other dual enrollment program;

(E) professional development, including joint professional development for secondary school personnel and faculty from the institution of higher education; and

(F) school or program design and planning team activities, including curriculum development.

(b) ALLOWABLE ACTIVITIES.—An eligible entity may use grant funds received under section 5 to support the activities described in its application under section 7, including—

(1) purchasing textbooks and equipment that support the curriculum of the early college high school or other dual enrollment program;

(2) developing learning opportunities for students that complement classroom experiences, such as internships, career-based capstone projects, and opportunities to participate in the activities provided under chapters 1 and 2 of subpart 2 of part A of title IV of the Higher Education Act of 1965 (20 U.S.C. 1070a–11 et seq., 1070a–21 et seq.);

(3) transportation; and

(4) planning time for secondary school educators and educators from an institution of higher education to collaborate.

#### SEC. 7. APPLICATION.

(a) IN GENERAL.—To receive a grant under section 5, an eligible entity shall submit to the Secretary an application at such time, in such manner, and including such information as the Secretary may require.

(b) CONTENTS OF APPLICATION.—At a minimum, the application described in subsection (a) shall include a description of—

(1) the budget of the early college high school or other dual enrollment program;

(2) each partner in the eligible entity and the partner's experience with early college high schools or other dual enrollment programs, key personnel from each partner and such personnel's responsibilities for the school or program, and how the eligible entity will work with secondary and postsecondary teachers, other public and private entities, community-based organizations, businesses, labor organizations, and parents to ensure that students will be prepared to succeed in postsecondary education and employment, which may include the development of an advisory board;

(3) how the eligible entity will target and recruit at-risk youth, including those at risk of dropping out of school, students who are among the first generation in their family to attend an institution of higher education, and students from populations described in section 1111(b)(2)(C)(v)(II) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311(b)(2)(C)(v)(II));

(4) a system of student supports, including small group activities, tutoring, literacy and numeracy skill development in all academic disciplines, parental and community outreach and engagement, extended learning time, and activities to improve readiness for postsecondary education, such as academic seminars and counseling;

(5) in the case of an early college high school, how a graduation and career plan will be developed, consistent with State graduation requirements, for each student and reviewed each semester;

(6) how parents or guardians of students participating in the early college high school or other dual enrollment program will be informed of the students' academic performance and progress and, if required under paragraph (5), involved in the development of the students' career and graduation plans;

(7) coordination between the institution of higher education and the local educational agency, including regarding academic calendars, provision of student services, curriculum development, and professional development;

(8) how the eligible entity will ensure that teachers in the early college high school or other dual enrollment program—

(A) receive appropriate professional development and other supports, including profes-

sional development and supports to enable the teachers to utilize effective parent and community engagement strategies; and

(B) help English-language learners, students with disabilities, and students from diverse cultural backgrounds to succeed;

(9) learning opportunities for students that complement classroom experiences, such as internships, career-based capstone projects, and opportunities to participate in the activities provided under chapters 1 and 2 of subpart 2 of part A of title IV of the Higher Education Act of 1965 (20 U.S.C. 1070a–11 et seq., 1070a–21 et seq.);

(10) how policies, agreements, and the courses in the program will ensure that postsecondary credits earned will be transferable to, at a minimum, public institutions of higher education within the State, consistent with existing statewide articulation agreements (as of the time of the application);

(11) student assessments and other measurements of student achievement, including benchmarks for student achievement;

(12) outreach programs to provide elementary and secondary school students, especially those in middle grades, and their parents, teachers, school counselors, and principals with information about, and academic preparation for, the early college high school or other dual enrollment program;

(13) how the local educational agency and institution of higher education will work together, as appropriate, to collect and use data for student and instructional improvement and program evaluation;

(14) how the eligible entity will help students meet eligibility criteria for postsecondary courses and ensure that students understand how their credits will transfer; and

(15) how the eligible entity will access and leverage additional resources necessary to sustain the early college high school or other dual enrollment program after the grant expires, including by engaging businesses and non-profit organizations.

(c) ASSURANCES.—An eligible entity's application under subsection (a) shall include assurances that—

(1) in the case of an early college high school, the majority of courses offered, including of postsecondary courses, will be offered at facilities of the partnering institution of higher education;

(2) students will not be required to pay tuition or fees for postsecondary courses offered as part of the early college high school or other dual enrollment program;

(3) upon completion of the requisite coursework, each student shall receive an official record of postsecondary credits that have been earned;

(4) faculty teaching such postsecondary courses meet the normal standards for faculty established by the institution of higher education.

(d) WAIVER.—The Secretary may waive the requirement of subsection (c)(1) upon a showing that it is impractical to apply due to geographic considerations.

#### SEC. 8. PEER REVIEW.

(a) PEER REVIEW OF APPLICATIONS.—The Secretary shall establish peer review panels to review applications submitted pursuant to section 7 and to advise the Secretary regarding such applications.

(b) COMPOSITION OF PEER REVIEW PANELS.—The Secretary shall ensure that each peer review panel is not comprised wholly of full-time officers or employees of the Federal Government and includes, at a minimum—

(1) experts in the establishment and administration of early college high schools or other dual enrollment programs from the secondary and postsecondary perspective;



(2) faculty at institutions of higher education and secondary school teachers with expertise in dual enrollment; and

(3) experts in the education of students who may be at risk of not completing their secondary school education.

#### SEC. 9. GRANTS TO STATES.

(a) IN GENERAL.—The Secretary is authorized to award, on a competitive basis, 5-year grants to State agencies responsible for secondary or postsecondary education for efforts to support or establish early college high schools or other dual enrollment programs.

(b) GRANT AMOUNT.—The Secretary shall ensure that each grant awarded under this section is of sufficient size to enable the grantee to carry out all required activities.

(c) MATCHING REQUIREMENT.—A State receiving a grant under this section shall contribute matching funds from non-Federal sources toward the costs of carrying out activities under this section, which funds shall represent not less than 50 percent of the grant amount received in each year of the grant.

(d) PRIORITY.—In awarding grants under this section, the Secretary shall give priority to States that, as of the time of the application for the grant, provide assistance to early college high schools or other dual enrollment programs, such as assistance to defray the costs of higher education, such as tuition, fees, and textbooks.

#### (e) APPLICATION.—

(1) IN GENERAL.—To receive a grant under this section, a State agency shall submit to the Secretary an application at such time, in such manner, and including such information as the Secretary may require.

(2) CONTENTS OF APPLICATION.—At a minimum, the application described in paragraph (1) shall include a description of—

(A) how the State will carry out all of the required State activities described in subsection (f);

(B) how the State will identify and eliminate barriers to implementing effective early college high schools and other dual enrollment programs after the grant expires, including by engaging businesses and non-profit organizations; and

(C) how the State will access and leverage additional resources necessary to sustain early college high schools or other dual enrollment programs.

(f) STATE ACTIVITIES.—A State receiving a grant under this section shall use such funds for—

(1) creating outreach programs to ensure that secondary school students, their families, and community members are aware of early college high schools and other dual enrollment programs in the State;

(2) planning and implementing a statewide strategy for expanding access to early college high schools and other dual enrollment programs for students who are underrepresented in higher education to raise statewide rates of secondary school graduation, readiness for postsecondary education, and completion of postsecondary degrees and credentials, with a focus on at-risk students, including identifying any obstacles to such a strategy under State law or policy;

(3) providing technical assistance to early college high schools and other dual enrollment programs, such as brokering relationships and agreements that forge a strong partnership between elementary and secondary and postsecondary partners;

(4) identifying policies that will improve the effectiveness and ensure the quality of early college high schools and other dual enrollment programs, such as access, funding, data and quality assurance, governance, accountability, and alignment policies;

(5) planning and delivering statewide training and peer learning opportunities for school leaders and teachers from early college high schools and other dual enrollment programs, which may include providing instructional coaches who offer on-site guidance;

(6) disseminating best practices in early college high schools and other dual enrollment programs from across the State and from other States; and

(7) facilitating statewide data collection, research and evaluation, and reporting to policymakers and other stakeholders.

#### SEC. 10. REPORTING AND OVERSIGHT.

##### (a) REPORTING BY GRANTEES.—

(1) IN GENERAL.—The Secretary shall establish uniform guidelines for all grantees under this Act concerning the information that each grantee shall report annually to the Secretary in order to demonstrate progress toward achieving the purpose of this Act.

(2) CONTENTS OF REPORT.—At a minimum, a report submitted under this subsection by an eligible entity receiving funds under section 5 for an early college high school or other dual enrollment program shall include the following information about the students participating in the school or program, for each category of students described in section 1111(h)(1)(C)(i) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311(h)(1)(C)(i)):

(A) The number of students.

(B) The percentage of students scoring advanced, proficient, basic, and below basic on the assessments described in section 1111(b)(3) of such Act of 1965 (20 U.S.C. 6311(b)(3)).

(C) The performance of students on other assessments or measurements of achievement.

(D) The number of secondary school credits earned.

(E) The number of postsecondary credits earned.

(F) Attendance rate, as appropriate.

(G) Graduation rate.

(H) Placement in postsecondary education or advanced training, in military service, and in employment.

(I) A description of the school or program's student, parent, and community outreach and engagement.

(b) REPORTING BY SECRETARY.—The Secretary annually shall—

(1) prepare a report that compiles and analyzes the information described in subsection (a) and identifies the best practices for achieving the purpose of this Act; and

(2) submit the report to the Committee on Health, Education, Labor, and Pensions of the Senate and the Committee on Education and the Workforce of the House of Representatives.

(c) MONITORING VISITS.—The Secretary's designee shall visit each grantee under this Act at least once for the purpose of helping the grantee achieve the goals of this Act and to monitor the grantee's progress toward achieving such goals.

##### (d) NATIONAL EVALUATION.—

(1) IN GENERAL.—Not later than 6 months after the date on which funds are appropriated to carry out this Act, the Secretary shall enter into a contract with an independent organization to perform an evaluation of the grants awarded under this Act.

(2) CONTENTS OF EVALUATION.—The evaluation described in paragraph (1) shall apply rigorous procedures to—

(A) obtain valid and reliable data concerning participant outcomes, disaggregated by relevant categories, which the Secretary shall determine; and

(B) monitor the progress of students from secondary school to and through postsecondary education.

(e) TECHNICAL ASSISTANCE.—The Secretary shall provide technical assistance to eligible entities concerning best practices in early college high schools and other dual enrollment programs and shall disseminate such best practices among eligible entities, State educational agencies, and local educational agencies.

#### SEC. 11. RULES OF CONSTRUCTION.

(a) EMPLOYEES.—Nothing in this Act shall be construed to alter or otherwise affect the rights, remedies, and procedures afforded to the employees of local educational agencies (including schools) or institutions of higher education under Federal, State, or local laws (including applicable regulations or court orders) or under the terms of collective bargaining agreements, memoranda of understanding, or other agreements between such employees and their employers.

(b) GRADUATION RATE.—Notwithstanding any other provision of law, a student who graduates from an early college high school supported under this Act in the standard number of years for graduation described in the eligible entity's application shall be considered to have graduated on time for purposes of section 1111(b)(2)(C)(vi) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311(b)(2)(C)(vi)).

By Mr. KOHL:

S. 155. A bill to amend the Internal Revenue Code of 1986 to provide an enhanced credit for research and development by companies that manufacture products in the United States; to the Committee on Finance.

Mr. KOHL. Mr. President, I rise today to introduce three bills that I believe will be important for our small businesses, especially our smaller manufacturers. In each of these bills, there is an emphasis on keeping our research and development and manufacturing here in the United States, rewarding our innovative American businesses with predictable credits and equitable treatment, and creating good paying jobs.

The first bill, S. 155, is designed to incentivize keeping jobs in the United States by increasing the existing Research & Development tax credit for companies that produce most of their goods domestically. The Domestic Jobs Innovation Bonus Act would create a bonus R&D Credit that increases incrementally to reward a higher percentage of domestic production. To earn the bonus credit, a company would need to make at least half of their products domestically—and for doing so would receive an additional 2 percentage points on top of the existing R&D credit. The credit would max out at a 10 percentage point increase for companies with 90 percent to 100 percent of their receipts from domestic production. For example, a company with 100 percent domestic production that would normally receive a 20 percent R&D tax credit would receive a 30 percent credit under this proposal.

To be clear, this isn't a tax credit that will benefit every company that has a presence in the United States. It

may not benefit many large, multi-national corporations, but those companies will still have access to the existing R&D Credit, which I support as well.

It is my hope that a credit like this could convince a company that is deciding whether to manufacture and research here or abroad, to choose America.

I am introducing a second bill, S. 156, with Senators CORKER and ALEXANDER that would establish a uniform energy efficiency descriptor for all water heaters and improve the testing methods by which that descriptor is determined. Currently, water heaters are lumped into two categories under two federal statutes, based on arbitrary gallon capacity and energy input ratings. "Smaller" water heaters are covered by the National Appliance Energy Conservation Act, NAECA, and must be rated using an energy factor or EF rating. "Larger" water heaters are within the scope of the Energy Policy Act, EPCA, and must be rated using a thermal efficiency or TE rating. Not only do the testing methods differ, but a manufacturer is forbidden to place an EF rating on a TE-sized unit, and vice-versa.

This legislation would direct the Department of Energy to work with industry stakeholders to develop a uniform energy efficiency descriptor that applies to all sizes of water heaters. It also would develop a test method to accurately determine that descriptor for all types of water heaters. It is my hope that the water heating manufacturing community can develop and implement the new test method and descriptor that will eliminate confusion and enable consumers and business owners to make informed purchasing decisions on water heaters. In today's tough economy, energy bills continue to stretch family budgets. Families can save money and conserve energy if they have accurate information about how much energy home appliances consume.

The difference between EF and TE ratings was based on the assumption that smaller units were exclusively for residential uses while larger units were exclusively for commercial purposes. Due to advances in manufacturing technology, the assumptions underlying the earlier dividing line are no longer accurate. In fact, both larger and smaller units made by leading U.S. manufacturers are used in residences without regard to which Federal law applies. Yet, Federal legislation continues to be written by taking this distinction into account.

In particular, these American companies are affected by the current disparate energy standards because it can disadvantage some of their products. Establishing one standard will help breakdown a patchwork of incentives and efficiency designations at both the state and federal level. For example, water heaters rated with a TE rating are not eligible for the ENERGY STAR

label, and accordingly, not eligible for many state appliance rebate programs that link their incentives to an ENERGY STAR designation. This bill will make it so all products are competing on a level playing field for all incentives.

In addition to the energy savings that this bill will provide, it is also about the jobs potential for companies making these cutting-edge products. A globally-recognized cluster of water technology companies is emerging in the City of Milwaukee and surrounding counties. An important part of this effort is innovative water heater technologies. Incentivizing these products through predictable and equitable standards is vital to these companies.

The third bill, S. 157, would extend the Section 48 investment tax credit to solar light pipe technology. This is a promising new technology that could save our businesses money on their electricity bills, and reduce our overall energy usage—two goals on which we can all agree. Light pipes collect natural light, and then through the use of sensor technology, automatically dim the other lights in a building—thereby using less electricity for the same amount of light.

Despite the clear benefits of the technology, high cost has kept many businesses from using light pipes. Adding this technology to Section 48 will provide that boost that these businesses need to justify the expense.

I became aware of this technology because one of the companies that makes it is based in Manitowoc, Wisconsin. This company, Orion Energy Systems, employs about 250 people, and has been growing even during this tough economic time. In addition to light pipes, Orion makes energy efficient lighting systems, and partners with wind and solar power companies to significantly reduce the energy costs for many of our largest and most distinguished companies. Orion technology has been deployed at more than 6,000 facilities, and has worked with 126 of the Fortune 500 companies. Since 2001, Orion customers have saved more than \$1 billion in electricity costs by displacing nearly 600 megawatts.

This credit will help Orion and companies like it create thousands of jobs through the production of the technology as well as installing it.

I urge my colleagues to support all of these bills, and I hope that they are enacted as part of an agenda that focuses on innovation, job creation, and shoring up our vital manufacturing sector.

By Mrs. BOXER:

S. 170. A bill to provide for the affordable refinancing of mortgages held by Fannie Mae and Freddie Mac; to the Committee on Banking, Housing, and Urban Affairs.

Mrs. BOXER. Mr. President, I rise today to introduce the Helping Responsible Homeowners Act of 2011. This legislation will eliminate barriers that have prevented millions of borrowers

who continue to make their payments on time from taking advantage of historically low interest rates and refinancing their mortgages.

Despite a recent uptick, interest rates for 30-year home mortgages remain at historically low levels—under five percent. Yet of the 31.5 million mortgages guaranteed by Fannie Mae and Freddie Mac, nearly 13 million still carry an interest rate at or above 6 percent. This bill would allow non-delinquent mortgages to be refinanced at current rates, putting hundreds of dollars a month back in the pockets of struggling families.

The Administration's Home Affordable Refinance Program has resulted in Fannie Mae and Freddie Mac refinancing 520,000 loans through October 2010, far short of its goal of assisting four to five million homeowners.

One reason for the program's failure is that Fannie and Freddie continue to charge risk-based fees to refinance a loan they already guarantee. These additional fees can be as high as two percent of the loan amount, or an extra \$4,000 on a \$200,000 loan. In my home state of California, where prices are higher, that might be \$8,000 on a \$400,000 loan. For borrowers struggling to keep up with their payments, this is an additional cost they simply cannot afford.

Fannie and Freddie already bear the risks on these loans; yet this policy actually makes it less likely that borrowers will be able to take advantage of the low rates and increases the chance they will eventually default.

Many borrowers also have been blocked from refinancing by the owner of their second mortgage, even though reducing payments on the first mortgage would make it more likely the borrower would be able to continue making payments on the second.

To remove these barriers and allow borrowers current on their payments to refinance their loans, the Helping Responsible Homeowners Act would eliminate risk-based fees on loans for which Fannie and Freddie already bear the risk; remove refinancing limits on properties that lost value during the real estate crisis; make it easier for borrowers with second mortgages to participate in refinancing programs; and require that borrowers are able to receive a fair interest rate, comparable to that received by any other current borrower who has not suffered a drop in home value.

At a time when millions of Americans have been forced out of their homes, this legislation will ensure that homeowners who make their payments on time will be able to refinance their mortgages at current low rates so they can stay in their homes. I urge my colleagues to join me and to support this legislation.

By Mr. HARKIN:

S. 174. A bill to improve the health of Americans and reduce health care costs by reorienting the Nation's health care

system toward prevention, wellness, and health promotion; to the Committee on Finance.

Mr. HARKIN. Mr. President, the Healthy Lifestyles and Prevention America Act, also known as the HeLP America Act, will improve the health of Americans and reduce health care costs by emphasizing prevention, wellness, and health promotion in our communities, workplaces and schools.

We made a significant investment in prevention and wellness as part of the passing of the historic Affordable Care Act into law. The robust array of provisions contained in the HeLP America Act continue to build off the investments made by the Affordable Care Act and together, they will significantly transform our current sick care system into a true health care system.

Make no mistake about it; these combined efforts will continue our transformation into a genuine wellness society by keeping people from developing chronic diseases and from costly hospitalizations in the first place.

Currently, the United States spends more than \$2 trillion on health care each year but historically we invest just four cents out of every dollar in prevention and public health—let me repeat that—just four cents out of every dollar is invested in prevention and public health.

This is pennies despite all the research that shows that prevention and public health can effectively reduce health care spending. This is why I fought for the Prevention and Public Health Fund that is included in the health reform law.

But transforming our Nation into a true wellness society requires a comprehensive approach to make being healthier easier for all Americans.

It just doesn't make any sense why we don't put a greater emphasis on making health promotion easier—why would we focus so little on prevention and public health when we know that these initiatives can make us healthier and reduce our annual health care spending?

Well, I am proud that the bill before the Senate continues to make significant investments in prevention and wellness. The HeLP America Act will put additional systems into place that will improve access to nutritious foods, opportunities for physical activity, and affordability of recommended preventive services.

The bill focuses on initiatives to make kids and schools healthier. In particular, it will support State efforts to provide resources to child care providers to help them meet high-quality physical activity and healthy eating standards. It also directs the Department of Education to provide guidance and technical assistance to schools to provide equal opportunities for students with disabilities for physical education and extracurricular athletics.

In addition, the bill focuses on initiatives to make healthier communities and workplaces. For example, it re-

quires the Secretary of Health and Human Services to establish guidelines in physical activity for children under the age of 5 and the Secretary of Agriculture to establish a grant program promoting and expanding efforts to create community gardens. Specific to small businesses and workplace wellness programs, there is a provision that allows employers to deduct the cost of athletic facility memberships for their employees and exempts this benefit as taxable income for employees.

The HeLP America Act also creates systems that give Americans the information they need to make informed decisions. In particular, there is a provision that requires uniform guidelines be developed for the use of nutrient labeling symbols or systems on the front of food packages. There are provisions meant to strengthen federal initiatives to improve the health literacy of consumers by making health information easier to understand and health care systems easier to navigate.

Let me be clear, this bill doesn't just tinker around the edges; it changes the very paradigm of a variety of systems to make it easier for Americans to be healthy. After many years of advocating for wellness and prevention, I am thrilled to see that these things were at the very heart of the historic Affordable Care Act passed into law. But there is still much more to be done, and the HeLP America Act is an important step in continuing our transformation into a genuine wellness society and getting health care costs under control.

Mr. President, I ask unanimous consent that the text of the bill be printed in the RECORD.

There being no objection, the additional material was ordered to be printed in the RECORD, as follows:

S. 174

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

(a) **SHORT TITLE.**—This Act may be cited as the “Healthy Lifestyles and Prevention America Act” or the “HeLP America Act”.

(b) **TABLE OF CONTENTS.**—The table of contents of this Act is as follows:

Sec. 1. Short title; table of contents.

**TITLE I—HEALTHIER KIDS AND SCHOOLS**

Sec. 101. Nutrition and physical activity in child care quality improvement.

Sec. 102. Access to local foods and school gardens at preschools and child care.

Sec. 103. Fresh fruit and vegetable program.

Sec. 104. Equal physical activity opportunities for students with disabilities.

**TITLE II—HEALTHIER COMMUNITIES AND WORKPLACES**

Subtitle A—Creating Healthier Communities

Sec. 201. Technical assistance for the development of joint use agreements.

Sec. 202. Community sports programs for individuals with disabilities.

Sec. 203. Community gardens.

Sec. 204. Physical activity guidelines for Americans.

Sec. 205. Tobacco taxes parity.

Sec. 206. Leveraging and coordinating federal resources for improved health.

**Subtitle B—Incentives for a Healthier Workforce**

Sec. 211. Tax credit to employers for costs of implementing wellness programs.

Sec. 212. Employer-provided off-premises athletic facilities.

Sec. 213. Task force for the promotion of breastfeeding in the workplace.

Sec. 214. Improving healthy eating and active living options in Federal workplaces.

**TITLE III—RESPONSIBLE MARKETING AND CONSUMER AWARENESS**

Sec. 301. Guidelines for reduction in sodium content in certain foods.

Sec. 302. Nutrition labeling for food products sold principally for use in restaurants or other retail food establishments.

Sec. 303. Front-label food guidance systems.

Sec. 304. Rulemaking authority for advertising to children.

Sec. 305. Health Literacy: research, coordination and dissemination.

Sec. 306. Disallowance of deductions for advertising and marketing expenses relating to tobacco product use.

Sec. 307. Incentives to reduce tobacco use.

**TITLE IV—EXPANDED COVERAGE OF PREVENTIVE SERVICES**

Sec. 401. Required coverage of preventive services under the Medicaid program.

Sec. 402. Coverage for comprehensive workplace wellness program and preventive services.

Sec. 403. Health professional education and training in healthy eating.

**TITLE V—RESEARCH**

Sec. 501. Grants for Body Mass Index data analysis.

Sec. 502. National assessment of mental health needs.

**TITLE I—HEALTHIER KIDS AND SCHOOLS**

**SEC. 101. NUTRITION AND PHYSICAL ACTIVITY IN CHILD CARE QUALITY IMPROVEMENT.**

Section 658G of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858e) is amended—

(1) by striking “choice, and” and inserting “choice,”; and

(2) by inserting after “referral services)” the following: “, and the provision of resources to enable eligible child care providers to meet, exceed, or sustain success in meeting or exceeding Federal or State high-quality program standards relating to health, mental health, nutrition, physical activity, and physical development”.

**SEC. 102. ACCESS TO LOCAL FOODS AND SCHOOL GARDENS AT PRESCHOOLS AND CHILD CARE.**

Section 18(g) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769(g)) is amended—

(1) by redesignating paragraphs (1) through (4) as paragraphs (2) through (5), respectively;

(2) by inserting before paragraph (2) (as redesignated by paragraph (1)) the following:

“(1) **DEFINITIONS.**—In this subsection:

“(A) **CHILD CARE CENTER.**—The term ‘child care center’ means a child care center participating in the program under section 17 (other than a child care center that solely participates in the program under subsection (r) of that section).

“(B) SPONSORING ORGANIZATION.—The term ‘sponsoring organization’ means an institution described in subparagraphs (C), (D), or (E) of section 17(a)(2).”;

(3) in paragraph (2) (as so redesignated)—

(A) in the paragraph heading, by striking “**IN GENERAL**” and inserting “**ASSISTANCE**”;

(B) in the matter preceding subparagraph (A), by inserting “, child care centers, sponsoring organizations for home-based care,” after “schools”;

(C) in subparagraph (A), by inserting “, child care centers, sponsoring organizations for home-based care,” after “schools”;

(4) in paragraph (3) (as so redesignated), by striking “paragraph (1)” and inserting “paragraph (2)”;

(5) in paragraph (4) (as so redesignated)—

(A) in subparagraph (A)(i)—

(i) in subclause (I), by striking “or”;

(ii) in subclause (II), by striking the period at the end and inserting “; or”;

(iii) by adding at the end the following:

“(III) a consortium of at least 2 child care centers or sponsoring organizations for home-based care with hands-on vegetable gardening and nutrition education that is incorporated into the curriculum for 1 or more age groups at 2 or more eligible centers or family child care homes supported by sponsoring organizations for home-based care.”;

(B) in subparagraph (F), by striking “paragraph (1)(H)” and inserting “paragraph (2)(H)”.

#### SEC. 103. FRESH FRUIT AND VEGETABLE PROGRAM.

Section 19 of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769a) is amended—

(1) by striking subsections (c) and (d) and inserting the following:

“(c) SCHOOL PARTICIPATION.—

“(1) **IN GENERAL**.—Each State shall carry out the program in each elementary school (as defined in section 9101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7801)) in the State—

“(A) in which not less than 50 percent of the students are eligible for free or reduced price meals under this Act; and

“(B) that submits an application in accordance with paragraph (2).

“(2) **APPLICATION**.—

“(A) **IN GENERAL**.—An interested elementary school shall submit to the State an application containing—

“(i) information pertaining to the percentage of students enrolled in the school who are eligible for free or reduced price school lunches under this Act;

“(ii) a certification of support for participation in the program signed by the school food manager, the school principal, and the district superintendent (or equivalent positions, as determined by the school);

“(iii) a plan for implementation of the program, including efforts to integrate activities carried out under this section with other efforts to promote sound health and nutrition, reduce overweight and obesity, or promote physical activity; and

“(iv) such other information as may be requested by the Secretary.

“(B) **PARTNERSHIPS**.—Each State shall encourage interested elementary schools to submit a plan for implementation of the program that includes a partnership with 1 or more entities that will provide non-Federal resources (including entities representing the fruit and vegetable industry).”;

(2) by striking subsection (i) and inserting the following:

“(i) **FUNDING**.—

“(1) **IN GENERAL**.—Out of any funds in the Treasury not otherwise appropriated, the Secretary of the Treasury shall transfer to

the Secretary to carry out this section such sums as are necessary, to remain available until expended.

“(2) **RECEIPT AND ACCEPTANCE**.—The Secretary shall be entitled to receive, shall accept, and shall use to carry out this section the funds transferred under paragraph (1), without further appropriation.”;

(3) by redesignating subsections (e) through (i) as subsections (d) through (h), respectively.

#### SEC. 104. EQUAL PHYSICAL ACTIVITY OPPORTUNITIES FOR STUDENTS WITH DISABILITIES.

(a) **IN GENERAL**.—Title V of the Rehabilitation Act of 1973 (29 U.S.C. 791 et seq.) is amended by adding at the end the following:

##### “SEC. 511. EQUAL PHYSICAL ACTIVITY OPPORTUNITIES FOR STUDENTS WITH DISABILITIES.

“(a) **IN GENERAL**.—The Secretary shall promote equal opportunities for students with disabilities to be included and to participate in physical education and extracurricular athletics implemented in, or in conjunction with, elementary schools, secondary schools, and institutions of higher education, by ensuring the provision of appropriate technical assistance and guidance for schools and institutions described in this subsection and their personnel.

“(b) **TECHNICAL ASSISTANCE AND GUIDANCE**.—The provision of technical assistance and guidance described in subsection (a) shall include—

“(1) providing technical assistance to elementary schools, secondary schools, local educational agencies, State educational agencies, and institutions of higher education, regarding—

“(A) inclusion and participation of students with disabilities, in a manner equal to that of the other students, in physical education opportunities (including classes), and extracurricular athletics opportunities, including technical assistance on providing reasonable modifications to policies, practices, and procedures, and providing supports to ensure such inclusion and participation;

“(B) provision of adaptive sports programs, in the physical education and extracurricular athletics opportunities, including programs with competitive sports leagues or competitions, for students with disabilities; and

“(C) responsibilities of the schools, institutions, and agencies involved under section 504, the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.), and any other applicable Federal law to provide students with disabilities equal access to extracurricular athletics;

“(2) facilitating information sharing among the schools, institutions, and agencies, and students with disabilities, on ways to provide inclusive opportunities in physical education and extracurricular athletics for students with disabilities; and

“(3) monitoring the extent to which physical education and extracurricular athletics opportunities for students with disabilities are implemented in, or in conjunction with, elementary schools, secondary schools, and institutions of higher education.

“(c) **DEFINITIONS**.—In this section:

“(1) **AGENCIES**.—The terms ‘local educational agency’ and ‘State educational agency’ have the meanings given the terms in section 9101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7801).

“(2) **SCHOOLS**.—The terms ‘elementary school’, ‘secondary school’, and ‘institution of higher education’ mean an elementary school, secondary school, or institution of higher education, respectively (as defined in section 9101 of the Elementary and Secondary Education Act of 1965), that receives or has 1 or more students that receive, Federal financial assistance.

“(3) **STUDENT WITH A DISABILITY**.—

“(A) **IN GENERAL**.—The term ‘student with a disability’ means an individual who—

“(i) attends an elementary school, secondary school, or institution of higher education; and

“(ii) who—

“(I) is eligible for, and receiving, special education or related services under part B of the Individuals with Disabilities Education Act (20 U.S.C. 1411 et seq.); or

“(II) is an individual with a disability, for purposes of section 504 or the Americans with Disabilities Act of 1990.

“(B) **STUDENTS WITH DISABILITIES**.—The term ‘students with disabilities’ means more than 1 student with a disability.”.

(b) **TABLE OF CONTENTS**.—The table of contents in section 1(b) of the Rehabilitation Act of 1973 is amended by inserting after the item relating to section 509 the following:

“Sec. 510. Establishment of standards for accessible medical diagnostic equipment.

“Sec. 511. Equal physical activity opportunities for students with disabilities.”.

## TITLE II—HEALTHIER COMMUNITIES AND WORKPLACES

### Subtitle A—Creating Healthier Communities

#### SEC. 201. TECHNICAL ASSISTANCE FOR THE DEVELOPMENT OF JOINT USE AGREEMENTS.

(a) **IN GENERAL**.—The Secretary of Health and Human Services, acting through the Director of the Centers for Disease Control and Prevention and in coordination with the Secretary of Education and in consultation with leading national experts and organizations advancing healthy living in the school environment, shall develop and disseminate guidelines and best practices, including model documents, and provide technical assistance to elementary and secondary schools to assist such schools with the development of joint use agreements so as to address liability, operational and management, and cost issues that may otherwise impede the ability of community members to use school facilities for recreational and nutritional purposes during nonschool hours.

(b) **DEFINITION**.—In this section, the term ‘joint use agreement’ means a formal agreement between an elementary or secondary school and another entity relating to the use of the school’s facilities, equipment, or property, including recreational and food services facilities, equipment, and property, by individuals other than the school’s students or staff.

#### SEC. 202. COMMUNITY SPORTS PROGRAMS FOR INDIVIDUALS WITH DISABILITIES.

Part P of title III of the Public Health Service Act (42 U.S.C. 280g et seq.) is amended by adding at the end the following:

##### “SEC. 399V-5. COMMUNITY SPORTS PROGRAMS FOR INDIVIDUALS WITH DISABILITIES.

“(a) **IN GENERAL**.—

“(1) **INDIVIDUAL WITH A DISABILITY DEFINED**.—For purposes of this section, the term ‘individual with a disability’ means any person who has a disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102).

“(2) **INDIVIDUAL WITH A PHYSICAL DISABILITY**.—The term ‘individual with a physical disability’ means an individual with a disability that has a physical or visual disability.

“(3) **COMMUNITY SPORTS GRANTS PROGRAM**.—The Secretary, in collaboration with the National Advisory Committee on Community Sports Programs for Individuals with Disabilities, may award grants on a competitive basis to public and nonprofit private entities to implement community-based, sports and

athletic programs for individuals with disabilities, including youth with disabilities.

“(b) APPLICATION.—To be eligible to receive a grant under this section, a public or nonprofit private entity shall submit to the Secretary an application at such time, in such manner, and containing such agreements, assurances, and information as the Secretary determines to be necessary to carry out this section.

“(c) AUTHORIZED ACTIVITIES.—Amounts awarded under a grant under subsection (a) shall be used for—

“(1) community-based sports programs, leagues, or competitions in individual or team sports for individuals with physical disabilities;

“(2) regional sports programs or competitions in individual or team sports for individuals with physical disabilities;

“(3) the development of competitive team and individual sports programs for individuals with disabilities at the high school and collegiate level; or

“(4) the development of mentoring programs to encourage participation in sports programs for individuals with disabilities, including individuals with recently acquired disabilities.

“(d) PRIORITIES.—

“(1) ADVISORY COMMITTEE.—The Secretary shall establish a National Advisory Committee on Community Sports Programs for Individuals with Disabilities that shall—

“(A) establish priorities for the implementation of this section;

“(B) review grant proposals;

“(C) make recommendations for distribution of the available appropriated funds to specific applicants; and

“(D) annually evaluate the progress of programs carried out under this section in implementing such priorities.

“(2) REPRESENTATION.—The Advisory Committee established under paragraph (1) shall include representatives of—

“(A) the Department of Health and Human Services Office on Disability;

“(B) the United States Surgeon General;

“(C) the Centers for Disease Control and Prevention;

“(D) disabled sports organizations;

“(E) organizations that represent the interests of individuals with disabilities; and

“(F) individuals with disabilities (including athletes with physical disabilities) or their family members.

“(e) DISSEMINATION OF INFORMATION.—The Secretary shall disseminate information about the availability of grants under this section in a manner that is designed to reach public entities and nonprofit private organizations that are dedicated to providing outreach, advocacy, or independent living services to individuals with disabilities.

“(f) TECHNICAL ASSISTANCE.—The Secretary, in conjunction with the United States Olympic Committee and disabled sports organizations, shall establish a technical assistance center to provide training, support, and information to grantees under this section on establishing and operating community sports programs for individuals with disabilities.

“(g) REPORT TO CONGRESS.—Not later than 180 days after the date of the enactment of this section, and annually thereafter, the Secretary shall submit to Congress a report summarizing activities, findings, outcomes, and recommendations resulting from the grant projects funded under this section during the year for which the report is being prepared.

“(h) AUTHORIZATION OF APPROPRIATIONS.—

“(1) IN GENERAL.—To carry out this section, there are authorized to be appropriated such sums as may be necessary.

“(2) LIMITATION.—Not to exceed 10 percent of the amount appropriated in each fiscal

year shall be used to carry out activities under subsection (c)(4).”

#### SEC. 203. COMMUNITY GARDENS.

Subtitle D of title X of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246; 122 Stat. 2109) is amended by adding at the end the following:

#### “SEC. 10405. COMMUNITY GARDEN GRANT PROGRAM.

“(a) DEFINITIONS.—In this section:

“(1) ELIGIBLE ENTITY.—The term ‘eligible entity’ means—

“(A) a nonprofit organization; or

“(B) a unit of general local government, or tribal government, located on tribal land or in a low-income community.

“(2) LOW-INCOME COMMUNITY.—The term ‘low-income community’ means—

“(A) a community in which not less than 50 percent of children are eligible for free or reduced priced meals under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.); or

“(B) any other community determined by the Secretary to be low-income for purposes of this section.

“(3) UNIT OF GENERAL LOCAL GOVERNMENT.—The term ‘unit of general local government’ has the meaning given the term in section 102 of the Housing and Community Development Act of 1974 (42 U.S.C. 5302).

“(b) PROGRAM ESTABLISHED.—Using such amounts as are appropriated to carry out this section, the Secretary shall award grants to eligible entities to expand, establish, or maintain community gardens.

“(c) APPLICATION.—To be considered for a grant under this section, an eligible entity shall submit to the Secretary an application at such time, in such manner, and containing such information as the Secretary may require, including—

“(1) an assurance that priority for hiring for jobs created by the expansion, establishment, or maintenance of a community garden funded with a grant received under this section will be given to individuals who reside in the community in which the garden is located; and

“(2) a demonstration that the eligible entity is committed to providing non-Federal financial or in-kind support (such as providing a water supply) for the community garden for which the entity receives funds under this section.”

#### SEC. 204. PHYSICAL ACTIVITY GUIDELINES FOR AMERICANS.

(a) REPORT.—

(1) IN GENERAL.—At least every 5 years, the Secretary of Health and Human Services (in this Act referred to as the “Secretary”) shall publish a report entitled “Physical Activity Guidelines for Americans”. Each such report shall contain physical activity information and guidelines for the general public, and shall be promoted by each Federal agency in carrying out any Federal health program.

(2) BASIS OF GUIDELINES.—The information and guidelines contained in each report required under paragraph (1) shall be based on the preponderance of the scientific and medical knowledge which is current at the time the report is prepared, and shall include guidelines for identified population subgroups, including children, if the preponderance of scientific and medical knowledge indicates those subgroups require different levels of physical activity.

(b) APPROVAL BY SECRETARY.—

(1) REVIEW.—Any Federal agency that proposes to issue any physical activity guidance for the general population or identified population subgroups shall submit the text of such guidance to the Secretary for a 60-day review period.

(2) BASIS OF REVIEW.—

(A) IN GENERAL.—During the 60-day review period established in paragraph (1), the Sec-

retary shall review and approve or disapprove such guidance to assure that the guidance either is consistent with the “Physical Activity Guidelines for Americans” or that the guidance is based on medical or new scientific knowledge which is determined to be valid by the Secretary. If after such 60-day review period the Secretary has not notified the proposing agency that such guidance has been disapproved, then such guidance may be issued by the agency. If the Secretary disapproves such guidance, it shall be returned to the agency. If the Secretary finds that such guidance is inconsistent with the “Physical Activity Guidelines for Americans” and so notifies the proposing agency, such agency shall follow the procedures set forth in this subsection before disseminating such proposal to the public in final form. If after such 60-day period, the Secretary disapproves such guidance as inconsistent with the “Physical Activity Guidelines for Americans” the proposing agency shall—

(i) publish a notice in the Federal Register of the availability of the full text of the proposal and the preamble of such proposal which shall explain the basis and purpose for the proposed physical activity guidance;

(ii) provide in such notice for a public comment period of 30 days; and

(iii) make available for public inspection and copying during normal business hours any comment received by the agency during such comment period.

(B) REVIEW OF COMMENTS.—After review of comments received during the comment period, the Secretary may approve for dissemination by the proposing agency a final version of such physical activity guidance along with an explanation of the basis and purpose for the final guidance which addresses significant and substantive comments as determined by the proposing agency.

(C) ANNOUNCEMENT.—Any such final physical activity guidance to be disseminated under subparagraph (B) shall be announced in a notice published in the Federal Register, before public dissemination along with an address where copies may be obtained.

(D) NOTIFICATION OF DISAPPROVAL.—If after the 30-day period for comment as provided under subparagraph (A)(ii), the Secretary disapproves a proposed physical activity guidance, the Secretary shall notify the Federal agency submitting such guidance of such disapproval, and such guidance may not be issued, except as provided in subparagraph (E).

(E) REVIEW OF DISAPPROVAL.—If a proposed physical activity guidance is disapproved by the Secretary under subparagraph (D), the Federal agency proposing such guidance may, within 15 days after receiving notification of such disapproval under subparagraph (D), request the Secretary to review such disapproval. Within 15 days after receiving a request for such a review, the Secretary shall conduct such review. If, pursuant to such review, the Secretary approves such proposed physical activity guidance, such guidance may be issued by the Federal agency.

(3) DEFINITIONS.—In this subsection:

(A) The term “physical activity guidance for the general population” does not include any rule or regulation issued by a Federal agency.

(B) The term “identified population subgroups” shall include, but not be limited to, groups based on factors such as age, sex, race, or physical disability.

(c) EXISTING AUTHORITY NOT AFFECTED.—This section does not place any limitations on—

(1) the conduct or support of any scientific or medical research by any Federal agency; or

(2) the presentation of any scientific or medical findings or the exchange or review of scientific or medical information by any Federal agency.

**SEC. 205. TOBACCO TAXES PARITY.**

(a) INCREASE IN EXCISE TAX ON SMALL CIGARETTES AND SMALL CIGARS.—

(1) Section 5701(a)(1) of the Internal Revenue Code of 1986 is amended by striking “\$50.33” and inserting “\$77.83”.

(2) Section 5701(b)(1) of the Internal Revenue Code of 1986 is amended by striking “\$50.33” and inserting “\$77.83”.

(b) TAX PARITY FOR PIPE TOBACCO AND ROLL-YOUR-OWN TOBACCO.—

(1) Section 5701(f) of the Internal Revenue Code of 1986 is amended by striking “\$2.8311 cents” and inserting “\$38.32”.

(2) Section 5701(g) of the Internal Revenue Code of 1986 is amended by striking “\$24.78” and inserting “\$38.32”.

(c) CLARIFICATION OF DEFINITION OF SMALL CIGARS.—Paragraphs (1) and (2) of section 5701(a) of the Internal Revenue Code of 1986 are each amended by striking “three pounds per thousand” and inserting “four and one-half pounds per thousand”.

(d) CLARIFICATION OF DEFINITION OF CIGARETTE.—Paragraph (2) of section 5702(b) of the Internal Revenue Code of 1986 is amended by insert before the final period the following: “, which includes any roll for smoking containing tobacco that weighs no more than four and a half pounds per thousand, unless it is wrapped in whole tobacco leaf and does not have a cellulose acetate or other cigarette-style filter”.

(e) TAX PARITY FOR SMOKELESS TOBACCO.—

(1) Section 5701(e) of the Internal Revenue Code of 1986 is amended—

(A) in paragraph (1), by striking “\$1.51” and inserting “\$20.75”;

(B) in paragraph (2), by striking “50.33 cents” and inserting “\$8.30”;

(C) by adding at the end the following:

“(3) SMOKELESS TOBACCO SOLD IN DISCRETE SINGLE-USE UNITS.—On discrete single-use units, \$77.83 per each 1,000 single-use units.”.

(2) Section 5702(m) of the Internal Revenue Code of 1986 is amended—

(A) in paragraph (1), “or chewing tobacco” and inserting “chewing tobacco, discrete single-use unit”;

(B) in paragraphs (2) and (3), by inserting “that is not a discrete single-use unit” before the period in each such paragraph;

(C) by adding at the end the following:

“(4) DISCRETE SINGLE-USE UNIT.—The term ‘discrete single-use unit’ means any product containing tobacco that—

“(A) is intended or expected to be consumed without being combusted; and

“(B) is in the form of a lozenge, tablet, pill, pouch, dissolvable strip, or other discrete single-use or single-dose unit.”.

(f) CLARIFYING OTHER TOBACCO TAX DEFINITIONS.—

(1) TOBACCO PRODUCT DEFINITION.—Section 5702(c) of the Internal Revenue Code of 1986 is amended by inserting before the period the following: “, and any other product containing tobacco that is intended or expected to be consumed”.

(2) CIGARETTE PAPER DEFINITION.—Section 5702(e) of the Internal Revenue Code of 1986 is amended by striking “except tobacco,” and inserting “or cigar”.

(3) CIGARETTE TUBE DEFINITION.—Section 5702(f) of the Internal Revenue Code of 1986 is amended by inserting before the period “or cigars”.

(4) IMPORTER DEFINITION.—Section 5702(k) of the Internal Revenue Code of 1986 is amended by inserting “or any other tobacco product” after “cigars or cigarettes”.

(g) FLOOR STOCKS TAXES.—

(1) IMPOSITION OF TAX.—On tobacco products manufactured in or imported into the

United States which are removed before any tax increase date and held on such date for sale by any person, there is hereby imposed a tax in an amount equal to the excess of—

(A) the tax which would be imposed under section 5701 of the Internal Revenue Code of 1986 on the article if the article had been removed on such date, over

(B) the prior tax (if any) imposed under section 5701 of such Code on such article.

(2) CREDIT AGAINST TAX.—Each person shall be allowed as a credit against the taxes imposed by paragraph (1) an amount equal to \$500. Such credit shall not exceed the amount of taxes imposed by paragraph (1) on such date for which such person is liable.

(3) LIABILITY FOR TAX AND METHOD OF PAYMENT.—

(A) LIABILITY FOR TAX.—A person holding tobacco products on any tax increase date to which any tax imposed by paragraph (1) applies shall be liable for such tax.

(B) METHOD OF PAYMENT.—The tax imposed by paragraph (1) shall be paid in such manner as the Secretary shall prescribe by regulations.

(C) TIME FOR PAYMENT.—The tax imposed by paragraph (1) shall be paid on or before the date that is 120 days after the effective date of the tax rate increase.

(4) ARTICLES IN FOREIGN TRADE ZONES.—Notwithstanding the Act of June 18, 1934 (commonly known as the Foreign Trade Zone Act, 48 Stat. 998, 19 U.S.C. 81a et seq.) or any other provision of law, any article which is located in a foreign trade zone on any tax increase date shall be subject to the tax imposed by paragraph (1) if—

(A) internal revenue taxes have been determined, or customs duties liquidated, with respect to such article before such date pursuant to a request made under the 1st proviso of section 3(a) of such Act, or

(B) such article is held on such date under the supervision of an officer of the United States Customs and Border Protection of the Department of Homeland Security pursuant to the 2d proviso of such section 3(a).

(5) DEFINITIONS.—For purposes of this subsection—

(A) IN GENERAL.—Any term used in this subsection which is also used in section 5702 of such Code shall have the same meaning as such term has in such section.

(B) TAX INCREASE DATE.—The term “tax increase date” means the effective date of any increase in any tobacco product excise tax rate pursuant to the amendments made by this section.

(C) SECRETARY.—The term “Secretary” means the Secretary of the Treasury or the Secretary’s delegate.

(6) CONTROLLED GROUPS.—Rules similar to the rules of section 5061(e)(3) of such Code shall apply for purposes of this subsection.

(7) OTHER LAWS APPLICABLE.—All provisions of law, including penalties, applicable with respect to the taxes imposed by section 5701 of such Code shall, insofar as applicable and not inconsistent with the provisions of this subsection, apply to the floor stocks taxes imposed by paragraph (1), to the same extent as if such taxes were imposed by such section 5701. The Secretary may treat any person who bore the ultimate burden of the tax imposed by paragraph (1) as the person to whom a credit or refund under such provisions may be allowed or made.

(h) EFFECTIVE DATE.—The amendments made by this section shall apply to articles removed (as defined in section 5702(j) of the Internal Revenue Code of 1986) after December 31, 2010.

**SEC. 206. LEVERAGING AND COORDINATING FEDERAL RESOURCES FOR IMPROVED HEALTH.**

(a) HEALTH IMPACTS OF NON-HEALTH LEGISLATION.—

(1) IN GENERAL.—Not later than 6 months after the date of enactment of this Act, the National Prevention, Health Promotion and Public Health Council, shall enter into a contract with the Institute of Medicine of the National Academy of Sciences for the conduct of a study to assess the potential health impacts of major non-health related legislation that is likely to be considered by Congress within a year of completion of the study. Such study shall identify the ways in which such legislation involved is likely to impact the health of Americans and shall contain recommendations to Congress on ways to maximize the positive health impacts and minimize the negative health impacts.

(2) TIMING.—The timing of the study under paragraph (1) shall be provide for in a manner that ensures that the results of the study will be available at least 3 months prior to the consideration of the legislation involved by Congress.

(3) GUIDELINES.—To the extent practicable, the Council under paragraph (1) shall ensure that the study conducted under this subsection complies with the consensus guidelines on how to carry out a health impact assessment, including stakeholder engagement guidelines, such as the HIA of the Americas Practice Guidelines and guidelines promulgated by the World Health Organization and other consensus bodies.

(4) REPORT.—Upon completion of the study under this subsection, the Institute of Medicine shall submit to the Council under paragraph (1), and make available to the general public, a report that—

(A) summarizes the direct, indirect, and cumulative health impacts identified in the assessment; and

(B) contains recommendations for how to maximize positive health impacts and minimize negative health impacts of the legislation involved.

(5) TYPE OF LEGISLATION.—For purposes of this subsection, the term “non-health related legislation” shall have the meaning given such term by the Council under paragraph (1), and shall include legislation that is likely to have impacts on the health of Americans where such impacts are not likely to be considered by Congress to the extent required by their scope without the conduct of an assessment under this subsection. Examples of major non-health related legislation that could be the subject of the study include reauthorizations of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU; Public Law 109-59), the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), and the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6301 et seq.).

(b) IMPROVING HEALTH IMPACTS OF FEDERAL AGENCY ACTIVITIES.—

(1) IN GENERAL.—The Secretary, acting through the Director of the Centers for Disease Control and Prevention and in coordination with the National Prevention, Health Promotion and Public Health Council, shall detail employees of the Department of Health and Human Services to policy and program planning offices of other Federal departments and agencies, including the Department of Transportation, the Department of Housing and Urban Development, the Department of Agriculture, the Department of Education, and the Department of the Interior, in order to assist those departments and agencies to consider the impacts of their activities on the health of the populations served and to assist with the integration of health goals into the activities of the departments and agencies, as appropriate.

(2) DUTIES.—Employees detailed under paragraph (1) shall assist with assessments of the potential impacts of the programs and



activities of the department or agency involved on the health and well-being of the populations served, the development of metrics and performance standards that can be incorporated, as appropriate, into the activities, performance measurements, and grant and contract standards of the department or agency, and the development of the report detailed in paragraph (3).

(3) **REPORTS.**—Not later than 1 year after the date of enactment of this Act, and annually thereafter, each department and agency with a detailee under this section shall submit to the National Prevention, Health Promotion and Public Health Council, the Committee on Health, Education, Labor, and Pensions of the Senate and the Committee on Energy and Commerce of the House of Representatives a report detailing the health impacts of the department or agency's activities and any plans to improve those impacts."

**Subtitle B—Incentives for a Healthier Workforce**

**SEC. 211. TAX CREDIT TO EMPLOYERS FOR COSTS OF IMPLEMENTING WELLNESS PROGRAMS.**

(a) **IN GENERAL.**—Subpart D of part IV of subchapter A of chapter 1 of the Internal Revenue Code of 1986 is amended by adding at the end the following:

**"SEC. 45S. WELLNESS PROGRAM CREDIT.**

**"(a) ALLOWANCE OF CREDIT.**—

**"(1) IN GENERAL.**—For purposes of section 38, the wellness program credit determined under this section for any taxable year during the credit period with respect to an employer is an amount equal to 50 percent of the costs paid or incurred by the employer in connection with a qualified wellness program during the taxable year.

**"(2) LIMITATION.**—The amount of credit allowed under paragraph (1) for any taxable year shall not exceed the sum of—

**"(A)** the product of \$200 and the number of employees of the employer not in excess of 200 employees, plus

**"(B)** the product of \$100 and the number of employees of the employer in excess of 200 employees.

**"(b) QUALIFIED WELLNESS PROGRAM.**—For purposes of this section—

**"(1) QUALIFIED WELLNESS PROGRAM.**—The term 'qualified wellness program' means a program which—

**"(A)** consists of any 3 of the wellness program components described in subsection (c), and

**"(B)** which is certified by the Secretary of Health and Human Services, in consultation with the Secretary of the Treasury and Secretary of Labor, as a qualified wellness program under this section.

**"(2) PROGRAMS MUST BE CONSISTENT WITH RESEARCH AND BEST PRACTICES.**—

**"(A) IN GENERAL.**—The Secretary of Health and Human Services shall not certify a program as a qualified wellness program unless the program—

**"(i)** is consistent with evidence-based research and best practices, as identified by persons with expertise in employer health promotion and wellness programs,

**"(ii)** includes multiple, evidence-based strategies which are based on the existing and emerging research and careful scientific reviews, including the Guide to Community Preventive Services, the Guide to Clinical Preventive Services, and the National Registry for Effective Programs, and

**"(iii)** includes strategies which focus on employee populations with a disproportionate burden of health problems.

**"(B) PERIODIC UPDATING AND REVIEW.**—The Secretary of Health and Human Services shall establish procedures for periodic review and recertifications of programs under this

subsection. Such procedures shall require revisions of programs if necessary to ensure compliance with the requirements of this section and require updating of the programs to the extent the Secretary, in consultation with the Secretary of the Treasury and the Secretary of Labor, determines necessary to reflect new scientific findings.

**"(3) HEALTH LITERACY.**—The Secretary of Health and Human Services shall, as part of the certification process, encourage employers to make the programs culturally competent and to meet the health literacy needs of the employees covered by the programs.

**"(c) WELLNESS PROGRAM COMPONENTS.**—For purposes of this section, the wellness program components described in this subsection are the following:

**"(1) HEALTH AWARENESS COMPONENT.**—A health awareness component which provides for the following:

**"(A) HEALTH EDUCATION.**—The dissemination of health information which addresses the specific needs and health risks of employees.

**"(B) HEALTH SCREENINGS.**—The opportunity for periodic screenings for health problems and referrals for appropriate follow up measures.

**"(2) EMPLOYEE ENGAGEMENT COMPONENT.**—An employee engagement component which provides for—

**"(A)** the establishment of a committee to actively engage employees in worksite wellness programs through worksite assessments and program planning, delivery, evaluation, and improvement efforts, and

**"(B)** the tracking of employee participation.

**"(3) BEHAVIORAL CHANGE COMPONENT.**—A behavioral change component which provides for altering employee lifestyles to encourage healthy living through counseling, seminars, on-line programs, or self-help materials which provide technical assistance and problem solving skills. Such component may include programs relating to—

**"(A)** tobacco use,

**"(B)** overweight and obesity,

**"(C)** stress management,

**"(D)** physical activity,

**"(E)** nutrition,

**"(F)** substance abuse,

**"(G)** depression, and

**"(H)** mental health promotion (including anxiety).

**"(4) SUPPORTIVE ENVIRONMENT COMPONENT.**—A supportive environment component which includes the following:

**"(A) ON-SITE POLICIES.**—Policies and services at the worksite which promote a healthy lifestyle, including policies relating to—

**"(i)** tobacco use at the worksite,

**"(ii)** the nutrition of food available at the worksite through cafeterias and vending options,

**"(iii)** minimizing stress and promoting positive mental health in the workplace,

**"(iv)** where applicable, accessible and attractive stairs, and

**"(v)** the encouragement of physical activity before, during, and after work hours.

**"(B) PARTICIPATION INCENTIVES.**—

**"(i) IN GENERAL.**—Qualified incentive benefits for each employee who participates in the health screenings described in paragraph (1)(B) or the behavioral change programs described in paragraph (3).

**"(ii) QUALIFIED INCENTIVE BENEFIT.**—For purposes of clause (i), the term 'qualified incentive benefit' means any benefit which is approved by the Secretary of Health and Human Services, in consultation with the Secretary of the Treasury and the Secretary of Labor. Such benefit may include an adjustment in health insurance premiums or co-pays.

**"(C) EMPLOYEE INPUT.**—The opportunity for employees to participate in the management of any qualified wellness program to which this section applies.

**"(d) PARTICIPATION REQUIREMENT.**—

**"(1) IN GENERAL.**—No credit shall be allowed under subsection (a) unless the Secretary of Health and Human Services, in consultation with the Secretary of the Treasury and Secretary of Labor, as a part of any certification described in subsection (b), that each wellness program component of the qualified wellness program applies to all qualified employees of the employer. The Secretary of Health and Human Services shall prescribe rules under which an employer shall not be treated as failing to meet the requirements of this subsection merely because the employer provides specialized programs for employees with specific health needs or unusual employment requirements or provides a pilot program to test new wellness strategies.

**"(2) QUALIFIED EMPLOYEE.**—For purposes of paragraph (1), the term 'qualified employee' means an employee who works an average of not less than 25 hours per week during the taxable year.

**"(e) OTHER DEFINITIONS AND SPECIAL RULES.**—For purposes of this section—

**"(1) EMPLOYEE AND EMPLOYER.**—

**"(A) PARTNERS AND PARTNERSHIPS.**—The term 'employee' includes a partner and the term 'employer' includes a partnership.

**"(B) CERTAIN RULES TO APPLY.**—Rules similar to the rules of section 52 shall apply.

**"(2) CERTAIN COSTS NOT INCLUDED.**—Costs paid or incurred by an employer for food or health insurance shall not be taken into account under subsection (a).

**"(3) NO CREDIT WHERE GRANT AWARDED.**—No credit shall be allowable under subsection (a) with respect to any qualified wellness program of any taxpayer (other than an eligible employer described in subsection (f)(2)(A)) who receives a grant provided by the United States, a State, or a political subdivision of a State for use in connection with such program. The Secretary shall prescribe rules providing for the waiver of this paragraph with respect to any grant which does not constitute a significant portion of the funding for the qualified wellness program.

**"(4) CREDIT PERIOD.**—

**"(A) IN GENERAL.**—The term 'credit period' means the period of 10 consecutive taxable years beginning with the taxable year in which the qualified wellness program is first certified under this section.

**"(B) SPECIAL RULE FOR EXISTING PROGRAMS.**—In the case of an employer (or predecessor) which operates a wellness program for its employees on the date of the enactment of this section, subparagraph (A) shall be applied by substituting '3 consecutive taxable years' for '10 consecutive taxable years'. The Secretary shall prescribe rules under which this subsection shall not apply if an employer is required to make substantial modifications in the existing wellness program in order to qualify such program for certification as a qualified wellness program.

**"(C) CONTROLLED GROUPS.**—For purposes of this paragraph, all persons treated as a single employer under subsection (b), (c), (m), or (o) of section 414 shall be treated as a single employer.

**"(f) PORTION OF CREDIT MADE REFUNDABLE.**—

**"(1) IN GENERAL.**—In the case of an eligible employer of an employee, the aggregate credits allowed to a taxpayer under subpart C shall be increased by the lesser of—

**"(A)** the credit which would be allowed under this section without regard to this subsection and the limitation under section 38(c), or

“(B) the amount by which the aggregate amount of credits allowed by this subpart (determined without regard to this subsection) would increase if the limitation imposed by section 38(c) for any taxable year were increased by the amount of employer payroll taxes imposed on the taxpayer during the calendar year in which the taxable year begins.

The amount of the credit allowed under this subsection shall not be treated as a credit allowed under this subpart and shall reduce the amount of the credit otherwise allowable under subsection (a) without regard to section 38(c).

“(2) ELIGIBLE EMPLOYER.—For purposes of this subsection, the term ‘eligible employer’ means an employer which is—

“(A) a State or political subdivision thereof, the District of Columbia, a possession of the United States, or an agency or instrumentality of any of the foregoing, or

“(B) any organization described in section 501(c) of the Internal Revenue Code of 1986 which is exempt from taxation under section 501(a) of such Code.

“(3) EMPLOYER PAYROLL TAXES.—For purposes of this subsection—

“(A) IN GENERAL.—The term ‘employer payroll taxes’ means the taxes imposed by—

“(i) section 3111(b), and

“(ii) sections 3211(a) and 3221(a) (determined at a rate equal to the rate under section 3111(b)).

“(B) SPECIAL RULE.—A rule similar to the rule of section 24(d)(2)(C) shall apply for purposes of subparagraph (A).

“(g) TERMINATION.—This section shall not apply to any amount paid or incurred after December 31, 2017.”.

(b) TREATMENT AS GENERAL BUSINESS CREDIT.—Subsection (b) of section 38 of the Internal Revenue Code of 1986 is amended by striking “plus” at the end of paragraph (35), by striking the period at the end of paragraph (36) and inserting “, plus”, and by adding at the end the following:

“(37) the wellness program credit determined under section 45S.”.

(c) DENIAL OF DOUBLE BENEFIT.—Section 280C of the Internal Revenue Code of 1986 is amended by adding at the end the following new subsection:

“(j) WELLNESS PROGRAM CREDIT.—

“(1) IN GENERAL.—No deduction shall be allowed for that portion of the costs paid or incurred for a qualified wellness program (within the meaning of section 45S) allowable as a deduction for the taxable year which is equal to the amount of the credit allowable for the taxable year under section 45S.

“(2) SIMILAR RULE WHERE TAXPAYER CAPITALIZES RATHER THAN DEDUCTS EXPENSES.—If—

“(A) the amount of the credit determined for the taxable year under section 45S, exceeds

“(B) the amount allowable as a deduction for such taxable year for a qualified wellness program, the amount chargeable to capital account for the taxable year for such expenses shall be reduced by the amount of such excess.

“(3) CONTROLLED GROUPS.—In the case of a corporation which is a member of a controlled group of corporations (within the meaning of section 41(f)(5)) or a trade or business which is treated as being under common control with other trades or businesses (within the meaning of section 41(f)(1)(B)), this subsection shall be applied under rules prescribed by the Secretary similar to the rules applicable under subparagraphs (A) and (B) of section 41(f)(1).”.

(d) CLERICAL AMENDMENT.—The table of sections for subpart D of part IV of sub-

chapter A of chapter 1 of the Internal Revenue Code of 1986 is amended by adding at the end the following:

“Sec. 45S. Wellness program credit.”.

(e) EFFECTIVE DATE.—The amendments made by this section shall apply to taxable years beginning after the date of enactment of this Act.

(f) OUTREACH.—

(1) IN GENERAL.—The Secretary of the Treasury, in conjunction with the Director of the Centers for Disease Control and members of the business community, shall institute an outreach program to inform businesses about the availability of the wellness program credit under section 45S of the Internal Revenue Code of 1986 as well as to educate businesses on how to develop programs according to recognized and promising practices and on how to measure the success of implemented programs.

(2) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated such sums as are necessary to carry out the outreach program described in paragraph (1).

**SEC. 212. EMPLOYER-PROVIDED OFF-PREMISES ATHLETIC FACILITIES.**

(a) TREATMENT AS FRINGE BENEFIT.—Subparagraph (A) of section 132(j)(4) of the Internal Revenue Code of 1986 is amended to read as follows:

“(A) IN GENERAL.—Gross income shall not include—

“(i) the value of any on-premises athletic facility provided by an employer to its employees, and

“(ii) so much of the fees, dues, or membership expenses paid by an employer to an athletic or fitness facility described in subparagraph (C) on behalf of its employees as does not exceed \$900 per employee per year.”.

(b) ATHLETIC FACILITIES DESCRIBED.—Paragraph (4) of section 132(j) of the Internal Revenue Code of 1986 is amended by adding at the end the following new subparagraph:

“(C) CERTAIN ATHLETIC OR FITNESS FACILITIES DESCRIBED.—For purposes of subparagraph (A)(ii), an athletic or fitness facility described in this subparagraph is a facility—

“(i) which provides instruction in a program of physical exercise, offers facilities for the preservation, maintenance, encouragement, or development of physical fitness, or is the site of such a program of a State or local government,

“(ii) which is not a private club owned and operated by its members,

“(iii) which does not offer golf, hunting, sailing, or riding facilities,

“(iv) whose health or fitness facility is not incidental to its overall function and purpose, and

“(v) which is fully compliant with the State of jurisdiction and Federal anti-discrimination laws.”.

(c) EXCLUSION APPLIES TO HIGHLY COMPENSATED EMPLOYEES ONLY IF NO DISCRIMINATION.—Section 132(j)(1) of the Internal Revenue Code of 1986 is amended—

(1) by striking “Paragraphs (1) and (2) of subsection (a)” and inserting “Subsections (a)(1), (a)(2), and (j)(4)”, and

(2) by striking the heading thereof through “APPLY” and inserting “CERTAIN EXCLUSIONS APPLY”.

(d) EMPLOYER DEDUCTION FOR DUES TO CERTAIN ATHLETIC FACILITIES.—

(1) IN GENERAL.—Paragraph (3) of section 274(a) of the Internal Revenue Code of 1986 is amended by adding at the end the following new sentence: “The preceding sentence shall not apply to so much of the fees, dues, or membership expenses paid to athletic or fitness facilities (within the meaning of section 132(j)(4)(C)) as does not exceed \$900 per employee per year.”.

(2) CONFORMING AMENDMENT.—The last sentence of section 274(e)(4) of such Code is

amended by inserting “the first sentence of” before “subsection (a)(3)”.

(e) EFFECTIVE DATE.—The amendments made by this section shall apply to taxable years beginning after the date of the enactment of this Act.

**SEC. 213. TASK FORCE FOR THE PROMOTION OF BREASTFEEDING IN THE WORKPLACE.**

(a) ESTABLISHMENT.—The Secretary of Health and Human Services and the Secretary of Labor, or their designees, shall convene a task force for the purpose of promoting breastfeeding among working mothers (referred to in this section as the “Task Force”).

(b) MEMBERSHIP.—The Task Force shall be composed of members who are—

(1) expert staff from the Department of Labor with expertise in workforce issues;

(2) expert staff from the Department of Health and Human Services with expertise in the areas of breastfeeding and breastfeeding promotion;

(3) members of the United States Breastfeeding Committee;

(4) expert staff from the Department of Agriculture; and

(5) appointed by the Secretary of Health and Human Services and the Secretary of Labor, including—

(A) working mothers who have experience in working and breastfeeding; and

(B) representatives of the human resource departments of both large and small employers that have successfully promoted breastfeeding and breastmilk pumping support at work.

(c) PERIOD OF APPOINTMENT; VACANCIES.—Members shall be appointed for the life of the Task Force. Any vacancy in the Task Force shall not affect its powers, but shall be filled in the same manner as the original appointment.

(d) CHAIR.—The Task Force shall be chaired jointly by the Secretary of Health and Human Services and the Secretary of Labor, or their designees.

(e) DUTIES OF THE TASK FORCE.—

(1) EXAMINATION.—Consistent with the Department of Health and Human Services Blueprint for Action on Breastfeeding (2000), the Task Force shall examine the following issues:

(A) The challenges that mothers face with continuing breastfeeding when the mothers return to work after giving birth.

(B) The challenges that employers face in accommodating mothers who seek to continue to breastfeed or to express milk when the mothers re-enter the workforce, including different challenges that mothers of varying socio-economic status and in different professions may face.

(C) The benefits that accrue to mothers, babies, and to employers when mothers are able to continue to breastfeed or to express breastmilk at work after the mothers have re-entered the workforce.

(D) Federal and State statutes that may have the effect of reducing breastfeeding and breastfeeding retention rates among working mothers.

(2) REPORTS.—

(A) IN GENERAL.—Not later than 1 year after the date of enactment of this section, the Task Force shall issue a public report with recommendations on the following:

(i) Steps that can be taken to promote breastfeeding among working mothers and to remove barriers to breastfeeding among working mothers.

(ii) Potential ways in which the Federal Government can work with employers to promote breastfeeding among working mothers.

(iii) Areas in which changes to existing Federal, State, or local laws would likely

have the effect of making it easier for working mothers to breastfeed or would remove impediments to breastfeeding that currently exist in such laws.

(iv) Whether or not increased rates of breastfeeding among working mothers would likely have the result of reducing health care costs among such mothers and their children, and, in particular, whether increased rates of breastfeeding would be likely to result in lower Federal expenditures on health care for such mothers and their children.

(v) Areas in which the Federal Government, through increased efforts by Federal agencies, or changes to existing Federal law, can and should increase the Federal Government's efforts to promote breastfeeding among working mothers.

(B) COPY TO CONGRESS.—Upon completion of the report described in subparagraph (A), the Task Force shall submit a copy of the report to the Committee on Health, Education, Labor, and Pensions of the Senate, the Committee on Appropriations of the Senate, the Committee on Education and the Workforce of the House of Representatives, and the Committee on Appropriations of the House of Representatives.

(f) POWERS OF THE TASK FORCE.—

(1) HEARINGS.—The Task Force may hold such hearings, sit and act at such times and places, take such testimony, and receive such evidence as the Task Force considers advisable to carry out this section.

(2) INFORMATION FROM FEDERAL AGENCIES.—The Task Force may secure directly from any Federal department or agency such information as the Task Force considers necessary to carry out this section. Upon request of the Chair of the Task Force, the head of such department or agency shall furnish such information to the Task Force.

(3) POSTAL SERVICES.—The Task Force may use the United States mails in the same manner and under the same conditions as other departments and agencies of the Federal Government.

(4) DONATIONS.—The Task Force may accept, use, and dispose of donations of services or property.

(g) OPERATING EXPENSES.—The operating expenses of the Task Force, including travel expenses for members of the Task Force, shall be paid for from the general operating expenses funds of the Secretary of Health and Human Services and the Secretary of Labor.

**SEC. 214. IMPROVING HEALTHY EATING AND ACTIVE LIVING OPTIONS IN FEDERAL WORKPLACES.**

(a) MENU LABELING IN FEDERAL FOOD ESTABLISHMENTS.—

(1) IN GENERAL.—

(A) EXECUTIVE AND JUDICIAL BUILDINGS.—Section 403(q) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 343(q)) is amended by adding at the end the following:

“(6)(A) The requirements of subparagraph (5)(H) shall apply—

“(i) to a restaurant or similar retail food establishment located in a Federal building in the same manner as such subparagraph applies to a restaurant or similar retail food establishment that is part of a chain with 20 or more locations, as described in subparagraph (5)(H)(i); and

“(ii) to a person that operates a vending machine located in a Federal building in the same manner as such subparagraph applies to a person who is engaged in the business of owning or operating 20 or more vending machines, as described in subparagraph (5)(H)(viii).

“(B) In this subparagraph, the term ‘Federal building’ means a building that is—

“(i) under the control of the Federal agency (as defined in section 102 of title 40, United States Code);

“(ii) owned by the Federal Government; and

“(iii) located in a State, the District of Columbia, Puerto Rico, or a territory or possession of the United States.”

(B) APPLICABILITY.—The requirement in the amendment made by paragraph (1) shall apply to restaurants or similar retail food establishments and vending machines located in a Federal building beginning 12 months after the date of enactment of this Act.

(2) CONGRESSIONAL BUILDINGS.—The Architect of the Capitol, in coordination with the Committee on Rules and Administration of the Senate and the Committee on House Administration of the House of Representatives, shall establish a program to apply the requirements of section 403(q)(5)(H) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 343(q)(5)(H)) (as amended by paragraph (1)) to—

(A) food that is served in restaurants or other similar retail food establishments that are located in Congressional buildings and installations;

(B) food that is sold through vending machines that are operated in Congressional buildings and installations; and

(C) food that is served to individuals within Congressional buildings and installations pursuant to a contract with a private entity.

(b) NUTRITIONAL STANDARDS FOR FOOD IN FEDERAL BUILDINGS.—

(1) EXECUTIVE AND JUDICIAL BUILDINGS.—Subchapter V of chapter 5 of subtitle I of title 40, United States Code, is amended by adding at the end the following:

**“SEC. 594. NUTRITIONAL STANDARDS FOR FOOD IN FEDERAL BUILDINGS.**

“(a) IN GENERAL.—The Administrator of General Services, in consultation with the Secretary of Health and Human Services, shall establish, by regulation, nutritional standards for all food products provided at Federal buildings and installations (including food products provided by contractors or vending machines).

“(b) USE OF AMOUNTS.—Amounts appropriated to an executive agency for installation, repair, and maintenance, generally, may be used to achieve compliance with the regulations promulgated pursuant to this section.

“(c) LIABILITY.—Nothing in this section increases or enlarges the tort liability of the Federal Government for any injury to an individual or damage to property.”

(2) CONGRESSIONAL BUILDINGS.—The Architect of the Capitol, in coordination with the Committee on Rules and Administration of the Senate and the Committee on House Administration of the House of Representatives shall establish nutritional standards for all food products provided at Congressional buildings and installations (including food products provided by contractors or vending machines).

(c) ENCOURAGEMENT OF USE OF STAIRS.—

(1) EXECUTIVE AND JUDICIAL BUILDINGS.—Subchapter V of chapter 5 of subtitle I of title 40, United States Code, as amended by subsection (b), is further amended by adding at the end the following:

**“SEC. 595. ENCOURAGEMENT OF USE OF STAIRS.**

“(a) IN GENERAL.—Each Federal agency shall install point-of-decision prompts encouraging individuals to use stairs wherever practicable at each relevant building and installation that is—

“(1) under the control of the Federal agency;

“(2) owned by the Federal Government; and

“(3) located in a State, the District of Columbia, Puerto Rico, or a territory or possession of the United States.

“(b) REIMBURSEMENT.—Subsection (a) may be carried out by—

“(1) reimbursement to a State or political subdivision of a State, the District of Columbia, Puerto Rico, or a territory or possession of the United States; or

“(2) a means other than reimbursement.

“(c) REGULATIONS.—Subsection (a) shall be carried out in accordance with such regulations as the Administrator of General Services may promulgate, with the approval of the Director of the Office of Management and Budget.

“(d) USE OF AMOUNTS.—Amounts appropriated to a Federal agency for installation, repair, and maintenance, generally, shall be available to carry out this section.

“(e) LIABILITY.—Nothing in this section increases or enlarges the tort liability of the Federal Government for any injury to an individual or damage to property.”

(2) CONGRESSIONAL BUILDINGS.—The Architect of the Capitol shall implement a program to install point-of-decision prompts encouraging individuals to use stairs wherever practicable in Congressional buildings and installations in the same manner as established under section 595 of title 40, United States Code (as added by paragraph (1)).

(d) ACCOMMODATIONS FOR BICYCLE COMMUTERS.—

(1) EXECUTIVE AND JUDICIAL FEDERAL BUILDINGS.—Subchapter V of chapter 5 of subtitle I of title 40, United States Code, as amended by subsection (c), is further amended by adding at the end the following:

**“SEC. 596. ACCOMMODATIONS FOR BICYCLE COMMUTERS.**

“(a) IN GENERAL.—Each Federal agency shall install and maintain a bicycle storage area and equipment (such as a bicycle rack) and a shower for bicycle commuters at each relevant parking structure that is—

“(1) under the control of the Federal agency;

“(2) owned by the Federal Government; and

“(3) located in a State, the District of Columbia, Puerto Rico, or a territory or possession of the United States.

“(b) REIMBURSEMENT.—Subsection (a) may be carried out by—

“(1) reimbursement to a State or political subdivision of a State, the District of Columbia, Puerto Rico, or a territory or possession of the United States; or

“(2) a means other than reimbursement.

“(c) REGULATIONS.—Subsection (a) shall be carried out in accordance with such regulations as the Administrator of General Services may promulgate, with the approval of the Director of the Office of Management and Budget.

“(d) USE OF AMOUNTS.—Amounts appropriated to a Federal agency for installation, repair, and maintenance, generally, shall be available to carry out this section.

“(e) LIABILITY.—Nothing in this section increases or enlarges the tort liability of the Federal Government for any injury to an individual or damage to property.”

(2) CONGRESSIONAL BUILDINGS.—The Architect of the Capitol, in coordination with the Sergeant at Arms and Doorkeeper of the Senate, the Sergeant at Arms of the House of Representatives, and the United States Capitol Police, shall implement, within their respective jurisdictions, a program to make accommodations for bicycle commuters on the United States Capitol complex in the same manner as established under section 596 of title 40, United States Code (as added by paragraph (1)).

**TITLE III—RESPONSIBLE MARKETING AND CONSUMER AWARENESS**

**SEC. 301. GUIDELINES FOR REDUCTION IN SODIUM CONTENT IN CERTAIN FOODS.**

(a) IN GENERAL.—Not later than 180 days after the date of enactment of this Act, the

Secretary of Health and Human Services shall promulgate regulations establishing guidelines for the reduction, over a 2 year period, in the sodium content of processed food and restaurant food following, as appropriate, the recommendations made by the Institute of Medicine report entitled "Strategies to Reduce Sodium Intake in the United States".

(b) DEFINITIONS.—For purposes of this section—

(1) the term "processed food" has the meaning given such term in section 201(gg) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321(gg)); and

(2) the term "restaurant food" means food subject to the requirements of section 403(q)(5)(H) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 343(q)(5)(H)).

**SEC. 302. NUTRITION LABELING FOR FOOD PRODUCTS SOLD PRINCIPALLY FOR USE IN RESTAURANTS OR OTHER RETAIL FOOD ESTABLISHMENTS.**

Section 403(q)(5) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 343(q)(5)) is amended by striking clause (G).

**SEC. 303. FRONT-LABEL FOOD GUIDANCE SYSTEMS.**

(a) IN GENERAL.—Not later than 6 months after the date of enactment of this Act, the Secretary of Health and Human Services (referred to in this section as the "Secretary") shall begin soliciting public comments regarding—

(1) the use of retail front-label food guidance systems to convey nutrition information to the public using logos, symbols, signs, emblems, insignia, or other graphic representations on the labeling of food intended for human consumption that are intended to provide simple, standardized, and understandable nutrition information to the public in graphic form;

(2) appropriate nutrition standards by which a retail front-label food guidance system may convey the relative nutritional value of different foods in simple graphic form; and

(3) whether American consumers would be better served by establishing a single, standardized retail front-label food guidance system regulated by the Food and Drug Administration, or by allowing individual food companies, trade associations, nonprofit organizations, and others to continue to develop their own retail front-label food guidance systems.

(b) EFFECT ON NUTRITION FACTS PANEL.—In soliciting public comments under subsection (a), the Secretary shall inform the public that any retail front-label food guidance system is intended to supplement, not replace, the Nutrition Facts Panel that appears on food labels pursuant to section 403(q) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 343(q)).

(c) PROPOSED REGULATION.—Not later than 12 months following the closure of the public comment solicitation period under subsection (a), the Secretary shall—

(1) publish a notice in the Federal Register that summarizes the public comments and describes the suggested retail front-label food guidance systems received through such solicitation; and

(2) publish proposed regulations that—

(A) establish a single, standardized retail front-label food guidance system; or

(B) establish the conditions under which individual food companies, trade associations, nonprofit organizations, and other entities may continue to develop their own retail front-label food guidance systems.

**SEC. 304. RULEMAKING AUTHORITY FOR ADVERTISING TO CHILDREN.**

(a) PURPOSE.—The purpose of this section is to restore the authority of the Federal Trade Commission to issue regulations that

restrict the marketing or advertising of foods and beverages to children under the age of 18 years if the Federal Trade Commission determines that there is evidence that consumption of certain foods and beverages is detrimental to the health of children.

(b) AUTHORITY.—Section 18 of the Federal Trade Commission Act (15 U.S.C. 57a) is amended—

(1) in subsection (a), by striking "Except as provided in subsection (h), the" and inserting "The";

(2) by amending subsection (b) to read as follows:

"(b) PROCEDURE APPLICABLE.—When prescribing a rule under subsection (a)(1)(B) of this section, the Commission shall proceed in accordance with section 553 of title 5 (without regard to any reference in such section to sections 556 and 557 of such title).";

(3) by striking subsections (c), (f), (h), (i), and (j);

(4) by striking subsection (d) and inserting the following:

"(c) When any rule under subsection (a)(1)(B) takes effect a subsequent violation thereof shall constitute an unfair or deceptive act or practice in violation of section 5(a)(1) of this Act, unless the Commission otherwise expressly provides in such rule.";

(5) by redesignating subsections (e) and (g) as subsections (d) and (e), respectively; and

(6) in subsection (d), as redesignated—

(A) in paragraph (1)(B), by striking "the transcript required by subsection (c)(5).";

(B) in paragraph (3), by striking "error" and all that follows through the period at the end and inserting "error.";

(C) in paragraph (5), by striking subparagraph (C).

**SEC. 305. HEALTH LITERACY: RESEARCH, COORDINATION AND DISSEMINATION.**

(a) IN GENERAL.—Part A of title IX of the Public Health Service Act (42 U.S.C. 299 et seq.) is amended by adding at the end the following:

**"SEC. 904. HEALTH LITERACY: RESEARCH, COORDINATION AND DISSEMINATION.**

"(a) DEFINITION.—In this section, the term 'health literacy' means a consumer's ability to obtain, process, and understand basic health information and services needed to make appropriate health care decisions and the adaptation of services to enhance a consumer's understanding and navigation of applicable health care services.

"(b) HEALTH LITERACY PROGRAM.—

"(1) ESTABLISHMENT.—The Director shall establish within the Agency a program (referred to in this section as the 'program') to strengthen health literacy by improving measurement, research, development, and information dissemination.

"(2) DUTIES.—In carrying out the program, the Director shall—

"(A) gather health literacy resources from public and private sources and make such resources available to researchers, health care providers, and the general public;

"(B) identify and fill research gaps relating to health literacy that have direct applicability to—

"(i) prevention;

"(ii) self-management of chronic disease;

"(iii) quality improvement;

"(iv) the barriers to health literacy;

"(v) relationships between health literacy and health disparities, particularly with respect to language and cultural competency; and

"(vi) the utilization of information on comparative effectiveness of health treatments;

"(C) sponsor demonstration and evaluation projects with respect to interventions and tools designed to strengthen health literacy, including projects focused on—

"(i) the provision of simplified, patient-centered written materials;

"(ii) technology-based communication techniques;

"(iii) consumer navigation services; and

"(iv) the training of health professional providers;

"(D) give preference to health literacy initiatives that—

"(i) focus on the particular needs of vulnerable populations such as the elderly, racial and ethnic minorities, children, individuals with limited English proficiency, and individuals with disabilities; and

"(ii) partner with institutions in the community such as schools, libraries, senior centers, literacy groups, recreation centers, early childhood education centers, area health education centers, and public assistance programs;

"(E) assist appropriate Federal agencies in establishing specific objectives and strategies for carrying out the program, in monitoring the programs of such agencies, and incorporating health literacy into research design, human subjects protections, and informed consent in clinical research;

"(F) seek to enter into implementation partnerships with organizations and agencies, including other agencies within the Department of Health and Human Services, such as the Centers for Medicare & Medicaid Services and the Health Resources and Services Administration, the Office of the Surgeon General, the Joint Commission on the Accreditation of Healthcare Organizations, the Office of the National Coordinator for Health Information Technology, and the National Committee for Quality Assurance, to promote the adoption of interventions and tools developed under this section, particularly in the training of health professionals; and

"(G) coordinate with other agencies within the Department of Health and Human Services to collect data that monitors national trends in health literacy by including relevant items in surveys such as the Medical Expenditure Panel Survey, the National Health Interview Survey, and the National Hospital Discharge Survey.

"(3) REPORT.—The Agency for Healthcare Research and Quality shall annually submit to Congress a report that includes—

"(A) a comprehensive and detailed description of the operations, activities, financial condition, and accomplishments of the Agency in the field of health literacy; and

"(B) a description of how plans for the operation of the program for the succeeding fiscal year will facilitate achievement of the goals of the program.

"(4) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to carry out this subsection such sums as may be necessary for each of fiscal years 2012 through 2016.

"(c) STATE HEALTH LITERACY GRANTS.—

"(1) GRANTS.—The Director of the Agency shall award grants to eligible entities to facilitate State and community efforts to strengthen health literacy.

"(2) USE OF FUNDS.—An entity receiving a grant under this subsection shall use amounts received under such grant to—

"(A) support efforts to monitor and strengthen health literacy within a State or community;

"(B) assist public and private efforts in the State or community in coordinating and delivering health literacy services;

"(C) encourage partnerships among State and local governments, community organizations, non-profit entities, academic institutions, and businesses to coordinate efforts to strengthen health literacy;

“(D) provide technical and policy assistance to State and local governments and service providers; and

“(E) monitor and evaluate programs conducted under this grant.

“(3) REPORT.—Not later than September 30 of each fiscal year for which a grant is received by an entity under this section, the entity shall submit to the Director a report that describes the programs supported by the grant and the results of monitoring and evaluation of those programs.

“(4) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated such sums as may be necessary to carry out this subsection for each of fiscal years 2012 through 2016.”

(b) INSTITUTE OF MEDICINE STUDY AND REPORT.—

(1) STUDY.—The Secretary of Health and Human Services shall seek to enter into a contract with the Institute of Medicine to conduct a study identifying opportunities within the Department of Health and Human Services to strengthen the health literacy of health care providers and health care consumers in accordance with the Patient Protection and Affordable Care Act (Public law 111-148).

(2) REPORT.—A contract entered into under paragraph (1) shall include a provision requiring the Institute of Medicine, not later than 1 year after the date of enactment of this Act, to submit a report concerning the results of the study conducted under paragraph (1) to the Secretary of Health and Human Services and the appropriate committees of Congress.”

**SEC. 306. DISALLOWANCE OF DEDUCTIONS FOR ADVERTISING AND MARKETING EXPENSES RELATING TO TOBACCO PRODUCT USE.**

(a) IN GENERAL.—Part IX of subchapter B of chapter 1 of subtitle A of the Internal Revenue Code of 1986 (relating to items not deductible) is amended by adding at the end the following new section:

**“SEC. 280I. DISALLOWANCE OF DEDUCTION FOR ADVERTISING AND MARKETING EXPENSES RELATING TO TOBACCO PRODUCT USE.**

“No deduction shall be allowed under this chapter for expenses relating to advertising or marketing cigars, cigarettes, smokeless tobacco, pipe tobacco, or any other tobacco product. For purposes of this section, any term used in this section which is also used in section 5702 shall have the same meaning given such term by section 5702.”

(b) CONFORMING AMENDMENT.—The table of sections for such part IX is amended by adding after the item relating to section 280H the following new item:

“Sec. 280I. Disallowance of deduction for tobacco advertising and marketing expenses.”

(c) EFFECTIVE DATE.—The amendments made by this section shall apply to taxable years beginning after the date of the enactment of this Act.

**SEC. 307. INCENTIVES TO REDUCE TOBACCO USE.**

(a) CHILD TOBACCO USE SURVEYS.—

(1) ANNUAL PERFORMANCE SURVEY.—

(A) IN GENERAL.—Not later than August 31, 2012, and annually thereafter, the Secretary of Health and Human Services (referred to in this section as the “Secretary”) shall publish the results of an annual tobacco use survey, to be carried out not later than 18 months after the date of enactment of this Act and completed on an annual basis thereafter, to determine—

(i) the percentage of all young individuals who used tobacco products within the 30-day period prior to the conduct of the survey involved; and

(ii) the percentage of young individuals who identify each brand of each type of to-

bacco product as the usual brand used within such 30-day period.

(B) YOUNG INDIVIDUALS.—For the purposes of this section, the term “young individuals” means individuals who are under 18 years of age.

(2) SIZE AND METHODOLOGY.—

(A) IN GENERAL.—The survey referred to in paragraph (1) may be the National Survey on Drug Use and Health or shall at least be comparable in size and methodology to the NSDUH that was completed in 2009 to measure the use of cigarettes (by brand) by youths under 18 years of age within the 30-day period prior to the conduct of the study.

(B) CONCLUSIVE ACCURATENESS.—A survey using the methodology described in subparagraph (A) shall be deemed conclusively proper, correct, and accurate for purposes of this section.

(C) DEFINITION.—In this section, the term “National Survey on Drug Use and Health” or “NSDUH” means the annual nationwide survey of randomly selected individuals, aged 12 and older, conducted by the Substance Abuse and Mental Health Services Administration.

(3) REDUCTION.—The Secretary, based on a comparison of the results of the first annual tobacco product survey referred to in paragraph (1) and the most recent NSDUH referred to in paragraph (2)(A) completed prior to the date of enactment of this Act, shall determine the percentage reduction (if any) in youth tobacco use for each manufacturer of tobacco products.

(4) PARTICIPATION IN SURVEY.—Notwithstanding any other provision of law, the Secretary may conduct a survey under this subsection involving minors if the results of such survey with respect to such minors are kept confidential and not disclosed.

(5) NONAPPLICABILITY.—Chapter 35 of title 44, United States Code, shall not apply to information required for the purposes of carrying out this section.

(b) TOBACCO USE REDUCTION GOAL AND NON-COMPLIANCE.—

(1) GOAL.—It shall be the tobacco use reduction goal that youth tobacco use be reduced by at least 5 percent or a level determined significantly sufficient by the Secretary between the most recent NSDUH referred to in subsection (a)(2)(A) and the completion of the first annual cigarette survey (and such subsequent surveys as compared to the previous year’s survey) referred to in subsection (a)(1).

(2) NONCOMPLIANCE.—

(A) INDUSTRY-WIDE PENALTY.—If the Secretary determines that the tobacco use reduction goal under paragraph (1) has not been achieved, the Secretary shall, not later than September 10, 2012, and September 10 of each year thereafter, impose an industry-wide penalty on the manufacturers of cigarettes in an amount that is in the aggregate equal to \$3,000,000,000.

(B) PAYMENT.—The industry-wide penalty imposed under this subsection shall be paid by each manufacturer based on the brand share among youth ages 12-17 (as determined by the survey described in subsection (a)(1)) as such percentage relates to the total amount to be paid by all manufacturers.

(C) FINAL DETERMINATION.—The determination of the Secretary as to the amount and allocation of a surcharge under this section shall be final and the manufacturer shall pay such surcharge within 10 days of the date on which the manufacturer is assessed. Such payment shall be retained by the Secretary pending final judicial review of what, if any, change in the surcharge is appropriate.

(D) LIMITATION.—With respect to cigarettes, a manufacturer with a market share of 1 percent or less of youth tobacco use

shall not be liable for the payment of a surcharge under this paragraph.

(E) USE OF AMOUNTS.—Amounts collected under subparagraph (A) shall be deposited into the Prevention and Public Health Fund established under section 4002 of the Patient Protection and Affordable Care Act (42 U.S.C. 300u-11). Such funds shall remain available for transfer through September 30th of the fifth fiscal year following their collection, subject to the terms and conditions of such section 4002.

(3) PENALTIES NONDEDUCTIBLE.—The payment of penalties under this section shall not be considered to be an ordinary and necessary expense in carrying on a trade or business for purposes of the Internal Revenue Code of 1986 and shall not be deductible.

(4) JUDICIAL REVIEW.—

(A) AFTER PAYMENT.—A manufacturer of cigarettes may seek judicial review of any action under this section only after the assessment involved has been paid by the manufacturer to the Department of the Treasury and only in the United States District Court for the District of Columbia.

(B) REVIEW BY ATTORNEY GENERAL.—Prior to the filing of an action by a manufacturer seeking judicial review of an action under this section, the manufacturer shall notify the Attorney General of such intent to file and the Attorney General shall have 30 days in which to respond to the action.

(C) REVIEW.—The amount of any surcharge paid under this section shall be subject to judicial review by the United States Court of Appeals for the District of Columbia Circuit, based on the arbitrary and capricious standard of section 706 of title 5, United States Code. Notwithstanding any other provision of law, no court shall have the authority to stay any surcharge payment due to the Secretary under this section pending judicial review until the Secretary has made or failed to make a compliance determination, as described under this section, that has adversely affected the person seeking the review.

(c) ENFORCEMENT.—

(1) INITIAL PENALTY.—There is hereby imposed an initial penalty on the failure of any manufacturer to make any payment required under this section not later than a period determined sufficient by the Secretary after the date on which such payment is due.

(2) AMOUNT OF PENALTY.—The amount of the penalty imposed by paragraph (1) on any failure with respect to a manufacturer shall be an amount equal to 2 percent of the penalty owed under subsection (b) for each day during the noncompliance period.

(3) NONCOMPLIANCE PERIOD.—For purposes of this subsection, the term “noncompliance period” means, with respect to any failure to make the surcharge payment required under this section, the period—

(A) beginning on the due date for such payment; and

(B) ending on the date on which such payment is paid in full.

(4) LIMITATIONS.—No penalty shall be imposed by paragraph (1) on—

(A) any failure to make a surcharge payment under this section during any period for which it is established to the satisfaction of the Secretary that none of the persons responsible for such failure knew or, exercising reasonable diligence, would have known, that such failure existed; or

(B) any manufacturer that produces less than 1 percent of cigarettes used by youth in that year (as determined by the annual survey).

**TITLE IV—EXPANDED COVERAGE OF PREVENTIVE SERVICES**

**SEC. 401. REQUIRED COVERAGE OF PREVENTIVE SERVICES UNDER THE MEDICAID PROGRAM.**

(a) **MANDATORY COVERAGE.**—Section 1905 of the Social Security Act (42 U.S.C. 1396d), as amended by section 4107(a)(1) of the Patient Protection and Affordable Care Act (Public Law 111-148), is amended—

(1) in subsection (a)(4)—

(A) by striking “and” before “(D)”;

(B) by inserting before the semicolon at the end the following new subparagraph: “; and (E) preventive services described in subsection (ee);” and

(2) by adding at the end the following new subsection:

“(e) **PREVENTIVE SERVICES.**—For purposes of subsection (a)(4)(E), the preventives services described in this subsection are diagnostic, screening, preventive, and rehabilitative services not otherwise described in subsection (a) or (r) that the Secretary determines are appropriate for individuals entitled to medical assistance under this title, including—

“(1) evidence-based services that are assigned a grade of A or B by the United States Preventive Services Task Force; and

“(2) with respect to an adult individual, approved vaccines recommended for routine use by the Advisory Committee on Immunization Practices of the Centers for Disease Control and Prevention.”.

(b) **ELIMINATION OF COST-SHARING.**—

(1) Subsections (a)(2)(D) and (b)(2)(D) of section 1916 of the Social Security Act (42 U.S.C. 1396o) are each amended by inserting “preventive services described in section 1905(ee),” after “emergency services (as defined by the Secretary).”.

(2) Section 1916A(a)(1) of such Act (42 U.S.C. 1396o-1(a)(1)) is amended by inserting “, preventive services described in section 1905(ee),” after “subsection (c)”.

(c) **CONFORMING AMENDMENT.**—Effective as if included in the enactment of the Patient Protection and Affordable Care Act (Public Law 111-148), the provisions of, and amendments made by, section 4106 of such Act are repealed.

(d) **INTERVAL PERIOD FOR INCLUSION OF NEW RECOMMENDATIONS IN STATE PLANS.**—With respect to a recommendation issued on or after the date of enactment of this Act by an organization described in subsection (ee) of section 1905 of the Social Security Act for a preventive service included under such subsection, the Secretary of Health and Human Services shall establish a minimum interval period, which shall be not less than 12 months, between the date on which the recommendation is issued and the plan year for which a State plan for medical assistance under title XIX of the Social Security Act shall be required to include such preventive service.

(e) **EFFECTIVE DATE.**—

(1) **IN GENERAL.**—Except as provided in paragraph (2), the amendments made by subsections (a) and (b) take effect on the date of enactment of this Act.

(2) **EXTENSION OF EFFECTIVE DATE FOR STATE LAW AMENDMENT.**—In the case of a State plan under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) which the Secretary of Health and Human Services determines requires State legislation or State regulation in order for the plan to meet the additional requirements imposed by the amendments made by subsections (a) and (b), the State plan shall not be regarded as failing to comply with the requirements of such title solely on the basis of its failure to meet these additional requirements before the first day of the first calendar quarter beginning after the close of the first regular ses-

ion of the State legislature that begins after the date of enactment of this Act. For purposes of the previous sentence, in the case of a State that has a 2-year legislative session, each year of the session is considered to be a separate regular session of the State legislature.

**SEC. 402. COVERAGE FOR COMPREHENSIVE WORKPLACE WELLNESS PROGRAM AND PREVENTIVE SERVICES.**

Section 8904(a) of title 5, United States Code, is amended—

(1) in paragraph (1), by adding at the end the following:

“(G) Comprehensive workplace wellness program benefits that meet the requirements of section 10408 of the Patient Protection and Affordable Care Act (Public Law 111-148).

“(H) Preventive services benefits deemed an ‘A’ or ‘B’ service by the United States Preventive Services Taskforce.

“(I) Immunizations that have in effect a recommendation from the Advisory Committee on Immunization Practices of the Centers for Disease Control and Prevention with respect to the individuals involved.

“(J) With respect to infants, children, and adolescents, evidence-informed preventive care and screenings provided for in the comprehensive guidelines supported by the Health Resources and Services Administration of the Department of Health and Human Services.”; and

(2) in paragraph (2), by adding at the end the following:

“(G) Comprehensive workplace wellness program benefits that meet the requirements of section 10408 of the Patient Protection and Affordable Care Act (Public Law 111-148).

“(H) Preventive services benefits deemed an ‘A’ or ‘B’ service by the United States Preventive Services Taskforce.

“(I) Immunizations that have in effect a recommendation from the Advisory Committee on Immunization Practices of the Centers for Disease Control and Prevention with respect to the individuals involved.

“(J) With respect to infants, children, and adolescents, evidence-informed preventive care and screenings provided for in the comprehensive guidelines supported by the Health Resources and Services Administration of the Department of Health and Human Services.”.

**SEC. 403. HEALTH PROFESSIONAL EDUCATION AND TRAINING IN HEALTHY EATING.**

Part Q of title III of the Public Health Service Act (42 U.S.C. 280h et seq.) is amended by striking section 399Z and inserting the following:

**“SEC. 399Z. HEALTH PROFESSIONAL EDUCATION AND TRAINING IN HEALTHY EATING.**

“(a) **IN GENERAL.**—The Secretary, acting through the Director of the Centers for Disease Control and Prevention, in collaboration with the Administrator of the Health Resources and Services Administration and the heads of other agencies, and in consultation with appropriate health professional associations, shall develop and carry out a program to educate and train health professionals in effective strategies to—

“(1) better identify patients at-risk of becoming overweight or obese or developing an eating disorder;

“(2) detect overweight or obesity or eating disorders among a diverse patient population;

“(3) counsel, refer, or treat patients with overweight or obesity or an eating disorder;

“(4) educate patients and the families of patients about effective strategies to establish healthy eating habits and appropriate levels of physical activity; and

“(5) assist in the creation and administration of community-based overweight and obesity and eating disorder prevention efforts.

“(b) **EATING DISORDER.**—In this section, the term ‘eating disorder’ includes anorexia nervosa, bulimia nervosa, binge eating disorder, and eating disorders not otherwise specified, as defined in the fourth edition of the Diagnostic and Statistical Manual of Mental Disorders or any subsequent edition.

“(c) **AUTHORIZATION OF APPROPRIATIONS.**—There are authorized to be appropriated to carry out this section such sums as may be necessary for each of the fiscal years 2012 through 2016.”.

**TITLE V—RESEARCH**

**SEC. 501. GRANTS FOR BODY MASS INDEX DATA ANALYSIS.**

(a) **ESTABLISHMENT.**—The Secretary of Health and Human Services may make grants to not more than 20 eligible entities to analyze body mass index (hereinafter in this section referred to as “BMI”) measurements of children, ages 2 through 18.

(b) **ELIGIBILITY.**—An eligible entity for purposes of this section is a State (including the District of Columbia, the Commonwealth of Puerto Rico, and each territory of the United States) that has a statewide immunization information system that—

(1) has the capacity to store basic demographic information (including date of birth, gender, and geographic area of residence), height, weight, and immunization data for each resident of the State;

(2) is accessible to doctors, nurses, other licensed medical professionals, and officials of the relevant department in the State charged with maintaining health and immunization records; and

(3) has the capacity to integrate large amounts of data for the analysis of BMI measurements.

(c) **USE OF FUNDS.**—A State that receives a grant under this section shall use the grant for the following purposes:

(1) Analyzing the effectiveness of obesity prevention programs and wellness policies carried out in the State.

(2) Purchasing new computers, computer equipment, and software to upgrade computers to be used for a statewide immunization information system.

(3) The hiring and employment of personnel to maintain and analyze BMI data.

(4) The development and implementation of training programs for medical professionals to aid such professionals in taking BMI measurements and discussing such measurements with patients.

(5) Providing information to parents and legal guardians in accordance with subsection (e)(2).

(d) **SELECTION CRITERIA.**—In selecting recipients of grants under this section, the Secretary shall give priority to States in which a high percentage of public and private health care providers submit data to a statewide immunization information system that—

(1) contains immunization data for not less than 20 percent of the population of such State that is under the age of 18; and

(2) includes data collected from men and women who are of a wide variety of ages and who reside in a wide variety of geographic areas in a State (as determined by the Secretary).

(e) **CONDITIONS.**—As a condition of receiving a grant under this section, a State shall—

(1) ensure that BMI measurements will be recorded for children ages 2 through 18—

(A) on an annual basis by a licensed physician, nurse, nurse practitioner, or physicians assistant during an annual physical examination, wellness visit, or similar visit with a physician; and

(B) in accordance with data collection protocols published by the American Academy



of Pediatrics in the 2007 Expert Committee Recommendations; and

(2) for each child in the State for whom such measurements indicate a BMI greater than the 95th percentile for such child's age and gender, provide to the parents or legal guardians of such child information on how to lower BMI and information on State and local obesity prevention programs.

(f) REPORTS.—

(1) REPORTS TO THE SECRETARY.—Not later than 5 years after the receipt of a grant under this section, the State receiving such grant shall submit to the Secretary the following reports:

(A) A report containing an analysis of BMI data collected using the grant, including—

(i) the differences in obesity trends by gender, disability, geographic area (as determined by the State), and socioeconomic status within such State; and

(ii) the demographic groups and geographic areas most affected by obesity within such State.

(B) A report containing an analysis of the effectiveness of obesity prevention programs and State wellness policies, including—

(i) an analysis of the success of such programs and policies prior to the receipt of the grant; and

(ii) a discussion of the means to determine the most effective strategies to combat obesity in the geographic areas identified under subparagraph (A).

(2) REPORT TO CONGRESS AND CERTAIN EXECUTIVE AGENCIES.—Not later than 1 year after the Secretary receives all the reports required pursuant to paragraph (1), the Secretary shall submit to the Secretary of Education, the Secretary of Agriculture, and to Congress a report that contains the following:

(A) An analysis of trends in childhood obesity, including how such trends vary across regions of the United States, and how such trends vary by gender and socioeconomic status.

(B) A description of any programs that—

(i) the Secretary has determined significantly lower childhood obesity rates for certain geographic areas in the United States, including urban, rural, and suburban areas; and

(ii) the Secretary recommends to be implemented by the States (including States that did not receive a grant under this section).

(g) AUTHORIZATION OF APPROPRIATIONS.—There is authorized to be appropriated to the Secretary such sums as may be necessary to carry out this section for each of fiscal years 2012 through 2016.

**SEC. 502. NATIONAL ASSESSMENT OF MENTAL HEALTH NEEDS.**

Title V of the Public Health Service Act (42 U.S.C. 290aa et seq.) is amended by inserting after section 506B (42 U.S.C. 290aa-5b) the following:

**“SEC. 506C. NATIONAL ASSESSMENT OF MENTAL HEALTH NEEDS.**

“(a) IN GENERAL.—The Secretary, acting through the Administrator, and in consultation with the Centers for Disease Control and Prevention and the Director of the National Institutes of Health, shall establish and implement public health monitoring measures to address the mental and behavioral health status of the population of the United States and other populations served by the Administration, that include—

“(1) monitoring the mental health status of the population, including the incidence and prevalence of mental and behavioral health conditions across the lifespan;

“(2) monitoring access to appropriate diagnostic and treatment services for mental and behavioral health conditions, including trends in unmet need for services;

“(3) monitoring mental and behavioral health conditions as risk factors for obesity and chronic diseases to the extent practicable;

“(4) enhancing existing public health monitoring systems by including measures assessing mental and behavioral health status and associated risk factors; and

“(5) to the extent practicable, monitoring the immediate and long-term impact of disasters or catastrophic events, whether natural or man-made on the mental and behavioral health of affected populations.

“(b) DISTINGUISHING AMONG AGE GROUPS.—In designing and implementing the measures described in subsection (a) the Secretary shall ensure that data collection and reporting standards stratify data by age groups, in particular, to the extent practicable, children under the age of 5 years.

“(c) REPORT.—Not later than 1 year after the date of enactment of this section, the Secretary shall submit a report to Congress that describes the progress on the implementation of the monitoring measures described in subsection (a).

“(d) AUTHORIZATION OF APPROPRIATIONS.—There is authorized to be appropriated to carry out this section such sums as may be necessary to carry out this section for each of fiscal years 2012 through 2016.”

By Mr. HARKIN (for himself, Mr. JOHNSON of South Dakota, Ms. KLOBUCHAR, and Mr. FRANKEN):

S. 187. A bill to provide for the expansion of the biofuels market; to the Committee on Energy and Natural Resources.

Mr. HARKIN. Mr. President, I rise today to discuss the great importance of expanding the production and availability of biofuels, and the significant impact that biofuels continue to have on reducing our overall consumption of petroleum in the United States.

Our national energy situation continues to deteriorate. Because we import 60 percent of the petroleum we consume, our economy faces a constant threat from volatile petroleum prices as well as significant amounts of American wealth being transferred to foreign producers. Because more than two-thirds of our petroleum supply is consumed by our transportation sector, we can improve this situation by expanding the production and use of alternatives to petroleum-derived fuels. Domestic biofuels have been by far our most successful alternative. Biofuels already displace close to 10 percent of our gasoline supplies, and they have the potential to make significantly larger contributions in the years ahead. Expanding domestic biofuels production and use also will support economic recovery by creating jobs in the areas of feedstock production and delivery, fuels processing in bio refineries, and biofuels marketing.

The American people understand the need to reduce our dependence on foreign petroleum supplies. Congress has expressed broad agreement on two fundamental approaches—increasing efficiency of vehicles and increasing use of alternative fuels. We mandated more efficient vehicles by passing the Energy Independence and Security Act of 2007, EISA. That bill mandates a brisk expansion of biofuels production under

the Renewable Fuels Standard. However, biofuels currently are facing critical market barriers. The most common form of biofuel, ethanol, can only be used as a 10 percent blend with gasoline in most highway vehicles. To enable much larger production and use levels, we need to expand the number of flex-fuel vehicles that can use higher blends, and we need to expand the number of filling stations selling those higher blends. We also need to enable safer and more economical transport of higher volumes by supporting development of biofuel pipelines.

To these ends, I am proud today to introduce the Biofuels Market Expansion Act of 2011. This measure would require that at least 90 percent of new auto sales in the United States be flex fuel vehicles by 2016. It would also require major fuel distributors, those owning or branding more than 50 gasoline filling stations, to install increasing numbers of blender pumps at their retail filling stations, and it would authorize funding to support blender pump installations by smaller filling station operators. Finally, this measure would authorize guarantees for loans covering 80 percent of renewable fuel pipeline project costs.

The requirements and assistance authorized in this bill will ensure that the number of flex-fuel automobiles and the availability of alternative fuels are expanding in tandem with the production and use of biofuels in our national fuel supply over the next 8 years and beyond. This is a job-creating bill that reduces American dependence on foreign petroleum by giving Americans the option of choosing clean, domestically-produced fuels for their personal transportation needs in the future. These steps represent critical components in the transition of our energy systems away from fossil and imported fuels toward the benefits of greater reliance on sustainable domestic fuel sources.

Today, I urge my Senate colleagues to join us in taking action to boost the transition to a cleaner, more resilient, and more secure energy economy. I urge Senators' support for this bill and its rapid enactment.

Mr. President, I ask unanimous consent that the bill be printed in the RECORD.

There being no objection, the text of the bill was ordered to be printed in the RECORD, as follows:

S. 187

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

**SECTION 1. SHORT TITLE.**

This Act may be cited as the “Biofuels Market Expansion Act of 2011”.

**SEC. 2. ENSURING THE AVAILABILITY OF DUAL FUELED AUTOMOBILES AND LIGHT DUTY TRUCKS.**

(a) IN GENERAL.—Chapter 329 of title 49, United States Code, is amended by inserting after section 32902 the following:

**“§ 32902A. Requirement to manufacture dual fueled automobiles and light duty trucks**

“(a) IN GENERAL.—For each model year listed in the following table, each manufacturer shall ensure that the percentage of

automobiles and light duty trucks manufactured by the manufacturer for sale in the United States that are dual fueled automobiles and light duty trucks is not less than the percentage set forth for that model year in the following table:

Model Year	Percentage
Model years 2014 and 2015 .....	50
Model year 2016 and each subsequent model year .....	90

“(b) EXCEPTION.—Subsection (a) shall not apply to automobiles or light duty trucks that operate only on electricity.”

(b) CLERICAL AMENDMENT.—The table of sections for chapter 329 of title 49, United States Code, is amended by inserting after the item relating to section 32902 the following:

“32902A. Requirement to manufacture dual fueled automobiles and light duty trucks.”

(c) RULEMAKING.—Not later than 1 year after the date of the enactment of this Act, the Secretary of Transportation shall prescribe regulations to carry out the amendments made by this Act.

SEC. 3. BLENDER PUMP PROMOTION.

(a) BLENDER PUMP GRANT PROGRAM.—

(1) DEFINITIONS.—In this subsection:

(A) BLENDER PUMP.—The term “blender pump” means an automotive fuel dispensing pump capable of dispensing at least 3 different blends of gasoline and ethanol, as selected by the pump operator, including blends ranging from 0 percent ethanol to 85 percent denatured ethanol, as determined by the Secretary.

(B) E-85 FUEL.—The term “E-85 fuel” means a blend of gasoline approximately 85 percent of the content of which is ethanol.

(C) ETHANOL FUEL BLEND.—The term “ethanol fuel blend” means a blend of gasoline and ethanol, with a minimum of 0 percent and maximum of 85 percent of the content of which is denatured ethanol.

(D) MAJOR FUEL DISTRIBUTOR.—

(i) IN GENERAL.—The term “major fuel distributor” means any person that owns a refinery or directly markets the output of a refinery.

(ii) EXCLUSION.—The term “major fuel distributor” does not include any person that directly markets through less than 50 retail fueling stations.

(E) SECRETARY.—The term “Secretary” means the Secretary of Energy.

(2) GRANTS.—The Secretary shall make grants under this subsection to eligible facilities (as determined by the Secretary) to pay the Federal share of—

(A) installing blender pump fuel infrastructure, including infrastructure necessary for the direct retail sale of ethanol fuel blends (including E-85 fuel), including blender pumps and storage tanks; and

(B) providing subgrants to direct retailers of ethanol fuel blends (including E-85 fuel) for the purpose of installing fuel infrastructure for the direct retail sale of ethanol fuel blends (including E-85 fuel), including blender pumps and storage tanks.

(3) LIMITATION.—A major fuel distributor shall not be eligible for a grant or subgrant under this subsection.

(4) FEDERAL SHARE.—The Federal share of the cost of a project carried out under this subsection shall be up to 50 percent of the total cost of the project.

(5) REVERSION.—If an eligible facility or retailer that receives a grant or subgrant under this subsection does not offer ethanol fuel blends for sale for at least 2 years during the 4-year period beginning on the date of installation of the blender pump, the eligible

facility or retailer shall be required to repay to the Secretary an amount determined to be appropriate by the Secretary, but not more than the amount of the grant provided to the eligible facility or retailer under this subsection.

(6) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to the Secretary to carry out this subsection, to remain available until expended—

- (A) \$50,000,000 for fiscal year 2012;
- (B) \$100,000,000 for fiscal year 2013;
- (C) \$200,000,000 for fiscal year 2014;
- (D) \$300,000,000 for fiscal year 2015; and
- (E) \$350,000,000 for fiscal year 2016.

(b) INSTALLATION OF BLENDER PUMPS BY MAJOR FUEL DISTRIBUTORS AT OWNED STATIONS AND BRANDED STATIONS.—Section 211(o) of the Clean Air Act (42 U.S.C. 7545(o)) is amended by adding at the end the following:

“(13) INSTALLATION OF BLENDER PUMPS BY MAJOR FUEL DISTRIBUTORS AT OWNED STATIONS AND BRANDED STATIONS.—

“(A) DEFINITIONS.—In this paragraph:

“(i) E-85 FUEL.—The term ‘E-85 fuel’ means a blend of gasoline approximately 85 percent of the content of which is ethanol.

“(ii) ETHANOL FUEL BLEND.—The term ‘ethanol fuel blend’ means a blend of gasoline and ethanol, with a minimum of 0 percent and maximum of 85 percent of the content of which is denatured ethanol.

“(iii) MAJOR FUEL DISTRIBUTOR.—

“(I) IN GENERAL.—The term ‘major fuel distributor’ means any person that owns a refinery or directly markets the output of a refinery.

“(II) EXCLUSION.—The term ‘major fuel distributor’ does not include any person that directly markets through less than 50 retail fueling stations.

“(iv) SECRETARY.—The term ‘Secretary’ means the Secretary of Energy, acting in consultation with the Administrator of the Environmental Protection Agency and the Secretary of Agriculture.

“(B) REGULATIONS.—The Secretary shall promulgate regulations to ensure that each major fuel distributor that sells or introduces gasoline into commerce in the United States through majority-owned stations or branded stations installs or otherwise makes available 1 or more blender pumps that dispense E-85 fuel and ethanol fuel blends (including any other equipment necessary, such as tanks, to ensure that the pumps function properly) for a period of not less than 5 years at not less than the applicable percentage of the majority-owned stations and the branded stations of the major fuel distributor specified in subparagraph (C).

“(C) APPLICABLE PERCENTAGE.—For the purpose of subparagraph (B), the applicable percentage of the majority-owned stations and the branded stations shall be determined in accordance with the following table:

Applicable percentage of majority-owned stations and branded stations	Calendar year:	Percent:
	2014 .....	10
	2016 .....	20
	2018 .....	35
	2020 and each calendar year thereafter .....	50.

“(D) GEOGRAPHIC DISTRIBUTION.—

“(i) IN GENERAL.—Subject to clause (ii), in promulgating regulations under subparagraph (B), the Secretary shall ensure that each major fuel distributor described in that subparagraph installs or otherwise makes available 1 or more blender pumps that dispense E-85 fuel and ethanol fuel blends at not less than a minimum percentage (specified in the regulations) of the majority-

owned stations and the branded stations of the major fuel distributors in each State.

“(ii) REQUIREMENT.—In specifying the minimum percentage under clause (i), the Secretary shall ensure that each major fuel distributor installs or otherwise makes available 1 or more blender pumps described in that clause in each State in which the major fuel distributor operates.

“(E) FINANCIAL RESPONSIBILITY.—In promulgating regulations under subparagraph (B), the Secretary shall ensure that each major fuel distributor described in that subparagraph assumes full financial responsibility for the costs of installing or otherwise making available the blender pumps described in that subparagraph and any other equipment necessary (including tanks) to ensure that the pumps function properly.

“(F) PRODUCTION CREDITS FOR EXCEEDING BLENDER PUMPS INSTALLATION REQUIREMENT.—

“(i) EARNING AND PERIOD FOR APPLYING CREDITS.—If the percentage of the majority-owned stations and the branded stations of a major fuel distributor at which the major fuel distributor installs blender pumps in a particular calendar year exceeds the percentage required under subparagraph (C), the major fuel distributor shall earn credits under this paragraph, which may be applied to any of the 3 consecutive calendar years immediately after the calendar year for which the credits are earned.

“(ii) TRADING CREDITS.—Subject to clause (iii), a major fuel distributor that has earned credits under clause (i) may sell the credits to another major fuel distributor to enable the purchaser to meet the requirement under subparagraph (C).

“(iii) EXCEPTION.—A major fuel distributor may not use credits purchased under clause (i) to fulfill the geographic distribution requirement in subparagraph (D).”

SEC. 4. LOAN GUARANTEES FOR PROJECTS TO CONSTRUCT RENEWABLE FUEL PIPELINES.

(a) DEFINITIONS.—Section 1701 of the Energy Policy Act of 2005 (42 U.S.C. 16511) is amended by adding at the end the following:

“(6) RENEWABLE FUEL.—The term ‘renewable fuel’ has the meaning given the term in section 211(o)(1) of the Clean Air Act (42 U.S.C. 7545(o)(1)), except that the term includes all types of ethanol and biodiesel.

“(7) RENEWABLE FUEL PIPELINE.—The term ‘renewable fuel pipeline’ means a pipeline for transporting renewable fuel.”

(b) AMOUNT.—Section 1702(c) of the Energy Policy Act of 2005 (42 U.S.C. 16512(c)) is amended—

(1) by striking “(c) AMOUNT.—Unless” and inserting the following:

“(c) AMOUNT.—

“(1) IN GENERAL.—Unless”; and

(2) by adding at the end the following:

“(2) RENEWABLE FUEL PIPELINES.—A guarantee for a project described in section 1703(b)(11) shall be in an amount equal to 80 percent of the project cost of the facility that is the subject of the guarantee, as estimated at the time at which the guarantee is issued.”

(c) RENEWABLE FUEL PIPELINE ELIGIBILITY.—Section 1703(b) of the Energy Policy Act of 2005 (42 U.S.C. 16513(b)) is amended by adding at the end the following:

“(11) Renewable fuel pipelines.”

(d) RAPID DEPLOYMENT OF RENEWABLE FUEL PIPELINES.—Section 1705 of the Energy Policy Act of 2005 (42 U.S.C. 16516) is amended—

(1) in subsection (a)—

(A) in the matter preceding paragraph (1), by inserting “, or, in the case of projects described in paragraph (4), September 30, 2012” before the colon at the end; and

(B) by adding at the end the following:

“(4) Installation of sufficient infrastructure to allow for the cost-effective deployment of clean energy technologies appropriate to each region of the United States, including the deployment of renewable fuel pipelines through loan guarantees in an amount equal to 80 percent of the cost.”; and

(2) in subsection (e), by inserting “, or, in the case of projects described in subsection (a)(4), September 30, 2012” before the period at the end.

(e) REGULATIONS.—Not later than 90 days after the date of enactment of this Act, the Secretary of Energy shall promulgate such regulations as are necessary to carry out the amendments made by this section.

#### SUBMITTED RESOLUTIONS

#### SENATE RESOLUTION 14—HONORING THE VICTIMS AND HEROES OF THE SHOOTING ON JANUARY 8, 2011 IN TUCSON, ARIZONA

Mr. MCCAIN (for himself, Mr. KYL, Mr. REID of Nevada, Mr. MCCONNELL, Mr. AKAKA, Mr. ALEXANDER, Ms. AYOTTE, Mr. BARRASSO, Mr. BAUCUS, Mr. BEGICH, Mr. BENNET, Mr. BINGAMAN, Mr. BLUMENTHAL, Mr. BLUNT, Mr. BOOZMAN, Mrs. BOXER, Mr. BROWN of Massachusetts, Mr. BROWN of Ohio, Mr. BURR, Ms. CANTWELL, Mr. CARDIN, Mr. CARPER, Mr. CASEY, Mr. CHAMBLISS, Mr. COATS, Mr. COBURN, Mr. COCHRAN, Ms. COLLINS, Mr. CONRAD, Mr. COONS, Mr. CORKER, Mr. CORNYN, Mr. CRAPO, Mr. DEMINT, Mr. DURBIN, Mr. ENSIGN, Mr. ENZI, Mrs. FEINSTEIN, Mr. FRANKEN, Mrs. GILLIBRAND, Mr. GRAHAM, Mr. GRASSLEY, Mrs. HAGAN, Mr. HARKIN, Mr. HATCH, Mr. HOEVEN, Mrs. HUTCHISON, Mr. INHOFE, Mr. INOUYE, Mr. ISAKSON, Mr. JOHANNES, Mr. JOHNSON of Wisconsin, Mr. JOHNSON of South Dakota, Mr. KERRY, Mr. KIRK, Ms. KLOBUCHAR, Mr. KOHL, Ms. LANDRIEU, Mr. LAUTENBERG, Mr. LEAHY, Mr. LEE, Mr. LEVIN, Mr. LIEBERMAN, Mr. LUGAR, Mr. MANCHIN, Mrs. MCCASKILL, Mr. MENENDEZ, Mr. MERKLEY, Ms. MIKULSKI, Mr. MORAN, Ms. MURKOWSKI, Mrs. MURRAY, Mr. NELSON of Nebraska, Mr. NELSON of Florida, Mr. PAUL, Mr. PORTMAN, Mr. PRYOR, Mr. REED of Rhode Island, Mr. RISCH, Mr. ROBERTS, Mr. ROCKEFELLER, Mr. RUBIO, Mr. SANDERS, Mr. SCHUMER, Mr. SESSIONS, Mrs. SHAHEEN, Mr. SHELBY, Ms. SNOWE, Ms. STABENOW, Mr. TESTER, Mr. THUNE, Mr. TOOMEY, Mr. UDALL of Colorado, Mr. UDALL of New Mexico, Mr. VITTER, Mr. WARNER, Mr. WEBB, Mr. WHITEHOUSE, Mr. WICKER, and Mr. WYDEN) submitted the following resolution; which was ordered held at the desk:

S. RES. 14

Whereas on January 8, 2011, a gunman opened fire at a “Congress on your Corner” event hosted by Representative Gabrielle Giffords in Tucson, Arizona, killing 6 and wounding 13 others;

Whereas Christina-Taylor Green, Dorothy Morris, John Roll, Phyllis Schneck, Dorwan Stoddard, and Gabriel Matthew Zimmerman lost their lives in this attack;

Whereas Christina-Taylor Green, the 9-year-old daughter of John and Roxanna Green, was born on September 11, 2001, and was a third grader with an avid interest in government who was recently elected to the student council at Mesa Verde Elementary School;

Whereas Dorothy Morris, who was 76 years old, attended the January 8 event with George, her husband of over 50 years with whom she had 2 daughters, and who was also critically injured as he tried to shield her from the shooting;

Whereas John Roll, a Pennsylvania native who was 63 years old, began his professional career as a bailiff in 1972, was appointed to the Federal bench in 1991, and became chief judge for the District of Arizona in 2006, was a devoted husband to his wife Maureen, father to his 3 sons, and grandfather to his 5 grandchildren, and heroically attempted to shield Ron Barber from additional gunfire;

Whereas Phyllis Schneck, a proud mother of 3, grandmother of 7, and great-grandmother from New Jersey, was spending the winter in Arizona, and was a 79-year-old church volunteer and New York Giants fan;

Whereas Dorwan Stoddard, a 76-year-old retired construction worker and volunteer at the Mountain Avenue Church of Christ, is credited with shielding his wife Mavy, a longtime friend whom he married while they were in their 60s, who was also injured in the shooting;

Whereas Gabriel Matthew Zimmerman, who was 30 years old and engaged to be married, served as Director of Community Outreach to Representative Gabrielle Giffords, and was a social worker before serving with Representative Giffords;

Whereas Representative Gabrielle Giffords was a target of this attack, and was critically injured;

Whereas 13 others were also wounded in the shooting, including Ron Barber and Pamela Simon, both staffers to Representative Giffords; and

Whereas several individuals, including Patricia Maisch, Army Col. Bill Badger (Retired), who was also wounded in the shooting, Roger Salzgeber, Joseph Zamudio, Daniel Hernandez, Jr., Anna Ballis, and Dr. Steven Rayle helped apprehend the gunman and assist the injured, thereby risking their lives for the safety of others, and should be commended for their bravery: Now, therefore, be it

*Resolved*, That the Senate—

(1) condemns in the strongest possible terms the horrific attack which occurred at the “Congress on your Corner” event hosted by Representative Gabrielle Giffords in Tucson, Arizona, on January 8, 2011;

(2) offers its heartfelt condolences to the families, friends, and loved ones of those who were killed in that attack;

(3) expresses its hope for the rapid and complete recovery of those wounded in the shooting;

(4) honors the memory of Christina-Taylor Green, Dorothy Morris, John Roll, Phyllis Schneck, Dorwan Stoddard, and Gabriel Matthew Zimmerman;

(5) applauds the bravery and quick thinking exhibited by those individuals who prevented the gunman from potentially taking more lives and helped to save those who had been wounded;

(6) recognizes the service of the first responders who raced to the scene and the health care professionals who tended to the victims once they reached the hospital, whose service and skill saved lives;

(7) reaffirms the bedrock principle of American democracy and representative government, which is memorialized in the First Amendment of the Constitution and which Representative Gabrielle Giffords herself

read in the Hall of the House of Representatives on January 6, 2011, of “the right of the people peaceably to assemble, and to petition the Government for a redress of grievances”;

(8) stands firm in its belief in a democracy in which all can participate and in which intimidation and threats of violence cannot silence the voices of any American;

(9) honors the service and leadership of Representative Gabrielle Giffords, a distinguished member of the House of Representatives, as she courageously fights to recover; and

(10) when adjourning today, shall do so out of respect to the victims of this attack.

#### SENATE RESOLUTION 15—DESIGNATING THE WEEK OF AUGUST 1 THROUGH AUGUST 7, 2011, AS “NATIONAL CONVENIENT CARE CLINIC WEEK”, AND SUPPORTING THE GOALS AND IDEALS OF RAISING AWARENESS OF THE NEED FOR ACCESSIBLE AND COST-EFFECTIVE HEALTH CARE OPTIONS TO COMPLEMENT THE TRADITIONAL HEALTH CARE MODEL

Mr. INOUYE (for himself and Mr. COCHRAN) submitted the following resolution; which was referred to the Committee on the Judiciary:

S. RES. 15

Whereas convenient care clinics are health care facilities located in high-traffic retail outlets that provide affordable and accessible care to patients who might otherwise be delayed or unable to schedule an appointment with a traditional primary care provider;

Whereas millions of people in the United States do not have a primary care provider, and there is a worsening primary care shortage that will prevent many people from obtaining one in the future;

Whereas convenient care clinics have provided an accessible alternative for more than 15,000,000 people in the United States since the first clinic opened in 2000, continue to expand rapidly, and as of June 2010, consist of approximately 1,100 clinics in 35 States;

Whereas convenient care clinics follow rigid industry-wide quality of care and safety standards;

Whereas convenient care clinics are staffed by highly qualified health care providers, including advanced practice nurses, physician assistants, and physicians;

Whereas convenient care clinicians all have advanced education in providing quality health care for common episodic ailments including cold and flu, skin irritation, and muscle strains or sprains, and can also provide immunizations, physicals, and preventive health screening;

Whereas convenient care clinics are proven to be a cost-effective alternative to similar treatment obtained in physician offices, urgent care, or emergency departments; and

Whereas convenient care clinics complement traditional medical service providers by providing extended weekday and weekend hours without the need for an appointment, short wait times, and visits that generally last only 15 to 20 minutes: Now, therefore, be it

*Resolved*, That the Senate—

(1) designates the week of August 1 through August 7, 2011, as “National Convenient Care Clinic Week”;

(2) supports the goals and ideals of National Convenient Care Clinic Week to raise awareness of the need for accessible and

cost-effective health care options to complement the traditional health care model;

(3) recognizes the obstacles many people in the United States face in accessing the traditional medical home model of health care;

(4) encourages the use of convenient care clinics as a complimentary alternative to the medical home model of health care; and

(5) calls on the States to support the establishment of convenient care clinics so that more people in the United States will have access to the cost-effective and necessary emergent and preventive services provided in the clinics.

Mr. INOUE. Mr. President, today I rise to recognize all of the providers who work in retail-based Convenient Care Clinics in a Resolution to designate August 1 through August 7, 2011 as National Convenient Care Clinic Week. National Convenient Care Clinic Week will provide a national platform from which to promote the pivotal services offered by the more than 1,100 retail-based convenient care clinics in the United States.

Today, thousands of nurse practitioners, physician assistants, and physicians provide care in convenient care clinics. At a time when Americans are more and more challenged by the inaccessibility and high costs of health care, convenient care offers a vital high-quality primary care alternative.

A Senate Resolution will help pave the way for this effort. I ask my colleagues to join me in supporting this tribute to Convenient Care Clinics.

**SENATE RESOLUTION 16—TO REQUIRE THAT ALL LEGISLATIVE MATTERS BE AVAILABLE AND FULLY SCORED BY CBO 72 HOURS BEFORE CONSIDERATION BY ANY SUBCOMMITTEE OR COMMITTEE OF THE SENATE OR ON THE FLOOR OF THE SENATE**

Mr. ENSIGN (for himself, Mr. BURR, Mr. ENZI, Mr. VITTER, Mr. CRAPO, Mr. ISAKSON, Mr. JOHANNIS, Mr. COBURN, and Mr. THUNE) submitted the following resolution; which was referred to the Committee on Rules and Administration:

S. RES. 16

*Resolved,*

**SECTION 1. PUBLIC AVAILABILITY OF LEGISLATION AND THE COST OF THAT LEGISLATION.**

(a) COMMITTEES.—Rule XXVI of the Standing Rules of the Senate is amended by inserting at the end thereof the following:

“14. (a) It shall not be in order in a subcommittee or committee to proceed to any legislative matter unless the legislative matter and a final budget scoring by the Congressional Budget Office for the legislative matter has been publically available on the Internet as provided in subparagraph (b) in searchable form 72 hours (excluding Saturdays, Sundays, and holidays except when the Senate is in session on such a day) prior to proceeding.

“(b) With respect to the requirements of subparagraph (a)—

“(1) the legislative matter shall be available on the official website of the committee; and

“(2) the final score shall be available on the official website of the Congressional Budget Office.

“(c) This paragraph may be waived or suspended in the subcommittee or committee only by an affirmative vote of 2/3 of the Members of the subcommittee or committee. An affirmative vote of 2/3 of the Members of the subcommittee or committee shall be required to sustain an appeal of the ruling of the Chair on a point of order raised under this paragraph.

“(d)(1) It shall not be in order in the Senate to proceed to a legislative matter if the legislative matter was proceeded to in a subcommittee or committee in violation of this paragraph.

“(2) This subparagraph may be waived or suspended in the Senate only by an affirmative vote of 2/3 of the Members, duly chosen and sworn. An affirmative vote of 2/3 of the Members of the Senate, duly chosen and sworn, shall be required in the Senate to sustain an appeal of the ruling of the Chair on a point of order raised under this subparagraph.

“(e) In this paragraph, the term ‘legislative matter’ means any bill, joint resolution, concurrent resolution, conference report, or substitute amendment but does not include perfecting amendments.”

(b) SENATE.—Rule XVII of the Standing Rules of the Senate is amended by inserting at the end thereof the following:

“6. (a) It shall not be in order in the Senate to proceed to any legislative matter unless the legislative matter and a final budget scoring by the Congressional Budget Office for the legislative matter has been publically available on the Internet as provided in subparagraph (b) in searchable form 72 hours (excluding Saturdays, Sundays, and holidays except when the Senate is in session on such a day) prior to proceeding.

“(b) With respect to the requirements of subparagraph (a)—

“(1) the legislative matter shall be available on the official website of the committee with jurisdiction over the subject matter of the legislative matter; and

“(2) the final score shall be available on the official website of the Congressional Budget Office.

“(c) This paragraph may be waived or suspended in the Senate only by an affirmative vote of 2/3 of the Members, duly chosen and sworn. An affirmative vote of 2/3 of the Members of the Senate, duly chosen and sworn, shall be required in the Senate to sustain an appeal of the ruling of the Chair on a point of order raised under this paragraph.

“(d) In this paragraph, the term ‘legislative matter’ means any bill, joint resolution, concurrent resolution, conference report, or substitute amendment but does not include perfecting amendments.”

**SEC. 2. PROTECTION OF CLASSIFIED INFORMATION.**

Nothing in this resolution or any amendment made by it shall be interpreted to require or permit the declassification or posting on the Internet of classified information in the custody of the Senate. Such classified information shall be made available to Members in a timely manner as appropriate under existing laws and rules.

**SENATE RESOLUTION 17—DESIGNATING THE MONTH OF NOVEMBER 2011 AS “NATIONAL MILITARY FAMILY MONTH”**

Mr. INOUE submitted the following resolution; which was referred to the Committee on the Judiciary:

S. RES. 17

Whereas military families, through their sacrifices and their dedication to the United States and its values, represent the bedrock

upon which the United States was founded and upon which the country continues to rely in these perilous and challenging times: Now, therefore, be it

*Resolved,* That the Senate—

(1) designates November 2011 as “National Military Family Month”; and

(2) encourages the people of the United States to observe National Military Family Month with appropriate ceremonies and activities.

Mr. INOUE. Mr. President, today I rise to honor all our military families by introducing a Resolution to designate November as National Military Family Month. As we all know, memories fade and the hardships experienced by our military families are easily forgotten unless they touch our own immediate family.

Today, we have our men and women deployed all over the world, engaged in this war on terrorism. These far-ranging military deployments are extremely difficult on the families who bear this heavy burden.

To honor these families, the Armed Services YMCA has sponsored Military Family Week in late November since 1996. However, due to frequent “short week” conflicts around the Thanksgiving holidays, the designated week has not always afforded enough time to schedule observances on and near our military bases.

I believe a month long observation will allow greater opportunity to plan events. Moreover, it will provide a greater opportunity to stimulate media support.

This resolution will help pave the way for this effort. I ask my colleagues to join me in supporting this tribute to our military families.

**SENATE RESOLUTION 18—EXPRESSING SUPPORT FOR PRAYER AT SCHOOL BOARD MEETINGS**

Mr. VITTER submitted the following resolution; which was referred to the Committee on Health, Education, Labor, and Pensions:

S. RES. 18

Whereas the freedom to practice religion and to express religious thought is acknowledged to be a fundamental and unalienable right belonging to all individuals;

Whereas the United States was founded on the principle of freedom of religion and not freedom from religion;

Whereas the Framers intended that the First Amendment to the Constitution would prohibit the Federal Government from enacting any law that favors one religious denomination over another, not prohibit any mention of religion or reference to God in civic dialogue;

Whereas in 1983 the Supreme Court held in *Marsh v. Chambers*, 463 U.S. 783, that the practice of opening legislative sessions with prayer has become part of the fabric of our society and invoking divine guidance on a public body entrusted with making the laws is not a violation of the Establishment Clause of the First Amendment, but rather is simply a tolerable acknowledgment of beliefs widely held among the people of the Nation;

Whereas voluntary prayer in elected bodies should not be limited to prayer in State legislatures and Congress;

Whereas school boards are deliberative bodies of adults, similar to a legislature in that they are elected by the people, act in the public interest, and hold sessions that are open to the public for voluntary attendance; and

Whereas voluntary prayer by an elected body should be protected under law and encouraged in society because voluntary prayer has become a part of the fabric of our society, voluntary prayer acknowledges beliefs widely held among the people of the Nation, and the Supreme Court has held that it is not a violation of the Establishment Clause for a public body to invoke divine guidance: Now, therefore, be it

*Resolved*, That the Senate—

(1) recognizes that prayer before school board meetings is a protected act in accordance with the fundamental principles upon which the Nation was founded; and

(2) expresses support for the practice of prayer at the beginning of school board meetings.

**SENATE RESOLUTION 19—TO REQUIRE THAT A DESCRIPTIVE SUMMARY OF EACH PROVISION OF ANY LEGISLATIVE MATTER BE AVAILABLE 72 HOURS BEFORE CONSIDERATION BY ANY SUBCOMMITTEE OR COMMITTEE OF THE SENATE OR ON THE FLOOR OF THE SENATE**

Mr. ENSIGN submitted the following resolution; which was referred to the Committee on Rule and Administration:

S. RES. 19

*Resolved*,

**SECTION 1. PUBLIC AVAILABILITY OF A DESCRIPTIVE SUMMARY OF EACH PROVISION OF LEGISLATION.**

(a) COMMITTEES.—Rule XXVI of the Standing Rules of the Senate is amended by inserting at the end thereof the following:

“14. (a) It shall not be in order in a subcommittee or committee to proceed to any legislative matter unless the legislative matter and a descriptive summary of each provision of the legislative matter has been publically available on the Internet as provided in subparagraph (b) in searchable form 72 hours (excluding Saturdays, Sundays and holidays except when the Senate is in session on such a day) prior to proceeding.

“(b) With respect to the requirements of subparagraph (a), the legislative matter and descriptive summary of each provision shall be available on the official website of the committee.

“(c) This paragraph may be waived or suspended in the subcommittee or committee only by an affirmative vote of  $\frac{2}{3}$  of the Members of the subcommittee or committee. An affirmative vote of  $\frac{2}{3}$  of the Members of the subcommittee or committee shall be required to sustain an appeal of the ruling of the Chair on a point of order raised under this paragraph.

“(d)(1) It shall not be in order in the Senate to proceed to a legislative matter if the legislative matter was proceeded to in a subcommittee or committee in violation of this paragraph.

“(2) This subparagraph may be waived or suspended in the Senate only by an affirmative vote of  $\frac{2}{3}$  of the Members, duly chosen and sworn. An affirmative vote of  $\frac{2}{3}$  of the Members of the Senate, duly chosen and sworn, shall be required in the Senate to sustain an appeal of the ruling of the Chair on a point of order raised under this subparagraph.

“(e) In this paragraph, the term ‘legislative matter’ means any bill, joint resolution, concurrent resolution, conference report, or substitute amendment.”.

(b) SENATE.—Rule XVII of the Standing Rules of the Senate is amended by inserting at the end thereof the following:

“6. (a) It shall not be in order in the Senate to proceed to any legislative matter unless the legislative matter and a descriptive summary of each provision of the legislative matter has been publically available on the Internet as provided in subparagraph (b) in searchable form 72 hours (excluding Saturdays, Sundays and holidays except when the Senate is in session on such a day) prior to proceeding.

“(b) With respect to the requirements of subparagraph (a), the legislative matter and descriptive summary of each provision shall be available on the official website of the committee with jurisdiction over the subject matter of the legislative matter.

“(c) This paragraph may be waived or suspended in the Senate only by an affirmative vote of  $\frac{2}{3}$  of the Members, duly chosen and sworn. An affirmative vote of  $\frac{2}{3}$  of the Members of the Senate, duly chosen and sworn, shall be required in the Senate to sustain an appeal of the ruling of the Chair on a point of order raised under this paragraph.

“(d) In this paragraph, the term ‘legislative matter’ means any bill, joint resolution, concurrent resolution, conference report, or substitute amendment.”.

**SEC. 2. PROTECTION OF CLASSIFIED INFORMATION.**

Nothing in this resolution or any amendment made by this resolution shall be interpreted to require or permit the declassification or posting on the Internet of classified information in the custody of the Senate. Such classified information shall be made available to Members in a timely manner as appropriate under existing laws and rules.

**SENATE RESOLUTION 20—EXPRESSING THE SENSE OF THE SENATE THAT THE UNITED STATES SHOULD IMMEDIATELY APPROVE THE UNITED STATES-KOREA FREE TRADE AGREEMENT, THE UNITED STATES-COLOMBIA TRADE PROMOTION AGREEMENT, AND THE UNITED STATES-PANAMA TRADE PROMOTION AGREEMENT**

Mr. JOHANNIS (for himself, Mr. GRASSLEY, Mrs. HUTCHISON, Mr. ROBERTS, Mr. BOOZMAN, Mr. CORNYN, Mr. PORTMAN, Mr. INHOFE, Mr. ENZI, Mr. LUGAR, Mr. WICKER, and Mr. CHAMBLISS) submitted the following resolution; which was referred to the Committee on Finance:

S. RES. 20

Whereas the United States has signed free trade agreements with South Korea, Colombia, and Panama, but Congress has not approved those agreements;

Whereas, according to the United States International Trade Commission, the gross domestic product of the United States will likely increase by \$10,100,000,000 to \$11,900,000,000 as a result of increased access to the market of South Korea under the provisions of the United States-Korea Free Trade Agreement;

Whereas, according to the United States International Trade Commission, implementing the United States-Korea Free Trade Agreement will increase exports from the United States by an estimated \$9,700,000,000 to \$10,900,000,000 each year;

Whereas, according to the United States International Trade Commission, implementing the United States-Korea Free Trade Agreement would create 20,000 to 24,000 jobs in the United States;

Whereas the implementation of the United States-Korea Free Trade Agreement will ensure that agricultural products exported from the United States to South Korea receive treatment equivalent to the treatment provided by the United States to agricultural products exported from South Korea and will significantly increase exports of agricultural products from the United States to South Korea;

Whereas the American Farm Bureau estimates an increase of \$1,800,000,000 in United States agricultural trade per year after the United States-Korea Free Trade Agreement is fully implemented;

Whereas increased trade will help to strengthen ties between the United States and South Korea and advance important national security goals;

Whereas the United States and Colombia negotiated and signed the United States-Colombia Trade Promotion Agreement on November 22, 2006;

Whereas, according to the Office of the United States Trade Representative, Colombia is currently the 27th largest trading partner of the United States with respect to goods;

Whereas, according to the United States International Trade Commission, implementation of the United States-Colombia Trade Promotion Agreement will increase exports from the United States by an estimated \$1,100,000,000 each year;

Whereas, according to the United States International Trade Commission, implementation of the United States-Colombia Trade Promotion Agreement will create 3,693 jobs;

Whereas, in 2010, more than 90 percent of exports from Colombia to the United States entered the United States duty-free under the Andean Trade Preference Act (19 U.S.C. 3201 et seq.) and the Generalized System of Preferences under title V of the Trade Act of 1974 (19 U.S.C. 2461 et seq.);

Whereas, according to the United States International Trade Commission, goods valued at \$11,400,000,000 were exported from the United States to Colombia in 2008, an increase from \$3,600,000,000 in 2002;

Whereas, according to the Office of the United States Trade Representative, more than 80 percent of consumer and industrial products exported from the United States to Colombia will enter Colombia duty-free as soon as the United States-Colombia Trade Promotion Agreement enters into force and all remaining tariffs on such products will be eliminated within 10 years after the Agreement enters into force;

Whereas, according to the Office of the United States Trade Representative, the primary exports from the United States to Colombia in 2008 were \$2,600,000,000 in machinery, \$10,000,000,000 in mineral fuel, \$974,000,000 in organic chemicals, \$969,000,000 in corn and wheat cereals, and \$950,000,000 in electrical machinery;

Whereas, according to the Office of the United States Trade Representative, Colombia is the 15th largest market for farm products exported from the United States, with the United States exporting almost \$1,700,000,000 worth of farm products to Colombia in 2008;

Whereas, according to the Department of Agriculture, 99.9 percent of agricultural products imported into the United States from Colombia already enter the United States duty-free, but no agricultural products exported from the United States to Colombia currently enter Colombia duty-free;

Whereas, according to the American Farm Bureau Federation, the United States-Colombia Trade Promotion Agreement would increase sales of agricultural products produced in the United States by \$910,000,000 each year;

Whereas, according to the Department of Agriculture, more than half of agricultural products exported from the United States to Colombia will enter Colombia duty-free as soon as the United States-Colombia Trade Promotion Agreement enters into force and all remaining tariffs on such products will be phased out over time;

Whereas the United States and Panama, after 10 rounds of negotiations, signed the United States-Panama Trade Promotion Agreement on December 16, 2006;

Whereas the United States values its long-standing bilateral relationship with Panama;

Whereas the National Assembly of Panama ratified the United States-Panama Trade Promotion Agreement by a vote of 58 to 4 on July 11, 2007;

Whereas 88 percent of United States commercial and industrial exports will enter Panama duty-free immediately after the United States-Panama Trade Promotion Agreement enters into force and all remaining tariffs on such exports will be phased out over 10 years;

Whereas more than 60 percent of exports of agricultural products from the United States will enter Panama duty-free immediately after the United States-Panama Trade Promotion Agreement enters into force and all remaining tariffs on agricultural products will be phased out over 20 years;

Whereas, according to the United States International Trade Commission, the primary effect of the implementation of the United States-Panama Trade Promotion Agreement will be to increase exports from the United States to Panama because 96 percent of imports from Panama already enter the United States duty-free; and

Whereas concerns about Panama's alleged position as a "tax haven" have been addressed with the November 30, 2010, signing of a United States-Panama Tax Information Exchange Agreement, which permits the competent authorities of the United States and Panama to request information on most taxes to better increase transparency in an attempt to combat illegal financial transactions, including those linked to drug smuggling and money laundering: Now, therefore, be it

*Resolved, That—*

(1) the Senate recognizes that the implementation of the United States-Korea Free Trade Agreement, the United States-Colombia Trade Promotion Agreement, and the United States-Panama Trade Promotion Agreement will—

(A) create jobs in the United States;

(B) increase export opportunities for businesses and agricultural producers in the United States; and

(C) further develop cross-cultural business relationships between the United States and South Korea, Colombia, and Panama, respectively; and

(2) it is the sense of the Senate that it is in the security, economic, and diplomatic interests of the United States to enhance relationships with South Korea, Colombia, and Panama, respectively, by immediately approving the United States-Korea Free Trade Agreement, the United States-Colombia Trade Promotion Agreement, and the United States-Panama Trade Promotion Agreement.

SENATE RESOLUTION 21—TO AMEND THE STANDING RULES OF THE SENATE TO PROVIDE PROCEDURES FOR EXTENDED DEBATE

Mr. MERKLEY (for himself and Mr. UDALL of New Mexico) submitted the following resolution; which was submitted and read:

S. RES. 21

*Resolved,*

**SECTION 1. EXTENDED DEBATE.**

Paragraph 2 of rule XXII of the Standing Rules of the Senate is amended—

(1) designating the first 3 undesignated paragraphs as subparagraphs (a), (b), and (d), respectively;

(2) in subparagraph (d), as designated by paragraph (1), by striking "Thereafter" and inserting "If the Senate agrees to bring debate to a close under paragraphs 2 or 3, thereafter"; and

(3) inserting after subparagraph (b), as designated by paragraph (1), the following:

"(c)(1) If the Senate has voted against closing debate on a measure, motion, or other matter under subparagraph (b), but a majority of senators present and voting have voted to bring debate to a close, then the procedures under this subparagraph shall be in order at any time, so long as that measure, motion or other matter has continued as the only pending business subsequent to the vote against closing debate.

"(2) Under the circumstances described in clause (1), it shall be in order for the Majority Leader or his designee to move to bring debate on the pending measure, motion, or other matter to a close on the grounds that no Senator seeks recognition to debate the matter. Immediately after the motion is made and before putting the question thereon, the Presiding Officer shall immediately inquire whether any Senator seeks recognition for the purpose of debating the measure, motion or other matter on which the Senate had previously voted against closing debate under subparagraph (b). If a Senator seeks recognition for that purpose, the Presiding Officer shall announce that the Senate is proceeding under extended debate, and shall recognize a Senator who seeks recognition for debate. After the Presiding Officer's announcement under the preceding sentence the Senate shall continue to proceed under extended debate subject to the conditions provided in clause (3). Notwithstanding rule XIX, Senators may speak more than twice on a question during extended debate.

"(3)(A) If the Senate enters into extended debate under this clause, no dilatory motions, motions to suspend any rule or any part thereof, nor dilatory quorum calls shall be entertained.

"(B) If during extended debate the proceedings described in either subclause (C), (D), or (E) occur and unless the Majority Leader or his designee withdraws the motion made under clause (2), the Senate shall proceed immediately to vote on that motion or to vote at a time designated by the Majority Leader or his designee within the next 4 calendar days of Senate session. When voted on, that motion shall be decided by a majority of Senators chosen and sworn.

"(C) If, at any point during extended debate when no Senator is recognized, no Senator seeks recognition, the Presiding Officer shall renew the inquiry as to whether a Senator seeks recognition and shall recognize a Senator who seeks recognition for the purpose of debate. If no Senator then seeks recognition (or if no Senator sought recognition in response to the Presiding Officer's inquiry under clause (2)), the Senate shall dispose of

the motion of the Majority Leader (or his designee) to bring debate to a close pursuant to clause (2), in the manner specified in subclause (B).

"(D)(i) If, at any point during extended debate, a Senator raises a question of the presence of a quorum, the Presiding Officer shall renew the inquiry as to whether a Senator seeks recognition, and shall recognize a Senator who seeks recognition for debate.

"(ii) If no Senator then seeks recognition for debate—

"(I) the Presiding Officer shall direct the Clerk to call the roll;

"(II) upon the establishment of a quorum, the Senate shall dispose of the motion of the Majority Leader (or his designee) to bring debate to a close pursuant to clause (2) in the manner specified in subclause (B); and

"(III) if the Senate adjourns for lack of a quorum and when the Senate next convenes and the morning hour or any period for morning business is expired or is deemed to be expired, the Senate shall dispose of the motion of the Majority Leader (or his designee) made to bring debate to a close pursuant to clause (2) in the manner specified in subclause (B).

"(E)(i) If, at any point during extended debate, a Senator having been recognized moves to adjourn, recess, postpone the pending matter, or proceed to other business, then unless the motion is made or seconded by the Majority Leader or his designee, the Presiding Officer shall renew the inquiry as to whether a Senator seeks recognition, and shall recognize a Senator who seeks recognition for debate, and said motion shall be considered withdrawn. If no Senator then seeks recognition for debate, then the Presiding Officer shall immediately put the question on the motion offered, unless the vote is delayed as provided in item (ii). If the Senate agrees to a motion to adjourn or recess it shall resume consideration of the pending measure, motion or other matter pending at the time of adjournment or recess when it first takes up business after it next reconvenes, and the Senate shall still be in a period of extended debate. Upon the negative disposition of the motion to adjourn, recess, postpone, or proceed to other business, unless such motion was made by the majority leader or his designee, the Senate shall dispose of the motion of the Majority Leader (or his designee) to bring debate to a close pursuant to clause (2) in the manner specified in subclause (B).

"(F) During a period of extended debate, the Majority Leader or his designee may delay any vote until a designated time within the next 4 calendar days of Senate session, and any votes ordered or occurring thereafter shall likewise be delayed.

"(4) If the motion of the Majority Leader to bring debate to a close pursuant to clause (3)(B) is agreed to by a majority of Senators chosen and sworn, the Presiding Officer shall announce that extended debate is ended and that the measure, motion, or other matter pending before the Senate shall be the unfinished business to the exclusion of all other business until disposed of and further proceedings on the measure, motion or other matter shall occur in accordance with subparagraph (d). If the Majority Leader withdraws the motion to bring debate to a close pursuant to clause (3)(B) or that motion is not agreed to by a majority of Senators chosen and sworn the Presiding Officer shall announce that extended debate is ended.

"(5) If extended debate on a measure, motion or other matter is ended under this subparagraph, other than by agreement to the motion made by the Majority Leader under clause (4), further consideration of the measure, motion or other matter shall occur as otherwise provided by the rules, except that



if the Senate subsequently again votes against closing debate under subparagraph (b), the procedures under this subparagraph shall apply.”.

**SENATE RESOLUTION 22—CON-DEMNING THE NEW YEAR’S DAY ATTACK ON THE COPTIC CHRISTIAN COMMUNITY IN ALEXANDRIA, EGYPT AND URGING THE GOVERNMENT OF EGYPT TO FULLY INVESTIGATE AND PROSECUTE THE PERPETRATORS OF THIS HEINOUS ACT**

Mr. MENENDEZ (for himself, Mr. DURBIN, Mr. WHITEHOUSE, Mr. WICKER, Mr. CARDIN, Mr. INHOFE, Mr. LAUTENBERG, Mr. LEVIN, Mr. CASEY, Mr. JOHNSON of South Dakota, Mrs. BOXER, and Mr. KYL) submitted the following resolution; which was referred to the Committee on Foreign Relations:

S. RES. 22

Whereas Coptic Christians are a native Egyptian population and the Coptic Orthodox Church of Alexandria was founded by the Evangelist Saint Mark the Apostle in approximately 42 A.D. and is the oldest Christian church in Africa;

Whereas Copts in Egypt constitute the largest Christian community in the Middle East and the largest Christian minority group in the region;

Whereas Coptic Christians account for at least 9 percent of Egypt’s population of 80,000,000 and number more than 3,000,000 outside of Egypt, including 1,000,000 in the United States;

Whereas, on New Year’s Day 2011, a suicide bomber targeting Coptic Christians blew himself up in front of the Saint George and Bishop Peter Church in Alexandria, Egypt killing at least 21 people and injuring almost 100 others;

Whereas President Barack Obama and other world leaders have condemned the attack and called for its perpetrators to “be brought to justice for this barbaric and heinous act”;

Whereas the head of Egypt’s Coptic Christian community, Pope Shenouda III, has called on President of Egypt Hosni Mubarak to increase security for the Coptic Christian community and to reach agreements over the building and repairing of churches, including the adoption of a single law applicable to both churches and mosques; and

Whereas the freedom of religion is central to the ability of people to live together and must be upheld by the laws and practices of every democratic nation: Now, therefore, be it

*Resolved*, That the Senate—

(1) condemns the New Year’s Day 2011 attack on the Saint George and Bishop Peter Church in Alexandria, Egypt;

(2) expresses its deep condolences to the Coptic Christian community who suffered from this attack and lost their loved ones and to all Egyptians who have suffered from terrorist attacks;

(3) calls on President Hosni Mubarak and the Government of Egypt to continue to fully investigate the bomb attack and to lawfully prosecute the perpetrators of this heinous act;

(4) calls on President Hosni Mubarak and the Government of Egypt to continue to enhance security for the Coptic Christian community and to work to ensure in law and practice religious freedom and equality of treatment for all people in Egypt;

(5) calls on the President to work with the Government of Egypt to identify the perpetrators of the New Year’s Day attack; and

(6) calls on the Secretary of State to address the issues of religious freedom and equality of treatment for all people in Egypt with the Government of Egypt.

**SENATE RESOLUTION 23—TO PROHIBIT UNAUTHORIZED EARMARKS**

Mr. INHOFE (for himself and Mr. MCCAIN) submitted the following resolution; which was referred to the Committee on Rules and Administration:

S. RES. 23

*Resolved*,

**SECTION 1. PROHIBITION ON UNAUTHORIZED EARMARKS.**

(a) IN GENERAL.—It shall not be in order to consider a bill, joint resolution, conference report, or amendment that provides an earmark.

(b) SUPERMAJORITY.—

(1) WAIVER.—The provisions of subsection (a) may be waived or suspended in the Senate only by the affirmative vote of three-fourths of the Members, duly chosen and sworn.

(2) APPEAL.—Appeals in the Senate from the decisions of the Chair relating to any provision of this section shall be limited to 1 hour, to be equally divided between, and controlled by, the appellant and the manager of the measure. An affirmative vote of three-fourths of the Members of the Senate, duly chosen and sworn, shall be required to sustain an appeal of the ruling of the Chair on a point of order raised under this section.

(c) EARMARK DEFINED.—In this resolution, the term “earmark” means a provision or report language included primarily at the request of a Senator or Member of the House of Representatives providing or recommending a specific amount of discretionary budget authority, credit authority, or other spending authority for a contract, loan, loan guarantee, grant, loan authority, or other expenditure with or to an entity, or targeted to a specific State, locality, or congressional district unless the provision or language—

(1) is specifically authorized by an appropriate congressional authorizing committee of jurisdiction;

(2) meets funding eligibility criteria established by an appropriate congressional authorizing committee of jurisdiction by statute; or

(3) is awarded through a statutory or administrative formula-driven or competitive award process.

**SENATE RESOLUTION 24—TO PROPOSE A STANDING ORDER TO GOVERN EXTENDED DEBATE**

Mr. MERKLEY (for himself and Mr. UDALL of New Mexico) submitted the following resolution; which was submitted and read:

S. RES. 24

*Resolved*,

**SECTION 1. STANDING ORDER FOR EXTENDED DEBATE.**

(a) STANDING ORDER.—This section shall be a standing order of the Senate.

(b) RULES FOR EXTENDED DEBATE.—

(1) IN GENERAL.—If a question to close debate on a measure, motion, or other matter is decided in the negative and a majority of senators present and voting have voted to bring debate to a close, the extended debate procedures under this section shall be in order at any time if that measure, motion or

other matter has continued as the only pending business subsequent to the vote against closing debate.

(2) CLOSING DEBATE.—Under the circumstances described in paragraph (1), it shall be in order for the Majority Leader or his designee to move to bring debate on the pending measure, motion, or other matter to a close on the grounds that no Senator seeks recognition to debate the matter. Immediately after the motion is made and before putting the question thereon, the Presiding Officer shall immediately inquire whether any Senator seeks recognition for the purpose of debating the matter on which the Senate had previously voted against closing debate. If a Senator seeks recognition for that purpose, the Presiding Officer shall announce that the Senate is proceeding under extended debate and shall recognize a Senator who seeks recognition for debate. After the Presiding Officer’s announcement under the preceding sentence the Senate shall continue to proceed under extended debate subject to paragraph (3).

(3) EXTENDED DEBATE.—

(A) IN GENERAL.—If the Senate enters into extended debate under this paragraph, no dilatory motions, motions to suspend any rule or any part thereof, nor dilatory quorum calls shall be entertained.

(B) CONDITIONS FOR ENDING DEBATE.—If during extended debate the proceedings described in either subparagraph (C), (D), or (E) occur and unless the Majority Leader or his designee withdraws the motion made under paragraph (2), the Senate shall proceed immediately to vote on that motion or to vote at a time designated by the Majority Leader or his designee within the next four calendar days of Senate session. When voted on, that motion shall be decided by a majority of Senators chosen and sworn.

(C) DEBATE ENDS.—If, at any point during extended debate when no Senator is recognized, no Senator seeks recognition, the Presiding Officer shall renew the inquiry as to whether a Senator seeks recognition and shall recognize a Senator who seeks recognition for the purpose of debate. If no Senator then seeks recognition (or if no Senator sought recognition in response to the Presiding Officer’s inquiry under paragraph (2), the Senate shall dispose of the motion of the Majority Leader (or his designee) to bring debate to a close pursuant to paragraph (2), in the manner specified in subparagraph (B).

(D) QUORUM CALLS.—

(i) QUESTION.—If, at any point during extended debate, a Senator having been recognized raises a question of the presence of a quorum, the Presiding Officer shall renew the inquiry as to whether a Senator seeks recognition, and shall recognize a Senator who seeks recognition for debate.

(ii) DISPOSITION.—If no Senator then seeks recognition for debate under clause (i)—

(I) the Presiding Officer shall direct the Clerk to call the roll;

(II) upon the establishment of a quorum, the Senate shall dispose of the motion of the Majority Leader (or his designee) to bring debate to a close pursuant to paragraph (2) in the manner specified in subparagraph (B); and

(III) if the Senate adjourns for lack of a quorum, then when the Senate next convenes and the morning hour or any period for morning business is expired or is deemed to be expired, the Senate shall dispose of the motion of the Majority Leader (or his designee) made to bring debate to a close pursuant to paragraph (2) in the manner specified in subparagraph (B).

(E) MOTIONS.—

(i) IN GENERAL.—If at any point during extended debate a Senator having been recognized moves to adjourn, recess, postpone the

pending matter, or proceed to other business and unless the motion is made or seconded by the Majority Leader or his designee, the Presiding Officer shall renew the inquiry as to whether a Senator seeks recognition, and shall recognize a Senator who seeks recognition for debate, and said motion shall be considered withdrawn. If no Senator then seeks recognition for debate, then the Presiding Officer shall immediately put the question on the motion offered, unless the vote is delayed as provided in clause (ii).

(ii) RECONVENING.—If the Senate agrees to a motion to adjourn or recess it shall resume consideration of the pending measure, motion or other matter pending at the time of adjournment or recess when it first takes up business after it next reconvenes, and the Senate shall still be in a period of extended debate. Upon the negative disposition of the motion to adjourn, recess, postpone, or proceed to other business and unless such motion was made by the Majority Leader or his designee, the Senate shall dispose of the motion of the Majority Leader (or his designee) to bring debate to a close pursuant to paragraph (2) in the manner specified in subparagraph (B).

(iii) DELAY.—During a period of extended debate, the Majority Leader or his designee may delay any vote until a designated time within the next 4 calendar days of Senate session, and any votes ordered or occurring thereafter shall likewise be delayed.

(4) FINAL DISPOSITION.—If the motion of the Majority Leader to bring debate to a close pursuant to paragraph (2) is agreed to by a majority of Senators chosen and sworn, the Presiding Officer shall announce that extended debate is ended and that the measure, motion, or other matter pending before the Senate shall be the unfinished business to the exclusion of all other business until disposed of and further proceedings on the measure, motion or other matter shall occur as if the Senate had decided to invoke cloture. If the Majority Leader withdraws the motion to bring debate to a close pursuant to paragraph (2) or that motion is not agreed to by a majority of Senators chosen and sworn the Presiding Officer shall announce that extended debate is ended.

SENATE RESOLUTION 25—EX-PRESSING THE SENSE OF THE SENATE THAT COMPREHENSIVE TAX REFORM LEGISLATION SHOULD INCLUDE INCENTIVES FOR COMPANIES TO REPATRIATE FOREIGN EARNINGS FOR THE PURPOSE OF CREATING NEW JOBS

Mrs. BOXER submitted the following resolution; which was referred to the Committee on Finance:

S. RES. 25

Whereas innovative proposals to create new American jobs must be enacted in order to reduce the United States unemployment rate, which was 9.4 percent at the end of 2010;

Whereas United States multinational companies have an estimated \$1,000,000,000,000 in overseas earnings that could be used to invest in the economic recovery, but the current tax structure gives them more incentive to leave those earnings overseas;

Whereas Congress passed section 422 of the American Jobs Creation Act of 2004, which allowed for the short term repatriation of foreign earnings at a lower tax rate to encourage companies to bring their overseas earnings back to invest in this country during the economic downturn;

Whereas more than \$300,000,000,000 in foreign earnings was returned to the United

States as a result of section 422 of the American Jobs Creation Act of 2004; and

Whereas \$18,000,000,000 in additional revenue was provided to the United States Treasury as a result of section 422 of the American Jobs Creation Act: Now, therefore, be it

*Resolved*, That it is the sense of the Senate that innovative proposals to create new American jobs, such as repatriation, should be considered in the 112th Congress as part of comprehensive tax reform.

SENATE CONCURRENT RESOLUTION 3—HONORING THE SERVICE AND SACRIFICE OF STAFF SERGEANT SALVATORE GIUNTA, A NATIVE OF HIAWATHA, IOWA, AND THE FIRST LIVING RECIPIENT OF THE MEDAL OF HONOR SINCE THE VIETNAM WAR

Mr. HARKIN (for himself and Mr. GRASSLEY) submitted the following concurrent resolution; which was considered and agreed to:

S. CON. RES. 3

Whereas Staff Sergeant Salvatore Giunta of the United States Army, a native of Hiawatha, Iowa, was awarded the Medal of Honor by President Obama on November 16, 2010;

Whereas the Medal of Honor is the highest honor awarded to members of the Armed Forces for valor in combat;

Whereas the official citation awarding the Medal of Honor to Staff Sergeant Giunta states that Staff Sergeant Giunta “distinguished himself conspicuously by gallantry and intrepidity at the risk of his life above and beyond the call of duty in action with an armed enemy in the Korengal Valley, Afghanistan, on October 25, 2007”;

Whereas Staff Sergeant Giunta joins an elite group of Medal of Honor recipients dating back to the Civil War;

Whereas the production and distribution of a medal of honor recognizing individual valor was first proposed by a fellow Iowan, Senator James W. Grimes, and the Secretary of the Navy was authorized to award the first “medals of honor” under section 7 of the Act of December 21, 1861 (12 Stat. 330; chapter I);

Whereas Staff Sergeant Giunta is the first living recipient of the Medal of Honor since the Vietnam War;

Whereas Staff Sergeant Giunta displayed true courage in the face of enemy fire, risking his own life for the benefit of an injured soldier;

Whereas the actions of Staff Sergeant Giunta represent the highest values of the Army and the United States;

Whereas Staff Sergeant Giunta has demonstrated humility and dedication to his fellow soldiers on numerous occasions, stating that the Medal of Honor does not belong to him alone, but also to his fellow soldiers, both living and dead, for whom he holds the Medal of Honor in trust; and

Whereas the brave actions of Staff Sergeant Giunta, which went above and beyond the call of duty, as well as the modesty and selfless service exhibited by Staff Sergeant Giunta, stand as the embodiment of the best attributes of the people of the United States: Now, therefore, be it

*Resolved by the Senate (the House of Representatives concurring)*, That Congress—

(1) honors the service and sacrifice of Staff Sergeant Salvatore Giunta of the United States Army, who is the first living recipient of the Medal of Honor since the Vietnam War; and

(2) encourages the people of the United States to recognize the valor and heroism exhibited by Staff Sergeant Giunta.

PRIVILEGES OF THE FLOOR

Mr. UDALL of New Mexico. Madam President, I ask unanimous consent Tim Woodbury, my law clerk, be granted the privilege of the floor for the duration of this debate.

The ACTING PRESIDENT pro tempore. Without objection, it is so ordered.

HONORING STAFF SERGEANT SALVATORE GIUNTA

Mr. REID. Mr. President, I ask unanimous consent the Senate proceed to S. Con. Res. 3.

The PRESIDING OFFICER. The clerk will report the concurrent resolution by title.

The assistant legislative clerk read as follows:

A concurrent resolution (S. Con. Res. 3) honoring the service and sacrifice of Staff Sergeant Salvatore Giunta, a native of Hiawatha, Iowa, and the first living recipient of the Medal of Honor since the Vietnam War.

There being no objection, the Senate proceeded to consider the concurrent resolution.

Mr. REID. I now ask unanimous consent the concurrent resolution be agreed to, the preamble be agreed to, the motions to reconsider be laid on the table, with no intervening action or debate, and any statements relating to this matter be printed in the RECORD.

The PRESIDING OFFICER. Without objection, it is so ordered.

The concurrent resolution (S. Con. Res. 3) was agreed to.

The preamble was agreed to.

The concurrent resolution, with its preamble, reads as follows:

S. CON. RES. 3

Whereas Staff Sergeant Salvatore Giunta of the United States Army, a native of Hiawatha, Iowa, was awarded the Medal of Honor by President Obama on November 16, 2010;

Whereas the Medal of Honor is the highest honor awarded to members of the Armed Forces for valor in combat;

Whereas the official citation awarding the Medal of Honor to Staff Sergeant Giunta states that Staff Sergeant Giunta “distinguished himself conspicuously by gallantry and intrepidity at the risk of his life above and beyond the call of duty in action with an armed enemy in the Korengal Valley, Afghanistan, on October 25, 2007”;

Whereas Staff Sergeant Giunta joins an elite group of Medal of Honor recipients dating back to the Civil War;

Whereas the production and distribution of a medal of honor recognizing individual valor was first proposed by a fellow Iowan, Senator James W. Grimes, and the Secretary of the Navy was authorized to award the first “medals of honor” under section 7 of the Act of December 21, 1861 (12 Stat. 330; chapter I);

Whereas Staff Sergeant Giunta is the first living recipient of the Medal of Honor since the Vietnam War;

Whereas Staff Sergeant Giunta displayed true courage in the face of enemy fire, risking his own life for the benefit of an injured soldier;

Whereas the actions of Staff Sergeant Giunta represent the highest values of the Army and the United States;

Whereas Staff Sergeant Giunta has demonstrated humility and dedication to his fellow soldiers on numerous occasions, stating that the Medal of Honor does not belong to him alone, but also to his fellow soldiers, both living and dead, for whom he holds the Medal of Honor in trust; and

Whereas the brave actions of Staff Sergeant Giunta, which went above and beyond the call of duty, as well as the modesty and selfless service exhibited by Staff Sergeant Giunta, stand as the embodiment of the best attributes of the people of the United States: Now, therefore, be it

*Resolved by the Senate (the House of Representatives concurring), That Congress—*

(1) honors the service and sacrifice of Staff Sergeant Salvatore Giunta of the United States Army, who is the first living recipient of the Medal of Honor since the Vietnam War; and

(2) encourages the people of the United States to recognize the valor and heroism exhibited by Staff Sergeant Giunta.

#### UNANIMOUS-CONSENT AGREEMENT—S. RES. 14

Mr. REID. I ask unanimous consent that S. Res. 14, which is a resolution honoring the victims and heroes of the shootings on January 8, 2011, in Tucson, AZ, submitted earlier today by Senators MCCAIN and KYL, remain at the desk; that the Senate proceed to its consideration at 10:30 a.m. tomorrow morning, Wednesday, January 26; that there be 3½ hours of debate, equally divided between the majority leader and the Republican leader or their designees, and upon the use or yielding back of that time, the Senate proceed to vote on adoption of the resolution; that there are no amendments, motions or points of order in order prior to the vote on adoption; further, that if the resolution is adopted, the preamble be agreed to and the motions to reconsider be laid upon the table, with no intervening action or debate.

The PRESIDING OFFICER. Without objection, it is so ordered.

#### AUTHORIZING APPOINTMENT OF A COMMITTEE TO ESCORT THE PRESIDENT OF THE UNITED STATES

Mr. REID. Mr. President, I ask unanimous consent the Presiding Officer of the Senate be authorized to appoint a committee on the part of the Senate to join a like committee on the part of the House to escort the President of the United States into the House Chamber for the joint session to be held tonight at 9 p.m.

The PRESIDING OFFICER. Without objection, it is so ordered.

#### MEASURES READ FOR THE FIRST TIME—S. 162 AND S. 163

Mr. REID. I am told there are two bills at the desk for their first reading. I ask we consider those en bloc.

The PRESIDING OFFICER. The clerk will read the titles of the bills en bloc for the first time.

The assistant legislative clerk read as follows:

A bill (S. 162) to cut \$500,000,000,000 in spending in fiscal year 2011.

A bill (S. 163) to require that the Government prioritize all obligations on the debt held by the public in the event that the debt limit is reached.

Mr. REID. Mr. President, I ask for the second reading en bloc, but I object to my own requests en bloc.

The PRESIDING OFFICER. Objection is heard. The bills will be read for the second time on the next legislative day.

The Republican leader.

#### MEASURE READ FOR THE FIRST TIME—H.R. 2

Mr. MCCONNELL. I understand there is a bill at the desk and I ask for its first reading.

The PRESIDING OFFICER. The clerk will report the title of the bill.

The legislative clerk read as follows:

A bill (H.R. 2) to repeal the job-killing health care law and health care-related provisions in the Health Care and Education Reconciliation Act of 2010.

Mr. MCCONNELL. I now ask for a second reading and in order to place the bill on the calendar under the provisions of rule XIV, I object to my own request.

The PRESIDING OFFICER. Objection is heard. The bill will be read for the second time on the next legislative day.

The PRESIDING OFFICER. The majority leader.

#### ORDER FOR RECESS AND ORDERS FOR WEDNESDAY, JANUARY 26, 2010

Mr. REID. Mr. President, I ask unanimous consent that the Senate recess until 8:30 p.m. tonight and proceed as a body at 8:40 p.m. to the Hall of the House of Representatives for the joint session of Congress, provided under the provisions of H. Con. Res 10; that upon dissolution of the joint session, the Senate adjourn until 9:30 a.m. Wednesday, January 26; that following the prayer and the pledge, the Journal of proceedings be approved to date, the morning hour be deemed expired, the time for the two leaders be reserved for their use later in the day, and following any leader remarks the Senate proceed to a period of morning business until 10:30 a.m. with the time equally divided and controlled between the two leaders or their designees, with Senators permitted to speak for up to 10 minutes each during that time, with the Republicans controlling the first half and the majority controlling the second half; finally, that following morning business the Senate proceed to the consideration of S. Con. Res 14, the resolution honoring the victims of the tragedy in Tucson, AZ, as provided under the previous order; finally, I ask that upon disposition of the resolution, the Senate resume morning business

with Senators permitted to speak for up to 10 minutes each.

The PRESIDING OFFICER. Without objection, it is so ordered.

#### PROGRAM

Mr. REID. Mr. President, Senators should therefore expect a rollcall vote at approximately 2 p.m. tomorrow afternoon on adoption of the Tucson resolution. I would also say that we have had a lot of good work today. We have not been on the floor a lot doing what appears to be a lot of substantive stuff. But what we have been able to accomplish, in the halls of the building and the various Senate office buildings, has been extremely important. I appreciate everyone's cooperation.

Senator MCCONNELL and I have had occasions to speak, and we know how difficult it has been for everybody involved. But we think the result is going to be very good for the Senate.

#### RECESS

Mr. REID. Mr. President, if there is no further business to come before the Senate, I ask unanimous consent that it recess under the previous order.

There being no objection, the Senate, at 7:03 p.m., recessed until 8:30 p.m. and reassembled when called to order by the Presiding Officer (Mr. BENNET).

#### JOINT SESSION OF THE TWO HOUSES—ADDRESS BY THE PRESIDENT OF THE UNITED STATES

The PRESIDING OFFICER. Under the previous order, the Senate will proceed as a body to the Hall of the House of Representatives to receive a message from the President of the United States.

Thereupon, the Senate, preceded by the Deputy Sergeant at Arms, Martina Bradford, the Secretary of the Senate, Nancy Erickson, and the Vice President of the United States, JOSEPH R. BIDEN, Jr., proceeded to the Hall of the House of Representatives to hear the address by the President of the United States, Barack H. Obama.

(The address delivered by the President of the United States to the joint session of the two Houses of Congress is printed in the proceedings of the House of Representatives in today's RECORD.)

#### ADJOURNMENT UNTIL 9:30 A.M. TOMORROW

At the conclusion of the joint session of the two Houses and in accordance with the order previously entered, at 10:20 p.m., the Senate adjourned until Wednesday, January 26, 2011, at 9:30 a.m.